

# Formation of annealing twins during primary recrystallization of two low stacking fault energy Ni-based alloys

W Wang, S Lartigue-Korinek, F Brisset, A L Helbert, J Bourgon, T Baudin

# ▶ To cite this version:

W Wang, S Lartigue-Korinek, F Brisset, A L Helbert, J Bourgon, et al.. Formation of annealing twins during primary recrystallization of two low stacking fault energy Ni-based alloys. Journal of Materials Science, 2015. hal-03301329

# HAL Id: hal-03301329 https://hal.science/hal-03301329

Submitted on 27 Jul 2021

**HAL** is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers. L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.

# Formation of annealing twins during primary recrystallization of two low

## stacking fault energy Ni-based alloys

W. Wang<sup>1\*</sup>, S. Lartigue-Korinek<sup>2a</sup>, F. Brisset<sup>1b</sup>, A. L. Helbert<sup>1c</sup>, J. Bourgon<sup>2d</sup>, T. Baudin<sup>1e</sup>

<sup>1</sup>Université Paris-Sud, ICMMO, UMR CNRS 8182, 91405 Orsav Cedex, France <sup>2</sup>Institut de Chimie et des Matériaux Paris Est, MCMC, UMR CNRS 7182, 94320 Thiais, France

Corresponding Author: wei.wang@u-psud.fr, Université Paris-Sud, ICMMO, UMR CNRS 8182, bât. 410, 91405 Orsay Cedex, France. Tel: + (33) 1 69 15 47 89, Fax: + (33) 1 69 15 47 97.

a	lartigue@icmpe.cnrs.fr	d	bourgon@icmpe.cnrs.fr		
b	francois.brisset@u-psud.fr	e	thierry.baudin@u-psud.fr		

с anne-laure.helbert@u-psud.fr

#### Abstract.

b

First stages of recrystallization are analyzed in low stacking fault energy nickel alloys cold rolled and subsequently annealed at 700°C for 6 minutes. These alloys are envisaged as candidate materials for the heat exchanger of VHTR (Very High Temperature Reactor) that works at 1000°C. First recrystallized grains show evidence of extensive twinning that is studied by Transmission Electron Microscopy. Specific twinning features such as five-fold twin and microtwins bordered by partial dislocations are revealed. Twin density increases with increasing amounts of prior deformation before annealing. The local crystal orientations are determined at a nanometer scale. It is shown directly that when twinning occurs, the recrystallized area beyond the twin has a lower stored deformation energy. Thus recrystallization and the associate twinning induce a decrease in the total stored deformation energy.

14

Keywords: Nickel alloy, Twinning, Stored energy, TEM, EBSD

#### Introduction 1 1

15 Ni based alloys with low stacking fault 2 16 energy (SFE) display extensive twinning 3 17 from the start of primary recrystallization. 4 18 Twinning occurs in recrystallized grains 5 19 behind migrating grain boundaries (GBs). 6 20 Until now, most studies concerned 7 the 21 mechanisms of twinning during 8 grain 22 growth. But, very few is known on the 9 23 occurrence of twinning at the very beginning 10 24 of primary recrystallization. The 11 main 25 driving forces for GB migration 12 are 26 supposed to be different during primary 13

recrystallization and grain growth, however twinning events are related in both cases to GB migration and require a driving force. Twins are connected to migrating GBs and the mechanism of twinning could be similar.

With respect to the formation of twinning during grain growth, Fullman and Fisher in 1951 [1] proposed that twins are formed near triple junctions of moving grain boundaries, the driving force being an overall decrease in interfacial energy. Twinning was then explained by a stacking error or a 'growth accident' occurring at

GBs or triple junctions that induces the 45 1 splitting and subsequent migration of a GB 46 2 segment, leaving behind two coherent twin 47 3 boundaries [2]. Following this approach, 48 4 Gleiter [3] proposed an atomistic model that 49 5 involves a two dimensional nucleation on 50 6 close packed planes of the growing grain 51 7 just at the point where the GB is parallel to 52 8 {111} planes of the growing grain. A quite 53 9 different nucleation process was also 54 10 proposed which involves a direct stacking 55 11 fault emission at GB ledges producing two 56 12 twin segments, a coherent twin and an 57 13 incoherent one; the further migration of the 58 14 incoherent twin may induce a twinned 59 15 region [4]. In all these works, the driving 60 16 force is a reduction in the overall interfacial 61 17 energy. If recovery is not completed, a 62 18 decrease in dislocation density is also 63 19 evoked [4]. But, a limitation of these models 64 20 is that the initial orientation relationship 65 21 between two grains must be close to a twin66 22 orientation, or the newly formed GB must 67 23 have a low energy, two conditions that seem 68 24 difficult to realize. Both experiments and 69 25 simulation find that most GBs have a high 70 26 interfacial energy [5-6]. 27 71

In an attempt to interpret all the 72 28 features of annealing twins, a microscopic 73 29 model proposes that annealing twins are 74 30 produced with the formation of a partial 75 31 Shockley loop at the {111} ledges formed in 76 32 migrating GBs [7-8]. The theory is based on 77 33 the idea that annealing and deformation 78 34 twins are crystallographically identical. The 79 35 higher the GB velocity, the higher the 80 36 probability for twin nucleation. Twin 81 37 migrating emission by GBs during 82 38 recrystallization had been evidenced 83 39 previously, the twin nucleus being a stacking 84 40 41 fault or a thin packet of stacking faults, 85 bordered by Shockley partial dislocations 86 42 [9]. The tips of annealing twins could be 87 43 described as arrays of Shockley partial 88 44

dislocations, but were fundamentally different from deformation twins in that for the tips of annealing twins the partials can be equally distributed amongst all three partials, allowing for a blocky tip and strain relaxation since the net burgers vector can cancel.

