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CONDITIONS FOR THE EXISTENCE OF ORDERED STRUCTURE IN BINARY ALLOY SYSTEMS

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Résumé. — Nous discutons les conditions d'existence de surstructures dans le cas d'alliages binaires cfc par la détermination de l'état fondamental pour une concentration arbitraire dans un modèle d'interaction de paires. Nous supposons que l'interaction s'étend jusqu'aux quatrièmes voisins. Plusieurs états ordonnés typiques sont obtenus comme états fondamentaux. L'analyse est combinée avec un calcul de l'interaction entre deux atomes d'élément de transition pour expliquer une tendance générale observée dans le cas des alliages des métaux de transition.

Abstract. — The conditions for the existence of superlattice structures of fcc binary alloys are discussed by determining the ground state of the pairwise interaction model for arbitrary concentration. The interaction is assumed to extend to the fourth neighboring sites. Many typical ordered structures are obtained as the ground state. The analysis is combined with a calculation of the interaction between two transition element atoms to explain a general tendency observed in transition metal alloys.

1. Introduction. — Many ordered states of binary alloys or vacancies correspond to superlattice structures on the bcc, fcc and hcp lattices. Certain types of such orderings belonging to the same lattice appear quite often successively in a concentration range of a binary alloy system. In order to elucidate the conditions for the appearance of such a sequence of orderings, we determine the ground state of the lattice gas model with the pairwise interaction of finite but extended range. The lattice distortion accompanying the ordering is neglected in the analysis. We present in sections 2 through 4 the result of a detailed analysis of the fcc lattice case with up to fourth neighbor interactions in which the first neighbor one is sufficiently repulsive to keep the number of the nearest neighboring pairs of particles minimum for a given concentration. The bcc and hcp lattice cases will be briefly discussed in section 5.

The present problem has been discussed by many authors so far. The discussions, however, have been confined mostly to the cases of the first and second neighbor interactions only; some arguments which include the third neighbor interaction seem to lack mathematical rigor. As will be discussed below, the inclusion of the fourth neighbor interaction is essential for obtaining many interesting ordered structures of the fcc case as the unique ground state of the model. In the analysis we use the method of geometrical inequalities which is capable of determining the ground state in the whole concentration range; the method has been developed by Kaburagi and Kanamori [1-3].

In section 6 we discuss a general tendency in fcc transition metal alloys. We mention briefly a cal-

culation of the electronic contribution to the pairwise interaction between two transition element atoms; when combined with the analysis of the lattice gas model, it will explain certain aspects of the observed tendency.

2. Definitions and the method of analysis. — The energy of the present model is defined by

$$E = \sum_k V_k p_k, \quad (1)$$

where V_k is the interaction constant of the k -th neighbor interaction and p_k is the total number of the k -th neighboring pairs of particles in a given configuration. The particles correspond to atoms of minority component of binary alloys, since the concentration x will be confined to the range $x \leq 1/2$. The total energy of an alloy A_xB_{1-x} can be reduced to E given by Eq. (1) if we drop a term depending on x only; V_k is given by

$$V_k = V_k^{AA} + V_k^{BB} - 2 V_k^{AB}$$

in terms of the interaction constants between A-A, B-B and A-B pairs. In order to denote a structure at a concentration x , we use the symbol

$$S(p_1/Nx, p_2/Nx, \dots; x),$$

where N is the total number of the lattice sites and thus p_k/Nx gives the number of the k -th pair per particle. The symbol may not define the structure uniquely in some cases; such a degeneracy will be mentioned in each case.

