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# Tracking down coupled innovations supporting agroecological vegetable crop protection to foster sustainability transition of agrifood systems

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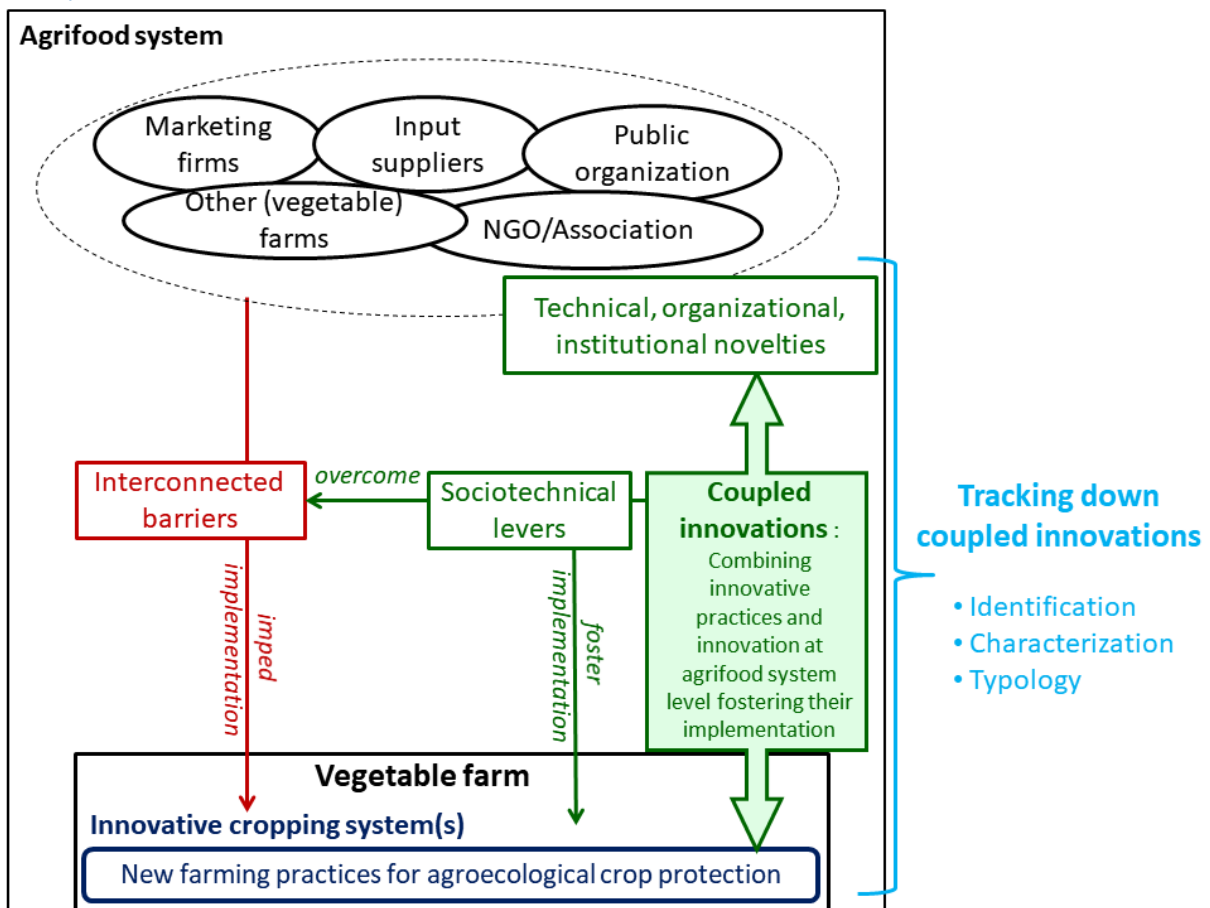
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16 **niche; design**

17

## 18 Graphical abstract



19

## Abstract

1. **CONTEXT:** High pesticide use causes environmental and human health hazards. Yet, the change to alternative crop protection practices faces a web of interacting barriers that results in a sociotechnical lock-in. Designing “coupled innovation” has been proposed by agricultural scientists to overcome the barriers that prevent change in practices. Coupled innovations consist of developing jointly innovations both at the farm and the agrifood system level to overcome the lock-in.
2. **OBJECTIVE:** In this study, we aim at characterizing how existing coupled innovations foster the implementation of agroecological crop protection in French vegetable systems.
3. **METHODS:** ‘Tracking down coupled innovation’ method consisted of six steps: (i) identification of the existing coupled innovations in vegetable systems across France; (ii) interview of their stakeholders; (iii) identification, based on the interviews and an analytical framework, of the sociotechnical levers involved in the coupled innovations and the functions the levers perform to foster agroecological crop protection; (iv) characterization of the conditions for the coupled innovation implementation based on 20 categorical variables; (v) typology of the innovations based on the lever functions they performed, using a multiple correspondence analysis followed by hierarchical cluster analysis on principal components; (vi) comprehensive analysis of one typical innovation per cluster, to understand in-depth how it was implemented.
4. **RESULTS AND CONCLUSIONS:** We identified 40 coupled innovations, 17 sociotechnical lever functions and 5 consistent clusters of coupled innovations each implementing a specific combination of lever functions. The five clusters consist of: (1) co-developing and diffusing new inputs and related knowledge through specific knowledge infrastructure, (2) facilitating farmers’ peer-exchange of knowledge, (3) (re)structuring the food value chain to support the implementation of agroecological crop protection, (4) pooling material and cognitive resources and (5) renting or exchanging fields to support crop diversification. Key conditions for innovation success were the support of intermediaries, a shared vision and trust between stakeholders, their active involvement, and a limited physical distance between them. The comprehensive analysis of the typical innovations illustrated, for each cluster, the complex relation between the sociotechnical levers, the functions they perform, the network involved, the ACP practices implemented and the conditions for successful implementation.
5. **SIGNIFICANCE:** Tracking down coupled innovation produced knowledge that can support the coupled innovation design in other contexts, hence the sustainability transition of the agrifood systems. It can complement the study of innovative farmers’ practices with capitalizing knowledge on the means to overcome barriers to the implementation of these practices.

## 56 1. Introduction

57 High pesticide use causes environmental and human health hazards (Plumecocq et al., 2018; Tilman et  
58 al., 2002). Despite public policies at the French (Ecophyto program) and at the European level  
59 (Pesticide package), pesticide use in agriculture has not significantly decreased over the last decade  
60 (Eurostat, 2021; Ministère de l'agriculture et de l'alimentation, 2020). Superior crop protection  
61 approaches do exist in terms of environmental and human health, such as Integrated Pest  
62 Management (IPM) and, more recently, Agroecological Crop Protection (ACP) (Cowan and Gunby,  
63 1996; Deguine et al., 2016; Gamliel and van Bruggen, 2016). ACP is based on a reasoning of  
64 agroecological cropping practices which aims to “promote the ecological functioning of  
65 agroecosystems by directly or indirectly optimizing interactions between living communities (plant,  
66 animal and microbial) both in and above the ground”, mainly using preventive and non-synthetic  
67 methods (Deguine et al., 2020; Wezel et al., 2014). However, scholars have recently shown that IPM  
68 and ACP were not scaling up, due to a web of interconnected barriers which lock the current  
69 agricultural systems around the conventional farming model and conventional value chains  
70 (Boulestreau et al., 2021; Cowan and Gunby, 1996; Della Rossa et al., 2020; Kernecker et al., 2021;  
71 Meynard et al., 2018; Schiller et al., 2019; Vanloqueren and Baret, 2009). For example, long-distance  
72 trade, standardization of food quality, low prices, and reliance of agricultural systems on synthetic  
73 pesticides and fertilizers are mutually reinforcing one another. (Bernard de Raymond, 2013;  
74 Boulestreau et al., 2021; Meynard et al., 2017).

75 Agricultural systems' scholars, based on sustainability transition studies, conceptualized the  
76 overcoming of this lock-in as a sociotechnical or sustainability transition process (Geels, 2011, 2002;  
77 Geels and Schot, 2007) towards a more sustainable agrifood system (Boulestreau et al., 2021; Klerkx  
78 and Begemann, 2020; Meynard et al., 2017; Ollivier et al., 2018; Schiller et al., 2019). An agrifood  
79 system is defined as the “system [that] gathers all the elements (environment, people, inputs,  
80 processes, infrastructure, institutions, etc.) and activities that relate to the production, processing,  
81 distribution, preparation and consumption of food and the outputs of these activities, including socio-  
82 economic and environmental outcomes” (HLPE, 2014). The lock-in results from the very stable  
83 structuring of agrifood actors' relations over time through common rules and artifacts supported by  
84 self-reinforcing mechanisms (e.g., economies of scale) (Arthur, 1989). This stable structuration of  
85 actors, rules and artifacts called ‘regime’ (Geels, 2002), presents then a strong resistance to changes.  
86 Therefore this lock-in cannot be reduced to a lack of alternative techniques (hardware), but embeds  
87 social dimensions (orgware) and symbolic dimensions (software) of the technologies (Leeuwis, 2013;  
88 Rip and Kemp, 1998). In order to overcome this lock-in, networks of actors need to develop together  
89 technological, organizational and institutional innovations interlinked through different levels and

90 domains of the agrifood system i.e., to design coupled innovations (Klerkx and Begemann, 2020;  
91 Meynard et al., 2017). ‘Innovation’ here refers to the introduction of something new (technical  
92 organizational or institutional) in an economic and social organization (Faure et al., 2018). Innovation  
93 is also contextual: something new on a territory A can be commonplace on a territory B. The term  
94 ‘coupled innovation design’ has been coined by Meynard et al. (2017) to conceptualize the coordinated  
95 design of innovations across cropping systems and food processing aiming to overcome the lock-in and  
96 foster change towards more sustainable practices. Salembier et al. (2020) proposed to extend this  
97 definition to the provision of inputs, including machinery, e.g., co-design of a vegetable production  
98 through a cover crop mulch and a roller-crimper, supported by an NGO. In this paper, we extend  
99 further this definition to innovations across cropping systems and any other components of the  
100 agrifood system, e.g., policies, input-provision, advisory, marketing, to tackle all the components of  
101 lock-in in agrifood systems. These innovations are often considered as necessarily developed in  
102 “innovation niches”, protected from the conforming pressure of the incumbent dominant regime  
103 (Meynard et al., 2017; Pigford et al., 2018). Yet, recent literature shows that they can also arise from  
104 within the incumbent regime or at the interface of niches and regime (Belmin et al., 2018; Bui et al.,  
105 2016; Gaitán-Cremaschi et al., 2019; Morel et al., 2020). When disrupted by internal or external  
106 pressure, the dominant regime becomes unstable and opens up opportunities for the alternative  
107 innovations to scale up and take center stage within with the dominant regime, achieving the  
108 sustainability transition (Geels and Schot, 2007).

109 To support the agrifood system’s transition, multiple studies have analyzed (i) the trajectories of  
110 change of farmer practices to gain knowledge on the barriers and levers that favor change (Chantre  
111 and Cardona, 2014; Lambrecht et al., 2014; Mawois et al., 2019; Montes de Oca Munguia et al., 2021),  
112 and (ii) entry point for innovation in the agrifood system and its agricultural innovation subsystem  
113 (Boulestreau et al., 2021; Della Rossa et al., 2020; Kernecker et al., 2021; Probst et al., 2012; Schiller et  
114 al., 2020; Schut et al., 2015; Vanloqueren and Baret, 2009). Besides, monograph analyses of  
115 innovations in agricultural contexts gave insights on how intermediaries support the innovation  
116 (Berthet et al., 2018; Kilelu et al., 2013; Kivimaa et al., 2019; Lamers et al., 2017; Leeuwis, 2013), how  
117 innovation niches emerge, and how they interact with the regime and scale-up (Belmin et al., 2018;  
118 Bui et al., 2016; Gaitán-Cremaschi et al., 2020; Klerkx et al., 2010). Yet agricultural and design studies  
119 show that providing examples of existing innovations to actors can strongly enhance their capacity to  
120 design and implement innovations adapted to their problems (Agogué et al., 2013; Girard, 2015; Klerkx  
121 et al., 2010; Périnelle et al., 2021; Salembier et al., 2020; Salembier et al., 2018). Indeed, it provides  
122 actionable knowledge both on new means to solve the problems (e.g., producing cereals with less N  
123 input) enhancing the creativity of the designers (Agogué et al., 2013; Salembier et al., 2016) and the

124 conditions to implement them successfully (e.g., reducing pea sowing density to avoid the lodging of  
125 the cereal) (Verret et al., 2020). A ‘tracking down innovative cropping systems’ method was recently  
126 developed (Périnelle et al., 2021; Salembier et al., 2016; Verret et al., 2020). This approach aims to  
127 characterize a diversity of innovative farming practices or cropping systems already implemented by  
128 certain farmers, along with the underlying rationales, the conditions of their application and their  
129 outcomes, to produce resources helping the redesign of other cropping systems. It has been applied  
130 so far mainly on arable cropping systems (Périnelle et al., 2021; Salembier et al., 2016; Verret et al.,  
131 2020).

