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On the usability of event zero time determinations using radioxenon isotopic activity ratios given the real atmospheric background observations

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2 Keywords

- 3 Dating, Isotopic activity ratio, Radioxenon, CTBT, Nuclear explosion, Radionuclide event
- 4 characterization,
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6 Highlights

- 1. Radioxenon data from nuclear reactor releases and medical isotopes production facilities were characterized.
- 2. Three typical statistical values were evaluated and took as reference points of release from NPPs and MIPFs.
- 3. The zero time using CTBT-relevant radioxenon data set from nuclear tests and nuclear reactor releases were evaluated.
- 4. The age precision differences were determined using the calculated ages associated to the real ages of release.
- 15

16 Abstract

This work focuses on the usability of event zero time determination using xenon isotopic 17 activity ratios. Two data sets from Nevada underground nuclear test and Fukushima accident 18 debris were used to calculate the age of radioxenon release by considering three kinds of 19 20 radioactivity release radionuclide sources: nuclear explosion scenarios, nuclear power reactor 21 release and medical isotopes production facilities release. Typical nuclear power reactor 22 releases were characterized and reference values are proposed for six isotopic activity ratios, which data can be considered as reference point of nuclear reactor effluents at the time of 23 24 their release obtained from real observations. The same reference values of isotopic activity 25 ratio are given for medical isotopes production facilities releases. The purpose of this study is to evaluate the use of zero-time calculation for source characterization under the assumption 26 that a hypothesis about the event time is made. The event time information may come from a 27 seismo-acoustic event of interest or an inverse atmospheric transport simulation or other 28 context information. For both data sets used in this study, the age precisions are calculated 29 30 and the time precision difference is evaluated and used as a parameter for the characterization of each radionuclide event. Almost all radioxenon isotopic activity ratios are found to 31 correctly identifying the source type of the radionuclide events studied in this work. The 32 33 results from this radionuclide events characterization study may be helpful for event screening 34 activities of the Comprehensive Nuclear Test Ban Treaty Organization (CTBTO).

35 1. Introduction

The Comprehensive Nuclear-Test-Ban Treaty (CTBT) is an international legal instrument banning any nuclear tests anywhere on the earth (underground, on-ground, in water, and atmosphere). Some radioisotopes of xenon namely Xe-135, Xe-133m, Xe-133 and Xe-131m are considered as most relevant indicators in nuclear explosion monitoring (DE GEER L.-E. et al., 2001) because they are fission products with high probability of being released and detected. The observation of these radioisotopes may be indicative of a nuclear explosion and 42 observations meeting certain screening criteria like an abnormal concentration are considered 43 a radionuclide event for the purpose of nuclear explosion monitoring. Plots of activity ratios 44 for one pair of isotopes vs. another pair of isotopes in logarithmic scale can be used to 45 characterize the source of the emission and most importantly to discriminate between nuclear 46 reactors and nuclear explosions (Kalinowski and Pistner, 2006). By considering the nuclei 47 ratio or isotopic activity ratio of measured radionuclides, it is possible to evaluate their time of 48 release (age of release) (Nir-El, 2004, 2006).

49 It has also been shown that the age determination using isotopic activity ratios is very sensitive to some decay parameters like decay constants (Yamba et al., 2016b). In that work, 50 decay data used come from nuclear databases such as the French NUCLEIDE from 51 52 Laboratoire National Henry Becquerel (LNHB - CEA) (LNHB, 2017), the US Evaluated Nuclear Data structure File (ENSDF) from National Nuclear Data Center (NNDC, 2017). The 53 54 nuclear database NUCLEIDE has updated some CTBT-relevant radioxenon decay data 55 Within the framework of the DDEP (Decay Data Evaluation Project) project (Galan, 2017; 56 Galan et al., 2018).

57 When IMS facilities of CTBTO detect a CTBT-relevant radionuclide it is crucial to determine 58 its source characteristics including the time origin of the fission reaction and of the release of the observed radionuclides. The activities of different isotopes reported in IMS measurements 59 60 are usable for event time calculation. In theory, event dating works well under the assumption of a nuclear explosion scenario (Yamba et al., 2018b). For the radioxenon isotopes, the 61 62 operational challenge is to understand results of event dating applied to the normal background noise. The routine IMS atmospheric background observations result from normal 63 64 operational releases of nuclear facilities.

65 The goal of this study is to estimate the usefulness of the timing equations in light of the real 66 observations. It aims at characterizing conditions under which reasonable origin times can be 67 determined and under which discrimination between nuclear test signatures and normal 68 atmospheric background could work.

Two data sets were used for this study: (a) Local observations of radioxenon after nuclear
tests at the Nevada Test Site; (b) Observations of reactor sources with a known radioxenon
source term, i.e. IMS observations with strong contribution of the Fukushima accident
(Yamba et al., 2016a, 2017a, 2017b, 2018a).

Let us note that the results of measurements made in radio-xenon monitoring facilities of the
Comprehensive Nuclear Test Ban Treaty Organization (CTBTO) are available both as
activities at acquisition start and converted into activity concentrations at collection stop. The
activities are used in this work for evaluating the release age of radionuclide events (Axelsson
and Ringbom, 2014; Yamba et al., 2015).

While age determination from isotopic ratios is not new, the innovation of this paper is to investigate whether age determinations under certain source type assumptions applied to routine observations with atmospheric background from various sources can be used to discriminate possible sources and even identify the source type to be a nuclear test or a nuclear reactor release simply based on a comparison between the age determined from isotopic ratios and the age of an assumed source type.

2. Xenon isotopic activity ratio at zero time

One of the most important information in the activity ratio formula that is used to calculate the time of a radionuclide event is the initial isotopic activity ratio (activity ratio at zero time or at the time of release). That initial activity ratio is easily understandable in the nuclear explosion case, by using independent and cumulative fission yields (according to the situation) of the isotope of interest. Values of these fission yields can be found in a nuclear data bank like ENSDF (Evaluated Nuclear Structure Data File).

