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Organization Science improves management effectiveness of Marine Protected Areas

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Abstract

The ecological management effectiveness (EME) of Marine Protected Areas (MPAs) is the degree to which MPAs reach their ecological goals. The significant variability of EME among MPAs has been partly explained by MPA design, management and implementation features (e.g. surface area, enforcement, age of protection). We investigated EME variability by employing, for the first time, Organization Science. Eight Mediterranean MPAs were taken into account as case studies to explore the relationships between EME and MPA features, such as: 1) organizational size (i.e. the ratio between the number of full-time employees and the total MPA surface area), 2) management performance (i.e. the level of effort exerted to enhance and sustain the MPA management, including enforcement), 3) total surface area, and 4) MPA age. The log-response ratios of fish biomass and density in protected vs unprotected (control) areas were used as a proxy of EME. Management performance, organizational size and, to a lesser extent, MPA age were positively correlated with the log-response ratio of fish biomass, whereas total surface area did not display a significant role. None of the four features considered was significantly correlated with the log-response ratio of fish density. Based on our findings, we argue that the employment of Organization Science in the management effectiveness assessment can assist MPA managers to reach MPAs goals more effectively, with a more efficient use of available resources.

Keywords

Marine conservation, management performance, employees, fish biomass, management process

1. Introduction

Marine Protected Areas (MPAs) are broadly considered as effective tools to achieve ecological - biodiversity conservation, restoration of overexploited populations and communities/ecosystems -, cultural - preservation of traditional human activities -, recreational - tourism, boating- and/or socio-economic - improvement of local livelihood, creation of job opportunities, fishery enhancement- goals (Gaines et al., 2010; Day, 2012; Sala et al., 2013). The degree to which MPAs reach their goals is defined as ‘management effectiveness’ (Hockings et al., 2006).

The ecological management effectiveness (EME) of MPAs refers to the achievement of ecological goals, and is the most frequently assessed aspect of MPA management effectiveness (McClanahan et al., 2006; Gill et al., 2017). It is usually assessed by comparing one or more biological descriptors -e.g. density, size and biomass of species, species richness- or assemblages’ patterns inside and outside protected areas (Lester et al., 2009; Guidetti et al., 2014; Giakoumi et al., 2017). Many MPA ecological goals are linked to the restoration of depleted fish stocks, as fishing is one of the most common extractive activities in the ocean (Lubchenco et al., 2003; Worm et al., 2009). Target fish species and assemblages are thus more likely to respond to the protection measures adopted and enforced inside MPAs, especially in the fully protected i.e. no-take- areas (Micheli et al., 2004; Guidetti et al., 2008; Sala and Giakoumi, 2017). Most studies assessing EME, therefore, have focused on fish assemblages.

EME is highly variable among MPAs in space and time (Edgar et al., 2014; Pendleton et al., 2017), and this variability has been attributed to a number of MPA features mainly related to MPA design and implementation, such as total MPA surface area, fully protected surface area, level of geographical isolation, level of protection -i.e. fully/partially protected areas-, age -time since protection-, and level of enforcement (Claudet et al., 2008; Guidetti et al., 2008; Edgar et al., 2014; Di Franco et al., 2018). Yet, these MPA design and implementation features explain only part of the EME variability (Lester et al., 2009; Giakoumi et al., 2017). To further explain this variability, some studies have considered some management aspects of MPAs as features that can potentially affect their management effectiveness (Rodríguez-Rodríguez et al., 2016; Di Franco et al., 2016; Gill et al., 2017; Worm, 2017). For instance, Gill et al. (2017) showed that inadequate staff capacity can

54 compromise the EME of MPAs. Rodríguez-Rodríguez et al. (2016) reported that a better
55 management performance -defined as “the level of effort exerted to enhance and sustain the
56 management of MPAs” by Horigue et al., 2014- can enhance EME. In such a context, the need
57 to apply an interdisciplinary approach to study the management effectiveness of MPAs
58 (including EME) has recently been highlighted in the scientific literature (Fox et al., 2012;
59 Pendleton et al., 2017). A step towards this direction is to consider MPAs as “organizations”
60 (Scianna et al., 2015 and definition available in Supplementary material, Text SM.1), an
61 approach that allows exploration of the potential relationships between MPA organizational
62 dimensions -i.e., the design traits characterizing each organization; Daft, 2016-, management
63 performance (Horigue et al., 2014), and the related management effectiveness. Such an
64 approach has the main advantage to be based on a science, the Organization Science (OS), that
65 has been already used for evaluating the management effectiveness of a variety of
66 organizations (Capon et al., 1990; Kaplan, 2001; Chun & Rainey, 2005) and, thanks to over a
67 century of research, has developed rigorous methods. This discipline has been created to study
68 the structures, strategies and the context of any organization and to understand how the inner
69 variables can make it successful (Daft, 2016; definition also available in Supplementary
70 material, Text SM.1).