The emission of partial dislocations has also been found by Molecular Dynamics (MD) to interpret twinning during grain growth in nanocrystalline copper [10]. However in the recent experiments on twin characteristics in nanocrystalline thin films, the authors exclude such a mechanism, arguing that the required internal stresses are too high [11].

In recrystallized materials that have undergone a high deformation level before annealing, some twin characteristics are worth noticing. From a mesoscopic point of view it was found in nickel that the density of annealing twins decreases with the grain size, whatever time and temperature, and depends on the driving force for GB migration [12]. The twin number/grain size dependence applied well with other low SFE materials such as copper alloys [12]. The dependence twinning weak of with temperature is consistent with the model based on dislocation emission [7]. In the particular case of low temperature annealing where recovery is predominant, twinning started when the grain growth or GB migration was restrained, as revealed in copper and nickel by in situ experiments [13-14]. Thus twining is tightly linked to the further onset of grain boundary migration. The studies during early stage of recrystallization revealed also that twinning promotes the nucleation process in two types of stainless steel and  $\alpha$ -brass [15].

The occurrence of twinning during recrystallization and especially the role of the deformation level prior to the heat

treatment is worth studying as it could shed 43 1 light on twinning phenomena, in particular 44 2 the relationship between onset of twinning45 3 and stored energy. Our previous works on 46 4 recrystallization in nickel alloys have shown 47 5 that the number of  $\Sigma$ 3 GBs increased with 48 6 the stored energy resulting from a prior 49 7 deformation before annealing [16]. A similar 50 8 result was found in an austenitic stainless 51 9 steel [17]. Moreover, the twin density 52 10 11 increases during recrystallization and 53 decreases during grain growth in pure nickel54 12 and twin formation was only observed for 55 13 GBs migrating into deformed regions [18]. 14 The present paper analyzes the twin 57 15 formation in two nickel-based alloys by 58 16 putting the focus on the features occurring in 59 17 the very first stages of recrystallization.60 18 These materials have much lower stacking 61 19 fault energy  $\gamma_{SFE}$  (between 4 and 10 mJ/m<sup>2</sup>)62 20 copper pure nickel 21 than and alloys. 63 22 Twinning features are investigated by 64 Transmission Electron Microscopy (TEM).65 23 The originality of this study is to determine 66 24 the local orientations at a nanometer scale, 67 25 allowing establishing a relationship between 68 26 twinning and intragranular stored energy. 69 27 Twinning mechanisms during first stages of 70 28 recrystallization are finally discussed. 29 71 30 72

#### 31 2 Experimental details

73

74 Two nickel alloys were produced by 32 75 hot forging. One is monophasic (sample A), 33 76 the other contains W particles as a second 34 77 phase (sample B). Their chemical 35 78 compositions are presented in table 1. For 36 79 both materials, sheets of 1 cm thickness 37 were cut from the ingots, cold-rolled with 90%38 **8**1 reduction and annealed at 900°C for 3 hours 39 in argon atmosphere. Under these treatments, 40 a homogenous distribution of the chemical 41 composition was obtained, together with a 42

homogenous microstructure and an almost isotropic texture. The mean grain size is about 17  $\mu$ m for sample A and 8  $\mu$ m for sample B in these conditions. Afterwards, the sheets were cold-rolled with different reduction level and the corresponding true strains are shown in table 2. Then they were annealed at 900°C during 4 min so as to obtain a complete recrystallization [16]. Moreover, for each material, the one deformed with 80% reduction was annealed during 6 min at 700°C so as to develop the first stage of recrystallization.

The microstructure after annealing was studied on the transverse plane (Rolling Direction RD - Normal Direction ND) with a TSL/EDAX Electron BackScatter Diffraction (EBSD) system installed on a Field Emission Gun Scanning Electron Microscope (FEG-SEM). The analyzed maps were based on hexagonal grid. Those after complete recrystallization (900°C) were analyzed for an area of 150 x 350  $\mu$ m<sup>2</sup> with 100 nm as step size, and the densities of  $\Sigma$ 3 and  $\Sigma$ 9 GBs were analyzed statistically. Those after partial recrystallization (700°C) were studied with 50 nm as step size. All samples were prepared by mechanical polishing up to 1/4 µm diamond polishing and finally polished using a colloidal silica suspension (OPS) with particle size of about 0.04 micron.

In the samples that underwent first stage of recrystallization, the recrystallized areas were studied with a JEOL 2000EX TEM. Thin foil preparation is described in [19]. The distribution of misorientations was determined thanks to an Automatic Crystal Orientation Mapping (ACOM) system in a FEI Tecnai F20 TEM (spot size 8, camera length 7.1 cm, condenser aperture 10 µm,

Table 1 chemical composition of the studied mcker anoys								
Wt.%	Ni	Cr	W	Si	Mn	Мо	Al	Ti
Sample A	68.8	5.7	25.2	0.065	0.086	0.07	0.08	0.13
Sample B	60.7	19.6	19.9	0.075	0.08	0.05	0.1	0.14

