



**HAL**  
open science

# Fates of paleo Antarctic Bottom Water during the early Eocene – based on a Lagrangian analysis of IPSL-CM5A2 climate model simulations

Yurui Zhang, Nicolas Grima, Thierry Huck

► **To cite this version:**

Yurui Zhang, Nicolas Grima, Thierry Huck. Fates of paleo Antarctic Bottom Water during the early Eocene – based on a Lagrangian analysis of IPSL-CM5A2 climate model simulations. *Paleoceanography and Paleoclimatology*, 2021, 36 (1), pp.e2019PA003845. 10.1029/2019PA003845 . hal-03079059

**HAL Id: hal-03079059**

**<https://hal.science/hal-03079059>**

Submitted on 17 Dec 2020

**HAL** is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers.

L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24

**Fates of paleo Antarctic Bottom Water during the early Eocene — based on a Lagrangian analysis of IPSL-CM5A2 climate model simulations**

**Yurui Zhang<sup>1\*</sup>, Nicolas Grima<sup>1</sup>, Thierry Huck<sup>1</sup>**

<sup>1</sup>Univ Brest, CNRS, IRD, Ifremer, Laboratoire d’Océanographie Physique et Spatiale (LOPS), IUEM, Brest, France

*\*Correspondence: Yurui Zhang (yurui.zhang@univ-brest.fr)*

**Key Points:**

- A coupled climate simulation of the early Eocene shows a single intense overturning cell originating in the Southern Ocean.
- Lagrangian analysis of this paleo Antarctic Bottom Water reveals a major route to the surface mixed layer, with implications for carbon cycle.
- This upwelling mainly occurs in equatorial and tropical regions, in relation with the spatial pattern of the wind-driven Ekman pumping.

25 **Abstract**

26 Both deepwater formation and the obduction processes converting dense deepwater to lighter  
27 surface water are the engine for the global meridional overturning circulation (MOC). Their spatio-  
28 temporal variations effectively modify the ocean circulation and related carbon cycle, which  
29 affects climate evolution throughout geological time. Using early-Eocene bathymetry and  
30 enhanced atmospheric CO<sub>2</sub> concentration, the IPSL-CM5A2 climate model has simulated a well-  
31 ventilated Southern Ocean associated with a strong anticlockwise MOC.

32 To trace the fates of these paleo Antarctic Bottom Water (paleo-AABW), we conducted  
33 Lagrangian analyses using these IPSL-CM5A2 model results and tracking virtual particles released  
34 at the lower limb of the MOC, defined as an initial section at 60°S below 1900m depth. Diagnostic  
35 analysis of these particles trajectories reveals that most paleo-AABW circulates back to the  
36 Southern Ocean through either the initial section (43%) or the section above (31%); the remaining  
37 (>25%) crossing the base of the mixed layer mostly in tropical regions (up to half). The majority  
38 of water parcels ending in the mixed layer experience negative density transformations, intensified  
39 in the upper 500m and mostly occurring in tropical upwelling regions, with a spatial pattern  
40 consistent with the wind-driven Ekman pumping, largely determined by the Eocene wind stress  
41 and continental geometry.

42 In the same way as present-day North Atlantic Deep Water upwells in the Southern Ocean, our  
43 results suggest that the strong tropical and equatorial upwelling during the Eocene provides an  
44 efficient pathway from the abyss to the surface, but at much higher temperature, with potential  
45 implications for the oceanic carbon cycle.

46

47 **Keywords:** the early Eocene, paleo Antarctic Bottom Water, Lagrangian analysis, deepwater  
48 obduction, tropical upwelling, Ekman pumping, global carbon cycle

## 49 **1 Introduction**

50 The formation of dense deep and/or bottom water masses and their conversion into light  
51 surface water act as an engine for the global meridional overturning circulation and characterizes  
52 the interactions between the surface and abyssal (interior) ocean (e.g. Bullister et al., 2013;  
53 Kuhlbrodt et al., 2007). Wind-driven upwelling in the Southern Ocean in present-day conditions  
54 provides a pathway for North Atlantic Deep Water towards the thermocline and closes the Atlantic  
55 Meridional Overturning Circulation (AMOC), forming the quasi-adiabatic pole-to-pole  
56 overturning circulation (Anderson et al., 2009; Marshall & Speer, 2012; Wolfe & Cessi, 2011). Spatio-  
57 temporal variations in deepwater formation and its obduction, such as intensity, location and  
58 timescale, effectively modify the global ocean circulation that shapes the global climate on  
59 multiple timescales (Burke & Robinson, 2012; Kuhlbrodt et al., 2007; Nof, 2000; Srokosz et al.,  
60 2012; Toggweiler & Samuels, 1995). Ocean circulation carries a huge amount of heat and thus  
61 largely alters global heat distribution (Ganachaud & Wunsch, 2000; Macdonald & Wunsch, 1996;  
62 Trenberth & Caron, 2001). Meanwhile, long-term variations (on a scale of thousands to millions  
63 of years) in deepwater dynamics modify the carbon storage capacity of the deep ocean and adjust  
64 the carbon exchange between the deep-ocean carbon inventory and atmosphere, which plays an  
65 important role in the evolution of the Earth's climate (Anderson et al., 2009; Broecker & Barker,  
66 2007). For example, the variations in Southern Ocean upwelling, associated with related physico-  
67 chemical and biogeochemical processes, have been found to regulate fluctuations of atmospheric  
68 CO<sub>2</sub> and climate evolution across the late Pleistocene glacial cycles (Sigman & Boyle, 2000;  
69 Watson & Naveira Garabato, 2006; Anderson et al., 2009; Burke & Robinson, 2012; Martínez-

70 Botí et al., 2015). Strengthened upwelling during deglaciations increases the exposure rate of the  
71 deep-ocean, which can trigger a series of physico-chemical (e.g. solubility of seawater) and  
72 biogeochemical responses (e.g. nutrient availability and export production) and enhance CO<sub>2</sub>  
73 release into the atmosphere. In contrast, weakened upwelling contributes to decreased carbon  
74 release from the abyssal ocean, thereby facilitating the sequestration of atmospheric carbon  
75 (Watson & Naveira Garabato, 2006; Anderson et al., 2009; Burke & Robinson. 2012; Martínez-  
76 Botí et al., 2015). Understanding these changing interactions between the abyssal ocean's carbon  
77 reservoir and surface ocean is thus of utmost importance for decoding climate evolution on various  
78 timescales.

79         Paleoceanographic data has shown that interactions between the deep and surface ocean  
80 have gone through multiple-scale changes over geological history in response to a variety of  
81 forcings (e.g. Ferreira et al., 2018). Geological records indicate that the early Eocene was  
82 characterized by an extremely warm climate (5–8°C warmer than the present day) and very active  
83 global carbon cycle with enhanced exchanges among different components of the Earth system,  
84 (Cramer et al., 2011; Dunkley Jones et al., 2013; Foster et al., 2017; Hollis et al., 2019; Pearson &  
85 Palmer, 2000; Tripathi et al., 2003). Numerous CO<sub>2</sub>-proxy data report high atmospheric CO<sub>2</sub>  
86 concentrations during the early Eocene (Breecker et al., 2010; Foster et al., 2017; Pagani et al.,  
87 1999; Pearson & Palmer, 2000; Tripathi et al., 2003), which is undoubtedly a critical factor causing  
88 this warm climate, if not the primary one. Although this enhanced atmospheric CO<sub>2</sub> level may  
89 partially result from carbon input from the biosphere — such as in a response to irreversible  
90 degradation of biomass as tropical ecosystems crossed a thermal threshold of 35°C for vegetation  
91 (Huber, 2008) or as high-latitude permafrosts experienced oxidation of soil organic carbon  
92 (DeConto et al., 2012; Kurtz et al., 2003) — the carbon cycle was definitely influenced by the

93 deep-ocean carbon reserves during the early Eocene. The deep ocean is a huge carbon reservoir,  
94 and its re-organization during the early Eocene left an imprint in the global carbon cycle (e.g. John  
95 et al., 2013, 2014). A plausible mechanism for this carbon perturbation remains elusive yet, leaving  
96 our understanding of Eocene Earth system evolution incomplete.

97         Considering the crucial influence of the deep-ocean carbon reservoir on global carbon  
98 cycle during the late Pleistocene glacial cycles (Anderson et al., 2009; Burke & Robinson, 2012),  
99 carbon exchanges between the deep ocean and the surface may hold the key for understanding the  
100 early Eocene climate system (John et al., 2013, 2014). Global acidification of the surface ocean  
101 and widespread dissolution of deep-sea carbonates during the early Eocene also suggest a large-  
102 scale reorganization of the oceanic carbon cycle system (John et al., 2013, 2014). Such large-scale  
103 changes of carbon-related processes in the ocean may alter the carbon exchange between the  
104 abyssal ocean carbon reservoir and the surface ocean, which likely link to a different early-Eocene  
105 meridional ocean circulation. Within the framework of the Deep-Time Model Inter-comparison  
106 Project (DeepMIP; Lunt et al., 2017), including 840 ppm atmospheric CO<sub>2</sub> (three times the pre-  
107 industrial (PI) levels) and realistic Eocene bathymetry and other boundary conditions (e.g. no  
108 Antarctic ice sheet), the IPSL-CM5A2 model has simulated a vigorous meridional overturning  
109 circulation (MOC) originating in the Southern Ocean, as discussed in Zhang et al., (2020). This  
110 MOC is sustained by deepwater formation occurring at high latitudes of the Southern Ocean, where  
111 denser water sinks into the depths and fills the whole ocean basins. Given this very different  
112 oceanic circulation and specificity of the early Eocene (regarding the climatic environment and the  
113 global carbon cycle), it is of utmost interest to understand how these dense waters formed by deep  
114 convection are transformed into light water returning to the surface thermocline, e.g. at what rate  
115 they are transformed and where this process occurs.

116 One frequently used way to understand paleo-oceanic circulation for relatively recent  
117 periods is to compare it with present-day conditions and to analyze circulation anomalies relative  
118 to the PI condition. However, the fact that both bathymetry and MOC during the deep geological  
119 time of Eocene (cf. Zhang et al., 2020) are so different from those of the present- day hinders this  
120 direct comparison. With such a different MOC structure and in the absence of an Antarctic  
121 Circumpolar Current, one can expect different thermodynamic processes and physical mechanisms  
122 to close the global ocean circulation and associated transformation of deepwater into light surface  
123 water during the early Eocene, which are still unknown yet. Through the diagnosis of virtual  
124 particle trajectories, Lagrangian analysis provides an accessible way to investigate the movement  
125 of dense deepwater and their density transformations, and to diagnose their interactions with the  
126 surface thermocline in given three-dimensional, time-evolving velocity fields (e.g. Blanke et al.,  
127 2002; Tamsitt et al., 2017; 2018). In this study, we applied such a Lagrangian analysis to  
128 investigate the fate of the paleo-Antarctic Bottom Water (hereafter referred to as paleo-AABW,  
129 for both bottom and deep waters in the absence of other deep water mass). Using the IPSL-CM5A2  
130 climate model results for the early Eocene, this analysis allows to map the 3D circulation of the  
131 paleo-AABW, and in particular how it upwells back towards the upper ocean. In order to better  
132 understand the closure regime of the Eocene MOC in the model simulation, we specifically set out  
133 to 1) map the general pathways of the paleo-AABW circulation and upwelling and 2) diagnose the  
134 underlying dynamical and thermodynamic processes behind this upwelling and associated density  
135 transformations. In addition, the results of this analysis can also extend our knowledge on the  
136 closure of the MOC in a context that is quite different than the present day, especially in the  
137 absence of a quasi-adiabatic pole-to-pole overturning circulation regime. We first introduce the  
138 paleoclimate simulation that is used, then the details of the Lagrangian analysis (Section 2).

139 Section 3 and Section 4 present the Lagrangian results, and their discussion on and on implication  
140 on Eocene global carbon cycle, respectively. Summary and conclusions are given in Section 5.

## 141 **2 Methods**

### 142 **2.1 The early-Eocene simulation and its evaluation**

143 The early-Eocene simulation used in this study has been discussed in Zhang et al. (2020)  
144 with detailed information on boundary condition and on simulation setup, so we only provide a  
145 brief summary of the simulation hereafter. The simulation was performed with the IPSL-CM5A2  
146 climate model and set up with the boundary conditions reconstructed for the early Eocene (~55Ma),  
147 following the DeepMIP protocol (Lunt et al., 2017). The IPSL-CM5A2 is a new version of IPSL-  
148 CM5A: an Earth system climate model comprising the LMDz atmospheric model, and the NEMO  
149 ocean model with a spatial resolution of  $\sim 2^\circ$ , coupled to the LIM3 dynamical sea ice model through  
150 the OASIS coupler (Dufresne et al., 2013; Hourdin et al., 2013; Sepulchre et al., 2020). The NEMO  
151 ocean model has 31 vertical levels with a finer resolution near the surface (10m) than in the abyss  
152 ( $\sim 500$  m), and partial steps were used for the level directly above seafloor to better represent the  
153 bathymetry. The previous version IPSL-CM5A has been extensively used in various contexts, such  
154 as the Last Glacial Maximum, the mid-Holocene (Kageyama et al., 2013), and the Pliocene  
155 (Contoux et al 2013; Tan et al 2017, 2020). The new version IPSL-CM5A2, recently developed  
156 by Sepulchre et al. (2020) for faster computation, is dedicated to the analysis of deep time history  
157 of the Earth system. For instance, this new version model has been used to simulated Pliocene  
158 (Tan et al. 2018, 2020) and Eocene climate (Ladant et al., 2014; Zhang et al., 2020), in which plate  
159 tectonic forces, such as changes in continents geography and seafloor bathymetry, are permitted.  
160 The boundary conditions of this early-Eocene simulation include an atmospheric CO<sub>2</sub>  
161 concentration of three times that of PI levels (840 ppm), bathymetry and topography reconstructed



162 for the early Eocene (Herold et al., 2014), and prescribed tidally-induced mixing (Green & Huber,  
163 2013). The simulation was initialized by following the DeepMIP protocol, i.e. the ocean was  
164 initialized from zonally symmetric ocean temperature and a constant salinity of 34.7 psu (Lunt et  
165 al., 2017). The simulation was run for 4000 years until the ocean reached thermodynamic  
166 equilibrium, with the final trend of deep-ocean temperature changes lower than 0.05°C per century  
167 (Zhang et al., 2020). Monthly-averaged velocities (and ocean properties), computed over the last  
168 100-yr of the simulation to represent a climatological year, were used to conduct the Lagrangian  
169 analyses of the present study. The mesoscale eddy-induced turbulence was parameterized as eddy-  
170 induced bolus velocity (Gent & McWilliams, 1990), and these have been explicitly added to the  
171 total velocity used in our Lagrangian analysis. This eddy-induced bolus velocity is generally small,  
172 with a maximum magnitude of 2 cm/s over regions of low latitude.

173         The early-Eocene simulation used in this study has been systematically discussed in the  
174 context of boundary conditions and detailed ocean circulation, especially in the light of comparison  
175 with the present-day situation and also with different CO<sub>2</sub> levels in Zhang et al. (2020). Here we  
176 only describe it briefly, regarding (well-concerned) sea surface temperature (SST) and ocean  
177 circulation. The simulation reproduces a global mean SST of 28°C, with the annual mean SST  
178 varying from 10–15°C in the southern-most Southern Ocean to 30–37°C near the Equator (Fig. 1).  
179 The zonally-averaged annual mean SSTs were overall ~10°C warmer than in the present-day  
180 simulation (PI-1x, performed with the IPSL-CM5A2, but with the present-day boundary  
181 condition), with the largest differences of 12°C found in the Southern Ocean. Wide seasonal  
182 variations further extended the highest summer temperature in southern high latitudes to 25°C (Fig.  
183 1), reached in the southeast Atlantic-India basin around Australia (Fig. 2a of Zhang et al., 2020).  
184 This overall warmth and enhanced Southern Ocean temperature bring them generally close to the

185 proxy reconstruction despite some exceptions. The mismatched points mainly include the Tex<sup>86</sup>  
186 biomarker records from the Southern Ocean at latitude 60°S that suggest the same temperature  
187 (30°C) as the Equator (Fig. 1), which nevertheless has been very likely overestimated (Hollis et  
188 al., 2019). Zhang et al. (2020) compared this simulated temperature site-by-site with the Eocene  
189 temperature records from the most comprehensive temperature dataset in Hollis et al. (2019). The  
190 model-data comparisons suggest that they are generally compatible, although certain differences  
191 can be seen for some specific proxy data points. For instance, proxy-based temperature data and  
192 simulated temperature show better consistency in the Atlantic-Indian basin than in the Pacific  
193 where the model produced more homogenous temperatures, in contrast to large spatial variability  
194 suggested by the proxy data (Fig.2a & S2A of Zhang et al., 2020). Zhang et al. (2020) carried out  
195 extensive model-data comparisons using statistical methods, such as the root mean square  
196 deviation (RMSD) and benchmarks following Kennedy-Asser et al. (2019) method. These  
197 comparisons suggest that the performance of the model simulation is generally satisfactory,  
198 because the data-model RMSD was of the same order of magnitude as the uncertainty of proxy-  
199 based SST estimates, and the simulation was able to capture the global mean temperature and some  
200 latitudinal gradient patterns from the benchmark analysis.

201 Models simulations for the Eocene usually could not be able to simulate the much reduced  
202 meridional temperature gradient suggested by proxy data (the “equable climate problem”, Huber  
203 & Caballero 2011, and references herein). Regarding this long-standing issue, the early Eocene  
204 IPSL simulation produces a SST gradient from the Equator to the Southern Ocean of ~24°C in the  
205 annual mean and 18°C in February (Fig. 1), leading to an only slightly reduced gradient compared  
206 with the present-day 28°C. Comparison with other model results suggests that the meridional  
207 temperature gradient in the IPSL-CM5A2 simulation is located in the upper end of the multi-model

208 range (e.g. Lunt et al., 2012; 2020; Hutchinson et al., 2018). Nevertheless, more recent studies  
209 appear to suggest a mitigated model-data discrepancy (Cramwinckel et al., 2018; Lunt 2020).  
210 Cramwinckel et al. (2018) recently updated the Eocene equatorial SSTs estimation with new proxy,  
211 that reduce the temperature gradient to about 20–22°C, and Lunt et al. (2020) found similar values  
212 in recent DeepMIP simulations. Reasons for the remaining mismatches, especially on a regional  
213 scale, perhaps involve either model simulations, which may still underestimate some regional scale  
214 variations, or proxy-based temperature reconstruction, because they can be scrambled by  
215 vertically-varying processes in the water column (e.g. Ho & Laepple, 2016) and by seasonality  
216 signals over high latitudes (e.g. Davies et al., 2019). Overall, despite sizeable uncertainties, the  
217 Eocene simulation is nonetheless able to capture the basic temperature pattern suggested by most  
218 proxy records and previous model studies.