71 The most recent attempts to assess MPA management effectiveness are based on two
72 main frameworks: the framework IUCN-WCPA for management effectiveness evaluation
73 (Hocking et al., 2000) and the framework for the implementation of the IUCN Green List
74 Programme (IUCN-WCPA, 2016). The first framework, on which other studies rely (e.g.
75 Ervin, 2003; Stolton et al., 2007), does not provide any standardized methodology, as stated by
76 the authors. This has led to the possibly to create diverse indicators for the assessment of MPA
77 management effectiveness and to the need by Leverington et al. (2010) to create an overall
78 system of nested indicators. Despite this big effort, some weakness, from the organizational
79 point of view, can be found in such method. For instance, one headline indicator in the
80 “planning” element is “Protected area gazettal”. The legal establishment of a protected area
81 should be the minimum requirement to start its assessment because an organization, and as well
82 as any protected area, cannot be effective if it does not exist. “Marking and security or fencing
83 of park boundaries”, another headline indicator in the element “planning”, is, from an

organizational point of view, an activity linked to the wider strategy of implementation of the law together with the enforcement (other activity). The planning should overall account for the designing of the strategy and the methods to carry out the relative activities. The second framework employs the social management effectiveness (the achievement of the social goals) as standards for the achievement of the conservation outcomes. It seems that more attention should be paid in the planning and therefore in setting goals, strategy and activities.

The extreme variability in MPAs management effectiveness remains one of the main issues in MPAs science for its consequences on biodiversity and natural resources conservation, and its social impacts on local communities (Lester et al., 2009; Giakoumi et al., 2017). Despite the great efforts made by experts and researchers, the existing frameworks are still not able to fully identify the factors determining such variability. Improving the methodological approaches to assess MPAs' management effectiveness is also pivotal to assess the achievement of international targets to which countries are officially committed (Aichi target 11 of the CBD, 2011; SDG target 14, UNEP, 2015). This is especially true in the marine context where the coverage of protected areas is 5.1%, of the global oceans, very far from the achievement of the targets and way behind the terrestrial sphere where the coverage is 14.8% of the terrestrial areas (UNEP-WCMC & IUCN, 2016).

Here, we employed the Organization Science (Daft, 2016) to explore, for the first time, the relationships between the EME of Mediterranean MPAs and their 1) organizational dimensions, 2) management performance, and 3) design and implementation features. To assess the EME of MPAs, general ecological objectives were taken into account using commonly employed descriptors (see Gill et al., 2017) such as the differences between the fish fauna inside the MPAs and in unprotected areas. The results of these analyses can provide guidance on how to improve MPA management by drawing on the insights from effectively run organizations.

2. Methods

2.1. Study area and data collection

In the present study, we considered eight MPAs located in the north Mediterranean Sea for which both organizational and ecological data were available (Figure 1, Table 1).

Organizational data were gathered from Scianna et al. (2018), in particular the data relative to MPA management performance and organizational size (Table 2). An extensive data mining effort was carried out to compile only factual and not perceptual data (e.g. expert opinion), in contrast to previous similar studies in which expert judgment was used to fill data gaps (e.g. Pomeroy et al., 2004; Edgar et al., 2014; Gill et al., 2017).

Figure 1. Geographical location of the 8 MPAs investigated (numbered in alphabetical order). 1) Bouches de Bonifacio Natural Reserve (Corsica, France), 2) Côte Bleue Marine Park (France), 3) Isola di Bergeggi MPA (Italy), 4) Isole Tremiti MPA (Italy), 5) Portofino MPA (Italy), 6) Tavolara-Punta Coda Cavallo MPA (Italy), 7) Torre Guaceto MPA (Italy), 8) Zakynthos National Marine Park (Greece).

Table 1. Main features of the 8 MPAs investigated in the present study

MPA	Country	Total surface area (Km ²)	Fully protected surface area (Km ²)	Age
Bouches de Bonifacio Natural Reserve (Bonifacio)	France	796.40	11.30	15
Côte Bleue Marine Park (Côte Bleue)	France	98.73	2.95	32
Isola di Bergeggi MPA (Bergeggi)	Italy	2.15	0.034	7
Isole Tremiti MPA (Tremiti)	Italy	14.66	1.80	19
Portofino MPA (Portofino)	Italy	3.46	0.19	13
Tavolara - Punta Coda Cavallo MPA (Tavolara)	Italy	150.00	5.35	11
Torre Guaceto MPA (Torre Guaceto)	Italy	22.00	1.87	14
Zakynthos National Marine Park (Zakynthos)	Greece	83.31	8.01	14

In Scianna et al. (2018), management performance was assessed through the Management Performance Index (MPI), an index specifically created to evaluate the overall effort deployed by the management body to manage the MPA. MPI is composed of two sub-indices: the culture and goal index (CGI) and the strategy index (SI). These two sub-indices evaluate the overall effort deployed by each management body respectively in: 1) planning for the future both in the long (mission and vision) and the short terms (goals), and 2) planning and carrying out (strategy) the relevant activities to reach goals that are consistent with the longer term mission and vision (see Table 2 for their scores). SI is composed of four sub-components that evaluate the effort for each of the four activities performed inside an MPA (EEI= environmental education index; EI= enforcement index; MI= monitoring index; MDI= management data index). Detailed definitions of the mission, vision, goals and strategy are available in Supplementary material (Text SM.1), and Scianna et al. (2018).