132 In this paper, we propose for the first time to scale up this methodology to track down coupled  
133 innovations at the agrifood system level, which support agroecological crop protection (ACP). We  
134 focus on innovations already implemented that combine innovative practices for ACP at the field  
135 level with agrifood system level innovations (technical, organizational, and/or institutional  
136 innovations) supporting the new practices. We studied 40 cases of coupled innovations within  
137 vegetable production systems in France, which are of particular interest for two reasons: (i) a strong  
138 lock-in effect has been identified around pesticide use and standard quality value chains (Bernard de  
139 Raymond, 2013; Boulestreau et al., 2021; Lefèvre et al., 2020); and (ii) consumers are highly sensitive  
140 to the issue of pesticide residues in vegetables (Baros, 2021). We hypothesize that this will yield  
141 novel and actionable knowledge on a variety of means to overcome the interconnected barriers to  
142 change towards ACP. Instead of focusing on the mechanisms involved in a few study cases, as most  
143 above-cited studies (Belmin et al., 2018; Bui et al., 2016; Gaitán-Cremaschi et al., 2020; Salembier et  
144 al., 2020; Schiller et al., 2019), we aimed to give insights into a wide variety of coupled innovations  
145 operating in different contexts. Our objective was thus twofold: (i) producing new knowledge to  
146 support the design of coupled innovation for ACP in other contexts, (ii) testing a new methodology  
147 for tracking down a large sample of coupled innovations.

## 148 2. Materials and methods

### 149 2.1. Analytical framework

150 We first introduce our analytical framework, presenting the concepts and tools that will support the  
151 analysis of our case studies. Considering that our case studies are sector specific (vegetable sector)  
152 and that stakeholders’ interaction in agricultural systems is mainly embedded within a territory, we  
153 sought the innovations at the sectoral and territorial agrifood system (STAFS) level. The STAFS level  
154 embeds “all stakeholders from the agrifood system influencing food production, processing,  
155 distribution, preparation and consumption within the territory and the food production sector(s) in

156 which the farming practices are embedded, and the interaction between these stakeholders”  
157 (Boulestreau et al., 2021).

158 In this study, we sought to characterize the innovative means used by agrifood system actors to  
159 overcome socio-technical interconnected barriers to ACP and the conditions for their  
160 implementation. First, in order to capture those means in our study cases, we introduce the concept  
161 of ‘sociotechnical lever’. Acknowledging that interconnected barriers call for coordinated actions to  
162 overcome them, we define a “socio-technical lever” as the coordinated actions within the socio-  
163 technical system and the functions they fulfill, which overcome a given barrier to technological  
164 change.

165 Applied to changes in farming practices, “sociotechnical lever” refers to the coordinated actions  
166 within the agrifood system and the function it fulfills, which modify the determinants of farming  
167 practices (Boulestreau et al., 2021) and contributes to overcoming a given barrier to change in  
168 practices towards more sustainable ones. Hence, we identified the ‘sociotechnical levers’ based on  
169 the determinants of the farming practices that are involved in the coupled innovations. For instance,  
170 the coordination of farmers to buy together in large volumes adequate cover crop seeds for trapping  
171 root-knot nematodes (*coordinated action*) change the *practice determinant* ‘Availability of material  
172 resources’ (STAFS-level, Table 1) by providing access to new input (*function*) to manage  
173 agroecologically this pest. Hence, it overcomes the *barrier* ‘lack of adequate input’ . We then  
174 identified the *sociotechnical lever* ‘Access to new inputs through bulk purchase by a group of  
175 farmers’. We led this identification of the ‘sociotechnical levers’ based on our analytical framework  
176 of farming practice determinants at farm and STAFS level, presented Table 1. This framework was  
177 built based on insights from system agronomy, sustainability transition studies, agricultural  
178 innovation studies and rural sociology. We present it extensively in Boulestreau et al. (2021).

179 In order to capture the conditions for the implementation and success of the innovations, we built a  
180 second part to our analytical framework (Table 2). We first built an interview guide and an initial  
181 analytical grid, aiming to collect data to understand: (i) the type of the STAFS-level innovation  
182 (technical, institutional, organizational); (ii) their relation with ACP practices (bioagressors targeted,  
183 farming practices involved); (iii) the coordination between actors (the stakeholders, the way(s) they  
184 interact); (iv) the way the coupled innovation emerged; (v) the way the coupled innovation evolved;  
185 (v) its evaluation on agronomical (impact on bioagressors), environmental (pesticide use) and social  
186 aspects (acceptability of stakeholders). Then, we made the analytical grid evolve in an abductive way  
187 by selecting qualitative variables and incumbent categories fitting both to our data and our goals. We

188 present the final analytical grid in Table 2, along with examples of the questions asked during the  
 189 interviews.

190 **Table 1 - Analytical framework of farming practice determinants at the farm and the sectoral and territorial agrifood system**  
 191 **levels for characterizing the sociotechnical levers (adapted from Boulestreau et al., 2021).**

<b>Level</b>	<b>Categories of practice determinants</b>	<b>Examples of practice determinants</b>
<b>Farm level</b>	Farm biophysical factors	Biological communities within the farm, agroecological infrastructure (hedgerows, fallows and grass strips, topology), pedoclimatic conditions
	On-farm availability of material resources	Productive resources (land, capital, workforce, agricultural inputs, equipment such as tractors and tools), infrastructure such as greenhouse and irrigation, buildings, social networks affiliation (e.g., knowledge exchange networks, mutual assistance), commercial outlet
	Farmer's cognitive resources	Empirical and scientific knowledge, know-how, skills
	Strategic decisions	Choices of agricultural production, marketing channel, certification, long-term productive resources (e.g., infrastructure)
	Farmer's personality, preferences and objectives	Risk-aversion, ethics, beliefs, way of life, workload management, personal satisfaction, priorities and goals (e.g., maximization of economic profit, environmental care)
<b>Sectoral and territorial agrifood system (STAFS)</b>	Shared regulative (formal) rules	Laws, norms and industrial standards, contracts, specifications, public policies (e.g., subsidies), specifically: agriculture and food regulatory framework and their proper implementation and enforcement
	Shared normative rules	Values, norms, role expectations, customs, responsibilities, duties, authority systems
	Shared cognitive rules	Beliefs, cognitive routines, paradigms
	Availability of material resources	Storage and processing equipment, infrastructure (e.g., roads), inputs, capital, skilled workforce, functional communication and financial infrastructure, time
	STAFS biophysical factors	Landscape support for biodiversity, pest communities, topology, erosion risk, watershed characteristics
	Human factors	Individual personalities and preferences expressed in actors' relations (e.g., friendliness)
	Stakeholder cognitive resources	Empirical and scientific knowledge (e.g., on agroecological practices), know-how, skills
	Quality of knowledge infrastructure	Research, education, extension and development infrastructure (e.g., experimental networks involving farmers)
	Multi-stakeholder interactions	(Co)development and sharing of knowledge and information; project collaborations (e.g., agricultural tool sharing system); public-private partnerships; networks; representative bodies (e.g., farmers' union); power dynamics; purchase and sale of goods (incl. food commodities)

192



**Table 2 – Analytical grid of the conditions for the implementation and success of the innovations and their evaluation. These conditions and evaluation are described through the qualitative variables (2<sup>nd</sup> column) and their categories (3<sup>rd</sup> column), grouped according to the innovation dimension addressed (1<sup>st</sup> column). These variables are used as supplementary variables in the MCA analysis of our case studies (n=40). The 4<sup>th</sup> column presents, for each theme, examples of the questions we used to collect the corresponding data.**

<b>Innovation dimension</b>	<b>Analytical variables</b>	<b>Categories of the variables</b>	<b>Examples of questions asked during the interviews</b>
<b>Type of innovation at STAFS level</b>	Institutional innovation	yes; no	What is the object of coordination? How does that work?
	Organizational innovation	yes; no	
	Technical innovation	yes; no	
<b>How it starts</b>	Main initiator	farmer; upstream; downstream; R&D	How did you set up this coordination? Who has initiated it? What were the motives and interests of the stakeholders? What were the stakeholders' relationships? What were the conditions of success for this coordination?
	Initial motivation	agronomic; economic; ideological; sanitary; workload; multiple	
	Key factor of successful initiation	trust; economical support; common values; constant motivation of one actor; will to gain knowledge; financial equity	
	Stakeholders' relationship before the start of the innovation	professional; acquaintance; friendship	
<b>How it is implemented in the long term</b>	Spatial level	municipal; production area; regional and supra-regional	Who are the actors involved? How is the governance organized? The mode of contractualization? How do the stakeholders communicate and at which frequency?
	Stakeholder network	farmer-farmer; farmers-R&D; farmers-R&D-public administration; farmers-downstream; farmers-downstream-R&D-NGO; farmers-downstream-R&D; farmers-downstream-upstream; farmers-upstream-R&D-NGO; farmers-downstream-upstream-R&D-public administration; farmers-R&D-upstream-public administration; farmers-R&D-downstream-public administration	

	Form of agreement between stakeholders	yearly oral agreement; optional contract; yearly contract; multi-year contracts; permanent contract	
	Frequency of contact between stakeholders	daily; weekly; several times a year; yearly	
<b>Relation to farming practices</b>	Bioagressor targeted	soil-borne bioagressor; aerial bioagressor; group of bioagressors	Which bioagressors are targeted? Using which farming practices?
	Production system concerned	sheltered; open-field for small-scale fresh vegetables; open-field for large-scale fresh vegetables; open-field for processing; multiple systems	
	Organic, conventional or mixed stakeholders	organic; conventional; mixed	
	Agroecological crop protection practice(s) implemented	integrated organic pest management; breaking reproduction cycles; use of service crops; prophylaxis; multiple	
<b>Conditions of success</b>	Main barrier(s) to successful innovation	competition; lack of resources; distance; relational	How do the different stakeholders feel about this innovation? What are the positive and negative aspects of it? What are the barriers to this coordination? What are the conditions of success for this coordination? Which one is key? Which tips would you give to another company to set a similar coordination up?
	Main resource(s) required	cultivable area; common will; resource actor; outlet; knowledge; inputs; capital	
	Key factors of lasting innovation	economic outcomes; presence of a stakeholder dedicated to coordination; stakeholder flexibility; frequent solicitations; unbroken trust	
<b>Evaluation</b>	Qualitative impact on pesticide use	reduction; no impact; increase; could not be evaluated	What are the impacts of this innovation? Especially on the bioagressors? On the pesticide use? On the stakeholders?
	Qualitative impact on bioagressor pressure	reduction; no impact; could not be evaluated	

199

## 200 2.2. Data collection

201 We sought coupled innovation cases that were deemed innovative in at least one of the French  
202 vegetable production area.

203 The cases were selected based on the following criteria:

- 204 1. There was a clear link between ACP practices and technical, organizational and/or institutional  
205 innovations at STAFS level enabling the implementation of those practices. In some cases,  
206 enabling ACP was intended as a key goal for the stakeholders, and in others it was a spillover  
207 effect of their coordination (not intentional).
- 208 2. Each case involved at least two stakeholders from the STAFS coordinating with one another  
209 (e.g., two farmers, or a group of farmers and a marketing firm).
- 210 3. The overall sample should cover a wide range of cases, so as to identify the largest possible  
211 diversity of sociotechnical levers and conditions for implementing them. We did not strive for  
212 a representative sample at national level. To cover a wide diversity of cases, we sought to  
213 ensure that the overall sample:
  - 214 a. involved all types of agrifood system stakeholders;
  - 215 b. was comprised of diverse vegetable production systems: sheltered and open-field,  
216 small and large scale, production of fresh vegetables and processed vegetables;
  - 217 c. related to the management of the three different types of bioagressors: pests,  
218 diseases and weeds;
  - 219 d. covered all three types of innovation: institutional, organizational and technical;
  - 220 e. covered different territorial scales: municipality, production area, region and beyond;
  - 221 f. covered different French vegetable production areas.