219 This paragraph will briefly evaluate the simulated Eocene oceanic circulation in  
220 comparison with other models' results and with proxy-based oceanic circulation reconstruction of  
221 the Eocene. The simulation shows a well-ventilated Southern Ocean and a single anticlockwise  
222 global overturning cell, with bottom/deepwater formation at high latitudes of the Southern Ocean  
223 where dense paleo-AABW sinks into the depths and fills the whole ocean basins (Figure 2A,  
224 redrawn from Zhang et al., 2020). This single MOC structure has been well investigated, in  
225 relation with winter convection and deep-water formation, in comparison with the PI circulation,  
226 and shown to be robust to different atmospheric CO<sub>2</sub> levels (Zhang et al., 2020). Although the  
227 magnitude of the MOC can vary in some model results, this well-ventilated Southern Ocean and  
228 anticlockwise Southern Ocean MOC pattern are common features of most model results for the  
229 early Eocene or middle-to-late Eocene before the Oligocene transition (e.g. Baatsen et al., 2018;  
230 Lunt et al., 2010). One exception is a simulation of the GFDL model with 38 Ma bathymetry and

231 boundary conditions, which produces a two-cell MOC with deep water formed in both the  
232 Southern Ocean and the North Pacific (Hutchinson et al., 2018). The presence of deepwater  
233 formation in the North Pacific in this GFDL simulation (in contrast with the IPSL-CM5A2  
234 simulation used herein and several others) is probably due to its low topography over North  
235 America that induces less rainfall over the West Pacific, leading to higher surface density and  
236 facilitating deepwater formation (Zhang et al., 2020), as illustrated by sensitivity studies on  
237 topography effects (Maffre et al., 2018; Schmittner et al., 2011). Apart from these modelling  
238 studies, the MOC has been inferred from neodymium (Nd) isotope distributions, a proxy for ocean  
239 circulation (Thomas et al., 2003; Thomas et al., 2014). The common features between the  
240 simulated and proxy-reconstructed MOC are the well-ventilated Southern Ocean and the  
241 predominant Southern Ocean origin of the bottom waters (Thomas et al., 2014). Differences  
242 remain in the North Pacific where the ocean is less ventilated in the simulation than as suggested  
243 by the proxy data, whereas the Nd-based results suggest that the water of North Pacific origin  
244 never crossed the Equator. Overall, the well-ventilated Southern Ocean filling the most of the  
245 global bottom waters is supported by previous modelling and proxy reconstructions. Further  
246 evaluations of the simulation have been addressed in Zhang et al. (2020).

## 247 **2.2 Lagrangian experiments and analysis**

### 248 **2.2.1 Lagrangian analysis and Ariane tool**

249 Lagrangian analysis of oceanic model outputs provides an interesting and comprehensive  
250 way to investigate the three-dimensional, time-evolving transport fields through a diagnosis of  
251 trajectories of virtual particles (Bower et al., 2019; van Sebille et al., 2018; Tamsitt et al., 2018).  
252 As a useful and complementary tool to Eulerian approaches, Lagrangian analysis can help answer  
253 a range of theoretical and practical questions, as reviewed in Griffa et al. (2007) and more recently  
254 in van Sebille et al. (2018) and. In particular, Lagrangian approach has been widely employed to

255 solve problems involving connectivity between oceanic regions such as the bottom and the surface  
256 layers, and used to track the movement and pathways of various water masses (e.g. Blanke et al.,  
257 1999; 2002; Döös et al., 2008; van Sebille et al., 2013; Tamsitt et al., 2017, 2018). Here, we use  
258 the Lagrangian analysis to provide comprehensive information on the ocean circulation during the  
259 early Eocene, when the bathymetry was tremendously different from the present day. For instance,  
260 the much narrower North Atlantic limits deep convection there and the almost closed Drake  
261 passage hinders ACC during the early Eocene, which makes the MOC structure very different  
262 from the present day. In addition, divergences of flow within individual basins (Atlantic, Pacific  
263 and Indian oceans) through tropical straits break the mass conservation of each basin and decrease  
264 the value of Eulerian-velocity-inferred MOC streamfunction of individual basins for indicating the  
265 overall circulation, which makes the separation of each basin's transport from the total global  
266 transport impossible using only a typical Eulerian approach.

267         Our Lagrangian analysis was carried out using the Ariane tool that is based on an off-line  
268 mass-preserving algorithm to compute particles trajectory (<http://www.univ-brest.fr/lpo/ariane>). A  
269 detailed description of the method used to follow particles in an Ocean General Circulation Model  
270 (OGCM) is given in the appendix of Blanke and Raynaud (1997). The Ariane tool provides a  
271 “quantitative” mode that is specifically designed to effectively evaluate water mass transport, by  
272 tracking trajectories of particles between an initial section and the other sections which close a  
273 three-dimensional oceanic region. Sections can be defined in various ways: geographically  
274 (vertical and horizontal cross-sections), or in more complex manners, as for example time-  
275 dependent isolines of a chosen quantity (isopycnals, mixed layer). Particles are automatically  
276 placed by Ariane software tool on each model grid cell of a specified initial section, by considering  
277 only water masses flowing inward the closed domain in forward integration (outward the domain

278 in backward integration), and a given water transport is accordingly attached to those particles.  
279 Each particle represents a fraction of the total transport through the initial section. Because the  
280 transport across the section is not uniform, the water mass transports assigned to particles are  
281 different. The algorithm of this transport assignment is that: each grid cell with a given transport  
282  $T_n$  is described with  $N_n$  particles, and this integer particle number  $N_n$  satisfies (Blanke et al., 1999):

$$283 \quad \frac{T_n}{N_n} \leq T_0 \quad (1)$$

284 where  $T_0$  is the prescribed maximum transport associated with a single particle. This assignment  
285 is made for each time step of the oceanic model data input of Ariane and during a period of time  
286 defined for an experiment. The total number of particles is the sum of the  $N_n$  over all relevant grid  
287 cells of the initial section. Because of the low resolution of the ocean model and the associated  
288 rather linear dynamics, we have used a large value for  $T_0$  (0.8 Sv) such that a single particle is  
289 released in each grid cell of the initial section at each time step. The setting of this initial section  
290 (i.e. velocity fields are sampled only on the initial section) implies that particles should be released  
291 everywhere to sample the complete velocity fields of the whole ocean (Döös et al., 1995; Blanke  
292 et al., 1999; Döös et al., 2008).

293 Subsequently, each particle is tracked over each time step and its trajectory is computed  
294 according to the evolving velocity field, until it reaches any one of the specified receptor sections  
295 (Blanke & Raynaud, 1997; Döös & Webb, 1994). Along its path, particle velocity, temperature  
296 and salinity evolve over the time integration, according to the local Eulerian model fields (Blanke  
297 & Raynaud, 1997; Döös & Webb, 1994). Each particle can be seen as a water parcel evolving over  
298 time, and the terms particle and water parcel are interchangeably used in this sense. Ultimately,  
299 statistical analyses of all these trajectories represent how the water masses are transported from  
300 this initial section to another destination. These inter-section transports can be portrayed by means

301 of a Lagrangian streamfunction (calculation given below), by taking advantage of a mass-  
302 preserving scheme (Blanke et al., 1999; Blanke & Raynaud, 1997; Döös, 1995).

### 303 **2.2.2 Lagrangian experiment setup**

304 In the present study, we employ the Ariane tool to trace the fate of the paleo-AABWs and  
305 to analyze the dynamical processes contributing to the upwelling along their trajectories. Based on  
306 the overall features of the ocean circulation, the initial section (the snapshot) was set in the deep  
307 ocean at latitudes 60°S below 1900 m to sample the northward flowing bottom/deepwater export.  
308 The Eulerian-velocity-inferred meridional streamfunction (Eulerian-MOC) in Figure 2A shows  
309 the anticlockwise meridional circulation in the early-Eocene simulation. Surface water loses  
310 buoyancy through ocean-atmosphere interaction over the high-latitude Southern Ocean and forms  
311 denser water sinking into the depths, then flowing northward in the abyssal ocean and filling the  
312 whole ocean basins (Zhang et al., 2020). Therefore, there is a strong net northward export of deep  
313 water over the lower (deep) limb of the MOC that is supplied by the deepwater formation in the  
314 Southern Ocean and the overall southward transport over the upper ocean. The Eulerian-MOC  
315 maximum intensity (up to 40 Sv) is reached at 60°S at a depth ~1900 m, at which latitude and  
316 depth the initial section was accordingly set. Zooming into the vertical section at 60°S, the majority  
317 of gross northward transport occurs in the abyssal ocean (e.g. northward transport is greater than  
318 10 Sv at depths of 1800–4500 m), whereas the southward transport mainly occurs at shallow depths  
319 (e.g. 10 Sv for depths of 500–2500 m) (Fig. 2B & 2C). This asynchronous transport over depths  
320 closes up the anticlockwise MOC, with a boundary between the upper southward and lower  
321 northward transport of ~1900 m (longitudinally-averaged). Correspondingly, the initial section at  
322 60°S was constrained to depths below 1900 m in the vertical direction. Therefore, this initial  
323 section was chosen to sample the northward-flowing paleo-AABW mass over the lower limb of  
324 the anticlockwise meridional circulation.

325 To trace the destinations of the paleo-AABW, 4797 virtual particles were released at the  
326 initial section (at 60°S below the depth of 1900 m), with  $T_0$  value of 0.8 Sv. Particles were released  
327 at the middle of each month throughout the first year, according to the monthly evolving velocity  
328 fields (only at grid cells where the flow was northward for the given month). The large number of  
329 particles leads to a relatively small transport assigned to each particle, ensuring precise  
330 representation of the water mass transport. These particles provided a total estimate of 81.0 Sv for  
331 the gross northward-flowing bottom/deepwater transport over the lower limb of the MOC, which  
332 reproduces the Eulerian description of the northward transport very well (Fig. 2C). This  
333 Lagrangian-based transport estimate was almost twice that of the Eulerian-velocity-inferred MOC  
334 value that is the net of two directions flow in the same section. This indicates that half of the  
335 northward transports were compensated by their opposing southward transport and the other half  
336 left the initial section and carried on towards the north.

337 These particles 3D trajectories were integrated for 4000 years with monthly updated  
338 velocity fields until being intercepted by any one of the receptor sections. These receptor sections  
339 include the initial section itself, the vertical section above this initial section (60Sab) and the  
340 monthly evolving mixed-layer base (MLB), separating the surface homogeneous thermal layer  
341 from the deeper stratified ocean below, as shown in Figure 2A. The mixed layer depth is defined  
342 by a potential density difference smaller than  $0.3 \text{ kg/m}^3$  with reference to the surface. It has been  
343 found that the trajectories at eddies scale and small spatial scales were sensitive to the increasing  
344 temporal resolution ranging from days to month, while at scales larger the trajectories were largely  
345 independent of the temporal resolution increase from day to month (Iudicone et al., 2002; Qin et  
346 al., 2014). Given its  $\sim 2^\circ$  spatial resolution, the ocean model used in the IPSL-CM5A2 simulation  
347 does not resolve mesoscale eddies (they are parameterized) and the monthly resolution used in the



348 present study is sufficient. Note that the time resolution refers here to the frequency at which the  
349 velocity field is updated. Every year, the same climatological monthly velocities are repeated (i.e.  
350 after December the velocity fields return to the January), with activated consecutively looped  
351 output over time. The Lagrangian experiment was run for 4000 years to obtain the long-term  
352 trajectories of particles. This long-term experiment allowed 99% particles to be intercepted at one  
353 of the specific receptor sections. The terms of initial and final refer to the moments when particles  
354 are released from the initial section and are received by one of the receptor sections, respectively.  
355 Below, we analyze these particles statistically in terms of water-mass transport and their  
356 corresponding evolution of water properties along their trajectories. In addition to this main  
357 experiment, an auxiliary Lagrangian experiment was conducted in a backward mode, in order to  
358 trace back the geographical origins, defined as the last point of contact with the surface mixed  
359 layer, of these paleo-AABW.

### 360 **2.2.3 Lagrangian diagnostics**

361 Two types of diagnostic analysis of the Lagrangian experiment were carried out:  
362 Lagrangian streamfunction and transport-weighted particle distribution.

363 Lagrangian streamfunction portrays pathways of water mass on vertical or horizontal  
364 directions, by taking advantage of mass conservation (i.e. the corresponding velocity field is non-  
365 divergent) (Döös, 1995; Blanke & Raynaud 1997; Blanke et al., 1999, 2006). The calculation of  
366 Lagrangian streamfunction can be divided into three steps (Blanke et al., 1999, 2006; Döös et al.,  
367 2008): 1) obtain a three-dimensional transport field that corresponds to the flow of the water mass  
368 within the domain of integration of the trajectories. As the local three-dimensional non-divergence  
369 of the flow is exactly preserved, each particle entering one model grid cell through one of its six  
370 faces has to leave it, and the transport field satisfies

371 
$$\partial_i T_x + \partial_j T_y + \partial_k T_z = 0 \quad (2)$$

372 where  $T_x$ ,  $T_y$ , and  $T_z$  depict the transports in the three directions, and where  $i$ ,  $j$ , and  $k$  refers to  
 373 the grid index. 2) obtain trajectories of individual particles by computing the three-dimensional  
 374 streamlines of the velocity, and achieve the transport fields on the model metrics by algebraically  
 375 summing the individual particles on each junction of two cells (i.e. recording particles when  
 376 trajectory crosses grid cell boundaries every time). 3) compute the streamfunctions by integrating  
 377 these transport fields along the vertical or zonal direction, which is similar to the Eulerian  
 378 streamfunction calculation. Accordingly, the meridional  $\Psi_{j,k}^{LZ}$  and horizontal  $\Psi_{i,j}^{LH}$  streamfunctions  
 379 are defined as

380 
$$\Psi_{j,k}^{LZ} - \Psi_{j,k-1}^{LZ} = - \sum_i \sum_n T_{i,j,k,n}^y \quad (3)$$

381 
$$\Psi_{i,j}^{LH} - \Psi_{i-1,j}^{LH} = \sum_k \sum_n T_{i,j,k,n}^y \quad (4)$$

383 Contours of  $\Psi_{j,k}^{LZ}$  and  $\Psi_{i,j}^{LH}$  depict pathways of water mass movement projected onto the meridional  
 384 (latitude-depth) and horizontal (longitude-latitude) directions respectively. Northward and  
 385 eastward movements are counted positive, while southward and westward movements are counted  
 386 negative. One advantage of using the Lagrangian streamfunction is that it can then be decomposed  
 387 into partial streamfunctions, computed from trajectories of particles received by a relevant section  
 388 (Blanke et al., 1999; Döös et al., 2008). The partial Lagrangian streamfunction is obtained by  
 389 summing only the particle trajectories intersecting by a selected final section.

390 To obtain statistical patterns from an ensemble of particles, we use the transport-weighted  
 391 particle distribution for any variable tagged along the particle trajectories, such as density, potential  
 392 temperature and salinity. This transport distribution is roughly equivalent to the probability density  
 393 function (as defined in Eq 1 of Tamsitt et al., 2018), but is weighted by the volume transport of  
 394 each particle and without any standardization. It is numerically calculated by binning particles and

395 summing their transport in each bin of a given space. The transport distribution in  $\chi$  space is  
396 calculated as

$$397 \quad P(\chi) = \sum_{i=1}^N \xi_i T_i; \quad \xi_i = \begin{cases} 1, & \text{if } \chi - \frac{\delta}{2} < \chi_i \leq \chi + \frac{\delta}{2} \\ 0, & \text{otherwise} \end{cases} \quad (5)$$

398 where  $\delta$  is the bin width,  $N$  is the total number of particles, and the  $\chi_i$  is the property of the  $i^{\text{th}}$   
399 particle. In the following analysis, we replace  $\chi$  with density, potential temperature, salinity, and  
400 depth to analyze particles distribution at the different moments, such as when they are released  
401 from and intercepted by a section. For instance, transport distributions of different fates when they  
402 are released reveal their tendency to different fates, and the transport distribution differences  
403 between the initial and final sections depict transformation/conversion of relevant quantities that  
404 particles have gone through along their trajectories.

### 405 **2.3 Testing the Lagrangian analysis on the more constrained present-day conditions**

406 We applied the same Lagrangian analysis framework to present-day conditions (a more  
407 constrained framework, and much better known circulation) and compared the results with our  
408 current understanding to validate our analysis. For present-day conditions, the meridional  
409 circulation is characterized by a two-cell structure with deep water formed both in the North  
410 Atlantic and Southern oceans (e.g. Lumpkin & Speer, 2007). Accordingly, we carried out two  
411 Lagrangian experiments by releasing particles from two initial sections, i.e. initial-N (at 56°N  
412 below 750m) and initial-S (at 65°S below 1400m), at the lower limb of the MOC to trace the fates  
413 of the North Atlantic Deep Water and Antarctic Bottom Water respectively (Fig. 3A). Particles  
414 were released from the initial sections Equatorward and tracked until they were intercepted by one  
415 of the receptor sections that include the initial sections, the sections above (above-S and above-N)  
416 and the MLB, following the same approach as for the early Eocene (as described above).

417 Our investigation examines the North Atlantic Deep Water (NADW) and Antarctic Bottom  
418 Water (AABW) in turn. For each, we first introduce the Lagrangian experiments and then present  
419 their results for each deepwater mass, before comparing with our understanding of present-day  
420 conditions. For the NADW, 2931 particles sample a total southward transport of 17.0 Sv of  
421 deepwater at the initial North Atlantic section at 56°N, of which 7.7 Sv went back to the North  
422 Atlantic region, either through the initial section itself or the section above (i.e. the above-N section  
423 in Fig. 3A). The remaining 9.2 Sv of water (more than half) leaves the North Atlantic and  
424 eventually crosses the MLB entering the surface thermal layer globally. The final locations where  
425 these NADW enter the mixed layer are shown in Figure 3B: A large part of NADW enters the  
426 thermocline in the Southern Ocean scattered over the Atlantic, Indian and Pacific sectors. The  
427 transport distribution of paleo-AABW as a function of latitude (Fig. 3D, calculated from eq.5)  
428 shows that the majority (6 Sv out of 9.2 Sv) came to the surface over the southern high latitudes,  
429 through the well-known wind-driven upwelling in the Southern Ocean. Around 3 Sv of particles  
430 reached the surface ocean over other regions, including tropical upwelling (mainly along eastern  
431 boundaries) and the ventilation in the North Atlantic and few in the North Pacific. These  
432 Lagrangian-experiment results on the fates of the NADW highlighted the role of the upwelling  
433 branch of the MOC in the Southern Ocean, and fit our understanding of global circulations well.  
434 Numerous studies, from multiple perspectives, have highlighted the role of Southern Ocean  
435 upwelling, which is driven by strong westerlies across all longitudes, in closing the present-day  
436 MOC (Blanke et al., 2002; Garzoli & Matano, 2011; Marshall & Speer, 2012; Wolfe & Cessi,  
437 2014; Rhein et al., 2014). For instance, the inter-hemisphere pole-to-pole meridional circulation  
438 has been illustrated from a physical dynamics perspective (e.g. Toggweiler & Samuels, 1998;  
439 Wolfe & Cessi, 2011). Marshall and Speer (2012) further demonstrated that the Atlantic deep

440 water is brought to the surface via the Southern Ocean upwelling through inter-hemisphere  
441 overturning circulation, based on observation data and numerical experiments. Using the  
442 Lagrangian analysis, Blanke et al. (2002) showed that the Southern Ocean is an important  
443 receptacle of deep water. More recently, Tamsitt et al. (2017) demonstrated that the northern-  
444 sourced deep water (i.e. NADW) of the three ocean basins spiraling southeastward and upward  
445 through the ACC, with enhanced upwelling at major topographic features. Rhein et al. (2014) used  
446 Atlantic CFC (Chlorofluorocarbon) observations to examine the spreading velocity and pathways  
447 of Labrador Seawater and overflow water from Denmark Strait, and found that Deep Western  
448 Boundary Current is the fast pathway reaching the Southern Ocean.

