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Impact of HILIC amino-based column equilibration conditions on the analysis of chitooligosaccharides

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Abstract

Obtaining well-defined Chitooligosaccharides (COS) structures is very important as their physicochemical and biological properties depends strongly on their degree of polymerization (DP) and degree of *N*-acetylation (DA). Hydrophilic Interactions Liquid Chromatography (HILIC), that is commonly used for COS analyses, suffers from several drawbacks, related to their complex structure, leading to broadening/splitting of the chromatogram signals. We investigated, herein, on the role of the equilibration step of an amino-based HILIC column on the separation of COS DP $\leq$ 5. We demonstrated that COS could be separated according to their DP after equilibrating the column by a NaHCO₃ buffer solution (100 mM, pH=10) and mild elution chromatographic parameters (neutral mobile phase, r.t.); or according to their DA after equilibrating the column by an NH₄Ac buffer solution (50 mM, pH=4.5). Also, the nature of the counterion of the column stationary phase was found to affect both retention times and signal profiles of analyzed COS.

Keywords: chitosan, oligomer, HILIC, chromatography, anomer, counter-ion,

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1. Introduction.

ChitoOligoSaccharides (COS) are small oligomers of chitin and chitosan having a degree of polymerization (DP) lower than about 20 units. They are composed of *N*-acetyl-D-glucosamine (GlcNAc) and D-glucosamine (GlcN) repeating units, linked by β -(1 $\rightarrow$ 4) glycosidic bonds. Two types of COS exist: (i) homo-oligomers that are composed of only GlcN or GlcNAc moieties whereas (ii) hetero-oligomers are composed by a random distribution of both repeating units.[1]

Their water solubility, biodegradability, non-toxicity, and biocompatibility properties explain their many biomedical[2–4] and food applications.[5,6] These COS are known for their multiple bioactivities [7–9] such as antimicrobial, anti-oxidant, anti-inflammatory, anti-tumor, but also as potentially bioactive molecules for the development of biomaterials for skin tissue healing and regeneration.[10] Furthermore, it has been found that COS pentamers, for example, could be used for the preparation of Nodulation factors that are precursors of biological signal molecules with a strong agro-economic impact.[11] Other COS have been prepared as analogues to naturally obtained *N,N,N*-trimethyl-D-glucosaminyl-chitotriomycin, a potentially highly selective natural bactericide, fungicide, and insecticide without any aggressive effect on the environment.[12]

COS are generally prepared by depolymerization of chitin, a highly abundant naturally occurring polysaccharide that can be extracted from either hard-shelled crabs and shrimps or the cell wall of fungi, or from its partially deacetylated derivative called chitosan. Different chemical methods were used for such depolymerizations of chitin and chitosan, for example, acid hydrolysis [13,14] or oxidative degradation.[15,16] Enzymatic depolymerization can also be used for the preparation of COS.[17–19] In order to enhance the efficiency of depolymerization, both chemical and enzymatical methods can sometimes be assisted by ultraviolet,[20] microwave,[21], gamma [22] or ultrasound radiations.[23] However, all these methods of preparation of COS lead in general to mixtures of fully or partially acetylated/deacetylated COS (*i.e.*, with different degrees of *N*-acetylation (DA) corresponding to the molar ratio of GlcNAc units in the oligomer chain), and different DP. Whereas, the separation of such compounds

