Non-isothermal soil-structure interface model based on critical state theory

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Abstract In energy geostructures, the soil-structure interface is subjected to thermo-mechanical loads. In this study, a non-isothermal soil-structure interface model based on critical state theory is developed from a granular soil-structure interface constitutive model under isothermal conditions. The model is capable of capturing the effect of temperature on sand/clay-structure interfaces under constant normal load and constant normal stiffness conditions. First, the developed model was verified for sand-structure interface in isothermal conditions. Then, it was calibrated for clay-structure interface under non-isothermal conditions. On one hand, a well-defined peak shear stress for the clay-structure interface and, on the other hand, the effect of temperature on the void ratio of the clay-structure interface were captured and reproduced by the model. The importance of interface thickness determination and some differences between the interface thicknesses of clay-structure and sand-structure interfaces are discussed in detail. The additional parameters have physical meanings and can be determined from laboratory tests. The modeling predictions are in good agreement with experimental results, and the main trends are properly reproduced.

Keywords energy geostructures · non-isothermal model · constant normal stiffness (CNS) · soil-structure interface · temperature · critical state theory.

1 Introduction

The increasing demand for energy in recent years has lead to utilization of new technologies to exploit renewable energies. Among these developed techniques, thermally active energy geostructures and thermal energy storage systems can be mentioned (Brandl (2006), Adam and Markiewicz (2009), De Moel et al. (2010), Lahoori et al. (2020), Loveridge et al. (2020)). Conventional geostructures, such as piles and diaphragm walls, are converted to energy geostructures by attaching heat exchanger tubes to their reinforcement cages. Thermally active energy geostructures make heat exchange with the surrounding soil

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possible by circulating a heat-carrying fluid in the exchanger loops. The thermo-mechanical solicitations, impact different parts of the system such as concrete body, soil-structure interface and surrounding soil. The soil-structure interface zone consists of a thin layer of soil adjacent to the structure in which normal and tangential stresses are acting on it. In energy geostructures, the interface zone will be exposed to thermo-mechanical loads. Several studies have shown a significant change in mobilized shaft friction with temperature variations in full scale energy foundations at soil-structure interface zone (Laloui et al. (2006), Bourne-Webb et al. (2009), Murphy et al. (2015), Faizal et al. (2018)). Therefore, the design and maintenance of energy geostructures requires additional precautions to take into account the effect of temperature variations on mechanical behavior of soil-structure interface. Due to the lack of interface thermo-mechanical constitutive models, it is noteworthy to propose a constitutive model for sandy and clayey interfaces in non-isothermal conditions.

There are several constitutive models in the literature proposed for thermo-mechanical behavior of soils (Hueckel and Borsetto (1990); Graham et al. (2001); Hueckel et al. (2009); Laloui and François (2009); Tang and Cui (2009); Hamidi and Khazaei (2010); Mašín and Khalili (2012); Yao and Zhou (2013)) but less attention has been paid to thermo-mechanical interface models. Moreover, most of the interface constitutive models proposed in the literature were developed for granular interfaces in isothermal conditions (Shahrour and Rezaie (1997), Ghionna and Mortara (2002), Fakharian and Evgin (2000), De Gennaro and Frank (2002), Mortara et al. (2002), Liu et al. (2006), Lashkari (2013)). Suryatriyastuti et al. (2014) proposed a t-z cyclic function to take into account the effect of thermal cycles on soil-pile interactions for cohesionless soils. However, for fine grained soil-structure interfaces, based on the knowledge of authors very few studies can be found in the literature (Stutz and Mašín (2017)). Regarding thermo-mechanical constitutive models for interface, the first model was proposed by Stutz, Mašín, Wutke and Prädel (2016) which is based on thermo-mechanical hypoplastic model from Mašín and Khalili (2011) and Mašín and Khalili (2012). Concerning elasto-plastic models based on critical state concept which takes into account the effect of temperature (on void ratio) to the best knowledge of authors, no constitutive model can be found.

In this context, the aim of this study is to define an approach capable of capturing the major fundamental features of soil-structure interface regarding the effect of temperature. To this end, the paper will focus on two aspects:

- How can the effect of temperature on the mechanical properties of soil-structure interface be taken into account in the model?
- How can the model be capable to simulate both sand and clay-structure interface behavior under constant normal load (CNL) and constant normal stiffness (CNS) conditions?

This paper is divided into three sections. The first one gives a review of the main characteristics of the thermo-mechanical behavior of soil and the soil-structure interface that must be reproduced by the new model. The second part introduces the theoretical framework chosen for the interface behavior and the new formulation developed to capture the effect of temperature on the interface and finally, the model performance is examined.

2 Thermo-mechanical behavior of soil and the soil-structure interface

The key features of thermo-mechanical behavior of soil are first presented. Then, the experimental results concerning the effect of temperature on mechanical properties of soil-structure interface are discussed to highlight important features and aspects that should be taken into account by the interface constitutive model in non-isothermal conditions.

2.1 Features of thermo-mechanical behavior of soils

Effect of temperature on shear characteristics of soils, remains a controversial subject and needs developed answers due to diverse results obtained by different studies (Campanella and Mitchell (1968); Houston and Lin (1987); Hueckel and Baldi (1990); Hueckel et al. (1998); Kuntiwattanakul et al. (1995); Cekerevac and Laloui (2004); Abuel-Naga et al. (2006); Yavari (2014); Liu et al. (2018)). These studies have indicated that, thermal and stress history, material intrinsic characteristics and drainage conditions (for heating and shearing) are the most important factors influencing shear characteristics of soils at non-isothermal conditions.

Hueckel et al. (1998) showed in high OCR clays, the soil during shear at higher temperatures reaches the yield limit at lower shear stresses and under drained heating the shear strength tends to reduce. The authors have explained this observation by ductile behavior of the soil upon heating. On the other hand Abuel-Naga et al. (2007) have found an increase in shear strength for overconsolidated clay at higher temperatures while Cekerevac and Laloui (2004) have reported that shear strength for highly overconsolidated kaolin at higher temperature remains unchanged. Generally in overconsolidated clays, temperature increase induces a reversible thermal expansion which consequently affects the shearing behavior. This temperature increase, decreases the preconsolidation pressure and consequently elastic domain shrinks.