222 In order to identify the cases and verify their novelty, we interviewed 26 R&D experts from vegetable  
223 production systems in various French production areas and explored scientific and grey literature  
224 (Peyras, 2019). When the concept of “coupled innovation” was not clear to the interviewed expert, we  
225 provided examples based on our knowledge (e.g., specialized farmers exchanging plots to diversify).  
226 Once we had identified the cases, we presented them to the national GIS PICLég group to verify their  
227 innovative nature. The GIS PICLég is a national expert group responsible for coordinating French  
228 Research and Development (R&D) on integrated pest management for vegetable production  
229 ([www.picleg.fr](http://www.picleg.fr)). We thus selected 40 case studies (Cs) of coupled innovations (Table 3), at different  
230 stages of development: most were ongoing (31), while some were only starting (6) or had finished (3).  
231 A brief overview of the different cases is provided in the next section (2.3).

232 For each of the 40 case studies, we led semi-structured interviews with one to four stakeholders and  
233 supplemented it with the available grey literature (Table 3). The interviews were based on a guide with  
234 questions aiming (i) to identify the sociotechnical levers (e.g., “How does the innovation work? What  
235 is the role of each stakeholder? Which barrier does it overcome? How did it evolve with time?”) and  
236 (ii) to characterize the conditions for their implementation (see Table 2 for examples). We then  
237 selected five of the case studies for further analysis, to gain a deeper understanding of the innovations  
238 involved (Cs5, Cs23, Cs27, Cs29, Cs37, Table 3). This comprehensive analysis aimed to provide insight  
239 into the different innovation types (section 3.2.). To this end, a larger number of viewpoints were  
240 gathered (two to four interviews per case, Table 3), in order to represent the diversity of stakeholders.  
241 When information was missing, grey literature was used as a complement (Cs23, Cs27 and Cs33). The  
242 aim was to reach an understanding of the innovations as complete as possible. We should note that  
243 some of the cases involved only two stakeholders (e.g., Cs5), hence the limited number of interviews.  
244 Based on the questions presented in Table 2, we deepened the interview. For example, the question  
245 “How do the different stakeholders feel about this innovation?” (Table 2) was deepened with the  
246 following: “Do all stakeholders benefit from the innovation the same way?”.

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248  
249  
250  
251

Table 3 - Presentation of the 40 case studies ordered by cluster (1<sup>st</sup> column) then by ascending identification number (2<sup>nd</sup> column). The nature of the coupled innovations is synthesized in the 3<sup>rd</sup> column, with linking the coordinated actions, their scale, the main stakeholders, and the farming practice concerned. The term 'local' refers to a municipal scale or a limited area of production. When a limited number of specific crops were targeted, these are indicated in brackets. The following two columns provide more information on the two parts of the coupled innovations: the type of innovation at STAFS level (4<sup>th</sup> column) and the nature of the agroecological crop protection practice implemented at field and farm level (including the bioagressor targeted – 5<sup>th</sup> column). SB=Soil borne pest(s) and/or disease(s) ; AB= Aerial bioagressor(s). The lines in bold characters correspond to the five in-depth case studies.

Cluster n°	Case study n°	Coupled innovations	Type of innovation at STAFS level	Agroecological crop protection practices implemented (bioagressor targeted)	Nb of actor interviewed (+ literature consulted)
1	13	Development and evaluation at the national level of a decision-making support tool for fungicide treatment for farmers by the inter-branch organization and the firm supply inputs, with support for farmer use (processed beans)	Technical	Prophylaxis (SB)	1 (+1)
<b>1</b>	<b>29</b>	<b>Coordination between farmers and R&amp;D for the local production and supply of banker plants hosting natural enemies to fight vegetable pests</b>	<b>Organizational, Technical</b>	<b>Organic integrated bioagressor management (AB)</b>	<b>4</b>
1	30	Bulk purchase of alternative vegetable crop varieties by a local group of organic farmers	Organizational	Breaking reproduction cycles by crop diversification (cortege)	1 (+1)
1	31	Organization of participatory variety selection by a cooperative with a dedicated experimental station and the cooperative's producers	Organizational, Technical	Breaking reproduction cycles by crop diversification (cortege)	1 (+1)
1	32	Co-development and management of an organic experimental station by local stakeholders of the organic vegetable sector	Organizational	Multiple (cortege)	1 (+1)
1	33	Management of an experimental station directly by the local stakeholders of the vegetable sector	Organizational	Multiple (cortege)	2
1	34	Participatory research and development on agroforestry vegetable farming systems led by a private R&D company with farmers at the national level	Organizational	Service crops (cortege)	1 (+1)
1	35	Co-development of a biocontrol system and production of natural enemies by farmers and R&D actors at the regional level	Organizational, Technical	Organic integrated bioagressor management (AB)	1 (+1)
1	36	Co-development in the Caribbean of a plant protection product made from natural extract for the collective management of a problematic orphan pest (the ant <i>Acromyrmex octospinosus</i> ), by farmers and R&D actors	Organizational, Technical	Organic integrated bioagressor management (AB)	1 (+1)

2	10	Support by public organization for land consolidation between local farmers with semi-natural hedge planting	Institutional	Organic integrated bioagressor management (cortege)	1
2	11	Supply by researchers of a tool for farmers, to identify, manage and map pests in a participatory way, at the national level	Technical	Multiple, depend on the issue encountered (cortege)	1 (+1)
2	14	Local R&D group of organic farmers seeking to develop the practice of green manure (Leek)	Organizational	Service crop (weed, AB)	1 (+1)
2	15	Local R&D group of conventional and organic farmers seeking to better manage weeds in sheltered production	Organizational	Breaking reproduction cycles by mulching (weeds)	1
2	16	On-farm technical visits and peer exchange between local farmers supported by an R&D expert	Organizational	Organic integrated bioagressor management (cortege)	1 (+1)
2	17	Social media use for peer exchange and organization of meetings within a R&D group in the North of France	Technical	Organic integrated bioagressor management (cortege)	1
2	18	Social media use for peer exchange and organization of meetings within a R&D group in the East of France	Technical	Organic integrated bioagressor management (cortege)	1
2	20	Incentives for crop diversification by an organic store to its local suppliers through collective crop planning supported by an extension service	Organizational	Breaking reproduction cycles by crop diversification (cortege)	1
2	21	Incentives for crop diversification by a chain of organic stores to their local suppliers through collective crop planning supported by an extension service	Organizational	Breaking reproduction cycles by crop diversification (cortege)	4
<b>2</b>	<b>23</b>	<b>Supported crop rotation design involving local organic farmers, their marketing firms and R&amp;D actors</b>	<b>Organizational</b>	<b>Breaking reproduction cycles by crop diversification (cortege)</b>	<b>2</b>
3	12	Setting up at the national level of a sustainable farming practices certification for farmer organizations by farmers and the vegetable technical institute, with collective penalties in case of a breach (tomato and cucumber)	Institutional	Organic integrated bioagressor management (cortege)	1 (+1)
3	19	Incentives for crop diversification by the organic cooperative to its local suppliers through the shared requirement specifications	Institutional	Breaking reproduction cycles by crop diversification (cortege)	1
3	22	Incentives and technical support by an organic wholesaler for crop diversification to its local suppliers	Institutional, Organizational	Breaking reproduction cycles by crop diversification (cortege)	4
3	24	Incentives for artichoke system diversification by a marketing firm to their local suppliers, with the support of R&D actors	Institutional	Breaking reproduction cycles by crop diversification (cortege)	1

3	37	<b>Structuring at the national level of an alternative value chain around soil conservation practices guaranteed by specification requirements regulating stakeholders' practices and a charter, and supported by marketing firms and a R&amp;D network</b>	<b>Institutional, Organizational, Technical</b>	<b>Organic integrated bioagressor management (AB)</b>	<b>4 (+1)</b>
3	38	Collective establishment of a local Halo blight-free zone for green bean seed production, with prophylactic measures enforced by a dedicated multi-stakeholder committee	Institutional	Prophylactic measures (SB)	1 (+1)
3	39	Support by a supermarket chain to help farmers convert to organic farming, in collaboration with the inter-branch organization and chambers of agriculture at the national level	Institutional, Organizational	Organic integrated bioagressor management (cortege)	1
3	40	Support for organic farmers starting out, through local institutional land release in cooperation with R&D, processing and marketing firms	Institutional, Organizational	Organic integrated bioagressor management (cortege)	2
4	25	Pooling of crop rotation, outlets, investments and workforce between two distant farms increasing time availability for a better care and monitoring of the crops	Organizational	Prophylactic measures (cortege)	2 (+1)
4	26	Flexible pooling of land, workforce, equipment and sales between two local farms in a polyculture system	Institutional, Organizational	Breaking reproduction cycles by crop diversification (cortege)	2
4	27	<b>Flexible and supported pooling of land, workforce, equipment, inputs and sales between four local farms fostering crop diversification and organic conversion</b>	<b>Institutional, Organizational</b>	<b>Organic integrated bioagressor management (cortege)</b>	<b>2 (+1)</b>
4	28	Pooling of land management, equipment and workforce, with coordination of sowing dates to prevent the rapid spread of pests and disease, between thirty-five farmers across the island of Guadeloupe (melon)	Institutional, Organizational	Organic integrated bioagressor management (cortege)	3
5	1	Annual rental of open-field plots between landowners, cereal and melon farmers in a large peri-urban area (melons/wheat)	Organizational	Breaking reproduction cycles by crop diversification (cortege)	2 (+1)
5	2	Annual rental of open-field plots between cereal and vegetable local farmers (carrot/wheat)	Organizational	Breaking reproduction cycles by crop diversification (cortege)	1
5	3	Annual exchange of open-field plots and workforce between local farmers in a context of intense pressure for land resources (celery/corn)	Organizational	Breaking reproduction cycles by crop diversification (SB)	2
5	4	Annual rental or exchange of open-field plots between local farmers (seed potatoes/forage or meadow)	Organizational	Breaking reproduction cycles by crop diversification (weeds)	1

5	5	<b>Annual rental of sheltered plots between two local farmers (radish/salad)</b>	<b>Organizational</b>	<b>Breaking reproduction cycles by crop diversification (cortege)</b>	<b>2</b>
5	6	Multi-year rental of an open-field plot split in three to establish a rotation between two local farmers (melon/wheat)	Organizational	Breaking reproduction cycles by crop diversification (cortege)	1
5	7	Annual rental of open-field plots between a Belgian processing company and French farmers or landowners (potatoes)	Organizational	Breaking reproduction cycles by crop diversification (SB)	1
5	8	Annual or biannual rental of open-field plots between carrot and arable local farmers (carrot/maize)	Institutional, Organizational	Breaking reproduction cycles by crop diversification (SB, weeds)	1
5	9	Rental of open-field plots between two local farmers, with the one involved in a technical group aiming to improve the sustainability of farming practices (potatoes)	Organizational	Breaking reproduction cycles by crop diversification (SB)	1

252



### 2.3. Brief overview of the 40 case studies

253 The 40 case studies (Table 3) covered diverse territories, across eight of the twelve metropolitan  
254 French regions and two overseas regions. The cases captured a diversity of vegetable farming systems,  
255 e.g., market gardening in open-field production (10% of the Cs), under shelter (12.5%), large-scale  
256 open-field production of fresh vegetables (30%), and processing (12.5%). All cases involved vegetable  
257 farmers, sometimes interacting with farmers working in other production systems (e.g., livestock,  
258 arable crops). Marketing firms were involved in the innovations surrounding the long value chain  
259 (40%). The R&D actors (researchers, extension services) played an important role in 70% of the coupled  
260 innovations studied. Finally, other stakeholders were also involved, though to a lesser extent: public  
261 organizations (15%) such as administrations or water agencies, NGOs and associations (12.5%) such as  
262 trade unions and professional organizations, and input suppliers (10%) such as plant breeders and  
263 input retailers. The organic vegetable sector was overrepresented with 47.5% of the cases compared  
264 to its share of the national agricultural area: 7,8% of the area for fresh vegetables in 2019 (Agence Bio,  
265 2020). A combination of organic and non-organic actors was involved in 15% of the cases.

