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# Optimizing BOLD sensitivity in the 7 T Human Connectome Project resting-state fMRI protocol using plug-and-play parallel transmission

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## Abstract

The Human Connectome Project (HCP) has a 7 T component that aims to study the human brain's organization and function with high spatial and temporal resolution fMRI and diffusion-weighted acquisitions. For whole brain applications at 7 T, a major weakness however remains the heterogeneity of the radiofrequency transmission field ( $B_1^+$ ), which prevents from achieving an optimal signal and contrast homogeneously throughout the brain. In this work, we use parallel transmission (pTX) Universal Pulses (UP) to improve the flip angle homogeneity and demonstrate their application to highly accelerated multi-band EPI (MB5 and GRAPPA2, as prescribed in the 7 T HCP protocol) sequence, but also to acquire at 7 T  $B_1^+$ -artefact-free  $T_1$ - and  $T_2$ -weighted anatomical scans used in the pre-processing pipeline of the HCP

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protocol. As compared to typical implementations of pTX, the proposed solution is fully operator-independent and allows "plug and play" exploitation of the benefits offered by multi-channel transmission. Validation in five healthy adults shows that the proposed technique achieves a flip angle homogeneity comparable to that of a clinical 3 T system. Compared to standard single-channel transmission, the use of UPs at 7 T yielded up to a two-fold increase of the temporal signal-to-noise ratio in the temporal lobes as well as improved detection of functional connectivity in the brain regions most strongly affected by  $B_1^+$  inhomogeneity.

*Keywords:* parallel transmission, Human Connectome Project, calibration, universal pulse, RF shimming, ultra-high field, multi-band EPI

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## 1. Introduction

In functional magnetic resonance imaging (fMRI), there is a growing interest in performing neuro-scientific studies at ultra-high field (UHF) to benefit from the supra-linear increase in the blood oxygenation level dependent (BOLD) signal change with the static magnetic field strength ( $B_0$ ) (Yacoub et al., 2001). Following this trend, e.g. the original young adult Human Connectome Project (HCP) contains a 7 T component that targets functional and diffusion MRI with high spatiotemporal resolutions (Uğurbil et al., 2013, Van Essen et al., 2013) to study the brain's organization and some of its functions. The 7 T HCP resting state fMRI (RS-fMRI) protocol comprises a ten-fold accelerated ( $\times 5$  simultaneous multi-slice and  $\times 2$  in-plane acceleration) multi-band echo-planar imaging (MB-EPI, a.k.a. SMS-EPI) acquisition which allows sampling the BOLD signal at 1.6 mm spatial and 1 s temporal

14 resolution.

15 A major challenge of 7 T MRI with large volume coverage is the het-  
16 erogeneity of the radiofrequency (RF) transmission field ( $B_1^+$ ) which, if not  
17 corrected, would result in nonuniform flip angles and tissue contrast across  
18 the whole brain. The RF nonuniformity is due to standing wave effects that  
19 become problematic in the head at  $B_0$  of 7 T and up, where the RF wave-  
20 length becomes comparable to the head size and falls below 13 cm. The  
21 consequence of the resulting flip-angle variation in 7 T (RS-fMRI) scans such  
22 as the ones used in the 7 T HCP study is a reduced performance in the de-  
23 tection of BOLD signal mostly in the inferior and temporal brain regions.  
24 Similarly affected at 7 T are the magnetization prepared rapid gradient echo  
25 (MPRAGE) (Mugler and Brookeman, 1990) and 3D variable flip angle turbo  
26 spin echo (3D-VFA-TSE, a.k.a. SPACE (Mugler et al., 2000)) acquisitions  
27 which are part of the minimal pre-processing pipeline of the HCP protocol  
28 (Glasser et al., 2013). The inherent limits of these anatomical scans yet can  
29 be compensated by providing 3 T images to complement the 7 T fMRI scans  
30 (Glasser et al., 2013) but it remains desirable to be able to conduct the entire  
31 set of acquisitions at 7 T.

32 In order to mitigate the problem of flip-angle inhomogeneity at UHF, the  
33 7 T HCP has adopted a practical approach, which is to place dielectric pads  
34 (filled with calcium titanate) around the head near the regions most strongly  
35 affected. The high dielectric constant of the padding material ( $\epsilon_r = 100$   
36 or higher) notably affects the properties of the environment 'seen' by the  
37 RF waves and, if placed adequately, steers them so as to locally enhance  
38 the RF field (Webb, 2011). While effective locally, it appears extremely

39 challenging to achieve homogeneous RF fields over extended regions with the  
40 use of dielectric pads alone.

41 Parallel RF transmission (pTX) technology (Katscher et al., 2003, Zhu,  
42 2004) is one more elaborate approach to improve flip-angle uniformity, and  
43 use of this still not wide-spread technology has recently been proposed for  
44 whole-brain RS-fMRI acquisition at the same spatiotemporal resolutions as  
45 in the 7 T HCP (Wu et al., 2019). Specifically, in this work, the MB-EPI se-  
46 quence (Moeller et al., 2010, Setsompop et al., 2012, Vu et al., 2017) used in  
47 HCP was modified to enable slice-specific RF shimming. In doing so, the co-  
48 efficient of variation (CV), i.e., standard deviation (std)/mean) of the whole  
49 brain flip-angle distribution, was  $\sim 15\%$  for pTx (with eight independent  
50 transmit channels) versus  $\sim 24\%$  for conventional single channel transmis-  
51 sion (sTX) , and  $\sim 20\%$  if 3D whole-head RF shimming was performed (Gras  
52 et al., 2017a, Krishnamurthy et al., 2019). This proved useful in enhancing  
53 the temporal SNR especially in the regions adversely affected by voids in  $B_1^+$ .  
54 Other studies have also shown the potential of pTX technology to recover  
55 optimal signal and contrast across the whole brain at 7 T in MPRAGE (Cloos  
56 et al., 2012a), diffusion (Wu et al., 2018) as well as 3D TSE (Eggenschwiler  
57 et al., 2014, Massire et al., 2015, Beqiri et al., 2018, Gras et al., 2018) acqui-  
58 sitions.

59 However one drawback of those conventional pTX approaches is that the  
60 optimized pTX excitations or refocusing were obtained by subject-specific nu-  
61 merical optimization, performed 'online' (i.e., while the subject was waiting  
62 in the scanner), based on subject- and session-specific  $B_1^+$  maps that first had  
63 to be acquired in the same session. In practice, this requires an additional

64  $B_1^+$  calibration scan whose duration amounts to at least 20s per transmit  
65 channel for optimized  $B_1^+$  mapping sequences, and the subsequent numerical  
66 optimization of the pTX excitation which can also take up to several minutes.

67 The concept of Universal Pulse design (Gras et al., 2017b) offers a so-  
68 lution for entirely removing the need for subject- or session-specific field  
69 mapping and subsequent on-line optimization of the RF pulses. The benefits  
70 of pTX are instead utilized in a fully automated or "plug-and-play" manner,  
71 offering more flexibility and reactivity for the operator during the exam. In  
72 contrast, full automation starting from subject-based acquired  $B_1^+$  maps and  
73 comprising on-line RF pulse tailoring in principle is possible but to date is  
74 not available, and would still require extensive developments. Plug-and-play  
75 pTX here is done by pre-calculating RF pulses that were optimized on a series  
76 of  $B_1^+$  and  $B_0$  offset maps obtained from a representative sample of the adult  
77 population. The RF pulse optimization thus takes place separately from the  
78 scan session, and consists in minimizing an objective function that maximizes  
79 the average performance of the pTX excitation across the database of field  
80 maps (Gras et al., 2017a). The result of this procedure is universally valid  
81 pulses, hence the term Universal Pulses (UP).

