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Advanced neutron diffraction techniques for strain measurements in polycrystalline materials


Nuclear Physics Institute, 25068 Řež, Czech Republic
* Bergakademie Freiberg, 9200 Freiberg, Germany
** Faculty of Mechanical Engineering, CTU, Prague, Czech Republic
*** Physics Dept., Loughborough University of Technology, England

Abstract: Three unique high resolution experimental arrangements for nondestructive strain measurements which are based on neutron Bragg diffraction optics with cylindrically bent perfect crystals are reviewed. Using focusing in momentum and real space these techniques yield $\Delta d/d$ (d-lattice spacing) resolution of $10^{-4} - 10^{-5}$ and considerably higher luminosity in comparison with the current dedicated instruments. They permit measurements not only macrostrain components resulting in angular shifts of diffraction peaks but also of microstrains by means of profile-broadening analysis.

1. INTRODUCTION

Although focusing principles have been well known in optics for a very long time and have been applied to many research areas, it has taken a relatively long time before focusing techniques with elastically deformed perfect crystals were introduced for neutron scattering instrumentation. However, in the last decade there has been much successful use of them as monochromators [1-4] and in many-crystal systems [5-11] to obtain a maximum luminosity/resolution ratio for diffraction devices. In this contribution we review the properties of three unconventional nondestructive techniques developed on the basis of Bragg diffraction optics for strain measurements in polycrystalline materials. The techniques may be easily employed on conventional double or triple axis instruments. They use the advantages coming from focusing both in real and momentum space and yield resolution and luminosity comparable with the best powder diffractometers. However, it should be pointed out that such excellent properties of the device may be exploited only in a limited angular range in the vicinity of the chosen Bragg reflection with respect to which all focusing conditions are optimized. Such a situation occurs in the case of strain measurements. The lattice strain is defined as $\varepsilon = \Delta d/d$, where $\Delta d$ is the change in lattice spacing due to strain and $d$ is the unstrained spacing. Differentiation of Bragg's equation, $2d\sin\theta = \lambda$, where $\theta$ is the diffraction angle, gives $\varepsilon = -\cot\theta \Delta \theta$ at a fixed wavelength.

2. THEORETICAL BACKGROUND

Following the schematic sketch displayed in fig. 1 it is clear that a maximum resolution of this arrangement is achieved for minimum dispersion over the whole system of diffracting elements. When treat-
ing it in momentum space this means that the orientation of the $\Delta k$ domains (where all the $k$-vectors end) related to the monochromator and analyzer are matched to that of the sample.

\begin{equation}
2 \tan \theta_s = \frac{\tan \theta_M}{1 - L_{MS}/2f_M} + \frac{\tan \theta_A}{1 - L_{SA}/2f_A},
\end{equation}

where $L_{MS}$, $L_{SA}$ are the monochromator-sample, sample-analyzer distances and $f_M$, $f_A$ are the focal lengths of the monochromator and the analyzer ($f_M (A) = R_{MN} (A) \sin \theta_M (A) / 2$). The mutual relations between the angles $\alpha_0$, $\alpha_1$ and $\alpha_2$ are given by

\begin{equation}
\alpha_1 = 2 \epsilon (R_M) - \alpha_0,
\end{equation}

\begin{equation}
\alpha_2 = \alpha_1 \left( \frac{\tan \theta_s}{\tan \theta_M} \left( 1 - L_{MS}/2f_M \right) - 1 \right),
\end{equation}

where $R_M$ is the monochromator bending radius and $\epsilon (R_M) = L_{MS} \alpha_0 / 2f_M$ is the bending angle over the whole length of the irradiated crystal slab. Inspection of equations (1)-(3) reveals that for standard experimental conditions with a Soller collimator in the incident polychromatic beam the maximum luminosity of the instrument is achieved when $L_{MS} = f_M$ and $\alpha_0 = 0$.

3. EXPERIMENTAL TECHNIQUES

For the experiment we use Si perfect single crystal slabs of dimensions $5 \times 30 \times 200$ mm$^3$ (thickness x height x length) with the main surface parallel to the 110, 111 or 112 lattice planes. The slabs are bent by a four-point bending device, enabling a reproducible adjustment of radii ranging from 9 m to infinity (flat crystal). The dedicated triple axis spectrometer has fixed distances $L_{MS} = 135$ cm and $L_{SA} = 56$ cm. A rather short distance $L_{SA}$ brings some limitations in optimization of the instrument according to (1). Sampled volume was determined by two 2 mm wide Cd slits placed near to the sample in the incident as well as diffracted beams.
3.1 High resolution three axis arrangement

This arrangement corresponds to the one sketched in fig. 1, where both crystals are in symmetric Bragg reflection geometry. In order to fulfill the condition (1) we tested three combinations of reflections of the monochromator and the analyzer with respect to a chosen reflection of an α-iron sample at λ=0.162 nm (see fig. 2). The measurement consists of performing the φ-scan (φ=2θs) keeping the θA-angle fixed. Using the nearly nondispersive combination Si(220)/Fe(110)/Si(220) when d220 and d110 differ only by 5.4 %, eq. (1) is fulfilled with a good accuracy in a large range of R, where simultaneously LMS/2fM ≪ 1, LSA/2fA ≪ 1.