When the interaction is of finite range, the ground state energy, E_g , follows a broken line as function

of x , changing the slope dE_g/dx at several characteristic values of x . At the inflection point the ground state is an ordered structure, while it is generally a two-phase mixture of the ordered structures corresponding to near-by inflection points at an intermediate x , though an ordered structure may happen to be degenerate with the two-phase mixture in some cases. This E_g vs. x relation of the lattice gas model was rigorously derived first by the method of geometrical inequalities [1]. We summarize the method by explaining the analysis for the case of the fcc lattice with V_1 and V_2 only. We can derive the following inequalities for p_1 and p_2 [3] :

$$\begin{aligned} A &= p_1 \geq 0 \quad \text{for } x \leq 1/4, \\ N(4x - 1) &\quad \text{for } 1/4 \leq x \leq 1/2, \\ B &= 2p_1 + p_2 \geq 0 \quad \text{for } x \leq 1/6, \\ N(6x - 1) &\quad \text{for } 1/6 \leq x \leq 1/3, \\ N(12x - 3) &\quad \text{for } 1/3 \leq x \leq 1/2, \\ C &= p_2 \geq 0 \quad \text{for } x \leq 1/2, \\ D &= -p_1 + p_2 \geq -3x \\ \text{and} \\ F &= -p_2 \geq -3x. \end{aligned} \quad (2)$$

Note that the r.h.s. for A , B and C have the inflection points. We rewrite the energy given by Eq. (1) in terms of the l.h.s., for example, as

$$E = (V_1 - 2V_2)A + V_2B$$

which determines E_g in the regime $V_1 > 2V_2 > 0$ provided that we can find the ordered structures satisfying the equality at the inflection points of the inequalities used in rewriting of E . In the present case we can determine the ground state in the whole regimes of the $V_1 - V_2$ plane, concluding that it is divided into five regimes,

- (I) $V_1 > 0$ and $V_2 < 0$,
- (II) $V_1 > 2V_2 > 0$,
- (III) $2V_2 > V_1 > 0$,
- (IV) $0 > V_1 > -V_2$ and $(V) -V_2 > V_1$.

See table I for the result.

In the regimes (I) and (II), where p_1 is minimum, we find the wellknown Cu_3Au , Al_3Ti , Pt_2Mo and CuAuI types among the ordered structures appearing at the inflection. As was pointed out by Allen and Cahn [4] who derived most of the result independently by use of a different method, the Ni_4Mo type is degenerate with the two phase mixture at $x = 1/5$ between $S(0, 0, 4, 1; 1/6)$ and $S(0, 2, 4, 2; 1/4)$ (Al_3Ti) as far as V_1 and V_2 are concerned. Also complicate structures such as Au_5Mn_2 , Pd_2Mn and Pd_3Mn_3 are not obtained as the distinct structures at the inflection of the E_g vs. x curve, though p_1 is kept minimum in these structures. The inclusion of V_3 in the analysis does not improve the situation very much. Thus we

extend the range of interaction to the fourth neighbors. In order to simplify the analysis, we assume that p_1 is kept minimum for given concentrations, satisfying the equality in the inequality A in Eqs. (2). The structures which lie outside the scope of the analysis in the following section are the CuPt family in the regimes (III) and (IV) where p_2 is minimum [5] ⁽¹⁾. We defer the analysis for this case to future publication.

TABLE I

The ordered structures in the fcc case with V_1 and V_2 only. The regimes, I, II, etc. are defined in the text. The number given in bold-face refers to the unit cell shown in figure 1. Only those which appear at the inflection points of the E_g vs. x curve are listed.

Structure	Reg.	Structure	Reg.
$S(0, 3, 0, 6; 1/4)$	17 I	$S(0, 2, 4, 2; 1/4)$	18 II
$S(2, 3, 4, 6; 1/2)$	39 I	$S(1, 1, 6, 1; 1/3)$	23, 24 II
$S(0, 0, 4, 1; 1/6)$	11 II, III	$S(1, 1, 6, 3/2; 1/3)$	25 II
$S(3/2, 0, 5, 7/2; 1/3)$	42 III	$S(2, 2, 8, 2; 1/2)$	40 II
$S(3, 0, 6, 6; 1/2)$	44 III, IV	No structure in V.	