47

48

49

50

51

73

mical composition of the studied nickel allows

gun lens 6, extraction voltage at 4.3 kV, 36 3 1 resulting probe size 1.2 nm). An ASTAR 2 system was used for ACOM diffraction data<sup>37</sup> 3 acquisition [20]. The analyzed map step was 38 4 10 nm based on a square grid. The 39 5 crystallographic orientations are obtained 40 6 through image matching 7 between the 41 experimental diffraction 8 pattern and 42 calculated templates with an orientation step 43 9 of one degree between two successive 44 10 templates. 11 45 46

#### 12

13

Table 2 True strain prior to annealing						
Rolling	40%	60%	80%			
reduction	40%	00%				
True strain	0.59	1.06	1.86			

<sup>14</sup> 

EBSD and ACOM data were processed 52 15 by using the OIM<sup>TM</sup> software. For each 53 16 point of scanned area, the retained images 54 17 describe an Image Quality (IQ) that depends 55 18 on the distortion of crystal lattice. This 56 19 parameter is useful for the embodiment of 57 20 the strain distribution in the material.58 21 Moreover, for each pixel, the Inverse Pole 59 22 Figure (IPF) shows the crystal direction that 60 23 is aligned with the chosen direction of 61 24 reference (IPF [100], [010] and [001] mean 62 25 respectively the rolling direction, the 63 26 transverse direction and the normal direction 64 27 of sample that is the reference direction).65 28 Then the pixels are colored according to the 66 29 code defined in the unit triangle (for 67 30 example, the red color in IPF [001] means 68 31 the crystal direction <001> of the pixel is 69 32 parallel to the normal direction of the 70 33 sample). 71 34 35 72

## **Results**

### 3.1 Recrystallization mechanisms

Fig. 1 shows the microstructure of two materials after a 6 min annealing at 700°C. New grains can be found within the surrounding deformed environment. The recrystallization seems to be faster in sample B than in A, with upper number of new grains. A statistical study with the help of microharness analyses shows that the recrystallization fraction is 6% and 8% in sample A and B respectively. The formation of  $\Sigma$ 3 GBs was observed inside these new grains for both materials. The little recrystallization advantage in sample B should be related to the presence of second phase. Indeed, during the cold deformation, second phase particles act as obstacles to dislocation slip. This induces the formation of local deformation around particles, which become favorable sites for nucleation of recrystallized grains during subsequent annealing. This recrystallization mechanism is called particle stimulated nucleation (PSN) [21].

Figs. 2a-b show the presence of a bulge in sample A after the same annealing process. The crystal close to the bulge may be seen as a small recrystallized grain which could have been produced according to the Strain Boundary Migration (SIBM) Induced mechanism. This mechanism, firstly observed in 1950 [22], is related to the migration of a pre-existing grain boundary, leaving a dislocation free area behind it [21]. A similar microstructural feature occurs in a Fe-36%Ni alloy [23]. Such a bulge is also proposed to occur by the stress-driven GB

1 motion during the deformation [24]. In any 3 very small which favors its growth towards 2 case the stored energy behind the bulge is 4 the adjacent area with a higher stored energy.



Fig. 1 Images issued from EBSD analyses (FEG-SEM) showing IQ + IPF [001] map (a) sample A. Regions with new recrystallized grains are circled. One of them is enlarged in (b); (c) and (d) sample B after annealing at 700°C for 6 min. GBs in red correspond to  $\Sigma$ 3(yellow arrow). The white areas in (c) and (d) are the clusters of second phase W



Fig. 2 TEM images after annealing at 700°C 28 2 for 6 min of sample A. (a) bright-field image 29 3 and (b) dark-field image indicating bulging 30 4 of a GB; (c) and (d) bright-field images 31 5 showing formation of twins inside the new 32 6 grains during recrystallization. The red and 33 7 yellow lines show the  $\Sigma$ 3 and the pre-exiting 34 8 grain boundaries, respectively 9 35 36 10

1

Figs. 2c-d reveal the presence of 37 11 annealing twins inside new grains. Some 38 12 isolated dislocations lie within the grain and 39 13 are parallel to the twin boundaries. The twin 40 14 boundary traces are thus aligned with one of 41 15 the {111} planes (slip dislocation planes) of 42 largely studied during grain boundary 16

17 the recrystallized grains. This reveals that coherent twins are formed at the very beginning of the primary recrystallization. A 20 microtwin parallel to a  $\{111\}$  plane presents dislocations at its extremity (Fig. 3a). In the 2 beam conditions of fig. 3b, the twin is not and the dislocations visible show a symmetrical contrast characteristic of g.b = 0, in agreement with a Burgers vector of twinning dislocations equal to 1/6 [2-1-1].

The local microstructure of sample B after annealing is shown in figure 4. The new grains are either close to or distant from the W particles (Fig. 4a). SIBM and PSN mechanism have been both activated. The simultaneous presence of two recrystallization mechanisms can explain the little advantage of recrystallization at the beginning of annealing in sample B.

Multiple twinning occurs within new grains. (Figs. 4b-d). As many twins are formed from the same parent grain adjacent twins can meet each other and form  $\Sigma 9$ boundary at the triple junction (yellow line in Fig. 4c). This phenomenon has been 1 engineering, where a large number of  $\Sigma 3^n$  3 dissociation of GBs [25].