remains challenging, several studies have shown that physicochemical and biological properties of COS are strongly dependent on their DP and DA.[2,24] Therefore, it seems to be crucial to find out novel methods of preparation controlling both DA and DP. To this purpose, two main pathways were investigated: (i) the chemical synthesis of COS starting from GlcN as building block, although this pathway still requires complicated and multi-steps synthetic processes which limit the preparation of higher COS or their preparation at gram scale;[25] (ii) the development of robust analytical technical methodologies that could improve the separation or identification of these COS entities according to their DA and/or DP. Several chromatography techniques have been employed for the separation of COS. For instance, size exclusion chromatography,[26] adsorption chromatography,[27] metal affinity chromatography,[28] or ion exchange chromatography.[29] More particularly, hydrophilic interaction liquid chromatography (HILIC) has been widely used for the analysis of highly polar compounds, especially simple and complex carbohydrates.[30–32]. In comparison to other HPLC techniques, HILIC offers several advantages such as: (i) to separate highly polar compounds that cannot be well-retained on reverse phase liquid chromatography (RPLC); (ii) to overcome poor solubility drawbacks of some polar analytes, encountered with normal phase liquid chromatography (NPLC), and finally, (iii) to use no expensive ion pairs reagents as required for ion-exchange chromatography (IC).[33] A large variety of HILIC columns exists and each one has its own mechanism of retention. One of these columns is the amino-based one which results from grafting amino groups onto a silica-based,[34] or a polymeric based stationary phase.[35] The latter one presents many advantages in terms of reproducibility, durability, and high resistance to both alkaline and acidic eluents [36] and has been commonly adopted for COS analysis.[37,38] Despite all the progress accomplished in the analysis of carbohydrates; these biomolecules remain challenging to analyze due to their complex spatial structure and their multiple isomers. The subtle modifications between isomers, mainly in reducing carbohydrates, complicate the analysis and lead sometimes to broadening and splitting of the chromatogram signals. Overcoming such a complication requires using high temperature or high pH mobile phase. These conditions could reduce the column life. We report herein, the influence of the equilibration conditions of an amino-based HILIC column on the analysis of acetylated and deacetylated COS ($DP \leq 5$) under room temperature and neutral mobile phase acetonitrile/H₂O (7:3 v/v).

2. Materials and Methods

2.1. Chemicals.

All deacetylated COS used were commercially available under their chloride salt form. (GlcN).HCl, (GlcN)₂.2HCl, (GlcNAc)₁, and (GlcNAc)₂ were purchased from sigma Aldrich Corporation (purity >99%). (GlcN)₃.3HCl and

(GlcN)₅.5HCl (purity >98%) were purchased from Seigakaku Business Corporation® and characterized by ¹H NMR (Supplementary Information Fig S1 and Fig S2). (GlcNAc)₃ (purity >90%) was purchased from Elicityl. The synthesis of the (GlcNAc)₅ and tetra-N-acetylchitopentaose (D₁A₄) was carried out following Abila *et al.*[39] and fully characterized by ¹H NMR and MALDI-TOF MS (Supplementary Information Fig S3-S5). Water was obtained from a Milli-Q Water Purification. All commercially chemicals and solvents were used directly without any further purification.

2.2. Chromatography analyses and sample preparation

The chromatographic analysis was performed on a Shimadzu HPLC Model CBM-20A equipped with a *shodex Asahipak NH2P-50 4E* column (5 µm granulometry, 250 mm × 4.6 mm), a *shodex Asahipak NH2P-50G 4A* guard column and Shimadzu RID-10A detector. The mobile phase was composed of a mixture of acetonitrile/H₂O (7:3 v/v). The stationary phase was equilibrated by eluting the adequate pH solution under a constant flow rate of 0.2 mL/min for a period of 72 hours. Then the mobile phase (ACN/H₂O 7:3 v/v) was eluted at a flow rate of 0.8 mL/min for two hours before injections. All COS samples were prepared in a similar way, by dissolving the accurate amount of the solid in a mixture of acetonitrile/H₂O (1:1 v/v) at 25 mg/mL and injected (10 µL) at room temperature, at a constant flow rate of 0.8 mL/min.

To assess the repeatability of the chromatography analysis, relative standard deviation (RSD) values were calculated for all the samples and under different chromatography conditions. It is worthy to note that the measurements were performed on 5 different measurements for each oligomer samples and these measurements were performed on several days. The RSD obtained are presented in Table 1 and were satisfactory (<5%). (Supplementary Information Table S1-S3)