82 To better cope with inter-subject variability of the  $B_1^+$  profile, UPs are  
83 often designed by considering a broader class of pTX excitation modes than  
84 RF shimming, namely *dynamic* RF shimming (Padormo et al., 2016). Here,  
85 the capabilities of pTX are more fully exploited in that dynamic RF shim-  
86 ming pulses take advantage of the transmit-sense (Katscher et al., 2003)  
87 concept to homogenize the flip angle distribution. One potential weakness  
88 with such types of excitation yet is the possible increase in the excitation du-

89 ration as compared to standard RF shimming, with impact on the response  
90 of off-resonant spins but which can be taken into account in the pulse opti-  
91 mization (Grissom et al., 2006). The most prominent examples for this are  
92 2D spokes excitation (Saekho et al., 2006, Setsompop et al., 2008) and 3D  
93  $k_T$ -points (Cloos et al., 2012b) which apply small "gradient blips" in between  
94 a series of selective or non-selective pulses, so as to spatially modulate the  
95 flip angle phase of each subpulse and yield an overall more homogeneous flip  
96 angle distribution within a slice or across a volume. Importantly, as shown  
97 by Tse and colleagues in recent work at 9.4 T (Tse et al., 2016), the 2D  
98 multi-spoke dynamic RF shimming technique can be extended to generate  
99 pTX multi-band RF pulses that simultaneously excite multiple slices.

100 In this work, we report on the design of multi-band Universal Pulses to  
101 conduct HCP-style RS-fMRI studies at 7 T. As in the original 7 T HCP, the  
102 RS-fMRI was acquired using a 2D SMS sequence with factor-5 slice accelera-  
103 tion. We also show that a complete shift towards 7 T acquisitions is possible,  
104 with additional pTX-UP enabled  $T_1$ - and  $T_2$ -weighted anatomical scans that  
105 can be incorporated in the preprocessing pipeline. The excitation pulse of the  
106 MB-EPI sequence was replaced by bipolar two-spoke (MB-5) UPs, the non-  
107 selective square pulses of the SPACE acquisition by a scalable (Eggenschwiler  
108 et al., 2014, Gras et al., 2018) 9- $k_T$  UP, the adiabatic inversion preparation  
109 pulse of the MPRAGE sequence by a 9- $k_T$  inversion UP, and the small FA  
110 square pulse of the FLASH readout module of the MPRAGE sequence by a 7-  
111  $k_T$  UP. This pTX-UP implementation of the RS-fMRI protocol is compared  
112 experimentally on five healthy adults with the same protocol played with  
113 standard pulses and single channel transmission (sTX), without and with

114 dielectric pads. Using retrospective flip angle simulations based on measured  
115 subject-specific  $B_1^+$  maps, the benefit of the UP integration into the RS-fMRI  
116 protocol in terms of signal homogeneity is compared with subject and slice  
117 specific RF shimming strategies. Temporal SNR (tSNR) is quantified based  
118 on the measured EPI time-series of each volunteer. A seed-based analysis  
119 of the default mode network (DMN) is also reported to evaluate the gain in  
120 terms of functional connectivity estimation.

## 121 **2. Material and Methods**

122 All experiments were performed on a 7T Siemens Magnetom MRI sys-  
123 tem (Siemens Healthineers, Erlangen, Germany, software baseline VB17A  
124 and step 2.3 pTX) equipped with an eight-channel pTX system (1kW per  
125 channel), and SC72 body gradient coil (nominal slew rate 200 mT/m/ms and  
126 40 mT/m maximum amplitude). The vendor-provided head-coils were used:  
127 8Tx-32Rx for pTX acquisitions, 1Tx-32Rx for the sTx reference experiments  
128 (both from Nova Medical, Wilmington, MA, USA). The implementation of  
129 the HCP resting-state fMRI protocol used custom MB-EPI, MPRAGE and  
130 SPACE sequences to enable pTX and UPs integration. Measurements were  
131 performed on 5 healthy adult subjects (2 women) and were divided for each  
132 one of them into three one-hour sessions, run on different days, to cover  
133 the pTX and the sTX operation modes with and without dielectric padding.  
134 The dielectric pads were based on a calcium titanate ( $\text{CaTiO}_3$ ) suspension  
135 (Webb, 2011) and were 0.5 cm thick and 10 cm long (square form). Two  
136 such pads were placed against the subjects ears. For the acquisition in sTX,  
137 the standard RF transmitter adjustment procedure provided by the scan-

138 ner’s manufacturer was used. In pTX, this calibration step was disabled  
139 and the transmitter adjustment was specified by the UPs used in the respec-  
140 tive sequences, as detailed below. Adherence to the SAR guidelines was en-  
141 sured by real-time SAR supervision using a Virtual Observation Point (VOP)  
142 model (Eichfelder and Gebhardt, 2011) of the SAR distribution in the head  
143 that was implemented using home-made routines and electromagnetic simu-  
144 lations provided by the coil vendor. After experimental phantom validation  
145 on the scanner, the VOPs were augmented by safety factors to account for  
146 RF coil modelling imperfections, inter-subject variability in the peak SAR  
147 value (Garrec et al., 2016, Boulant et al., 2018) and uncertainties in the di-  
148 rectional coupler measurements of the TX Array system (Gumbrecht, 2013),  
149 resulting in a total safety factor of 2.3 (Boulant et al., 2018). The study  
150 was approved by the local ethics committee and all volunteers gave written  
151 informed consent.

### 152 *2.1. MRI protocol*

153 The MRI acquisition consisted of a 30 min resting-state (RS) fMRI proto-  
154 col, followed by  $T_1$ - and  $T_2$ -weighted anatomical scans using the MPRAGE  
155 and the SPACE sequences, respectively. An interferometric turbo-FLASH  
156  $B_1^+$  mapping protocol (5 mm isotropic resolution, repetition time [TR] = 20 s,  
157 acquisition time [TA] = 4 min 40 s) (Fautz et al., 2008, Brunner and Pruess-  
158 mann, 2009) and multiple gradient recalled echo (GRE) protocol (2.5 mm  
159 isotropic resolution, TR = 8.4 ms, 3 echoes, echo times [TE] = 2.7, 4.2, 6 ms,  
160 TA = 30 s) were also added in order to quantitatively assess the  $B_1^+$  and  $\Delta B_0$   
161 distributions for each subject and each acquisition mode.

162 The RS-fMRI part used a 15 min fat-suppressed MB-EPI acquisition ap-

163 plied twice, with the in-plane phase encoding direction being flipped during  
164 the second run (AP followed by PA). Subjects were instructed to keep their  
165 eyes open and focus on a red fixation cross on a black screen. EPI acquisition  
166 parameters were : 90 axial slices of 1.6 mm thickness with no gap, standard  
167 sinc excitation (time-bandwidth product = 3.2, Hanning window apodiza-  
168 tion), nominal flip angle =  $45^\circ$ , TR = 1 s, voxel size =  $(1.6 \text{ mm})^3$ , in plane field  
169 of view (FOV) =  $(208 \times 208) \text{ mm}^2$ , multi-band slice acceleration factor = 5  
170 with blipped-CAIPIRINHA FOV/3 inter-slice shift, in-plane GRAPPA accel-  
171 eration factor = 2 with 52 autocalibration lines (ACS) acquired separately  
172 using the fast low angle excitation echo-planar technique (FLEET) (Poli-  
173 meni et al., 2016), partial Fourier acquisition = 7/8, readout bandwidth =  
174 1832 Hz/pixel, fat saturation with nominal flip angle =  $80^\circ$ . Online image  
175 reconstruction was performed with the implementation of the MGH blipped-  
176 CAIPI MB-EPI C2P ([www.nmr.mgh.harvard.edu/software/c2p/sms](http://www.nmr.mgh.harvard.edu/software/c2p/sms)), which  
177 uses sequential application of Slice-GRAPPA (Setsompop et al., 2012) with  
178 leak-block (Cauley et al., 2014) and GRAPPA (Griswold et al., 2002).