2  $(n\geq 1)$  GBs is related to the association and



**Fig. 3** TEM images after annealing at 700°C for 6 min of sample A. (a) Dark field image (2-beams conditions g=(002)) showing a twin and two microtwins (arrowed) inside a recrystallized grain. On the bright field image (b), the arrowed microtwin is out of contrast, but the dislocations at its extremity show a symmetrical contrast

39



Fig. 4 Bright field TEM images of sample B 30 2 after annealing at 700°C for 6 min. (a) 31 3 Bright-field image showing recrystallized 32 4 areas associated with SIBM and PSN. The 33 5 particles have been dissolved during 34 6 thinning; (b)-(d) multiple twins occur inside 35 7 new grains. The red and yellow lines show 36 8 9 the  $\Sigma$ 3 and  $\Sigma$ 9 boundaries, respectively 37 10 38

### 11 3.2 Annealing twin

1

40 The Figs. 5 and 6 show ACOM maps 12 41 for samples A and B, respectively. The red 13 42 lines are the GBs which have the  $\Sigma 3$ 14 43 misorientation relationship. In Fig. 5c, the 15 44 blue lines show the 4 {111} crystal plane 16 45 traces on each side of the  $\Sigma$ 3 boundary and 17 46 the dotted ones show the common plane. 18

The mean trend line of  $\Sigma 3$  is shown with orange dotted line. Thus the  $\Sigma 3$  boundary is parallel to a common {111} plane and corresponds to a coherent one, as most twins indicated by red lines in the recrystallized grains of Figs. 5a and 6a. This type of twin boundaries is always straight.

In addition, incoherent twin boundaries  $\Sigma$ 3incoh are also present and an example is indicated with yellow line (boundary 2) in Fig. 5d. This twin part is located between the boundaries 1 and 3 that are coherent twins. The formation of this incoherent twin should be the outcome of the different formation times and/or locations of the two coherent twins. A similar feature is found by MD simulations [10].

The incoherent twin boundary is conventionally defined by its plane that is close to a common {112} plane. This definition is then extended to all boundaries whose plane is different from a common {111} plane [25]. In Fig. 5d, the  $\Sigma$ 3incoh boundary 2 is deviated from the {112} and {111} orientations. However, it could be faceted at the atomic level.

The grain in the center of Fig. 5a shows evidence of five-fold twinning. This feature

is rather surprising as it usually occurs in 9 per
 nanoparticles or nanocrystalline materials.10 wit
 Observed for the first time in 1959 [26],11 a de
 five-fold twins are described as five crystals12 or
 twin-related to each other, the five twin13 [27
 planes sharing a common <110> direction.14 is p
 The apex angle between twins should be 72°15 cen
 (360°/5). As the angle between twins in a16 5b.

perfect CFC lattice is  $70.53^{\circ}$ , a disclination with an angle close to  $7.5^{\circ}$  must occur. Such a defect is usually accommodated elastically or with the formation of sub-boundaries [27-28]. In the present case, a sub-boundary is present close to a twin and starts from the center of the five-fold twin as shown in Fig. 5b.



**Fig. 5** Maps of grain orientations obtained by TEM with the ACOM system (IQ+IPF, color code for an inverse pole figure [010]), for sample A after annealing at 700°C for 6 min. (a) Several grains show the presence of twins. A five-fold twin occurs in the central grain. (b) Bright field image of the five-fold twin showing the sub-boundary (white arrow), indicated by a yellow arrow in Fig. 5a. The three regions delimited by squares a1, a2 and a3 are enlarged in (c) (d) and (e) respectively. Red lines figure  $\Sigma$ 3 GBs, white lines High Angle GBs other than twins and black lines Low Angle GBs (LAGBs). The blue lines show the traces of 4 {111} plane traces in each grain. The blue dotted lines show the trace of the common twin plane on each side of the twin, and the orange arrow shows the growth direction deduced from the curvature of the migrating boundary. The yellow arrow indicates the presence of a sub-grain in the five-fold twin

The mechanisms proposed 1 for 44 formation of five-fold twins as reviewed in 45 2 [29] distinguish cyclic twinning operation 46 3 due to either a mistacking of atoms or 47 4 deformation twinning as an accommodation 48 5 process of plane strains in growing thin 49 6 films [29]. However the mechanism of 50 7 five-fold polycrystalline 51 growth in 8 nanosized metals has not been evidenced 52 9 experimentally. MD simulations of grain 53 10 growth in nanocrystalline copper at zero 54 11 external pressure, and high temperature 55 12 reveal that five-fold twins occur during 56 13 annealing [30]. Five-fold twins result from 57 14 local shear stresses at GBs and the proposed 58 15 mechanism is a sequential emission of 59 16 partial dislocations as reported in [31]. This 60 17 work demonstrates that very high stresses 61 18 are present and originate from the small 62 19 grain size in the absence of any applied 63 20 stress. 21 64

# 22 **3.3 Influence** of deformation on <sup>65</sup>

#### 23 annealing twins

66 67

The influence of deformation 24 on 68 annealing twin formation was investigated 69 25 after complete recrystallization at 900°C on 70 26 samples that underwent increasing levels of 71 27 deformation. The recrystallization was 72 28 finished after 4 min thus the recovery 73 29 process was considered to be negligible 74 30 [16]. 75 31