3. Results and Discussion.

Despite a very complex retention mechanism of HILIC, it is mainly considered as a hydrophilic partitioning between a highly organic rich mobile phase and a semi-immobilized water enriched layer neighboring to the stationary phase.[33,40,41] HILIC systems possess a diversity of stationary phases (neutral or ionic) onto which a variety of ligands could be attached, and several types of mobile phases could also be used. Therefore, in order to better understand the retention mechanism of analytes, other types of interactions such as hydrogen bonds, dipole-dipole, or ionic attractive or repulsive interactions should also be taken into consideration.[33,40] The *shodex Asahipak NH2P* column used in this study is an amino-based stationary phase composed of polyvinyl alcohol

hydrophilic polymer onto which secondary ethyl-amino groups are grafted.[36] Thus the ratio of protonated/unprotonated amino groups of the stationary phase highly depends on the pH of the solution used to equilibrate the column, as well as the pH of the eluent, if this latter is different. This ratio could have a great influence on the interactions between the stationary phase and the analytes, therefore, the separation of COS should take into consideration four main experimental parameters: (i) the nature and the pH of the solution used to equilibrate the stationary phase; (ii) the amphoteric character of the secondary amino groups ($pK_a = 10$, data given by the supplier) of the stationary phase; (iii) the cationic or neutral form of the COS (intrinsic dissociation constant for fully deacetylated COS $pK_0 \sim 6.5-7.5$; [42] and finally, (iv) the pH of the eluent. In order to ensure that analytes will remain in their initial form as they were injected, a neutral mobile phase composed of a mixture of acetonitrile and water (7:3; v/v) was used in all the experiments. For the sake of clarity in this work, COS were abbreviated as D_xA_y Fig 1 as it was previously published[43] where “x” and “y” are the number of deacetylated (D=GlcN) and acetylated (A=GlcNAc) units, respectively.

Fig 1 Chemical structure of COS (D_xA_y with $DP = x + y \leq 5$) studied in this work.

3.1. Column equilibration under acidic conditions

First, the stationary phase was equilibrated, with a continuous flow of an H_2SO_4 solution (50mM; $pH = 1$). Under these conditions, the secondary amino groups of the stationary phase were converted into their ammonium sulfate form. Then, different COS with well-defined DP, ranging from 1 to 5, fully acetylated (D_0A_y), fully deacetylated (D_xA_0) or partially acetylated (D_1A_4) were analyzed and the results are summarized in Table 1.

Table 1 Summary of the HILIC analyses of different fully acetylated (D_0A_x), fully deacetylated (D_xA_0) and partially acetylated (D_1A_4) COS: (a) pH of the solution used for the equilibration of the column; (b) retention time (in min); (c) standard deviation (in min); Relative standard deviation (in %) were calculated and found $<5\%$.

pH ^(a)		D ₁ A ₀	D ₃ A ₀	D ₅ A ₀	D ₀ A ₁		D ₀ A ₂		D ₀ A ₃		D ₀ A ₅		D ₁ A ₄
					α	β	α	β	α	β	α	β	
1	$t_R^{(b)}$	-	-	-	7.3	8.7	8.8	10.5	12.0	14.5	21.8	26.6	-
	SD ^(c)	-	-	-	0.1	0.3	0.3	0.4	0.3	0.4	0.1	0.3	-
4.5	$t_R^{(b)}$	-	-	7.3	7.5		8.7		10.5		16.8		10.6
	SD ^(c)	-	-	0.2	0.3		0.3		0.6		0.1		0.1
10	$t_R^{(b)}$	7.6	9.6	13.3	7.1		8.1		9.9		13.6		14.0
	SD ^(c)	0.2	0.2	0.4	0.3		0.3		0.5		0.5		0.6

These experiments showed that fully deacetylated COS (D_xA₀), injected in their chloride salt form, were not retained by the column (Supplementary Information Fig S6), likely as a consequence of electrostatic repulsions with the cationic stationary phase. On the other hand, a better retention was observed when fully acetylated COS (D₀A_y) were analyzed Fig 2.

Fig 2 Chromatograms of fully acetylated COS (D₀A_y with y=1, 2, 3 or 5) after equilibrating the column stationary phase by a sulfuric acid solution (50 mM, pH=1).