3. The fcc lattice with up to V_4 and minimum p_1 . —

When we include p_3 and p_4 in the analysis, we encounter in some cases the difficulty that we cannot find the ordered structure satisfying the equality in the relevant inequalities. We can overcome the difficulty in most cases of the present analysis by improving the inequalities by use of an argument utilizing the minimum p_1 condition. All the structures listed in table II can be proved to be of lowest energy in certain regimes in the space spanned by the interaction constants. There are, however, certain regimes in which we cannot determine the E_g vs. x curve rigorously. In such regimes we make conjecture by assuming that the ordered structures proved rigorously in adjacent regimes may appear in the regime in point and determine the state of lowest energy by energy comparison. Though we shall not specify the regimes in which such a conjecture is made in this paper, we mention that we encounter the problem mostly in the hatched region in figures 2 and 3.

Table II and figures 1-4 summarize the result of the analysis. Under the minimum p_1 condition $x = 1/4$ and $1/2$ are always the inflection points according to the inequality A in Eq. (1). Defining ξ by

$$\xi = V_2 - 4V_3 + 4V_4, \quad (3)$$

we can prove rigorously that $S(0, 2, 4, 2; 1/4)$ (Al_3Ti) and $S(2, 2, 8, 2; 1/2)$ are the corresponding ordered

⁽¹⁾ The CuPt₇ structure is obtained in the present analysis, since $p_1 = 0$ is satisfied. If p_1 is minimum under the minimum p_2 condition, we obtain CuPt₇ (No. 8) and Nos. 11, 41, 42, 43, 44 in figure 1.