Kuntiwattanakul et al. (1995) performed consolidated undrained triaxial tests on clays with drained heating. The authors have observed undrained shear strength increased from 20 to 90 °C while Murayama (1969); Sherif and Burrous (1969) and Laguros (1969) claimed that undrained heating caused a reduction in undrained shear strength of different clayey samples during unconfined compression tests.

For normally consolidated clays, the deformation upon heating is contractive and irreversible, and thus, the shear strength of the soil increases with temperature. In this state, the soil becomes denser with temperature increase under constant isotropic stress. Cekerevac and Laloui (2004) and Abuel-Naga et al. (2007) have found an increase of shear strength with drained heating on kaolin clay and soft Bangkok clay respectively. In NC clays, the contraction upon heating mainly is the void ratio decrease, which is commonly called the thermal overconsolidation effect. As can be observed controversial results are obtained concerning the shear strength of clays at different temperatures, because of this confusing results it can be concluded that the shear characteristics of clay at higher temperature is material specific (Hueckel et al. (2009)).

2.2 Features of the thermo-mechanical behavior of the soil-structure interface

Several experimental studies have been performed on the effect of temperature on mechanical behavior of soil-structure interface in direct shear tests regarding the monotonic behavior (Di Donna et al. (2015); Yavari et al. (2016); Li et al. (2018); Maghsoodi (2020); Maghsoodi et al. (2019); Maghsoodi et al. (2020b); Yazdani et al. (2019)); cyclic response (Maghsoodi et al. (2020a), Maghsoodi et al. (2020c)) and centrifuge models (McCartney and Rosenberg (2011)). One of the first studies was conducted by Di Donna et al. (2015) in which, quartz sand-concrete interface at 20 and 60 °C and illite clay-concrete interface at 20 and 50 °C were tested. The dense sand interface showed a thermally elastic behavior. On the contrary the illite clay interface exhibited an increase in shear strength upon heating. The shear strength increase was translated by an augmentation in adhesion of the interface from 7 to 20 kPa with heating from 20 to 50 °C. Yavari et al. (2016) conducted interface tests between concrete structure and Fontainebleau sand and kaolin clay at 5, 20 and 40 °C. They observed that the friction angle and adhesion showed a negligible variation to heating/cooling. They explained, this observation was due to the thermo-mechanical path applied in experiments. All of the samples were consolidated up to 100 kPa and heated up to 40 °C before imposing the desired temperature or normal stress. Yazdani et al. 2019 have performed a series of direct shear tests using a temperature controlled direct shear apparatus to evaluate the effect of heating/cooling

cycles on kaolin clay-concrete interface strength. The temperature cycles were between 24 and 34 °C to simulate the real thermal conditions of an energy pile. They found that due to temperature increase, the peak friction angle of NC clay-concrete interface increased, while the interface adhesion decreased. Thermally induced hardening of NC clay-concrete interface was found to be minor at a low normal stress (150 kPa), while it was significant at higher normal stresses (225 and 300 kPa).

Among these studies, the experimental results of [Maghsoodi et al. \(2020b\)](#) are presented in the following. Fig. 1 shows the constant normal load (CNL) and constant normal stiffness (CNS) test results of a normally consolidated kaolin clay-structure interface for two different normal stresses, 100 and 300 kPa, at 22 and 60 °C. The shear stress-displacement behavior of the normally consolidated clay-structure interface exhibited a clear peak under CNL and CNS conditions. For both CNL and CNS tests, the peak shear stress of the kaolin clay-structure interface increased with heating from 22 to 60 °C. However, at the critical state (large displacements), the effect of temperature was negligible (Fig. 1(a) and (b)). The shear stress increase upon heating in CNS tests was less than CNL results. The thermal overconsolidation effect during heating reduced the contraction of the interface during shear. Fig. 1(c) and (d) show the volumetric behavior in both CNL and CNS tests. Due to the increase in stiffness, the volumetric contraction in the CNS tests was less than that in the CNL tests. Fig. 1(e) and (f) show the evolution of the normal stress during shear. For the CNL tests, the normal stress remained unchanged. However, in the CNS tests, to keep the ratio $d\sigma/dU = K$ constant, the normal stress decreased during shearing.

In the above-mentioned studies, the authors found that the shear strength of the clay-structure interface increases with temperature. However, the shear strength of the sand-structure interface remains unchanged. They concluded that the shear stress increase in the clay-structure interface could be due to thermally induced overconsolidation of normally consolidated clay. To support this statement, data from [Maghsoodi et al. \(2020b\)](#) are provided. Fig. 2(a) shows the thermal vertical strain for a kaolin clay-structure interface under 300 kPa during the heating phase and after consolidation. Heating from 22 to 60 °C caused a thermal vertical strain of 0.85% for the clay-structure interface. Fig. 2(b) shows the evolution of the void ratio during shear for clay-structure interface tests performed under 100 kPa at 22 and 60 °C. The initial void ratio for the heated sample was reduced, but at the critical state, both void ratios were superposed, which can explain the identical shear behavior of the interface at large displacements (Fig. 1(a) and (b)).

2.3 Summary

The model should be able to capture the effect of temperature on the soil-structure interface and should require a limited number of parameters to capture the maximum features of the soil-structure interface. Despite the dependency of other shearing factors of interface on temperature this study focuses on the effect of temperature on void ratio. Important features that should be captured by the model are as follows:

- The void ratio reduction upon heating for normally consolidated clay-structure interface.
- The stress-strain behavior of normally consolidated clay-structure interfaces under CNL and CNS conditions.
- The volumetric behavior of clay-structure interfaces at different temperatures during shear under both CNL and CNS conditions.
- The evolution of the normal stress during shear corresponding to CNL and CNS results.