92 The initial activity ratios that is to be considered for Nuclear Power Plants (NPPs) release and 93 Medical Isotopes Production Facilities (MIPFs) are not fixed values. In considering a nuclear reactor running in steady state during a long time, the equilibrium level can be calculated for 94 95 each activity ratio of interest. Measurements made and reported by operators of Nuclear Power Plants on the gaseous effluents are the basis of a statistical study of radioxenon 96 releases from NPPs (Kalinowski and Tuma, 2009). These data are used to determine the 97 98 distribution of radioxenon isotopic ratios resulting from the batch mode with a median value, 99 an upper and a lower limit. The same approach was taken with regard to the CTBT-relevant radioxenon isotopes that are determined by Kalinowski/Grosch/Hebel (2014) to be typically 100 released from medical isotopes production facilities. These values are very important in 101 102 characterizing the source time of a radionuclide-monitoring event because they are based on the real observations. Figure 1 shows the distribution of the xenon isotopic activity ratios 103 104 measured at the stack of nuclear power plants and expected at medical isotopes production 105 facilities.

106

107 *Figure 1: Distribution of radioxenon activity ratios using nuclear reactor (NPP) release data*

108 (Kalinowski and Tuma, 2009) and medical isotopes production facility (MIPF) release

109 *estimates (Kalinowski/Grosch/Hebel, 2014). Only batch release mode was considered for the*

110 *nuclear event characterization in this study.*

111

112 Table 1 summarizes the three statistical parameters of the logarithmic distribution namely median value, upper limit and lower limit (both excluding outliers) for NPPs using best 113 estimates based on real observations for the six xenon activity ratios: Xe-135/Xe-133m, Xe-114 135/Xe-133, Xe-135/Xe-131m, Xe-133m/Xe-133, Xe-133m/Xe-131m and Xe-133/Xe-131m. 115 These values can be compared with those used for the isotopic ratio screening flags that are 116 117 implemented as indicator of a radionuclide event of potential interest radionuclide event in the 118 radionuclide analysis reports issued by the International Data Center (IDC) of CTBTO. The 119 current screening levels in radionuclide event discrimination at IDC/CTBTO are explained by 120 (Postelt, 2014). Three threshold values are currently used for the activity ratio Xe-133m/Xe-121 131m it is 2, for Xe-135/Xe-133 it is 5 and for Xe-133m/Xe-133 it is 0.3. According to table 122 1, the upper limits that can be expected for these activity ratios to be released from NPPs are 123 6.3, 0.14 and 0.023 when using the whisker limits of Figure 1 and disregarding the outliers. 124 As a result, NPP releases may cause the screening flag for Xe-133m/Xe-131m to indicate a 125 potential event of interest to nuclear explosion monitoring but not for the other two isotopic ratio screening flags. 126

127

- **128** *Table 1: Reported xenon activity ratios from nuclear reactors releases, measured by Nuclear*
- **129** *Power Plants (NPP) in United States and Europe during 2006, 2009 and 2014. Only the*
- 130 *batch release cases were considered.*
- 131

132 Table 2 presents the lower limit, median and upper limit values of radioxenon isotopic activity

- ratios from medical isotopes production facilities (MIPFs) releases. The considered batch
- releases of radioxenon are from European Union and United States (Gueibe et al., 2017). The
- upper limits that can be expected from MIPFs for the activity ratios used for screening flags 125 m 52 (X_2 122m) X_2 (X_1 122m) X_2 (X_2 122m) 122 m (X_2 122m) 122 m
- 136 are 53 (Xe-133m/Xe-131m), 2.3 (Xe-135/Xe-133) and 0.043 (Xe-133m/Xe-133). As a result, 137 like for NPDs also MIDE relations may apply the energy $f = f = \frac{1}{2} \frac{1}{$
- 137 like for NPPs also MIPF releases may cause only the screening flag for Xe-133m/Xe-131m to
- 138 indicate a potential event of interest. However, MIPFs are much more likely than NPPs to
 139 raise this flag
- raise this flag.
- 140 The radioxenon activity data values provided in Table 1&2 are very important in radionuclide
- 141 event characterization, especially in radionuclide event zero time determination. These
- 142 isotopic activities values combined with cumulative and independent fission yields allow for
- describing together the change over time of the radioxenon isotopic activity ratios of interest
- 144 for the CTBTO-relevant radionuclide event, namely the nuclear explosion scenarios (under
- 145 in-growth condition and full fractionation). These need to be distinguished from the range of
- isotopic activity ratios that can be observed as background resulting from the range of nuclearreactor releases as characterized by NPPs upper limit, NPPs lower limit and NPPs median
- 147 reactor releases as characterized by INFES upper limit, INFES lower limit and INFES median 148 value, and to be distinguished as well from the possible medical isotope production facilities
- release scenarios (MIPFs upper limit, MIPFs lower limit and MIPFs median value).
- Table 2: Reported xenon activity ratios from medical isotopes production facility (MIPF)
 releases. Only the batch release cases were considered.
- 152
- 153
- 154 3. Relevant xenon activity as a function of time
- Some radioxenon isotopes such as ¹³⁵Xe, ¹³³mXe, ¹³³Xe and ^{131m}Xe are considered as relevant 155 for CTBT nuclear explosion monitoring. Remote detections of an underground nuclear test 156 157 are most likely associated with a sudden release (a prompt or delayed but short-term release) of fission products (Kalinowski, 2011). We can consider two extreme kinds of sudden release 158 of radioactivity: release of gaseous fission products following a full fractionation from their 159 160 precursors and radioactivity release in a composition that developed under in-growth 161 condition. In the first case, xenon gas escapes immediately after the end of fission reactions; 162 and in the second case, xenon gas stay mixed with its precursors until being released.
- 163 The comprehensive analytical formulas giving the numbers of nuclides $N_{Xe135}(t)$, $N_{Xe133}(t)$, 164 $N_{Xe133m}(t)$, $N_{Xe131m}(t)$ used in this work can be found in (Yamba et al., 2018b).

