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A new hybrid next-event estimator for photon-based Monte Carlo dose rate calculations

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Abstract. This work describes the implementation of a deterministic-like straight-line transport routine inside the TRIPOLI-4[®] Monte Carlo code, aimed at developing a hybrid estimator that can speed-up the MC calculation while retaining its precision. The algorithm is based on the exponential track length estimator or *e*TLE, a next-event estimator that has already been investigated for medical applications. In this implementation, the *e*TLE is paired with a forced detection algorithm which is shown to drastically improve its performance. We describe the implementation of the method in TRIPOLI-4[®], then we present the first results obtained over some test cases and compare them with the classic track-length estimator already implemented in TRIPOLI-4[®].

1 Introduction

When planning the decommissioning and dismantling of a nuclear facility, dose rate estimation constitutes a crucial step to define operational margins and to ensure that safety limits are respected. To this aim, one can distinguish two main families of computer codes:

- The deterministic ones, like RANKERN [1] and CEA's NARMER [2], are often based on Point-Kernel Integration (PKI) method, with build-up and/or albedo factors. PKI is a macroscopic approach that consists in considering any radiation source as an ensemble of independent beam-like point sources. These straight beams of radiation are attenuated through matter by the means of macroscopic coefficients, such as the linear attenuation coefficient. The build-up and albedo factors are correction factors used to estimate the effect of scattering in matter and surface reflection, respectively.
- The stochastic ones, like MCNP [3], GEANT4 [4] and CEA's TRIPOLI-4[®] [5], are based on Monte Carlo (MC) method. This technique is a microscopic approach that can numerically evaluate arbitrarily complex stochastic processes by starting from basic known probability distributions. In the particle transport field, these distributions are based on isotopic data and the stochastic process is the random-walk of single particles, mainly photons in the case of dismantling applications.

Deterministic PKI-based codes are inherently fast from a computational point of view, as they calculate the dose purely by analytical means, by exponentially attenuating gammas on a straight-line path and integrating over the radiation source volume. This allows the PKI method to be used in real-time dose computation tools like Visiplan [6] and Mercurad [7], and opens the door to virtual reality implementation [8]. However, the speed of the PKI method comes at the cost of accuracy; the analytical dose calculations are based on several assumptions and simplifications which may break down in specific situations, such as scattering-dominated problems, or in the case of very short distance between radiation source and detector [9].

In contrast, MC codes enable a precise estimation of gamma dose rate and can converge to any user-specified level of confidence. However, the determination of local equivalent dose rate needs a very large number of gamma histories to achieve reliable results, which lead to long computation times. For large geometries (*i.e.* reactor-level calculations) the computational burden becomes unfeasible for practical use. Luckily, there exists a large number of options to speed up any MC calculation, in the form of variance reduction techniques (VRTs) [10]. These techniques consist in modifying

the analog random sampling procedure to focus computational efforts on the simulation of important particles, *i.e.* particles that are expected to contribute more to the solution [11].