267 The coupled innovations combined ACP practices at the field level with innovations at the STAFS level  
268 supporting their implementation. The latter were most frequently organizational (77.5%), compared  
269 to institutional (32.5%) and technical (22.5%). In some case studies, they combined several types,  
270 mainly organizational and institutional (15%) (Table 3). The agroecological farming practices fostered  
271 by the coupled innovations mainly revolved around breaking the cycle of targeted bioagressors (47.5%,  
272 mainly through crop diversification) and organic integrated pest, weed and disease management  
273 (32.5%, e.g., by stimulating or introducing natural enemies) (Table 3). The other practices related to  
274 the introduction of service crops (5%), bioagressor monitoring (5%), prophylactic measures (2.5%), and  
275 a combination of several types of practices (7.5%).

276

### 2.4. Data analysis

277 The data analysis was performed in four stages.

279 Step 1: we characterized the sociotechnical levers in each case study based on the collected data and  
280 the analytical framework (see 2.1). We grouped them by common functions.

281 Step 2: For each case study, we assigned the categories of the supplementary variables describing the  
282 conditions for the implementation of the coupled innovations and their evaluation (Table 2, section  
283 2.1).

284 Step 3: We built a typology of the 40 coupled innovations by multiple correspondence analysis (MCA),  
285 followed by a hierarchical cluster analysis on the principal components (HCPC). The explanatory

286 variables were the sociotechnical levers' function, translated as binary variables: "yes" for "function  
287 performed", and "no" for "function not performed". Thus, the HCPC grouped the innovation cases into  
288 the same cluster when they performed the same specific combination of lever functions. To identify  
289 the variables' categories that characterized each cluster compared to the others, a hypergeometric  
290 test was performed on the number of individuals (i.e. number of case studies) in Cluster X, which  
291 compared Category A of Variable  $\alpha$  to the other clusters, for each cluster, each category and each  
292 variable. A p-value<0.05 revealed that for Variable  $\alpha$ , Category A was more present in Cluster X than  
293 in other clusters (Lê et al., 2008). We tested all explanatory and supplementary variables (See Step 2).  
294 All statistical analyses were conducted using R (v4.0.2), with the packages FactoMineR and ade4. To  
295 identify the variables' categories characterizing elements that played an important role in the  
296 functioning of each cluster's innovations, although not significantly specific to this cluster, we looked  
297 at the percentage of occurrence of each Category A for each Variable  $\alpha$  and each Cluster X. These  
298 analyses supported a comprehensive cross-case study analysis to reveal generic types of coupled  
299 innovation, i.e., clusters, based on similar combinations of lever functions and provide an overview of  
300 the diversity of conditions for the innovation implementation and their evaluation.

301 Step 4: For the five coupled innovations studied in-depth, a detailed description was written as a  
302 "story" based on the analytical grid (Table 2). The aim is to capture the complex relations between  
303 sociotechnical levers, the functions they perform, the network involved, the ACP practices  
304 implemented and the conditions for innovation implementation. These complex relations cannot be  
305 captured using only categorical variables.

### 306 3. Results

307 In this section, we first present the identified sociotechnical levers grouped by functions (3.1), then the  
308 five clusters of coupled innovations (3.2.). In section 3.1., the numbers in brackets indicate the  
309 proportion of case studies in the overall sample for a given category, whereas in Section 3.2 they  
310 indicate the proportion of case studies in a given cluster for a given category. In the whole Results  
311 section, "N.S." stands for "not significant" and indicates p-value>0.05, "\*" indicates p-value<0.05, "\*\*\*"  
312 p-value<0.01 and "\*\*\*\*" p-value<0.001.

#### 313 3.1. Levers performing key functions to overcome barriers to change in farming 314 practices

315 Through an inductive data analysis from our full sample (n=40), we identified 17 sociotechnical lever  
316 functions (in *italic*), which we grouped into five lever meta-functions (in **bold** - Table 4). Several  
317 sociotechnical levers performed a variety of functions in each of the 40 cases. In this section, we

318 present the lever functions by showing the link with the farming practice determinants it modifies  
319 (Table 1) and by giving examples of the corresponding sociotechnical levers from the case studies.

320 The first lever meta-function, “**Providing material resources**” (75%, Table 4), comprises seven lever  
321 functions related to the direct or indirect provision of material resources at farm and STAFS levels  
322 (Table 1). The function *Financial support* (57.5% of the Cs) is performed by providing free services (e.g.,  
323 a decision-making support system in Cs13), access to resources at a lower price (e.g., the bulk purchase  
324 of inputs in Cs30), easier access to capital (e.g., pooling the investment capacity of several farmers in  
325 Cs27 and Cs31), or paid services (e.g., Cs1 to Cs9) (Table 4). *Outlet guarantee* (32.5%) is fulfilled when  
326 there is a guarantee that the product yielded with the new practices (e.g., diversified production in  
327 Cs32) will be sold at an attractive price, e.g., thanks to specification requirements and a label for the  
328 consumers (Cs37, 40). *Land pooling* (30%) is achieved by renting, exchanging or sharing land (e.g., Cs1  
329 to Cs9). Some of the coupled innovations also provide *Access to new inputs* (17.5%) (e.g., Cs30:  
330 varieties) and *New equipment or access to facilities* (10%) (e.g., shelter infrastructure in Cs25). Some  
331 coupled innovations facilitate access to workforce (*Workforce access*, 12.5%), for instance by pooling  
332 workforce (Cs27) or providing services (Cs6 and Cs8). Finally, some innovations afford workforce time  
333 savings (*Time saving*, 15%), for example with each farmer specializing in specific tasks within pooled  
334 farms (Cs25 and Cs26).

335 The second meta-function, “**Risk reduction**”, relates to a single function: *Risk reduction* (42.5%) at  
336 farm level. It is performed, for instance by securing outlets (Cs32) or renting out land for high-value  
337 cash crop production instead of producing risky crops (carrots vs maize in Cs8). This function helps  
338 overcome the barriers that arise from farmers’ risk aversion (Table 1).

339 The third meta-function, “**Providing cognitive resources to farmers and other STAFS stakeholders**”  
340 (77.5%, Table 4), encompassed three lever functions targeting the filling of a gap in knowledge, data  
341 or know-how, i.e., improving cognitive resources (farm and STAFS level – Table 1). *Knowledge provision*  
342 was the second most performed function in our sample (62.5%, Table 4). Coupled innovations  
343 performed it when knowledge, data or know-how is provided to certain actors (mainly farmers) by  
344 other actors (mainly public or private R&D organizations), e.g., by the co-development of a decision  
345 support tool (Cs11, 13). We distinguished this function from *Peer-exchange* (35%) among farmers,  
346 which is known to provide farmers with multiple cognitive and social resources (Mawois et al., 2019)  
347 that they appropriate more easily (e.g., exchanges through a social media Cs17 and 18). *Knowledge*  
348 *production* (32.5%) stands either for the production of new knowledge through experiments (e.g.,  
349 Cs29, Cs35), or for the formalization of stakeholders’ empirical knowledge (e.g., Cs14,15).

350 The fourth meta-function, “**Creating or changing shared rules**” (55%, Table 4), relates to supporting  
351 change in the shared rules among stakeholders influencing farming practices (STAFS level-Table 1).  
352 *Change or set of regulative rules* (37.5%, Table 4) is performed either through a legal entity (e.g., a  
353 cooperative for sharing equipment, land and workforce in Cs26, Cs27 and Cs28) or through contracts  
354 or charters (e.g., farming practice specification requirements to obtain a quality certification in Cs12  
355 and Cs37). *Change in normative rules* (27.5%) specifically relates to changes in role expectations (e.g.,  
356 buyers from marketing firms expected to support crop diversification, in Cs22) or in norms (e.g., leased  
357 fields were to be returned in the same condition as at the beginning of the lease, in Cs5 and Cs6).  
358 *Change in cognitive rules* rarely played a role (7.5%). This is performed when a stakeholder is changing  
359 others’ paradigms or values, for example with an R&D actor sensitizing buyers to take crop  
360 diversification into account when setting requirements to farmers, and not only marketing constraints  
361 (Cs22).

362 Finally, the fifth meta-function, “**Facilitating stakeholders’ interactions**” (75%, Table 4) consists of  
363 three lever functions that facilitate the multi-stakeholder interactions, and ultimately to foster change  
364 towards ACP (Table 1). It includes creating or strengthening knowledge infrastructure (Table 1). We  
365 found that *Intermediation* by intermediary actors was performed in most cases (67.5%, Table 4). The  
366 intermediaries were mostly public extension services (in 15 cases), but also NGOs (3 cases), farmer  
367 organizations (3 cases) and other stakeholders (5 cases – researchers, technical committees,  
368 independent advisors). They handled various tasks: connecting stakeholders; facilitating the building  
369 of a common vision; organizing and facilitating meetings either to plan actions (e.g., a new production  
370 campaign in Cs20 and Cs21) or to exchange and formalize knowledge (e.g., between farmers in Cs14  
371 and Cs15); mediating negotiations (between farmers and marketing firms in Cs22 and Cs37) or  
372 organizing the collection, production, formalization and translation of knowledge and its dissemination  
373 to various stakeholders (e.g., experimental stations in Cs32 and Cs33). *Structuring of a new network*  
374 (45%) facilitates knowledge exchange or the organization of collective action, through a novel network  
375 infrastructure, e.g., farmer group buying together seeds from alternative varieties (Cs30). Finally, 20%  
376 of the studied coupled innovations specifically fulfilled the function *Facilitation of communication*  
377 *between stakeholders*, with stakeholders that normally have little interaction with one another  
378 surrounding farming practices. For instance, in Cs28, a marketing firm, farmers and an agronomist  
379 discussed together pest dynamics, so as to plan rotations on the 35 involved farms to prevent the rapid  
380 spread of pests while respecting the marketing firm’s requirements. We distinguished *Structuring of a*  
381 *new network* and *Facilitation of communication between stakeholders* from *Intermediation*, as the first  
382 two functions were crucial to overcome barriers for numerous innovations studied. Besides, they were

383 not always performed by an intermediary, but sometimes by one of the core stakeholders, e.g., in  
384 Cs19, the communication was facilitated by the organic cooperative.

385 Table 4 – Sociotechnical lever functions performed in the coupled innovations studied. The numbers in the table designate the proportion in the overall sample or in a given cluster of the  
 386 case studies which perform a given lever function or lever meta-function (line ‘Meta-function’). When the presence of a lever function (occurrence>50%) or its absence (occurrence<50%)  
 387 characterizes significantly the cluster according to the hypergeometric test, an indication of the significance is given: \* for p-value<0.05, \*\* for p-value<0.01 and \*\*\* for p-value<0.001.