3 Development of a constitutive model for soil-structure interface

3.1 Isothermal soil-structure interface constitutive model

The aim of this work is to develop an interface model capable of reproducing several features of the soil-structure interface mechanical behavior in non-isothermal conditions. To do so, a model principally based on void ratio evolution can be used to take into account the effect of temperature on the initial void ratio of the interface. Generally, implementing additional variables (e.g., temperature) in a constitutive model requires the incorporation of more parameters. However, increasing the number of parameters causes more complexity. Therefore, models with a minimum number of parameters that have physical meaning obtained from classical laboratory tests on one hand and are able to capture the maximum number of features on the other hand are required. The model should be flexible for both CNL and CNS conditions and should be feasible and adoptable for implementation. Several constitutive models have been proposed for soil-structure interface behavior (Desai et al. (1985), Shahrour and Rezaie (1997), Ghionna and Mortara (2002), Fakharian and Evgin (2000), De Gennaro and Frank (2002), Mortara et al. (2002), Boulon et al. (2003), Liu et al. (2006), D'Aguir et al. (2011), Lashkari (2013), Saberi et al. (2016), Saberi et al. (2017), Stutz, Mařín and Wuttke (2016)), and some of them are based on critical state theory (Liu et al. (2006), Lashkari (2017)).

Among the pre-existing models for soil-structure interface, available in the literature, the critical state interface model proposed by Lashkari (2017) is based on the void ratio evolution during shear. The model is relatively straightforward in its application and has parameters that have physical meanings. Therefore, this model fits well within the mentioned requirements and is thus selected. The model is adopted as the base for developing a new constitutive model for non-isothermal conditions.

3.1.1 Modeling formulation

The concept of the critical state is based on the theory that at large shear deformations, soil continues to shear without any changes in volumetric and stress conditions. The void ratio at this large shear deformation is the critical state void ratio (e_{cs}). The critical state void ratio tends to decrease with increasing the normal stress. Therefore, the shear and volumetric behavior of the soil/soil-structure interface depends on the difference between current and critical state. This difference is defined as the state parameter (Liu et al. (2006)).

The constitutive formulations used in Lashkari (2017) is given in table 1. Lashkari (2017) proposed the following function for the evolution of the interface void ratio with shear strain:

$$e = e(e_{in}, e_{cs}, \epsilon) = e_{cs}[1 - \exp(-\xi\epsilon)] + e_{in}\exp(-\xi\epsilon) - \frac{k_1}{1 + K/k_2}(\epsilon)\exp(-\xi\epsilon), \quad (1)$$

The current void ratio (e) is a function of initial (e_{in}), critical state void ratio (e_{cs}) and shear strain (ϵ). The deformation (ϵ) is defined as the shear displacement divided by the interface thickness ($\Delta w/t$). The parameter ξ controls the rate of void ratio evolution with shear strain (ϵ). K is the normal stiffness acting on the interface. The parameters k_1 and k_2 are fitting parameters. Fig. 3(a) shows Eq. 1 for different initial void ratios (0.7-1.2). For dense samples, $e_{in} < e_{cs}$, and for loose samples, $e_{in} > e_{cs}$. The dense sample with $e_{in} = 0.70$ exhibits an initial contraction upon shearing followed by dilation after phase transformation. In the sample with $e_{in} = 0.8$, a larger initial contraction phase is obtained, followed by dilation. Finally, the soils with $e_{in} = 0.9, 1.0, 1.1$, and 1.2 exhibit contraction until the shearing ceases. As can be observed, independent of initial void ratio, the curves converge towards a single state which is the critical state void ratio. The capability of Eq. 1 to properly describe the void ratio evolution has been checked by using data from Pra-ai and Boulon (2017) for Fontainebleau sand-steel interface shear tests (Fig. 3(b)). For loose and dense samples, the relative density (ID) was 30% and 90%, respectively. The initial void ratios of loose and dense samples were $e_{in} = 0.760$ and 0.577 , respectively. As observed

for Eq. 1, the loose sample contracted until the end of shearing. In contrast, the dense sample exhibited a slight contraction followed by a dilation. Both loose and dense sand void ratios reached asymptotic values at larger shear displacements. The evolution of the void ratio was experimentally observed and thus the equation was validated for sand-structure interface tests.

Another important aspect of the reference interface model is the ability to reproduce the interface behavior under constant normal stiffness (CNS) conditions. The normal stress variation in CNS conditions depends on the volumetric behavior of the interface.

In CNS conditions, the free contractive or dilative volumetric evolution of the interface upon shearing is prevented by the surrounding soil stiffness. The dilative response is counteracted by an increase of normal stress. On the contrary the contractive response is accompanied by a reduction of normal stress. Therefore, interface and surrounding soil interactions can be expressed as:

$$\delta\sigma_n' = -K\delta U, \quad (2)$$

where $\delta\sigma_n$ (kPa) is the normal stress difference on the interface, K (kPa/mm) is the surrounding soil stiffness and δU (mm) is the difference in normal displacement of the interface. Considering the thickness of the interface (t), the void ratio evolution of the interface upon the normal stress changes can be obtained by the following equation:

$$\delta\sigma_n' = -K\delta U = \frac{-Kt\delta e}{1+e}, \quad (3)$$

where t is the interface thickness. Several studies have proposed that in a granular interface, the thickness of the interface is approximately 5 to 10 times the D_{50} (mm) of the soil (Boulon and Foray (1986), Fakharian and Evgin (1997), DeJong et al. (2003)). Pra-ai and Boulon (2017) has reported that the soil-structure interface zone cannot be clearly distinguished from the surrounding soil. The shearing behavior of the interface is different from that of the surrounding soil. Boulon (1989) proposed a new interpretation of the interface. They suggested that in interface direct shear tests, the sample consists of two parts: the active part, which is in contact with the structural element, and the passive part, which is mainly subjected to normal stress. The active part is influenced by the interface thickness.

As it was discussed the model is based on critical state concept which is mainly governed by the critical state void ratio (e_{cs}). Therefore, most of the interface responses concerning the void ratio evolution, shear stress variations and normal stress changes are influenced by the critical state void ratio (e_{cs}). This parameter can be determined using the critical state line (CSL) in the e - $\ln\sigma$ plane as follows:

$$e_{cs} = \Gamma - \lambda \ln(\sigma_n'/p_{ref}), \quad (4)$$

where Γ and λ are interface parameters, and $p_{ref} = 100$ kPa is reference pressure.

The difference between initial and critical void ratio is defined as the state parameter (Been and Jefferies (1985), DeJong et al. (2003), Liu et al. (2006)). The interface state parameter ψ can be defined as:

$$\psi = e - e_{cs} \quad (5)$$

The dense interfaces have some particularities: (i) reveal a clear peak in shear stress-displacement response; (ii) contract at the beginning, afterwards phase transformation occurs then followed by a dilation. The dilation is caused by the void ratio increase from the initial value to the critical one ($\psi < 0$). For the loose interfaces: (i) no peak is observed in shear stress-displacement response; (ii) contracts throughout the shearing and the void ratio is descending ($\psi > 0$).