449         For AABW, the total amount of 34.5 Sv northward-flowing water was captured in the  
450 lower limb of the MOC, which is much larger than the net northward transport of  $\sim 10$  Sv shown  
451 by the Eulerian MOC streamfunction. This large amount of northward transport can be attributed  
452 to deepwater formed locally that leads to compensation in the wide Southern Ocean basin (as in  
453 MOC streamfunction). Of that amount of northward deepwater, 7.5 Sv returned to the initial  
454 section, and the majority, up to 27 Sv, crossed the MLB and came out into the surface ocean. The  
455 final locations where these particles cross the MLB are restricted to the Southern Ocean with the  
456 most northern position of  $40^{\circ}\text{S}$ . This may be in line with an explanation that AABW is isolated  
457 from surface ocean by the overlying NADW and it mixes with the southward NADW in the interior  
458 (Marshall & Speer, 2012; Orsi et al., 1999). The southern component of this mixed upwelled water  
459 mass goes almost immediately back into the deep ocean, forming the recirculation of water  
460 between the Antarctic water masses and Circumpolar Deep Water (Gordon, 1971; Orsi et al., 1999;  
461 Toggweiler et al., 2006; Johnson, 2008; Tamsitt et al., 2017). Therefore, the results of our  
462 lagrangian analysis for the fate of NADW and AABW are in good agreement with the actual

463 understanding of the global circulation under present-day conditions, confirming the interest to  
464 apply such Lagrangian analysis to the Eocene circulation.

### 465 **3 Results**

#### 466 **3.1 Diagnostic analysis of the paleo-AABW source and of the initial state**

467 A diagnostic analysis of the source supplying the paleo-AABW mass at the lower limb of  
468 the MOC can illustrate how the northward export of paleo-AABW is sustained, bringing valuable  
469 insight into paleo-AABW dynamics. Further, probability distribution analysis of the initial state  
470 of the water mass properties, such as temperature, salinity and density (at the initial section), can  
471 assess the representativeness of virtual particles and record the starting point of these properties.

472 These paleo-AABW exports are largely supplied by the ocean subduction process  
473 associated with winter ventilation over the high-latitude Southern Ocean. The auxiliary backward  
474 experiment provided an estimate of 81.0 Sv for the total gross amount of water crossing the initial  
475 section, of which 49.8 Sv originated from the surface ocean by crossing the MLB over the Southern  
476 Ocean (Table 1). This total gross transport is exactly the same as the water transport from the initial  
477 section in the main Lagrangian experiment (i.e. tracing the fates of paleo-AABW). The statistical  
478 analysis on geographical locations of the last contact point reveals that the Atlantic-Indian sector  
479 of the Southern Ocean are the main sources of paleo-AABW (Table 1), providing more than 80%.  
480 The rest of the paleo-AABW parcels stem from the Pacific basin. This origin-tracking analysis  
481 also suggests that the majority of deepwater formation is supplied by local processes, with deep  
482 convection, deepwater formation and northward export occurring in the same basin. The only  
483 exception is the Atlantic sector of the Southern Ocean, because the denser water of Atlantic origin  
484 is partly exported eastward to the Indian Ocean sector due to the lack of bathymetry barriers.

485 Regarding the timescale, the occurrence of this ventilation is relatively fast, since 80% of AABW  
486 originating from the mixed layer have completed their journey to 50°S within 20 years.

487 The Lagrangian experiment estimates paleo-AABW transport very well compared with the  
488 Eulerian description (Fig. 2C), confirming the adequate particle sampling strategy. A large part of  
489 this northward-flowing deep water starts its journey in the Atlantic-Indian sector of the Southern  
490 Ocean (Table 1 & Fig. 2B). Within each basin, there is a clear west-east pattern with the majority  
491 of deepwater parcels leaving the initial section from the west side of the basin (Fig. 2B), within  
492 western boundary currents. This west-east pattern across the basin is consistent with the clockwise  
493 subpolar gyre circulation in the horizontal plane, as shown by the Eulerian barotropic  
494 streamfunction (Zhang et al., 2020).

495 There are no distinct differences in potential density ( $\sigma_0$ ) of the northward-flowing  
496 water masses among the three basins. The potential temperature-salinity ( $\theta$ -S) diagram of water  
497 mass shows that the potential density anomalies of the paleo-AABW are in the range of 26.6–27  
498  $\text{kg/m}^3$  when leaving the initial section (Fig. 2D); these values are comparable to the typical value  
499 of the interior ocean of 26.5  $\text{kg/m}^3$  (bulk volume of seawater) of the early-Eocene simulation. The  
500 density differences among the three basins are relatively small (i.e. within 0.5  $\text{kg/m}^3$ ), due to the  
501 compensating effect of changes in temperature and salinity. For instance, the Pacific paleo-AABW  
502 with lower temperature (by 1.5°C) and lower salinity (by 0.2–0.3 psu) is less than 0.2  $\text{kg/m}^3$  lighter  
503 than the paleo-AABW from the Atlantic sector. The Indian sector paleo-AABW shows no density  
504 differences from the deepwater mass of the Atlantic basin, with an average of  $\sim 26.7 \text{ kg/m}^3$ .  
505 Regarding PI conditions, the particles represent the southward flowing water mass for the NADW  
506 and northward water mass for AABW. In present-day conditions, the density of NADW and

507 AABW is in the range of 27.5–27.7 kg/m<sup>3</sup> in general, which is denser than the Eocene much  
508 warmer deepwater mass.

### 509 **3.2 Fates of paleo-AABW and their pathway**

#### 510 **3.2.1 Two fates of paleo-AABW**

511 Northward-flowing deepwater mass over the lower limb of the MOC shows two fates: 1)  
512 entering into the surface ocean by crossing the MLB; 2) returning to the Southern Ocean across  
513 60°S, either through the initial section (below 1900 m depth) or the section above (the 60Sab  
514 section). One way to quantitatively elucidate the fate of paleo-AABW mass is to statistically  
515 analyze the initial and final position of these water parcels. As shown in Table 1, the statistical  
516 results indicate that more than a quarter of paleo-AABW parcels enter the surface ocean by  
517 crossing the MLB, with an average travel time around 700 years (Fig. S2); nearly half return to  
518 the initial section within 100 years; and the rest are intercepted by the 60Sab section with a travel  
519 time of 300 years.

520 Another way to illustrate the fates of this paleo-AABW mass is to calculate the meridional  
521 Lagrangian streamfunction from these water parcels all along their trajectory, which gives more  
522 information on their temporal evolution along their trajectories in addition to the initial and final  
523 stage. It is worth to notice that this meridional Lagrangian streamfunction represents only a fraction  
524 (i.e. the water flow originating from paleo-AABW) of the Eulerian-velocity-inferred MOC  
525 streamfunction, because water particles are released only from the lower limb of the MOC in our  
526 Lagrangian experiment. Theoretically, taking a full-range of particles released from all grid cells  
527 in the full-domain simulation into consideration will reproduce a Lagrangian streamfunction that  
528 is exactly the same as the Eulerian-MOC streamfunction (Blanke et al., 1999; Blanke & Raynaud,  
529 1997; Speich et al., 2001). The Lagrangian MOC streamfunction for all particles (Figure 4A,



530 calculated from eq. 3) suggests that around 40 Sv of water, indicated by the difference between  
531 the bottom and the top of the section, was transported away from the initial section towards the  
532 north. Along its journey northward, more and more water is transported upward, and either enters  
533 the surface ocean after crossing the MLB or changes direction to the south to eventually reach the  
534 60Sab section. Similarly, the total streamfunction (Fig. 4) shows that more than 15 Sv of paleo-  
535 AABW enters the surface ocean and ~25 Sv of water goes back to the Southern Ocean through the  
536 60Sab section. Along the latitudinal direction, there is about 20 Sv of paleo-AABW that crosses  
537 the Equator, and around 15 Sv continues on to latitude 20°N. This meridional Lagrangian  
538 streamfunction can be decomposed into partial streamfunctions for the particles that go back to the  
539 Southern Ocean, and those intercepting the MLB separately (Fig. 4B, 4C, 4D).

540 Under PI conditions, the proportion of deepwater mass entering the surface mixed layer  
541 (Table S2) is larger than that (25%) for the early-Eocene simulation, with 9 Sv out of the 17 Sv  
542 for NADW and 27 Sv out of the 35 Sv for AABW. These large proportions are probably due to  
543 the presence of the Antarctic Circumpolar Current, dragging the NADW through inter-hemisphere  
544 circulations (e.g. Wolfe & Cessi, 2011) and may also induce meridional excursion for AABW,  
545 because circumpolar circulation in the Southern Ocean is not strictly zonal (Volkov et al., 2010;  
546 Tamsitt et al., 2017).

### 547 **3.2.2 What drives the different fates of paleo-AABW?**

548 Particles sampling the paleo-AABW show different fates depending on their initial depth  
549 and their basin of origin. Figure 4E shows the transport distribution of particles as a function of  
550 initial depth. The results suggest that water parcels originating in the deepest layers (4000–4500  
551 m) are relatively more likely to be intercepted by the MLB, the lower part of the deep waters  
552 (3500–4000 m) tend to be intercepted by the 60Sab section, whereas the upper part of the deep

553 waters (2000–3000 m) tend to return to the initial section. This depth-dependency was unexpected,  
554 because, intuitively, the upper deep waters have a short distance to travel before reaching the MLB,  
555 thus should have a higher chance of being intercepted by the MLB. There are two possible  
556 explanations for this unexpected result: 1) the switch between northward-flowing and southward  
557 flowing transport happens somewhere in the middle of the lower and upper deep water, making it  
558 more likely for the paleo-AABW flowing in the lower part of the deep waters to return to the initial  
559 section; 2) a “channel” (e.g. prevailing upwelling) may link the upper deep waters to the surface  
560 ocean.

561         Among the different basins, the waters of Atlantic origin are more likely to enter the mixed  
562 layer (7.6 out of 18.8 Sv) than that of the Indian (4.4 out of 20.7 Sv) and Pacific (4.4 out of 11.1  
563 Sv) oceans (Table 1). Most paleo-AABW of Pacific and Indian Ocean origin return to the initial  
564 section: 45% and 55% respectively (Table 1). In contrast to this spatial pattern, there are no distinct  
565 patterns among these destinations in terms of initial salinity or temperature, as shown in the  $\theta$ -S  
566 diagram (Fig. 4F).

### 567         **3.3 Return of paleo-AABW to the surface ocean by crossing the MLB**

568         As mentioned above, a quarter of paleo-AABW parcels cross the mixed layer and  
569 eventually return to the surface ocean, which is statistically represented by particles intercepting  
570 the MLB (Fig. 4B). The trajectories of these deepwater parcels ending in the mixed layer illustrate  
571 the pathways toward the surface ocean and the actual transformations of paleo-AABW into light  
572 water. The amount of this deepwater crossing the MLB is up to half of the non-recirculating paleo-  
573 AABW mass (20.7 Sv out of 46.1 Sv). This large proportion shows this upwelling towards the  
574 mixed layer plays a crucial role in closing up the meridional overturning circulations. We will

575 investigate further in more details what are the main dynamical processes occurring along the  
576 particle trajectory for this obduction of deep water.

### 577 **3.3.1 General routes and residence time of deep water towards the MLB**

578 From the initial section onward, general routes for the horizontal propagation of these  
579 paleo-AABW ending in the mixed layer are shown by the barotropic Lagrangian streamfunction  
580 (calculated from eq. 4, Fig. 5A). The results show varied water transport routes among different  
581 basins. Over the Pacific sector,  $\sim 5$  Sv of paleo-AABW leaves the Southern Ocean from the initial  
582 section and eventually crosses the MLB and returns to the surface ocean. A small fraction of these  
583 northward-flowing water masses branches off from the northward transport and joins the  
584 southwest currents into the Indian basin before reaching the Equator. Around half of these  
585 northward-flowing water masses come out into the surface mixed layer over the tropics (9.3 Sv).  
586 The remaining small fraction continues its northward journey crossing the Equator and eventually  
587 reaching the surface ocean over the northern high-latitude regions with deeper mixed layer base  
588 (Fig. 4 of Zhang et al., 2020). In the Atlantic-Indian Ocean, paleo-AABW particles initially travel  
589 toward the north, and most reach the tropical region. Over the equatorial region, a large fraction  
590 of water parcels changes their direction toward the west and crosses the remaining Tethys Sea  
591 (bounded by Africa-India in the south and Eurasia in the north). There are actually two fates for  
592 these waters flowing westward: 1) around 5 Sv goes southward along the east coast of the paleo-  
593 Indian continent; 2) 10 Sv water keeps travelling westward, crossing the Gibraltar Strait and being  
594 transported southward afterward, forming the noticeable anticlockwise transport around the  
595 African continent. Strong tropical upwelling over the Pacific basin is supplied by deep water  
596 originating from multiple basins, including paleo-AABW of Pacific origin locally, paleo-AABW  
597 of Atlantic origin transported from the east through the Panama Strait and paleo-AABW of Indian

598 origin from the southwest. About 5 Sv water transport toward the Atlantic basin crossing the  
599 Panama Strait is also visible in these routes of deepwater crossing the MLB, as indicated by the  
600 differences in the Lagrangian streamfunction (Fig. 5). Although this only partially represents the  
601 net water transport across the strait, the direction seems compatible with studies on a more recent  
602 opening of the strait (Sepulchre et al., 2014; Tan 2017; Nof & Van Gorder, 2003).

603         The final geographical location of where paleo-AABW parcels cross the MLB shows a  
604 clear latitudinal pattern (Fig. 5B). The distribution of these water parcels in terms of volume flux  
605 as a function of latitude highlights over three regions: Southern Ocean deep convection,  
606 equatorial/tropical upwelling, and Northern Hemisphere high latitudes (NHH) (Fig. 5C). The  
607 Southern Ocean convection is revealed by enhanced vertical diffusivity and deep mixed layer  
608 depth. For the equatorial/tropical upwelling regions, water parcels enter the surface mixed layer  
609 mainly along the Equator (Pacific and Atlantic), and over the eastern coastal regions in the Pacific  
610 and Atlantic basins, where surface winds drive strong offshore Ekman transport. The averaged  
611 time required to travel from the initial section to the MLB accordingly decreases from the north  
612 toward the south, with 1400 years for the NHH region, 1200 years for the tropics, and 900 years  
613 for the Southern Ocean. On average, 80% of the deepwater parcels crossing the MLB finish their  
614 journey within 1000 years (Fig. S1).

### 615         **3.3.2 The role of tropical upwelling in deepwater obduction**

616         The important role of tropical upwelling in the deepwater obduction in the early-Eocene  
617 simulation is highlighted by the large amount of upwelling water in the tropical regions. As shown  
618 in Figure 6B & 6C, the amount of paleo-AABW upwelling in the tropical region is up to 9.3 Sv,  
619 which consists of 45% of the total deepwater masses crossing the MLB. This upwelling is  
620 associated with the upwelling branch of the Eulerian MOC streamfunction in this tropical region

621 (see Fig, 7C, between 300-1000m). The rest of the particles mainly reach the mixed layer through  
622 vigorous ventilation in the Southern Ocean. This large fraction indicates that the contribution of  
623 tropical upwelling to the deepwater obduction process is substantial in magnitude, which is  
624 essential for closing the global ocean circulation.

625         This strong upwelling over tropical regions is generally consistent with the spatial pattern  
626 of wind-driven Ekman pumping. With the easterlies blowing over the tropical surface, westward-  
627 flowing water is dragged by the Coriolis force away from its original westward route on both sides  
628 of the Equator. The opposite directions of the Coriolis force between the southern and northern  
629 hemisphere result in divergences of surface water transport over the equatorial region and induce  
630 the well-known Ekman pumping. In line with this, the Ekman pumping can explain the spatial  
631 pattern of the particles final positions when entering the surface mixed layer. As shown in Figure  
632 5B, water parcels enter the surface mixed layer mainly over the eastern coastal regions, where  
633 surface waters are dragged offshore by wind, leading to divergence at the surface and inducing  
634 coastal upwelling.

635         To further put this tropical upwelling in context, we compared it with PI conditions. First,  
636 we need determine which deepwater mass (NADW or AABW) is more comparable to the paleo-  
637 AABW in the early Eocene simulation. Intuitively, the AABW is the natural counterpart for the  
638 early-Eocene paleo-AABW, because both share the same origin in the Southern Ocean. However,  
639 the overlying NADW in the present conditions complicates and even hinders these direct  
640 comparisons. In contrast, from the actual ocean circulation perspective, the NADW is a more  
641 comparable alternative, because it is formed in high latitudes (albeit in opposite hemispheres) and  
642 has direct access to the surface ocean. However, only 1.7 Sv (out of 9.2 Sv) of the southward-  
643 flowing NADW comes out into the surface ocean in tropical regions and the majority returns to

644 the surface ocean through the Southern Ocean upwelling. Therefore, compared with PI conditions,  
645 the proportion (9 Sv out of 21 Sv) of tropical upwelling of paleo-AABW into the surface ocean  
646 during the early Eocene is much larger. This is in line with the stronger Eocene upwelling over the  
647 tropics than the present-day (Fig. S6B). Indeed, because of the almost closed Drake passage, there  
648 is no Antarctic Circumpolar Current in the Eocene, and the tropical gateways between the different  
649 basins are not located in the westerlies latitude band, such that the paleo-AABW overturning cell  
650 cannot function as a pole-to-pole circulation like the NADW today. Consequently, another  
651 transformation route to surface waters needs to be found, and this is partly through equatorial and  
652 tropical upwelling.

### 653 **3.3.3 Intense exchanges across the three basins**

654 The strong connection between the three basins can be seen from the well-mixed final  
655 locations of particles, independently of their basin of origin at the initial section. As summarized  
656 statistically in the right part of Table 1, only half the amount of deepwater parcels enter the mixed  
657 layer in the same basin as they departed from, while the other half enters the surface ocean in other  
658 basins. This initial-final analysis provides only a minimum estimate on exchanges between basins,  
659 because some of water parcels that eventually end up in the same basin may travel to other basins  
660 before reaching their final location. In addition, the amount of paleo-AABW water ending in the  
661 Pacific mixed layer is more than twice as the amount of paleo-AABW initialized from this basin  
662 (9.7 vs 4.4 Sv), demonstrating that deepwaters ending in the Pacific mixed layer are supplied from  
663 multiple basins, i.e. the Pacific itself, the Atlantic and the Indian Ocean. Overall, these Lagrangian-  
664 based statistics illustrate that the magnitude of inter-basin overturning transports is substantial.