Figure 2 shows the change over time of isotopic activity ratios of CTBT-relevant radioxenons as they develop over time as calculated using the analytical equations of the radioxenons decay process for two nuclear explosion scenarios (under in-growth condition and full fractionation). For comparison, the three nuclear reactor release levels (using NPPs upper 169 limit, NPPs lower limit and NPPs median value) and three levels of medical isotopes 170 production facilities (using MIPFs upper limit, MIPFs lower limit and MIPFs mean value) are 171 plotted as well in two ways. One way is a straight line and representing a fresh release that 172 might occur at any time after the time zero of the nuclear explosion scenarios. The second 173 way is also starting at time zero but following the radioactive decay with time progressing to

- 174 represent a release from a nuclear facility that coincides with the nuclear explosion scenarios.
- 175 Figure 2 is an update of similar plots published in (Kalinowski et al., 2010).
- 176
- 177

178 *Figure 2: Change over time of isotopic activity ratios of CTBT-relevant radioxenons for two*

- 179 *kinds of release from a nuclear explosion, and three indicative values of release from NPP*
- 180 *and MIPF releases (minimum, median and maximum). The latter are shown as constants as*
- 181 *well in order to account for the fact that such fresh pulse releases can occur at any time after*
- 182 *the assumed time zero of a nuclear explosion scenario.*

One important conclusion from Figure 2 is that for the first hours or days the isotopic ratios of 183 nuclear explosion scenarios are higher than even the highest NPP and MIPF release ratios. 184 Sooner or later, the activity ratios of nuclear explosion scenarios lose their uniqueness due to 185 186 the radioactive decay moving the ratio below the level that can occur with fresh releases from 187 nuclear facilities. However, as long as the isotopic ratios are in a domain that can only be reached by nuclear explosion scenarios, the attempt of calculating an event time will not be 188 189 possible for the assumptions of NPP or MIPF releases. Once the isotopic activity ratios have 190 reached a level that can occur from nuclear tests as well as nuclear facilities, the event time 191 that is derived from an observed isotopic activity will be earlier (i.e. older and longer delay 192 before being observed) for the fully fractionated nuclear explosion scenario and later (i.e. 193 younger) under the assumption of a release from a nuclear facility. The in-growth version of a 194 nuclear explosion scenario is cutting through the nuclear facility release curves and the 195 determined ages may either be earlier or later radionuclide event.

- 196 A more robust discrimination of nuclear explosions against civilian sources, particularly
- 197 releases from nuclear reactors is possible by using two different isotopic activity ratios,
- because this discrimination method proposed by (Kalinowski et al., 2010) is independent on
- 199 the time elapsed between generation detection. It is also independent of a delay between
- 200 generation and the release into the atmosphere. Figure 3 shows how the trajectories of two
- 201 different activity ratios plotted against each other are changing with time progressing, namely
- 202 Xe135/Xe133 against Xe133m/Xe131m. The nuclear explosion under in-growth condition is
- very close to the release from medical isotopes production facilities for which maximum,
- 204 minimum and mean values are found in a very narrow band along the decay path.
- 205
- 206 *Figure 3: Change of radioxenon activities ratios* Xe135/Xe133 against Xe133m/Xe131m
- 207 according to the kind of releases. The initial isotopic activity ratios (activity ratios at the
- 208 release) used for nuclear reactor releases (NPP-median, NPP-limitLOW and NPP-limitUP)
- and medical isotopes production facilities releases (MIPF-mean, MIPF-min and MIPF-max)
- 210 *are respectively from Table1 and Table2. The square red dots represent debris released from*
- 211 Fukushima accident as observed at IMS stations.
- 212

4. Age determination using real observations data

214 4.1. Using Nevada data

A detailed atmospheric radioactivity release information for 433 nuclear tests conducted on 215 216 the Nevada Test Site from 15 September 1961 through 23 September 1992 has been analysed 217 in (Kalinowski et al., 2014, 2010; Kalinowski and Pistner, 2006; Kalinowski and Tuma, 2009) 218 and (Schoengold et al., 1996). The importance of the use of Nevada underground nuclear test 219 data in radionuclide event characterization is that they come from real observations following nuclear explosions on the Nevada site where the atmospheric releases are considered to be 220 221 from prompt venting or from operational release. It is also demonstrated that atmospheric 222 signature of an underground nuclear test could result from a late-time surface flux which is 223 too diffuse to be detected off-site (Carrigan et al., 2019). Schoengold et al. (1996) is not 224 describing the measurement procedure in detail. Therefore, it is not clear whether all radioxenon isotopes are real observations. In some cases, a lead radionuclide or a gross 225 activity may have been measured and the activity release of other isotopes may have been 226 227 calculated using an evolution model. Figure 4 shows the distribution of xenon isotopic activity ratios calculated using these reported data from the US underground nuclear 228 229 explosions. As we can see in, the isotope Xe-131m is almost absent in the reported data so 230 that the isotopic activity ratios including the isotope Xe-131m are almost missing.

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Figure 4: Distribution of the valid activity ratios using Nevada nuclear explosion data. We
can notice that the ratios including Xe-131m, namely Xe-135/Xe-131m, Xe-133/Xe-131m and
Xe-133m/Xe-131m are almost absent.