Sociotechnical lever meta-functions	Sociotechnical lever functions	Occurrence of the functions (%) and statistical significance for cluster determination					
		In the overall sample (n=40)	In Cluster 1 (n=9)	In Cluster 2 (n=10)	In Cluster 3 (n=8)	In Cluster 4 (n=4)	In Cluster 5 (n=9)
Providing material resources	Access to new inputs	17.5	56**	0	12.5	25	0
	Land pooling	30	0*	0*	0*	75	100***
	New equipment or access to facilities	10	0	0	0	100***	0
	Workforce access	12.5	0	0	0	75**	22
	Time saving	15	11	30	0	50	0
	Financial support	57.5	88*	10***	37.5	50	100**
	Outlet guarantee	32.5	11	20	100***	50	0*
	<b>Meta-function</b>	75	100	40	50	100	100
Risk reduction	Risk reduction (42.5%)	42.5	0**	30	63	0	100***
Providing cognitive resources to farmers and other STAFS stakeholders	Knowledge provision (62.5%);	62.5	89	80	100*	25	0***
	Knowledge production	32.5	89***	40	12.5	0	0*
	Peer exchange (35%)	35	11	100***	0*	75	0*
	<b>Meta-function</b>	77.5	100	100	100	100	0
Creating or changing shared rules	Change or set of new regulative rules	37.5	0**	10*	87.5**	75	44
	Change in normative rules	27.5	0*	0*	12.5	75	78***
	Change in cognitive rules	7.5	0	0	25	0	11
	<b>Meta-function</b>	55	0	10	100	100	100
Facilitating stakeholders’ interactions	Structuring of a new network	45	89**	50	63	0	0**
	Facilitation of communication between stakeholders	20	22	10	50*	25	0
	Intermediation	67.5	78	100**	88	50	11***
	<b>Meta-function</b>	75	100	100	100	50	11

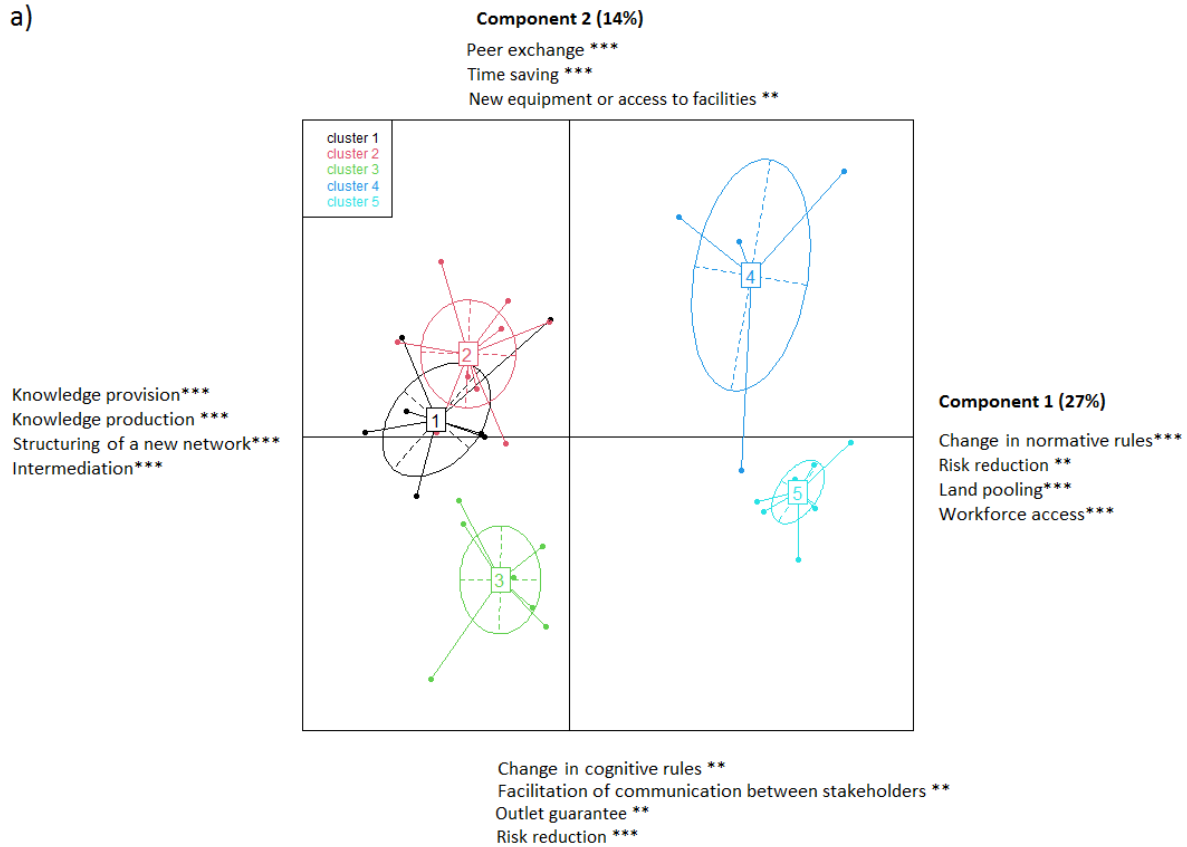
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### 3.2. Five clusters of coupled innovations each performing specific lever functions in a variety of ways and conditions

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390  
391 Using the 17 sociotechnical lever functions as explanatory variables, we conducted the MCA analysis  
392 followed by the HCPC classification on the first three components found by the MCA. It revealed five  
393 clusters of coupled innovations, each characterized by a specific combination of lever functions  
394 (maximization of the inertia gain, Fig. 1). The three-component model provided a good fit, explaining  
395 55% of the variance. All the correlated variables ( $p < 0.01$ ), i.e. the lever functions explaining each  
396 component, were identified and sorted according to their correlation with the components (Figure 1).  
397 Figure 1.a and 1.b summarize the latter information as well as the relation of the clusters to the three  
398 main components and the lever functions. For both figures, the further a cluster is positioned at one  
399 side of a component axis, the stronger is the presence within this cluster of the lever functions  
400 indicated on that side, and the weaker is the presence of the functions indicated on the opposite side.  
401 For instance, in Fig 1.a, the cluster 5 grouped innovations where the functions *Change in normative*  
402 *rules*, *Risk reduction*, *Land pooling and Workforce access* are very present, and the functions  
403 *Knowledge provision*, *Knowledge production*, *Structuration of a new network* and *Intermediation* are  
404 very little present or absent.

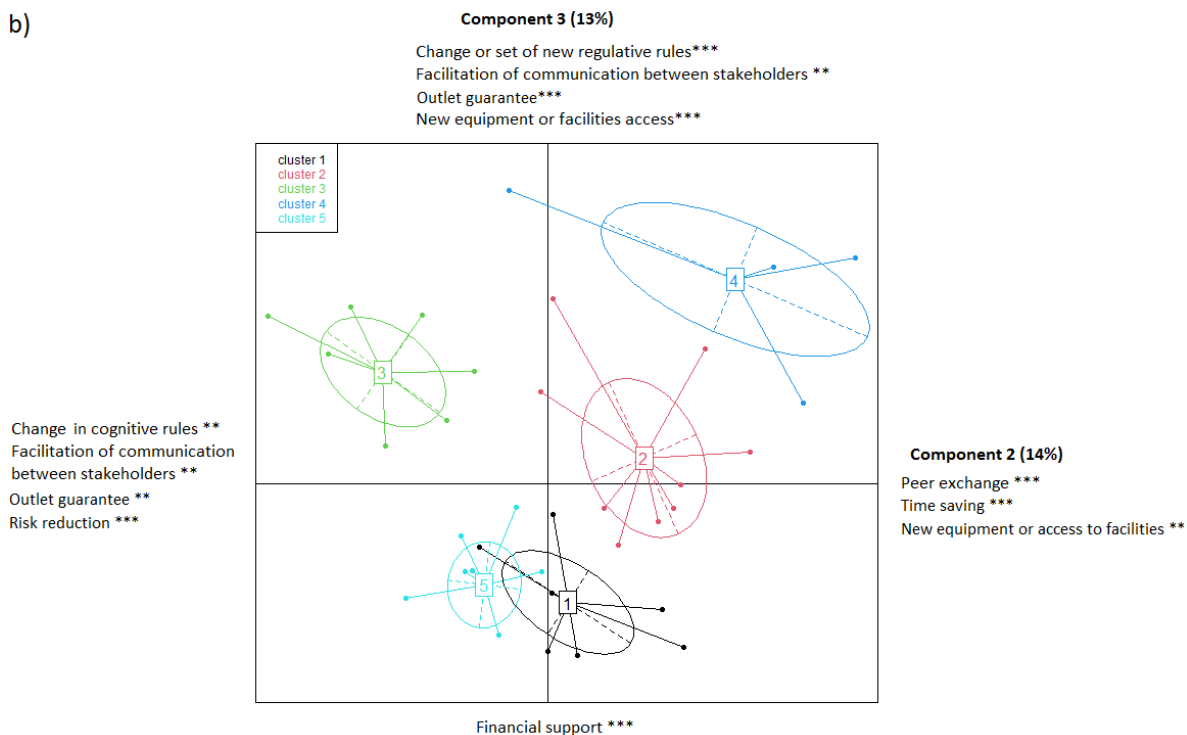
405 In the following sections, for each of the five clusters, we first present the combination of lever  
406 functions that characterize the cluster, the diversity of coordinated actions performing them and their  
407 links with ACP practices. Then we present the diversity of conditions for their implementation and their  
408 impacts on pesticide use and bioagressor management. We differentiate the categories of explanatory  
409 or supplementary variables that are significantly specific to a cluster, from the ones that are not  
410 statistically specific but which are largely present in the cluster and meaningful for describing it. We  
411 show the difference by using N.S abbreviation for “Not Significant”. Finally, for each cluster, we show  
412 how sociotechnical levers’ actions, functions and ACP practices articulate, with presenting an “in-depth  
413 case studied”. The Table 3 above indicates the case studies’ cluster, identification number and nature.  
414 Figure 2 presents the cluster ideotypes, which synthesize for each cluster how the typical coordinated  
415 actions performed functions supporting the implementation of ACP.

a)



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b)



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Figure 1 – Distribution and grouping of the 40 coupled innovations according to the sociotechnical lever functions performed. a) Distribution of the innovation cases grouped by clusters according to Components 1 (27.2% of the variance) and 2 (14.2%) of the MCA and their correlated variables. b) Distribution of the cases according to Components 2 (14.2%) and 3 (13.3%) and their correlated variables. The significance of the correlation between the lever functions and the components is indicated with \*\* for p-value<0.01 and \*\*\* for p-value<0.001. The distribution according to Components 1 and 3 shows little difference with Fig.1.a), hence it is not presented. Ellipses are centered on the centroids of the groups. The width and height of the ellipses are given by the variances.



425 3.2.1. Cluster 1: Co-development of knowledge infrastructure partly coupled with input  
426 production and provision to foster the implementation of agroecological practices  
427 Cluster 1 is comprised of nine coupled innovations and is characterized by the combination of the  
428 following lever functions: *Knowledge production* (89%\*\*\*), *Structuring of a new network* (89%\*\*),  
429 *Financial support* (78%\*) and *Access to new inputs* (56%\*); and also performing, for the largest share,  
430 *Knowledge provision* (89% N.S.) and *Intermediation* (78% N.S.) (Table 4 ; Fig. 2a). For half of the cases  
431 studied, the knowledge produced and provided was explicitly associated with the use of new specific  
432 input (e.g., a biocontrol agent in Cs29, Cs35 and Cs36) or new varieties (Cs30, Cs31). For the other half,  
433 this knowledge related to a range of agroecological practices, tested on experimental stations (Cs32  
434 and Cs33) or in an agroforestry network (Cs34), or advised through a Decision-Making Support System  
435 (Cs13). Dynamic and structured new networks produced and provided this knowledge. Intermediation  
436 was achieved by a professional organization (Cs13, Cs33), public R&D (Cs29, Cs32, Cs35 and Cs36) or a  
437 cooperative (Cs31). It facilitated interaction within networks producing and sharing knowledge,  
438 comprised of farmers, public organizations and private companies. They were financially supported  
439 mostly by public R&D subsidies (Cs29, Cs32, Cs33, Cs35 and Cs36) as well as marketing or input supply  
440 firms (Cs13, Cs32 and Cs33).

441 The coupled innovations in Cluster 1 specifically relied on technical innovations (56%\*) to implement  
442 levers, such as new production techniques for biocontrol input production (Cs29, Cs35, 36) (Fig. 2a).  
443 Yet organizational innovations were predominant (89%), as all the innovations involved novel networks  
444 of actors. The stakeholders shared professional ties (89%\*). The conditions of success, according to  
445 the interviewees, depended on the degree of reliance on the availability of material and human  
446 resources. Resources were the primary barrier (89%\*\*\*), and the main resources were capital (30%\*\*)  
447 and inputs (30%\*\*). Moreover, in 89% of the cases studied, the key factors for lasting innovation  
448 revolved around human resources (presence of a stakeholder dedicated to coordination, frequent  
449 solicitations, or stakeholder flexibility). We also noted that the innovations were mainly initiated by  
450 public R&D actors (67% N.S.), and primarily within the organic sector (67% N.S.). Finally, the  
451 stakeholders interviewed considered that these coupled innovations showed rather good results for  
452 pesticide reduction (56% N.S.) and bioagressor reduction (67% N.S.).