## 665 **4 Discussion**

### 666 **4.1 Conversion of paleo-AABW into lighter surface water**

667 The principle of deepwater conversion is that deep water is transferred from the interior  
668 ocean to the surface mixed layer by both diapycnal mixing (Munk, 1966) and obduction processes  
669 (Blanke et al., 2002; Liu & Huang, 2012; Marshall & Speer, 2012). The mass flux escaping the  
670 interior ocean in our Lagrangian experiment is documented by particles intercepted by the MLB.  
671 During their journey, the physical characteristics of water parcels are modified by mixing with  
672 surrounding water, in association with topographical interactions and mesoscale dynamics  
673 (Rimaud et al., 2012). Tracing the evolution of properties of these water masses along the trajectory  
674 can thus illustrate how these processes contribute to paleo-AABW conversion.

#### 675 **4.1.1 Deepwater conversion accompanied by density transformation**

676 For those water parcels that eventually enter the surface ocean, most go through diapycnal  
677 mixing processes with net negative density transformation during the journey, as shown by the  
678 differences of their transport distribution of density anomaly between the initial and final state (Fig.  
679 5D). At the initial section, paleo-AABW density falls in the range of  $26.5\text{--}27\text{ kg/m}^3$ , whereas their  
680 density anomaly when they enter the mixed layer ranges from  $17$  to  $27\text{ kg/m}^3$  with one mode at  
681  $21\text{--}22\text{ kg/m}^3$  and another around  $26\text{ kg/m}^3$ . Therefore, water mass density decreases conspicuously  
682 from the initial to the final state, indicating that water parcels go through negative density  
683 transformation along their journey. Actually, the net negative density transformation are the results  
684 of two opposing processes: salinity and heat changes, as a result of mixing with the surrounding  
685 waters. The majority of water parcels gain both salinity and heat at the same time along their  
686 journey, but the latter dominates the density decrease, as shown by the probability distribution in  
687 the salinity and temperature spaces (Fig. S3).

688           The following analysis on the full-range trajectory of water parcels allows us to pin down  
689 where these processes happen exactly. Density changes along the water parcels' trajectory reveal  
690 clear vertical and geographical patterns. In the vertical direction, the density transformation mostly  
691 occurs in the upper ocean, whereas in the abyssal ocean, water parcels mostly travel along  
692 isopycnals with conserved density. The scatterplot of density changes as a function of depth  
693 (Figure 6A) shows considerable changes over the upper ocean (e.g. above 1000 m), but very weak  
694 changes below the depth of 1500 m. For the upper ocean, the particles distribution as a function  
695 of density change (Fig. 6B) is clearly skewed to the left, indicating overall net density loss. The  
696 net negative density transformation is the balance of the density loss and density gain, with the  
697 latter being much smaller in extent. Further investigation reveals that these positive values of  
698 density change are due to the seasonal cycle effects, and examining the density changes at annual  
699 frequency filters this out and shows negative density change along the trajectories (Fig. S4).  
700 Comparisons of density changes among different vertical layers demonstrate a clear decrease in  
701 this net density transformation with increasing depths. For instance, over the top 500 m there are  
702 four times as many particles losing density larger than  $0.025 \text{ kg/m}^3$  per month than over the 500-  
703 1000 m depth range (Fig. 6B).

704           In line with this efficient density transformation in the upper ocean, the final depths where  
705 water parcels reach the MLB are restricted to the upper 500 m. In contrast, the final depths of the  
706 particles that do not experience significant density changes (defined as the final density  $\geq 26.5$   
707  $\text{kg/m}^3$ ) vary from 100 m to 2800 m, and are geographically limited to within  $50^\circ\text{S}$  south. The  
708 geographical distributions of these two types of water parcels are consistent with the findings of  
709 Blanke et al. (2002), who found that deepwater masses enter the surface ocean in two ways: 1)  
710 deepwater parcels accumulate upward displacement over time and eventually reach the surface



711 mixed ocean throughout the climatological year; 2) seasonal shallowing of the mixed layer can  
712 absorb some water into the surface mixed ocean, leading to water transfer into the mixed layer. In  
713 the horizontal direction, the large density change mainly occurs near the tropical upwelling regions  
714 (Fig. 6C), primarily in response to wind-driven Ekman transport. Figure 6C presents the  
715 geographical distribution of these water parcels whose density change is greater than  $0.1 \text{ kg/m}^3$   
716 per month. Although both density gain and loss related to seasonal cycle are found near the tropics  
717 (Fig. 6C), the annual signal is dominated by a net density loss (Fig. S6B). This strong negative  
718 density loss over the tropical regions matches the tropical upwelling pattern well, supporting its  
719 role in density transformation. Some density transformations also occur over the high-latitude  
720 regions, probably as results of strong air-sea flux and induced convective displacement/ventilation  
721 of water parcels, but their contribution remains relatively small.

722 This efficient density transformation in the upper ocean is coupled to the effective net  
723 upward transport at the similar vertical level. As illustrated by the depth changes as a function of  
724 depth along the particle trajectories (Figure 7), the net upwelling mainly occurs in the upper ocean,  
725 despite an overall small absolute change. In the upper ocean, the particles distribution as a function  
726 of depth change (Fig. 7) is strongly left-skewed, indicating substantial net upwelling. In contrast,  
727 despite the larger absolute vertical displacements (both upward and downward transport) in the  
728 abyssal ocean, these two opposite displacements are of similar order of magnitude and therefore  
729 cancel each other out, without causing net upwelling transport. These large absolute vertical  
730 displacements in the abyssal ocean are probably due to seafloor perturbations, since the spatial  
731 pattern matches the bathymetry very well (Fig. S5).

#### 732 4.1.2 The tropical upwelling of paleo-AABW

733 The above analysis of particle trajectory demonstrates that important processes occur over  
734 the tropical region, highlighting the importance of tropical upwelling in the obduction of paleo-  
735 AABW. This section will focus on this tropical upwelling transport to investigate the mechanism  
736 behind this prevailing upwelling and the potential analog to the present-day situation.

737 Apart from statistical summaries of the final transport over tropical regions, another way  
738 to show vertical transport is to calculate actual vertical transport at each depth using the MOC  
739 streamfunction. By definition, the MOC streamfunction differences for a given latitude-band ( $\Psi_{\text{latn}}$   
740  $- \Psi_{\text{latn}}$ ) represent the vertical transport of this region, with positive values indicating upward  
741 transport and negative values reflecting downward transport. For instance, over the latitude band  
742 of 10°S and 10°N, streamfunctions based on water parcels crossing the MLB and on all particles  
743 both estimate a noticeable upward water mass transport at shallow to intermediate depths (e.g.  
744 upper 1500 m), with good agreement between them (Fig. 7C). Compared with Eulerian-inferred  
745 results, these particles reproduce Eulerian-inferred vertical transports well at intermediate depths  
746 (i.e. 500–1500 m), and only a fraction of transport over shallow depths. In particular, water parcels  
747 only represent a small part of upwelling transport over the top 500 m.

748 This efficient upwelling circulation over tropical regions during the early Eocene is favored  
749 by the continental geometry, compared with PI conditions. First, the three basins (Pacific, Atlantic  
750 and Indian) are well-connected over the tropical regions by the opened Panama Strait, the wide  
751 Gibraltar Strait and the remains of the Tethys Sea. These well-connected ocean basins allow  
752 circum-circulation across all longitudes, in a similar way as the ACC under the present-day  
753 condition, despite with a weaker intensity, and at different latitude range. Second, the broad  
754 extension of the Pacific basin along the east-west direction over the tropics, 20% larger than the

755 present-day over most of the tropical latitudes, provides more space for accumulating wind-driven  
756 divergence at the surface (and shallow levels), and facilitates the strong upwelling on the east side  
757 of the basin. For the Atlantic basin, although the overall basin size is smaller than today, the basin  
758 width at 0–10°S (where numerous water parcels enter the thermocline) reaches up to 4000 km,  
759 which is sufficient to permit upwelling over the eastern basin at this latitude band. The final  
760 geographical locations where water parcels enter the mixed layer are constrained to upwelling  
761 regions and can be inferred from the wind stress curl (Fig. 5B & Fig. S6A). There are no significant  
762 differences in wind stress between the early Eocene and present-day conditions (Zhang et al., 2020).  
763 Therefore, the continental geometry differences are probably responsible for this efficient  
764 equatorial and tropical upwelling process.

765         This strong upwelling of deep water near the Equator serves as a return path for paleo-  
766 AABW from the interior ocean to the surface mixed layer. In this sense, the tropical regions during  
767 the early Eocene are analogous to the present-day Southern Ocean, because both of them transport  
768 deep water upward and are essential for the closure of the overturning circulation. The role of  
769 Southern Ocean upwelling that is driven by strong westerlies across all longitudes in closing the  
770 present-day MOC has been highlighted by numerous studies (Anderson et al., 2009; Blanke et al.,  
771 2002; Garzoli & Matano, 2011; Marshall & Speer, 2012; Wolfe & Cessi, 2014; Tamsitt et al., 2018). Both  
772 observation data and numerical experiments confirm that the North Atlantic Deep Water is brought  
773 into the surface by the Southern Ocean upwelling through inter-hemisphere overturning circulation  
774 (Marshall & Speer, 2012). More directly, Lagrangian analysis and CFC tracer observation  
775 demonstrate the deep water upwelling in the Southern Ocean through the ACC (Blanke et al. 2002;  
776 Rhein et al., 2015; Tamsitt et al., 2017). In the absence of such a route to the surface for paleo-  
777 AABW in the Eocene, equatorial and tropical upwelling provide the privileged return route to the

778 surface. As such, the strong tropical upwelling of deep water during the early Eocene can play an  
779 analogous role to the present-day Southern Ocean upwelling.

#### 780 **4.2 Implications of strong tropical upwelling on global carbon cycle**

781 The tropical upwelling during the early Eocene serves as an efficient return path for paleo-  
782 AABW and thus links the interior ocean to the surface ocean and eventually to the atmosphere.  
783 The potential efficiency of the upwelling process to affect the global carbon cycle has been well  
784 illustrated by the Pleistocene glacial cycles (Sigman & Boyle, 2000; Anderson et al., 2009; Burke  
785 & Robinson, 2012). Multiple processes operating among various reservoirs in the carbon system  
786 may likely be involved in contributing to the full amplitude of CO<sub>2</sub> variability during the last  
787 glacial-interglacial transition — up to 100 ppm as archived in the ice core (Barnola et al., 1987;  
788 Sigman and Boyle, 2000). The continental reservoir of organic carbon decreased during the glacial  
789 period mainly via two processes accompanied by the extension of glaciers at high latitudes: 1)  
790 degraded vegetation cover reduced biomass carbon (~15ppm); 2) exposure of sediments on  
791 continental shelves enhanced weathering. Nevertheless, terrestrial carbon is a small reservoir and  
792 may only be a modest source for glacial-interglacial CO<sub>2</sub> variation, especially considering the  
793 buffering effect of the ocean reservoir. For the ocean reservoir, dynamical carbon storage in the  
794 ocean associated with physico-chemical and biogeochemical processes in response to changes in  
795 oceanic chemical composition has been suggested to play an important role (François et al., 1997;  
796 Sigman & Boyle, 2000). Regarding physico-chemical processes, changes in CO<sub>2</sub> storage of the  
797 surface ocean due to cooler temperatures and high salinity may reduce atmospheric CO<sub>2</sub> by 23ppm.  
798 Part of this reduction may be compensated by an associated weathering-induced alkalinity balance  
799 (e.g 15-20ppm), i.e. temporary imbalances between input from weathering and output by the burial  
800 of biogenic calcium carbonate in the ocean sediment. Biogeochemical processes refer to the

801 extraction of carbon from the surface ocean by biological production, allied with changes in the  
802 marine calcium carbonate budget. The availability of ocean nutrients in the low-latitude ocean, the  
803 key to this biogeochemical process, can regulate biological export production, which in turn  
804 determines the sequestration of inorganic carbon in the deep ocean by photosynthesis and the  
805 subsequent rain of organic carbon out of the upper ocean (Broecker, 1982; Sigman & Boyle, 2000).  
806 For instance, it has been estimated that a 30% increase in oceanic nutrients can decrease  
807 atmospheric CO<sub>2</sub> concentrations by 30–45 ppm (Sigman et al., 1998). More importantly, it has  
808 been demonstrated that increased nutrient utilization in the high-latitude Southern Ocean can also  
809 contribute to glacial CO<sub>2</sub> reduction (Knox & McElroy, 1984; Sarmiento & Toggweiler, 1984),  
810 which requires increased isolation of deep-water masses from the atmosphere (Sigman & Boyle,  
811 2000; Köhler et al., 2005; Watson & Naveira Garabato, 2006; Peacock et al., 2006). The Southern  
812 Ocean plays a central role in regulating the glacial-interglacial variability of atmospheric CO<sub>2</sub>  
813 because deep-water masses outcrop in the Southern Ocean and exchange gases with the  
814 atmosphere (François et al., 1997; Sigman & Boyle, 2000). More recently, using biogenic opal  
815 data as an upwelling indicator, Anderson et al. (2009) proposed that a change in Southern Ocean  
816 upwelling circulation (ventilation of the deep-water masses) substantially alters the partitioning of  
817 CO<sub>2</sub> between the atmosphere and the deep sea. This potential link of changed ventilation in the  
818 Southern Ocean to the fluctuations of atmospheric CO<sub>2</sub> across glacial-interglacial cycles during  
819 the late Pleistocene has been further elaborated by recently-available data arising from the  
820 development of novel methods (e.g. Burke & Robinson, 2012; Martínez-Botí et al., 2015). For  
821 instance, radiocarbon records from deep-sea corals have suggested that enhanced ventilation in the  
822 Southern Ocean since the last Glacial Maximum (21 ka) contributed to an increase in atmospheric  
823 CO<sub>2</sub> concentration during the last deglaciation (Anderson et al., 2009; Burke & Robinson, 2012).

824 Recent boron isotope data, as a more direct tracer of oceanic CO<sub>2</sub> outgassing, further suggest that  
825 strengthened upwelling caused a leakage of oceanic carbon over the Southern Ocean, driving the  
826 increasing atmospheric CO<sub>2</sub> over the course of the last deglaciation (Martínez-Botí et al., 2015).  
827 Such non-negligible changes, caused by the variation of the Southern Ocean upwelling over the  
828 late Pleistocene glacial cycles, demonstrate that upwelling dynamics can significantly change the  
829 global carbon distribution.

830         During the Eocene, the low-latitudinal geographical locations of the upwelling process and  
831 associated high temperature can reinforce the influence of upwelling on the global carbon cycle  
832 by inducing physico-chemical and biogeochemical processes. From the physico-chemical  
833 perspective, the solubility of greenhouse gases is a strong inverse function of seawater temperature.  
834 The higher temperatures over upwelling regions may lead to more complete degassing of upwelled  
835 water and promote an effective solubility pump of greenhouse gases from the abyssal ocean into  
836 the atmosphere, although the absolute gas content hold in water can be reduced by the overall  
837 warm Eocene environment. Hypothetically, this intensified solubility of upwelling water can  
838 transfer a tremendous amount of carbon from the abyssal ocean to the atmosphere, via efficient  
839 exposure of carbon-rich deep water to the active surface mixed layer. From a biogeochemical  
840 perspective, strong upwelling theoretically brings nutrients up to the upper ocean and enhances  
841 marine primary productivity. However, biogenic silica accumulation rates, as an indicator of  
842 biological productivity, in the equatorial zone of the Pacific during the Eocene have been found to  
843 be lower than in the Neogene (Moore et al. 2004; 2008). Given the complex processes involved in  
844 transforming biological production into preserved biogenic sediment (especially for such deep  
845 geological time), several factors may explain this discrepancy between expected high primary  
846 productivity and low sedimentation rates (Olivarez Lyle & Lyle, 2005, 2006). First, in addition to

847 primary production, complicated secondary processes influence the settling flux and final burial  
848 of organic matter on the seafloor as sediment deposits, which can lead to substantial uncertainties  
849 in using biogenic silica as a proxy for biological production for such an ancient time period (e.g.  
850 Sigman et al., 1998; Sigman & Boyle, 2000). For instance, the extremely warm Eocene  
851 environment may substantially enhance the basal metabolic rate of the oceanic biota in deeper  
852 waters and accelerate nutrient recycling, which can reduce burial on the seafloor, thus reducing  
853 the biogenic silica accumulation rate (Olivarez Lyle & Lyle, 2005, 2006). Meanwhile, increase in  
854 the dissolved nutrient concentrations of ocean waters below the photic zone may support high  
855 respiration rates and consume the primary production (as mentioned by Moore et al. 2008; Piper  
856 & Calvert, 2009). Second, the preservation of sediment is also an important issue (Moore et al.,  
857 2008), which can be affected by an erosion process or the secondary dissolution due to a totally  
858 different physico-chemical environment (Pichon et al., 1992). In particular, the Eocene is at the  
859 base of most sediment cores, and fewer sediment core data are available for the Eocene period than  
860 for the later periods (e.g. Oligocene). Potentially, strongly connected tropical pathways may allow  
861 enhanced bottom currents that further modify sediment deposition. These large uncertainties may  
862 explain the discrepancies between the low silica accumulation rates and the expected enhanced  
863 biological pump from the upwelling found in our study.

864         The potential sources of this abyssal ocean carbon are also subject to substantial uncertainty,  
865 although they have been explored from different perspectives (Cui et al., 2011; Sexton et al., 2011).  
866 The first hypothetical origin of this Eocene carbon input is oceanic dissolved carbon sequestered  
867 in the abyssal ocean during previous geological times (Sexton et al., 2011), perhaps with a similar  
868 process occurring during the younger intervals of Earth history as during the Pleistocene glacial  
869 cycles (Anderson et al., 2009; Burke & Robinson, 2012). The second hypothesis attributes this

870 Eocene carbon input to the releases of carbon from buried sediments (Nicolo et al., 2007; Panchuk  
871 et al., 2008). However, here, we could not pin down which of the above-mentioned sources would  
872 be more plausible yet, and the exact magnitude of these processes remains to be determined from  
873 more data on the total carbon reservoirs in the deep ocean.

874 Overall, despite uncertainties in biogeochemical processes and possible carbon sources,  
875 our results, from the oceanic dynamics perspective, demonstrate the efficient communication  
876 between the abyssal ocean and the surface mixed ocean, which could effectively influence the  
877 related carbon exchange and perhaps regulate climate evolution during the Eocene.

## 878 **5. Conclusions**

879 Coupled model simulations of the Eocene suggest a very intense overturning originating  
880 in the Southern Ocean, and no deepwater formation in the northern hemisphere. Here, we used the  
881 Lagrangian analysis tool Ariane releasing thousands of particles from the lower limb of this MOC  
882 at latitude 60°S to follow the paleo-AABW masses leaving the Southern Ocean. Their trajectories  
883 were subsequently analyzed to trace the fates of this northward-flowing bottom and deep waters.  
884 With a good representation of the northward-flowing deepwater transports at the initial section,  
885 the Lagrangian analysis revealed two fates for the paleo-AABW. The majority of paleo-AABW  
886 returns to the Southern Ocean either through the initial section (~43%) as part of recirculation or  
887 through the section above the initial section (~31%), and >25% eventually enters the surface ocean  
888 by crossing the base of the mixed layer. Nearly half of the latter water parcels (9 Sv) enters the  
889 surface ocean in tropical regions (30°S–30°N). This is quite different from the present-day  
890 situation, in which most of the NADW comes out into the surface ocean in the Southern Ocean,  
891 and AABW comes out in the region of 40°S.