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In this paper, we are evaluating the use of zero-time calculation for source characterization 236 237 under the assumption that a hypothesis about the event time is made. The event time information may come from a seismo-acoustic event of interest or an inverse atmospheric 238 transport simulation or other context information. In this scenario, any observed radioxenon 239 240 signals with two or more isotopes would be evaluated by comparing the known age (difference between observation date and zero-time) with the one calculated from isotopic 241 242 ratios under different source-type assumptions: nuclear explosion (fully fractionated or with 243 in-growth), NPPs and MIPFs taking the full range of the latter two into consideration.

244 In order to evaluate which source hypothesis gives the best match, the age precision is defined as the calculated age divided by the known age of the release. With this definition, the true 245 radioactivity release scenario will have an age precision closest to 1. The physical meaning of 246 247 the term "age precision" is to be a measure for source time agreement with the value 1 248 implying perfect agreement or in other words the age discrepancy with this being the worse the higher above 1 the value of age precision is. Figures 5 show the distribution of age 249 precision calculated using data from Nevada underground nuclear explosions. As we can see 250 251 in Figure 5, a nuclear explosion case (in black colour) gives the closest age precision to 1, 252 unlike the NPPs and MIPFs release cases where the age precisions are relatively far from 1. In most cases, the nuclear test with in-growth is the best match but in a few instances, the full 253 fractionation scenario yields the better age precision, confirming the findings of (Kalinowski, 254 2011). The NPP scenario is almost never getting an age precision close to 1 whereas some 255

256 extreme MIPF signatures provide a good match with an age precision close to 1 and can be confused with a nuclear explosion signal. It should be noted that this study assumes the 257 special condition that the origin time of all release scenarios is the same and constrained from 258 259 context information like a seismic event. Whereas this is realistic for a nuclear explosion, emissions from nuclear facilities could take place at any time. The constrained made here 260 applies for the case of having the release time constraint, e.g. from results of atmospheric 261 262 transport modelling. Further studies will deal with the more general case of allowing for any release time from nuclear facilities and more general with possible mixing of radioactivity 263 from multiple sources. 264

265

266

Figure 5: Distribution of the evaluated age precision according to the kind of release. Useful
Nevada xenon activity ratios were used in the time algorithms for Nuclear explosions (full
fractionation and under in-growth conditions), NPPs release (median, lower limit and upper
limit values), MIPFs release (mean, min and and max values). The ideal age precision shown
in magenta colour is normalized to 1.

272 The fact that the nuclear explosions scenario has the best precision and, therefore, appears as

the most probable explanation of a radioxenon observation confirms the expectation (these

observations are all known to be releases from underground nuclear test explosions) and it

275 provides an evidence for the discrimination capability of the age estimate.

276

277 4.2. Using Fukushima debris data

Fukushima nuclear accident occurred in Japan took place over several days following the 278 Great East Japan Earthquake off the Pacific coast of Japan on 11 May 2011. The earthquake 279 280 generated a huge tsunami and its waves overwhelmed the tsunami barriers of the Fukushima 281 Daiichi nuclear power plant (NPP) site. They flooded the primary and backup power systems and equipment, as well as the ultimate heat sink systems and structures, of all six units on the 282 283 site. Following the off-site power loss that occurred before the tsunami due to the earthquake damage to the transmission system, the flooding caused also the loss of on-site power sources 284 285 and on-site power distribution systems. Units 1-5 of the Fukushima Daiichi NPP experienced extended station blackout (SBO) events, which exceeded nine days in Units 1 and 2, and 14 286 287 days in Units 3 and 4.

The nuclear units were unable to cope with the extended loss of electrical power. As a 288 consequence, there was no sufficient plant heat removal and the reactors of Units 1, 2 and 3 289 290 suffered damage as the fuel overheated and melted. The reactor pressure vessels (RPVs) that enclose the reactor cores were eventually crashed in those units, and radioactive material 291 escaped from the reactors. The radioactive material confined in the primary containment 292 vessels (PCVs) was further released directly to the environment either in a controlled manner, 293 i.e. by venting of the reactors' PCVs, or in an uncontrolled manner upon damage and failure 294 295 of the confinement structures (INTERNATIONAL ATOMIC ENERGY AGENCY, 2015).

296 The important dates of reference from that accident for our work are the following, where the

time is given in UTC:

298

At 15:36 on 12 March 2011, an explosion occurred on the service floor of the Unit 1
 RB (reactor building), causing extensive damage to the upper building structure.

- At 11:01 on 14 March, an explosion occurred in the upper part of the Unit 3 RB (reactor building), destroying the building structure above the service floor.
- At 06:14 on 15 March, an explosion was heard on the site, and tremors were felt in the common MCR (main control room) of Units 1 and 2. The available information indicated a possible PCV (primary containment vessel) failure and the possibility of uncontrolled releases from Unit 2 (INTERNATIONAL ATOMIC ENERGY AGENCY, 2015).

Thus in light of that accident description above reported by International Atomic Energy 308 Agency, we can consider as the first time of release on 12 March 2011, 15:30. That release 309 was the largest in total activity. It lasted for several hours but had the highest release rate at its 310 beginning. Using this start time as reference for the age determination is a simplification but 311 312 sufficient for the purpose of this study. Figure 6 shows the distribution of xenon isotopic activity ratios calculated using reported xenon data from IMS (Fukushima debris data), where 313 314 the NPPs limits and the median value from Fukushima accident are displayed. Except the ratio Xe135/Xe133, all median values from Fukushima accident are included in the range of 315 316 NPPs release.