453 Case 29, which we analyzed in-depth, shows how the above-mentioned elements can be concretely  
454 combined to foster the implementation of new farming practices in a given territory. This coupled  
455 innovation consisted in developing a local supply of banker plants and natural enemies, and the related  
456 technical support. The local organic farmers' association brought together 15 farmers around this  
457 project (*Intermediation* and *Structuring of a new network*). In 2014, they initiated a R&D group funded  
458 by French public subsidies (*Financial support*). The project was carried out in partnership with the INRA

459 experimental station Alenya and the local farming high school. These two partners were in charge of  
460 developing and testing growing techniques for banker plants and natural enemies, and for supplying  
461 the organic farmers' association with the relevant inputs (*Knowledge production, Access to new*  
462 *inputs*). The project also involved a plantlet nursery. The association's technical advisor coordinated  
463 the project: he connected the participants, defined the shared goal, formalized knowledge (*Knowledge*  
464 *production*), translated and transferred it to the high school and the farmers (*Knowledge provision*),  
465 and delivered the banker plants to the farmers (*Access to new inputs*), with the support of a technician  
466 and researchers from INRA (*intermediation and Structuring of a new network*). The R&D group allowed  
467 for building lasting cooperation between the association and the high school, which continued even  
468 after the subsidies ended (2017). This coordination afforded total control of black aphids on  
469 Cucurbitaceae crops on participating farms. The main barriers encountered were (i) economic viability,  
470 (ii) the difficult sourcing of inputs to breed natural enemies (e.g., samples of pure strains of black  
471 aphids) and (iii) the lack of farmers' involvement in the production process. The key factors of success  
472 were shared motivation, funding, access to the required equipment, inputs and facilities, a dedicated  
473 facilitator, and relevant know-how.

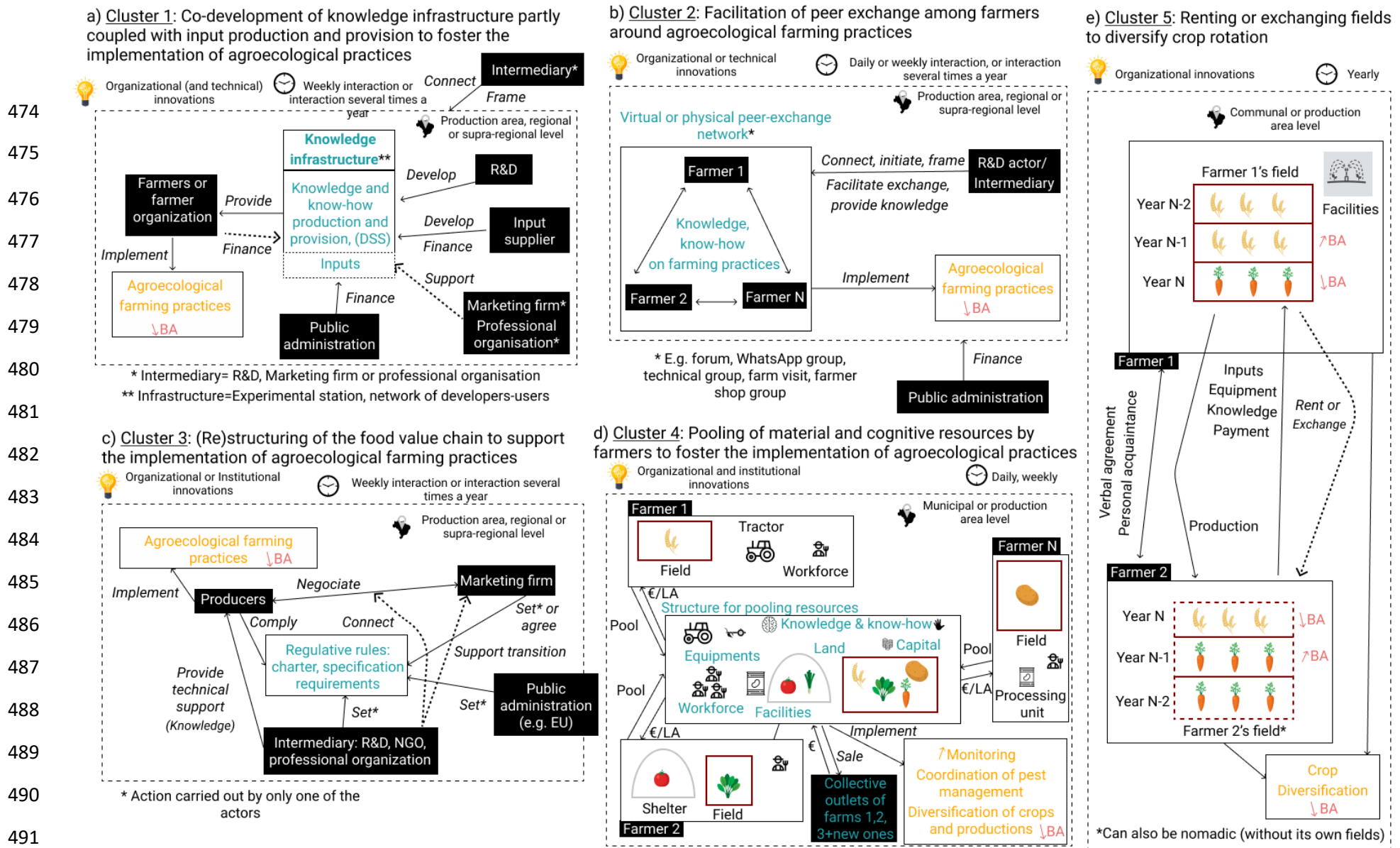


Figure 2 - Ideotypes of coupled innovation clusters. Farming practices are indicated in orange, the objects of coordination in blue, and the bioaggressors (BA) managed in red. The black squares represent the stakeholders. The large dotted frames represent the territory across which the coordination is taking place (some actors can be outside, e.g., Clusters 1 and 2, or both inside and outside, e.g., Cluster 3). The dotted arrows represent optional actions by stakeholders. a) DSS = Decision-Making Support System. While input provision is optional, it was a key and original feature of half the coupled innovations studied. c) EU = European Union. d) €/LA = benefits redistributed as a share of the resources pooled (especially land area). Organic farming is overrepresented within Clusters 1 to 4.

### 492 3.2.2. Cluster 2: Facilitation of peer exchange among farmers around agroecological 493 farming practices

494 Cluster 2 is comprised of 10 coupled innovations, all performing *Peer exchange (\*\*\*)* and  
495 *Intermediation (\*\*\*)* (Table 4; Fig. 2b). We can also note that in this cluster, *Knowledge provision* was  
496 performed in 80% of the cases, *Knowledge production* in 40% of the cases, and *Structuring of a new*  
497 *network* in 50% of the cases (N.S.). This cluster encompasses coupled innovations that mainly revolved  
498 around the facilitation of a new or existing peer-exchange network of vegetable farmers and the  
499 transfer of formalized knowledge to these farmers. Most of the networks were facilitated by public  
500 extension services, focusing on knowledge about a specific agroecological technique (e.g., leek as  
501 green manure in Cs14; also Cs10, Cs15 and Cs23), the practices of the farms visited (Cs16), or simply  
502 the practices of the farmers around the table (Cs10, Cs20 and Cs21). In some cases, tools were used to  
503 support this facilitation: WhatsApp groups (Cs17 and Cs18), and a web forum linked to an application  
504 that supported pest identification, mapping and provided knowledge on their management (Cs11). As  
505 in Cluster 1, these networks constitute a knowledge infrastructure.

506 The innovations in Cluster 2 were initiated by public R&D actors (70%\*), with a view to improving  
507 farming practices (agronomic motivations, 60%\*) (Fig. 2b). Knowledge acquisition was a major factor  
508 driving the development of the innovations (40%\*). Knowledge was also the main resource needed  
509 (50%\*\*). The innovations mainly involved cooperation between farmers and R&D actors (100% of the  
510 cases), public administrations (20% of the Cs) or marketing firms (30% of the Cs), at the production  
511 area level (70% of the Cs) or higher (30% of the Cs), through regular meetings (several times a year,  
512 weekly or daily for 90% of the cases). In half of the cases, the innovation arose from purely professional  
513 relations, and in the other half from personal acquaintances. The key factors of lasting cooperation  
514 were “frequent solicitations” (40% N.S.) and the “presence of a stakeholder dedicated to coordination”  
515 (40% N.S.). The main barriers were “distance” (40% N.S.) and “relational” challenges (30% N.S.). Half  
516 of the cases mostly involved actors from the organic sector. The impact on bioaggressors and pesticide  
517 reduction was only evaluated in 30% of the cases, and was positive.

518 Case 23, which we analyzed in-depth, illustrates the characteristics of Cluster 2, with the co-design of  
519 diversified crop rotations (species, varieties and service crops). It was initiated and led by INRA Alenya  
520 researchers as part of the European project *DiverImpacts* (2017-2022) (*Financial support*) to develop  
521 methods to facilitate crop diversification, and thus reduce bioaggressor pressure. It involved the  
522 extension services of the local chamber of agriculture, four organic farmers, and two organic marketing  
523 firms selling the vegetables. The researchers and extension service agent identified and brought the  
524 other stakeholders onboard (*Structuring of a new network* and *intermediation*), as well as identifying  
525 organizational, technical and marketing barriers to crop diversification for vegetable farmers on the

526 Roussillon region (*Knowledge production*). They then co-designed diversified cropping systems with  
527 the farmers and marketing firms (*Intermediation, Peer-exchange and Knowledge diffusion*). The INRA  
528 researchers created tools for the stages of 'diagnosis' and 'co-design', with the support of the  
529 participants of the European project (*Knowledge production*). The INRA researchers and technicians  
530 designed and led experiments on crop diversification on their experimental station, from cropping to  
531 sales, to serve as a basis for knowledge production (*Knowledge production*) and exchange (*Peer-*  
532 *exchange, Knowledge diffusion and Intermediation*). The researchers and extension services structured  
533 the evolution of the project, ensuring that the other stakeholders' expectations were met  
534 (*Intermediation*). They then capitalized on the co-produced knowledge. According to the interviewees,  
535 the main barriers were the high cost of the overall methodology, and difficulties in mobilizing a diverse  
536 range of farmers (especially conventional farmers). The main conditions of success were shared  
537 motivations, the availability of knowledge, the financing of researchers and advisors, involving a  
538 diverse range of stakeholders (especially marketing firms), and tailored work with the farmers and  
539 marketing firms. According to the extension services involved, the cropping system prototypes that  
540 were designed would have never been imagined by the farmers alone. One was already implemented  
541 in 2019.

### 542 3.2.3. Cluster 3: (Re)structuring of the food value chain to support the implementation of 543 agroecological farming practices

544 Cluster 3 is comprised of eight coupled innovations that relied specifically on *Outlet guarantee*  
545 (*100%\*\*\**), *Change or set of new regulative rules* (*87,5%\*\**), *Knowledge provision* (*100%\**), and the  
546 *Facilitation of communication between stakeholders* (*100%\**) (Table 4; Fig. 2c). The innovations  
547 fostered change in practices by guaranteeing an outlet for crops grown using alternatives to pesticides:  
548 biological control and integrated pest management (Cs12, Cs37), organic production (Cs24, Cs39,  
549 Cs40), diversification of production (Cs19, Cs22), or following strict prophylactic measures (Cs38). They  
550 also provided farmers with the new knowledge needed to implement these alternative practices.  
551 Changes in farming practices were structured and enforced through regulatory rules (except in Cs19),  
552 for instance specific requirements enforced through a certification process (Cs37). *Intermediation*  
553 (*87.5% N.S*) and the *Structuring of a new network* (*62.5% N.S.*) are also important lever functions in  
554 this cluster. These two functions consist in facilitating new or existing networks and supporting  
555 communication between their members around agroecological practices, the barriers to their  
556 implementation, and the levers to overcome them.

557 The coupled innovations in Cluster 3 specifically revolved around institutional innovations (*100%\*\*\**,  
558 e.g. a new certification for alternative farming practices in Cs12, Cs37, Cs38), complemented by  
559 organizational innovations (*50%*),. They were implemented at production area level (*62.5% N.S.*) or

560 higher (37.5% N.S.) (Fig. 2c). The innovations were characterized by multi-year contracts (75%\*). They  
561 involved mainly coordination between farmers, marketing firms and R&D, and for half the cluster, NGO  
562 support (50%\*\*\*). The relations between the stakeholders were exclusively professional (100%\*\*).  
563 They met either weekly or several times a year (87.5% cumulated). These innovations were initiated  
564 overwhelmingly by marketing firms (62.5%\*\*), and the motivations were initially economic (62.5%\*  
565 The main barriers were competition and limited availability of the resources needed (87.5%  
566 cumulated), namely “outlets” (37.5%\*) as well as “resource actors” (50% N.S.). The key conditions of  
567 coordination success were economic results and the actors’ flexibility (75% cumulated). Most of the  
568 innovations could not be evaluated by the interviewees, either because they had only recently been  
569 implemented, or because the person interviewed had not received sufficient feedback from farmers.  
570 We also noted that the involved actors were largely from the organic sector (62.5% N.S.).