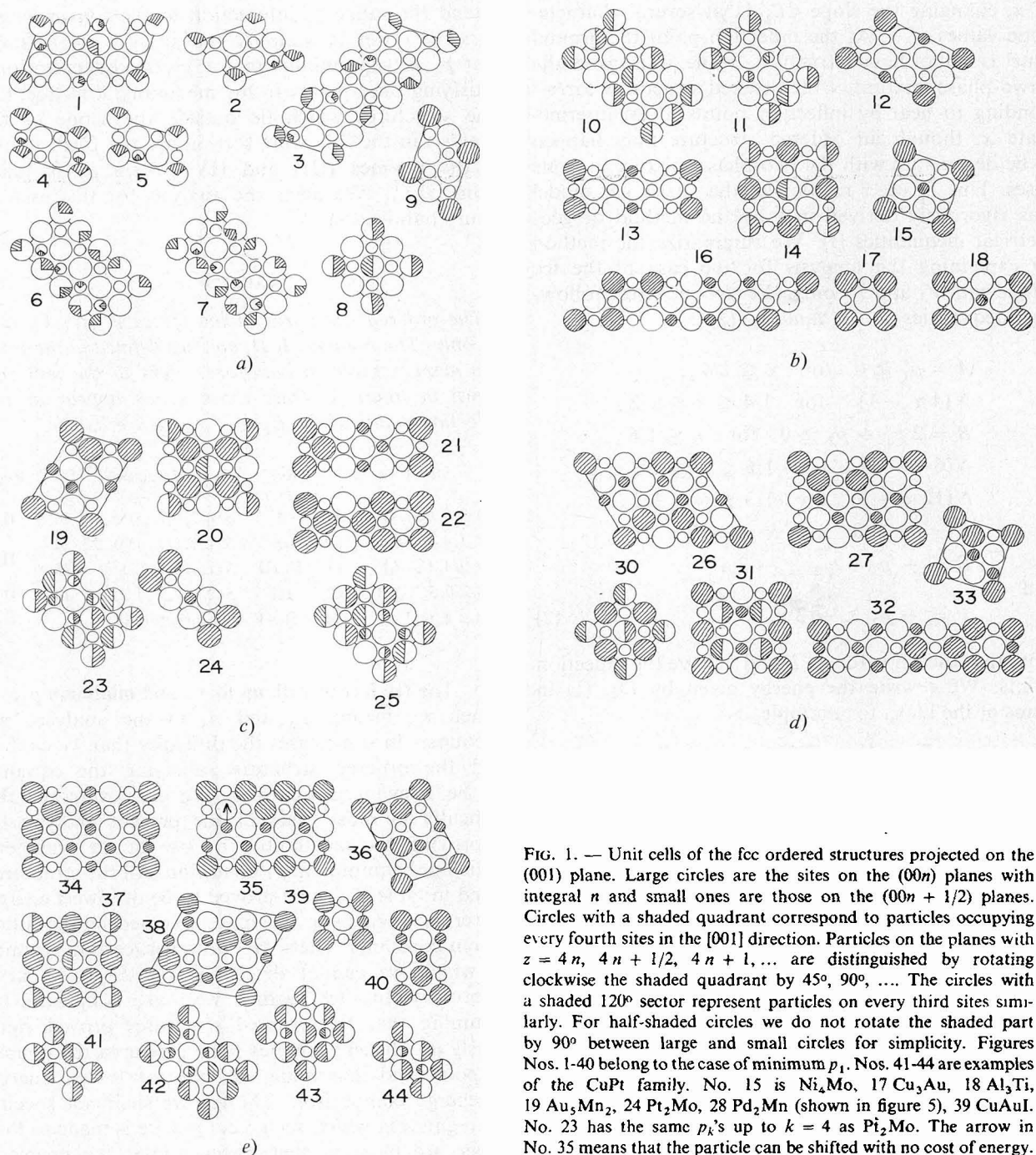


FIG. 1. — Unit cells of the fcc ordered structures projected on the (001) plane. Large circles are the sites on the (00 n) planes with integral n and small ones are those on the (00 $n + 1/2$) planes. Circles with a shaded quadrant correspond to particles occupying every fourth sites in the [001] direction. Particles on the planes with $z = 4n, 4n + 1/2, 4n + 1, \dots$ are distinguished by rotating clockwise the shaded quadrant by $45^\circ, 90^\circ, \dots$. The circles with a shaded 120° sector represent particles on every third sites similarly. For half-shaded circles we do not rotate the shaded part by 90° between large and small circles for simplicity. Figures Nos. 1-40 belong to the case of minimum p_1 . Nos. 41-44 are examples of the CuPt family. No. 15 is Ni_4Mo , 17 Cu_3Au , 18 Al_3Ti , 19 Au_3Mn_2 , 24 Pt_2Mo , 28 Pd_2Mn (shown in figure 5), 39 CuAuI . No. 23 has the same p_k 's up to $k = 4$ as Pt_2Mo . The arrow in No. 35 means that the particle can be shifted with no cost of energy.

structures for the case $\xi > 0$ and $S(0, 3, 0, 6; 1/4)$ (Cu_3Au) and $S(2, 3, 4, 6; 1/2)$ (CuAuI) for the case $\xi < 0$. For other values of x we obtain many ordered structures which we divide into four groups : 1) the Al_3Ti family appearing mostly in the region $\xi > 0$ of the V_2 - V_3 plane and satisfying the condition that p_2 is minimum under the minimum p_1 condition, 2) the Cu_3Au family appearing mostly in the region $\xi < 0$ and satisfying the condition that p_3 is minimum under the minimum p_1 condition, 3) intermediate structures appearing in the hatched region of figures 2 and 3, and 4) structures satisfying $p_2 = p_3 = 0$

such as CuPt_7 and appearing in both $\xi > 0$ and $\xi < 0$ with $x \leq 1/8$.

In order to illustrate the analysis we mention the inequality,

$$4p_2 + 2p_3 + p_4 \geq N(42x - 6) \quad \text{or} \quad N(10x + 2) \\ \text{or} \quad N.17x \quad \text{or} \quad N(32x - 5) \quad \text{or} \quad N(47x - 11) \\ \text{or} \quad N(58x - 16) \quad (4)$$

which is valid under the minimum p_1 condition. In the l.h.s. the first expression is applicable to

TABLE IIa

The ordered structure in the case of $V_4 > 0$ and $x \geq 1/4$. See figure 2 for the regimes. Each structure can exist in a regime in the hatched region besides in those marked with circle.