To predict the shear stress of the interface Lashkari (2017) proposed the following equation:

$$\tau = \frac{\epsilon}{\frac{1}{\mu} + \frac{\epsilon}{M\sigma_n[1+N\langle-\psi\rangle]}}, \quad (6)$$

At critical state in $\tau - \sigma_n$ plane, the slope of the critical state line is equal to M . N is an interface parameter that impacts the peak shear stress of the interface, and μ is the interface elastic shear modulus.

3.2 Extension of the constitutive model to non-isothermal conditions

For normally consolidated clays, heating induces a contraction of the soil. The model should be able to evaluate the initial void ratio of the clay after heating. Therefore, a relationship to account for the reduction of the void ratio upon heating was proposed and implemented in the model (Eq. 1). The slope of the void ratio reduction with temperature (α) has been evaluated for normally consolidated clay-structure interfaces (Fig. 4). The following equation can be used to determine the initial void ratio at any temperature:

$$e_{in(T)} = e_{in} - \alpha(T - T_0), \quad (7)$$

where $e_{in(T)}$ is the initial void ratio at temperature T . The parameter α is a material-dependent parameter that is influenced by the physical, thermal and mineralogical characteristics of the soil. Using this parameter, when the initial void ratio is known, by implementing Eq. 10 in Eq. 1, the evolution of the void ratio during shear at any temperature is:

$$e = e_{cs}[1 - \exp(-\xi W)] + (e_{in} - \alpha \Delta T) \exp(-\xi W) - \frac{k_1}{1 + K/k_2} W \exp(-\xi W). \quad (8)$$

The reference model can reproduce the behavior of loose interfaces as well. For loose interfaces, the volumetric behavior is contractive, and no peak shear stress is expected. For the clay-structure interface tests at different temperatures, several authors reported a clear peak in the shear stress-displacement curve despite the normally consolidated states of the clay (Yavari et al. (2016); Maghsoodi et al. (2020b) and Yazdani et al. (2019)). The developed model should have the capability to reproduce this feature. Therefore, the shear stress-strain equation was modified as follows:

$$\tau = \frac{W}{\frac{1}{k_t} + \frac{W}{((\tan \delta + \frac{c}{\sigma_0^\beta})(\sigma_n^\beta))[1 + N(-\psi)] \cdot \exp(-(W/N)^{k_2}) \xi + \beta}}. \quad (9)$$

In this equation, due to the strong dependence of the clay-structure interface behavior on the adhesion of the interface (cohesion between the soil and structure), instead of using M as a frictional parameter, the adhesion (cohesion between the soil and structure) (C) and friction angle (δ) have been introduced into the model. In the model of Lashkari (2017), the shear stress-strain equation, the parameters and their values have been used for the sand-structure interface. For this type of interface, the ratio of τ/σ_n is relatively high compared to the clay-structure interface response. Therefore, an additional parameter named β has been introduced to control the effect of normal stress. The additional term on the right-hand side of the equation allows a clear peak to appear in the shear stress-strain curve despite the normally consolidated state of the clay-structure interface.

The initial slope of the elastic part can be presented as follows:

$$kt = kt_0 \left(\frac{\sigma_n'}{p_{ref}} \right)^n, \quad (10)$$

where kt_0 (kPa/mm) is the initial slope of the elastic part of the stress-displacement curve, σ_n' (kPa) is the current normal stress, p_{ref} is a reference pressure (100 kPa) and n (-) is the nonlinear exponent.

3.2.1 Parameter definitions

The non-isothermal model has 12 parameters (Table 2). In the τ vs. W plane, k_{t0} is the initial slope of the elastic part of the stress-displacement curve (Fig. 5(a)). In the Mohr-Coulomb plane, C and δ are the intercept and slope of the failure line (Fig. 5(b)). Γ and λ are the intercept and slope of the CSL in the e vs. $\ln(\sigma'_n/P_{ref})$ plane, respectively (Fig. 5(c)). In contractive regime (loose sand, normally consolidated clay), the volumetric curve decreases towards the critical state. The point at which the volumetric curve reaches the critical state phase corresponds to W_1 . This is then used to determine the ξ parameter. In dilative regime (dense sand, overconsolidated clay), the volumetric curve exhibits a phase transformation and an inflection point (Fig. 5(d)). The phase transformation point is the first derivative of $de/dW = 0$, and the inflection point is the second derivative of $d^2e/dW^2 = 0$. The shear strains corresponding to these points, W_2 and W_3 , play a major role in determining the ξ parameter. ξ can be evaluated for contractive and dilative interfaces using the following equations, respectively:

$$\xi = \frac{1}{W_1}, \quad (11)$$

$$\xi = \frac{1}{W_2 - W_3}. \quad (12)$$

ξ controls the rate of the void ratio evolution during shearing. N impacts the peak shear stress and the strain-softening after it. It can be obtained by calibration against experimental results. In dense regimes the initial contraction amplitude is influenced by k_1 (mm^{-1}). k_2 (kPa/mm) modifies the form of the shear stress curve. By model calibration against experimental data and trial and error iterations, both k_1 and k_2 can be determined. In the lack of experimental data for sand-structure interface tests, $0.6 \leq k_1 \leq 0.8$ K (from CNL to CNS) and $0.1 \leq k_2 \leq 0.6$ (from CNL to CNS) are appropriate estimations.