179 The  $T_1$ - and  $T_2$ -weighted acquisitions were in sagittal orientation, isotropic  
180 resolution of 0.8 mm, FOV =  $(256 \times 224 \times 208) \text{ mm}^3$  (read, phase and par-  
181 tition axes) and a GRAPPA acceleration factor of 2 in the phase encode di-  
182 rection. Other parameters were: TR = 2.6/3.0 s, MPRAGE inversion time =  
183 1.1 s, echo spacing (ES) = 10.2/8.6 ms, readout bandwidth = 240/370 Hz/pixel,  
184 flip angle =  $4^\circ$ /Mugler's approach (Mugler, 2014, Mugler et al., 2000) respec-  
185 tively for the MPRAGE and SPACE acquisitions.

186 *2.2. Design of parallel transmission Universal Pulses*

187 Dynamic RF shimming with spoke (slice-selective) and  $k_T$ -point (non-  
 188 -selective) pulses use a simple and low-dimensional parameterization of the  
 189 RF and gradient waveforms. It consists of i) the different RF shimming  
 190 weights, defined by the time integral of the RF shim sub-pulses, and ii) the  
 191 transmit k-space (Cloos et al., 2012b) displacement vectors, i.e. the time  
 192 integral of the interleaved magnetic field gradient blips along the three axes.  
 193 In this framework, efficient non-linear constrained optimization algorithms  
 194 can be applied to attempt finding the best possible dynamic RF-shimming  
 195 solution (Hoyos-Idrobo et al., 2014).

196 The UPs used for the MB-EPI, the MPRAGE and the SPACE acqui-  
 197 sitions in pTX were designed offline on a database  $\mathcal{B}$  of measured subject-  
 198 based  $B_1^+$  and  $\Delta B_0$  maps of size  $N_{\mathcal{B}} = 10$  (5 female), acquired in a sepa-  
 199 rate study (Gras et al., 2017a). The UP design consisted in evaluating the  
 200 subject-specific objective  $\epsilon_{\text{tailored}}$  (typically a measure of the mean deviation  
 201 of the flip angle distribution across the region of interest) on every subject of  
 202 the database and computing the mean objective across this database. The  
 203 UP-objective  $\epsilon_{\text{UP}}$  may thus be written as:

$$\epsilon_{\text{UP}}(p) = \frac{1}{N_{\mathcal{B}}} \sum_{j \in \mathcal{B}} \epsilon_{\text{tailored}}(\mathcal{S}_j, p), \quad (1)$$

204 where  $p$  denotes the dynamic RF shimming parameterization to be optimized,  
 205 and  $\epsilon_{\text{tailored}}(\mathcal{S}_j, p)$ , the evaluation of the subject-based objective on subject  $\mathcal{S}_j$   
 206 of the database for the parameterization  $p$ . Hence, without any additional  
 207 difficulty, hardware (RF power and gradient slew rate limits) and safety  
 208 (SAR) constraints can be enforced explicitly for the UP design following ex-  
 209 actly the same methodology used for a subject-tailored pulse design. This

210 was implemented using the active-set non-linear constrained optimization al-  
 211 gorithm (Hoyos-Idrobo et al., 2014), available in the optimization toolbox of  
 212 MATLAB (R2016b, the Mathworks, Natick, MA). The hardware constraints  
 213 were i) the peak RF amplitude limit (170 V per TX channel at the coil plug),  
 214 ii) the average RF power limit per TX channel (3 W), iii) the total average  
 215 RF power limit (16 W) and iv) the maximum slew rate of the magnetic field  
 216 gradient coils (200 mT/m/ms). The SAR thresholds were expressed in terms  
 217 of global and local SAR limits of 3.2 W/kg and 10 W/kg respectively (Inter-  
 218 national Electrotechnical Commission, 2015).

219 For the design of the non-selective UPs (SPACE and MPRAGE sequences),  
 220 the subject-tailored objective was defined as the normalized root mean square  
 221 (NRMS) deviation of the flip angle distribution  $\alpha(r)$  from the target flip angle  
 222  $\alpha_T$ , calculated across the brain (region  $\mathcal{R}$ ), i.e.:

$$\epsilon_{\text{tailored,3D}} = \frac{1}{|\alpha_T|} \left( \frac{1}{|\mathcal{R}|} \sum_{r \in \mathcal{R}} (\alpha(r) - \alpha_T)^2 \right)^{\frac{1}{2}}, \quad (2)$$

223 where  $|\mathcal{R}|$  and  $r$  denote the number of brain voxels of the  $B_1^+$  map and  
 224 the spatial coordinates, respectively. For the design of the slice-selective  
 225 UPs (MB-EPI sequence), the objective was formulated as a weighted NRMS  
 226 deviation from the target flip angle across the brain with the slice-dependent  
 227 weighting function:

$$w(r) = \exp \left( -d_0^{-1} \max \left( 0, d(r, \mathcal{P}) - \frac{\theta}{2} \right) \right) \quad (3)$$

228 where  $\mathcal{P}$  denotes the median plane of the considered slice,  $d(r, \mathcal{P})$  the Eu-  
 229 clidean distance from  $r$  to  $\mathcal{P}$ ,  $\theta$  the slice thickness, and  $d_0 = 1$  cm. The

230 objective in this case for a single slice thus is given by the equation:

$$\epsilon_{\text{tailored,2D}} = \left( \frac{1}{\sum_{r \in \mathcal{R}} w(r)} \sum_{r \in \mathcal{R}} w(r) (\alpha(r) - \alpha_T)^2 \right)^{\frac{1}{2}}. \quad (4)$$

231 We note here that taking  $d_0 = 0^+$  leads to the weighting function  $w = 1$  for  
 232  $r \in \mathcal{P}$ , which is the conventional way of designing slice-specific spokes pulses.  
 233 Taking into account all voxels in  $\mathcal{R}$ , and letting the flip angle deviation have  
 234 an (exponentially) decreasing weight as the distance from the slice of interest,  
 235 promotes robustness of the pulse against variations in the slice positions and  
 236 small tilts. Likewise, taking  $d_0 = \infty$ , leads to  $w = 1$  everywhere and the  
 237 2D objective converges to the 3D objective in that case. The choice of a  
 238 1 cm soft threshold was found to offer a good compromise between excitation  
 239 performance and robustness.

240 In equations 2 and 4, for all but the inversion pulse, the flip angle distri-  
 241 bution  $\alpha(r)$  was computed using the small tip angle approximation (Pauly  
 242 et al., 1989a). This approximation provides a linear relationship between  
 243 the RF weights and the flip angle (Boulant and Hoult, 2012) which is valid  
 244 for up to moderate target flip angles or for symmetric pulses (Pauly et al.,  
 245 1989b, Eggenschwiler et al., 2014, Gras et al., 2018). For the inversion pulse,  
 246 this approximation being not valid,  $\alpha(r)$  was obtained by numerical integra-  
 247 tion of Bloch’s equations (Bloch integration). For the computation of  $\alpha(r)$ ,  
 248 relaxation effects during the pulse were neglected.

249 For the design of the MB-EPI UPs, coherent summation of the slice-  
 250 specific RF pulses within each multiband slice group was assumed for sim-  
 251 plicity to ensure that peak RF amplitude of the multiband waveforms of any  
 252 slice group did not exceed the hardware peak power limit. This has a sim-

253 ple and tractable implementation as it involves only a reasonable number of  
254 constraints per multiband slice group (the product of the number of transmit  
255 channels by the number of spokes). For this particular scenario (8 transmit  
256 channels, two spokes and MB-5), the subsequent optimization of the global  
257 (consistent across all transmit channels and spokes) RF phase offsets between  
258 the different slices of the same multiband slice group (Wong, 2012) returned  
259 a reduction of peak amplitude of 20%.

