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# Mixed polymeric micelles for rapamycin skin delivery

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**Abstract:** Facial angiofibromas (FA) are one of the most obvious cutaneous manifestation of tuberous sclerosis complex. Topical rapamycin for angiofibromas has been reported as a promising treatment. Several types of vehicles have been used hitherto, but polymeric micelles and especially those made of D- $\alpha$ -Tocopherol polyethylene glycol 1000 succinate (TPGS) seem to have shown a better skin bioavailability of rapamycin than the so far commonly used ointments.

To better understand the influence of polymeric micelles on the behavior of rapamycin, we explored it through mixed polymeric micelles combining TPGS and poloxamer, evaluating stability and skin bioavailability to define an optimized formulation to effectively treat FA. Our studies have shown that TPGS improves the physicochemical behavior of rapamycin, i.e., its solubility and stability due to a strong inclusion in micelles, while poloxamer P123 has a more significant influence on skin bioavailability. Accordingly, we formulated mixed-micelle hydrogels containing 0.1% rapamycin and the optimized formulation was found to be stable for up to 3 months at 2-8°C. Compared to hydroalcoholic gel formulations, the studied system has a better biodistribution on human skin and seems to be able to prolong drug release while limiting the side effects caused by ethanol application.

**Keywords:** Rapamycin; facial angiofibromas; mixed polymeric micelles; micelle-based hydrogel; stability; skin permeation.

## 1. Introduction

Tuberous Sclerosis Complex (TSC) is an autosomal dominant disorder, characterized by formation of hamartomas in various organs [1]. TSC results from a mutation in the TSC1 or TSC2 gene, leading to mTOR (mammalian target of rapamycin) overactivation and continued cell proliferation [2]. Antagonism of the mTOR pathway, such as rapamycin, offers new treatment options for patients with TSC. Among the associated clinical signs, facial

angiofibromas (FAs) are one of the most obvious cutaneous manifestations of TSC, which can be disfiguring hence cause substantial psychological distress with a major impact on quality of life [3,4]. Invasive procedural treatments such as surgery or laser involve pain, hypertrophic scars and are not entirely satisfactory due to the recurrence of skin lesions [4,5]. For this reason, medical treatment with topical rapamycin has so far been a particularly studied option. In the absence of a marketed pharmaceutical product for the topical route, various in-house formulations of rapamycin have been developed and clinically tested. They appear effective and safe for the management of FA [6–10]. However, the efficacy endpoints, duration of treatment, type of formulations and concentrations tested were heterogeneous, making it difficult to compare these studies [6]. A study has identified different formulations to compare their performance in terms of permeation [11]. The results showed the influence of the vehicle and the thermodynamic activity on the cutaneous bioavailability of rapamycin. Hydrogel vehicles, due to a high alcohol composition to dissolve slightly soluble rapamycin, produced a better release than the lipophilic semi-solid base. However, the disadvantage of ethanol is that it induces dryness and irritation of the skin [9,12,13].

Nanocarriers, such as liposomes, micelles and nanoparticles have been used to improve skin permeation of poorly permeable drug substances. They also improve other criteria such as higher stability, a larger surface area in contact with the skin, less toxicity and greater skin protection [14–17]. Encapsulated, the drug can penetrate the hair follicle and the skin, be released in a prolonged manner, interact better with the lipid transport of the skin, and have a better distribution in the stratum corneum for hydrophilic substances [14,18,19]. Due to their high solubility, high loading capacity and controllable release with a long duration of action, biodegradable polymeric micelles have gained considerable popularity [18]. Polymeric micelles are formed from copolymers, each consisting of hydrophilic and hydrophobic monomers, which self-assemble when the critical micelle concentration (CMC) is exceeded to form a specific core-corona structure. Their advantages lie in their very low CMC (about 1000 times lower than known values for low molecular weight surfactants), which allows them to be used in small quantities while ensuring good stability in aqueous media [20,21]. Using Tocopherol polyethylene glycol 1000 succinate (TPGS)-based micelles, Quartier et al. have developed a rapamycin micellar hydrogel for the treatment of facial angiofibromas. This system, stable for at least 3 months at 4°C, improved the cutaneous bioavailability of rapamycin in the stratum corneum and viable epidermis compared to controls (0.2 % rapamycin dispersed in Vaseline and paraffin liquid) [16]. However, as TPGS is also widely used as a cosurfactant for mixed micelles and exhibits synergistic effects with other polymers, such as poloxamer F127 or P123, we sought to assess whether such a combination could give rise to better characteristics in terms of stability and skin permeation. So, the aim of the present work was to study the relevance of rapamycin-loaded mixed micelles as an interesting approach for the cutaneous rapamycin delivery.

## 2. Materials and Methods

### 2.1. Polymeric micelles and hydrogel preparation

#### Preparation of rapamycin micelle solution

Rapamycin polymeric micelles were prepared by thin film hydration method. Rapamycin and copolymers were dissolved in ethanol (Cooper, Melun, France). Ethanol was subsequently evaporated at 40 °C by using a rotary evaporator (Buchi™ Rotavapor, R-114, Zurich, Switzerland) so to obtain a thin and dried residue. The dried residue was hydrated with demineralized water to obtain a mixture containing 0.1 % (w/v) of rapamycin (Inresa, Bartenheim, France). Addition of water spontaneously generated rapamycin-loaded

nanomicelles. After equilibration overnight at 2-8 °C, this mixture was filtered through a 0.22- $\mu\text{m}$ -polyvinylidene-fluoride (PVDF; Sigma-Aldrich, St Louis, USA) filter to remove untrapped drug and aggregates and other foreign particulates.

### Preparation of polymer micelle-based hydrogel containing rapamycin

To feature adequate rheological properties to facilitate skin application, rapamycin micelle solutions were jellified by incorporating thickening agent (i.e. Carbopol® 974P; Cooper, Melun, France) to achieve a final concentration of carbomer of 1 % w/v. Carbopol® was previously neutralized by drop-wise addition of sodium hydroxide (1N) (Merck, Darmstadt, Germany).

### 2.2. HPLC for rapamycin and seco rapamycin determination in micelles

HPLC for rapamycin and seco rapamycin determination was based on Dionex Ultimate 3000 coupled with a Photodiode Array Detector PDA-3000 (Thermo-Fisher-Scientific, France). Interchim 250 x 4.6 mm KR-C18 (5  $\mu\text{m}$ ) column was used and maintained at 40 °C during analysis. Elution was performed in a gradient, with the ratios of mobile phases A and B changing from 50:50 to 10:90 over 25 minutes. Mobile phase A consisted of 0.003% formic acid (Merck, Darmstadt, Germany) in purified water while mobile phase B of 0.003% formic acid in acetonitrile (Merck, Darmstadt, Germany). The flow rate was set at 1.0 mL min<sup>-1</sup> and the injection volume was 50  $\mu\text{L}$ . UV detection was set at 277 nm. The HPLC method was validated of rapamycin assay based on ICH guidelines [22].

### 2.3. Micelle solution: influence of the polymeric composition on different parameters monitored

#### 2.3.1. DoE to assess the influence of the type of polymer used

A two-factor full factorial design was employed to statically analyze the influence of the two polymers (i.e. poloxamer and TPGS) and to get optimized rapamycin polymeric micelle composition using Deseign-Expert® software (version 12, Stat-Ease Inc., Minneapolis, MN). Nine formulae were prepared in six replicates giving a total of 54 runs. Different weight ratios of TPGS were mixed with either poloxamer F127 (BASF, Geismar, USA) or P123 (Sigma-Aldrich, St Louis, USA). Thus, the independent variables were: poloxamer type (A) and weight ratio of TPGS to total copolymer in nanomicellar mixture (B). The composition of the investigated formulae is shown in Table 1. During the screening studies, polymers content was kept constant at 25 mg mL<sup>-1</sup>. The responses selected to achieve optimized micelle formulation were maximize entrapment efficiency (Y<sub>1</sub>), minimize micellar size (Y<sub>2</sub>), minimize polydispersity index (Y<sub>3</sub>), zeta potential (Y<sub>4</sub>), maximize thermodynamic stability (Y<sub>5</sub>) and maximize in vitro flux (Y<sub>6</sub>), as shown in Table 1. Analysis of variance (ANOVA) was carried out to estimate the significance of the model. Probability p- values (p<0.05) denoted significance.