In this case, the retention of these neutral COS to the cationic stationary phase was likely controlled through hydrophilic interactions.[40] Following this assumption, it was obvious to note that the retention time increased with the number of repeating units as the hydrophilic interactions are stronger with higher DP COS. Besides, the signal of each fully acetylated COS (D₀A_y) was split into two peaks Fig 2. This observation, in agreement with the literature,[44–46] is a consequence of the mutarotation phenomenon. The interconversion between α and β anomers is commonly observed when analyzing reducing sugars on HILIC and appears when the anomerism rate

is sufficiently low to permit the resolution of the two anomers.[47] However, in order to confirm experimentally this assumption, a sample of D₀A₁ was injected under the same chromatographic conditions and a portion of the ascending slope of its first peak was separated. This latter portion was then injected in a different run and showed again two separated peaks having the same integration ratio and same retention times as for the two peaks of the former sample injection (Supplementary Information Fig S7 and Table S4). It is worthy to note, that for all COS analyzed in this study, α anomers showed shorter retention times than β isomers indicating a weaker interaction of α anomers with the stationary phase. For each COS, the anomer/peak assignment was based on the peak area integration ratio of 2:1 assigned for α : β anomers, respectively, as found in the literature for D-glucosamine,[48,49] which is also in agreement with the α : β peak integration ratio calculated from ¹H NMR spectra of fully acetylated and deacetylated COS (Supplementary Information Fig S1-S3).

The mutarotation phenomenon limits the use of HILIC systems regarding the quantitative and qualitative analysis of reducing carbohydrates. In order to overcome such a problem, chemical modifications of the carbohydrate analytes are proposed by some authors.[50] However, these methods are not suitable for preparative chromatography separation. In order to avoid the separation of anomer peaks, it is usually recommended to work at high temperature (*e.g.*, above 45°C) where the mutarotation rate becomes much faster than the timescale for chromatography separation.[34,51] Another way to make the two anomer peaks collapse is by adding some additives to the mobile phase. For instance, the addition of tertiary amines (*e.g.* TEA) was found to suppress the split of the two anomer peaks of reducing monosaccharides and small oligosaccharides.[47] The rate constant of the mutarotation could also be improved by raising the pH of the mobile phase.[52,53]

Kahle *et al.*[54] have shown that converting an amino-based silica gel column into sulfate or phosphate forms (strong kosmotropic ions) led to anomers separation whereas after converting it to perchlorate form (strong chaotropic ion) the two anomer peaks collapsed. Kosmotropic ions are known for their strong hydrogen bonds with water molecules which leads to an increase in water viscosity, while chaotropic ions act oppositely.[55]

Accordingly, we intended to replace the sulfate ion by a less kosmotropic ion, for instance an acetate ion, by equilibrating the stationary phase with an ammonium acetate buffer solution (50mM, pH = 4.5). Due to its relatively high solubility in acetonitrile, its volatility and compatibility with other techniques such as mass spectrometry, the ammonium acetate salt is typically used in HILIC studies.[40,56] As a result, fully deacetylated COS (D_xA₀) were not well retained while fully acetylated COS (D₀A_y) were successfully well separated according to their DP with a R_s ≥ 1.2 Table 2, and were eluted in one single peak Fig 3. In addition, we noted that, for a given single COS, the retention time in presence of acetate ions was shorter than in presence of sulfate ions. For instance,

the t_R of D_0A_3 is 10.5 min in presence of acetate ions vs 12.0/14.5 min, (for α/β anomers, respectively) in presence of sulfate ions Table 1.

Fig 3 Chromatograms of fully acetylated COS (D_0A_y with $y=1, 2, 3$ or 5) after equilibrating the column stationary phase by an ammonium acetate buffer solution (50 mM, pH=4.5).

Table 2 Selectivity (α) and peak resolutions (R_s) between different COS pairs; retention times of D_0A_4 , D_2A_0 and D_4A_0 were theoretically calculated from the standard curves of their corresponding D_xA_y series ($r^2 > 0.9980$) in order to calculate their selectivity and peak resolution (see Supplementary Information).

COS pairs	pH 4.5		pH 10	
	α	R_s	α	R_s
D_1A_0/D_2A_0	-	-	1.2	1.8
D_2A_0/D_3A_0	-	-	1.2	2.3
D_3A_0/D_4A_0	-	-	1.3	2.8
D_4A_0/D_5A_0	-	-	1.3	2.9
D_0A_1/D_0A_2	1.3	1.2	1.3	1.8
D_0A_2/D_0A_3	1.3	1.5	1.4	2.6
D_0A_3/D_0A_4	1.4	1.9	1.3	2.1
D_0A_4/D_0A_5	1.4	1.9	1.2	2.2
D_5A_0/D_1A_4	1.8	2.5	1.1	0.5
D_1A_4/D_0A_5	1.9	3.4	1.0	0.2
D_1A_0/ D_0A_1	-	-	1.1	1.1
D_3A_0/ D_0A_3	-	-	1.1	0.5
D_5A_0/ D_0A_5	3.4	5.2	1.0	0.4