Alongside VRTs, another way of enhancing the efficiency of a MC simulation is the correct choice of the estimator. It has actually been shown [12] that the appropriate choice of an estimator can dramatically reduce the computation time needed to obtain a sufficiently small confidence interval about the MC estimate. The most basic estimator in MC simulations is the so-called “collision estimator”, which simply estimates the particle flux in a volume by tallying all the interactions taking place inside the volume and weighting them by the inverse of the total macroscopic cross section. This estimator is simple and effective enough to be implemented in virtually every MC code. However, since it is intrinsically related to the number of collisions taking place in the estimation volume, its efficiency drops when it is used in low-density regions or in optically thin regions. In these instances, the collision estimator can produce unreliable estimates with very high variance. Another class of estimators, called track-length estimators (TLEs) can efficiently tackle such problems. TLEs are based on the equivalence between particle fluence and total particle path length per unit volume [13]. The gain in efficiency comes from the fact that, for this class of estimators, every particle trajectory intersecting the estimation volume contributes to the tally; it is easy to see how, for the same number of histories generated, the TLE will always have a number of contributions larger than or equal to the collision estimator. That is why this estimator, in its most simple implementation (called linear TLE or just TLE for short), is nowadays largely used in MC codes [14], and has shown good performance for low-energy applications in medical fields [15] and for reaction rate estimations in the nuclear field [16]. Starting from the classic formulation of the linear TLE, it is possible to derive a more sophisticated estimator called exponential track-length estimator or *e*TLE. The main difference between TLE and *e*TLE is that the latter replaces a stochastic decision, *i.e.* the free flight of the particle, by its expected outcome averaged over the probability distribution of particle flight lengths. Such replacement of a random decision by its expected outcome is a frequently used VRT [17]. In the *e*TLE, after each collision the particle is analytically transported in a straight line up until the simulation boundary, while being exponentially attenuated. It can therefore be viewed as a hybrid transport method, combining stochastic MC and deterministic straight-line transport. Starting from the idea that MC calculations of dose deposition could be accelerated by deterministic transport of photons, a new hybrid approach was developed by Freud *et al.* [18,19]. In this approach, a forced detection [20] algorithm was added to the method, transporting the photons in predefined directions to maximize efficiency. The proposed method, even if just in a very preliminary way, showed good results when compared to the classical MC approach with TLE. In a previous work [21], we described the first implementation of *e*TLE in TRIPOLI-4® for dismantling purposes. This first study highlighted the acceleration capabilities of the new estimator, which provided consistent results by reducing computation time by more than a half in the best cases, when compared to the classic TLE. In the same study the *e*TLE was also coupled with two of TRIPOLI-4®’s variance reduction techniques, the exponential transform and the advanced multilevel splitting, showing encouraging results. In this paper, we couple the *e*TLE with a forced detection (FD) algorithm that automatically sends particles towards detectors, drastically improving the efficiency of the estimator in dealing with localized dose deposition calculations.

This process of sending a particle towards a detector to improve the simulation’s efficiency shares some important similarities with some other algorithms employed in particle transport; notably, the next-event point estimator [22] on one side, and algorithms like DXTRAN [23] or the one developed by Tickner [24] on the other. However, the *e*TLE with FD is fundamentally different from these approaches. The next-event point estimator, also present in TRIPOLI-4® with the name FLUX_PT, is a well known estimator in the field of MC simulations. As the name implies, it allows to estimate quantities in a point; it also involves deterministic transport and exponential attenuation of the particle’s weight. In practice, it can be viewed as a limit version of the *e*TLE with FD, where the target detector becomes infinitely small, converging to a single point. As explained by Cramer in [22], this change from volume to point leads to the appearance, in the estimator, of a $1/R^2$ term, where R is the distance between the point of collision and the point of detection. This term causes the point estimator’s variance to drastically diverge whenever a collision takes place very close to the point of detection, and it is the reason why every MC implementation of next-event point estimation usually includes an “exclusion sphere” inside of which any contribution to the estimator is not taken into account. Therefore, the main difference between the *e*TLE with FD and a point estimator is that the former does not require any exclusion sphere. As for DXTRAN [23] and DXTRAN-like transport algorithms [24], the difference is more related to the mechanics of the particle. In these algorithms, the particle is split at every interaction, and deterministically transported to the surface of some element: a sphere in the case of DXTRAN, any convex volume in Tickner’s implementation. Then, the transported particle is left free to continue its simulation, until it is absorbed or leaves the simulation domain. Therefore, the transported particle can, in principle, interact many times inside the volume of interest before ending its simulation history. This in turn means that the “mother” particle has to be killed if it tries to enter the volume of interest, as its interactions have already been simulated by the split particle. The fundamental difference between this approach and the *e*TLE with FD is that, in the latter, there is no actual particle being transported towards the detection volume, but rather a “virtual” particle representing a single contribution of the mother particle to the score. This “virtual” particle gives one and only one contribution, and then it is killed, as will be better detailed in Section 2.2. Moreover, the “real” particle only serves as a mean to simulate collision points,

but it never registers any score: all the contributions to the e TLE with FD come from the “virtual” particles. This is also why, in our method, there is no need to kill the “real” particle if it tries to enter the detection volume.

In this paper, the new e TLE with forced detection is tested on a series of simplified scenarios representative of decommissioning configurations. Its performances are compared with the simpler version of the e TLE without forced detection and with the legacy TLE, as implemented in TRIPOLI-4®.

