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Plasma in photon matter interaction during laser material processing

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INTRODUCTION

Plasma state is always present in several processes which require very high densities of energy: this is the case in pulse processing mode where, for nanoseconds pulse durations, intensities of several GW/cm² are required. For CW processing, these phenomena occurs for intensities in the MW/cm² range. This is the case of the welding process. Even if this process has been used for industrial application quite since the beginning of the laser, the precise role of this plasma is not completely understood during this process. We propose in this paper to give some new insights in this field. In a first part, we will recall basic principles of plasma generation; a second part is devoted to some experimental results on its characterization in the plume and inside the keyhole.

1) Basic principle of plasma generation.

The evolution of the electronic density in a gas irradiated by a laser with an intensity I can be easily described by a set a coupled rate equations describing the electronic density Ne and mean energy ε [1]:

\[
\frac{dN_e}{dt} = R_i - R_{\text{loss}} \quad \text{et} \quad \frac{d\varepsilon}{dt} = \alpha I - P_{\text{el}} - P_{\text{loss}} \quad (1)
\]

where \(R_i\) and \(R_{\text{loss}}\) are ionisation and loss rates (by diffusion or recombination), \(P_{\text{el}}\) and \(P_{\text{loss}}\), the elastic and inelastic losses of energy and \(\alpha\) the Inverse Bremsstrahlung (I. B.) absorption coefficient given by:

\[
\alpha = \frac{v}{c} \frac{\omega_p^2}{(\omega^2 + \omega_p^2)} \quad (2)
\]

where \(v\), \(\omega_p\) and \(\omega\) are respectively the electronic collision, plasma and laser frequencies. Resolution of equ. (1) show that for a typical laser intensity of about 1 MW/cm², Ne and ε reaches values of about \(10^{17}\) cm⁻³ and 1 eV in a few \(\mu\)s. It is interesting to note that this breakdown process of the gas occurs, only if the laser intensity is greater than an intensity threshold \(I_l\) given by [2]:

\[
I_l \quad (\text{MW/cm}^2) = 600 \frac{\Delta (\text{eV})}{\lambda^2 (\mu\text{m})} \quad (3)
\]

where \(\Delta\), \(\lambda\) and \(A\) are respectively the ionisation energy and the atomic mass of the atom gas, and the laser wavelength. The effect of each of these parameters in this criterion is obvious, and it shows that, for CO₂ lasers for example, \(I_l\) is rather low for the usual processed metals (\(I_l = 0.8\) MW/cm² for iron); As the evaporation intensity of metals is lower (for practical processing speeds), this means that with a CO₂ laser, the metallic vapour is always ionised. The ionisation of the protective gases usually used in welding occurs for higher intensities. Only helium cannot be ionised with laser intensities used during welding. Moreover, we can also show that the characteristic time \(\tau\) of plasma breakdown scales as \(\lambda^{-2} I^{-3}\) [3]. All these considerations show the important consequences of using shorter wavelengths than the CO₂ one on plasma generation: it makes this production more difficult by increasing the threshold laser intensity and its growth time. Now, let's study the effect of the plasma on laser propagation.
2) Experimental study of plasmas under welding conditions.

If one wants to know the effect of plasmas, one has first to determine their microscopic parameters, such as electronic density and temperature, in order to estimate their macroscopic effect on laser beam absorption or refraction. The experimental techniques used for these measurements based on spectroscopy (line intensity ratio or Stark broadening) are described in details in ref. [4-5]. Examples of electronic density and temperature profiles above a target obtained for 2 conditions of laser intensities with an He protecting gas, are shown in fig. 1.

![Fig. 1: Electronic density and temperature profiles along the plasma plume. Diameter of focal spots of 0.7 and 3 mm; Incident power 16 kW; \( V = 20 \) mm/s.](image)

Typical electronic densities and temperature are \(10^{17} \) cm\(^{-3}\) and 0.6 eV. In these experimental conditions, and using these data and the I. B. absorption coefficient defined by (2), the plasma plume transmission can be estimated about 75 to 90%. It means that the plasma is rather transparent when He is used. One can verify these indirect determination of plume transmittivity by measuring target reflectivity with integrating sphere techniques [4].

![Fig. 2: Cross-correlations between fluctuations of reflectivity (measured by the integrating sphere technique) and plasma luminosity (measured with visible range photodiodes).](image)

Figure 2 shows the cross-correlations between the plasma luminosity and target reflectivity for He shielding gas: a high visible luminosity means a large plasma plume and is associated with a decrease in reflectivity. With argon shielding gas, at high
intensity, the behaviour is very different: a plasma plume, only composed of argon ions, is detached from the target surface and oscillates with a frequency which is mainly function of focusing conditions (fig. 3).

![Fig. 3: Plasma luminosity for He and Ar shielding gas. Incident Power 15 kW; Frame camera: 2000 image/s.](image)

Moreover in these conditions, no keyhole is present. Similar spectroscopic measurements show that the plume transmission has to be about 35 to 40% and this result is also in agreement with direct reflectivity measurements. The transmittivity being rather high and no keyhole being present, means that strong defocussing occurs during the beam propagation across the plasma.