The difference between the two counter ions, regarding both the signal profile and the retention time, could be related to their degree of hydration as it is already described in the literature. Indeed, several studies [56–58] have demonstrated that the presence of salts promotes the retention of ionic and nonionic analytes. The common explanation suggests that, the presence of solvated salt ions in the water-enriched layer, increases its thickness.

Thus, the partitioning of polar analytes into this layer is reinforced and the analyte-ligand interactions, for instance hydrogen bonds, are strengthened. This would increase the retention time.[58] The thickness of the water-enriched layer adjacent to the stationary phase plays, therefore, an important role in the modulation of the retention characteristics.[59] Alpert *et al.*, [57] showed that the nature of the counter ion has an influence on the thickness of the water-enriched layer. They have demonstrated that a highly hydrated kosmotropic ions such as sulfates have markedly promoted the retention time, and a weakly hydrated chaotropic ions, for instance perchlorate ions, had opposite effects. A similar trend was also observed by Bicker *et al.* [58] by comparing the influence of a more hydrated ions (*e.g.* acetate ions) to less hydrated ions (*e.g.* trifluoroacetate ions) on the retention times under HILIC separations. These results are in good agreement with our observations and would suggest that as the degree of hydration of sulfates ions (strong kosmotropic ions) is higher than the acetates ions (weak kosmotropic ions), the water-enriched layer containing the former ions should be thicker than the one with the latter ions. This would be a suitable hypothesis to explain the higher retention times of COS obtained in presence of sulfate ions as compared to acetate ions Table 1. Concerning the signal profile and the anomeric separation, one could hypothesize that in the more viscous water-enriched layer containing sulfate ions, the mutarotation rate would be slower than in presence of acetate ions, which results in the separation of the two anomers. This reminds the results obtained by Kahle *et al.* [54] up mentioned and comparing the effect of counter ions of the stationary phase on the anomeric separation. Our results showed that the anomers resolution is affected by the nature of the counter ion of the stationary phase even when using a neutral mobile phase.

Finally, another feature of the presence of the acetate counter ions in proximity of the stationary phase exist in the behavior of the fully deacetylated COS D₅A₀ in its chloride form. Indeed, under these conditions, the COS D₅A₀ was weakly retained ($t_R = 7.3$ min) as if the electrostatic repulsions are weaker than in the case of sulfate ions. This result is very interesting as it allows the separation of COS according their DA. Indeed, Fig 4-a show a large difference in retention times between COS pentamers with different DA, *i.e.* D₅A₀, D₁A₄ and D₀A₅ (7.3 min, 10.6 min and 16.8 min, respectively) even when the three COS were injected together (Fig. 4-b). The calculation of R_S for these three COS pentamers (ranging between 2.5 and 5.2, table 2) confirms their perfect separation under these conditions. In order to confirm the efficiency of the separation according to the DA, we carried out the selective tetra *N*-acetylation of the commercially available D₅A₀ according to the method previously described by Abila *et al.* [39] Following this method, two acetylated derivatives of the pentamer starting material were obtained and perfectly separated on a Shodex Asahipak NH2P-50 4E column after its equilibration by an NH₄Ac buffer solution (50 mM, pH=4.5) Fig 4-c.

Fig 4 Analyses of three COS pentamers (D_5A_0 , D_0A_5 and D_1A_4) after equilibrating the column stationary phase by an ammonium acetate buffer solution (50 mM, pH=4.5): a) chromatogram of the three pure COS pentamers; b) chromatogram of a mixture composed of the three COS pentamers; c) chromatogram of a mixture composed of D_1A_4 and D_0A_5 obtained after the *N*-acetylation of D_5A_0 described by Abia et al.[39]