Table 2. Scores of CGI (Culture and Goals Index), SI (Strategy Index), MPI (Management Performance Index) and organizational size. CGI and SI values can range from zero to eight (maximum value, see Scianna et al., 2018 for more details). The MPI is computed through the formula: $MPI = \sqrt{((CGI^2 + SI^2)/2)}$, so that its maximum score is again 8.

MPA	CGI	SI	MPI	Organizational size
Bouches de Bonifacio Natural Reserve	3	5	4.12	0.04
Côte Bleue Marine Park	4.5	5.25	4.89	0.08
Isola di Bergeggi MPA	4	3.75	3.88	1.76
Isole Tremiti MPA	4	2.75	3.43	0.12
Portofino MPA	6.5	2	4.81	2.31
Tavolara - Punta Coda Cavallo MPA	4.5	3.75	4.14	0.05
Torre Guaceto MPA	6.5	5.25	5.91	0.77
Zakynthos National Marine Park	2	5.5	4.14	0.47

Organizational size is defined in Organization Science as the workforce or the funding that the organization can rely upon to carry out its strategy (Daft, 2016). Here, we consider the workforce component of the organizational size, calculated as the ratio between the number of full-time equivalents - the equivalent number of employees working full-time in an organization - and the total MPA surface area in km². To this end, “MPA organizational size” is used to refer to the workforce of MPAs standardized by the MPA’s surface area. In the

present study, therefore, we moved away from the use of “MPAs size” commonly adopted in literature to define the MPA surface area (Claudet et al., 2008; Edgar et al., 2014).

A peer-reviewed literature search was performed to find data on the effect of protection on ecological variables in the investigated MPAs (details and selection criteria available in Supplementary material, Text SM.2). No papers - published in scientific journals with impact factors - meeting all of our selection criteria were found. Thus, the search was extended to cover grey literature and unpublished data.

Technical reports on fish assemblage monitoring were available for seven of the eight target MPAs: Bergeggi, Côte Bleue, Portofino, Tavolara, Tremiti, Torre Guaceto and Zakynthos (more detail available in Supplementary material, Table SM.1 and Table 1 for full MPAs' names; methods used to extract data available in Supplementary material, Text SM.3). In the case of Bonifacio MPA, raw and quantitative data on fish density and biomass were directly provided by the management body of the MPA as there were no reports available. The final dataset, suitable for analysis, was thus constituted by data on the total biomass and density of fish from the eight MPAs and respective control areas (Figure 1). Species richness and individual fish size were excluded from the analyses, because the requisite data were available for only a few of the target MPAs.

Finally, data about three design and implementation features of the eight MPAs were collected from the available literature, websites and reports (see Table 1 for their values): 1) total surface area in km²; 2) fully protected surface area in km²; 3) age (the number of years between the implementation of the MPAs and 2014, the year of collection of the organizational data and most ecological data).

2.2. Data treatment

To evaluate the EME of the eight MPAs, the log-response ratio (*RR*, Hedges et al., 1999) for fish biomass and density data was estimated as follow:

$$RR = \ln(\bar{X}_T / \bar{X}_C)$$

where $\bar{X}_T$ and $\bar{X}_C$ are the mean values of the observations (either for biomass or density) inside and outside the MPA (control site), respectively. The variance (v_{RR}) associated with the estimated RR was calculated as:

$$v_{RR} = \frac{S_T^2}{N_T \bar{X}_T^2} + \frac{S_C^2}{N_C \bar{X}_C^2}$$

where S_T and N_T are, respectively, the standard deviation and the number of observations (either for biomass or density) inside the MPA, while S_C and N_C are the corresponding values outside the MPA. Significant differences between fish biomass and density inside versus outside each MPA were detected by calculating the corresponding 95% confidence interval of the RR as follows:

$$[RR - z_{\alpha/2} \sqrt{v_{RR}} \quad ; \quad RR + z_{\alpha/2} \sqrt{v_{RR}}]$$

where $z_{\alpha/2}$ is the 100(1 - $\alpha/2$)% percentile of the standard normal distribution and α is the confidence level. If 95% confidence intervals overlap with the zero value, then the calculated RR values are not statistically significant. The sign of the RR values indicate the direction of the effect. Positive RR values indicate levels that are higher in the MPA than in the corresponding unprotected area.

Then, univariate multiple regressions were carried out to test which features (predictors) were significantly related with RR s of both fish density and biomass (Anderson et al., 2008). To this end, the distance-based multivariate analysis for a linear model procedure (DISTLM) was used to analyze univariate data in response to continuous predictor variables. The marginal and the conditional tests were run using Primer V7 with PERMANOVA+ added on software package (Anderson et al., 2008; Clarke & Gorley, 2015). The marginal test measures the relationship between a response variable and each predictor separately. The conditional test, on the other hand, looks for such relationships by sequentially adding each predictor to the model (Anderson et al., 2008).