892 Most of these water parcels experience negative density transformation before reaching the  
893 surface mixed layer. This density transformation mainly occurs in the upper 500 m of the tropical  
894 upwelling regions, which is accompanied by an effective upward overturning. The spatial pattern  
895 of this negative density transformation is consistent with wind-driven Ekman pumping that is  
896 favored by the Eocene continental geometry, including the widely extended Pacific basin and the  
897 presence of tropical gateways (permitting substantial inter-basin connection in the tropics).

898 Our results indicate that the strong tropical upwelling during the early Eocene —  
899 resembling the present-day Southern Ocean upwelling for the NADW, but occurring at much  
900 higher temperature — provided an efficient pathway from the deep ocean to the surface ocean,  
901 which may promote carbon release from the deep ocean through physico-chemical and  
902 biogeochemical processes. The exposure of the carbon-rich deep water in upwelling regions can  
903 promote the solubility pump of greenhouse gases from the abyssal ocean into the atmosphere,  
904 although the absolute gas content of seawater may be reduced in a warm Eocene environment.  
905 Meanwhile, upwelling can modify the nutrients distribution, which may change biological export  
906 production by triggering changes in biogeochemical processes. These physico-chemical and  
907 biogeochemical responses related to upwelling potentially facilitate carbon release from the  
908 abyssal ocean to the surface ocean (and eventually to the atmosphere), which perhaps in turn  
909 affected other processes of the carbon cycle and climate evolution during the Eocene. These  
910 speculations probably deserve a more detailed analysis with a full carbon cycle model for this  
911 particularly warm period of the Earth climate.

912

## 913 **Acknowledgements**

914 We would like to thank Camille Lique for initiating this project and discussions in the early stage  
915 of the study. The IPSL-CM5A2 model simulations were provided by Yannick Donnadieu  
916 (CEREGE), Jean-Baptiste Ladant and Pierre Sepulchre (LSCE). This research received funding  
917 from the French National Research Agency (ANR) under the ‘Programme d’Investissements  
918 d’Avenir’ ISblue (ANR-17-EURE-0015) and LabexMER (ANR-10-LABX-19) for the COPS  
919 project. Additional funding was provided by IFREMER and the ‘Université Bretagne Loire’ for  
920 Yurui Zhang’s postdoctoral fellowship. The Lagrangian experiment data of this study have been  
921 archived in the PANGAEA database (<https://doi.pangaea.de/10.1594/PANGAEA.911376>).

922

## 923 **References**

- 924 Anderson, R. F., Ali, S., Bradtmiller, L. I., Nielsen, S. H. H., Fleisher, M. Q., Anderson, B. E., & Burckle, L. H.  
925 (2009). Wind-driven upwelling in the Southern Ocean and the deglacial rise in atmospheric CO<sub>2</sub>.  
926 *Science*, 323(5920), 1443–1448.
- 927 Baatsen, M., von der Heydt, A. S., Huber, M., Kliphuis, M. A., Bijl, P. K., Sluijs, A., & Dijkstra, H. A. (2018).  
928 Equilibrium state and sensitivity of the simulated middle-to-late Eocene climate. *Climate of the*  
929 *Past Discussions*, 1–49. <https://doi.org/10.5194/cp-2018-43>
- 930 Blanke, B., & Raynaud, S. (1997). Kinematics of the Pacific Equatorial Undercurrent: An Eulerian and  
931 Lagrangian Approach from GCM Results. *Journal of Physical Oceanography*, 27, 1038–1053.
- 932 Blanke, B., Arhan, M., Madec, G., & Roche, S. (1999). Warm Water Paths in the Equatorial Atlantic as  
933 Diagnosed with a General Circulation Model. *Journal of Physical Oceanography*, 29, 2753–2768.
- 934 Blanke, B., Speich, S., Madec, G., & Maugé, R. (2002). A global diagnostic of interior ocean ventilation.  
935 *Geophysical Research Letters*, 29(8), 1–4. <https://doi.org/10.1029/2001GL013727>

936 Bower, A., Lozier, S., Biastoch, A., Drouin, K., Foukal, N., Furey, H., et al. (2019). Lagrangian Views of the  
937 Pathways of the Atlantic Meridional Overturning Circulation. *Journal of Geophysical Research:*  
938 *Oceans*, 124(8), 5313–5335. <https://doi.org/10.1029/2019JC015014>

939 Breecker, D. O., Sharp, Z. D., & McFadden, L. D. (2010). Atmospheric CO<sub>2</sub> concentrations during ancient  
940 greenhouse climates were similar to those predicted for A.D. 2100. *Proceedings of the National*  
941 *Academy of Sciences*, 107(2), 576–580. <https://doi.org/10.1073/pnas.0902323106>

942 Broecker, W., & Barker, S. (2007). A 190‰ drop in atmosphere’s  $\Delta^{14}\text{C}$  during the “Mystery Interval”  
943 (17.5 to 14.5 kyr). *Earth and Planetary Science Letters*, 256(1–2), 90–99.  
944 <https://doi.org/10.1016/j.epsl.2007.01.015>

945 Bullister, J. L., Rhein, M., & Mauritzen, C. (2013). *Ocean Circulation and Climate: Chapter 10. Deepwater*  
946 *Formation* (2nd ed.). Academic Press.

947 Burke, A., & Robinson, L. F. (2012). The Southern Ocean’s Role in Carbon Exchange During the Last  
948 Deglaciation. *Science*, 335(6068), 557–561. <https://doi.org/10.1126/science.1208163>

949 Contoux, C., Jost, A., Ramstein, G., Sepulchre, P., Krinner, G., & Schuster, M. (2013). Megalake Chad  
950 impact on climate and vegetation during the late Pliocene and the mid-Holocene. *Climate of the*  
951 *Past*, 9(4), 1417–1430. <https://doi.org/10.5194/cp-9-1417-2013>

952 Cramer, B. S., Miller, K. G., Barrett, P. J., & Wright, J. D. (2011). Late Cretaceous–Neogene trends in deep  
953 ocean temperature and continental ice volume: Reconciling records of benthic foraminiferal  
954 geochemistry ( $\delta^{18}\text{O}$  and Mg/Ca) with sea level history. *Journal of Geophysical Research*, 116,  
955 C12023. <https://doi.org/10.1029/2011JC007255>

956 Cramwinckel, M. J., Huber, M., Kocken, I. J., Agnini, C., Bijl, P. K., Bohaty, S. M., et al. (2018).  
957 Synchronous tropical and polar temperature evolution in the Eocene. *Nature*, 559(7714), 382–  
958 386. <https://doi.org/10.1038/s41586-018-0272-2>

959 Cui, Y., Kump, L. R., Ridgwell, A. J., Charles, A. J., Junium, C. K., Diefendorf, A. F., et al. (2011). Slow  
960 release of fossil carbon during the Palaeocene–Eocene Thermal Maximum. *Nature Geoscience*,  
961 4(7), 481–485. <https://doi.org/10.1038/ngeo1179>

962 Davies, A., Hunter, S. J., Gréselle, B., Haywood, A. M., & Robson, C. (2019). Evidence for seasonality in  
963 early Eocene high latitude sea-surface temperatures. *Earth and Planetary Science Letters*, 519,  
964 274–283. <https://doi.org/10.1016/j.epsl.2019.05.025>

965 DeConto, R. M., Galeotti, S., Pagani, M., Tracy, D., Schaefer, K., Zhang, T., et al. (2012). Past extreme  
966 warming events linked to massive carbon release from thawing permafrost. *Nature*, 484(7392),  
967 87–91. <https://doi.org/10.1038/nature10929>

968 Döös, K. (1995). Interocean exchange of water masses. *Journal of Geophysical Research: Oceans*,  
969 100(C7), 13499–13514. <https://doi.org/10.1029/95JC00337>

970 Döös, K., & Webb, D. J. (1994). The Deacon cell and the other meridional cells of the Southern Ocean.  
971 *Journal of Physical Oceanography*, 24(2), 429–442.

972 Döös, K., Nycander, J., & Coward, A. C. (2008). Lagrangian decomposition of the Deacon Cell. *Journal of*  
973 *Geophysical Research*, 113(C7), C07028. <https://doi.org/10.1029/2007JC004351>

974 Dufresne, J.-L., Foujols, M.-A., Denvil, S., Caubel, A., Marti, O., Aumont, O., et al. (2013). Climate change  
975 projections using the IPSL-CM5 Earth System Model: from CMIP3 to CMIP5. *Climate Dynamics*,  
976 40, 2123–2165. <https://doi.org/10.1007/s00382-012-1636-1>

977 Dunkley Jones, T., Lunt, D. J., Schmidt, D. N., Ridgwell, A., Sluijs, A., Valdes, P. J., & Maslin, M. (2013).  
978 Climate model and proxy data constraints on ocean warming across the Paleocene–Eocene  
979 Thermal Maximum. *Earth-Science Reviews*, 125, 123–145.  
980 <https://doi.org/10.1016/j.earscirev.2013.07.004>

981 Ferreira, D., Cessi, P., Coxall, H. K., de Boer, A., Dijkstra, H. A., Drijfhout, S. S., et al. (2018). Atlantic-  
982 Pacific Asymmetry in Deep Water Formation. *Annual Review of Earth and Planetary Sciences*,  
983 46(1), 327–352. <https://doi.org/10.1146/annurev-earth-082517-010045>

984 Franois, R., Altabet, M. A., Yu, E.-F., Sigman, D. M., Bacon, M. P., Frank, M., et al. (1997). Contribution of  
985 Southern Ocean surface-water stratification to low atmospheric CO<sub>2</sub> concentrations during the  
986 last glacial period. *Nature*, 389(6654), 929–935. <https://doi.org/10.1038/40073>

987 Foster, G. L., Royer, D. L., & Lunt, D. J. (2017). Future climate forcing potentially without precedent in the  
988 last 420 million years. *Nature Communications*, 8, 14845.  
989 <https://doi.org/10.1038/ncomms14845>

990 Ganachaud, A., & Wunsch, C. (2000). Improved estimates of global ocean circulation, heat transport and  
991 mixing from hydrographic data. *Nature*, 408(6811), 453–457. <https://doi.org/10.1038/35044048>

992 Garzoli, S. L., & Matano, R. (2011). The South Atlantic and the Atlantic Meridional Overturning  
993 Circulation. *Deep Sea Research Part II: Topical Studies in Oceanography*, 58(17–18), 1837–1847.  
994 <https://doi.org/10.1016/j.dsr2.2010.10.063>

995 Griffa, A., Kirwan, A. D. J., Mariano, A. J., Ozgokmen, T., & Rossby, H. T. (Eds.). (2007). *Lagrangian*  
996 *Analysis and Prediction of Coastal and Ocean Dynamics*. Cambridge: Cambridge University Press.  
997 <https://doi.org/10.1017/CBO9780511535901>

998 Green, J. A. M., & Huber, M. (2013). Tidal dissipation in the early Eocene and implications for ocean  
999 mixing: EOCENE TIDES. *Geophysical Research Letters*, 40(11), 2707–2713.  
1000 <https://doi.org/10.1002/grl.50510>

1001 Herold, N., Buzan, J., Seton, M., Goldner, A., Green, J. A. M., Müller, R. D., et al. (2014). A suite of early  
1002 Eocene (~ 55 Ma) climate model boundary conditions. *Geoscientific Model Development*, 7(5),  
1003 2077–2090. <https://doi.org/10.5194/gmd-7-2077-2014>

1004 Hollis, C. J., Dunkley Jones, T., Anagnostou, E., Bijl, P. K., Cramwinckel, M. J., Cui, Y., et al. (2019). The  
1005 DeepMIP contribution to PMIP4: methodologies for selection, compilation and analysis of latest  
1006 Paleocene and early Eocene climate proxy data, incorporating version 0.1 of the DeepMIP  
1007 database. *Geoscientific Model Development*, 12(7), 3149–3206. [https://doi.org/10.5194/gmd-](https://doi.org/10.5194/gmd-12-3149-2019)  
1008 [12-3149-2019](https://doi.org/10.5194/gmd-12-3149-2019)

1009 Ho, S. L., & Laepple, T. (2016). Flat meridional temperature gradient in the early Eocene in the  
1010 subsurface rather than surface ocean. *Nature Geoscience*, 9(8), 606–610.  
1011 <https://doi.org/10.1038/ngeo2763>

1012 Hourdin, F., Grandpeix, J.-Y., Rio, C., Bony, S., Jam, A., Cheruy, F., et al. (2013). LMDZ5B: the atmospheric  
1013 component of the IPSL climate model with revisited parameterizations for clouds and  
1014 convection. *Climate Dynamics*, 40(9–10), 2193–2222. [https://doi.org/10.1007/s00382-012-](https://doi.org/10.1007/s00382-012-1343-y)  
1015 [1343-y](https://doi.org/10.1007/s00382-012-1343-y)

1016 Huber, M. (2008). A Hotter Greenhouse? *Science*, 321(5887), 353–354.  
1017 <https://doi.org/10.1126/science.1161170>

1018 Huber, M., & Caballero, R. (2011) The early Eocene equable climate problem revisited, *Climate of the*  
1019 *Past*, 7, 603–633. <https://doi:10.5194/cp-7-603-2011>

1020 Hutchinson, D. K., de Boer, A. M., Coxall, H. K., Caballero, R., Nilsson, J., & Baatsen, M. (2018). Climate  
1021 sensitivity and meridional overturning circulation in the late Eocene using GFDL CM2.1. *Climate*  
1022 *of the Past*, 14(6), 789–810. <https://doi.org/10.5194/cp-14-789-2018>

1023 Iudicone, D., Lacorata, G., Rupolo, V., Santoleri, R., & Vulpiani, A. (2002). Sensitivity of numerical tracer  
1024 trajectories to uncertainties in OGCM velocity fields. *Ocean Modelling*, 4(3–4), 313–325.  
1025 [https://doi.org/10.1016/S1463-5003\(02\)00006-9](https://doi.org/10.1016/S1463-5003(02)00006-9)

1026 John, E. H., Pearson, P. N., Coxall, H. K., Birch, H., Wade, B. S., & Foster, G. L. (2013). Warm ocean  
1027 processes and carbon cycling in the Eocene. *Philosophical Transactions of the Royal Society A:*

1028 *Mathematical, Physical and Engineering Sciences*, 371(2001), 20130099.  
1029 <https://doi.org/10.1098/rsta.2013.0099>

1030 John, E. H., Wilson, J. D., Pearson, P. N., & Ridgwell, A. (2014). Temperature-dependent remineralization  
1031 and carbon cycling in the warm Eocene oceans. *Palaeogeography, Palaeoclimatology,*  
1032 *Palaeoecology*, 413, 158–166. <https://doi.org/10.1016/j.palaeo.2014.05.019>

1033 Johnson, G. C. (2008). Quantifying Antarctic Bottom Water and North Atlantic Deep Water volumes.  
1034 *Journal of Geophysical Research*, 113(C5), C05027. <https://doi.org/10.1029/2007JC004477>

1035 Kageyama, M., Braconnot, P., Bopp, L., Caubel, A., Foujols, M.-A., Guilyardi, E., et al. (2013). Mid-  
1036 Holocene and Last Glacial Maximum climate simulations with the IPSL model—part I: comparing  
1037 IPSL\_CM5A to IPSL\_CM4. *Climate Dynamics*, 40(9–10), 2447–2468.  
1038 <https://doi.org/10.1007/s00382-012-1488-8>

1039 Knox, F., & McElroy, M. B. (1984). Changes in atmospheric CO<sub>2</sub>: Influence of the marine biota at high  
1040 latitude. *Journal of Geophysical Research: Atmospheres*, 89(D3), 4629–4637.  
1041 <https://doi.org/10.1029/JD089iD03p04629>

1042 Köhler, P., Fischer, H., Munhoven, G., & Zeebe, R. E. (2005). Quantitative interpretation of atmospheric  
1043 carbon records over the last glacial termination. *Global Biogeochemical Cycles*, 19(4).  
1044 <https://doi.org/10.1029/2004GB002345>

1045 Kuhlbrodt, T., Griesel, A., Montoya, M., Levermann, A., Hofmann, M., & Rahmstorf, S. (2007). On the  
1046 driving processes of the Atlantic meridional overturning circulation. *Reviews of Geophysics*,  
1047 45(2), RG2001. <https://doi.org/10.1029/2004RG000166>

1048 Kurtz, A. C., Kump, L. R., Arthur, M. A., Zachos, J. C., & Paytan, A. (2003). Early Cenozoic decoupling of  
1049 the global carbon and sulfur cycles. *Paleoceanography*, 18(4), 1090.  
1050 <https://doi.org/10.1029/2003PA000908>

1051 Ladant, J.-B., Donnadieu, Y., Lefebvre, V., & Dumas, C. (2014). The respective role of atmospheric carbon  
1052 dioxide and orbital parameters on ice sheet evolution at the Eocene-Oligocene transition: Ice  
1053 sheet evolution at the EOT. *Paleoceanography*, 29(8), 810–823.  
1054 <https://doi.org/10.1002/2013PA002593>

1055 Liu, L. L., & Huang, R. X. (2012). The Global Subduction/Obduction Rates: Their Interannual and Decadal  
1056 Variability. *Journal of Climate*, 25(4), 1096–1115. <https://doi.org/10.1175/2011JCLI4228.1>

1057 Lumpkin, R., & Speer, K. (2007). Global Ocean Meridional Overturning. *Journal of Physical*  
1058 *Oceanography*, 37(10), 2550–2562. <https://doi.org/10.1175/JPO3130.1>

1059 Lunt, D. J., Valdes, P. J., Jones, T. D., Ridgwell, A., Haywood, A. M., Schmidt, D. N., et al. (2010). CO<sub>2</sub>-  
1060 driven ocean circulation changes as an amplifier of Paleocene-Eocene thermal maximum  
1061 hydrate destabilization. *Geology*, 38(10), 875–878. <https://doi.org/10.1130/G31184.1>

1062 Lunt, D. J., Huber, M., Anagnostou, E., Baatsen, M. L. J., Caballero, R., DeConto, R., et al. (2017). The  
1063 DeepMIP contribution to PMIP4: experimental design for model simulations of the EECO, PETM,  
1064 and pre-PETM (version 1.0). *Geoscientific Model Development*, 10(2), 889–901.  
1065 <https://doi.org/10.5194/gmd-10-889-2017>

1066 Lunt, D. J., Bragg, F., Chan, W.-L., Hutchinson, D. K., Ladant, J.-B., Niezgodzki, I., et al. (2020). DeepMIP:  
1067 Model intercomparison of early Eocene climatic optimum (EECO) large-scale climate features  
1068 and comparison with proxy data (preprint). <https://doi.org/10.5194/cp-2019-149>

1069 Macdonald, A. M., & Wunsch, C. (1996). An estimate of global ocean circulation and heat fluxes. *Nature*,  
1070 382(6590), 436–439. <https://doi.org/10.1038/382436a0>

1071 Maffre, P., Ladant, J.-B., Donnadieu, Y., Sepulchre, P., & Godd ris, Y. (2018). The influence of orography  
1072 on modern ocean circulation. *Climate Dynamics*, 50(3–4), 1277–1289.  
1073 <https://doi.org/10.1007/s00382-017-3683-0>



1074 Marshall, J., & Speer, K. (2012). Closure of the meridional overturning circulation through Southern  
1075 Ocean upwelling. *Nature Geoscience*, 5, 171–180.