Group 1 Al_3Ti family		I	II	III	IV	V
$S(0, 2, 4, 2; 1/4)$	18	○	○	○	○	○
$S(1/2, 3/2, 5, 1; 2/7)$	19		○	○	○	○
$S(1, 1, 6, 1; 1/3)$	23, 24			○	○	○
$S(4/3, 4/3, 16/3, 10/3; 3/8)$	31				○	○
$S(3/2, 3/2, 6, 3/2; 2/5)$	33				○	○
$S(2, 2, 8, 2; 1/2)$	40	○	○	○	○	○
Group 2 Cu_3Au family		VI	VII	VIII	IX	X
$S(0, 3, 0, 6; 1/4)$	17	○	○	○	○	○
$S(4/5, 12/5, 8/5, 24/5; 5/16)$	20	○	○	○		
$S(1, 5/2, 2, 4; 1/3)$	22			○	○	
$S(4/3, 2, 8/3, 6; 3/8)$	30	○	○			
$S(4/3, 7/3, 8/3, 13/3; 3/8)$	29 (*)			○		
$S(3/2, 5/2, 3, 4; 2/5)$	32		○	○	○	
$S(12/7, 18/7, 24/7, 36/7; 7/16)$	37	○	○	○		
$S(2, 3, 4, 6; 1/2)$	39	○	○	○	○	○
Group 3 The regimes are in the hatched region						
$S(1, 3/2, 11/2, 1; 1/3)$	26, 27	$S(8/5, 9/5, 32/5, 8/5; 5/12)$				35
$S(1, 2, 4, 2; 1/3)$	21	$S(8/5, 2, 28/5, 2; 5/12)$				34
$S(1, 2, 3, 3; 1/3)$	28 (*)	$S(5/3, 2, 16/3, 8/3; 3/7)$				36
(*) See figure 5.		$S(9/5, 2, 6, 14/5; 5/11)$				38

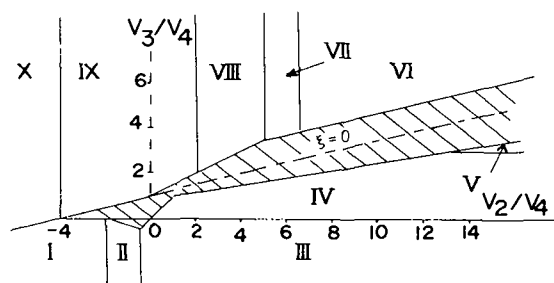


FIG. 2. — The regimes in the case of $V_4 > 0$ and $x \geq 1/4$ (see table IIa).

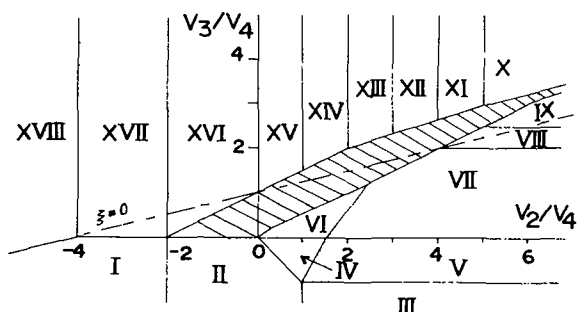


FIG. 3. — The case of $V_4 > 0$ and $x < 1/4$.

TABLE IIb

The case of $V_4 > 0$ and $x < 1/4$. See figure 3. No ordered structure appears in the regimes I and VIII which coincide with I and X in figure 2 for $x \geq 1/4$. All the structures except $S(0, 0, 3, 0; 1/8)$ 7 appear in certain regimes in the hatched region. We have found two structures belonging to the group 3, $S(0, 1, 2, 1; 1/6)$ 12 and $S(0, 2, 1, 3; 1/5)$ 16 which appear in a part of the hatched region only. We have three structures of the group 4 : $S(0, 0, 0, 0; 2/27)$ 1 in the regimes VI through XV, $S(0, 0, 0, 1; 1/12)$ 2 in VIII through XII and $S(0, 0, 0, 6; 1/8)$ 8 (Pt₇Cu) in IX and X.