317 In general, only the very early observations have both isotopes detected above the MDC. Their isotopic activity ratios are consistent with the median and the box-and-whisker 318 319 distributions of the Fukushima releases. However for most isotope pairs, the observations are 320 quite different. They are scattering around the ratio of the longer-lived of the two isotopes with respect to the detection limit of the shorter-lived isotope. With time progressing, they are 321 322 following the trend of the radioactive decay of the longer-lived isotope until eventually, the 323 ratio converges towards unity when both isotope's concentrations are close or below the 324 detection limit.

325

Figure 6: Distribution of the activity ratios using Fukushima accident debris data. Data used in this study were recorded from 14/03/2011 to 31/05/2011 in many IMS radionuclide stations. Black colour is used for valid entries for which both isotopes are above MDC, i.e. real detections that can be quantified; a bright grey is used in case one of the two isotopes is above MDC (in general it is the longer lived one of both); a dark grey indicates ratios that are calculated with both isotopes below MDC and basically scatter around the ratio of both isotopes detection limit, i.e. close to 0 in the logarithmic scale (indicated by a green line).

333 In the Figure 6, the fitting curves are obtained by using the exponential function (like decay 334 curve) expressed as y=a.exp(b.x) where a and b are constants generated when the fitting curve 335 tool (of MATLAB in our case) is used. By writing FP = [a;b] a fitting parameter, its value is found to be FP(Xe135/Xe133) = [28.3; -1.0890], FP(Xe135/Xe133m) = [55.4; -0.8944], 336 FP(Xe135/Xe131m) = [32.69; -0.8574],FP(Xe133m/Xe131m) = [1.8650; -0.1748],337 338 FP(Xe133/Xe131m) = [77.32; -0.05284], FP(Xe133m/Xe133) = [1.6000; -0.1441]. Let us notice that these fitting parameters are obtained by using the early observations within a few 339 340 days after the fresh releases of the Fukushima accident. This timeframe is selected to make 341 sure that both isotopes are real observations and the activity ratio is valid. As we can see in 342 Figure 6, the fitting curves in magenta color closely consistent with activity ratios decay curves. The distribution of xenon isotopic ratios in Figure 6 is such that the later observations 343 are not consistent with the decay curves that are decreasing over time. For the three upper 344

345 plots with Xe-135, the later observations follow an upward trend. This can be explained by 346 Xe-135 being either false positives close to detection limit or replaced by the MDC value. The 347 slope is therefore indicating the decay of the longer lived isotopes until they also reach their 348 respective MDC and the isotopic ratio approaches the MDC ratio which is marked by the 349 green horizontal line.

350

351 Any radioxenon observation with two or more isotopes would be evaluated by comparing the 352 known age (difference between observation date and zero-time) with one calculated from 353 isotopic ratios under different source-type assumptions: nuclear explosion (fully fractionated or with in-growth), NPPs and MIPFs taking the full range of the latter two into consideration. 354 As for the Nevada nuclear test data, we are evaluating the use of zero-time calculation for 355 356 source characterization of IMS observations following the Fukushima accident under the 357 assumption that a hypothesis about the event time is made. The ages of releases calculated 358 using xenon isotopic activity ratios are divided by the age with to 12 March 2011, 15:30 as 359 the assumed date of the release to get the age precision. Figure 7 show the distribution of age precision calculated using data from Fukushima accident debris, where - except for the ratio 360 361 of Xe-133/Xe-133m - the NPPs release case gives the closest age precision to 1, unlike the 362 nuclear explosion scenarios or MIPFs release cases which have the age precisions relatively 363 far from 1. It is remarkable that determining the ages of Fukushima debris provides the best precision for the range of NPP releases (between minimum and maximum) without making 364 use of the knowledge of the estimated isotopic activity ratios that were released from the 365 Fukushima Daiichi NPPs. With time progressing, the quality of the age precision deteriorates. 366 367 This is due to the concentrations getting closer to normal atmospheric background and the detection limits. Sooner or later, the age determination seems to be in high precision for 368 different of nuclear source types. With different delays after the Fukushima accident, four out 369 370 of the six isotopic activity ratios achieve a high time precision for the nuclear explosion 371 scenario. This implies that the activity ratios observed on Fukushima debris for four pairs of isotopes could have been miss-interpreted as signals appearing like those of a nuclear 372 373 explosion. However, the isotopic ratios apparently matching the signature of a nuclear test 374 appear at different delays after the original release and result in inconsistent zero-times for the 375 hypothesis of a nuclear explosion origin. Therefore, the activity ratios of different pairs of 376 radioxenon isotopes observed after Fukushima debris can be used to prove that nuclear 377 explosions can be excluded as the possible source of these detections.

378

379

Figure 7: Distribution of the evaluated age precision according to the kind of release. Useful
activity ratios from Fukushima accident debris were used in the time algorithms for Nuclear
explosions (full fractionation and under in-growth conditions), NPPs release (median, lower
limit and upper limit values), MIPFs release (mean, min and and max values). The ideal age
precision shown in magenta colour is normalized to 1.

385

5. Possible discrimination approach using event timing

When radioxenon facilities for the International Monitoring System (IMS) record anradionuclide event involving radioxenon, e.g. at an abnormal concentration, it is important to

389 get information that can be used to characterize and identify the source and most importantly 390 whether that observation may come from a possible nuclear test explosion. It might result 391 from an accident but most frequently, it is caused from a normal operational release of a 392 nuclear power plant (NPPs) or from a medical isotope production facility (MIPF).

393 One possible approach of event characterization is to investigate how radionuclide event age determination can contribute to the identification of the source of a radioactivity release. In 394 this study, we work under the limiting assumption that a hypothesis for the source time is 395 available from context information like a seismo-acoustic event of interest or from 396 atmospheric transport simulations. For this purpose, two data sets were used and evaluated 397 398 ages were proposed according to the kind of radioactivity release: a nuclear explosion and a 399 nuclear reactor accident release, both characterized by a sudden release. The first data set (reported data from Nevada underground nuclear explosions) is studied with reported times of 400 origin for each xenon isotope recorded. The second case of Fukushima debris data is used 401 402 with the assumption that a known first part of the release is dominating. This assumption is justified by the fact that the first batch release of radionuclides from Fukushima accident that 403 404 started on 12 Mars 2011, around 15:00 (INTERNATIONAL ATOMIC ENERGY AGENCY, 405 2015) contained a large part of the cumulative release from all Fukushima Daiichi reactors.