571 Case study 37 exemplifies the articulation of the above-mentioned elements (Fig. 2c) in the case of the  
572 structuring of an alternative value chain around soil conservation management practices. This project  
573 was initiated in 2017 by a French NGO, originally co-founded, among others, by alternative  
574 agronomists who are known figures of conservation agriculture and agroforestry, a cook, and the head  
575 manager of a marketing firm. It was financed and managed mainly by marketing firms, but also by  
576 representatives of other stakeholders such as farmers and agronomists. These actors promoted  
577 agroecological systems guided by the principles of conservation agriculture (Palm et al., 2014) at  
578 national level, to foster soil life and reduce soil-borne pest and disease pressure. The NGO advocated  
579 a change in the farming paradigm (*Change in cognitive rules*) and the construction and dissemination  
580 of knowledge on conservation agriculture systems (*Knowledge production and diffusion*), for instance  
581 by organizing a seminar bringing together farmers and all public and private R&D actors  
582 (*Intermediation*). The NGO’s employees (mainly agronomists) connected marketing firms and farmers  
583 (*Structuring of a new network*), and supported a change in the practices of both types of stakeholders  
584 towards conservation agriculture. They formalized specification rules for vegetable production that  
585 commit farmers to gradually shifting their practices towards conservation agriculture (*Change or set*  
586 *of new regulatory rules*). They formalized a charter, and sensitized and monitored marketing firms to  
587 ensure that the farmers involved were paid at a fair price and that the marketing firms changed their  
588 practices (e.g. changing sorting practices to accept potatoes with irregular shapes) (*Change or set of*  
589 *new regulatory rules, Outlet guarantee*). They provided technical support to assist the farmers’  
590 transition either directly or by connecting them with R&D experts in conservation agriculture in their  
591 region (*Knowledge diffusion, Intermediation*). Finally, they informed consumers, for instance with a  
592 new label for melons in the south-east of France. The main conditions of success were significant

593 private funding, facilitation by the NGO acting as an intermediary, the involvement of marketing firms  
594 providing outlets, and networks of farmers historically involved in alternative farming practices.

#### 595 3.2.4. Cluster 4: Pooling of material and cognitive resources by farmers to foster the 596 implementation of agroecological practices

597 Cluster 4 encompasses four coupled innovations, characterized by two functions: *New equipment or*  
598 *access to facilities* (100%\*\*\*), and *Workforce access* (75%\*\*\*) (Table 4; Figure 2d). The provision of  
599 other farm material resources is also important in this cluster: *Land pooling* (75% N.S.), *Time saving*  
600 (50% N.S.), *Financial support* (50% N.S.) and *Outlet guarantee* (50% N.S.). *Peer-exchange* (75% N.S.),  
601 *Change or set of new regulative rules* (75% N.S.) and *Change of normative rule* (75% N.S.) play a  
602 significant role as well. The farmers pooled land, equipment, facilities, workforce, outlets, capital or  
603 knowledge, facilitating access to missing resources to implement agroecological practices, for instance  
604 shelter to produce specific crops (Cs25) or an agronomist to coordinate planting dates according to the  
605 wind direction so as to limit flows of melon whiteflies, thrips, aphids and powdery mildew (Cs28). The  
606 structuring of this coordination relied on the involvement of legal entities (in all cases except Cs25; e.g.  
607 a machinery cooperative to share tools) and the setting of norms, responsibilities, duties, and roles (in  
608 all cases except Cs27).

609 Cluster 4 covers four coupled innovations implemented mainly on a small scale at communal level  
610 (75%\*) (Cs25, Cs26, Cs27), but also on a large scale at production area level (island of Guadeloupe in  
611 Cs28) (Fig. 2d). These were specifically initiated by farmers (100%\*), with some also involving R&D  
612 actors (one case) or a marketing firm (one case). The coordination was carried out by stakeholders who  
613 tended to be connected through friendship ties (50%\*), but also personal acquaintance (50% N.S.). It  
614 specifically took place in daily meetings (75%\*). These coupled innovations involved organizational  
615 (100%) and institutional (75%) innovations. The small-scale pooling initiatives were specific to organic  
616 farming (75% N.S.). They were built on long-term formal contracts (75%) or simple verbal agreements  
617 (Cs25). The key conditions of success appear to have been a shared desire to achieve this coordination,  
618 proximity between farmers, adaptability, and trust. The impact on bioagressor and pesticide use could  
619 only be evaluated in two cases, where the innovations were considered to have a positive impact on  
620 bioagressors (Cs25, Cs26).

621 Case 27 exemplifies the innovations in Cluster 4, at a small scale. Four farmers from a French  
622 agricultural equipment cooperative converted to organic together starting in 2014, and initiated a R&D  
623 group in 2015. They were supported by the regional federation of equipment cooperatives and an  
624 external coach. Thanks to a specific legal status (SEP, "Société En Participation"), they structured the  
625 pooling of their land and crop rotations, inputs supply, workforce and sales proportionally to the  
626 surface provided (*Change or set of new regulative rules*, **Providing material resources**), while

627 remaining independent farms. They also created an equipment cooperative for the storage and  
628 packaging of vegetables and tools (e.g., for weeding). The coach, who visited the farmers every two  
629 months, facilitated interaction between them and the work on existing or new projects  
630 (*Intermediation*). The coordination between the farmers facilitated and sustained access to a skilled  
631 workforce, improved effectiveness through the sharing of tasks, increased access to the equipment  
632 and facilities needed, and increased the value generated per hectare. It also promoted knowledge  
633 exchange between peers (*Peer exchange*). It then fostered crop diversification, known to reduce  
634 bioagressor pressure. It also fostered the conversion to organic farming of other farmers on the  
635 territory. The key conditions of success were shared dynamics and vision, trust and involvement in the  
636 group, financial support (initially from the R&D group for the coach and then banks for the required  
637 investments), and economic success. The main difficulty was managing this complex system  
638 (administration, human resources).

639

#### 640 3.2.5. Cluster 5: Renting or exchanging fields to diversify crop rotation

641 Cluster 5 is comprised of nine coupled innovations characterized by the following levers functions:  
642 *Land pooling* (100%\*\*\*), *Financial support* (100%\*\*), *Risk reduction* (100%\*\*\*) and *Change in*  
643 *normative rules* (78%\*\*\*) (Table 4; Fig. 2e). According to our interviews, the cases did significantly not  
644 involve **Cognitive resources provision** (0%\* to \*\*\*) or the *Structuring of a new network* (0%\*\*), and  
645 very little *intermediation* (11%\*\*). The cases enabled crop diversification through field exchange or  
646 renting between vegetable farmers and other farmers (arable farmers in Cs1 to Cs9, as well as  
647 stockbreeding farmers in Cs4), between two vegetable farmers (Cs5) and between a landowner and a  
648 processing firm (potatoes, in Cs7). The farmers hosting high-value vegetable crops were always  
649 compensated for the rental or exchange, providing them with financial support without associated  
650 economic risk.

651 Cluster 5 covers nine coupled innovations, all fostering crop diversification to break bioagressor life  
652 cycles (100%\*\*\*), especially of soil-borne pests and diseases (44%\*) (Figure 2e). These organizational  
653 innovations (100% N.S.) specifically applied at the communal level (66%\*), and mostly revolved around  
654 open-field exchange (only Cs5 was under shelter). They were characteristically initiated by farmers  
655 (89%\*\*\*), for sanitary reasons (89%\*\*\*). Conventional farmers (100%\*\*\*) were also the main  
656 stakeholders of the coordination (67%\*\*), based on yearly interaction (67%\*\*\*) and informal  
657 agreements (78% N.S.). In most cases (all but one), the stakeholders were personally acquainted, as  
658 friends, family or neighbors. The main barriers were land (44%), and conflict between the lessor and  
659 the tenant around the management of land and/or infrastructure (relational issues, 33%). Distance  
660 was also a barrier in two cases, as the transport of workers and equipment is costly. The key condition



661 of success was trust, both to initiate the coordination (66%<sup>\*\*\*</sup>) and to sustain it (78%<sup>\*\*\*</sup>). In several  
662 cases, the economic balance also initially played a distinctive role (33%<sup>\*</sup>). According to interviewees,  
663 these exchanges or rentals allowed for reducing bioaggressor pressure (89%<sup>\*</sup>). However, in two cases,  
664 the interviewees reported an increase in herbicide use either to guarantee that the weed pressure  
665 would not increase and to strengthen trust (Cs9), or to deal with regrowth of cover crops' plants in a  
666 carrot crop from previous arable rotations (Cs2).

667 Case 5 exemplifies how the coupled innovations in Cluster 5 can be implemented. Two farmers, P1 and  
668 P2, owned two farms 12km away from each other, and were friends. P2 was looking for sheltered  
669 space where no *Brassicaceae* had been cropped over the last few years to grow radishes with limited  
670 bioaggressor pressure (whitefly, mildew, cabbage fly). P1 had free sheltered plots where he had stopped  
671 growing salads for economic reasons. He thus agreed to rent out the shelter (*land pooling*) to P2 for  
672 €1,800/ha (*Financial support*), through a verbal agreement. P2 had to return the shelter in its original  
673 condition (*Change in normative rules*). The main reported barriers were the distance, the farmers'  
674 desire to be independent on their own land, and the wear and tear of paths and facilities. The key  
675 factors of success were good communication about each partner's needs (e.g., specific soil treatment),  
676 exchange around crops requiring little intervention, the compatibility of the two farmers' cropping  
677 systems (e.g., P1 having free plots when P2 needed them) and of the facilities. As a result, P1 and P2  
678 diversified their crop rotations, without the need for P1 to develop new knowledge to grow a new  
679 crop, invest in new equipment (in this case a radish seeder), or find new outlets.

## 680 4. Discussion

### 681 4.1. Providing knowledge on existing coupled innovations to support innovation 682 design and niche management

683 Exploring alternatives to the dominant regime through the design of coupled innovation is a new  
684 research avenue (Brun et al., 2021; Meynard et al., 2017; Salembier et al., 2020). We believe that  
685 'tracking down coupled innovations' can play a key role in this process, by supporting the design of  
686 the innovations required to achieve the sustainable transition of agrifood systems (Meynard et al.,  
687 2017). The studied coupled innovations did not necessarily result from a purposively coordinated  
688 design process as put forward by Meynard et al. (2017). Yet, they developed into inspiring  
689 innovations combining innovative farming practices and novelties at the agrifood system level  
690 supporting their implementation. In this section, we show how the knowledge we produced on the  
691 40 coupled innovations studied can support different phases of the design process: concept  
692 exploration, detailed proposals and implementation (Hooge et al., 2016; Romera et al., 2020).

#### 4.1.1. Existing coupled innovations as inspiration to explore coupled innovations concepts in other contexts

Our study revealed five ideotypes of coupled innovation that performed combined sociotechnical lever functions in consistent ways to foster the implementation of ACP. Monographic studies have already analyzed in depth these types of innovation (e.g., for Cluster 1, Salembier et al., 2020 ; for Cluster 2, Cerf et al., 2017; Compagnone, 2019; for Cluster 3, Berthet and Hickey, 2018; Bui et al., 2016; Magrini and Duru, 2014; for Cluster 4, Lucas et al., 2018; for Cluster 5, Clément et al., 2019; Soulard, 2014). For instance, the Cluster 3's type of innovation (restructuring of the food value chain) is explored by studies of alternative value chains, which foster the implementation of crop diversification in arable and vegetable farming systems or agroecological animal husbandry (Berthet and Hickey, 2018; Bui et al., 2016; Magrini and Duru, 2014). Salembier et al. (2020) have contributed to formalizing knowledge on coupled innovations similar to the ones from Cluster 1. They analyzed a NGO-farmer network, which co-develop equipment for agroecological practices suited to farmers' specific needs in vegetable, arable, vineyard and orchard productions. It shows that our clusters are generic: they are not limited to vegetable farming systems and ACP, but applied to similar innovations which were developed in other sectors and/or to support the implementation of other types of practice. Yet our sample was limited (n=40). As a perspective, it would be very valuable to confront our ideotypes to more case studies, in the same context (vegetable farming systems and ACP) and in others (other productions and/or practices). This would allow to better assess the genericity of our ideotypes and, if appropriate, to complement them with new ones.