Table 1: Formula, independent and dependent variables investigated in the full factorial design for rapamycin polymeric micelles preparation and the constraints applied for optimization.

Formulae (F <sub>n</sub> )	Factors (independent variables)	
	A : Poloxamer type (-1 : P123, 0 : No poloxamer, 1 : F127)	B : Weight ratio of TPGS to total copolymer (% w/w)
F <sub>1</sub>	-1	0

F <sub>2</sub>	-1	30
F <sub>3</sub>	-1	50
F <sub>4</sub>	-1	70
F <sub>5</sub>	0	100
F <sub>6</sub>	1	0
F <sub>7</sub>	1	30
F <sub>8</sub>	1	50
F <sub>9</sub>	1	70
<b>Responses (Y<sub>n</sub>, dependent variables)</b>		<b>Constraints</b>
Characterization	Y <sub>1</sub> : Entrapment efficiency (%)	Maximize
	Y <sub>2</sub> : Micellar size (nm)	Minimize
	Y <sub>3</sub> : Polydispersity index	Minimize
	Y <sub>4</sub> : Zeta potential (mV)	None
Rapamycin EE % (chemical stability)	Y <sub>5</sub> : 4 °C for 6 months	Maximize
	Y <sub>6</sub> : 40 °C for 7 days	Maximize
In vitro study	Y <sub>7</sub> : Percutaneous flux ( $\mu\text{g cm}^{-2} \text{h}^{-1}$ )	Maximize

### 2.3.2. DoE to assess the influence of the polymer amount (drug-to-polymer ratio) 133

Additional experiments were performed to investigate the impact of polymer-to-drug ratio on the physicochemical properties of the optimal formulation, which have been previously determined using the two-factor full factorial design. Thus, the total polymer concentration was tested at concentrations of 2.5, 5, 10 and 25 mg mL<sup>-1</sup>, while keeping the rapamycin concentration constant. Preparations were compared in terms of drug entrapment efficiency (EE %, see below), size, morphology, and in vitro flux. 134  
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### 2.3.3. Morphology, size, polydispersity index and zeta potential determination 140

Micelle morphologies were determined from Cryo-Transmission Electron Microscopy (cryo-TEM) images. A drop of micelle solution was deposited on Lacey carbon membrane grids. The excess of liquid on the grid was absorbed with a filter paper and the grid was quench-frozen quickly in liquid ethane to form a thin vitreous ice film. Once placed in a Gatan 626 cryo-holder cooled with liquid nitrogen, the samples were transferred in the microscope and observed at low temperature (-180 °C). Cryo-TEM images were recorded on ultrascan 1000, 2k x 2k CCD camera (Gatan, USA), using a LaB6 JEOL JEM2100 (JEOL, Japan) cryo microscope operating at 200 kV with a JEOL low dose system (Minimum Dose System, MDS) to protect the thin ice film from any irradiation before imaging and reduce the irradiation during the image capture. 141  
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The hydrodynamic diameter and size distribution (polydispersity index, PDI) of the RPNs solutions were measured using dynamic light scattering (DLS) method by a Zetasizer Nano ZS (Malvern Instruments, UK) at 25 ± 0.1 °C with angle of 173°. Zeta potential was measured by electrophoretic mobility method with the same apparatus. 151  
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### 2.3.4. Drug entrapment efficiency (EE%) and drug loading (DL%) determination 155

Entrapment efficiency of rapamycin in polymeric micelles was determined after separation of the unincorporated drug by filtration through 0.22  $\mu\text{m}$  PVDF filter membrane. Then, clear supernatant was diluted with methanol to ensure the complete destruction of the micelles and the release of loaded rapamycin. The amount of entrapped rapamycin was quantified by UV-HPLC. The EE% and DL% was then calculated according to the following equation:

$$\text{EE\%} = (\text{Weight of drug into micelles}) / (\text{Weight of the feeding drug}) \times 100$$

$$\text{DL\%} = (\text{Weight of drug into micelles}) / (\text{Weight of the feeding drug and polymers}) \times 100$$

### 2.3.5. Study of drug-polymer interactions/inclusion by attenuated total reflectance-fourrier transform infrared (ATR-FTIR) and nuclear magnetic resonance (NMR)

Analyses were performed on free rapamycin merely mixed with polymer and rapamycin-loaded micelle obtained by thin film hydration method (see chapter 2.1). In both cases, samples were prepared/dispersed with deuterated oxide solvent ( $\text{D}_2\text{O}$ ) to limit water-OH stretch vibration.

#### FTIR

The ATR-FTIR was recorded against the background by using a universal ATR sampling assembly (Spectrum-Two; Perkin-Elmer, Waltham, MA, USA). For each sample, 25 scans were obtained at a resolution of  $4 \text{ cm}^{-1}$  in the range of  $4000$  to  $400 \text{ cm}^{-1}$  at room temperature.

#### NMR

NMR experiments were recorded at  $20 \text{ }^\circ\text{C}$  on an Avance Bruker spectrometer operating at  $600.13 \text{ MHz}$ , equipped with a cryoprobe. One-dimensional spectra were obtained with 2,048 points and a spectral width of  $7,002.8 \text{ Hz}$ . The transmitter frequency was set to the water signal and the solvent resonance was suppressed by using excitation sculpting with pulsed-field gradients [23]. All data were processed using Bruker Topspin (v 1.5pl7) software (Biopspin; Bruker). Temperature was controlled externally using a special temperature control system (BCU 0.5; Bruker). Data were zero filled and  $\pi/6$  phase-shifted sine bell window function was applied prior to Fourier transformation.

### 2.3.7. In vitro flux determination

Permeation of the drug was studied through a Strat-M<sup>®</sup> synthetic membrane, using the standardized methodology of Franz diffusion cells. These had an effective diffusion area of  $1.767 \text{ cm}^2$  and a receptor volume of  $7.0 \text{ mL}$  (Teledyne Hanson<sup>®</sup> research, Chatsworth, CA, USA). The receptor compartment was set at  $32 \text{ }^\circ\text{C}$ , using a circulating water bath, to mimic the skin temperature at physiological level and stirred at a speed of  $400 \text{ rpm}$ . The receptor compartment was filled with  $30:70$  (*v:v*) filtered ethanol:phosphate buffer pH 7.4 solution (PBS, Dako<sup>®</sup>, Carpinteria, CA, USA). The diffusion cells were allowed to equilibrate at  $32 \text{ }^\circ\text{C}$  for  $30 \text{ min}$ . Then, at time zero,  $0.3 \text{ g}$  of preparation was added to the donor compartment of each Franz diffusion cell. At pre-determined time intervals,  $500 \text{ }\mu\text{L}$  of receptor fluid was collected and a same volume of fresh preheated medium was reintroduced into the receiver to retain the sink conditions in the system. Samples were analyzed using HPLC method to determine the amount of rapamycin diffused. The steady state flux at  $24 \text{ h}$ ,  $J_{ss}$  ( $\mu\text{g cm}^{-2} \text{ h}^{-1}$ ), was determined by measuring the slope from the plot of the cumulative amount permeated versus time [11].

### 2.4.3. Ex vivo permeation study on micelle hydrogel

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The optimized formulation was applied on dermatomed human skin obtained from human volunteers followed the ethical principles and was previously approved by French Ethics Committee (N°AC 2014-2233 – DC 2014-2227). Dermatomed human skin (thickness 500  $\mu\text{m}$ ) from abdominoplasty was purchased from Proviskin (Besancon, France). All the experimental conditions were maintained same as in vitro permeation study except for the receiver chamber who was filled with filtered PBS solution pH 7.4 without ethanol. At the end of permeation experiment, the diffusion cells were dismantled, and each sample was carefully washed to ensure the removal of the residual formulation from the skin surface. Skin samples were dried with a cotton swab. To verify the effectiveness of the wash procedure, the rapamycin remaining on the skin was quantified by HPLC and was found to be below to the limit of quantification of the analytical method. The epidermis was subsequently separated from the dermis and each compartment were cut into small pieces and soaked in methanol for 12 hours with continuous stirring at room temperature. The extraction procedure was validated in our previously study (data not shown) [11]. The extraction skin samples were then centrifuged at 10,000 rpm for 15 min and analyzed by HPLC.