2 Methodology

2.1 Theoretical basis of e TLE

The idea behind the family of TLEs is the following: all the particle flights that intersect the scoring volume (see fig. 1) contribute to the estimate. If we compare this approach to the collision estimator, that only tallies the interactions happening inside the scoring volume, any type of TLE can in principle lower the variance of the simulation, as this last set of events is always smaller than, or equal to, the former. Depending on the implementation, several types of track-length estimators exist; for the purpose of this discussion, we shall concentrate on the estimators for the particle flux.

In the linear formulation of TLE, the contribution of a flight is proportional to the length of the flight segment inside the scoring volume V . This fluence estimator would give a non-zero value after collisions 2, 3 and 4 in fig. 1a, whereas a collision estimator would only register collision 4. More specifically, the contribution to volume V given to the linear TLE by a flight from $\mathbf{r}_j$ to $\mathbf{r}_{j+1}$ is equal to:

$$K_V^{\text{TLE}}(\mathbf{r}_j, \mathbf{r}_{j+1}) = w \frac{L_V(\mathbf{r}_j, \mathbf{r}_{j+1})}{V}, \quad (1)$$

where w is the weight of the particle and $L_V(\mathbf{r}_j, \mathbf{r}_{j+1})$ is the straight-line distance traveled by the particle inside the scoring volume between the two successive interactions $\mathbf{r}_j$ and $\mathbf{r}_{j+1}$; more rigorously, we can write:

$$L_V(\mathbf{r}_j, \mathbf{r}_{j+1}) = \int_0^{|\mathbf{r}_{j+1}-\mathbf{r}_j|} \Pi_V(\mathbf{r}_j + s\boldsymbol{\Omega}) ds \quad (2)$$

where $\boldsymbol{\Omega}$ is the direction of the vector pointing from $\mathbf{r}_j$ to $\mathbf{r}_{j+1}$ and $\Pi_V(\mathbf{r})$ is the characteristic function of the scoring volume V (which is equal to 1 if the point $\mathbf{r}$ is inside the volume and equal to 0 otherwise).

We can derive the e TLE from its linear correspondent by replacing the estimator in Eq. (1) with its average value over all possible flights lengths emerging from collision j in the direction $\boldsymbol{\Omega}$. As such, the e TLE estimator is still a flight-based estimator (*i.e.* it contributes to the score every time a particle performs a flight), but its value does not depend on the end point of the flight $\mathbf{r}_{j+1}$ (only on the direction $\boldsymbol{\Omega}$). According to the definition, for the e TLE we can write:

$$K_V^{e\text{TLE}}(\mathbf{r}_j, \boldsymbol{\Omega}) = \int_0^\infty K_{\text{TLE}}(\mathbf{r}_j, \mathbf{r}_j + s\boldsymbol{\Omega}) \exp\left(-\int_0^s \Sigma_t(\mathbf{r}_j + t\boldsymbol{\Omega}) dt\right) ds, \quad (3)$$

where $\Sigma_t(\mathbf{r})$ is the total macroscopic cross section at position $\mathbf{r}$. If the scoring volume V is uniform ($\Sigma_t(\mathbf{r})$ constant inside the detector) and convex, then the e TLE estimator can be rewritten in the simpler form

$$K_V^{e\text{TLE}}(\mathbf{r}_j, \boldsymbol{\Omega}) = \frac{w}{\Sigma_t V} \exp\left(-\int_0^{s_V} \Sigma_t(\mathbf{r}_j + s\boldsymbol{\Omega}) ds\right) [1 - \exp(-\Sigma_t L_V(\mathbf{r}_j, \infty))]; \quad (4)$$

where s_V is the distance from $\mathbf{r}_j$ to the scoring volume V along direction $\boldsymbol{\Omega}$, and $L_V(\mathbf{r}_j, \infty)$ is the length of the chord intersected by V after collision in $\mathbf{r}_j$ along direction $\boldsymbol{\Omega}$, the expression of which can be obtained by Eq. (2) by extending to infinity the distance between $\mathbf{r}_j$ and $\mathbf{r}_{j+1}$:

$$L_V(\mathbf{r}_j, \infty) = \int_0^\infty \Pi_V(\mathbf{r}_j + s\boldsymbol{\Omega}) ds. \quad (5)$$

The efficiency of this method can be illustrated by fig. 1b, where we can see that the particle is not even required to cross the scoring volume to contribute to the score.