The knowledge we produced could support agrifood system stakeholders confronted either (i) to a problem around a practice or (ii) to a problem around specific barriers they have identified. For instance, as regards the first point (i), many scholars have pointed out that crop diversification is locked out in vegetable and arable cropping systems (e.g., Meynard et al., 2018; Morel et al., 2020). In our study, we found eighteen coupled innovations implementing crop diversification (Table 3), e.g., by successfully renting or exchanging fields (cluster 5) or through the participatory breeding of crops within a cooperative (Cs31). As regards the second point (ii), many scholars pointed out the lack of coordination between the different actors of the agrifood system as a barrier to the transition towards agroecological farming practices (e.g., Boulestreau et al., 2021; Meynard et al., 2017; Schiller et al., 2019). The clusters 1 and 3 are of special interest as they are characterized by functions tackling this barrier, respectively *Structuring of a new network* and *Facilitation of communication between stakeholders* (Table 4). Besides, they group innovations involving a variety of actors from the agrifood system (Fig. 2). For instance, the cluster 3 shows eight examples of coupled innovations involving the coordination of stakeholders across the value chain, which support the implementation of agroecological practices (e.g. Cs37, section 3.3). Therefore, our method allows to quickly identify the

728 relevant innovation examples, either by the ACP practices (Table 3), by the sociotechnical lever  
729 functions (e.g., *Structuring of a new network*, Table 4) or by the clusters (Fig. 2).

730 To conclude, in line with design literature and agricultural systems literature, we believe that the five  
731 ideotypes and their coupled innovations could serve as ‘expansive examples’, increasing creative  
732 performance in the concept exploration phase, when designing solutions to support agroecological  
733 practices in other contexts (Agogu e et al., 2013; Hooge et al., 2016; Klerkx et al., 2010; P erinelle et al.,  
734 2021; Romera et al., 2020; Salembier et al., 2018). They do not provide pre-defined design pathways  
735 for coupled innovation, which must be followed, rather new knowledge that can inspire other design  
736 processes in relation to their context.

#### 737 4.1.2. Identifying key conditions of success to support coupled innovation design and 738 niche management in other contexts

739 Compared to the monographic studies cited above (4.1.1), the contribution of our study is to show and  
740 illustrate, for each of the five ideotypes, the general conditions for successful implementation of  
741 innovations and the variability of these conditions, which depend on the innovation contexts. It  
742 provides insights for other contexts on the key factors to take into account and the barriers to  
743 anticipate when designing detailed proposals and implementing similar coupled innovations.

744 From our results, we drew cross-cutting insights on the key conditions of success for the studied  
745 coupled innovations. First, our data showed that human factors are key factors of success in all cases:  
746 a shared motivation for and vision of the project among stakeholders, shared trust, active involvement  
747 of each partner, good communication, and an ability to make trade-offs. This finding is supported by  
748 extensive literature (e.g., Berthet and Hickey, 2018; Cerf et al., 2017; Kilelu et al., 2013; Lucas et al.,  
749 2018). Second, involving farmers in coordinated actions initiated by other stakeholders has often been  
750 reported to be challenging (as in Berthet and Hickey, 2018), whereas it was found easier when the  
751 farmers initiated or were involved in the project at an early stage. Third, organic farming was  
752 overrepresented in the first four clusters and not represented in the fifth one. We posit that this over-  
753 representation is due to the organic farming sector being a pioneer for alternatives both at the farm  
754 and the agrifood system level as has already been described in other studies (Boulestreau et al., 2021;  
755 Niggli et al., 2015). Regarding Cluster 5, the absence of organic farms is likely due to the distance  
756 between organic farms, in a context of a limited area dedicated to organic farming on French territory  
757 (7,8% for fresh vegetables in 2019, see 2.3). Fourth, intermediaries played a key role in the majority of  
758 the coupled innovations we studied, which is in line with an extensive literature on innovation in  
759 agricultural systems (e.g., Berthet and Hickey, 2018; Kilelu et al., 2013; Kivimaa et al., 2019; Klerkx et  
760 al., 2010; Leeuwis, 2013).

761 These conditions for the innovation success concern mainly the good functioning and sustainability of  
762 the networks in which the coupled innovations were designed, i.e., orgware and software components  
763 of the coupled innovations (Kilelu et al., 2013; Leeuwis, 2013). In line with recent literature on the  
764 application of the sustainability transition concepts on agricultural case studies (Bui et al., 2016;  
765 Gaitán-Cremaschi et al., 2019; Ollivier et al., 2018), we found that depending on the studied cases, the  
766 networks were either (i) part of innovation niches in various stages of development distinct from a  
767 regime (e.g., Cs29, in section 3.3.1, showing the development of a small local network around the local  
768 supply of banker plants; Cs27, 3.3.4; Cs5, 3.3.5), (ii) embedded in a regime (e.g., Cs23, 3.3.2, showing  
769 the work of a network of large actors from the organic regime to enable crop diversification) or (iii) in  
770 a hybrid configuration (e.g., Cs37, 3.3.3, bridging niche network around conservation agriculture in  
771 gardening and small-scale market gardening with big players of the food industry and retailing). Thus,  
772 the study of coupled innovations also helps understand how to develop and maintain niche networks  
773 that can support the design of coupled innovations in different contexts (see Berthet et al., 2018).

#### 774 4.2. Tracking down coupled innovation: a new methodology

775 This is the first cross-cutting study carried out on such a large sample of existing coupled innovations,  
776 with a typological approach. In the following sections, we discuss our contribution to the  
777 development of a new methodology that produces actionable knowledge on coupled innovations to  
778 support the design of coupled innovations in other contexts.

##### 779 4.2.1. A methodology complementary to existing ones

780 The monograph studies on existing coupled innovations (see 4.1.1) focus on one or a few cases to  
781 unveil specific processes in agricultural innovation systems (Berthet and Hickey, 2018; Kernecker et  
782 al., 2021; Kilelu et al., 2013; Klerkx et al., 2010; Schiller et al., 2019), innovation niches (Bui et al.,  
783 2016) or specific innovation types (Compagnone, 2019; Lucas et al., 2018). On the contrary, ‘tracking  
784 down coupled innovation’ gives an overview of the different innovation types across a large sample  
785 of coupled innovations, the different combinations of sociotechnical levers, the function they  
786 perform to overcome the barriers to the change in practice, and their (diverse) conditions for a  
787 successful implementation. As argued in the previous section, this has the potential to support the  
788 design of coupled innovations in other contexts.

789 In order to analyze the means by which existing coupled innovations overcome the barriers to the  
790 change in practices, we introduced the concept of ‘sociotechnical levers’ (Section 2.1). This concept,  
791 applied to our case studies, together with the analytical framework of farming practice determinants  
792 (Table 1), supported the identification of a diversity of key functions, which allowed to overcome  
793 barriers to the implementation of ACP. This concept intersects others already present in the  
794 literature such as the ‘functions’ of a technological innovation system oriented towards supporting

795 innovation (e.g., Knowledge development; Market formation - Schiller et al., 2019). It differs from  
796 them as lever functions are fully oriented towards overcoming barriers to change in farming practices  
797 within the sociotechnical system. Besides, the designated functions are fulfilled not by the innovation  
798 system as a whole but by the coupled innovations that were produced in the innovation system. It  
799 then allows capturing the key functions activated by existing coupled innovations to overcome  
800 barriers to change, the underlying actions carried out and the conditions for their successful  
801 implementation.

802 The coupled innovations we studied are made up of innovative farming practices at the field and farm  
803 level and the STAFS-level innovations fostering their implementation. When 'tracking down innovative  
804 cropping systems' focuses on the implementation of innovative farming practices (Périnelle et al.,  
805 2021; Salembier et al., 2016; Verret et al., 2020), our method 'tracking down coupled innovation' aims  
806 to study the implementation of the practices and the STAFS-level innovations together. The goal is the  
807 same for both methods: producing knowledge to support the design of agroecological innovations in  
808 other contexts. They also share the same general approach: making a typology of the innovations to  
809 produce more generic knowledge (Périnelle et al., 2021; Salembier et al., 2016; Verret et al., 2020).  
810 Yet, the scopes differ. This has methodological consequences. For instance, we interviewed a wider  
811 range of stakeholders (e.g., marketing firms, inter-branch organization), with a focus on the  
812 coordination at STAFS level rather than the technical implementation of the farming practices and their  
813 outcomes. When the multi-criteria assessment of cropping systems is a well-developed approach  
814 (Dogliotti et al., 2004; Ravier et al., 2015; Salembier et al., 2016), the evaluation of coupled innovations  
815 remains an avenue for future research as their complexity (multi-actor, multi-level interactions) makes  
816 it difficult to evaluate them effectively. In our study, only a qualitative evaluation by innovation's  
817 stakeholders could be attempted and was undermined by the lack of data and hindsight of the  
818 interviewees. Ultimately, the two approaches have complementary scopes and applying them jointly  
819 would be beneficial. Identifying the innovative farming practices could serve as a starting point to spot  
820 technical, organizational or institutional innovation(s) at STAFS level that foster the practice  
821 implementation. Besides, it would provide detailed knowledge on the implemented farming practices  
822 and an evaluation of coupled innovations' impacts, by providing an evaluation of farming practices'  
823 impacts on the agroecosystems.

#### 824 4.2.2. Room for improvement

825

826 Our approach could be improved in three areas: (i) the identification of case studies, (ii) the survey of  
827 innovation stakeholders, (iii) the interpretation of the results. As regards the first point (i), examples  
828 of coupled innovations provided to experts to help them grasp what we are looking for should be

829 carefully selected (see 2.2). “Expansive examples” should be favored: examples that are radically novel  
830 for the expert, encouraging them to look for a diversity of innovative examples (Agogué et al., 2013).  
831 As regards the survey (ii), our approach would benefit from having a greater number of interviews per  
832 innovation tracked, beyond the few that we studied in depth. Indeed, some of the data could be  
833 missing or biased, due to an asymmetry in the information collected. Nevertheless, we posit that in  
834 our study, the potential bias was mostly overcome through the cross-analysis of numerous cases.  
835 Finally (iii), the choice of the categories for the supplementary variables needs to be improved, so as  
836 to be less sensitive to subjectivity and more reproducible. For example, several barriers or conditions  
837 for success can have the same importance or be intertwined, as in the case of the combination of a  
838 lack of material resources to transport equipment and a large distance between two farms hindering  
839 the sharing of equipment.

840

## 841 5. Conclusion

842 In this study, we designed and applied an original ‘tracking down coupled innovation’ method to  
843 produce actionable knowledge on existing coupled innovations, based on a novel conceptual and  
844 analytical framework (2.1, Table 1, Table 2). We extended the definition of ‘coupled innovation’ to  
845 capture the variety of innovations, which are designed across the different components of the  
846 agrifood systems and tackle the interconnected barriers to the implementation of agroecological  
847 crop protection (ACP). We then introduced the concept of ‘sociotechnical lever’, and their functions,  
848 to seize the means by which coupled innovations overcome the barriers to changes towards ACP. The  
849 notion of ‘conditions for the implementation of the coupled innovations’ complemented our  
850 framework to reveal factors of successful implementation and the pitfalls to overcome depending on  
851 the innovation context.

852 We showed that the application of this method to our case study produced resources that can  
853 support the design of new coupled innovations in other contexts: (1) the 17 identified functions of  
854 the sociotechnical levers; (2) the 5 ideotypes of coupled innovations based on the performed  
855 functions, and for each of them, (2a) the variety of coordinated actions performing the functions and  
856 (2b) the variety of conditions for the implementation of the innovations. This method complements  
857 the existing ‘tracking down innovative cropping systems’ method, by focusing on the analysis of the  
858 orgware, software and hardware components beyond the farm level, which support the  
859 implementation of the innovative cropping systems. Extension services, supported by policies,  
860 capitalize already more and more on farmers’ innovative agroecological cropping systems to design  
861 new ones adapted to other contexts. Yet, to overcome the STAFS-level barriers impeding their

862 implementation, we recommend combining it with a ‘tracking down coupled innovation’ method.  
863 We hypothesize that it would support the implementation of existing agroecological cropping  
864 systems beyond the niches in which they were developed. We then stress two future research  
865 avenues: (i) developing methods to adequately combine ‘tracking down innovative cropping system’  
866 and ‘tracking down coupled innovations’, and (ii) exploring how the knowledge produced by ‘tracking  
867 down coupled innovation’ can best support the design of coupled innovations in other contexts.

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873

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