3.2.2 Parametric study

A parametric study was performed to evaluate the relative weight of each parameter (Fig. 6). The parametric study conditions include a normal stress of 300 kPa, $\Gamma = 0.967$ and an initial void ratio of $e_{in} = 0.85$ at $T = 22^\circ\text{C}$, which correspond to a normally consolidated kaolin clay-structure interface. Increasing k_t from 170 to 680 kPa increases the elastic slope of the shear stress-displacement curve, the peak shear stress and, very slightly, the residual shear stress (Fig. 6(a)). Increasing N from 1.85 to 4.85 changes the shape of the stress-displacement curve. With increasing N , the peak shear stress increases and simultaneously moves towards larger shear displacements. The impact of Γ and λ variations on the model performance are presented in Fig. 6(c) and (d). An augmentation in Γ for normally consolidated interfaces, decreases the distance between initial and critical void ratio ($\psi = e_{in} - e_{cs}$) and therefore the contraction reduces (Fig. 6(c)). However, in contrast, an increase in λ amplifies the contraction during shear. Increasing ξ from 0.5 to 0.9 increases the rate of volumetric contraction towards the critical state condition (Fig. 6(e)). The model response under variation of ξ in Fig. 6(f) indicates that an increase in ξ increases the peak shear strength without influencing the residual strength. Variation of k_2 from 1.9 to 3.9 increases the peak shear stress, and strain softening is exhibited after the peak of the shear stress-displacement curve (Fig. 6(g)). An increase in β raises the shear stress-displacement curves obtained under the same normal stress. For example, under $\sigma_n = 300$ kPa, $\Gamma = 0.967$ and an initial void ratio of $e_{in} = 0.85$, an increase in β from 0.85 to 0.98 increases both the peak and residual shear strengths of the interface.

4 Model performance

In the following section, the model performance with respect to sand/clay-structure interface tests under CNL and CNS conditions at different temperatures is examined using experimental data from the literature. The characteristics of the corresponding soils are provided in Table 3 and Table 4.

4.1 Sand-structure interface in isothermal conditions

[Maghsoodi et al. \(2020b\)](#) performed Fontainebleau sand-steel interface direct shear tests at different temperatures (22 and 60 °C). The sand was prepared with a relative density of 90% ($e_{in}=0.557$). The model performance was first tested against the sand-structure interface experimental results with the knowledge that the effect of temperature on the mechanical properties of sandy interfaces was negligible. The shear stress-displacement curve of the sand-structure interface exhibited a clear peak due to the dense state of the sand. Using the values in Table 2, the modeling results are in good compliance with the experimental results of CNL sand-structure interface tests (Fig. 7). The friction angle was equal to 40°, the value of Γ was found to be 0.835, and a λ of 0.040 was obtained. Based on experimental observations, $W_1 = 0.37$ and $W_2 = 1$, and therefore, ξ was found to be 1.58. Due to trial and error, N was found to be 2.2, K was 0, k_1 was found to be 0.5, and k_2 was 0.5. The thickness of the interface, t , was 1.15 mm ($5 \times D_{50}(0.23mm)$), and the β parameter was 0.94 for this kind of soil. To find N , it is sufficient to find the displacements corresponding to the peak shear stress, and the strain-softening phase (near the critical state part). Then, the difference between these values corresponds to N .

Fig. 8 shows the modeling results against CNS Fontainebleau sand-steel interface tests obtained by [Maghsoodi et al. \(2020b\)](#). In these tests, the initial normal stress was 100 kPa and the normal stiffness was 1000 and 5000 kPa/mm. The model parameters were derived from CNL results with some modifications. The value of Γ is different in CNS tests due to the effect of normal stiffness. Increasing stiffness will decrease the dilatancy and consequently the critical void ratio decreases compare to CNL case. The Γ was found to be 0.67 under $K=5000$ kPa/mm. The other different parameter is k_1 which is a fitting parameters. k_1 was found to be 3000. Fig. 9 shows modeling results against the second series of the CNS sand-structure interface tests with a constant normal stiffness (1000 kPa/mm) and different initial normal stresses (100, 200 and 300 kPa).

Fig. 10 shows CNL and CNS quartz sand-concrete structure interface tests by [Di Donna et al. \(2015\)](#). In CNL tests, three normal stress of 50, 100 and 150 kPa were applied. For CNS tests, two tests with initial normal stress of 50 and 100 kPa under $K=1000$ kPa/mm were conducted. Using the values in Table 2, the modeling results are in reasonable agreement with the experimental results for CNS tests.

[De Gennaro and Frank \(2002\)](#) performed interface direct shear tests on a loose Fontainebleau sand-rough steel plate. The physical characteristics of the sand are presented in Table 3. Loose sand samples were reconstituted by pouring dry sand into a square shear box of size 60 mm x 60 mm. Following this procedure, the relative density ID equal to 0.46 ($e_{in} = 0.753$) was obtained. Three different normal stresses (25, 50 and 100 kPa) were applied. For tests at 25 and 50 kPa, the interface dilated. However, for the test at 100 kPa, the volumetric behavior was contractive. Based on the results reported by [De Gennaro and Frank \(2002\)](#), the friction angle was 40°, and the cohesion was 0. The Γ was equal to 0.815 and $\lambda = 0.040$ was found. Similarly to the Fontainebleau results reported by [Maghsoodi et al. \(2020b\)](#), $W_1 = 0.37$ and $W_2 = 1$ were obtained therefore, ξ was 1.58. Similar, N was found to be 2.2, K was 0, k_1 was equal to 0.21 and k_2 was 0.11. The thickness of the interface, t , was 1.15 mm, and the β parameter was 0.91. Using the values in Table 2, the modeling results satisfactorily duplicated the experimental results for CNL tests (Fig. 11).

[Fakharian and Evgin \(2000\)](#) performed constant normal load and constant normal stiffness simple shear interface tests between samples of silica sand and steel plates with different roughnesses using a Cyclic 3-Dimensional Simple Shear Interface apparatus. The physical characteristics of the silica sand are

summarized in Table 3. The sand was prepared with a relative density of 0.88. The tests were performed with different stiffness values of $K = 0, 400$ and 800 kPa/mm for an initial normal stress of 100 kPa (Fig. 12). Imposing the stiffness caused variation of the normal stress acting on the interface. The dilative response of dense interface upon shearing, was constrained by the stiffness and consequently caused an normal stress augmentation. The first test with $K = 0$ (CNL) was used for model calibration, and the other results were predicted using this test. With $D_{50} = 0.6$ (mm), the interface thickness was calculated to be 3 (mm). A friction angle of 40° was used in the model. After model calibration for $\sigma_n = 100$ kPa, the parameters were used for model prediction of constant normal stiffness tests ($K = 400, 800$ kPa/mm). The results of the simulations are presented in Fig. 12. The model predictions showed a reasonable replication of experimental results.