The densities of  $\Sigma$ 3 (including  $\Sigma$ 3coh76 32 and  $\Sigma$ 3incoh), and  $\Sigma$ 9 GBs were analyzed in 77 33 these completely recrystallized samples. For 78 34 each GB type, the density is defined as its 79 35 total length divided by the analysis surface 36 [16, 32]. The total lengths of  $\Sigma$ 3,  $\Sigma$ 3coh and <sup>80</sup> 37  $\Sigma 9$  obtained from OIM<sup>TM</sup> analysis are shown 81 38 in Fig. 6 as a function of deformation prior 82 39 40 to the annealing. The  $\Sigma$ 3coh density 83 increases with increasing deformation, 84 41 except for the sample B after stronger 85 42 deformation ( $\varepsilon$ =1.86). This exception is 86 43

interpreted by the very small average grain size after complete recrystallization (grain size = 2.2  $\mu$ m). The length of some  $\Sigma$ 3coh boundary is very short; it does not contain enough pixels by segment, and can be hardly recognized as  $\Sigma$ 3coh by OIM<sup>TM</sup> analysis [33]. However, with regard to the density of total  $\Sigma$ 3 GBs, it always increases with increasing deformation. All these results indicate that a higher stored energy before recrystallization favors the formation of twin boundaries.

Besides, the  $\Sigma$ 3incoh and  $\Sigma$ 9 GBs are an outcome from  $\Sigma$ 3coh formation. The density of  $\Sigma$ 3incoh GBs therefore increases with deformation. However, the  $\Sigma$ 9 GB density is almost identical whatever the deformation; as it is very low, a possible small evolution versus the deformation is difficult to detect.

The stored energy has also an influence on the velocity of GB migration during recrystallization. Therefore, the twin formation may be related to the velocity of GB migration. One can also find the densities of these GBs are always greater in sample B than in A. It is mentioned above that a local deformation is formed around the particles. During the subsequent annealing, this local deformation contributes to increase locally the GB migration velocity that favors the twin formation. Such an effect has already been found in another Ni-based alloy [32].

### 4 Discussion

#### 4.1 Active twinning system

In Fig. 5e, the blue lines in the grain A show the 4 {111} plane traces and it can be found that the coherent twin AA' matches one of these planes (dotted one) whose normal direction is close to the growth direction. This direction is deduced from the

curvature of the migrating boundary. So the 9 1 2 formation of coherent twins depends on the 10 growth direction as already proposed by 11 3 Gleiter [3] and this can explain the 12 4 formation of parallel twins in some 13 5 recrystallized grains like those shown in Fig. 14 6 2d. 7 15

grows simultaneously towards two different directions (respectively indicated by orange arrows in area a1 and a2). The coherent twins are perpendicular to the growth direction. This feature occurs in both materials whatever the involved mechanism (SIBM and PSN).

8 In Fig. 7, the new grain formed by PSN



**Fig. 6** Densities of  $\Sigma$ 3 (including  $\Sigma$ 3coh and  $\Sigma$ 3incoh),  $\Sigma$ 3coh,  $\Sigma$ 3incoh and  $\Sigma$ 9 GBs after different strain amount followed by the complete recrystallization, respectively for (a) sample A et (b) sample B



**Fig. 7** Maps of grain orientations obtained by TEM with the ACOM system (IQ+IPF, color code for an inverse pole figure [010]), for sample B after annealing at 700°C for 6 min. (a) a new grain close to a W particle display several twins. The area a2 is enlarged in (b). Red and white lines show  $\Sigma$ 3 GBs and High Angle GBs (HAGBs) respectively. The orange arrow shows the growth direction deduced from the curvature of the migrating boundary

# 1 4.2 Energy variation during twin formation <sup>26</sup>

The stored energy of the different grains 27
including twinned grains has been estimated 29
from the determination of local misorientations, 30
using the Kernel Average Misorientation (KAM)
calculation [34-35]. 22

32 The KAM map points out the local 7 33 misorientation (Fig. 8). The pixel of the ACOM 8 34 image is coming from a square grid, and the 9 3<sup>rd</sup> 35 kernel size is chosen considering the 10 36 neighboring pixels (or 30 nm). If the 11 37 misorientation of any 3<sup>rd</sup> neighboring pixel 12 38 with respect to the considered pixel is larger 13 39 than  $15^{\circ}$ , this pixel will be considered as 14 40 belonging to another crystal. Every pixel is 15 41 then color coded as function of its KAM value 16 42 and twins are indicated by white arrows. The 17 43 average KAM and standard deviation (StD) for 18 44 some grains and twinned parts of Fig. 8 are 19 45 shown in table 3. The misorientation values are 20 46 small, due to the small kernel size. For some 21 47 grains. a high StD value reflects 22 an 48 heterogeneous distribution of the deformation. 23 49 Most importantly, from table 3, these local 24 misorientations are lower in some twinned 25

parts (T1-T2, T6-T7) than in their parent grains (G1-G2, G12). Thus it is shown experimentally for the first time that the twinning process is associated to a further decrease in the stored energy during recrystallization. This can explain why the migration of old GBs happened when the recovery of the parent grains was not finished and the twins are formed during this migration.

In the following, the respective role of interfacial energy and stored energy on twinning is discussed.