260 Owing to the smooth variation of the  $B_1^+$  field and the weighting func-  
261 tion in the 2D objective above, RF coefficients and spokes-placement in k-  
262 space (Dupas et al., 2015) were optimized for every other slice only (45 slices  
263 among 90) and the same parametrization was attributed to the adjacent  
264 slice (Wu et al., 2013, Tse et al., 2016). To comply with the multiband accel-  
265 eration (here of 5), the spoke placement optimization was done concurrently  
266 for all slices of a multiband group (the set of slices excited simultaneously).  
267 Finally, the bipolar two-spoke pulses were designed with a subpulse duration  
268 of 2180  $\mu\text{s}$  and a total pulse duration of 4800  $\mu\text{s}$  (excluding the slice selec-  
269 tion rewinder). Prior to designing the bipolar spokes, the gradient delay  
270 was characterized on phantom using sub- $\mu\text{s}$  precision and compensated for  
271 by manipulating the RF phase of the second spoke (Gras et al., 2017c).  
272 As shown also elsewhere (Tse et al., 2016), characterizing and correcting  
273 for this delay with such precision is a necessary ingredient for multi-bipolar  
274 spoke applications away from the iso-center. Unipolar designs, where both  
275 slice selection gradients share the same polarity, are inherently insensitive to  
276 these imperfections but they have less spectral bandwidth due to a longer  
277 duration and are more prone to peripheral nerve stimulations due to the

278 additional gradient lobe.

279 The pTX UP MPRAGE acquisition used  $k_T$ -point pulses (Cloos et al.,  
280 2012b) for the  $4^\circ$  excitation and  $180^\circ$  inversion pulses, as described in ref. (Gras  
281 et al., 2017a). The excitation used  $4^\circ$  flip angle (800  $\mu\text{s}$  total duration with  
282 7 gradient blips of 40  $\mu\text{s}$  each) while the  $180^\circ$  inversion pulse lasted 4000  $\mu\text{s}$   
283 (9 subpulses of 400  $\mu\text{s}$  each). The sTX pulse implementation used a stan-  
284 dard 700  $\mu\text{s}$ -long square pulse for the  $4^\circ$  excitation and a standard 10 ms  
285 hyperbolic-secant adiabatic pulse for inversion.

286 The pTX UP SPACE acquisition was based on a unique scalable (Eggen-  
287 schwiler et al., 2014)  $k_T$ -point pulse (9 subpulses, total duration = 1100  $\mu\text{s}$ ),  
288 consistently with the methodology proposed in ref. (Gras et al., 2018). The  
289 leading excitation pulse was implemented using the same  $k_T$ -point pulse, but  
290 scaled to produce a  $90^\circ$  flip angle and with  $90^\circ$  phase offset to satisfy the  
291 Carr-Purcell-Meiboom-Gill (CPMG) condition. Scalability of the refocusing  
292 pulses, was enforced by exploiting symmetries and applying a dedicated op-  
293 timization routine (Gras et al., 2018). This allowed using a unique  $k_T$ -point  
294 pulse to implement the entire array of non-selective pulses of the variable  
295 flip angle TSE readout and the preceding  $90^\circ$  excitation. The sTX imple-  
296 mentations of the SPACE acquisition used standard 500  $\mu\text{s}$ - and 700  $\mu\text{s}$ -long  
297 square pulses for the  $90^\circ$  excitation and the variable flip angle TSE readout  
298 respectively.

### 299 *2.3. Analysis of pulse performance and additional simulations*

300 The RF pulse performance was assessed post-hoc by performing voxel-  
301 wise Bloch simulations using the  $B_1^+$  and  $B_0$  offset maps that were acquired  
302 on each subject in addition to the (f)MRI scans. The CV of the flip angle

303 and the MR signal were calculated across the brain. The interest of analyzing  
304 the signal homogeneity (as the ultimate measure of interest in practice) in  
305 addition to the flip angle (the physical parameter that drives the RF pulse  
306 optimization) is to take into account the non-linear dependence of MR signal  
307 with the flip angle. The MR signal was computed for a representative pair  
308 of  $T_1/T_2$  values for brain white matter at 7 T, namely 1300/60 ms.

309 For the MB-EPI sequence, to provide a comparison of the proposed two-  
310 spoke UP design with the volume (global) (Krishnamurthy et al., 2019) and  
311 slice-specific RF shimming (Wu et al., 2019) (one-spoke pulses), additional  
312 sets of simulations were performed in which the bipolar two-spoke design  
313 was replaced with the (simpler) one-spoke design (pulse duration 4520  $\mu$ s),  
314 with otherwise identical design parameters as for the 2-spoke UPs): i) a  
315 set of 45 slice-specific *universal* RF-shims using the database of  $B_1^+$  and  $B_0$   
316 offset maps and the UP objective, ii) a set of 45 slice-specific and subject-  
317 tailored (Wu et al., 2019) RF-shims per subject, and iii) a global ( $d_0 \rightarrow \infty$ )  
318 subject-tailored RF-shim over the whole head, per subject.

319 The gain in robustness with respect to slice position and inclination pro-  
320 vided by the weighted least-squares approach (see Equation 4) was also tested  
321 through the following simulation. The 45-slice UP design for the MB-EPI  
322 protocol was repeated with a weighted-least squares soft threshold  $d_0$  (see  
323 Equation 3) of 1 mm (instead of 10 mm). The coefficient of variation of the  
324 flip angle (45 slices merged together) of both sets of pulses was then com-  
325 puted for different values of slice position offsets (up to 20 mm) and slice  
326 inclinations (rotation about the right-left axis of up to 20°).

327 *2.4. fMRI pre-processing and temporal SNR calculations*

328 The MB-EPI data were first motion-corrected using FSL McFLIRT (Jen-  
329 inson et al., 2002). The two 900-volume series (with AP and PA phase encod-  
330 ing directions) of each session were then distortion-corrected based on FSL  
331 Topup (Andersson et al., 2003). The temporal SNR was then calculated for  
332 each voxel, subject and session by taking the mean of the corresponding time-  
333 series divided by its standard deviation, after linear de-trending and removal  
334 of the first 10 volumes to reject the transient spin evolution towards steady-  
335 state from the analysis. The tSNR maps were then registered to the Montreal  
336 Neurological Imaging (MNI152) template by using the FSL FLIRT affine reg-  
337 istration tool with 12 degrees of freedom (Jenkinson and Smith, 2001), aided  
338 by the  $T_1$ -weighted anatomical scan. This allowed computation of an average  
339 tSNR map across subjects and generation of inflated cortical surface repre-  
340 sentations by using PySurfer (<https://github.com/nipy/PySurfer>). In order  
341 to provide a common ground and not bias the comparison of sTX versus  
342 pTX, the same (pTX)  $T_1$ -weighted scan was used for the registration.

343 *Analysis of the resting-state data*

344 After motion correction, distortion correction and registration as de-  
345 scribed above, the time varying signals associated to each voxel were stan-  
346 dardized to display a unit-variance, detrended and band-pass filtered (0.01-  
347 0.1 Hz) (Goelman et al., 2017). The 6 motion parameters (3 for translation, 3  
348 for rotation) of the time-series realignment procedure and physiological noise  
349 related confounds were regressed out using CompCor (Behzadi et al., 2007).

350 Correlated BOLD fluctuations were identified using the seed-based ap-  
351 proach, by selecting a voxel in the brain (seed) and calculating the correlation

352 between its associated signal and all other voxel time-series. For the present  
353 analysis, the seed was a spherical volume of 8 mm in diameter located in the  
354 posterior cingulate cortex (center coordinates:  $[0, -50, 26]$  on the MNI152  
355 template). For all voxels across the brain, the Pearson correlation coefficient  
356 relative to this seed was then calculated and mapped onto inflated cortical  
357 surfaces using nilearn (Abraham et al., 2014).

358 In the resting brain, The BOLD signal in the posterior cingulate cortex is  
359 known to correlate with the one observed in the inferior parietal and medial  
360 prefrontal cortices as well as the temporal cortex (Raichle et al., 2001, Vincent  
361 et al., 2006), thus characterizing the so-called default mode network. The  
362 aim of this analysis hence was to reproduce this finding on a subject-by-  
363 subject basis and compare the correlation maps between acquisition modes.  
364 The hypothesis here is that a better BOLD sensitivity translates into higher  
365 correlations in this seed-based analysis of the DMN.