Before performing the analysis, possible collinearity among the predictor organizational, design and implementation features was checked: organizational size, management performance index (MPI), total surface area, fully protected surface area, and age. A statistically significant correlation was detected among total surface area and fully protected surface area (values available in Supplementary material, Table SM.2). As a result, only four MPA organizational, design and implementation features were used as predictors of the EME: 1) organizational size, 2) management performance index (MPI), 3) total surface area, and 4) age of protection. We also tested the possible relationships between EME and each subcomponent of MPI. This analysis sought to disclose any specific effects of the different components of the management process, taken individually, on EME.

Euclidean distance for both organizational and ecological variables was used, and *p*-values were simulated through 9999 permutations of model residuals (Anderson, 2001). In the DISTLM, the forward method was used (to seek the additive effect of the variables) and the adjusted R^2 selection criterion, which is not too severe, nor too generous in including the predictor variables in the model.

3. Results

Our results revealed that *RR* values based on total fish biomass and density were significantly higher in the fully protected areas than in the unprotected areas or in the partially protected area of the Tremiti MPA (Figure 2). The lone exception was Bonifacio MPA, where fish density was higher in the control areas than inside the fully protected area. The biomass inside the MPAs was from 2.59 to 12.40 (mean= 5.90) times higher than in unprotected areas. For seven MPAs, the density inside the protected area was from 1.22 to 4.57 (mean= 2.41) times higher than in unprotected areas. Bonifacio was the exception, with density outside the protected area that was 1.15 times higher than inside.

Figure 2. Plots showing the log-response ratios and the corresponding 95% confidence intervals for total fish biomass (a) and density (b) in eight fully protected areas vs control areas.

As a statistically significant difference between MPAs and control areas was observed, we investigated in depth which predictors better explained the variability in the density and biomass *RR*. Among the four organizational, design and implementation features considered as predictors (i.e. organizational size, MPI, total surface area and age of protection), the MPI, in the marginal test, explained the largest amount of total variability ($\approx 63\%$) in fish biomass (Figure 3a; refer to Supplementary material, Table SM.3), showing a correlation coefficient significantly different from zero ($p=0.02$). The organizational size explained, in the marginal test, 42% of the variability in fish biomass, and following Gelman (2013), it is weakly significant with a p -value close to the threshold of 0.10 (organizational size $p=0.08$; Figure 3b; refer to Supplementary material, Table SM.3). The remaining two design and implementation features (total surface area and age of protection) did not seem to play any significant individual role in explaining the variability in ecological responses.

Figure 3. Correlation plots. **a:** correlation plot between fish biomass log-response ratio and the Management Performance Index (MPI). The scale for MPI ranges from zero to eight by definition (Scianna et al., 2018). **b:** correlation plot between fish biomass log-response ratio and the organizational size. The values of the organizational size calculated for the eight MPAs range from zero to 2.31.

When all four predictors were added sequentially to the linear model in the conditional test, based on adjusted R^2 selection criterion, the MPI explained 63% of the total variability ($p = 0.02$) when considered alone. The second predictor that sequentially increased the adjusted R^2 the most, was organizational size ($p = 0.03$). Collectively, these two predictors thus accounted for 88% of the total variability in the RR based on fish biomass. Age of protection was weakly significant with a p value close to the threshold of 0.10 (age $p = 0.09$; Gelman, 2013). Including

all three predictors explained 94% of the total variability. Total surface area did not significantly explain any additional variability (refer to Supplementary material, Table SM.3).

Figure 4 shows the joint relationship of the two more significant organizational predictors (MPI and organizational size) with fish biomass *RR*. The two MPAs with the highest biomass *RR*s (Portofino and Torre Guaceto) were characterized by MPI and organizational size values that were both well above the overall mean values for the MPAs investigated here (MPI mean value= 4.41; organizational size mean value= 0.70). By contrast, the four MPAs with lower biomass *RR*s (Bonifacio, Tavolara, Tremiti, and Zakynthos) were characterized by MPI and organizational size that were both below the means. Finally, sites where either MPI or organizational size (but not both) were above the mean had intermediate values of biomass *RR*, as in the case of Bergeggi and Côte Bleue.

Figure 4. Correlation plot between the organizational size and the MPI (Management Performance Index). The diameter of the bubble is related to the magnitude of the log-response ratio (*RR*) of fish biomass. The solid lines represent the mean values for both organizational size and MPI calculated for the Marine Protected Areas studied.

Going more in depth on the role of MPI components, marginal test detected that fish biomass *RR* was significantly and positively related with CGI (culture and goal index, 63% of the total variability explained, $p= 0.00$) and with SI (strategy index, 49% of the total variability explained, $p= 0.01$) (refer to Supplementary material, Table SM.4). In the conditional test, CGI and SI explained together the 98% of the total variability (p for both indices= 0.00). None of the four sub-components of the SI, which evaluate the effort deployed in the main management

activities, was significantly correlated to biomass *RR* (refer to Supplementary material, Table SM.5). None of the four predictors considered here was found to have a significant relationship with fish density *RR*.