Both growth accident [1], GB dissociation and nucleation of twins by stacking fault emission [4] theories involve a global decrease in the interfacial energy  $\Delta EG$ . This applies for special cases where the newly created GB has a low energy [4]. In the general case, the decrease in the deformation level (stored energy)  $\Delta ES$  should be also considered. Therefore, the variation of total energy  $\Delta E$ during twinning is described by Eq. 1, and the criterion of twinning formation is  $\Delta E < 0$ .

$$\Delta E = \Delta EG + \Delta ES \tag{1}$$



**Fig. 8** (a) KAM map of Fig. 5a, and (b) KAM map of Fig. 7a. All the pixels are color coded to show the local misorientation (black and red lines show respectively the HAGBs and  $\Sigma 3$  GBs). The region circled in orange in (b) is enlarged in (c) and simplified in (d)

Table 3 Average KAM values for some twin parts and grains presented in figures 8 (the value of T5

Twin	Average KAM (°)	StD (°)	Growing grain (Parent grain)	Average KAM (°)	StD (°)	Shrinking grain	Average KAM (°)	StD (°)
<b>T1</b>	0.7	0.4	G1	1.2	1.1	G2	1.8	1.5
<b>T2</b>	0.6	0.4	G2	1.8	1.5	G3	1.2	1.7
						G6	1.3	0.8
Т3	0.6	0.3	<b>G4</b>	0.8	0.5	<b>G7</b>	2.6	1.2
						<b>G8</b>	0.9	0.9
<b>T4</b>	0.6	0.3	<b>G5</b>	0.5	0.4	<b>G6</b>	1.3	0.8
Т5	/	/	G12	0.5	0.5	<b>G9</b>	2.9	2.1
<b>T6</b>	0.1	0.1	G12	0.5	0.5	G11	1.5	0.9
<b>T7</b>	0.2	0.2	G12	0.5	0.5	G10	1.3	0.6

is not calculated, because it corresponds to a very small area)

In the example shown in Fig. 5e, the GB 30 1 AB has migrated and a twin boundary AA' is 31 2 formed. The variation in interfacial energy due 32 3 to the twin formation is written as equation (2). 33 4 34

 $\Delta EG = (\gamma_{AA'} \times S_{AA'} + \gamma_{A'B} \times S_{A'B}) - \gamma_{AB} \times S_{AB}$ (2) 35 6 7 36

Where S is the GB surface and  $\gamma$  is the 37 8 interfacial energy. The grain boundary energy 38 9  $\gamma_{AA'}$  for coherent twin is almost zero. Moreover, 39 10 at the onset of twinning, the surface of newly 40 11 formed interface A'B is almost equal to that of 41 12 AB. Also GBs AB and A'B are high angle GBs 42 13 with 57° and 19° misorientation. Even though 43 14 the grain boundary energy depends on its 44 15 structure, it is always considered as high and 45 16 similar for all high angle GBs (misorientation 46 17 angle greater than  $15^{\circ}$ , including  $\Sigma 9$ ) except for 47 18 the  $\Sigma$ 3 and  $\Sigma$ 11 {113} symmetrical ones [5-6,48 19 21, 36-37]. Energy computation of a large set 49 20 of GBs confirm the low energy of these GBs 50 21 and those vicinal to these orientations [38].51 22 Twist <111> GBs and in a less extent twist 52 23 <100> GBs also display a low energy. Using an 53 24 approach that determines the geometrical 54 25 probabilities for finding GBs lying close to 55 26 orientations in a polycristalline 56 specific 27 aggregate [39], the proportion of GBs with a 57 28 misorientation axis deviated by 2° from <100>58 29

and <111> is 1.5%. If the GB plane is restrained and to {100} {111} planes respectively, the proportion of such twist GBs is negligible. For most other high angle GBs, the energy varies from 1 to  $1.4 \text{ J/m}^2$ .

Thus it can be assumed that the variation of grain boundary energy  $\Delta EG$  during twinning is almost negligible. Then  $\Delta E$  includes only the variation of stored energy before and after twinning,  $\Delta ES$  (Eqs. 3 and 4):

$$\Delta ES = [\Delta G_{SA} \times V_A + \Delta G_{SA'} \times V_{A'} + \Delta G_{SB} (V_B - V_{A'})] - (\Delta G_{SA} \times V_A + \Delta G_{SB} \times V_B)$$
(3)

Then:

$$\Delta ES = (\Delta G_{SA'} - \Delta G_{SB})V_{A'} \tag{4}$$

Where  $\Delta G$  is the stored energy per unit volume,  $V_A$  and  $V_B$  are the volumes of grains A and B before twinning, and  $V_{A'}$  is the volume of the twin part A'. As  $\Delta G_{SB}$  is larger than  $\Delta G_{SA'}$ ,  $\Delta ES$  is negative as expected. Moreover it is larger in absolute value than its value obtained in case of GB migration without twinning,  $\Delta ES = (\Delta G_{SA} - \Delta G_{SB})V_{A'}$ . Local misorientation variation is lower in the twinned part A' that presents KAM values lower than 1°, and thus is considered as a fully recrystallized area with null stored energy (T1 in Fig. 8a). The grains A and B with KAM values greater than 1° can be considered as zones with non-null stored 11

1 energy (G1 and G2 in Fig. 8a).