### 2.5. Accelerated and long-term stability studies of micelle solution and hydrogel

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Stability of the micelle solutions was evaluated after storage at 2-8°C, up to 6 months, or at 40°C/75% residual humidity up to 7 days. As for the hydrogel, stability was monitored up to 3 months at 2-8°C. Each sample was previously filtered through a 0.22- $\mu\text{m}$  PVDF filter membrane and the amount of entrapped rapamycin (EE %) was determined. The average value was expressed as a percentage relative to the initial value before storage in the chambers.

A micelle-based hydrogel was prepared in triplicate (n=3) from the optimal micelle formulation and characterized in terms of appearance (color, transparency), pH, rapamycin content, rheological properties, and stability. Hydrogels were packaged in aluminium tubes (Cooper, France) and stored as such for the stability studies. For each time, samples were withdrawn from the tubes and appearance (by visual inspection and optical microscopy), rapamycin EE %, rheology and pH were determined. pH was measured using a calibrated pH-meter Consort-P901. Optical microscopy consists of Olympus<sup>TM</sup> coupled to a Sony camera XCD-U100CR. Rheological experiments were conducted at 25 °C using rheometer RM-200 CP-4000 Plus (Lamy Rheology Instruments, Champagne-au-Mont-d'Or, France) equipped with cone-plate geometry CP-2020 (with diameter 20 mm, cone angle 2°, truncation 50  $\mu\text{m}$ ). The speed setting was adjusted over a range of 10 to 150 s-1 for 180 s.

## 3. Results

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### 3.1. Micelle optimization and characterization

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#### 3.1.1. Spectral highlight of rapamycin-loading micelles

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The inclusion of rapamycin in micelles has been demonstrated by ATR-FTIR and NMR spectroscopy.

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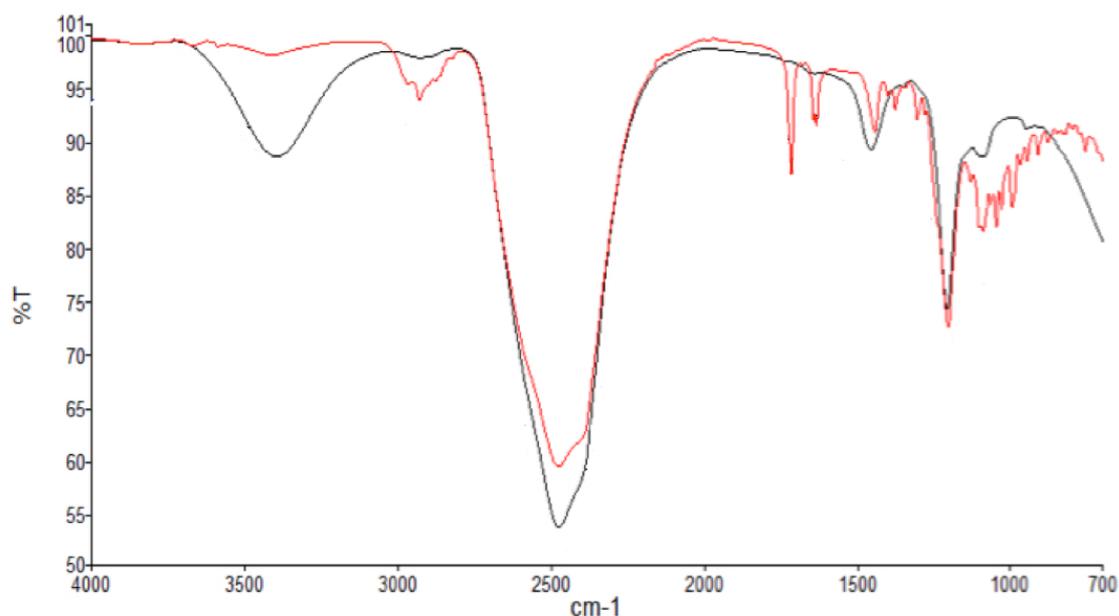
The ATR-FTIR spectrum of a non-loaded-rapamycin mixture prepared by extemporaneously dispersing/solubilizing rapamycin and TPGS/poloxamer P123 (1/1 *w/w*) in D<sub>2</sub>O (red line) shows a broad but weakly intense peak stretching from 3200 to 3550  $\text{cm}^{-1}$ , corresponding to OH vibrations with intermolecularly engaged H (Figure 1). In the case of

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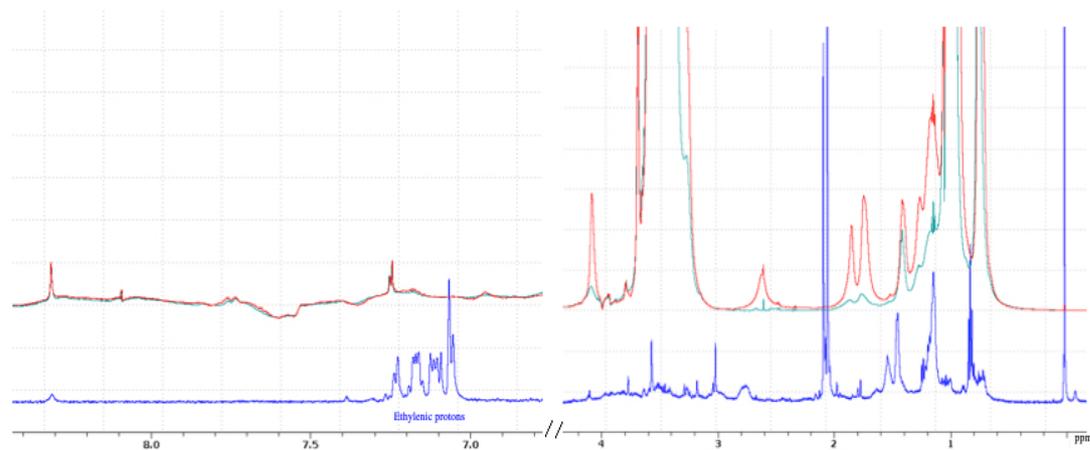
rapamycin-loaded micelles, the same peak became much more intense, which would imply a strengthening of this phenomenon, probably due to stronger polar interactions between rapamycin and the hydrophile part of the polymers. Furthermore, the near disappearance of a cluster of peaks located between 2875 and 2932  $\text{cm}^{-1}$  observed in the case of rapamycin-loaded micelles, most probably related to the C-CH<sub>3</sub>, O-CH<sub>3</sub> and aliphatic C-H stretching vibrations and characteristic of certain functions of the active substance, seems to clearly indicate that the latter is deeply included in the inner part of the micelles, becoming non-visible to the FTIR detection. Likewise, the conjugated C=O and conjugated C=C stretching vibrations (1718  $\text{cm}^{-1}$  and 1635  $\text{cm}^{-1}$ , respectively) are no longer present for the rapamycin-loaded micelles. In a nutshell, examination of the spectrum recorded for the rapamycin-loaded micelles gives a completely different configuration, showing the disappearance of the peaks pertaining to rapamycin, implying its deep inclusion within micelles.

The same phenomenon was also observed in NMR (Figure 2). Chemical shifts related to rapamycin are no longer detected in the rapamycin-loaded micelles. More precisely, the characteristic chemical shifts of the hydrophobic hydrogens (C-H) have disappeared, demonstrating the interaction of rapamycin with the hydrophobic parts of the micelles. Since the core of the micelles dispersed in aqueous media is hydrophobic, it is easy to conclude that rapamycin is included in the inner part of the micelles featuring hydrophobic functions. And this helps to explain the poor EE% observed with micelles consisting only or mostly of F127 (see below).