4. Discussion

4.1. The effect of management performance, organizational size and age of protection on EME

The findings of this study demonstrate that management performance has a profound influence on the ecological management effectiveness (EME) of the MPAs investigated. Moreover, the strong influence of two MPI subcomponents (the culture and goals index, and the strategy index) on EME of MPAs support the value of deploying greater effort in both planning for the future (mission, vision and goals) and planning and carrying out current activities (strategy) to achieving better MPA effectiveness. The importance of such management aspect is showed also by the presence of planning indicators in other management effectiveness frameworks and assessments (IUCN-WCPA, 2000; Leverington et al., 2010). On the other hand, the strategic management activities (i.e. enforcement, education, monitoring, and data management/valorization) were not individually strongly linked to EME. This could compromise the effort lavished on one or few of these specific activities if a broader planning is not envisaged and all the essential management activities are carried out simultaneously. Perhaps the most surprising aspect of the little impact from these individual management activities is the lack of any significant effect of enforcement on EME. Enforcement has been considered among the crucial factors driving positive ecological effects inside MPAs (Guidetti et al., 2008; Giakoumi et al., 2017; Gill et al., 2017). One possible source of this discrepancy that warrants further exploration is the qualitative difference in measures of enforcement between this study and previous examples. Most of them evaluated the level of enforcement based on expert opinion (Claudet et al., 2008; Gill et al., 2017), while we quantified the amount of effort deployed in enforcement activities (e.g. the hours spent for the surveillance divided by the MPA total surface area and the methods adopted for the surveillance). Expert opinion estimates of enforcement activity may incorporate components of both enforcement effort and broader management strategies. In addition, the effort deployed in enforcement activities by the

MPAs considered here was in general quite low with limited variability across locations. This provides limited power in exploring the impacts of enforcement intensity on EME.

The observed impacts of management performance and its subcomponents provide several insights on how to improve MPA management effectiveness and design guidelines for MPAs management and decision makers. The results underline the importance to define vision, mission and quantitative goals, as well as the need of designing a proper strategy.

MPA organizational size, measured here as the total number of full-time employees (the actual workforce that carries on MPA activities throughout the year) weighted by the MPA surface area, is also positively related to EME. This evidence is consistent with the results by Gill et al. (2017), who reported that an adequate staff capacity is the key management factor that explains fish responses to MPAs. Our study is the first, to our knowledge, that standardized human resources employed in an MPA, taking into account the work time and the MPA surface area, rather than looking solely at the total number of employees (Pomeroy et al., 2004; Hockings et al., 2006; Abdulla et al., 2008). Our standardized approach to assess human resources is likely to provide a more realistic picture of MPA workforce and worktime, relatively to the extension of each MPA, as demonstrated by the additional analysis we performed. Repeating the same analysis and considering the total number of employees, no statistically significant relationship has been found with the biomass *RR* (refer to Supplementary material, Table SM.6). Our standardized approach, therefore, could help decision makers understand the actual needs of each MPA and properly allocate the resources to improve EME.

The predictor “age of protection” was weakly but positively correlated with EME. According to previous studies, such a result is attributable to ecological, social and organizational processes that need time to work, e.g. the time needed for MPA ecological benefits to develop, the time needed for the same ecological benefits to spill-over from MPAs and allow for a social acceptance of the MPAs, the time needed to raise funds to support MPA management after formal MPA creation (Claudet et al., 2008; Edgar et al., 2014; Giakoumi et al., 2017).

Fish density did not show relationships with any of the predictors considered here. Previous studies already recognized that fish density can be affected by processes partially

independent of protection (e.g., a successful annual recruitment: Harmelin-Vivien et al., 2008). Fish biomass, on the other hand, is commonly the most responsive indicator of the conservation status of fish assemblages, because it inherently integrates both changes in density and size (Sandin et al., 2008; Sandin & Sala, 2012; Guidetti et al., 2014), where fish size (especially for species targeted by fishing) is one of the best indicator of the effect of protection (Pelletier et al., 2005; Di Franco et al., 2009).

In accordance with previous findings (Côté et al., 2001; Micheli et al., 2004; Di Franco et al., 2016), the surface area was not statistically correlated with MPA EME (at least in terms of fish biomass or density *RR*). Previous studies have reported divergent effects of MPA surface area on EME (Edgar et al., 2014; Giakoumi et al., 2017). This variation may reflect the consequences of interactions between the biology of the species being fished (e.g., fish home range, changes in habitat during development) and MPA area, which are not adequately addressed in many studies, our analyses included (see Di Franco et al., 2018 for further discussion).

Finally, a high percentage (94%) of EME variability was explained by MPI, organizational size and age of protection. This output suggests that applying Organization Science and together considering design and implementation features to assess MPAs effectiveness, is more than promising.