In the absence of recovery, which should 46 decrease rapidly the stored energy, the  $\Delta G_{SB}$  47 value for the deformed area depends on the 48 strain amount  $\varepsilon$  prior to heat treatment (Eq. 5). 49 *C* is a constant. 50

7

$$\Delta G_{SB} = -C \times \varepsilon \times V_{A'} \tag{5}51$$

45

8 As the twin area corresponds to a well 52 recrystallized area, the energy variation  $\Delta ES$  53 9 reduces to  $\Delta G_{SB}$ . In summary, a higher strain 54 10 before annealing leads to a higher decrease in 55 11 stored energy by twinning, and this favors twin 56 12 formation. This hypothesis is in agreement with 13 statistical results of Fig. 6. The influence of 14 stored energy or prior deformation on twin 15 formation is emphasized by the Fig. 9 that 16 shows the number of twin boundaries per grain 17 as a function of grain size, after complete 18 recrystallization of sample A [16]. Before the 19 recrystallization treatments, this sample was 20 deformed up to different strain levels. The 21 number of twin boundaries increases with the 22 grain size as expected. It is worth noting that 23 for a given grain size, a higher prior 57 24 deformation induces a higher number of twins 58 25 formed at the onset of recrystallization. 59 26 27 60

#### 28 **4.3** Mechanism of annealing twins

62 The mechanism of twining is still 29 63 controversial. Depending on the authors, it 30 64 could result from a growth accident or be 31 65 produced by emission of partial dislocations 32 66 from the migrating GBs. 33

annealing twins can be easily 67 The 34 developed by higher strain amount that favors 68 35 the higher velocity of GB migration during 69 36 annealing. Conversely, it was also found that 70 37 twin formation happened to restart the 71 38 restrained grain growth or GB migration, 72 39 during annealing at low temperature [13].73 40 Twinning is an accommodation mechanism that 74 41 favors the dislocation slip and GB migration to 75 42 induce stress relaxation. During migration in 76 43 the deformed matrix, GBs absorb lattice 77 44

dislocations that give rise to a non-equilibrium state. For higher migration rate. more dislocations will be absorbed, and their accommodation in the GB will be more difficult. These arguments, associated with the occurrence in the present partially recrystallized nickel alloys of microtwins with partial dislocations support the role of emission of partial dislocations from moving GBs. Moreover, this mechanism has been shown to occur in MD simulations [10].



Fig. 9 Number of twin boundaries (including  $\Sigma$ 3coh and  $\Sigma$ 3incoh ones) as a function of grain size after complete recrystallization of sample A. The three curves correspond to three different strain levels, 0.59, 1.06 and 1.86 undergone by the sample before annealing treatments

#### 5 Conclusions

61

It is concluded that twinning depends on the stored energy that is typically the driving force for nucleation and grain growth during the primary recrystallization. A higher stored energy gradient favors the twin formation because of the higher GB migration rate. Moreover, the presence of second phase allows the local deformation around the particles that increases locally the GB migration. This favors also the twin formation.

As a matter of fact, a decrease in stored

1 from local misorientation measurements in the 45 2 present experiments. Additionally, twinning 46 3 plane is related to the direction of GB47 4 migration or grain growth, whatever the 48 5 recrystallization mechanism (SIBM or PSN). 49 6 When one of the 4 <111> axes of a grain is 50 7 very close to its growth direction, a coherent 51 8 twin is formed with this axis as the common 52 9 axis. This condition explains the twin 53 10 orientation selection among the four possible 54 11 variants. 12 55

13

#### Acknowledgement 14

56

57

64

65

58 authors wish to thank Isabelle The 15 59 DROUELLE, Denis SOLAS Thierry and 16 60 AUGER for fruitful discussions, and 17 61 acknowledge the support of Aubert & Duval for 18 62 providing the material. 19 63

20

#### References 21

[1] Fullman RL and Fisher JC (1951)66 22 Formation of annealing twins during grain 67 23 growth, J Appl Phys 22: 1350-1355. 68 24

[2] Ashby MF and Harper E (1967) Harvard 69 25 Rept. Sept, Harvard University, Cambridge, 70 26 MA. 27 71

[3] Gleiter H (1969) The formation of 72 28 annealing twins, Acta Metall 17: 1421-1428. 29 73

[4] Meyers MA and Murr LE (1978) A model 74 30

- for the formation of annealing twins in fcc75 31
- metals and alloys, Acta Metall 26: 951-962. 76 32

[5] Sutton AP, Balluffi RW (1995) Interfaces in 77 33

Crystalline Materials. Clarendon Press, Oxford. 78 34

[6] Priester L (2013) Grain Boundaries: From 79 35

Theory to Engineering. Springer, Dordrecht. 80 36

[7] Mahajan S, Pande CS, Imam MA, Rath81 37

BB(1997) Formation of annealing twins in fcc 82 38 83

crystals, Acta Mater 45: 2633-2638. 39

[8] Rath BB, Imam MA, Pande CS (2000)84 40

Nucleation and growth of twin interfaces in fcc 85 41

metals and alloys, Mater. Phys. Mech 1: 61-66. 86 42

[9] Dash S, Brown N (1963) An investigation 87 measurements 43

energy in twinned parts is directly emphasized 44 of the origin and growth of annealing twins, Acta Metall 11: 1067-1075.

> [10] Farkas D, Bringa E, Caro A (2007) Annealing twins in nanocrystalline fcc metals: A molecular dynamics simulation, Phys. Rev. B 75: 184111 (1-5).

> [11] Huang P, Dai GQ, Wang F, Xu KW, Li YH (2009)Fivefold annealing twin in nanocrystalline Cu, Appl. Phys. Lett 95: 203101 (1-3).

> [12] Pande CS, Imam MA, Rath BB (1990) Study of annealing twins in fcc metals and alloys, Metal. Trans A 21: 2891-2896.

> [13] Field DP, Bradford LT, Nowell MM, Lillo TM (2007) The role of annealing twins during recrystallization of Cu, Acta Mater 55: 4233-4241.

> [14] Bair JL, Hatch SL, Field DP (2014) Formation of annealing twin boundaries in nickel, Scripta Mater 81: 52-55.

> [15] Jones AR (1981) Annealing twinning and the nucleation of recrystallization at grain boundaries, J. Mater. Sci 16: 1374-1380.