However, if we succeed in showing the existence of an interaction between rapamycin and the hydrophobic part of the polymers, these data did not allow to know whether the micelles present are hybrid or mixed micelles, or if there is cohabitation between TPGS-micelles and P123-micelles. But mechanistically speaking, it is likely that due to the proximity of their HLBs, the joint use of P123 and TPGS would probably allow both systems to be present. On the other hand, when TPGS and F127 are mixed, the two polymers should rather, but not exclusively, afford two separate populations due to the significant differences in HLBs. However, these assumptions are thereafter investigated by studies of micelle size and polydispersity index.



**Figure 1 :** ATR-FTIR spectra of rapamycin-unloaded micelle (red line) and rapamycin-loaded micelle (black line) in deuterated water. 275  
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**Figure 2 :** Nuclear magnetic resonance (NMR) spectra of rapamycin (blue line), drug-free micelles (red line) and rapamycin-loaded micelles (green line) in deuterated water. 277  
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### 3.1.2. Influence of polymer composition 281

As discussed in detail in this chapter, the results of the DoE confirmed the interest of working with mixed micelles provided the right type of poloxamer is chosen. 282  
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#### On the EE% 284

We studied the impact of the ratio of the two copolymers and the type of poloxamer on micelle characteristics, such as size, rapamycin entrapment efficiency (%), zeta potential, and PDI, as well as on the thermodynamic stability of the mixture and the in vitro bioavailability. The corresponding results are gathered in Table 2. The influence of the parameters on the monitored criteria was modelled using quadratic least squares models, and the model was only considered valid if the difference between the adjusted  $R^2$  and the predicted  $R^2$  does not exceed 0.2 [24], which is the case for almost all the responses studied apart from the zeta potential (Table 2). A significant impact on most of the criteria studied implies the possibility of adjusting the polymer composition to obtain an optimum desired by the experimenter. 285  
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**Table 2:** Studied parameter responses and modelling data based on a quadratic model. 295

Formulae	1 : EE (%) <sup>a</sup>	2 : Size (nm) <sup>a</sup>	3 : PDI <sup>a</sup>	4 : ZP (mV) <sup>a</sup>	5: Stability <sup>a</sup>		6 : In vitro flux $\mu\text{g cm}^{-2} \text{h}^{-1}$ <sup>a</sup>
					EE (%) 4°C – 6 months	EE (%) 40°C – 7 days	
F1	83.2 ± 2.1	17.7 ± 0.5	0.030 ± 0.013	- 1.2 ± 0.9	78.7 ± 1.5	53.1 ± 0.9	2.07 ± 0.13
F2	89.3 ± 2.2	16.0 ± 0.4	0.021 ± 0.005	- 1.4 ± 2.0	75.1 ± 2.4	53.5 ± 0.9	1.85 ± 0.04

F3	89.1 ± 2.7	12.6 ± 0.3	0.026 ± 0.013	- 1.2 ± 0.8	81.0 ± 2.5	65.4 ± 1.6	1.83 ± 0.13
F4	87.6 ± 2.8	10.9 ± 0.2	0.034 ± 0.019	- 2.2 ± 1.0	82.5 ± 2.5	73.1 ± 1.2	1.65 ± 0.29
F5	88.8 ± 2.3	10.7 ± 0.1	0.020 ± 0.011	- 2.5 ± 1.5	84.3 ± 2.9	77.1 ± 2.7	0.85 ± 0.11
F6	82.1 ± 2.5	29.7 ± 2.6	0.158 ± 0.004	- 1.5 ± 1.0	3.1 ± 1.6	0.5 ± 0.3	0.85 ± 0.04
F7	88.7 ± 2.4	14.9 ± 0.9	0.126 ± 0.015	- 0.4 ± 0.5	28.0 ± 0.9	11.0 ± 0.3	0.96 ± 0.11
F8	88.6 ± 2.7	12.5 ± 0.6	0.083 ± 0.029	- 0.8 ± 0.4	75.8 ± 2.4	50.2 ± 2.6	0.89 ± 0.07
F9	88.2 ± 2.4	11.7 ± 0.3	0.049 ± 0.009	- 0.7 ± 0.6	78.3 ± 3.2	65.8 ± 2.3	0.86 ± 0.14
<b>Fit statistics</b>							
Adjusted R <sup>2</sup>	0.1643	0.8974	0.9150	0.0731	0.9134	0.9567	0.9028
Predicted R <sup>2</sup>	0.0195	0.8660	0.9026	-0.3066	0.9000	0.9501	0.8685
Adequate precision	5.0306	20.2157	25.5917	0.0910	2.7519	2.1166	17.9242

<sup>a</sup> All measurements are represented as mean ± standard deviation (n=6). 296

EE : entrapment efficiency, PDI : polydispersity index, ZP : zeta potential. 297

One of the criteria that has been extensively evaluated is EE%, potentially the source of good stability and efficacy of rapamycin. Based upon the data presented in Table 2, EE% was in all cases above 80 %. These outcomes are like those obtained by Quartier et al. using TPGS-only micelles [16]. Although TPGS was shown to produce a statistically better EE% than either F127 or P123 (ANOVA, p<0.05), the presence of one of the poloxamers tested (F127 or P123) alone appeared to almost match the performance of TPGS (Figure 3).

However, when the EE% is monitored after a certain storage time of the tested mixtures at 4°C (6 months), it is found to be closely dependent on the polymer composition of the micelles (Tables 1 and 2; Figure 4). The stability of EE%, which in a way reflects the affinity of rapamycin for the polymers, is indeed overall ensured by TPGS and/or P123 (Tables 1 and 2; Figure 4). It seems then that the partitioning of rapamycin between the micelles and the supernatant is promoted with the decrease of the storage temperature. The corollary of this is that accelerated temperature conditions (40°C) will be unfavorable to EE% and that heating seems to be a factor that accentuates certain phenomena not perceived at lower temperatures. Indeed, at 40°C, the retention of rapamycin decreases more strongly in the presence of P123 than it does in the presence of TPGS, all this excluding F127 (7 days at 40°C).