4.2. Limitations of the present study

Four shortcomings of the current study may limit the generality of our findings. First, the number of the MPAs studied ($n=8$) is fairly restricted because of the paucity of settings where both ecological and organizational data are available (Guidetti, 2002; Claudet & Guidetti, 2010; Ferraro & Hanauer, 2014). Gill et al. (2017) faced the same problem in their study aimed at investigating the link between MPA management features and their ecological effectiveness on a worldwide scale. Globally, MPA management bodies rarely collect the ecological, organizational and management data that make such analyses possible. Rectifying this data limitation is a key to exploring the generality of the results observed in the Mediterranean and to be able to give more detailed guidelines on the scores of management performance and organizational size needed to reach a high level of management effectiveness.

Second, the present study focused on fish density and biomass *RR* to test for correlations with a number of MPAs features related to their organization, design and implementation. We used fish density and biomass, because these were the only comprehensively available data. However, other ecological components are also potentially influenced by protection (Gaines et al., 2010; Guidetti & Sala, 2007). Moreover, fish density and biomass *RR* are affected by the conditions both inside and outside MPAs. Within-MPA conditions are usually better quantified than conditions outside, often just described as ‘open to fishing’ (Claudet & Guidetti, 2010). When the effectiveness of an MPA is assessed in relation to external controls, the state of control locations outside the protected areas can play a major, but unmeasured, role in the magnitude of responses.

Third, in this study we assessed responses of two ecological variables. Yet, MPAs usually have multiple objectives (e.g., ecological, socio-cultural, economic: Fox et al., 2012), which may also depend on the strategy or trade-offs adopted by each MPA management body (Berger et al., 2016). The MPA overall effectiveness (or success), from this perspective, can be properly evaluated only by considering the achievement of a broader range of objectives. Applying a similar approach with more diverse response variables and a larger number of MPAs across a wider geographical scale would provide a more comprehensive assessment of the key management characteristics that help drive MPA success.

Lastly, we did not provide data on another essential component of the organizational size: funding. Despite the important role that funding can play in the management process (Gill et al., 2017), it was not possible to collect such data for most of the MPAs studied here. Data about funding, in fact, are often considered “sensitive and delicate”, and management bodies are commonly not willing to share them.

4.3. Perspectives

Our results provide two main hints for the future research on MPAs: a) the opportunity to complement two different disciplines such as MPA science and Organization science for future studies on MPA management effectiveness, so that any changing condition that will occur inside a MPA could be managed relying on the OS scientific and rigorous methods and on the expertise in the management process gathered by organizational scientists over a century

of research; b) the need to shift from the current methods based on experts judgments (e.g. Pomeroy et al., 2004; Edgar et al., 2014; Gill et al., 2017) to other based on factual and quantitative indices and comparable and empirical data collected in an standardized manner.

In the light of the available literature, our results make it possible also to develop a well-structured and more comprehensive framework to identify the crucial steps for a standardized and adaptive management process of an MPA (Figure 5a). Such framework is based on a new correlation between management performance and management effectiveness considered now as one dependent from the other, and not synonymous as they have been mostly considered so far. Initially, the mission and the vision of an MPA should be formalized to guide goal setting (Nanus, 1992). The goals should account for a wide array of protection effects: ecological, cultural and socio-economic (Watson et al., 2014). To achieve high MPA management effectiveness, goals should be quantitative and refined through time (Ban et al., 2012). Appropriate metrics and data collection protocols should be selected and standardized to track the degree of goals achievement (Parma, 1998). The strategy should be designed consistently with the formalized mission, vision and goals, to provide clarity on crucial activities, like enforcement, environmental education and monitoring (Campbell & Yeung, 1991). Long-term monitoring using appropriate protocols can assess performance and effectiveness based on the goals set (Guidetti & Claudet, 2010; Lubchenco & Grorud-Colvert, 2015; Lamb, 2017). Standard methods for data collection should be defined to make the data readily available and comparable across MPAs (Lester et al., 2009). Collectively, such steps within an adaptive management framework could improve significantly MPA management performance and effectiveness (Grafton & Kompas, 2005; Ban et al., 2012).

Figure 5. Conceptual models on how to improve the management process. a: framework for the adaptive management process of an MPA. **b:** critical elements related to and supporting the creation and management of MPAs. Arrows show the dynamic process between the management performance and the management effectiveness. Management performance affects management effectiveness, which, once assessed, can give important hints on the rearrangement of the management performance.

The results of this study, also, give a thought to the sequential links among organizational size related to MPA surface area, management performance and management effectiveness (Figure 5b). Resources, in terms of employees and funding needs, should be planned *a priori* once an MPA is created, taking into consideration at least its surface area. The employees (manager and staff, based on their number and competences) are the main drivers of the level of management performance which in turn reflects on the management effectiveness of the MPA, as showed by our results. Once the management effectiveness is assessed, MPA management bodies have the critical information on the rearrangements that are needed to

447 further improve the management performance which again affects the management
448 effectiveness (see arrows in the figure).