An additional improvement can be brought by observing that the e TLE estimator can only contribute to the score if the line of flight intersects the scoring volume. By transforming the e TLE estimator in a collision-based estimator, we can sample virtual collisions directed towards the detection volume and weight them by their probability of occurrence. Fig. 1c shows the additional improvement in efficiency obtained by adding a forced detection (FD) algorithm to the e TLE. Assuming the detection volume is a sphere, we sample a uniformly random direction $\boldsymbol{\Omega}$ under the constraint

that the half line from the collision point $\mathbf{r}_1$ directed along $\boldsymbol{\Omega}$ intersects the sphere. We can write the score for the e TLE with FD as:

$$K_V^{e\text{TLE,FD}}(\mathbf{r}_1, \boldsymbol{\Omega}) = \frac{w}{\Sigma_t V} \exp\left(-\int_0^{s_V} \Sigma_t(\mathbf{r}_1 + s'\boldsymbol{\Omega}) ds'\right) (1 - \exp(-\Sigma_t L)) p(\mathbf{r}_1, \boldsymbol{\Omega}) \Delta\Omega \quad (6)$$

where $p(\mathbf{r}_1, \boldsymbol{\Omega})$ is the probability density, per unit solid angle, of scattering in the direction $\boldsymbol{\Omega}$ after an interaction at $\mathbf{r}_1$, and $\Delta\Omega$ is the solid angle of the scoring volume seen from the interaction point. If the detection volume is not spherical, the solid angle can be hard to calculate; however, the problem is easily solved by defining a bounding sphere surrounding the volume and then scoring only the particles that effectively cross the volume, as shown in fig. 1c. In this case, the solid angle of the bounding sphere can be easily calculated by knowing the sphere radius R and the distance D between the interaction point and the center of the sphere:

$$\Delta\Omega = 2\pi \left(1 - \frac{\sqrt{D^2 - R^2}}{D^2}\right) \quad (7)$$

Fig. 1. Visual representation of different track-length estimators: a) linear TLE; b) exponential TLE or e TLE; c) e TLE with forced detection algorithm

2.2 e TLE implementation in TRIPOLI-4®

We will now describe how the e TLE with FD algorithm is implemented in TRIPOLI-4®. The algorithm takes place immediately after the calculation of the random free flight of the particle, when the particle's interaction has not yet been sampled. Since the estimator acts on a “virtual” particle trajectory, the first step consists in creating a fictive particle, which retains the original particle's direction of motion, energy, and simulation weight. The fictive particle immediately undergoes a fictive collision which sends it towards the detector volume, and is then killed after the score. The detailed implementation (see also fig. 2) is as follows:

1. First, the user associates a bounding sphere to each detection volume. The sphere must fully enclose the detection volume while still being as small as possible for maximum efficiency.
2. If the simulation has more than one detector, we start a loop over all of them.
3. We create a “virtual” particle as an exact copy of the original particle.
4. Based on the bounding radius and the distance between the interaction point and the sphere center, we calculate the solid angle subtended by the sphere, $\Delta\Omega$. Then, we sample a random direction uniformly distributed within $\Delta\Omega$.

5. The code samples a “virtual” collision for the fictive particle, adjusting its weight (for implicit capture and for the scattering probability, through the factor $p(\mathbf{r}_j, \mathbf{\Omega})\Delta\Omega$) and assigning the direction determined at the previous step, effectively directing the particle towards the bounding sphere. The energy of the fictive particle is sampled by taking into account the differential cross-section of the collision, according to the direction randomly selected at point 4. This ensures that the physics of the simulation remains unbiased.
6. We calculate the straight-line distance d_j between the virtual particle and the closest volume frontier.
7. The virtual particle is displaced to its new position on the volume frontier; a value $k_j = w_{j-1}(1 - e^{-\Sigma_t d_j})/\Sigma_t$ is stored in memory for the volume just crossed. Here, w_{j-1} is the weight of the virtual particle before the j -th displacement and Σ_t is the total macroscopic cross section in the traversed volume. Therefore $w_{j-1}(1 - e^{-\Sigma_t d_j})$ represents the weight loss due to attenuation, and $1/\Sigma_t$ is the expected value of the particle’s free flight in the medium.
8. The weight of the particle w_j is updated: $w_j = w_{j-1}e^{-\Sigma_t d_j}$.
9. We go back to point 6 and repeat the process until the virtual particle reaches a domain boundary (*i.e.*, until it leaves the geometric domain of the simulation).
10. If the particle has crossed the detector volume, we register the score calculated at point 7. Then, we kill the particle and go back to point 2, selecting the next detector in the list.
11. Finally, the virtual particle is deleted and all transport quantities are reinitialized, before going back to the transport of the “real” particle.