3.2. Column equilibration under alkaline conditions

In order to decrease the repulsive electrostatic interactions, and to improve the retention of fully deacetylated COS (D_xA_0), the *shodex Asahipak NH2P* column was equilibrated with a highly alkaline buffer solution of sodium

bicarbonate (100 mM, pH=10). After that, two series of fully deacetylated COS (D_xA_0 in their hydrochloride form) and fully acetylated COS (D_0A_y) were injected. The results, shown in Fig 5 and Fig 6 for D_0A_y and D_xA_0 , respectively and summarized in Table1, demonstrated a good affinity of both types of COS to the stationary phase. In both series, a very satisfactory peak resolution (R_s) was observed ($R_s \geq 1.8$, Table 2) and the retention time highly depended on the DP. The R_s values are higher than in the case of ammonium acetate equilibration (50 mM, pH=4.5). As it could be expected, no splitting of the peaks was observed.

Fig 5 Chromatograms of fully acetylated COS (D_0A_y with $y=1, 2, 3$ or 5) after equilibrating the column stationary phase by a sodium bicarbonate buffer solution (100 mM, pH=10).

Fig 6 Chromatograms of fully deacetylated COS (D_xA_0 with $x=1, 3$ or 5) after equilibrating the column stationary phase by a sodium bicarbonate buffer solution (100 mM, pH=10).

However, the retention times of fully acetylated (D_0A_y) and deacetylated (D_xA_0) COS having the same DP were very close in contrast to the equilibration with the ammonium acetate buffer, for instance, 7.1 min and 7.5 min,

respectively for the monomers D_1A_0 and D_0A_1 Fig 7. The low R_s value of this COS pair is a confirmation of their weak resolution ($R_s = 1.1$, Table 2); the same tendency was also observed with three COS pentamers differing by their DA. Indeed, very close t_R values of 13.3 min, 13.6 min and 14.0 min, were found for D_5A_0 , D_0A_5 and D_1A_4 , respectively and R_s values were ≤ 0.5 Fig 8 and Table 2. These results show the efficiency of an alkaline equilibration on the separation of COS according to their DP but not their DA.

Fig 7 Chromatogram of a mixture of COS monomers (D_1A_0 and D_0A_1 ; mass ratio $D_1A_0:D_0A_1$ 2:3) after equilibrating the column stationary phase by a sodium bicarbonate buffer solution (100 mM, pH=10).

Fig 8 Chromatograms of three COS pentamers (D_5A_0 , D_0A_5 and D_1A_4) after equilibrating the column stationary phase by a sodium bicarbonate buffer solution (100 mM, pH=10).

4. Conclusion.

In this study, the effect of both the pH and the nature of the salt of the solution used for equilibrating the amino-based stationary phase of HILIC column was investigated. The results showed that a high pH equilibrating solution, for instance a sodium bicarbonate buffer solution (100 mM, pH=10), is the most appropriate for both completely acetylated and deacetylated COS analysis (R_s values ≥ 1.8) using ACN/H₂O (7:3 v/v) as mobile phase. Indeed, the retention times as well as the separation of these oligomers were DP dependent. Although the resolution of anomers is considered as a major limitation concerning the analysis of carbohydrates (mainly when it comes to preparative HPLC analysis of reducing carbohydrates), we showed that the choice of the counter ion (*e.g.*, acetate or sulfate) of ammonium groups of the column stationary phase is crucial. For instance, sulfate ions favor the separation of anomers, while the presence of acetate ones favors the merging of the two anomer peaks and leads to lower retention times. Whereas other published methods preconize the use of high pH mobile phases to merge the anomer peaks, which could affect the state of the analytes and therefore complicate the analysis, our method should be considered to be interesting in terms of simplifying the chromatographic conditions of COS analysis. It permits to overcome the anomerization drawbacks while working under room temperature and neutral mobile phase. We hypothesized that the degree of hydration of the counter ion of ammonium groups of the column stationary phase would affect the thickness of the immobilized water-enriched layer which in turn would influence the retention time as well as the interaction between the analytes and the column stationary phase ligands. In addition, the results obtained in this study showed that equilibrating the stationary phase by an ammonium acetate buffer solution (50 mM, pH = 4.5) could be used for the separation of COS according to their DA. This latter result was demonstrated in this work with COS pentamers ($R_s \geq 2.5$) and likely requires further investigations for COS with other DP.

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