The ages of radioactivity releases calculated using xenon isotopic activity ratios are used with known dates of release to evaluate the age precision assuming three kinds of radioactivity sources: nuclear explosion, NPPs and MIPFs. The time precision difference is defined as the difference between the perfect time precision value and the found time precision. Thus, a radionuclide event having the lowest values of time precision difference is considered as the most probable, because giving the best time precision. This approach consisting of using the time precision difference could be useful for identifying a radionuclide event.

413 Table 3 presents the results from the statistical analysis of the age precision differences obtained using activities data from Nevada nuclear test site. The median values are considered 414 415 for each radionuclide event of interest. Except for the activity ratio Xe133/Xe131m, it appears 416 that the nuclear explosion under in-growth condition is the most probable event, because the 417 time precision difference values for that event are the lowest. Except for Xe133/Xe131m, this approach of using the time precision difference as a screening parameter in radionuclide event 418 characterization is successfully validated because it identifies correctly nuclear explosions to 419 420 be the source of all radionuclide events of all radioxenon activities in the data set used in 421 Table 3 that are known to originate from underground nuclear explosions at the Nevada test 422 site. Since this paper is a proof-of-concept, the uncertainties of historic data are not reflected 423 and the age precision difference is presented with more significant digits than can be justified 424 by the uncertainties of the test data set. Four significant digits are simply used for illustrative 425 purposes to be able to rank the results and avoid any two values to be the same due to 426 rounding effects.

427

- 428 Table 3: Evaluation of the time precision difference obtained by making the difference
- 429 between the perfect time precision value and the found time precision. The observation data
- 430 used are from Nevada underground nuclear test. Plutonium Pu-239 and Uranium U-235 are
- 431 used as the nuclear explosion materials fission induced by fission energy neutrons.

- 432
- 433

434 Table 4 present the results from the statistical analysis of the age precision differences 435 obtained using activities data from Fukushima accident debris. The median values are considered for each radionuclide event of interest. Except for the activity ratio 436 437 Xe133/Xe133m, it appears that the nuclear reactor release scenario is the most probable event, 438 because the time precision difference values for that event are the lowest. Effectively, the 439 radioxenon activities data used in Table 4 are consistent with operational releases from 440 Nuclear Power Plants (Fukushima Daiichi), i.e. these observations can be characterized as originating from a NPP without making use of the known activity ratios that are known to 441 have been released from the Fukushima accident. 442

- 443 Table 4 : Evaluation of the time precision difference obtained by making the difference
- 444 between the perfect time precision value and the found time precision. The observation data
- 445 used are from Fukushima accident debris. Plutonium Pu-239 and Uranium U-235 are used as
- 446 the nuclear explosion materials fission induced by fission energy neutrons.
- 447

448 The results of the time precision difference given in Tables 3&4 are obtained by using as

- 449 fission material in the two nuclear explosion scenarios uranium-235 and plutonium-239 with
- the fission neutron energy. Except for one ratio in each case, the results from the time 450
- 451 precision difference analysis are in accordance with the nature of the radionuclide events.
- 452 From Table 3, 4 out of the 5 available activity ratios yield good results allowing identifying
- 453 the radionuclide event (80%). By using Table 4, we can see that 5 out of all 6 activity ratios
- 454 (more than 80%) show good results of time precision differences that have allowed
- 455 identifying as NPPs release as the source of the radionuclide event. So we can notice that almost all radioxenon isotopic activity ratios are correctly identifying the source type of the
- 456
- 457 studied radionuclide events.
- 458 The quality of the source hypothesis test based on age determination can be assessed by
- 459 calculating the statistics for true positives and false negatives for the two variants of the
- nuclear test hypothesis as well as false positives and true negatives for NPPs and MIPFs 460
- 461 release if radioxenon isotopic activities from real nuclear test are used (data from Nevada test
- 462 in this study). The same approach is apply for calculating the statistics for true positives and
- false negatives for the whole range of NPPs release hypothesis (ages from minimum to 463
- maximum isotopic activity ratios) while true negative and false positive are calculated for 464
- 465 nuclear tests and MIPFs hypothesis. A confusion matrix has been used and the results are
- 466 given in the Tables 5&6 where the four parameters True Positive (TP), False Positive (FP),
- True Negative (TN) and False Negative (FN) are presented. 467
- 468 Table 5: Statistics obtained using a confusion matrix. Activities data used are from Nevada 469 test. For each nuclear event, five (05) isotopic activity ratios are considered.
- 470

471 The statistics True Positive and False Negative are evaluated for the nuclear explosion under 472 in-growth condition while the statistics True Negative and False Positive are evaluated for the

- 473 cases of nuclear explosion with full fractionation, NPP release and MIPF release. The true

- 474 class is defined as 'nuclear explosion' and the false class 'non-nuclear explosion'. The reality
- is that all activity data used are from underground nuclear explosions. The statistics from
- Table 5 indicate that the scenario *'nuclear explosion under in growth condition'* is the most
- 477 accurate.
- 478
- 479 Table 6: Statistics obtained using a confusion matrix. Activities data used are from
 480 Fukushima accident. For each nuclear event, six (06) isotopic activity ratios are considered.
- 481