### 366 **3. Results**

#### 367 *3.1. Comparison of image quality*

368 Figure 1 provides a comparison of the MB-EPI, MPRAGE and SPACE  
369 acquisitions obtained in subjects 1 to 5 in pTX versus sTX. All sTX acqui-  
370 sitions display signal losses in the temporal lobes, of which one coronal section  
371 is shown in the figure. For the MB-EPI acquisition, this signal loss directly  
372 affects BOLD sensitivity as the SNR and tSNR naturally scale with the MR  
373 signal. The introduction of  $\text{CaTiO}_3$  dielectric pads (second rows in a)-c))  
374 somewhat reduces but does not eliminate the signal loss. For the SPACE  
375 acquisition, which makes use of an optimized flip angle train to enable long

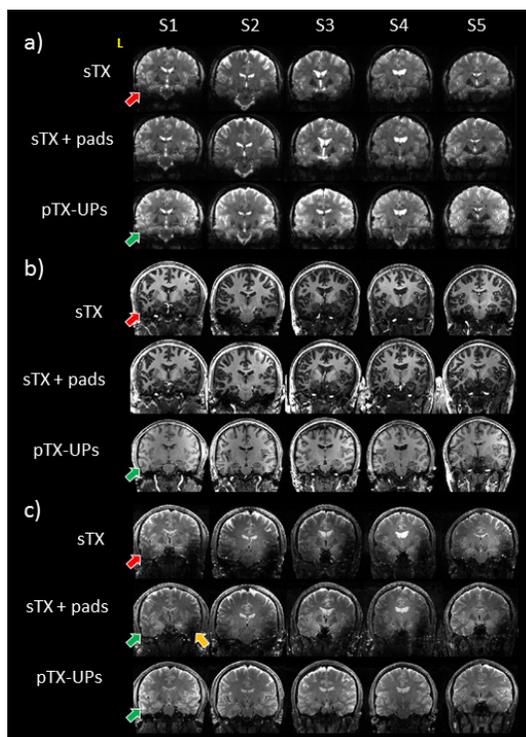


Figure 1: Image comparison comparisons for the different acquisition modes and sequences. EPI with MB=5 (a), b) MPRAGE and c) SPACE acquisitions obtained in subjects 1 to 5 in sTX (with and without dielectric padding) versus pTX are provided. For all subjects (S1 to S5), a marked signal loss is present in both sTX acquisitions (top and middle rows in a-c) in the temporal lobes (red arrows). For all subjects, such signal loss or contrast deterioration is absent in the pTX UP acquisitions (bottom row), as indicated by the green arrows. The dielectric pads (clearly visible in the MPRAGE and SPACE images) were able to compensate for the signal drop in all subjects, mostly in the left temporal lobe. As can be seen for instance in the SPACE acquisition of S1, the favorable influence of the dielectric pad however was clearly asymmetric as it was not able to restore a good contrast for the right temporal lobe (orange arrow).

376 TSE readouts, the flip angle heterogeneity severely degrades the signal and  
 377 the contrast in lower brain regions. As confirmed by the flip angle analysis  
 378 that follows, with pTX and universal  $k_T$ -point pulses, the flip angle error  
 379 is reduced to a level that is sufficient to obtain  $T_2$ -weighted 3D images free  
 380 of  $B_1^+$  artifacts. In the MPRAGE images, the improvement with UPs was  
 381 less pronounced because the preservation of the gray-white matter contrast  
 382 is mostly governed by the quality of the inversion preparation. In sTX, we  
 383 observed that the hyperbolic-secant adiabatic inversion pulse provided good  
 384 inversion efficiency over the whole brain, with the exception of a small portion  
 385 of the cerebellum or the temporal lobes in some subjects. The major image  
 386 imperfection that remains in the  $T_1$ -weighted scans in sTX thus is a signal  
 387 drop in regions of low  $B_1^+$ , more detrimental to SNR than image contrast.  
 388 As a general rule for MPRAGE, a smaller flip angle for the FLASH readout  
 389 leads to stronger contrast, but a weaker signal (Gras et al., 2016). As a re-  
 390 sult, undershot FLASH excitations in sTX results in a stronger gray-white  
 391 matter contrast, but a weaker SNR than observed in the pTX acquisitions.

### 392 *3.2. Assessment of RF pulse performance by simulation*

393 Figure 2 provides a comparison of the functional and anatomical images  
 394 that were obtained in one representative subject with the three acquisition  
 395 modes (pTX UPs, sTX and sTX with dielectric pads) evaluated in this study.  
 396 For the coronal plane that is displayed, the associated flip angle distribution  
 397 obtained retrospectively in simulation using the RF pulse definition and the  
 398 subject-specific  $B_1^+$  and  $\Delta B_0$  maps is shown on the right side. The flip an-  
 399 gle profile in sTX displays flip angle values ranging from 30% to 110% of  
 400 the target value. Using pTX UP slice-specific two-spoke multi-band (for

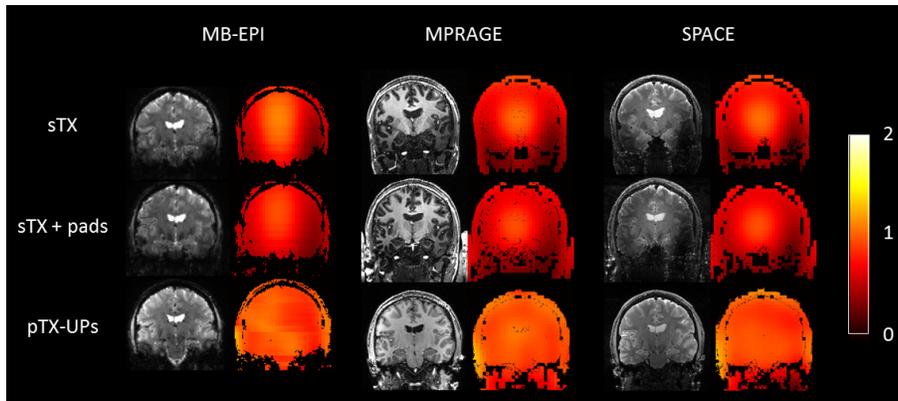


Figure 2: Coronal views for one representative subject of the MB-EPI, MPRAGE and SPACE images with corresponding simulated flip angle simulations. For every scan, the underlying flip angle distribution (obtained by retrospective simulation from the measured subject-specific  $B_1^+$  and  $\Delta B_0$  distribution) is displayed in units of the nominal FA distribution. Even in the presence of dielectric pads, the sTX acquisition mode displays a strong flip angle heterogeneity, with values ranging from 30% up to 110% the nominal flip angle (respectively the 1%- and the 99%-quantiles of the flip angle distribution in the brain). This heterogeneity is largely removed in the pTX-UP acquisition mode.

401 MB-EPI) and volume-selective  $k_T$ -point pulses (for the MPRAGE inversion  
 402 and FLASH pulses, and the SPACE excitation and refocusing pulses), much  
 403 greater homogeneity of the excitation profiles is achieved.