1076 Martínez-Botí, M. A., Marino, G., Foster, G. L., Ziveri, P., Henehan, M. J., Rae, J. W. B., et al. (2015).  
1077 Boron isotope evidence for oceanic carbon dioxide leakage during the last deglaciation. *Nature*,  
1078 518(7538), 219–222. <https://doi.org/10.1038/nature14155>

1079 Moore, T. C., Jarrard, R. D., Olivarez Lyle, A., & Lyle, M. (2008). Eocene biogenic silica accumulation rates  
1080 at the Pacific equatorial divergence zone: EOCENE BIOGENIC SILICA. *Paleoceanography*, 23(2),  
1081 PA2202. <https://doi.org/10.1029/2007PA001514>

1082 Munk, W. H. (1966) Abyssal recipes. *Deep-Sea Research*, 13, 707-730.

1083 Nicolo, M. J., Dickens, G. R., Hollis, C. J., & Zachos, J. C. (2007). Multiple early Eocene hyperthermals:  
1084 Their sedimentary expression on the New Zealand continental margin and in the deep sea.  
1085 *Geology*, 35(8), 699–702. <https://doi.org/10.1130/G23648A.1>

1086 Nof, D. (2000). Does the Wind Control the Import and Export of the South Atlantic? *Journal of Physical*  
1087 *Oceanography*, 30, 18.

1088 Nof, D., & Gorder, S. V. (2003). Did an Open Panama Isthmus Correspond to an Invasion of Pacific Water  
1089 into the Atlantic? *Journal of Physical Oceanography*, 33, 13.

1090 Olivarez Lyle, A., & Lyle, M. (2005), Organic carbon and barium in Eocene sediments: possible  
1091 controls on nutrient recycling in the Eocene equatorial Pacific Ocean, *Proc. Ocean Drill. Program Sci.*  
1092 *Results*, 199, 1– 33.

1093 Olivarez Lyle, A., & Lyle M. (2006), Missing organic carbon in Eocene marine sediments: Is metabolism  
1094 the biological feedback that maintains end-member climates? *Paleoceanography*, 21, PA2007,  
1095 [doi:10.1029/2005PA001230](https://doi.org/10.1029/2005PA001230).

1096 Orsi, A. H., Johnson, G. C., & Bullister, J. L. (1999). Circulation, mixing, and production of Antarctic  
1097 Bottom Water. *Progress in Oceanography*, 43(1), 55–109. <https://doi.org/10.1016/S0079->  
1098 6611(99)00004-X

1099 Pagani, M., Arthur, M. A., & Freeman, K. H. (1999). Miocene evolution of atmospheric carbon dioxide.  
1100 *Paleoceanography*, 14(3), 273–292. <https://doi.org/10.1029/1999PA900006>

1101 Panchuk, K., Ridgwell, A., & Kump, L. R. (2008). Sedimentary response to Paleocene-Eocene Thermal  
1102 Maximum carbon release: A model-data comparison. *Geology*, 36(4), 315–318.  
1103 <https://doi.org/10.1130/G24474A.1>

1104 Peacock, S., Lane, E., & Restrepo, J. M. (2006). A possible sequence of events for the generalized glacial-  
1105 interglacial cycle. *Global Biogeochemical Cycles*, 20(2). <https://doi.org/10.1029/2005GB002448>

1106 Pearson, P. N., & Palmer, M. R. (2000). Atmospheric carbon dioxide concentrations over the past 60  
1107 million years. *Nature*, 406(6797), 695–699. <https://doi.org/10.1038/35021000>

1108 Pichon, J. J., Bareille, G., Labracherie, M., Labeyrie, L. D., Baudrimont, A., & Turon, J. L. (1992).  
1109 Quantification of the Biogenic Silica Dissolution in Southern Ocean Sediments. *Quaternary*  
1110 *Research*, 37(3), 361–378. [https://doi.org/10.1016/0033-5894\(92\)90073-R](https://doi.org/10.1016/0033-5894(92)90073-R)

1111 Rhein, M., Kieke, D., & Steinfeldt, R. (2015). Advection of North Atlantic Deep Water from the Labrador  
1112 Sea to the southern hemisphere. *Journal of Geophysical Research: Oceans*, 120(4), 2471–2487.  
1113 <https://doi.org/10.1002/2014JC010605>

1114 Rimaud, J., Speich, S., Blanke, B., & Grima, N. (2012). The exchange of Intermediate Water in the  
1115 southeast Atlantic: Water mass transformations diagnosed from the Lagrangian analysis of a  
1116 regional ocean model: AAIW in the Southeast Atlantic. *Journal of Geophysical Research*, 117,  
1117 C08034. <https://doi.org/10.1029/2012JC008059>

1118 Schmittner, A., Silva, T. A. M., Fraedrich, K., Kirk, E., & Lunkeit, F. (2011). Effects of Mountains and Ice  
1119 Sheets on Global Ocean Circulation. *Journal of Climate*, 24(11), 2814–2829.  
1120 <https://doi.org/10.1175/2010JCLI3982.1>

1121 Sepulchre, P., Arsouze, T., Donnadieu, Y., Dutay, J.-C., Jaramillo, C., Le Bras, J., et al. (2014).  
1122 Consequences of shoaling of the Central American Seaway determined from modeling Nd  
1123 isotopes. *Paleoceanography*, 29(3), 176–189. <https://doi.org/10.1002/2013PA002501>

1124 Sepulchre, P., Caubel, A., Ladant, J.-B., Bopp, L., Boucher, O., Braconnot, P., et al. (2020). IPSL-CM5A2.  
1125 An Earth System Model designed for long simulations of past and future climates. *Geoscientific  
1126 Model Development*13, 3011–3053. <https://doi.org/10.5194/gmd-13-3011-2020>

1127 Sigman, D. M.,  
1128 & Boyle, E. A. (2000). Glacial/interglacial variations in atmospheric carbon dioxide. *Nature*,  
1129 407(6806), 859–869. <https://doi.org/10.1038/35038000>

1130 Sexton, P. F., Norris, R. D., Wilson, P. A., Pälike, H., Westerhold, T., Röhl, U., et al. (2011). Eocene global  
1131 warming events driven by ventilation of oceanic dissolved organic carbon. *Nature*, 471(7338),  
1132 349–352. <https://doi.org/10.1038/nature09826>

1133 Speich, S., Blanke, B., & Madec, G. (2001). Warm and cold water routes of an O.G.C.M. thermohaline  
1134 conveyor belt. *Geophysical Research Letters*, 28(2), 311–314.  
1135 <https://doi.org/10.1029/2000GL011748>

1136 Srokosz, M., Baringer, M., Bryden, H., Cunningham, S., Delworth, T., Lozier, S., et al. (2012). Past,  
1137 Present, and Future Changes in the Atlantic Meridional Overturning Circulation. *Bulletin of the  
1138 American Meteorological Society*, 93(11), 1663–1676. [https://doi.org/10.1175/BAMS-D-11-  
1139 00151.1](https://doi.org/10.1175/BAMS-D-11-00151.1)

1140 Talley, L., (2013). Closure of the Global Overturning Circulation Through the Indian, Pacific, and Southern  
1141 Oceans: Schematics and Transports. *Oceanography*, 26(1), 80–97.  
1142 <https://doi.org/10.5670/oceanog.2013.07>

1143 Tamsitt, V., Drake, H., Morrison, A. K., Talley, L. D., Dufour, C. O., Gray, A. R., et al. (2017). Spiraling  
1144 pathways of global deep waters to the surface of the Southern Ocean. *Nature Communications*,  
1145 8(1), 172. <https://doi.org/10.1038/s41467-017-00197-0>

1146 Tamsitt, V., Abernathey, R. P., Mazloff, M. R., Wang, J., & Talley, L. D. (2018). Transformation of Deep  
1147 Water Masses Along Lagrangian Upwelling Pathways in the Southern Ocean: SOUTHERN OCEAN  
1148 UPWELLING TRANSFORMATION. *Journal of Geophysical Research: Oceans*, 123(3), 1994–2017.  
1149 <https://doi.org/10.1002/2017JC013409>

1150 Tan, N., Ramstein, G., Dumas, C., Contoux, C., Ladant, J.-B., Sepulchre, P., et al. (2017). Exploring the MIS  
1151 M2 glaciation occurring during a warm and high atmospheric CO2 Pliocene background climate.  
1152 *Earth and Planetary Science Letters*, 472, 266–276. <https://doi.org/10.1016/j.epsl.2017.04.050>

1153 Tan, N., Ladant, J.-B., Ramstein, G., Dumas, C., Bachem, P., & Jansen, E. (2018). Dynamic Greenland ice  
1154 sheet driven by pCO2 variations across the Pliocene Pleistocene transition. *Nature*  
1155 *Communications*, 9(1), 4755. <https://doi.org/10.1038/s41467-018-07206-w>

1156 Tan, N., Contoux, C., Ramstein, G., Sun, Y., Dumas, C., Sepulchre, P., & Guo, Z. (2020). Modeling a  
1157 modern-like pCO2 warm period (Marine Isotope Stage KM5c) with two versions of an Institut  
1158 Pierre Simon Laplace atmosphere – ocean coupled general circulation model. *Climate of the*  
1159 *Past*, 16(1), 1–16. <https://doi.org/10.5194/cp-16-1-2020>

1160 Toggweiler, J. R., & Samuels, B. (1998). On the ocean’s large-scale circulation near the limit of no vertical  
1161 mixing. *Journal of Physical Oceanography*, 28(9), 1832–1852.

1162 Toggweiler, J. R., & Samuels, B. (1995). Effect of drake passage on the global thermohaline circulation.  
1163 *Deep Sea Research Part I: Oceanographic Research Papers*, 42(4), 477–500.  
1164 [https://doi.org/10.1016/0967-0637\(95\)00012-U](https://doi.org/10.1016/0967-0637(95)00012-U)

1165 Trenberth, K. E., & Caron, J. M. (2001). Estimates of Meridional Atmosphere and Ocean Heat Transports.  
1166 *Journal of Climate*, 14, 3433–3443.

1167 Tripathi, A. K., Delaney, M. L., Zachos, J. C., Anderson, L. D., Kelly, D. C., & Elderfield, H. (2003). Tropical  
1168 sea-surface temperature reconstruction for the early Paleogene using Mg/Ca ratios of  
1169 planktonic foraminifera. *Paleoceanography*, 18(4), 1101.  
1170 <https://doi.org/10.1029/2003PA000937>

1171 van Sebille, E., Spence, P., Mazloff, M. R., England, M. H., Rintoul, S. R., & Saenko, O. A. (2013). Abyssal  
1172 connections of Antarctic Bottom Water in a Southern Ocean State Estimate: AABW pathways in  
1173 SOSE. *Geophysical Research Letters*, 40(10), 2177–2182. <https://doi.org/10.1002/grl.50483>

1174 van Sebille, E., Griffies, S. M., Abernathey, R., Adams, T. P., Berloff, P., Biastoch, A., et al. (2018).  
1175 Lagrangian ocean analysis: Fundamentals and practices. *Ocean Modelling*, 121, 49–75.  
1176 <https://doi.org/10.1016/j.ocemod.2017.11.008>

1177 Watson, A. J., & Naveira Garabato, A. C. (2006). The role of Southern Ocean mixing and upwelling in  
1178 glacial-interglacial atmospheric CO<sub>2</sub> change. *Tellus B: Chemical and Physical Meteorology*, 58(1),  
1179 73–87. <https://doi.org/10.1111/j.1600-0889.2005.00167.x>

1180 Wolfe, C. L., & Cessi, P. (2011). The Adiabatic Pole-to-Pole Overturning Circulation. *Journal of Physical*  
1181 *Oceanography*, 41(9), 1795–1810. <https://doi.org/10.1175/2011JPO4570.1>

1182 Wolfe, C. L., & Cessi, P. (2014). Salt Feedback in the Adiabatic Overturning Circulation. *Journal of Physical*  
1183 *Oceanography*, 44(4), 1175–1194. <https://doi.org/10.1175/JPO-D-13-0154.1>

1184 Zachos, J. (2001). Trends, Rhythms, and Aberrations in Global Climate 65 Ma to Present. *Science*,  
1185 292(5517), 686–693. <https://doi.org/10.1126/science.1059412>

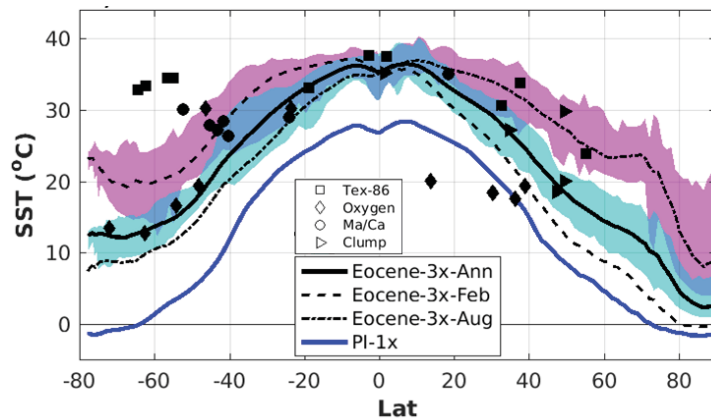
1186 Zeebe, R. E., Zachos, J. C., & Dickens, G. R. (2009). Carbon dioxide forcing alone insufficient to explain  
1187 Palaeocene–Eocene Thermal Maximum warming. *Nature Geoscience*, 2(8), 576–580.  
1188 <https://doi.org/10.1038/ngeo578>

1189 Zhang, Y., Huck, T., Lique, C., Donnadieu, Y., Ladant, J.-B. Rabineau, M., & Aslanian, D. (2020). Early  
1190 Eocene vigorous ocean overturning and its contribution to a warm Southern Ocean. *Climate of*  
1191 *Past*, 16, 1263–1283. <https://doi.org/10.5194/cp-16-1263-2020>

1192

1193 Figures

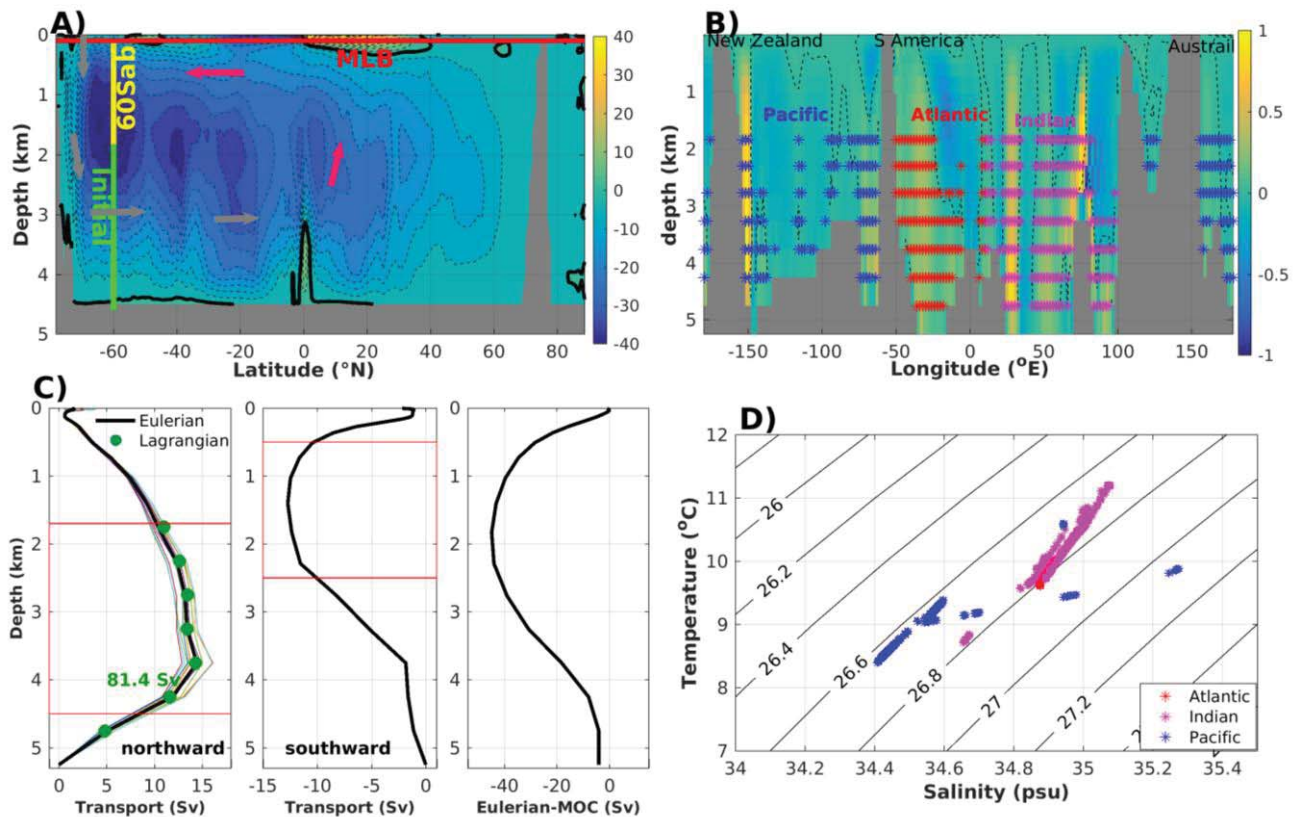
1194



1195

1196 **Figure 1.** Simulated SST as a function of latitude and comparison with proxy-based temperature  
1197 reconstructions. Annual mean (solid black), February (dashed) and August (dotted) are shown for the  
1198 early Eocene; annual mean for the present-day is shown as the blue line. The different markers show  
1199 reconstructed temperatures from different proxies. Shading indicates the simulated SST range over all  
1200 longitudes.