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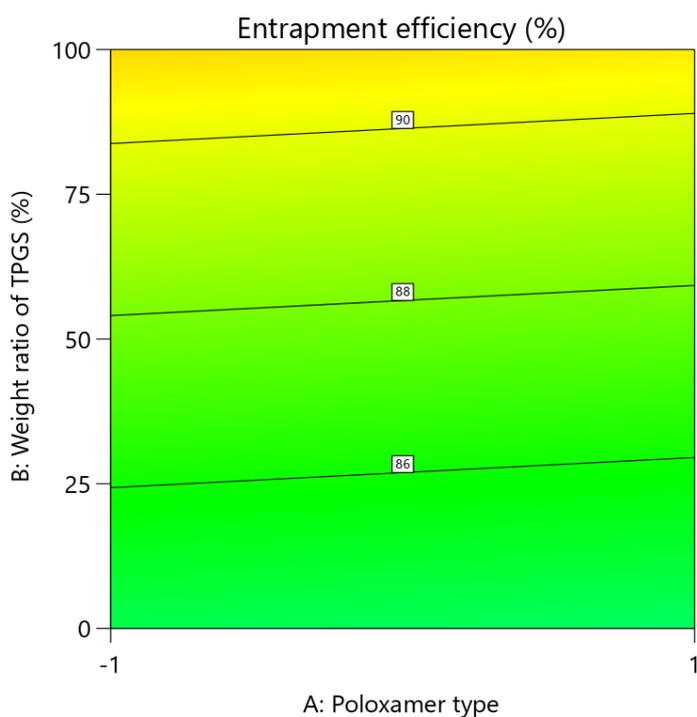
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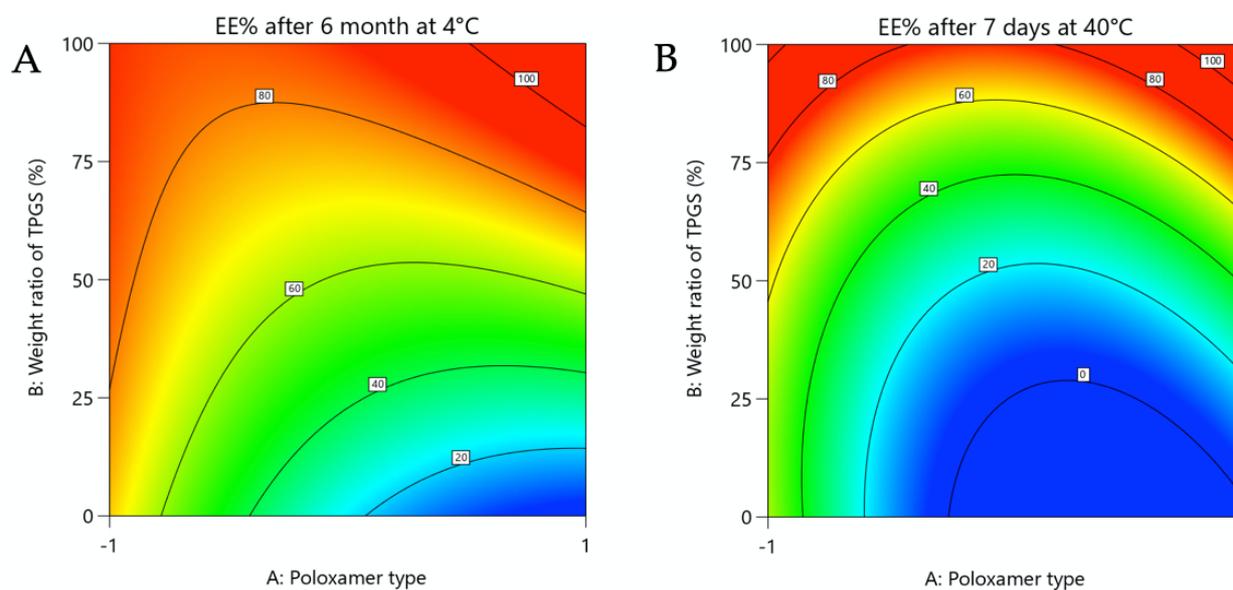
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**Figure 3 :** Contour plot showing relative effect of poloxamer type and weight ratio of TPGS on the entrapment efficiency.

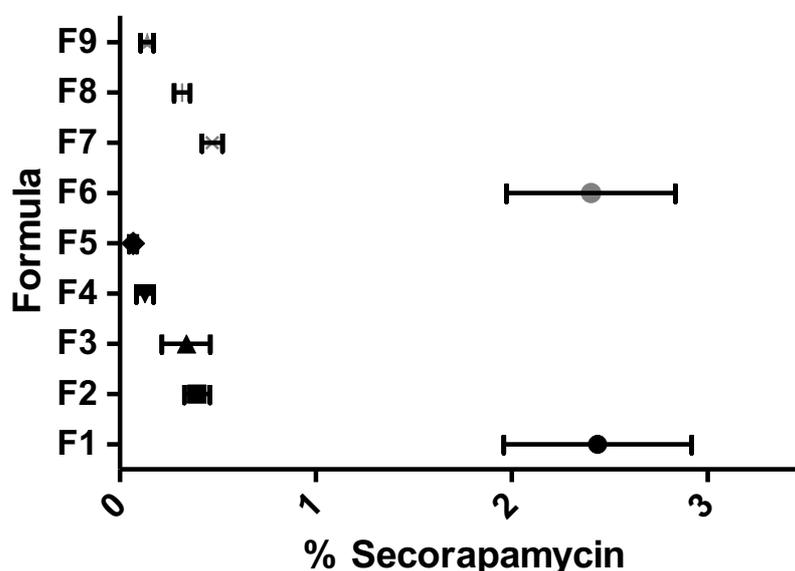


**Figure 4 :** Contour plot showing relative effect of poloxamer type and weight ratio of TPGS on the rapamycin EE % after 6 months at 4 °C (A) and 7 days at 40 °C (B).

When the micelles consist of F127, if the relative content of TPGS does not exceed 50%, the release of the active substance into the supernatant is considerable and may correspond to a loss in the micelles of most of the active substance (Tables 1 and 2). This phenomenon can be seen over time even at 4°C, which eventually makes F127 not a good candidate. Poloxamers P123 and F127 are linear triblock copolymers of poly(ethylene oxide) (PEO) and poly(propylene oxide) (PPO), often denoted PEO-PPO-PEO. F127 is PEO-rich while P123 is PPO-rich, giving them HLB values of 22 and 8, respectively [25]. It is then likely

that the high hydrophilic proportion of F127 caused a progressive hydration of the micelles incompatible with the hydrophobic nature of rapamycin (log P 4.3), which led to its massive release over time. But, as seen above, the EE% stability is in favor of TPGS compared to P123 at elevated temperature, while TPGS has a higher HLB value (HLB 18 versus HLB 8). This is therefore a balance that needs to be struck to promote rapamycin entrapment and it seems that TPGS is well suited for this purpose [16,26,27].

Any decrease in EE% appears to be inversely related to the appearance of seco rapamycin, the major degradation product of rapamycin, detected in the supernatant (Figure 5). This is not surprising since polymeric micelles, those based on TPGS [16], have been shown to effectively protect rapamycin from chemical degradation. It is then likely that a release of rapamycin outside the micelles seems to expose it to conditions favoring its degradation to seco rapamycin.



**Figure 5 :** Percentage of seco rapamycin, the main degradation product of rapamycin, formed for each of the formulations studied.

#### On the micelles size and polydispersity index

Particle size was investigated as it is generally considered that this parameter contributes significantly to the drug skin penetration. It has been established that the smaller the particle size, the better the passage of active substances to the deeper layers of the skin [28,29]. Besides, the solubility of an active substance within its vehicle can be a contributing factor to this and solubility is often inversely proportional to the size of the micelles, owing to the increase of the surface area to volume ratio [29].

The average hydrodynamic diameter of the polymeric micelles we studied ranged from  $10.7 \pm 0.1$  nm to  $29.7 \pm 2.6$  nm, which agrees with the results of other studies [16,30–32]. But there seems to be a link between the hydrophobic part of the polymer studied and the size of the micelles [33]. Indeed, the micelles stemming from P123 (F1:  $17.7 \pm 0.5$  nm) showed a statistically lower hydrodynamic diameter than those made with F127 (F6:  $29.7 \pm 2.6$  nm) (Table 2; Figure 6). And in both cases, as TPGS mass ratios increase, micelle size decreases, probably due to enhanced hydrophobic interactions resulting in more compact