404 The CV of the flip angle and the MR signal for  $T_1/T_2 = 1300/60$  ms across  
 405 the brain (i.e. the region of interest) with respect to the mean flip angle is  
 406 reported in Table 1. These were computed for the  $45^\circ$  slice-selective pulses  
 407 of the MB-EPI acquisition, the  $4^\circ$  non-selective pulse used in the MPRAGE  
 408 sequence and the  $90^\circ$  excitation pulse used in the SPACE sequence. The sta-  
 409 tistical significance of the flip angle and the signal heterogeneity reductions  
 410 (pTX UP versus sTX) was also quantified using paired t-tests. For all se-

	pTX UPs			sTX		sTX+pads	
	FA	Signal	FA	Signal	FA	Signal	
MB-EPI	9-11	4-6	22-24	15-17 (2.10 <sup>-5</sup> )	20-22	14-17 (8.10 <sup>-5</sup> )	
MPRAGE	7-9	8-10	”	22-25 (7.10 <sup>-6</sup> )	”	21-23 (3.10 <sup>-6</sup> )	
SPACE	8-11	9-13	”	34-40 (3.10 <sup>-5</sup> )	”	35-41 (4.10 <sup>-6</sup> )	

Table 1: CV (in percent) of the flip angle (FA) and the MR signal (best case – worst case over 5 subjects) for the MB-EPI, the MPRAGE and the SPACE HCP-style protocols at 7 T. For the sTX acquisition mode, relative homogeneity is consistent for all sequence types given that pulses involved in those sequences do not use the dynamic RF shimming principle. The values displayed in parenthesis are p-values of statistical paired t-tests performed on the signal NRMS deviation between the pTX UP acquisitions and the sTX acquisitions.

411 quences, the CV of the MR signal was statistically significant ( $p < 2 \times 10^{-5}$ ).  
412 While the CV of the flip angle typically exceeds 25% in sTX, the UP approach  
413 allows to restore an excitation uniformity at least as good as typically seen on  
414 a clinical 3 T system (13%) with standard transmission hardware (Boulant  
415 et al., 2008). Using pTX UPs, the CV of the MB-EPI signal ranged from  
416 4% to 6% while it always exceeded 14% using sTX. For the 3D T<sub>1</sub>-weighted  
417 and T<sub>2</sub>-weighted anatomical scans, the signal’s CV was below 13% in pTX  
418 while it often exceeded 25% in sTX with and without dielectric padding. The  
419 dielectric pads were helpful in compensating for the B<sub>1</sub><sup>+</sup> drop in their vicinity  
420 (the left and right temporal lobes) but, this had a minimal impact on the  
421 CV, the latter criterion being a global performance measure.

422 The simulated flip angle homogeneity of the two-spoke versus the one-  
423 spoke pulse designs for the pTX-enabled MB-EPI sequence is provided in

objective	#spokes	FA	Signal
universal	2	9-11	4-6
	1	12-16	5-8 ( $p = 0.047$ )
tailored s.s.	1	9-12	3-5 ( $p = 0.8$ )
tailored global	1	17-20	6-8 ( $p = 0.005$ )

Table 2: NRMS deviation (in percent) of the flip angle (FA) and the MR signal (best case – worst case over 5 subjects) for the slice-specific universal 2-spoke, tailored slice-specific (s.s.) and global RF shims, and universal 1-spoke pulse designs. Using universal 2-spoke pulses, the signal NRMS deviation is significantly lower than with universal 1-spoke pulses ( $p = 0.047$ ). The same comparison with tailored 1-spoke pulses yet gives  $p = 0.8$ , i.e. no statistical evidence that the universal 2-spoke design outperforms the tailored 1-spoke design.

424 Table 2. The universal 2-spoke design (the one evaluated experimentally in  
425 this work) is comparable in performance with the tailored 1-spoke design  
426 ( $p = 0.8$ ), applied in another study (Wu et al., 2019). This raises the ques-  
427 tion whether a 1-spoke *universal* design, simpler than the 2-spoke universal  
428 design, would be sufficient. In simulation, the 1-spoke UP design indeed  
429 yields a significantly better signal CV than the sTX acquisition (5-8% versus  
430 14-17%,  $p = 0.047$ ), but a poorer homogeneity as compared to the two-spoke  
431 universal or 1-spoke tailored design (3-6%). Hence for one subject, the flip  
432 angle CV exceeds 16%, that is, a signal uniformity that is superior to that  
433 observed on a clinical 3 T system (Boulant et al., 2008). The subject-specific  
434 global RF shim on the other hand returned flip angle CVs of 17% up to 20%..

435 The robustness of the multiband UPs with respect to a shift in the slice  
436 positions or an inclination of the slices is showed in Figure 3. As expected,

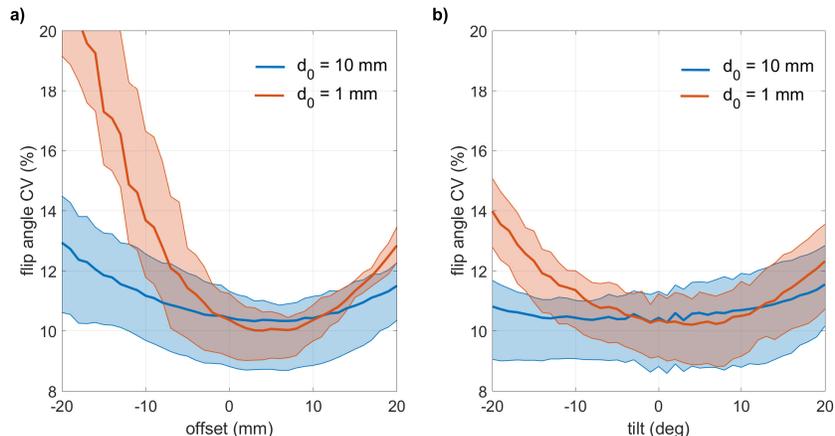


Figure 3: Simulation of the flip angle CV (45 slices pooled together) as a function of a) the slice shift and b) the slice inclination (about the right-left axis) for the universal pentaband pulses designed with a weighted least-squares parameter  $d_0$  (see Equation 3) of 1 mm (red curve) and 1 cm (the proposed design parameter, blue curve). For each plot, the thick line and the two enclosing lines represent the mean, the minimum and the maximum of the CV across the five subjects.

437 the set of pulses obtained with the 1 cm soft-threshold perform better than  
 438 the other with respect to a variation in the position or the inclination of  
 439 the slice. Note that  $d_0 = 1$  mm practically leads to no weighting since the  
 440 spatial resolution of the  $B_1^+$  maps is 5 mm. This result also indicates that  
 441 the tolerance of the universal MB-EPI pulses designed in this study can be  
 442 considered as high as 2 cm for the slice position offset and  $20^\circ$  for the slice  
 443 inclination. Indeed, for both cases, the CV in flip angle does not exceed 13%.

### 444 3.3. Temporal SNR

445 Projections of the tSNR maps onto cortical surfaces for each subject are  
 446 shown in Figure 4. In each subject, tSNR of the pTX UP scans exceeds that  
 447 of the sTX acquisitions, without and with pads), which is consistent with

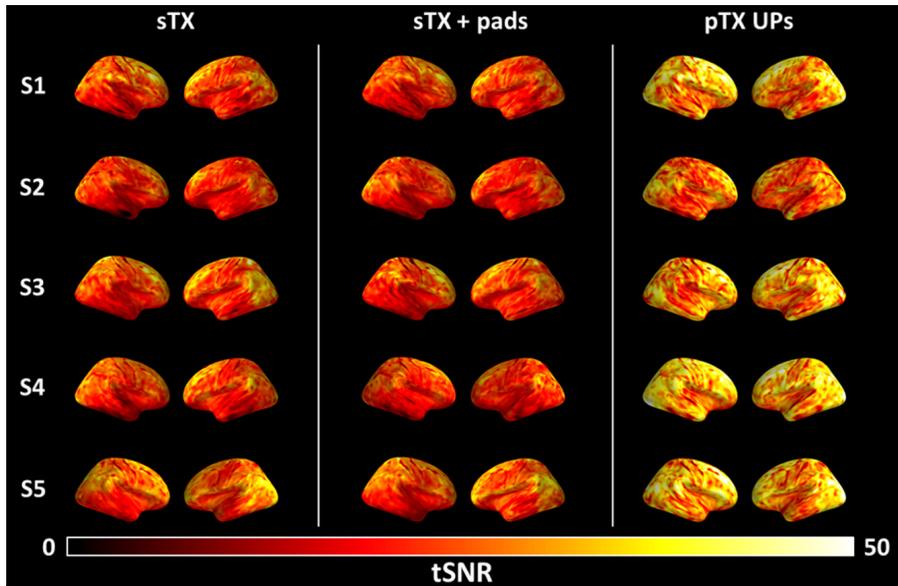


Figure 4: Cortical surface projection of the tSNR maps obtained for the left and right hemispheres for subjects 1 to 5 (top to bottom) and for the three different setups (left to right). Using pTX and UPs, a higher tSNR can be achieved over the cortex consistently throughout all subjects.