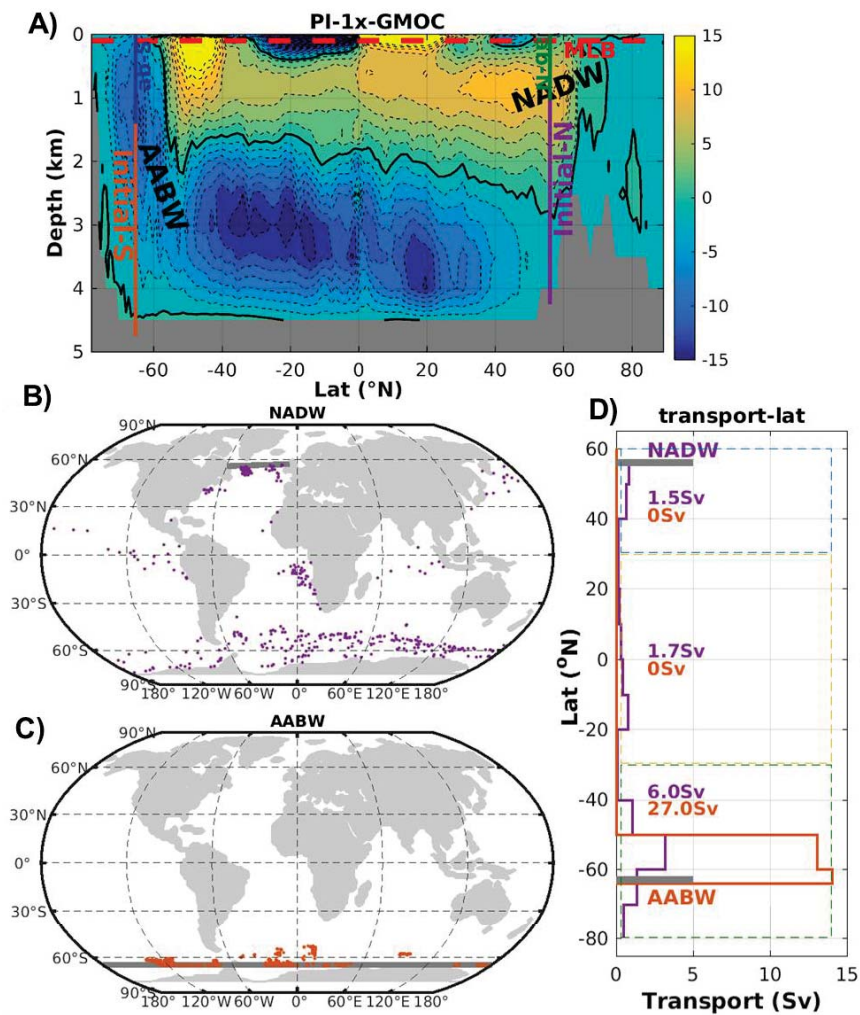
1201



1202

1203 **Figure 2.** The Lagrangian experiment setup. (A) The specified sections used in the Lagrangian  
 1204 experiment are indicated, including the initial, the 60Sab (the section above the initial section at  
 1205 latitude 60°S) and the MLB (base of the mixed layer) sections. The Eulerian meridional  
 1206 overturning circulation (MOC) streamfunction (Sv) of the early-Eocene simulation (adjusted from  
 1207 Fig. 2a of Zhang et al., 2020) is also shown in the background with arrows to schematically indicate  
 1208 the direction of circulation. (B) The initial position of particles is indicated with an asterisk and  
 1209 their relationship to mass transport (with positive values for northward transport and negative  
 1210 values for southward transport in Sv) at the vertical section of 60°S. (C) Particle-based water mass  
 1211 transport estimates (Lagrangian approach) over the lower limb of the MOC, and relationship to  
 1212 Eulerian-inferred transport (integrated along longitude for each layer), including northward and  
 1213 southward transport and the Eulerian MOC stream function at the latitude of 60°S. (D)  $\theta$ -S diagram  
 1214 of particles (water parcels) at the initial state in the three ocean basins.

1215

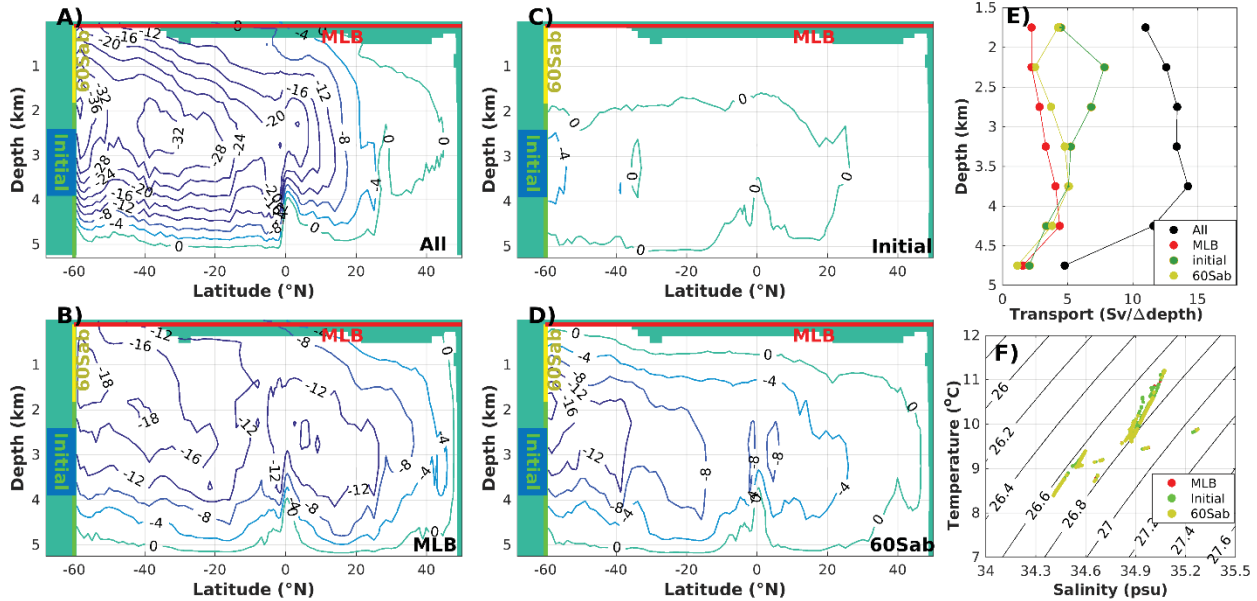


1216

1217



1218 **Figure 3.** Validation of the Lagrangian method for the present-day (PI) circulation. A) Setup of  
 1219 two Lagrangian experiments, with background showing the global MOC (CI: 2 Sv) adjusted  
 1220 from Fig. 3b of Zhang et al., (2020). B) and C) show the final position of particles entering the  
 1221 mixed-layer for North Atlantic Deep Water (NADW) and Antarctic Bottom Water (AABW) in  
 1222 turn. D) Probability distribution as a function of latitude, shown as Sv per 10deg-lat-band. Initial  
 1223 sections are marked by thick gray lines in B), C) and D).



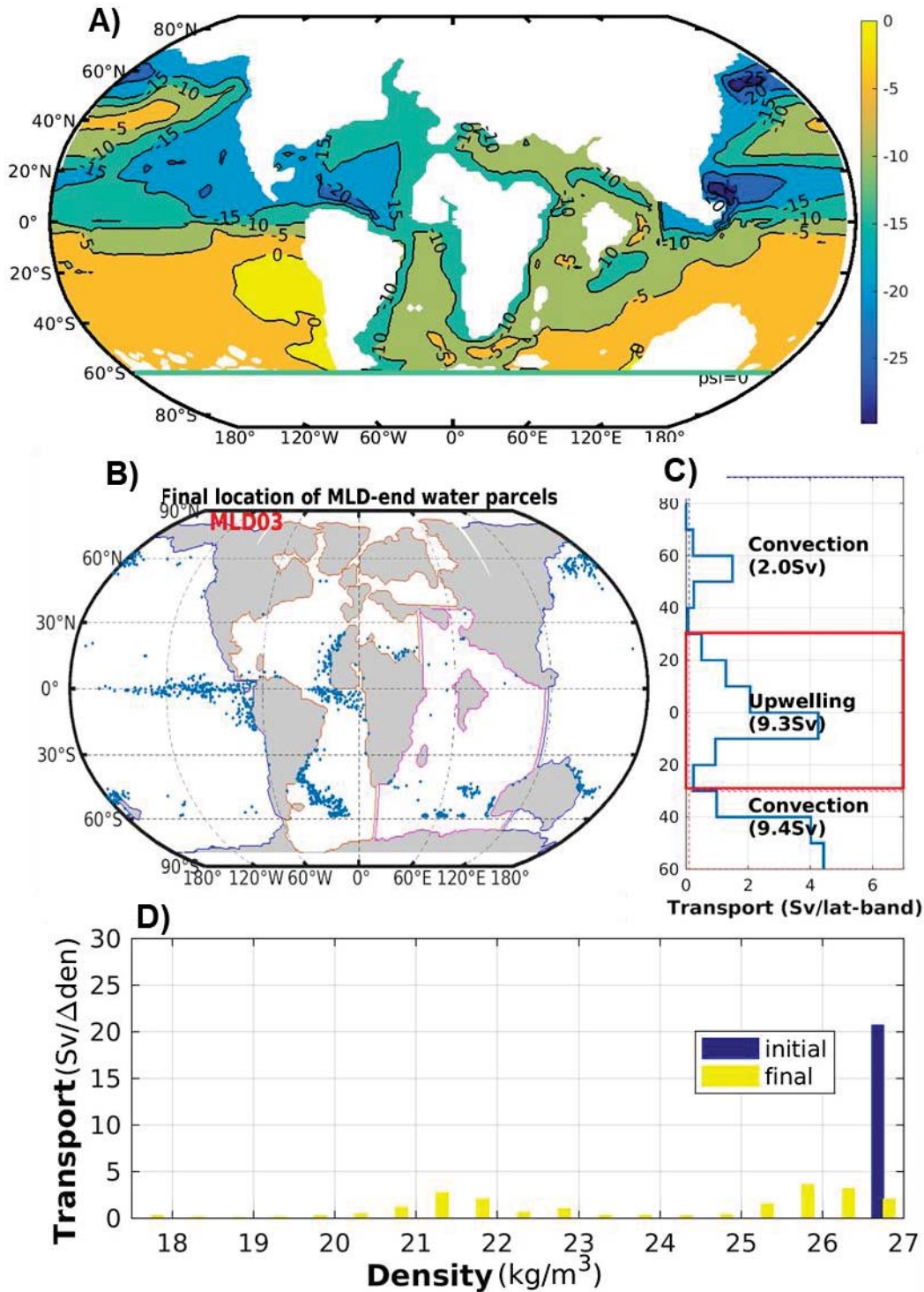
1224

1225

1226 **Figure 4.** Fates of northward-flowing paleo Antarctic Bottom Water. Meridional Lagrangian  
 1227 streamfunctions show the zonally integrated transport of paleo-AABW, A) for all particles, B) for  
 1228 particles crossing the MLB; for particles back to the Southern Ocean through C) the initial deep  
 1229 section and D) through the upper 60°Sal section (60Ssab) respectively. E) Transport distribution of  
 1230 paleo-AABW towards the different final sections as a function of their initial depth at 60°S. F)  $\theta$ -  
 1231 S diagram of water particles at the initial 60°S section, colored according to their fates.

1232

1233



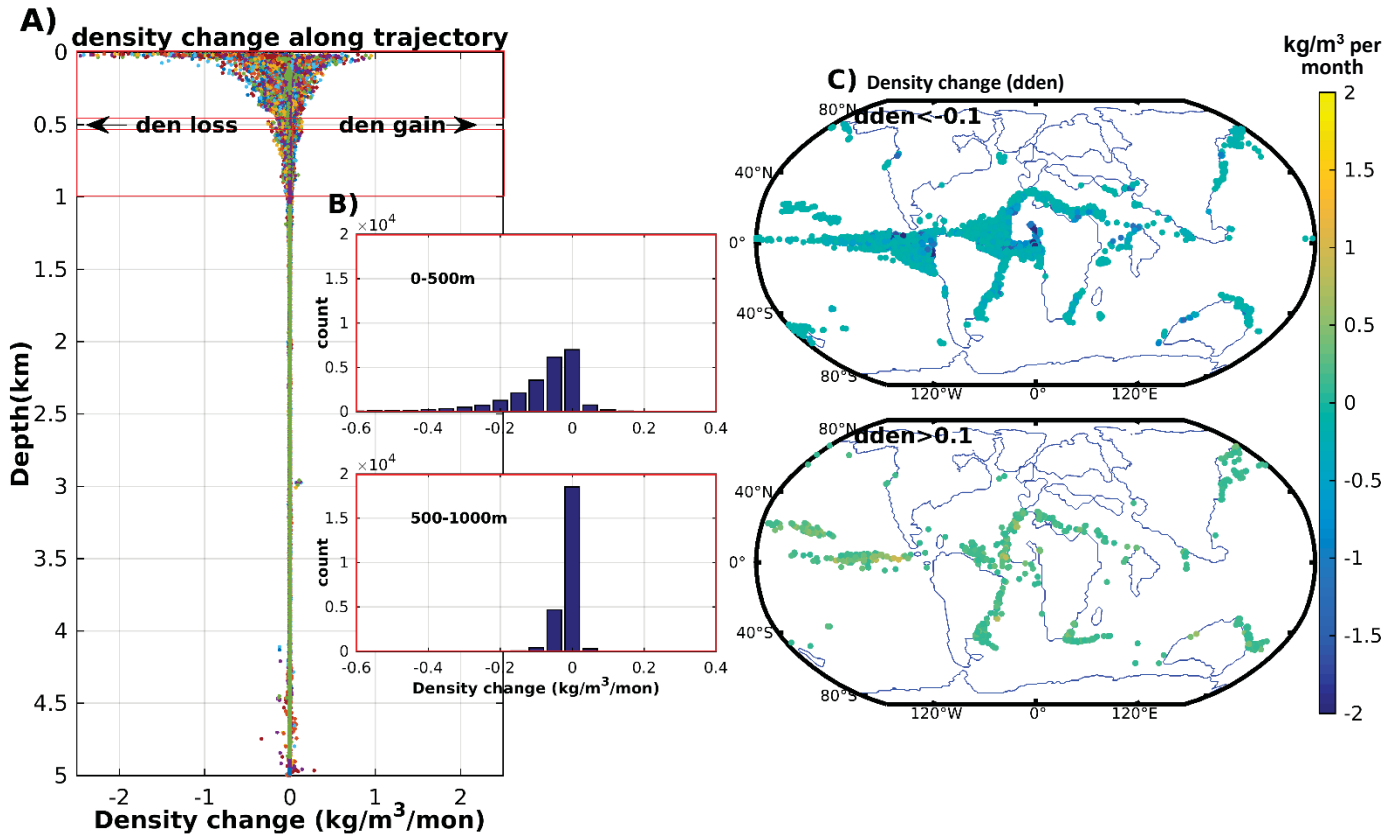
1234

1235 **Figure 5.** Horizontal pathway of paleo-AABW for particles entering the mixed-layer. **A)**  
 1236 Horizontal Lagrangian streamfunction (in Sv), illustrating their pathways towards the mixed  
 1237 layer. **B)** Final location of the paleo-AABW particles entering the mixed layer, with basin  
 1238 contours in color. **C)** Upward transport distribution of paleo-AABW particles across the mixed  
 1239 layer, in Sv per 10° latitude band. **D)** Transport distribution (Sv per density bin, particle number

1240 weighted by volume transport) of water parcels as a function of initial (blue) and final (yellow)  
1241 potential density anomaly in 0.5 kg/m<sup>3</sup> bins.

1242

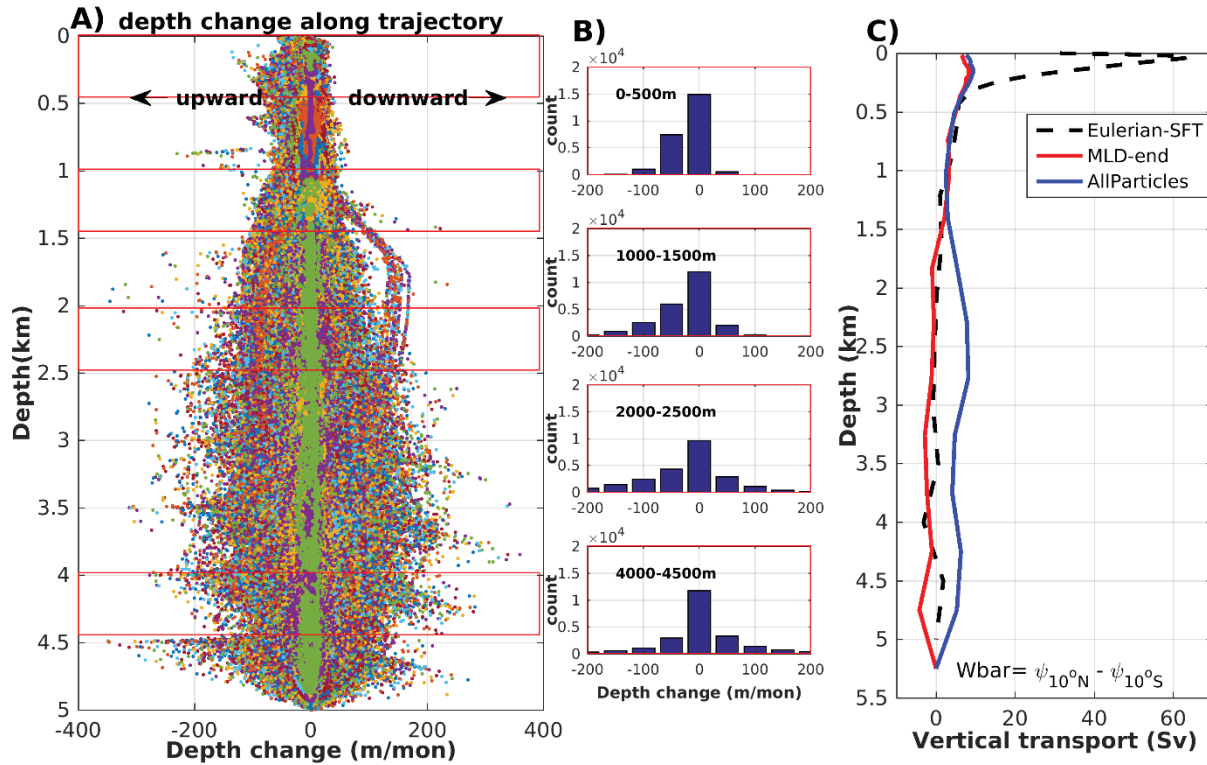
1243



1244

1245 **Figure 6.** Density transformation along full-range trajectory of paleo-AABW parcels entering  
1246 the mixed layer. A) Scatter plot of density change (kg/m<sup>3</sup> per month) as a function of depth. B)  
1247 Probability distribution as a function of density change at the two vertical layers as indicated in  
1248 Fig 8A, with the per density change bin of 0.05 kg/m<sup>3</sup>. C) Geographical location of large density  
1249 transformations, shown as the geographical scatterplots of water parcels whose density change is  
1250 greater than 0.1 kg/m<sup>3</sup>, or less than -0.1 kg/m<sup>3</sup>.

1251



1252

1253

1254 **Figure 7.** Depth changes as a function of depth along paleo-AABW parcels trajectories. A)  
 1255 Scatter plot of depth changes (in meters per month, negative: upward; positive: downward) as a  
 1256 function of depth. B) Particle distribution as a function of depth change, shown at various  
 1257 vertical layers as indicated by the boxes in Fig. 9A. C) Vertical transport over the tropical region  
 1258 10°N-10°S, derived from the corresponding streamfunctions.