At the end of the process, the quantity searched can be calculated for the detector volume starting from k_j . For example, if the quantity under consideration is the absorbed dose in the volume $D_{j,V}$, the result is given by:

$$D_{j,V} = \frac{k_j E_j \frac{\mu_{en}}{\rho}}{V} \quad (8)$$

where E_j is the photon’s energy, μ_{en}/ρ is the mass energy absorption coefficient of the material, and V is the detector’s volume.

2.3 Simulation setup and test cases

This section presents the various geometrical configurations that have been tested to estimate the performance of *e*TLE, and the general setup of the MC simulations. For each configuration, the estimator was tested with and without the forced detection algorithm and compared to the well validated linear TLE of TRIPOLI-4®. In all simulations, ^{60}Co is used as a photon source, emitting photons at energies of 1.33 MeV and 1.17 MeV with an intensity of 1.59×10^{10} photons/s. The calculated quantity is the KERMA rate, which is equal to the absorbed dose rate in conditions of charged particle equilibrium. Electron and positron transport is deactivated, therefore all energy related to these particles is assumed to be deposited locally. The configurations studied are fairly simple and only include the following four materials, all assumed to be at room temperature (weight percentages are indicated):

- Air: 77% N, 23% O, 0.00128 g/cm³
- Concrete: 54% O, 34% Si, 4% Ca, 3.5% Al, 1.5% Fe, 1.5% H, 1.5% Na, 2.3 g/cm³
- Lead: 100% Pb, 11.35 g/cm³
- Iron: 100% Fe, 7.85 g/cm³

Batches of 10000 photons are used. The total number of batches for each simulation may vary, as the stopping criterion is defined as a limit on the maximum statistical uncertainty σ over all detectors, which will be detailed below for every configuration. The machine used for all simulations is equipped with two Intel Xeon E5-2620v3 processors. A figure of merit (*FOM*) is defined for each detector volume as:

$$FOM = \frac{1}{t \sigma^2} \quad (9)$$

where t is the total simulation time and σ^2 is the variance of the dose rate estimated in the detector, expressed in percent. Additional geometrical and simulation details for each configuration are given in the following.

2.3.1 Back-scattering configuration

The first configuration reproduces a set of shielding benchmarks originally performed by Odano *et al.* [25]. The back-scattering configuration (fig. 3a) assesses the performance of an estimator concerning the phenomenon of photon back-scattering, or reflection, on concrete. The direct path between the ^{60}Co point-wise source and the detector

Fig. 2. Flowchart of the *eTLE* algorithm with forced detection; w is the particle's weight, E is its energy and Ω is its direction.

volumes is shielded by a lead slab of $10 \times 40 \times 5 \text{ cm}^3$. A concrete slab measuring $100 \times 100 \times 20 \text{ cm}^3$ is placed at a distance of 50 cm from the photon source, allowing photons to scatter towards the detectors bypassing the shield. The five detectors are modeled as 1 cm radius spheres spaced 5 cm from each other. The maximum target σ for the simulation is set at 5%.

2.3.2 Slant penetration configuration

The slant penetration configuration (fig. 3b) determines the estimator response to photons traveling inside an attenuating medium with increasing slant angles. The attenuating medium is a $100 \times 200 \times 20 \text{ cm}^3$ concrete slab placed between photon source and detectors at a distance of 1 cm from either side. The first detector is placed vertically above the source; the remaining ten are spaced 10 cm from each other, creating increasing slant angles with respect to the source, up to an angle of about 78° . The detectors are modeled as 1 cm radius spheres. The maximum target σ for the simulation is set at 5%.

obtained with the TLE. Fig. 5 shows the ratio between the two estimates, with tolerance margins of $\pm 2\sigma$ highlighted on the plots. The tolerance was chosen according to the central limit theorem: an interval of $\pm 2\sigma$ includes the exact simulation result with a probability of 95.45%. Each point on the plots shows the dose rate of a single detector, with the exception of the streaming duct case, where results for each triplet of detectors occupying the same axial position were averaged to improve legibility. In general, we note a very good accordance with the TLE results: all results fall inside the error margin, if we consider their relative uncertainty. Both versions of the *e*TLE, with and without FD, are therefore validated.