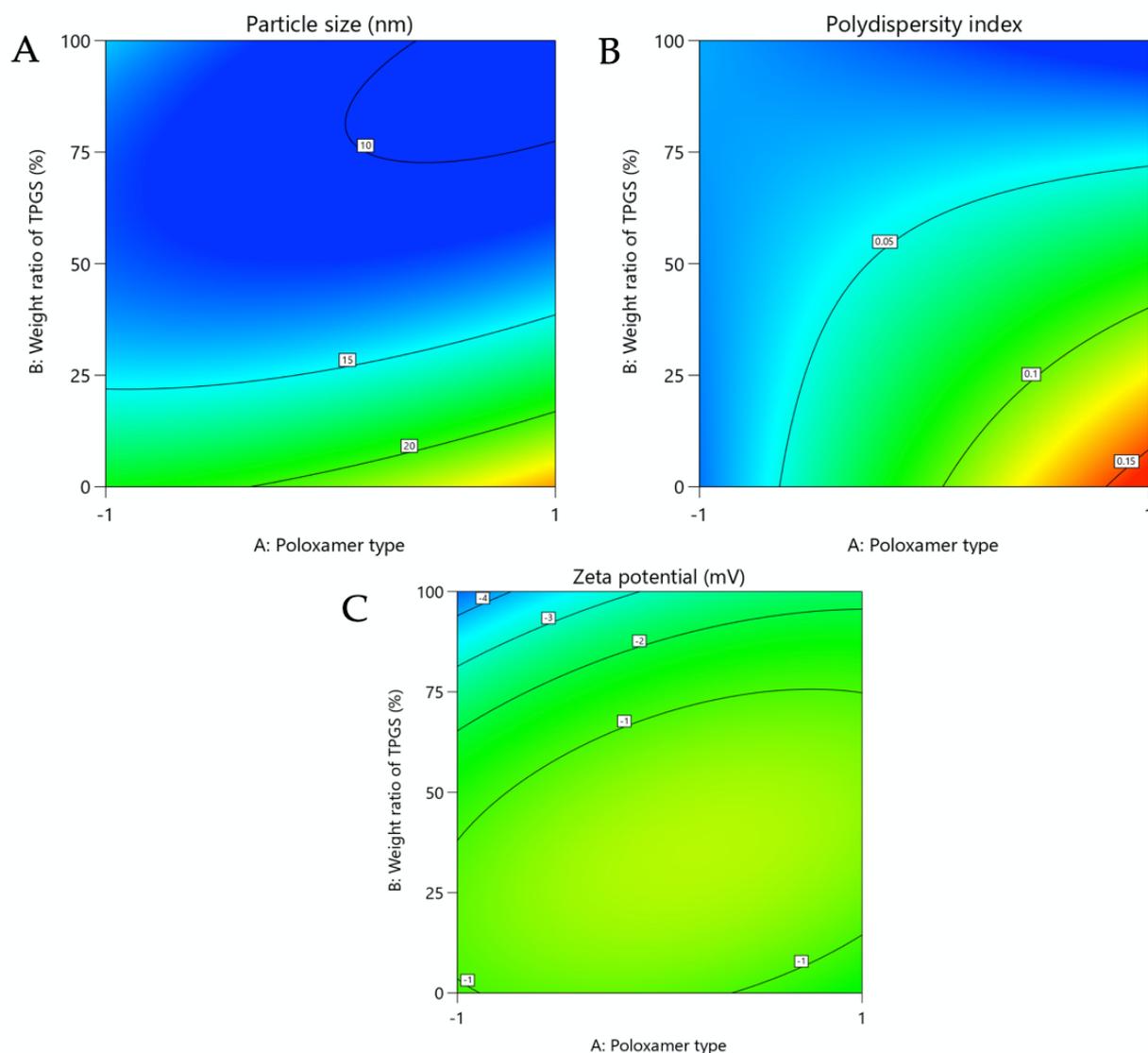
structures, which may explain the increase in EE% values in both cases, as seen previously (Table 2). 358  
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If we look at the size variability of the micelles studied by determining their PDI, it appears that across the polymeric compositions described in Table 1, the PDI is narrow ranging from  $0.020 \pm 0.011$  to  $0.158 \pm 0.004$  (Table 2). Such a distribution seems to be the consequence of a co-micellisation between TPGS and poloxamer [34]. But contrary to the case of P123, when the TPGS mass ratio is low (F6-25 and F7-25), the PDI of the F127-based systems is significantly higher (Table 2), thus suggesting the cohabitation of several populations, which corroborates and reinforces the hypotheses put forward previously. 360  
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Therefore, at this stage of the study, if the concept of TPGS-poloxamer co-micellisation is to be generalized towards rapamycin or a hydrophobic molecule, a poloxamer with a low HLB should be chosen to better interact with TPGS and allow the formation of small micelle size with a narrow PDI, as these characteristics seem to correlate with the stability of the EE%. 367  
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#### On the zeta potential 372

In addition to the above criteria, the evaluation of the zeta potential allowed us to estimate the risk of micelles aggregation. Regardless of the polymeric composition studied, the zeta potential ranges from  $-2.5 \pm 1.5$  to  $-0.4 \pm 0.5$  mV, which is not different from what has been published so far and where it is shown that the risks of aggregation are low (figure 6) [30,35,36]. In addition, it is likely that the absence of strong repulsive charges between the micelles and negatively charged cell membranes may induce diffusion through the skin via paracellular routes [37]. 373  
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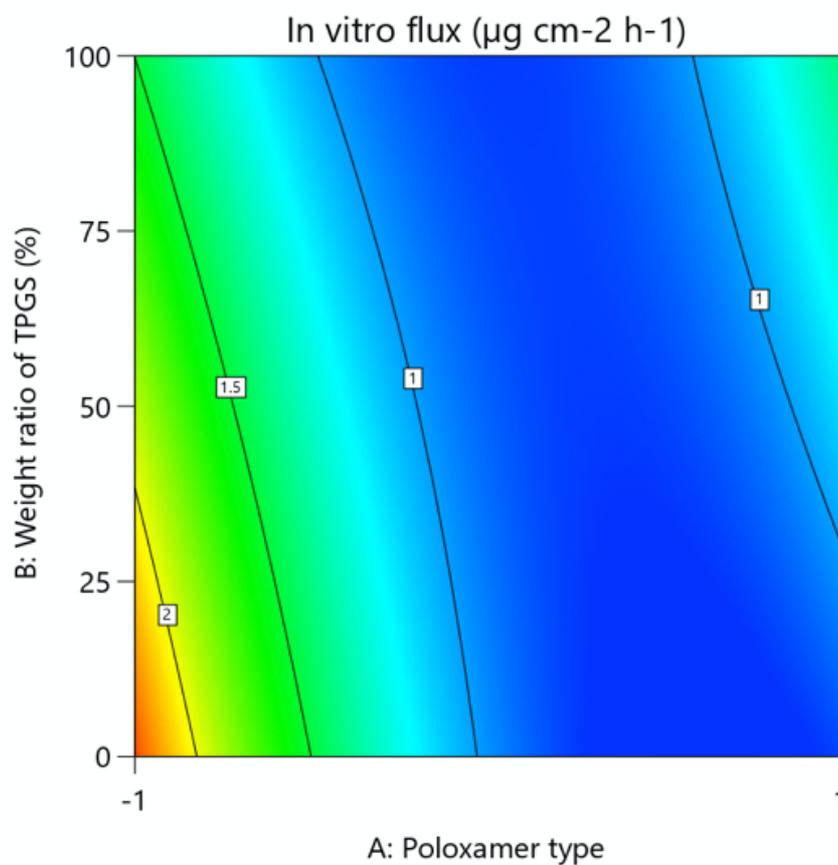
**Figure 6 :** Contour plot showing relative effect of poloxamer type and weight ratio of TPGS on the size (A), the polydispersity index (B) and the zeta potential (C).

#### On the rapamycin in vitro permeation using Franz diffusion cell

The objective of these studies was to identify the best performing polymer composition for in vitro permeation. In this case, in contrast to stability performance, it seemed that poloxamer played a more interesting role than TPGS (Figure 7). But this only concerns P123. Indeed, as shown in Table 2, the highest flux ( $2.07 \pm 0.13 \mu\text{g cm}^{-2} \text{h}^{-1}$ ), leading to highest cumulative amount at 24h, was obtained with the P123-only micelles, which turns out to be about twice the values obtained with TPGS alone and F127 alone.

Although the combination with TPGS decreased the flux by about 10% for any TPGS mass ratio not exceeding 50%, the concomitant use of TPGS remains in the course as the latter favors the inclusion of rapamycin within the micelles for better stability.

This confirms the interest of working with mixed micelles provided the right type of poloxamer is chosen.



**Figure 7 :** Contour plot showing relative effect of poloxamer type and weight ratio of TPGS on rapamycin in vitro flux.

### 3.1.3. Influence of polymers concentration

The previous studies were performed with  $25 \text{ mg mL}^{-1}$  of polymers in mixtures containing different types of micelles. In this case, we selected the 50/50 TPGS/P123 (F3) based mixture offering the best compromise in terms of performance to study more precisely how the system may be influenced by polymers concentration.