448 the flip angle simulations reported above. Additionally, a comparison of the  
 449 average (across the five subjects after normalization to the MNI152 template)  
 450 temporal SNR maps is displayed in Figure 5a. A marked tSNR gain with  
 451 pTX can be seen, in particular in the temporal, occipital and parietal lobes,  
 452 where the transmit efficiency of the RF coil in sTX is weaker. This is also  
 453 demonstrated by the ratio maps (Figure 5b) which reveal tSNR gains of up  
 454 to 100% between the pTX and the sTX acquisitions. On average across all  
 455 subjects and over the whole brain, the tSNR gain using UPs amounted to  
 456 25%.

457 In this study, we used a different, standard, (pre) processing pipeline (FSL

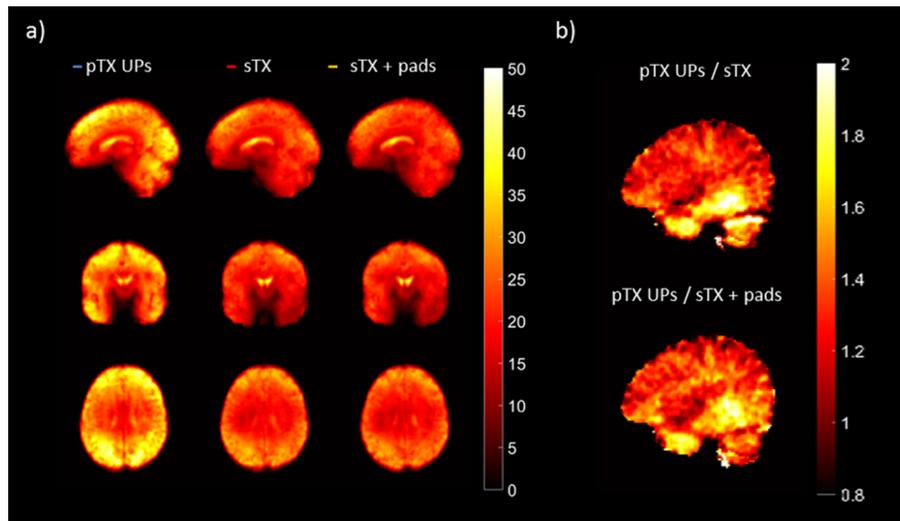


Figure 5: Average tSNR data. a) Three-plane view of the average (across all five subjects) tSNR map for the pTX UP (left column), in sTX (middle column) and sTX with dielectric pads (right column) acquisitions. Averaging was performed after normalization to the MNI152 standard space. b) tSNR ratio maps of pTX/sTX and pTX/sTX with pads shown for one sagittal slice through the right temporal lobe, showing a considerable tSNR enhancement in that region for the UP approach.

458 for realignment and distortion correction, nilearn for normalization and de-  
459 noising) than the one defined in the HCP protocol (Van Essen et al., 2013),  
460 and in which the T<sub>2</sub>-weighted scan was not employed for registration and  
461 segmentation. However, for the HCP data analysis framework, the contrast  
462 uniformity enhancement enabled by UPs for the T<sub>2</sub>-weighted acquisition (see  
463 Figure 1) could be beneficial to improve the quality of the segmentation. In  
464 this study, We found for instance that the registration to the MNI template  
465 using FSL (with default processing parameters) was more robust if the pTX-  
466 rather than the sTX-MPRAGE acquisition was selected as the input anatom-  
467 ical image. We shall note however that a more thorough and expert use of  
468 the software could have improved the robustness of the registration with the  
469 sTX-MPRAGE acquisition.

#### 470 *3.4. Resting-state analysis*

471 Figure 6 reports the seed-based analysis of the DMN performed on all  
472 subjects and for all setups. Here, stronger time-correlations between the  
473 posterior cingulate cortex and the temporal cortex can be seen in the data  
474 acquired with pTX UPs. This observation is consistent with the reported  
475 tSNR increase in the same regions. However, due to the small number of  
476 subjects involved in this study, no statistical analysis of the increased time-  
477 correlation was performed. It is also interesting to note a more pronounced  
478 left-right symmetry in the pTX UP results, which conforms to the description  
479 of the DMN as a symmetric network in the literature (Smith et al., 2009).



Figure 6: Radar plots of the time-correlation coefficient between the posterior cingulate cortex (seed) and the DMN-associated left parietal, the right parietal, the left temporal, the right temporal and the frontal regions for the five subjects (S1-S5) and the three acquisition modes (sTX, sTX+pads and pTX). Although below significance level due to the small number of subjects involved in this study, this result strongly suggests that higher levels of correlation can be seen in the pTX acquisition mode, in particular in the left and right temporal regions.

#### 480 4. Discussion

481 Parallel transmission has long been advocated as promising technology to  
482 tackle standing wave effects at UHF, and enormous progress has been made  
483 in the past decade. But to date, the cumbersome operation and need for  
484 time-consuming calibrations typically result in these precious resources to be  
485 underused in actual application studies. The UP approach circumvents these  
486 limitations as it allows for a scanner operation that is no different from a sTX  
487 exam. A parallel here can be drawn with another proposed plug and play  
488 pTX approach (Cloos et al., 2016), which yet relies on the MR fingerprinting  
489 (MRF) concept. The major philosophical difference from UP lies in how the  
490 RF field inhomogeneity is dealt with: UPs tackle it at the excitation stage  
491 whereas the plug and play MRF technique resolves it at the reconstruction  
492 stage by disentangling its effects from the rest of the data. While the latter  
493 has shown promising results for quantitative MRI, it is yet not clear how the  
494 same framework could be leveraged to fMRI. The UP concept on the other  
495 hand is theoretically compatible with any type of MRI acquisition.

496 As shown in a previous work (Gras et al., 2017b), slightly superior pTX  
497 excitation performance can be achieved with full subject-specific RF pulse  
498 calibrations (Gras et al., 2017b). Another price to pay with UPs is the in-  
499 creased SAR and power demands engendered by their broadband behavior to  
500 be robust versus the variability of the  $B_0$  offset across subjects (Gras et al.,  
501 2017b). The MB-EPI bipolar spoke UPs implemented in this work saturated  
502 the peak 10 g SAR of our VOP model (safety factor of 2.3) as well as the  
503 peak and total average power constraints, while the MPRAGE and SPACE  
504 acquisitions still left a factor of around 2 in TR to manoeuvre with. Consid-

505 ering the integration of pTX into a clinical setting, however, the incremental  
506 performance gain of subject-tailored pulses would probably not outweigh the  
507 additional effort of calibrating  $B_1^+$ , performing computationally expensive  
508 online pulse calculation and other complex pTX-related steps. As an indica-  
509 tion of the time that would be needed to perform the calculations (any other  
510 operation than the pure pulse optimization taken aside) for this specific pro-  
511 tocol in pTX with subject-tailored pulses (slice-specific RF shimming for the  
512 MB-EPI sequence and same  $k_T$ -point parameterization as for the UPs for  
513 the MPRAGE and SPACE sequences), the RF pulse computation times on a  
514 DELL Precision 7510 (processor Intel Core i7-6820HQ, 16 Gb of RAM) were  
515 10 s, 48 s and 104 s for the MB-EPI, the MPRAGE and the SPACE sequences  
516 respectively. These times were returned for 100 iterations of our optimiza-  
517 tion algorithm (active-set) and 4 initial random k-space trajectories (for the  
518  $k_T$ -points), which is an acceptable trade-off between performance and com-  
519 putation time, yet in our experience with low chances to return the global  
520 optimum. In addition, the subject-specific approach is prone to errors from  
521 any imprecision of the  $B_1^+$  measurement, which is a particular risk in rapid  $B_1^+$   
522 mapping (Pohmann et al., 2016). Finally, the subject-specific approach relies  
523 on  $B_0$  offset and  $B_1^+$  maps that are typically obtained once at the beginning of  
524 the examination, and so any subsequent patient motion will impose further  
525 errors. The UP approach effectively removes this risk and provides robust  
526 universally applicable dynamic RF shims that are pre-calculated from a set  
527 of high-quality calibration data. Interestingly also, the use of UPs provides  
528 an additional layer of RF safety in that they can be extensively validated  
529 with prior phantom scans (e.g. by temperature mapping). Session-specific

530 subject-tailored RF pulses, as they do not exist until the actual scan occurs,  
531 do not allow such extensive RF safety tests and so their compliance with the  
532 IEC guidelines can only be assessed by means of numerical SAR simulations  
533 (the ones giving rise to the VOP model).