[16] Wang W, Brisset F, Helbert AL, Solas D, Drouelle I, Mathon MH, Baudin T (2014) Influence of stored energy on twin formation during primary recrystallization, Mat. Sci. Eng. A 589: 112-118.

[17] Jin Y, Bernacki M, Rohrer GS, Rollett AD, Lin B, Bozzolo N (2013) Formation of annealing twins during recrystallization and grain growth in 304L austenitic stainless steel, Mater. Sci. Forum 753: 113-116.

[18] Jin Y, Lin B, Bernacki M, Rohrer GS, Rollett AD, Bozzolo N (2014) Annealing twin development during recrystallization and grain growth in pure nickel, Mat. Sci. Eng. A 597: 295-303.

[19] Zhao H, Weatherly GC (1990) The formation of multi-domain precipitates in a Ni-W alloy, Acta Metall. Mater 38: 2253-2260.

[20] Rauch EF, Veron M (2005) Coupled microstructural observations and local texture with automated an

crystallographic orientation mapping 1 attached to a TEM, Materialwiss. Werkstofftech 46 [32] Bozzolo N, Souaï N, Logé RE (2012) 2 36: 552-556. 3 47 M (2004)<sub>48</sub> 4 [21] Humphreys FJ, Hatherly Recrystallization annealing and related 5 phenomena 2nd edn. Elsevier, Oxford. 6 49 [22] Beck PA, Sperry PR (1950) Strain induced 50 7 grain boundary migration in purity aluminum, J51 8 Appl. Phys 21: 150-152. 9 52 [23] Penelle R, Baudin T (2010) Primary 53 10 recrystallization of Invar, Fe-36%Ni alloy: 54 11 origin and development of the cubic texture, 55 12 Adv. Eng. Mater 12: 1047-1052. 56 13 [24] Cahn JW, Mishin Y (2009)5714 Recrystallization initiated by low-temperature 58 15 grain boundary motion coupled to stress, Int. J.59 16 Mat. Res 100: 510-515. 17 60 [25] Randle V (2004) Twinning-related grain 61 18 boundary engineering, Acta Mater 52:62 19 4067-4081. 20 63 [26] Melmed AJ, Hayward DO (1959) On the 64 21 occurrence of fivefold rotational symmetry in 65 22 metal whiskers, J Chem Phys 31: 545-546. 66 23 [27] Marks LD (1994) Experimental studies of 67 24 small particle structures, Rep. Prog. Phys 57:68 25 603-649. 26 69 [28] An XH, Lin QY, Wu SD, Zhang ZF, 70 27 Figueiredo RB, Gao N, Langdon TG (2011)71 28 Formation of fivefold deformation twins in an72 29 ultrafine-grained copper alloy processed by 73 30 high-pressure torsion, Scripta Mater 64:74 31 249-252. 75 32 [29] Hofmeister H (2004) Fivefold twined 76 33 nanoparticles. In: Nalwa HS (eds) 77 34 Encyclopedia of Nanoscience and 78 35 Nanotechnology 3th volume. American 79 36 Scientific Publishers, California, pp.431-452. 80 37 [30] Bringa EM, Farkas D, Caro A, Wang YM, 81 38 McNaney J, Smith R (2008) Fivefold twin 82 39 formation during annealing of nanocrystalline 83 40 41 Cu, Scripta Mater 59: 1267-1270. 84 [31] Zhu YT, Liao XZ, Valiev RZ (2005)85 42 Formation mechanism of fivefold deformation 43 twins in nanocrystalline face-centred-cubic 44

tool 45 metals, Appl. Phys. Lett 86: 103112 (1-3).

Evolution of microstructure and twin density

during thermomechanical processing in a  $\gamma$ - $\gamma$ '

nickel-based superalloy, Acta Mater 60: 5056-5066.

[33] Wright SI, Larsen RJ (2002) Extracting twins from orientation imaging microscopy scan data, J Microsc 205: 245-252.

[34] Brewer LN, Field DP, Merriman CC (2009) Mapping and assessing plastic deformation using EBSD. In: Schwartz AJ, Kumar M, Field Adams BL, DP (eds) Electron Backscatter Diffraction in Materials Science Springer, 2nd edn. New York. 2009. pp.251-262.

[35] Calcagnotto M, Ponge D, Demir E, Raabe (2010)Orientation D gradients and geometrically necessary dislocations in ultrafine grained dual-phase steels studied by 2D and 3D EBSD, Mat. Sci. Eng. A 527: 2738-2746.

[36] Volovitch P, Baudin T, Penelle R, Caleyo F, Barrallier L (2007) Role of recovery in the recrystallization simulation application to a cold rolled IF-Ti steel and a cold drawn copper wire, Mater. Sci. Forum 550: 453-458.

[37] Duparc OH, Couzinié JP, Thibault-Pénisson J. Lartigue-Korinek S. Décamps B, Priester L (2007) Atomic structures of symmetraical and asymmetrical facets in a near  $\Sigma = 9\{221\}$  tilt grain boundary in copper, Acta Mater 55: 1791-1800.

[38] Olmsted DL, Foiles SM, Holm EA (2009) Survey of computed grain boundary properties in face-centered cubic metals: I. Grain boundary energy, Acta Mater 57: 3694-3703.

[39] Warrington DH, Boon M (1975) Ordered structures in random grain boundaries; some geometrical probabilities, Acta Metall 23: 599-607.