449 **4.4. Conclusions**

450 The present study provides quantitative evidence on the relationship between MPA
451 management effectiveness, the effort deployed in the management (performance) and the full-
452 time workforce standardized by the surface area of the MPA. It also shows the value of
453 assessing these features through the employment of the Organization Science. This approach
454 could help reduce the ambivalence about to what end (mission, vision, goals) and how (strategy
455 and actions) MPAs are used. By improving the methodologies for the assessment of MPA
456 management effectiveness, we could improve our understanding of key drivers and thereby
457 make MPAs more effective. This is particularly important if we consider that the resources
458 available for MPA management are usually scarce and understanding how to reach ‘effective’
459 management is fundamental for defining where and how to prioritize resource investments.
460

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Supplementary material

Text SM.1. Glossary

Goals: the results or the end points toward which organizational efforts are directed (Etzioni, 1964; Mintzberg, 1973).

Management effectiveness: the degree to which Marine Protected Areas reach these goals (Hockings et al., 2006).

Management performance: the level of effort exerted to enhance and sustain the management of Marine Protected Areas (Horigue et al., 2014).

Mission: the fundamental reason or purpose why an organization exists (Pearce & David, 1987).

Organization: cooperative system of consciously coordinated activities of two or more persons, with a common purpose (Barnard, 1938).

Organization Science: discipline that studies the organizations in terms of structures, processes and practices, and assesses their management effectiveness identifying the sources of successes and failures (Daft, 2016).

Strategy: the adoption of courses of action and the allocation of resources necessary for carrying out the long-term goals and objectives of the enterprise (Chandler, 1962).

Vision: a destination toward which the organization should aim, a future that is better, more successful, or more desirable for the organization (Nanus, 1992).

Text SM.2 – Peer- reviewed literature selection criteria

We performed a peer-reviewed literature search on the *Web of Science* using key words as follow: (“marine protected area*” or “marine reserve*” or “natural reserve*” or “marine park*”) and (ital* or gre* or spa* or France or French) and Mediterranean. Moreover we performed specific search for each MPA (Marine Protected Area) that we considered as follow:

- “marine protected area*” and Bergeggi,
- “natural reserve*” and Bonifacio,
- “marine reserve*” and Cala Ratjada,
- “marine park*” and “Côte Bleue”,
- “marine protected area*” and Portofino,
- “marine protected area*” and Porto Cesareo,

717 - “marine protected area*” and Tavolara,
718 - “marine protected area*” and “Torre Guaceto”,
719 - “marine protected area*” and Tremiti,
720 - “marine protected area*” and Ustica,
721 - “marine park*” and Zakynthos.
722 We also searched for papers that: 1) measured at least one of the four key ecological variables (fish density, fish
723 biomass, individual fish size, fish species richness), 2) considered the whole fish assemblages, 3) reported a
724 measure of variance from the mean (standard deviation or error) for the measured variable(s), 4) collected data in
725 the fully protected (i.e. no-take) area of the MPA and in adjacent unprotected areas outside the MPA, or in the
726 fully protected area and in the partially protected area in the case of MPAs that do not have unprotected areas in
727 proximity (e.g. islands whose coastal waters are entirely embedded within the MPA). We set a temporal frame
728 (01/01/2012 to 27/05/2016) relevant to the period to which the organizational data of the investigated MPAs refer
729 (2014).

731 **Table SM.1 - List of unpublished technical reports from which ecological data were**
732 **extracted**

MPA	Title	Year	Author
Bergeggi	Valutazione della fauna ittica costiera e monitoraggio dell'effetto riserva presso l'Area Marina Protetta 'Isola di Bergeggi'	2014	Guidetti et al.
Côte Bleue	Suivi des peuplements de poissons de la Réserve Marine du Cap Couronne (Parc Marin de la Côte Bleue)	2015	Le Diréach et al.
Portofino	Monitoraggio dell'effetto riserva presso l'Area Marina Protetta di Portofino	2014	Guidetti et al.
Tavolara	Relazione tra 'effetto riserva' e piccola pesca nell'ottica della sostenibilità all'interno dell'Area Marina Protetta 'Tavolara-Punta Coda Cavallo'	2015	Guidetti et al.
Torre Guaceto	Monitoraggio delle specie ittiche e delle attività di piccola pesca ai fini della contabilità ambientale	2015	Guidetti et al.
Tremiti	Monitoraggio della fauna ittica presso l'AMP Isole Tremiti ai fini della valutazione degli effetti della protezione/gestione e regolamentazione della pesca	2013	Guidetti et al.
Zakynthos	Management Measures for Fisheries in the Marine Protected Area of the National Marine Park of Zakynthos	2013	Dimitriadis et al.