534 In this work, plug and play pTX acquisitions were characterized for the  
535 specific example of the HCP-style 7 T whole-brain resting-state fMRI proto-  
536 col, by an excitation uniformity of significantly lower CVs than in standard  
537 sTX operation without and with dielectric pads. The excitation uniformity  
538 of the slice-selective and non-selective UPs that enable plug-and-play pTX  
539 were in fact comparable to the  $B_1^+$  uniformity of a volume coil at 3 T, where  
540 RF field inhomogeneity in the brain is not considered obstructive to clin-  
541 ical use. We furthermore verified experimentally that the improvement in  
542 the excitation uniformity translated into a gain in tSNR and in a more ro-  
543 bust measurement and analysis of the signal correlations in the resting brain.  
544 This is illustrated by the seed-based DMN result (Figure 6) which displayed  
545 stronger correlations with the use of pTX UPs than in sTX. One may natu-  
546 rally expect that this sensitivity gain translates directly to task-based fMRI.

547 For the MB-EPI acquisition, as shown in 2, we found in flip angle simula-  
548 tions that the proposed UP two-spoke design was comparable in performance  
549 with the subject-tailored slice-specific RF shimming (one-spoke design) that  
550 was recently proposed in HCP-style RS-fMRI scans at 7 T (Wu et al., 2019),  
551 and outperformed the subject-tailored volume RF shimming approach. Mov-  
552 ing to a one-spoke slice-specific UP on the other hand resulted in significantly  
553 impaired excitation quality and robustness across subjects. Here, one subject  
554 had a flip angle CV above 16% for the UP RF shimming scenario. As a re-

555 sult, the key advantage of using the UP technique for conducting HCP-style  
556 RS-fMRI experiments at 7 T is that it combines the excitation performance  
557 typical of subject-tailored slice-specific RF shimming for SMS-EPI with the  
558 simplicity of use of sTX. While the first requirement is important to fully  
559 exploit the SNR and sensitivity gain at high field, the second is fundamen-  
560 tal for the pTX technology to be embraced by new potential users. In the  
561 following, we mention some of the limitations that one should be aware of  
562 before switching to pTX UPs, and propose directions to mitigate them.

563 Adopting the proposed plug-and-play pTX framework can impose some  
564 restrictions on the protocol preparation, in particular for the MB-EPI case.  
565 Indeed, for the 2D case, since the two-spoke UP parameters (spokes RF  
566 amplitudes and locations in the transmit k-space) are optimized for a fixed  
567 slice position, these slice positions, in principle, cannot be modified for the  
568 acquisition. To mitigate this, we have proposed a weighted least-squares  
569 optimization procedure that promotes some robustness with respect to slice  
570 placement. This strategy not only gives a tolerance with respect to the actual  
571 position of the slices, but also some tolerance in the slice inclination. As a  
572 consequence, it also promotes robustness in terms of flip angle stability in  
573 case of motion. Furthermore, by increasing the value of the soft-threshold  
574  $d_0$  (in this study, it was set to 1 cm), it is possible to select a desired degree  
575 of robustness, naturally at the expense of performance in the ideal setting.  
576 Note here that the MPRAGE and SPACE acquisitions, as well as any 3D  
577 sequence, using non-selective dynamic RF shimming pulses, do not have this  
578 limitation at all. Hence, for those acquisitions, the position and orientation  
579 of the field of view can be set arbitrarily.

580 The fact that the design of UP is dependent of the flip angle that is  
581 targeted can appear as another obstacle, in particular if the latter param-  
582 eter is supposed to be varied across experiments. We shall note however  
583 that the MB-EPI spokes pulses being designed by using the small tip angle  
584 approximation, reasonably valid up to  $90^\circ$  (Boulant and Hoult, 2012), dif-  
585 ferent flip angles can be targeted by simply scaling the RF waveforms of the  
586 pulses. The repetition time of the sequence can be changed as long as the  
587 RF power and SAR limits are fulfilled. Just as in sTX acquisitions, the pulse  
588 duration likewise can be adjusted to accommodate these constraints, with-  
589 out having to recalculate the pulses, yet with increased penalty with respect  
590 to  $B_0$  robustness. To provide more optimal solutions, different sets of pulses  
591 with different energy loads but identical duration could also be designed. The  
592 small tip angle and refocusing pulses in the MPRAGE and SPACE sequences  
593 being scalable as well, the same reasoning holds for the 3D anatomical scans.

594 The fact that the UP framework requires the adoption of bipolar 2-spoke  
595 pulses to preserve the same quality of excitation as the subject-tailored slice-  
596 specific RF shim does not impose a significant penalty in terms of the time-  
597 efficiency of the pulse, since the only excitation time overhead amounts to  
598 twice the ramp duration of the slice selection gradient. However, a limitation  
599 of the current implementation, and which does not exist for 1-spoke pulses, is  
600 that the slices could not be tilted (although this functionality can be desirable  
601 in practice, e.g. to maximize brain coverage with a minimum number of  
602 slices). This limitation is due to the way the gradient delay correction was  
603 performed, namely the application of a slice-dependent RF phase offset to  
604 the second spoke RF pulse (Gras et al., 2017c). This strategy unfortunately

605 does not allow slice tilting unless the gradient delay is the same for the  $x$ ,  
606  $y$  and  $z$  gradient coils. This however can be overcome in future work by  
607 adopting a more general correction strategy which consists in encoding the  
608 gradient delay correction in the second spoke k-space location rather than in  
609 the second spoke RF phase, by using so-called trim-blips (Oelhafen et al.,  
610 2004).

## 611 **Conclusions**

612 Calibration-free pTX was successfully implemented in the entire HCP RS-  
613 fMRI protocol at 7 T, including anatomical scans, by means of slice-specific  
614 bipolar two-spoke SMS-UPs (MB=5) and non-selective  $k_T$  point UPs. The  
615 flip angle homogeneity reported in this study with universal slice-specific  
616 two-spoke MB-EPI pulses (9 to 11% across 5 subjects) is comparable to  
617 the flip angle homogeneity obtained with subject-tailored slice-specific RF  
618 shim pulses. With this work, we report for the first time a plug and play  
619 utilization of a multi-transmit multi-receive RF coil for whole-brain BOLD  
620 fMRI at ultra-high field which, for MB-EPI, gives access to the same quality  
621 of excitation as subject-tailored slice-specific RF shimming without deviating  
622 from simple routine scanning. As compared with the single transmission  
623 mode, with and without dielectric pads, a noticeable gain in tSNR (up to 2-  
624 fold in  $B_1^+$  deprived regions) resulting into a higher sensitivity for the BOLD-  
625 induced neural activity, and an improved contrast uniformity in whole-brain  
626 anatomical scans were reported.

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641 **Competing interests**

642 CEA has filed provisional patent applications directed to this technology.

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