Overall, it is interesting to note that the EE% is comparable to the values presented in Table 2 and no trend was detected as a function of polymer concentration (Table 3). This means that the lowest polymer concentration tested ( $2.5 \text{ mg mL}^{-1}$ ) is higher than the critical micellar concentration of one or both polymers used. Indeed, microscopic analysis by cryo-TEM shows spherical micelles formed in this concentration condition in the presence of rapamycin (Figure 8).

However, at the concentration of  $2.5 \text{ mg mL}^{-1}$ , the average micelle size increased sixfold compared to the other concentrations tested, with an increased PDI, an indicator of greater system disorder (Table 3). Even if this phenomenon was not immediately correlated with a drop in EE%, as shown previously, it is highly likely that, despite the absence of tests demonstrating it, this drop would be visible over time and/or under accelerated conditions.

If we were able to determine the minimum concentration allowing to obtain a stable micellar system based on the size of the micelles and the PDI, it was not possible through

these two criteria and the EE%, to identify the concentration from which, the system does no longer meet the desired performance.

That is why, we also monitored the diffusion of rapamycin through Strat-M® membrane. Figure 9 describes the evolution of the in vitro steady-state flux as a function of the polymer concentration. In the concentration range 2.5 - 10 mg mL<sup>-1</sup>, the steady-state flux evolved in an almost linear way. This means that the polymer concentration promotes transmembrane passage of rapamycin [22,41], but only up to a certain value, since 25 mg mL<sup>-1</sup> produced the same result as for 10 mg mL<sup>-1</sup> (Figure 9). This could mean that above a certain concentration of polymer, i.e. micelles, the receiving medium is no longer able to extract more rapamycin likely due to a greater retention capacity exerted by a higher number of micelles.

However, although the optimal polymer concentration is around 10 mg mL<sup>-1</sup>, we preferred to continue working at 25 mg mL<sup>-1</sup> to give maximum stability to the micellar system to cope with a change of environment due to the formulation of a hydrogel containing 0.1 % of rapamycin.

**Table 3** : Effect of polymer-to-drug ratio on micelle properties.

Formula	Polymers (TPGS + P123 ; TPGS/P123 50/50) concentration (mg mL <sup>-1</sup> )	EE (%) <sup>a</sup>	DL (%) <sup>a</sup>	Size (nm) <sup>a</sup>	DI <sup>a</sup>
F3 – 25	25	89.1 ± 2.7	3.4 ± 0.1	12.6 ± 0.3	0.026 ± 0.013
F3 – 10	10	89.1 ± 1.1	8.1 ± 0.1	13.9 ± 0.2	0.016 ± 0.090
F3 – 5	5	88.1 ± 1.9	14.7 ± 0.3	14.5 ± 0.2	0.015 ± 0.008
F3 – 2.5	2.5	88.4 ± 2.2	25.3 ± 0.6	84.7 ± 4.3	0.156 ± 0.010

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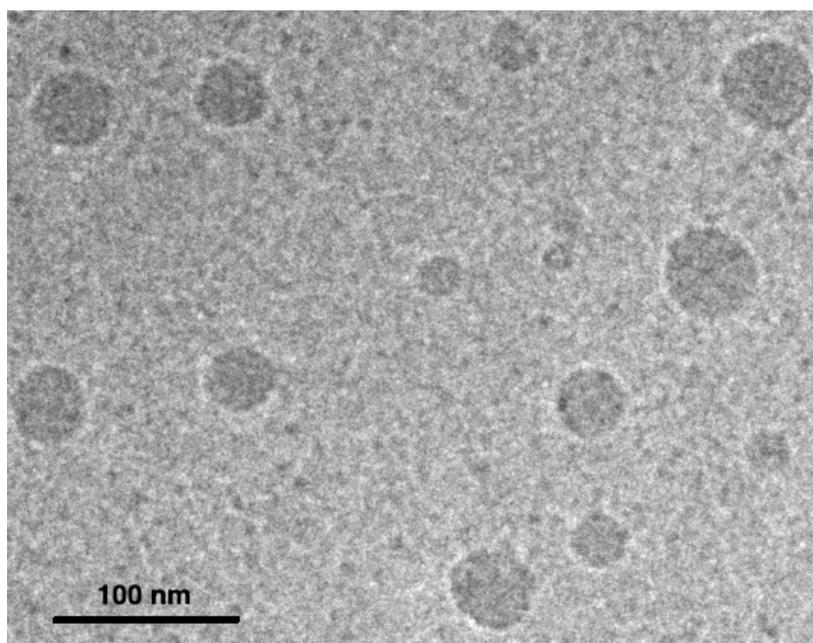


Figure 8 : Cryo-TEM micrograph of micelles in the presence of rapamycin (formulation F3 – 2.5).

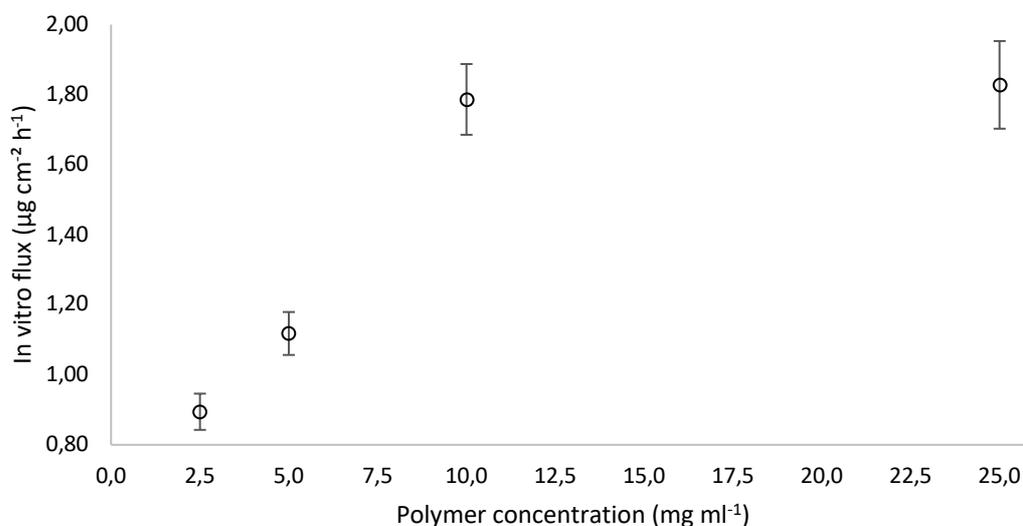


Figure 9 : Impact of steady-state flux as a function of polymer concentration through Strat-M<sup>®</sup> membrane. Average values were determined from 6 separate trials.

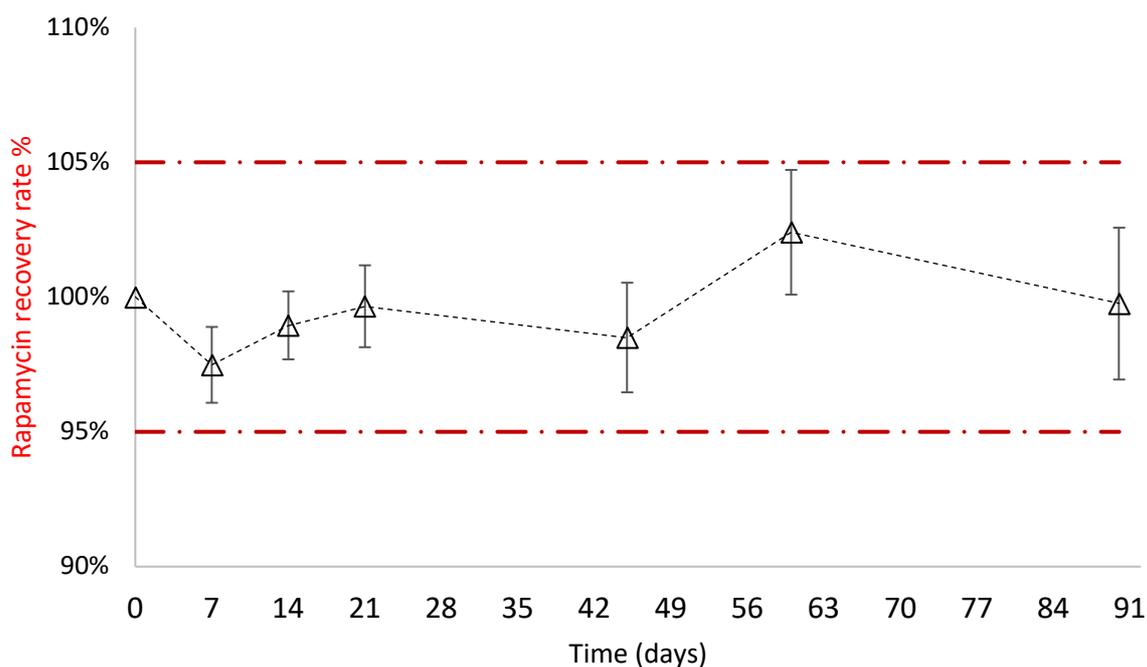
### 3.2. Characterization of the selected micellar hydrogel