733

Text SM.3 – Methods to extract data

From each report, we extracted data from text, tables, and figures for the four ecological variables inside the fully protected area of the MPA (Marine Protected Area) and in unprotected areas outside the MPA borders. Just for Tremiti MPA we extracted the data from the fully protected and the partially protected areas (in the latter both commercial and recreational fishing are authorized), as this MPA is a small archipelago and does not have an open access area in proximity. To extract data from figures we used the free software WebPlotDigitizer (<https://automeris.io/WebPlotDigitizer/>). We focused on fish data from rocky habitats, since the majority of monitoring was done in this habitat. When reports provided data through time, we averaged them in order to rely on a larger number of replicates and approximate average condition in time.

Table SM.2 – Correlation coefficient among the predictor organizational, design and implementation features

Correlation coefficients (cc) and p values of the correlations among the five organizational, design and implementation features. Size= organizational size, ratio between FTE (full-time equivalent employees) and the total surface area. MPI= Management Performance Index. Tot surface= total surface area in hectares. No-take surface= fully protected surface area in hectares. Age= the number of years between the implementation of the MPAs and 2014, the year of collection of the majority of the ecological data and the organizational data.

Size	cc= -0.48 <i>p</i> -value = 0.23			
MPI	cc= 0.19 <i>p</i> -value = 0.65	cc= 0.19 <i>p</i> -value = 0.65		
Tot surface	cc= 0.02 <i>p</i> -value = 0.96	cc= -0.43 <i>p</i> -value = 0.29	cc= -0.16 <i>p</i> -value = 0.70	
No-take surface	cc= 0.03 <i>p</i> -value = 0.95	cc= -0.62 <i>p</i> -value = 0.11	cc= -0.21 <i>p</i> -value = 0.61	cc= 0.83 <i>p</i> -value= 0.01
	Age	Size	MPI	Tot surface

Table SM.3 – Relationships between fish biomass log-response ratio and organizational, design and implementation features

Relationships between fish biomass log-response ratio and organizational, design and implementation features for the eight studied Marine Protected Areas based on DISTLM (distance-based multivariate analysis for a linear model) for marginal test (a) and conditional test (b).

Organizational, design and implementation features	SS	Pseudo-F	<i>P</i>	Proportion of explained variability	Cumulative total of explained variability
(a) Marginal test					
MPI	1.13	10.09	0.02	0.63	
Organizational size	0.77	4.36	0.08	0.42	
Total surface area	0.18	0.35	0.58	0.05	
Age	0.18	0.67	0.45	0.10	
(b) Conditional test					
MPI	1.13	10.09	0.02	0.63	0.63
Organizational size	0.47	11.30	0.03	0.26	0.88
Age	0.10	4.11	0.09	0.06	0.94

Table SM.4 – Relationships between fish biomass log-response ratio and CGI (culture and goal index) and SI (strategy index)

Relationships between fish biomass log-response ratio and CGI (culture and goal index) and SI (strategy index) for the eight Marine Protected Areas based on DISTLM (distance-based multivariate analysis for a linear model) for marginal test (a) and conditional test (b).

Organizational features	SS	Pseudo-F	<i>P</i>	Proportion of explained variability	Cumulative total of explained variability
(a) Marginal test					
SI	15.25	5.69	0.01	0.49	
CGI	19.84	10.38	0.00	0.63	
(b) Conditional test					
SI	19.84	10.38	0.00	0.63	0.63
CGI	10.90	96.48	0.00	0.35	0.98

Table SM.5 – Relationships between fish biomass log-response ratio and SI sub-components

Relationships between fish biomass log-response ratio and EEI (environmental education index), EI (enforcement index), MI (monitoring index) and MDI (management data index), for the eight Marine Protected Areas based on DISTLM (distance-based multivariate analysis for a linear model procedure) for a) marginal test and b) conditional test.

Organizational features	SS	Pseudo-F	<i>P</i>	Proportion of explained variability	Cumulative total of explained variability
(a) Marginal test					
EEI	6.30x10 ⁶	2.09x10 ⁵	0.99	3.48x10 ⁶	
EI	0.14	0.49	0.51	0.07	
MI	0.13	0.45	0.52	0.07	
MDI	0.23	0.86	0.38	0.12	
(b) Conditional test					
MDI	0.23	0.86	0.39	0.12	0.12
EEI	0.54	2.61	0.18	0.30	0.42

Table SM.6 - Relationships between fish biomass log-response ratio and Management Performance Index, total number of employees, total surface area and age

Relationships between fish biomass log-response ratio and MPI= Management Performance Index, total number of employees, total surface area and age for the 8 Marine Protected Areas, based on DISTLM (distance-based multivariate analysis for a linear model procedure) for a) marginal test and b) conditional test

Organizational, design and implementation features	SS	Pseudo-F	P	Proportion of explained variability	Cumulative total of explained variability
(a) Marginal test					
MPI	1.13	10.09	0.02	0.63	
Total number of employees	0.02	0.07	0.78	0.01	
Total surface area	0.10	0.35	0.59	0.05	
Age	0.18	0.67	0.45	0.10	
(b) Conditional test					
MPI	1.13	10.09	0.02	0.63	0.63
Age	0.41	7.62	0.05	0.22	0.85
Total number of employees	0.08	1.72	0.26	0.04	0.90