The statistics True Positive and False Negative are evaluated for NPP release scenario while
the statistics True Negative and False Positive are evaluated for the cases of nuclear explosion
with full fractionation, nuclear explosion under in-growth condition and MIPF release. The
true class is defined as '*NPP release*' and the false class '*non-NPP release*'. The reality is that
all activity data used are from NPP release (Fukushima accident). The statistics from Table 6

- 487 indicate that the scenario '*NPP release*' is the most accurate.
- 488

489 6. Discussion & conclusion

490 This work focused on the usability of event zero time determinations using xenon isotopic 491 activity ratios given the real atmospheric background observations. Two data sets from real 492 observations were used to calculate the age precisions of radioxenon release: radioxenon 493 activity data from Nevada test site and radioxenon concentration data from Fukushima 494 accident debris. For the purpose of this investigation, three kinds of radionuclide events are 495 distinguished: the nuclear explosions scenarios (full fractionation and under in-growth conditions), the nuclear power plants (NPPs) releases (NPP-median, NPP-lower limit and 496 497 NPP-upper limit values), the medical isotopes production facilities (MIPFs) releases (MIPFs -498 mean, MIPFs -min and MIPFs -max values).

499 The radionuclide event screening parameter proposed in this work is the age precision 500 differences. This parameter is interpreted such that the most probable radionuclide event is the 501 one that has the lowest value.

The first data set is from Nevada nuclear test site where United States performed underground nuclear explosions from 15/09/1961 to 23/09/1992. The interpretation of the age precision difference shows that a nuclear explosion under in-growth condition assumption is found to be the most likely source of the observed radionuclide events. This can be considered a validation of this screening approach since it confirms the known kind of the radionuclide event.

The second data set is from Fukushima nuclear accident in May 2011 in Japan, where the radioactivity release started by the explosion of the reactor 1 on 12 March 2011, at 15:30. From our study, is it found that the nuclear power plant release gives the most accurate results because the age precision difference values are the lowest for that type of event. Again, this can be considered another validation of this screening approach since that is the correct kind

513 of source of the radioactivity releases.

- In summary, the radionuclide event age determination using radioxenon isotopic activity ratio 514 is usable for characterizing a radionuclide event, by evaluating the age precision difference as 515 an event screening parameter. The radionuclide event with the lowest value of this screening 516 517 parameter can be identified as the type of source that is to the origin of the radioxenon release into the environment. However, let us note that the age precision evaluation is validated only 518 519 for the cases with a known suspected date. It is also shown that the activity ratio Xe-133/Xe-520 131m is not reliable for discriminating between nuclear explosions and nuclear facilities using 521 this new approach of radionuclide event characterization because its age precision results are less exacts. The age discrimination using the Xe133/Xe133m ratio cannot distinguish well a 522 NPP source from a MIPF source but avoids misinterpretation of an NPP source as originating 523
- 524 from a nuclear test.
- 525 This work can be improved by taking into account more parameters related to radioactivity
- 526 release characterization such as possible delay of release from the underground. That will
- 527 need to consider more kind of nuclear explosion releases. This issue could be one of future
- 528 investigations. It may also be useful to investigate results of the event origin time calculation
- 529 for the case of no hypothesis available about the time of the release.

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- 534 Disclaimer
- The views expressed by the authors in this work do not necessarily reflect those of the CTBTO.
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621	



Figure 1: Distribution of radioxenon activity ratios using nuclear reactor (NPP) release data (Kalinowski and Tuma, 2009) and medical isotopes production facility (MIPF) release estimates (Kalinowski/Grosch/Hebel, 2014). Only batch release mode was considered for the nuclear event characterization in this study.



Figure 1: Change over time of isotopic activity ratios of CTBT-relevant radioxenons for two kinds of release from a nuclear explosion, and three indicative values of release from NPP and MIPF releases (minimum, median and maximum). The latter are shown as constants as well in order to account for the fact that such fresh pulse releases can occur at any time after the assumed time zero of a nuclear explosion scenario.



Figure 1: Change of radioxenon activities ratios Xe135/Xe133 against Xe133m/Xe131m according to the kind of releases. The initial isotopic activity ratios (activity ratios at the release) used for nuclear reactor releases (NPP-median, NPP-limitLOW and NPP-limitUP) and medical isotopes production facilities releases (MIPF-mean, MIPF-min and MIPF-max) are respectively from Table1 and Table2. The square red dots represent debris released from Fukushima accident as observed at IMS stations.



Figure 1: Distribution of the valid activity ratios using Nevada nuclear explosion data. We can notice that the ratios including Xe-131m, namely Xe-135/Xe-131m, Xe-133/Xe-131m and Xe-133m/Xe-131m are almost absent.



Figure 1: Distribution of the evaluated age precision according to the kind of release. Useful Nevada xenon activity ratios were used in the time algorithms for Nuclear explosions (full fractionation and under in-growth conditions), NPPs release (median, lower limit and upper limit values), MIPFs release (mean, min and and max values). The ideal age precision shown in magenta colour is normalized to 1.



Figure 1: Distribution of the activity ratios using Fukushima accident debris data. Data used in this study were recorded from 14/03/2011 to 31/05/2011 in many IMS radionuclide stations. Black colour is used for valid entries for which both isotopes are above MDC, i.e. real detections that can be quantified; a bright grey is used in case one of the two isotopes is above MDC (in general it is the longer lived one of both); a dark grey indicates ratios that are calculated with both isotopes below MDC and basically scatter around the ratio of both isotopes detection limit, i.e. close to 0 in the logarithmic scale (indicated by a green line).



Figure 1: Distribution of the evaluated age precision according to the kind of release. Useful activity ratios from Fukushima accident debris were used in the time algorithms for Nuclear explosions (full fractionation and under in-growth conditions), NPPs release (median, lower limit and upper limit values), MIPFs release (mean, min and and max values). The ideal age precision shown in magenta colour is normalized to 1.