Group 1 Al_3Ti family	II	III	IV	V	VI	VII	VIII	IX
$S(0, 0, 1/2, 0; 1/12)$	3				○	○		
$S(0, 0, 5/2, 0; 1/8)$	6				○	○		
$S(0, 0, 2, 1; 1/8)$	10						○	
$S(0, 0, 3, 0; 1/8)$	7		○	○				
$S(0, 0, 4, 1; 1/6)$	11			○		○	○	○
$S(0, 1, 4, 0; 1/5)$	15	○	○	○	○	○	○	○
Group 2 Cu_3Au family	X	XI	XII	XIII	XIV	XV	XVI	XVII
$S(0, 1, 0, 0; 1/9)$	4, 5		○	○	○	○	○	○
$S(0, 1, 0, 1; 1/8)$	9	○	○	○	○			
$S(0, 2, 0, 2; 1/6)$	13	○	○	○	○	○	○	○
$S(0, 2, 0, 4; 3/16)$	14	○	○	○				

TABLE IIc

The case of $V_4 < 0$. See figure 4. $S(0, 0, 4, 1; 1/6)$ extends to the regime IV as far as

$$3V_2 - 8V_3 + 10V_4 \geq 0$$

and $S(1, 1, 6, 3/2; 1/3)$ to the regime IV as far as

$$5V_2 - 16V_3 + 18V_4 \geq 0.$$

Structure	I	II	III	III'	IV	V
$S(0, 0, 0, 6; 1/8)$	8		○	○	○	
$S(0, 0, 4, 1; 1/6)$	11	○	○			
$S(0, 2, 4, 2; 1/4)$	18	○	○	○		
$S(1, 1, 6, 3/2; 1/3)$	25	○	○			
$S(4/3, 4/3, 16/3, 10/3; 3/8)$	31		○	○		
$S(2, 2, 8, 2; 1/2)$	40	○	○	○	○	
$S(0, 3, 0, 6; 1/4)$	17				○	○
$S(4/3, 2, 8/3, 6; 3/8)$	30				○	
$S(2, 3, 4, 6; 1/2)$	39				○	○

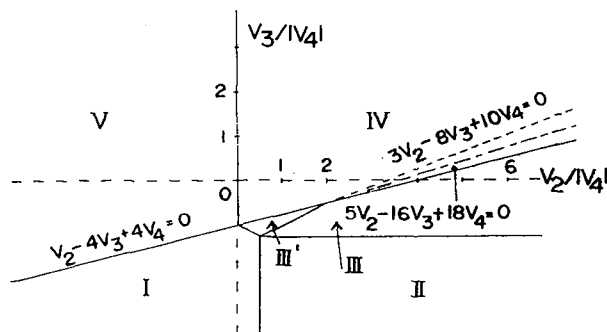


FIG. 4. — The case of $V_4 < 0$.

$1/5 \leq x \leq 1/4$, the next one up to $x = 2/7$ and so on with the inflection points at $x = 1/5, 1/4, 2/7, 1/3, 2/5, 5/11$ and $1/2$. This inequality is used in the regime (IV) of figure 2 to conclude the sequence, $\text{Ni}_4\text{Mo}, \text{Al}_3\text{Ti}, \text{Au}_5\text{Mn}_2, \text{Pt}_2\text{Mo}$ and

$$S(3/2, 3/2, 6, 3/2; 2/5)$$

there. $x = 5/11$ is not the inflection point, because another inequality takes over in $2/5 \leq x \leq 1/2$. Other details of the analysis are omitted.

4. Comparison with experimental data. — A typical example of the Al_3Ti family is $\text{Mn}_x\text{Au}_{1-x}$ [6] which has the Ni_4Mo type ordering at $x = 1/5$, Al_3Ti type at $x = 1/4$ [7] and Au_5Mn_2 at $x = 2/7$ [8]. Our analysis concludes that the sequence can appear for $V_4 > 0$ (see figure 2 and table IIa). The presence of the Al_3Ti type and Pt_2Mo one in Pd-V and Ni-V are consistent also with our analysis, though we expect then the Au_5Mn_2 type inbetween [6].