![Fig. 4: Computed intensity distribution due to refraction effects with plasmas obtained under He or Ar shielding gas. Realistic 2-D profiles of electronic density defined from experimental results have been used. The He plasma is localised at the target surface and the Ar one is detached of 30 mm from the surface. Initial gaussian focal spot diameter of 0.5 mm.](image)

We have computed this refraction effects for these 2 characteristic plasmas with He and Ar shielding gas. Fig. 4 shows the perturbation of an initial focal spot of 0.5 mm of diameter (F.W.H.M.) for these 2 cases: For He, the laser intensity distribution is slightly modified. On the opposite with argon, the maximum intensity is decreased by one order of magnitude due to the defocussing resulting of the detached plasma.

3) Experiments under modified ambient pressure.

In order to decrease the electronic density and therefore the perturbing associated effects, these experiments have been reproduced in a vacuum chamber where the ambient pressure could be varied down to few torrs. The corresponding variations of the electronic density and temperature have been reported on fig. 5-a. With the hypothesis that the pressure of the plasma is given by the ambient pressure, we can compare the electronic densities determined experimentally and those computed using
Saha equation describing the ionisation of the medium and taking into account the experimental temperature (fig. 5-b).

![Graph showing Ne and Te as a function of pressure at 10.5 kW](image)

**Fig. 5:**
- a) Variation of the mean electronic density and temperature as a function of the He pressure in the vacuum chamber.
- b) Comparison between computed Saha equation and experimentally measured electronic density.

The rather good agreement validates this hypothesis. This means that the electronic density of the plume is controlled by the ambient pressure. Inside the keyhole, the electronic density is also controlled by the ambient pressure, because the outgoing flow is subsonic. Therefore, the energy deposition law inside the keyhole has to be strongly modified by changing the ambient pressure.

Effectively, one can see (fig. 6), at low welding velocities, that the penetration depths greatly increase when the ambient pressure decreases. This means that the plasma absorption processes are strongly reduced in these conditions.

![Graph showing penetration depths vs welding speed and pressure](image)

**Fig. 6:** Variation of the penetration depths as a function of the welding speed and ambient He pressure ($P_{\text{abs}} = 7.5$ kW).

Also, the bed-cross sections show interesting modifications (fig. 7). The bed cross section of the melted zone is strongly affected: at low pressure, its shape becomes regular indicating a uniform energy deposition law.
In order to analyse these bed cross sections, we have made simulations of the thermal processes occurring inside the metallic target. Several hypothesis have been used in order to model the thermal effects issued from the keyhole. The local tilt of the stationary shape of the keyhole is defined as a function of the ratio of the welding velocity and of the drilling velocity which is proportional to the local intensity. This drilling velocity has been measured otherwise and is typically of the order of 50 cm/s. The line deposition energy along the keyhole (defined by an absorption coefficient) is then adjusted in order to reproduce the experimental fusion isotherms. Fig. 8 shows examples of this adjustment.

Typically, we observe that the absorption coefficient $\beta$ inside the keyhole at low pressures (about 5 torrs) is about 0.5 - 0.6 cm$^{-1}$ and it increases linearly with the welding velocity. At this pressure, we have seen that no more plasma is present inside the keyhole, therefore this absorption coefficient is only related to the Fresnel reflection mechanism. At atmospheric pressure, when plasma is present, the coefficient $\beta$ increases to about 1.7 - 1.8 cm$^{-1}$. These values have been confirmed by measuring directly the power transmitted through metallic foils of different thicknesses. As a
consequence, the absorption of the plasma alone can be determined and is simply given by the difference between these 2 values, which is typically about 1.2 cm$^{-1}$. Moreover, as we have seen in section 2, this value is about 2 times greater than in the outside plasma plume: as the absorption coefficient scales with the square of the electronic density, these measurements show that the electronic density inside the keyhole is about 40% greater than in the plasma plume. In these conditions with a CO$_2$ laser, we can conclude that for these rather low welding velocities, the main limiting process for deep penetration comes from I. B. mechanism in the plasma. It shows also that the lowest absorption coefficient in the keyhole which can be obtained in these conditions is defined by Fresnel effects and is about 0.5 cm$^{-1}$.

4) Conclusion.

These results show that the main perturbating processes for deep penetration are I. B. absorption, refraction and breakdown phenomena. All these processes show a strong $\lambda^2$ scaling with the wavelength. Therefore they will be efficiently reduced by using shorter wavelengths than the CO$_2$ one, for example at 1.06 µm. Shorter wavelength could be useful, but an optimum has to exist because it is known that Fresnel absorption increases with this trend. A possible way for decreasing these perturbing effects is to decrease the mean electronic density of the plasma. We have seen that vacuum welding is one possibility, but this method has of course no industrial interest. An other proposed method [6], is to used electronegative gases, such as SF$_6$, but the efficiency of this method seems very low. One can also use repetitive pulse processing, with pulse durations shorter than the plasma breakdown time (as defined in section 1). Some preliminary studies seem to confirm this possibility [7], and the remarkable performances of a new type of CO$_2$ lasers [8], result of this concept. Also a high speed blowing of the plasma plume seems to be an easy way of action and should be more studied for suppression of the refraction processes.

Therefore several possibilities exist for improving this welding process. It is clear that only fundamental studies will provide better choice of the processing parameters in order to make this rather complex process more reliable and which needs for this purpose, for example real time control devices for constant efficiency as required for industrial environment. We have discussed here phenomena related to plasma effects; if one wants to really achieve a complete understanding of this process it seems obvious that similar approach has to be defined for other aspects of this process, as for example the hydrodynamic behaviour of the melt pool and its effects on porosities or microstructure, and as a final result, on mechanical resistance of the welded joint.

REFERENCES :

[8] "Diamond" series from Coherent