Table 1: Reported xenon activity ratios from nuclear reactors releases, measured by NuclearPower Plants (NPP) in United States and Europe during 2006, 2009 and 2014. Only thebatch release cases were considered.

Observations of data released from NPPs					
Activity ratio	Lower Limit	Median	Upper Limit		
Xe-135/Xe-133m	2.5E-02	4.1E+00	9.0E+01		
Xe-135/Xe-133	5.9E-04	2.1E-02	1.4E-01		
Xe-135/Xe-131m	4.8E-03	3.9E+00	8.5E+01		
Xe-133m/Xe-133	1.9E-06	4.9E-03	2.3E-02		
Xe-133m/Xe-131m	9.6E-05	6.6E-01	6.3E+00		
Xe-133/Xe-131m	6.6E-01	1.5E+02	1.8E+03		

Observations of data released from MIPFs						
Activity ratio	Lower Limit	Median	Upper Limit			
Xe-135/Xe-133m	6.9E-04	1.4E+01	9.6E+01			
Xe-135/Xe-133	1.2E-05	3.4E-01	2.3E+00			
Xe-135/Xe-131m	1.2E-02	1.8E+02	1.2E+03			
Xe-133m/Xe-133	1.9E-02	2.6E-02	4.3E-02			
Xe-133m/Xe-131m	8.8E+00	1.9E+01	5.3E+01			
Xe-133/Xe-131m	4.4E+02	6.8E+02	1.2E+03			

Table 1: Reported xenon activity ratios from medical isotopes production facility (MIPF)releases. Only the batch release cases were considered.

Table 1: Evaluation of the time precision difference obtained by making the difference between the perfect time precision value and the found time precision. The observation data used are from Nevada underground nuclear test. Plutonium Pu-239 and Uranium U-235 are used as the nuclear explosion materials fission induced by fission energy neutrons.

Statistical analysis (median) of the age precision difference								
Nuclear event Xe135/Xe133 Xe135/Xe133m Xe135/Xe131m Xe133m/Xe131m Xe133/Xe131m Xe133/X						Xe133/Xe133m		
Pu-	N. Expl. f.fract	0.2903	0.6082	NaN	0.4793	0.2280	0.7726	
239	N. Expl. growth	0.0751	0.1083	NaN	0.0118	0.5818	0.2959	
U-	N. Expl. f.fract	0.3481	0.3311	NaN	0.5627	0.2110	0.7724	
235	N. Expl. growth	0.0741	0.0789	NaN	0.0183	0.5188	0.2098	
	NPP median	2.6589	1.5214	NaN	1.4976	0.7769	2.5316	
	NPP lower	4.3000	4.7001	NaN	NaN	NaN	0.5963	
	NPP upper	1.7944	0.5569	NaN	0.3197	0.1993	116.8618	
	MIPF mean	1.3537	1.0305	NaN	0.0738	0.3275	3.3704	
	MIPF min	7.9886	4.2179	NaN	0.2324	0.3255	1.1981	
	MIPF max	0.8425	0.5268	NaN	0.0868	0.2485	3.6374	
	Activities data used are from US Nevada nuclear test site (Pu-239&U-235 material)							

Table 1 : Evaluation of the time precision difference obtained by making the difference between the perfect time precision value and the found time precision. The observation data used are from Fukushima accident debris. Plutonium Pu-239 and Uranium U-235 are used as the nuclear explosion materials fission induced by fission energy neutrons.

	Statistical analysis (median) of the age precision difference							
	Nuclear event	Xe135/Xe133	Xe135/Xe133m	Xe135/Xe131m	Xe133m/Xe131m	Xe133/Xe131m	Xe133/Xe133m	
	_							
Pu-	N. Expl. f.fract	0.6354	0.4877	0.6141	0.8747	0.9292	0.7464	
239	N. Expl. growth	0.5798	0.5604	0.5376	0.7575	0.8973	0.7511	
U-	N. Expl. f.fract	0.6020	0.5231	0.6696	0.8955	0.9463	0.7462	
235	N. Expl. growth	0.5806	0.5625	0.5421	0.7592	0.9006	0.7439	
	NPP median	0.3574	0.3397	0.4666	0.3402	0.8895	NaN	
	NPP lower	3.9186	3.8733	24.7226	NaN	0.6575	0.7589	
	NPP upper	0.4581	0.4908	0.4605	0.6316	0.9321	NaN	
	MIPF mean	0.3718	0.3839	0.4765	0.7216	0.9205	1.4539	
	MIPF min	NaN	NaN	4.7126	0.6635	0.9133	0.3816	
	MIPF max	0.5196	0.4947	0.5385	0.7736	0.9281	0.8098	
		Activities dat:	a used are from Fi	Ifushima accident	(Pu-230&11-235 ma	terial)		

Table 1: Statistics obtained using a confusion matrix. Activities data used are from Nevada test. For each nuclear event, five (05) isotopic activity ratios are considered.

Nuclear Event	Statistic (5 items used)				
Nuclear Event	ТР	FP	TN	FN	
N.E full fract		0	5		
N.E growth	4			1	
NPP		1	4		
MIPF		0	5		

Activities data used are from US Nevada nuclear test site

TP: True Positive, FP: False Positive, TN: True Negative, FN: False Negative

Table 1: Statistics obtained using a confusion matrix. Activities data used are from Fukushima accident. For each nuclear event, six (06) isotopic activity ratios are considered.

Nuclear Event	Statistic (6 items used)				
Nuclear Event	ТР	FP	TN	FN	
N.E full fract		0	6		
N.E growth		0	6		
NPP	5			1	
MIPF		1	5		

Activities data used are from Fukushima accident

TP: True Positive, FP: False Positive, TN: True Negative, FN: False Negative