An interesting example of the intermediate family (the category 3) is $\text{Mn}_x\text{Pd}_{1-x}$ alloys [9]. As is shown in figure 5, the MnPd_3 structure is intermediate between Cu_3Au and Al_3Ti , being degenerate in energy with them on the boundary $\xi = 0$ in the present model. If more distant neighbors up to the tenth are taken into account, it can be lower in energy than Cu_3Au and Al_3Ti provided that

$$2(V_8 - 4V_9) > |\xi + 4V_6 - 8V_7| \quad (5)$$

is satisfied. Here the eighth neighbors are (2, 0, 0) and equivalent sites with respect to the origin; the ninth ones are (2, 1/2, 1/2). MnPd_2 appears in the present analysis in a regime near the boundary $\xi = 0$ (see figure 6), which is consistent with the result for MnPd_3 . Mn_3Pd_5 corresponding to

$$S(4/3, 7/3, 8/3, 14/3; 3/8),$$

on the other hand, is not obtained as the ground state in our analysis, though $x = 3/8$ can be the inflection point. This is because there is a related structure $S(4/3, 7/3, 8/3, 13/3; 3/8)$ (see figure 5) which appears in the regime (VIII) of figure 2 for $V_4 > 0$. When V_k 's up to V_{10} are considered, the Mn_3Pd_5 one can be lower in energy with the condition $2V_5 - 2V_6 + 4V_7 - 2V_8 > V_4$. In our opinion, however, the problem is beyond the capability of the pairwise interaction model with fixed V_k 's, since the energy comparison on the MnPd_2 structure with a modification $S(2, 3, 3, 7/2; 1/3)$ (see figure 5) yields an almost opposite condition

$$V_4 > 2V_5 - 2V_6 + 4V_7 - 2V_8 + 2V_{10}.$$

It is interesting to note also that the Mn_3Pd_5 structure has a modification having the same P_k 's for all k (see figure 5); the pairwise interaction model cannot distinguish between them energetically. Regardless

of these complications we may conclude that the Mn-Pd system belongs to the intermediate class.

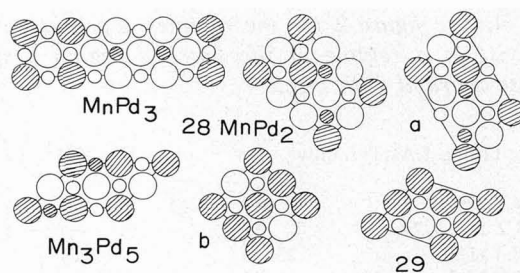


FIG. 5. — The structures of Pd_3Mn , Pd_2Mn and Pd_5Mn_3 . *a* is a modification of Pd_2Mn and *b* and 29 are those of Pd_5Mn_3 (see the text).

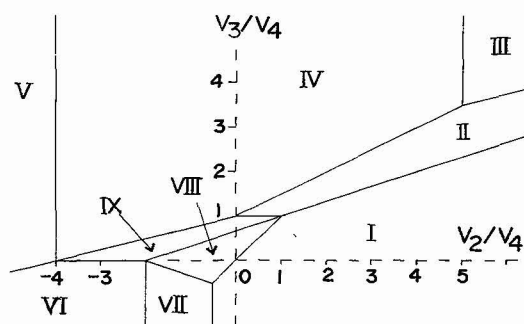


FIG. 6. — The ordered structures at $x = 1/3$. $S(1, 1, 6, 1; 1/3)$ (Pt_2Mo) is of lowest energy in I, $S(1, 2, 3, 3; 1/3)$ (Pd_2Mn) in II, $S(1, 5/2, 2, 4; 1/3)$ in IV, $S(1, 3/2, 11/2, 1; 1/3)$ in VIII and $S(1, 2, 4, 2; 1/3)$ in IX. In other regimes the two phase mixture, for example, that between Cu_3Au and CuAuI in V is of lowest energy.