3.2 Performance of *e*TLE

To assess the performance of the new estimator, we compare in fig. 6 the *FOM* of the simulations with the *e*TLE to the *FOM* of the simulations performed with the normal track-length estimator. Therefore, a ratio higher than 1 means that the new exponential estimator performs better than the classic linear one.

In the back-scattering configuration (fig. 6c) the *e*TLE with FD attains outstanding performances, with acceleration factors up to 2×10^4 . This is an enormous improvement with respect to the normal *e*TLE, which has acceleration ratios around 1.2 for this configuration.

In the bunker configuration (fig. 6d and 6e), all simulations are accelerated by the *e*TLE with and without FD. Specifically, with the FD algorithm we reach factors of almost 100, while the normal *e*TLE had a factor of 2 in the best case.

Results are more varied in the slant penetration (6a) and streaming configurations (6b). In the slant penetration setup, we see that the *e*TLE without FD is able to uniformly accelerate the results over all the detectors, with acceleration ratios of about 2. With the FD algorithm, the estimator shows increasingly good performances as we move further from the source, with acceleration ratios ranging from lower than 1 (which indicates a drop in performance with respect to the TLE) to around 10. We can find the same behavior in the streaming configuration, with the estimator failing to accelerate the convergence over the first two detectors and progressively increasing its performances as we move to the farthest detectors, with ratios close to 30. This is also the only configuration where the *e*TLE without FD fails to accelerate the convergence.

Fig. 6. Performance of the estimator over all tested configurations. The y axis shows the ratio between the figure of merit of the *e*TLE estimator (with and without forced detection) and the figure of merit of TRIPOLI-4®'s TLE

An explanation for this behavior might be found in the fact that having to simulate and transport virtual particles adds a certain amount of overhead to the transport algorithm. To generate a single particle history, the *e*TLE with FD requires the largest amount of time (which increases with the total number of detectors), followed by the normal *e*TLE and then by the classic TLE. This of course means that, given a certain amount of computation time, the simulation with the TLE will generate a larger amount of particle histories than the one using the *e*TLE. Therefore, if a detector is close to the source and easily reached by the particles, convergence with the TLE will be quicker.

As we mentioned before, in the case of multiple detectors, the current implementation of the FD algorithm sends one virtual particle to each of the detectors before restarting the original particle transport. Intuitively, this implies that the algorithm's efficiency is inversely proportional to the number of detectors in the simulation. Therefore, to try and improve the estimator's performance, we ran an additional set of simulations on both the slant penetration and the streaming duct configurations. In this case, only one detector was active, which means that a total of 22

simulations were run in the slant penetration case (11 with the TLE, 11 with the e TLE) and 12 simulations were run in the streaming case (6 with the TLE, 6 with the e TLE). Results of this “single detector” simulations are reported in fig. 7. As we can see from the plots, the estimator performance is drastically improved when used with only one detector, with the efficiency consistently gaining one order of magnitude with respect to the multiple-detector case. We can still see the same behavior related to the detector’s distance from the source: for the farthest detectors, we register acceleration ratios of around 500 for the slant penetration case and more than 600 for the streaming duct.

Fig. 7. Validation and performance of the estimator in single-detector simulations.

4 Conclusions

This paper provides a closer look at the e TLE recently implemented in TRIPOLI-4[®], with a particular focus on its use with a forced detection algorithm. The new estimator is validated, as it shows good statistical agreement with the results of the classic TLE of TRIPOLI-4[®]. Moreover, the estimator shows excellent acceleration capabilities when dealing with photon transport in void and scattering-intensive environments, with acceleration factors reaching up to 10^4 . The estimator shows the best performance when used with few detectors, especially if they are sufficiently far from the photon source. These first results are encouraging and open the way to future applications of the new estimator. Studies on the application of e TLE to neutron transport as well as photons are already being conducted, as well as a new mesh-scoring implementation which could significantly expand the estimator’s domain of application.

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