4.2 Clay-structure interface in non-isothermal conditions

Fig. 13 shows the modeling simulations against experimental results from [Di Donna et al. \(2015\)](#) for illite clay-concrete interface. The peak shear stress for illite clay-concrete interfaces is as not clear as in the case of ([Maghsoodi et al. \(2020b\)](#)). Therefore, the shape of the stress-displacement curves that should be reproduced by the model is different from that in other cases. As indicated in their study, the friction angle of the illite clay-concrete was 25° , Γ was 0.870 , λ was 0.140 , and ξ was found to be 0.8 , with $N = 4$, $k_1=0.003$, $k_2=0.7$, $C=7$ and $\beta=0.96$. Therefore, the model predictions using the values in Table 2 are presented in Fig. 13. Calibration tests were performed for $\sigma_n = 50$ kPa, and predictions were carried out for other stresses.

[Yazdani et al. \(2019\)](#) performed kaolin clay-concrete interface tests at different temperatures (24 and 34°C). The normally consolidated clay-concrete interfaces were tested under 3 different normal stresses ($150, 225$ and 300 kPa). A temperature increase increased the peak and residual shear strengths of the interface. Under higher normal stresses, the effect of temperature on the shear stress of the interface was more pronounced. Using the values in Table 2, the model capacity to reproduce the interface behavior is presented in Fig. 14. The model results are in good agreement with the experimental data. Calibration of the model was performed for the tests at 150 kPa, and the prediction capability was examined for other stresses.

[Maghsoodi et al. \(2020b\)](#) performed kaolin clay-steel interface direct shear tests at different temperatures (22 and 60°C). After the consolidation phase, CNL and CNS tests were performed. The kaolin clay was initially in a normally consolidated state. Subsequent heating induced a thermal overconsolidation associated with contraction of samples. During shearing, the heated samples showed a higher peak shear stress compared to the unheated samples. The model simulations and corresponding experimental data for CNL tests are shown in Fig. 15. A remarkable peak is discernible in the behavior of all samples and is captured in the simulations. It can be seen that the predicted results are satisfactory. For CNS tests, using the parameters in Table 2, the modeling results are in very good agreement with the experimental data (Fig. 16). For CNS tests, the difference between the peak shear stress at different temperatures is less than that for the CNL case due to the effect of stiffness (Fig. 16(a)). The volumetric behavior during shearing can be observed for both modeling and experimental results in Fig. 16(b) and Fig. 16(c) illustrates the modeling results for the normal stress evolution during shear at different temperatures versus the experimental results. The Mohr-Coulomb plane is shown in Fig. 16(d) and (e). Due to the effect of the stiffness on the critical void ratio (e_{cs}), $\Gamma = 0.97$ is higher than for the CNL case because the volumetric contraction is reduced in CNS tests. As discussed for the interface thickness formulation (Eq. 3), regarding the effect of interface thickness on the volumetric behavior under CNS and CNL conditions, for clayey interfaces, the thicknesses of the clay-structure interfaces are approximately 3 - 4 mm in this study (based on values mentioned in literature).

5 Discussion and conclusion

The main objective of this study was to develop an approach to model the impact of temperature on the behavior of soil-structure interfaces. The developed non-isothermal model is based on the critical state concept, and it is an extension of a constitutive model for granular soil-structure interfaces under isothermal conditions. The extended model is capable of reproducing the experimental results of sand/clay-structure interfaces at different temperatures under both constant normal load (CNL) and constant normal stiffness (CNS) conditions. The following remarks can be mentioned:

- The experimental evidence confirmed the thermal independence of mechanical behavior of sand-structure interface under CNL and CNS conditions (Di Donna et al. (2015); Yavari et al. (2016); Maghsoodi et al. (2020b)). The modeling performance with respect to different CNL and CNS results is satisfactory, and the main features of sand-structure behavior are reproduced. For CNL tests, the peak shear stress and dilatancy behavior of the sand-structure interface are reproduced by the model. For CNS tests, the model was capable of reproducing the shear stress-displacement response of the sand-structure without showing any clear peak. The normal stress variations have been modeled correctly, and the volumetric behavior has also been reproduced.

- The void ratio evolution of the normally consolidated (NC) clay-structure interface during shearing is contractive, similar to what can be observed in loose sand-structure interfaces. However, the shear stress-displacement response of NC clay-structure interface exhibits a well-defined peak. Several authors have confirmed this behavior, and they have explained that the combination of complex shearing modes (I, II and III) may be the reason for this observation (Tsubakihara and Kishida (1993), Lemos and Vaughan (2000)). The model was developed such that it could capture the peak shear stress of the clay interface.

- Several experimental investigations confirmed the shear strength dependence of clayey interfaces on thermal variations (Di Donna et al. (2015); Yavari et al. (2016); Maghsoodi et al. (2020b)). This dependence could be via different mechanisms. In this study thermal dependence of void ratio was considered. A new formulation was developed to account for the effect of temperature on void ratio of clay-structure interfaces. The consequent effect of the void ratio evolution appeared to be an increase in the peak shear stress-displacement response. The extended model formulation was flexible enough to capture these features.

- The other important aspect of the model was the difference between CNL and CNS soil-structure interface responses. Regarding sand-structure interface parameters, the thickness of interface (t) was found to be approximately 5-10 times D_{50} , which was experimentally observed by several authors (DeJong et al. (2003), Sadrekarimi and Olson (2010), Martinez et al. (2015)). However, clay-structure interface thickness is difficult to be determined experimentally. Martinez and Stutz (2018) have shown that the thickness of interface in kaolin clay-rough steel structure interface tests can be 2-3 mm. Kuo and Bolton (2014) and Kuo et al. (2015) conducted interface direct shear tests on deep-ocean clays using a torsional direct shear device. Using particle image velocimetry techniques, they found the thickness of interface to be 2 mm. In our extended non-isothermal model, the interface thickness could not be determined with the model therefore, values in the same range mentioned in literature for interface direct shear tests (2-4 mm), were selected for the clay-structure interface thickness (t).

- Regarding the model parameters, they can be determined using a temperature-controlled direct shear device. The critical state parameters (Γ and λ) can be estimated from a consolidation test. The void ratio of the clay before and after heating is also straightforward to determine. The mechanical characteristics (δ and C) can be found by performing shear tests under different normal stresses using the direct shear device. These parameters have physical meanings, which makes it easier to find their influence on the modeling performance.