#### 3.2.1. Physicochemical stability

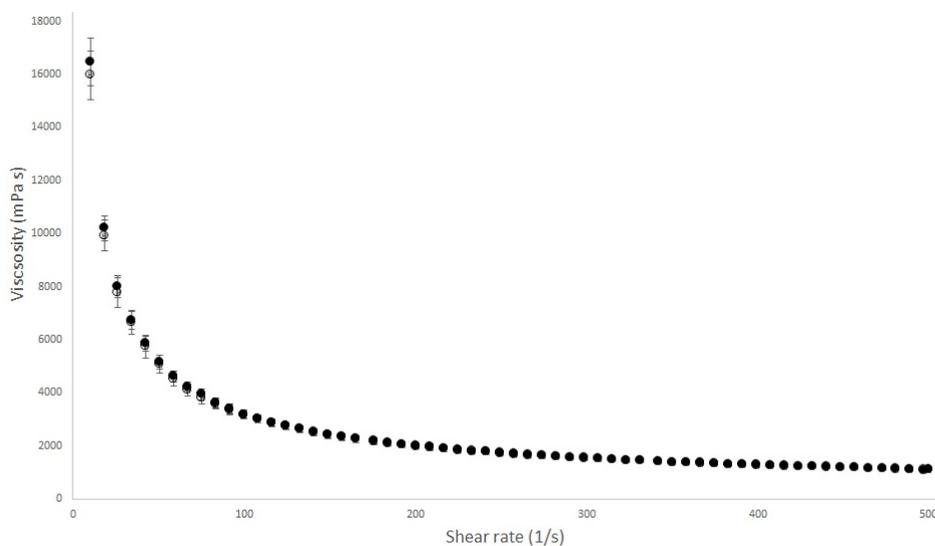
A hydrogel formula containing 0.1% rapamycin was prepared based on TPGS-P123 mixed polymeric micelles. Its objective is to allow the administration of rapamycin by the cutaneous route. The gel features a smooth and homogeneous texture containing entirely dissolved rapamycin.

For at least 3 months at 4°C in the dark, it was monitored to verify its stability in terms of assay, appearance to detect any precipitation, degradation product and rheological behavior. Up to 3 months, as shown in Figure 10, no significant decrease in rapamycin was noticed. Seco rapamycin was detected, but beneath 1%. No change in the rheological behavior was observed either (Figure 11).

This result is promising to consider the possibility of hospital preparation to treat patients with angiofibromas.



**Figure 10 :** Rapamycin recovery rate % monitoring, calculated with respect to the initial-time value (n=3).



**Figure 11** : Rheological measurement over time of the rapamycin micellar hydrogel 0.1% (formulation F3) at day 0 (empty round) *versus* day 90 (full round).

### 3.2.2. Ex vivo study

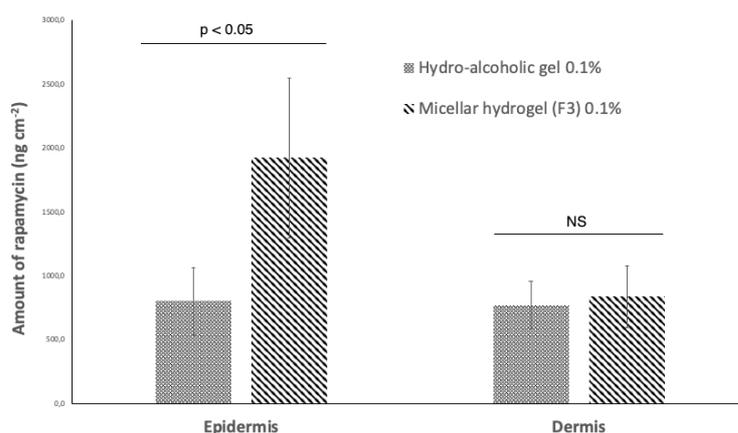
To assess the permeation of rapamycin micellar hydrogel, an ex vivo study on human skin was performed.

We monitored the cutaneous biodistribution of rapamycin in the different layers of human skin and compared the results to those of a hydroalcoholic gel, with the understanding that hydroalcoholic gels are currently the most widely used vehicle for administering rapamycin.

In addition, we will also draw parallels with some Quartier et al [16] data to determine whether the combination of the TPGS with the P123 merits further investigation.

24 hours after deposition on human skin, the cumulative presence of rapamycin in the epidermis is about 2.5 times higher than that measured for the hydroalcoholic gel (about 1900 versus 700 ng cm<sup>-2</sup>) (figure 12). In the dermis though, the cumulative presence of active substance is comparable for the two types of gels tested (about 700-800 ng cm<sup>-2</sup>). This implies that after 24 hours, the total cumulative amount of rapamycin in the epidermis and dermis combined is greater with the use of our polymer-based gel. Probably, the excess part present in the epidermis can be therefore considered as a reservoir of active substance which will migrate into the deeper layer as the latter is exhausted of rapamycin.

While remaining very cautious and comparing only what is comparable with published data [16], it appears that the concomitant use of P123 in equal parts with TPGS led to higher cumulative depositions than those presented with TPGS alone. It is therefore likely that the addition of P123 would have improved the thermodynamic activity of the polymeric micelle-based carrier. However, we will later seek to complement and strengthen these initial assessments by testing a wider range of rapamycin concentrations and different TPGS/P123 ratios.



**Figure 12** : Cumulative amount of rapamycin per unit area (ng cm<sup>-2</sup>) over 24h from micellar or hydro-alcoholic gel through epidermis and dermis of human skin. Data are presented as the mean  $\pm$  SD of 6 assays. NS: non-significant.

## 4. Conclusion

Topical rapamycin has been shown to be effective in children. Adults, on the other hand, respond much less well to treatment due to a skin barrier that is a greater hurdle to rapamycin bioavailability. Therefore, the search for vehicles that can help improve the passage of the active substance is still ongoing.

Recent evidence for rapamycin describes the use of TPGS-based polymeric micelles dispersed in a gel with very promising results compared to, for example, ointment-type vehicles. We have worked in this direction by evaluating the interest of mixed micelles based on TGPS in combination with other poloxamer-type polymers.

We then showed that the choice of poloxamer is important, preferably a poloxamer with a low HLB, i.e. with a high hydrophobic fraction, such as P123.

We conclude that while the poloxamer P123 does not further improve the stability of the system, it at least seems to play a greater role in the thermodynamic activity of the vehicle, improving the bioavailability of rapamycin.

**Supplementary Materials:** N.A.

**Author Contributions:** Conceptualization, G.L.G., B.D. I.R. and M.P.; Formal analysis, G.L.G., B.D., P.C., S.B., JM.G. and M.P.; Investigation, G.L.G.; Methodology, G.L.G., B.D. I.R. and M.P.; Software, G.L.G.; Supervision, B.D., K.A. and M.P.; Validation, G.L.G., B.D., K.A. and M.P.; Visualization: I.R., P.C., S.B., JM.G.; Writing—original draft: G.L.G., B.D.; Writing—review and editing: G.L.G., B.D., P.C., S.B., P-H.S., K.A. and M.P. All authors have read and agreed to the published version of the manuscript.

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