Even though this combination does not exploit focusing in real space, it gives the maximum resolution for the Fe Bragg peak (FWHM=6.1') and yields sufficient intensity usable for practical measurements [9,10].

Almost the same FWHM=7.8' as in the former case, but with a considerably higher intensity (by a factor of 6) was achieved with the combination Si(111)/Fe(110)/Si(220). In this case LMS=1/fM and tanθs=2tanθM. Owing to the lower Bragg angle at the monochromator, neutrons from a larger irradiated volume are delivered onto the sample. Since in our case due to a rather small distance of LSA=56 cm, LSA/2fA is still valid, the eq. (1) is fulfilled when using Si(220) analyzer. Due to the high resolution of both the above combinations they may be successfully employed for plastic strain studies using shape analysis of the diffraction profile [12].

However, the combination Si(111)/Fe(110)/Si(111) appears most luminous, because it exploits simultaneously focusing in real and momentum space for both monochromator and analyzer. The gain in peak intensity as compared to the first combination is about a factor of 14 even though the resolution is reduced by a factor of 2.3 (FWHM=14.1').

Fig. 3 and 4 demonstrate examples of the practical employment of the second modification.

Fig. 3. Scan of residual stress through the weld join in a high alloy steel sample before (o) and after annealing at 520°C (o) or 650°C (o).

Fig. 4. Diffraction peaks of a stretched Cu-sample for two values of loading and Si(111)/Cu(111)/Si(220) arrangement.
3.2 Three axis arrangement with the asymmetric analyzer in combination with PSD

This arrangement, schematically sketched in fig 5, uses fully asymmetric diffraction (FAD) geometry of the bent-crystal analyzer already used for medium resolution SANS measurements [6,8]. Owing to this unconventional geometry the Bragg angle varies homogeneously along its longest edge. In this way the deviation from the mean Bragg angle is transformed onto the linear spatial scale which in fact enables us to measure conventional diffraction profiles in "one step" by means of a 1d-PSD. Using a crystal slab we had at our disposal, we tested two reflection combinations: Si(111)/Fe(110)/Si(111) for \( \lambda = 0.21 \) nm and Si(111)/Fe(110)/Si(022) \( \lambda = 0.22 \) nm (see fig. 6). Fig. 7 demonstrates simulation of the macrostrain by heating the \( \alpha \)-iron sample to a temperature of about 386 K. It can be seen from fig. 7 that using the PSD the reflection profile (with a sufficient numbers of counts necessary for determination of \( \Delta d/d \) with an accuracy of about \( 10^{-4} \)) for 0.1 cm\(^2\) sampled volume is measured with a medium power reactor in several minutes.

3.3 Two axis arrangement with the "parallel" diffracted beam

This unique arrangement (see fig. 8) exploits excellent focusing properties of cylindrically bent perfect crystals in real and momentum space with respect to samples of small width.
Setting $\alpha_2 = 0$ in (3) we arrive at the condition for $R_M$ as

$$R_M = \frac{2L_{HS}}{\sin^2 M} \frac{\tan \theta_S}{2\tan S - \tan \theta_M}.$$  \hspace{1cm} (4)

However, owing to the nonnegligible thickness of the bent monochromator as well as the width of the sample, the uncertainties introduced [12] cause the diffracted beam from the sample to be slightly divergent (see fig. 9). Nevertheless, the FWHM of the diffraction profile is comparable to or smaller than that of conventional dedicated instruments. The high luminosity of such an instrument (the absence of Soller collimators, the use of a high resolution PSD) predicts this two axis arrangement for fast acquisition of experimental data, particularly from elastic strain measurements. Fig. 10 demonstrates the effectiveness of this arrangement on the $\alpha$-iron etalon and compressed martensite samples.

![Fig. 8. Schematic sketch of the "parallel" beam arrangement.](image)

4. CONCLUSION

Several experimental arrangements suitable for employment in high resolution powder diffractometry are introduced. Using the optical properties of bent perfect crystals one may obtain unconventionally high angular resolution with high luminosity. Owing to the necessity of tuning the whole arrangement with respect to particular reflection
of a sample of necessarily small width, the main applicability of the presented arrangements is for the high resolution investigations in a rather small Q-range or in the vicinity of individual reflections. For more details see ref. 13.

References