- The overconsolidated clay-structure interface behavior at different temperatures could not be reproduced by the model due to the lack of experimental results in the literature. Therefore, first, experimental tests on overconsolidated clay-structure interface behavior at different temperatures should be performed,

and then, the results can be used for further development of the model. The void ratio evolution in over-consolidated clays is similar to that in dense sand-structure interfaces. Therefore, to introduce the effect of temperature on an overconsolidated clay-structure interface, formulation modification of the void ratio evolution should be implemented. Another important concern of the soil-structure interface behavior is the effect of roughness, which would require further extension of the model. The effect of roughness has not been explicitly implemented in the modeling formulation and the model calibration can differ when the roughness changes.

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List of symbols

- $e(-)$ Void ratio
- $e_{in}(-)$ Initial void ratio
- $e_{in}(T)(-)$ Initial void ratio at temperature T
- $e_{cs}(-)$ Critical state void ratio
- $\epsilon(-)$ Shear strain (in direct shear test)
- $W(mm)$ Shear displacement (in direct shear test)
- $\xi(mm^{-1})$ Controls the rate of void ratio evolution
- $k_1^*(mm^{-1})$ intensifies the initial contraction
- k_2 (kPa/mm) parameter of the model
- $K(kPa/mm)$ Stiffness
- $t(mm)$ Interface thickness
- $\Gamma(-)$ Initial critical void ratio
- $\lambda(-)$ Slope of the critical void ratio reduction with normal stress
- $\mu(kPa)$ Elastic shear modulus
- $k_{t0}(kPa/mm)$ slope of the initial part of the $\tau - w$ curve
- $M(-)$ Slope of the τ/σ_n
- N Controls the peak and the strain softening
- ψ Controls the rate of volumetric evolution
- $\alpha(^{\circ}C^{-1})$ Slope of the void ratio evolution with temperature
- $T(^{\circ}C)$ Temperature
- $\beta(-)$ Controls the effect of normal stress
- CNL Constant normal load

- 639 • CNS Constant normal stiffness
- 640 • $\tau(kPa)$ Shear stress
- 641 • $\sigma_n(kPa)$ Effective normal stress
- 642 • $U(mm)$ Normal displacement
- 643 • $R_{max}(mm)$ Maximum surface roughness
- 644 • $\delta(^{\circ})$ friction angle of interface
- 645 • $D_{50}(mm)$ mean diameter of soil particles
- 646 • $\rho_s(g/cm^3)$ grain density of soil particles
- 647 • $\rho_{dmax}(kN/m^3)$ maximum dry density
- 648 • $\rho_{dmin}(kN/m^3)$ minimum dry density
- 649 • e_{max} maximum void ratio
- 650 • e_{min} minimum void ratio
- 651 • $C_u = D_{60}/D_{10}$ coefficient of uniformity
- 652 • $k(m/s)$ hydraulic conductivity
- 653 • $LL(\%)$ Liquid limit
- 654 • $PL(\%)$ Plastic limit
- 655 • $PI(\%)$ Plasticity index
- 656 • $\lambda(W/mK)$ Thermal conductivity
- 657 • $C(J/m^3K)$ Heat capacity
- 658

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Table 1: Constitutive equations of *Lashkari (2017)* model.

Description	Constitutive equation	Parameters	Eq. N
Void ratio evolution	$e_{cs}[1 - \exp(-\xi\epsilon)] + e_{in}\exp(-\xi\epsilon) - \frac{k_1}{1+K/k_2}\epsilon\exp(-\xi\epsilon)$	$e, e_{in}, e_{cs}, \epsilon, \xi$	1
Normal stress evolution	$\delta\sigma'_n = K\delta v$	$K, \delta v$	2
Normal stress evolution	$\delta\sigma'_n = -K\delta v = \frac{-Kt\delta e}{1+e}$	K, t, e	3
Critical state void ratio	$e_{cs} = \Gamma - \lambda \ln(\sigma'_n/p_{ref})$	Γ, λ	4
State parameter	$\psi = e - e_{cs}$	e, e_{cs}	5
Shear stress evolution	$\tau = \frac{\epsilon}{\frac{1}{\mu} + \frac{\epsilon}{M\sigma_n[1+N(-\psi)]}}$	$M, \mu, \sigma_n, N, \psi$	6

Table 2: Model parameters of this study.

Interface type	Reference	kt	δ	Γ	λ	ξ^*	N	K	k_1	k_2	t	T	C	β	R_n
		$\frac{kPa}{mm}$	($^\circ$)	(-)	(-)	(-)	(-)	$\frac{kPa}{mm}$	(-)	$\frac{kPa}{mm}$	(mm)	($^\circ C$)	(kPa)	(-)	(-)
Fontainebleau sand-structure	Maghsoodi et al. (2020b) (CNL)	208	40	0.835	0.040	1.58	2.2	0	0.5	0.5	1.15	22	0	0.94	0.32
Fontainebleau sand-structure	Maghsoodi et al. (2020b) (CNS)	208	40	0.67	0.040	1.58	2.2	5000	3000	0.5	1.15	22	0	0.94	0.32
Quartz sand-concrete	Di Donna et al. (2015) (CNL)	400	40	0.70	0.030	1.6	2	0	0.2	0.3	1	22	0	0.89	0.2
Quartz sand-concrete	Di Donna et al. (2015) (CNS)	400	40	0.68	0.030	1.6	2	1000	800	0.3	1	22	0	0.93	0.2
Fontainebleau sand-steel	De Gennaro and Frank (2002) (CNL)	208	40	0.815	0.040	1.58	1.7	0	0.21	0.11	1.15	22	0	0.91	1
Silica sand-steel	Fakharian and Evgin (2000) (CNL)	280	40	0.985	0.140	1.58	1	0	0.51	0.51	3	22	0	0.900	0.41
Silica sand-steel	Fakharian and Evgin (2000) (CNS)	280	40	0.955	0.140	1.58	1	400	300	0.51	3	22	0	0.940	0.41
kaolin clay-structure	Maghsoodi et al. (2020b) (CNL)	170	14	0.96	0.15	0.7	1.85	0	0.003	2	4	22	12	0.965	80
kaolin clay-structure	Maghsoodi et al. (2020b) (CNS)	170	14	0.97	0.15	0.7	1.85	1000	0.003	2	4	22	12	0.965	80
illite clay-concrete	Di Donna et al. (2015) (CNL)	300	25	0.87	0.140	0.8	4	0	0.003	0.7	3	22	7	0.96	30
kaolin clay-concrete	Yazdani et al. (2019) (CNL)	110	11	0.927	0.131	0.42	7.85	0	0.003	4	3.5	24	23	0.952	5.38