Examples of the Cu_3Au family are numerous. Usually only the Cu_3Au and CuAuI are found in the range $1/4 \leq x \leq 1/2$, which is expected if

$$-V_2 > 4V_4 > 0 \quad \text{or} \quad V_2 < 0 \quad \text{with} \quad V_4 < 0$$

is satisfied besides $\xi < 0$. In other regimes with $\xi < 0$ we expect the additional appearance of ordered structures such as $S(4/3, 2, 8/3, 6; 3/8)$ according to table II.

5. Remarks about the bcc and hcp cases. — For the bcc lattice a similar analysis assuming the minimum p_1 and V_k 's up to V_4 has been carried out. Various ordered structures are found at $x = 1/8, 1/6, 3/16, 1/5, 2/9, 1/4, 1/3, 3/8$ and $1/2$. Since p_1 is minimum, the structure at $x = 1/2$ is the CsCl type. If we neglect V_4 , the Fe_3Al type appears in the regime $V_2 > 5V_3$ with $V_2 > 0$ and the Si_2Mo type in the regime, $5V_3 > V_2 > 0$ and $4V_3 > -V_2 > 0$ which do not overlap with the Fe_3Al regime. Even when V_4 is considered, the two types share either no regime ($V_4 > 0$) or small overlap for $V_2 > 0$ ($V_4 < 0$). Further details will be published elsewhere.

As for the hcp lattice Kudo and Katsura [10] have carried out the analysis based on the method

of geometrical inequalities for the case of the ideal hcp with V_1 and V_2 only. The V_1 - V_2 plane is divided in the same way as in the corresponding fcc case. Moreover there is one-to-one correspondence between the ordered structures; for example, the MgCd type corresponds to the CuAuI and the Ni₃Sn type to the Cu₃Au.

6. General tendency in the fcc transition metal alloys.

— The Al₃Ti family structures are found in those binary alloys in which the majority component is a metal such as Al, Au, Ni, Pd and Pt and the minority component is a metal with less-than-half or half-filled d shell such as Ti, V, Cr and Mn. In the case of the Cu₃Au family the component metals are closer to each other in the periodic table. In order to understand this general tendency, we calculate the interaction between two transition element atoms embedded in a free electron sea, assuming the Anderson model for the virtual d state of each atom. The interaction arises from the indirect transfer of electrons between the two atoms via free electron states; the formalism is based on the pseudo-Greenian theory previously developed [11]. The calculation is obviously a simplification of the real situation. The general aspect of the result is, however, in agreement with that obtained by Parlebas [12] in his more detailed calculation of the pair energy in Cu. A similar calculation has been carried out by Malmström *et al.* [13] though it aims at the magnetic interaction in alloys. Omitting the details, we mention here briefly the results for nonmagnetic atoms in the free electron sea corresponding to Au. The calculated V_4 is positive for the less-than-half-filled d shell case and changes its

sign when we increase the number of electrons per atom, N_d to a value between 7 and 8. V_2 and V_3 are negative for small N_d and becomes positive in the more-than-half filled region. ξ calculated with these V 's is positive in the less-than-half region and negative in the more-than-half region. These results are consistent with the observed tendency according to the analysis given in previous sections. The above-mentioned behaviors of V_4 and ξ do not change very much even when atoms have magnetic moment of up to 2 μ_B . We omit the discussion of the high magnetic moment case where the situation is more complicated. When we study the conditions for the appearance of the Ni₄Mo type with the calculated V 's, we conclude that it is satisfied for N_d between 3.3 and 5.9 in the nonmagnetic case. Details of the calculation will be reported in near future.

Concluding remarks. — The pairwise interaction model is obviously a crude model. More-than-two atom interactions are generally expected from the calculation of the electronic contribution. Also the assumption of a finite interaction range cannot be justified easily. Concentration dependence of V_k 's is another factor to be considered. Nevertheless we believe that the analysis presented here will provide us with a useful guide in searching for ordered structures. For example we conclude that when the Al₃Ti and Pt₂Mo types are found as in the case of Pd-V, the complicate Mn₂Au₅ should appear as far as V_k 's depend smoothly on the concentration. Our calculation of V_k 's in transition metal alloys is still preliminary. The results so far obtained, however, seems to be encouraging.

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