Table 3: Physical properties of sands in this study

Interface type	D_{50} (mm)	ρ_s (Mg/m ³)	γ_{dmax} (kN/m ³)	γ_{dmin} (kN/m ³)	e_{max}	e_{min}	$C_u =$ D_{60}/D_{10}
Fontaine bleau sand-structure Maghsoodi et al. (2020b)	0.23	2.65	17.2	14.2	0.866	0.545	1.72
Fontaine bleau sand-structure De Gennaro and Frank (2002)	0.23	2.67	17.2	13.8	0.94	0.54	1.78
Silica sand-structure Fakharian and Evgin (2000)	0.6	2.7	16.35	13.33	1.024	0.651	-

Table 4: Physical properties of clays in this study

Interface type		LL (%)	PL (%)	I_p (%)	ρ_s (Mg/m^3)	λ (W/mK)	C (J/m^3K)	k (m/s)
Kaolin	clay-	57	33	24	2.60	1.5	3.3	10^{-8}
structure								
Illite	clay-	53.4	30	23.4	2.65	-	-	2.85×10^{-11}
concrete								
Kaolin	clay-	45	25	20	2.62	-	-	-
concrete

Fig. 1: CNL ($K=0$ kPa/mm) and CNS ($K=1000$ kPa/mm) clay-structure interface results for 100 and 300 kPa at 22 and 60 °C (Maghsoodi et al. (2019)).

Fig. 2: (a) Thermal vertical strain vs. temperature for clay-structure interface under 300 kPa. (b) The void ratio evolution during shearing under 100 kPa at 22 and 60 °C.

Fig. 3: Evolution of void ratio during shearing using Eq. 1: (a) different initial void ratios ($e_{in} = 0.7, 0.8, 0.9, 1.0, 1.1$ and 1.2) evolution towards the critical state void ratio ($e_{cs} = 0.824$); (b) Void ratio evolution for a loose and dense Fontainebleau sand-structure interface test performed by [Pra-ai and Boulon \(2017\)](#).

Fig. 4: Void ratio reduction during heating kaolin clay-interface test. Experimental data by [Maghsoodi et al. \(2020b\)](#).

Fig. 5: Model parameter definitions.

Fig. 6: model performance and parametric study: (a) variation of shear stress-displacement response with different kt_0 , (b) variation of shear stress-displacement response with different N , (c) normal displacement response to different Γ values (d) normal displacement response to different λ values (e) normal displacement response to different ξ values, (f) variation of shear stress-displacement response with different ξ , (g) variation of shear stress-displacement response with different k_2 , (h) variation of shear stress-displacement response with different β and (i) variation of shear stress versus shear displacement for different temperatures T .

Fig. 7: Model performance against CNL Fontainebleau sand-structure interface test results under 100, 200 and 300 kPa of normal stress at 22°C . (a) shear stress vs. shear displacement; and (b) normal displacement vs. shear displacement (experimental data by [Maghsoodi et al. \(2020b\)](#)).

Fig. 8: Model performance against CNS ($\sigma_{n0} = 100$ kPa, $K = 1000, 5000$ kPa/mm) Fontainebleau sand-structure interface test results under 100 kPa of normal stress at 22 °C. (a) shear stress vs. shear displacement; and (b) normal displacement vs. shear displacement (experimental data by [Maghsoodi et al. \(2020b\)](#)).

Fig. 9: Model performance against CNS ($\sigma_{n0} = 100, 200, 300$ kPa, $K = 1000$ kPa/mm) Fontainebleau sand-structure interface test results under 100 kPa of normal stress at 22 °C. (a) shear stress vs. shear displacement; and (b) normal displacement vs. shear displacement (experimental data by [Maghsoodi et al. \(2020b\)](#)).

Fig. 10: The interface model predictions against experimental data for CNL ($\sigma_n = 50, 100, 150$ kPa) and CNS tests ($K = 1000$ kPa/mm) on quartz sand-concrete interface: (a) shear stress vs. shear displacement; and (b) normal displacement vs. shear displacement; (c) normal stress vs. shear displacement (experimental data by [Di Donna et al. \(2015\)](#))

Fig. 11: The interface model predictions against experimental data for four constant normal stress tests ($K = 0$) on interfaces between Fontainebleau sand and steel: (a) shear stress vs. shear displacement; and (b) normal displacement vs. shear displacement (experimental data by [De Gennaro and Frank \(2002\)](#)).

Fig. 12: The interface model predictions against experimental data for four constant normal stress tests ($K = 0$) on interfaces between Fontainebleau sand and steel: (a) shear stress vs. shear displacement; and (b) normal displacement vs. shear displacement (experimental data by [Fakharian and Evgin \(2000\)](#)).

Fig. 13: The interface model predictions against experimental data for four constant normal stress tests ($K = 0$) on interfaces between illite clay and concrete: (a) shear stress vs. shear displacement; and (b) normal displacement vs. shear displacement (experimental data by [Di Donna et al. \(2015\)](#)).

Fig. 14: The interface model predictions against experimental data for four constant normal stress tests ($K = 0$) on interfaces between illite clay and concrete: (a) shear stress vs. shear displacement; and (b) normal displacement vs. shear displacement (experimental data by Yazdani et al. (2019)).

Fig. 15: The interface model predictions against experimental data for four constant normal stress tests ($K = 0$) on interfaces between kaolin clay and steel: (a)(c) shear stress vs. shear displacement; and (b)(d) normal displacement vs. shear displacement (experimental data by [Maghsoodi et al. \(2020b\)](#)).

Fig. 16: The interface model predictions against experimental data for four constant normal stiffness tests ($K = 1000$ kPa/mm) on interfaces between kaolin clay and steel: (a) shear stress vs. shear displacement; and (b) normal displacement vs. shear displacement (experimental data by Maghsoodi et al. (2020b))