1259

1260

1261

1262

1263

1264

1265

1266

1267

1268 **Tables**

1269 **Table 1** Origins and fates (in Sv) of northward-flowing Antarctic Bottom Water (paleo-AABW),  
 1270 and their distribution across the three basins. Initial: 60°S section below 1900 m; 60Sab, 60°S  
 1271 section above 1900 m; MLB, the base of the mixed-layer. The left part (Origins columns) refers  
 1272 to the auxiliary backward Lagrangian experiment. The right part (Fates columns) refers to the  
 1273 forward Lagrangian experiment. The middle column (Initial gross) refers to both. The transport  
 1274 of paleo-AABW upwelling in the mixed layer (MLB columns) is further decomposed among the  
 1275 three basins (last 3 columns). These diagnostics illustrate the inter-basin exchanges, with the  
 1276 grey shading highlighting the proportion that stays within the same basin.

1277

	Origins			Initial gross	Fates					
	Initial	60Sab	MLB_SO		Initial	60Sab	MLB			
							Global	Pacific	Atlantic	Indian
<b>Global</b>	28.17	3.04	49.84	81.04	34.90	25.35	20.73 (100%)	9.72 (44.4%)	8.49 (41.1%)	3.07 (15.0%)
Pacific	—	—	9.69	24.45	11.06	9.04	4.35 (100%)	2.47 (58.1%)	1.44 (32.6%)	0.44 (9.3%)
Atlantic	—	—	16.14	18.75	3.10	20.74	7.57 (100%)	2.98 (34.1%)	4.77 (54.5%)	1.05 (12.5%)
Indian	—	—	24.01	37.84	20.74	9.50	4.35 (100%)	3.72 (48.7%)	2.28 (30.3%)	1.58 (21.1%)

1278

1279

1280

1281

1282

1283

1284

**Figure1.**

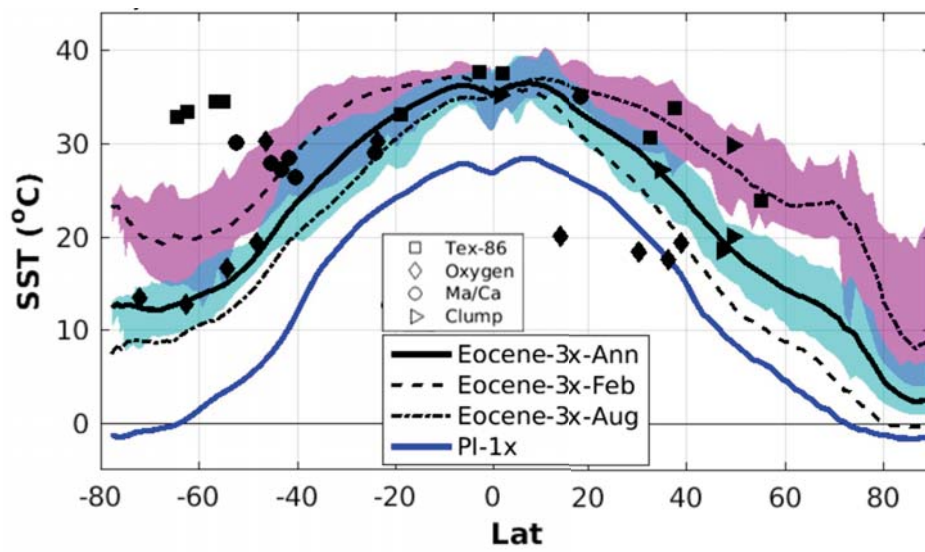


Figure2.



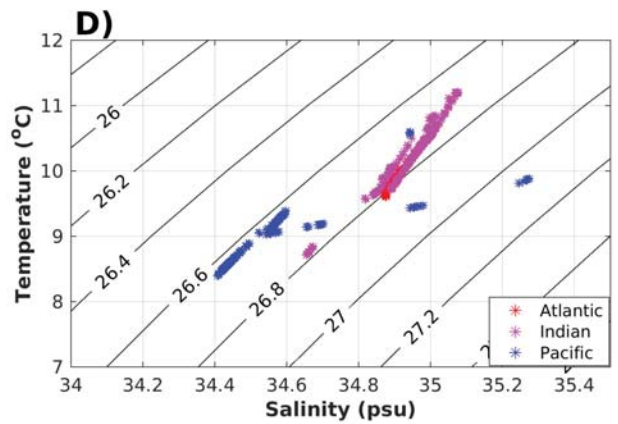
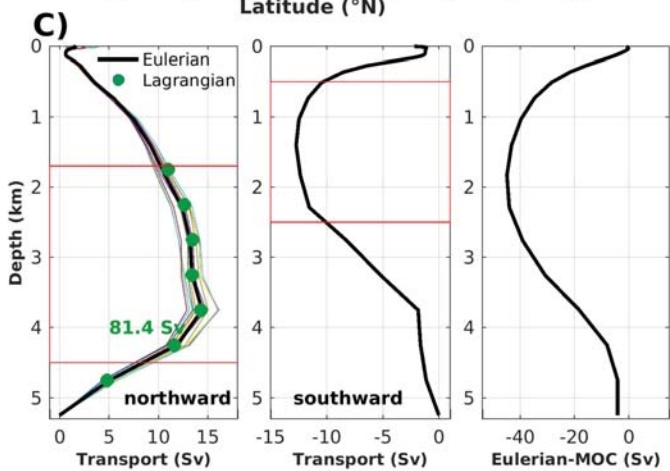
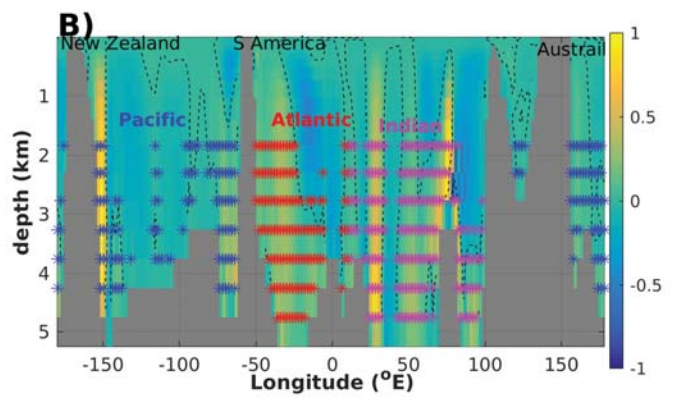
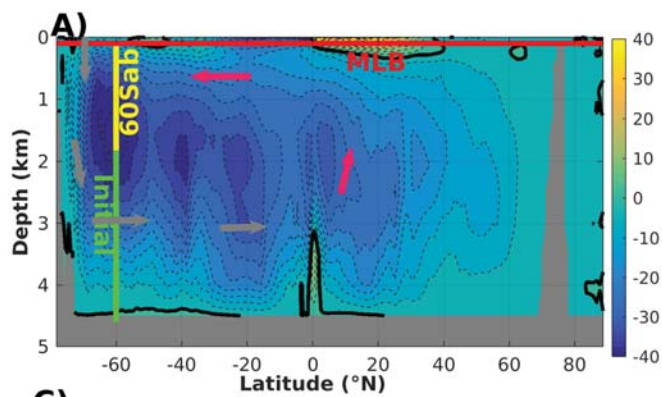


Figure 3.

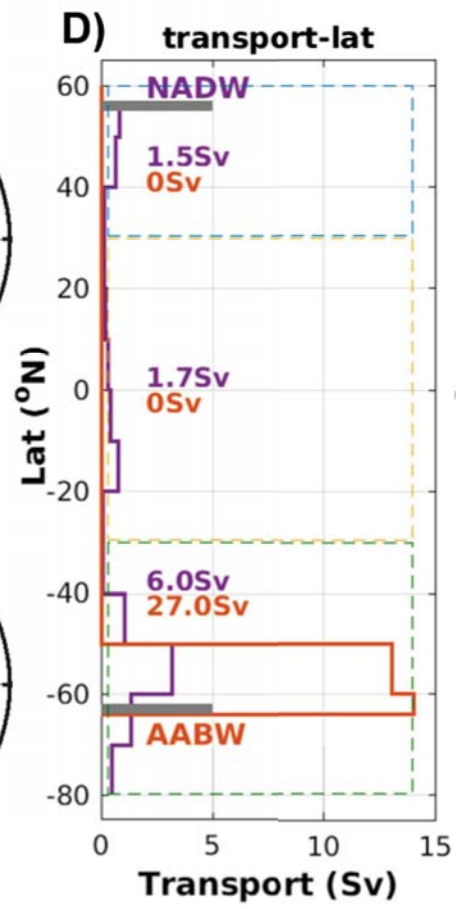
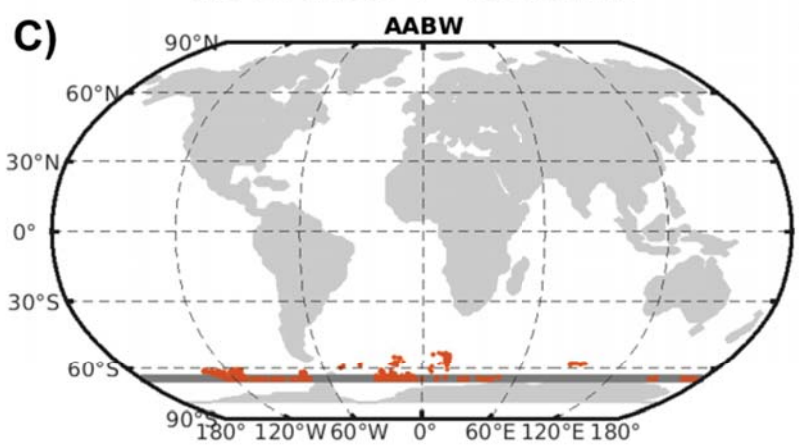
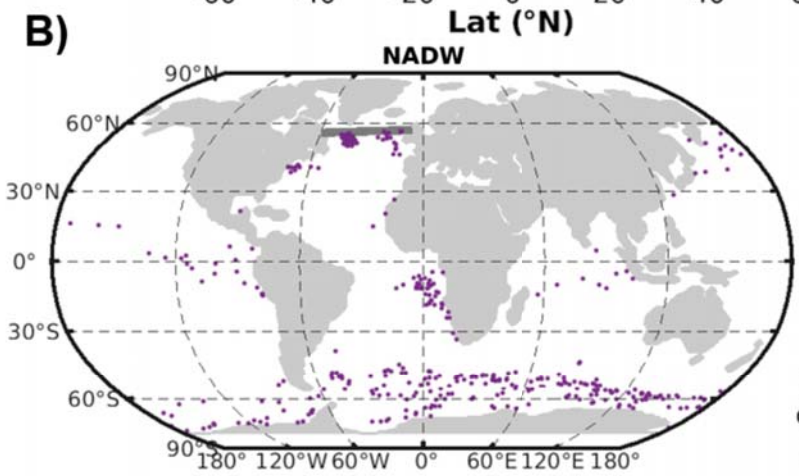
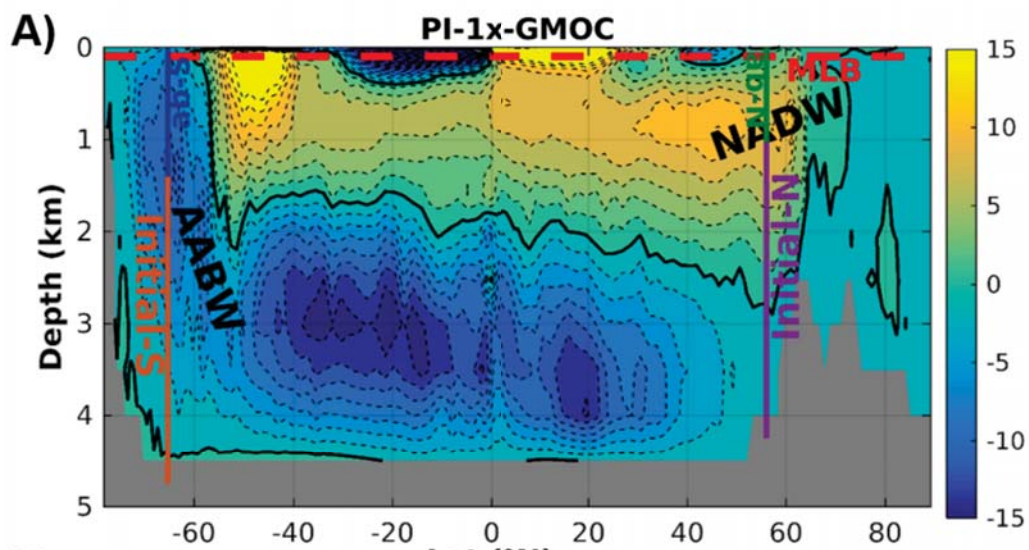


Figure4.

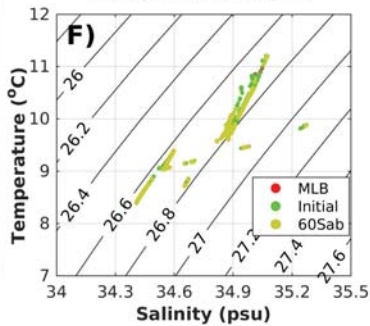
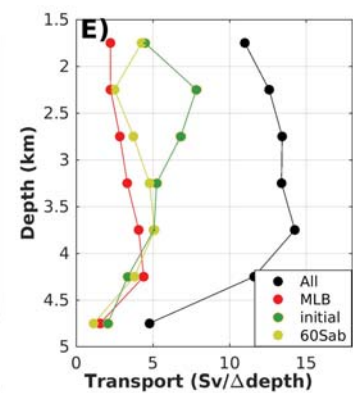
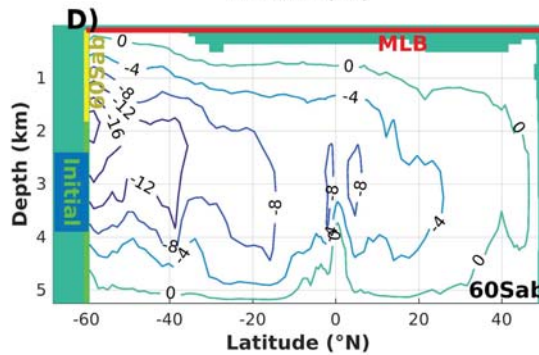
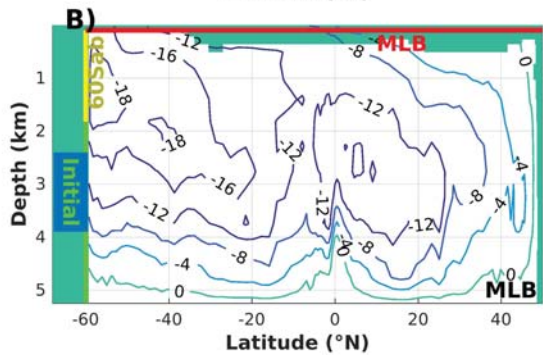
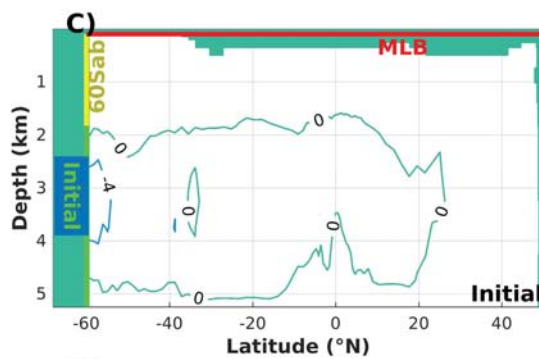
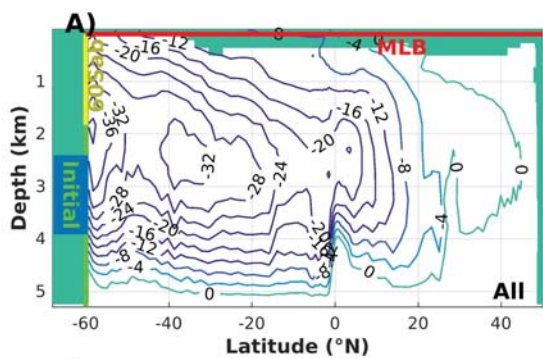


Figure 5.

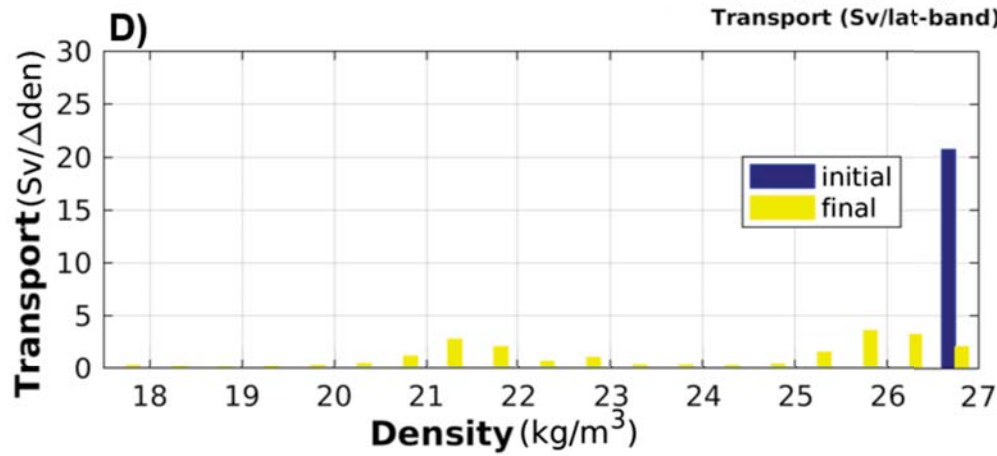
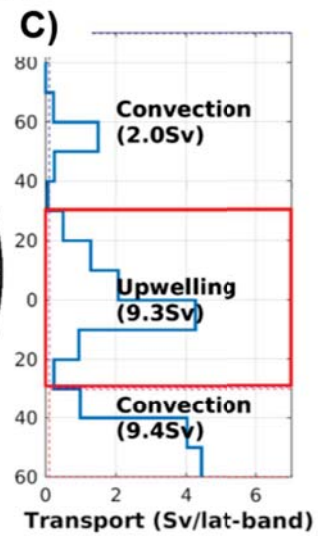
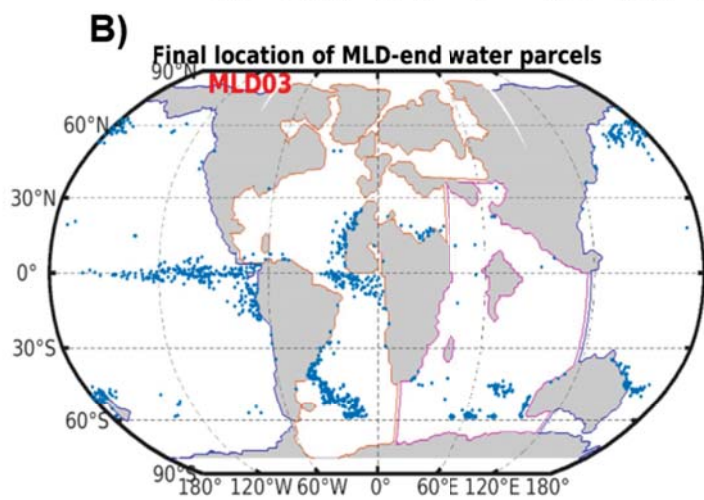
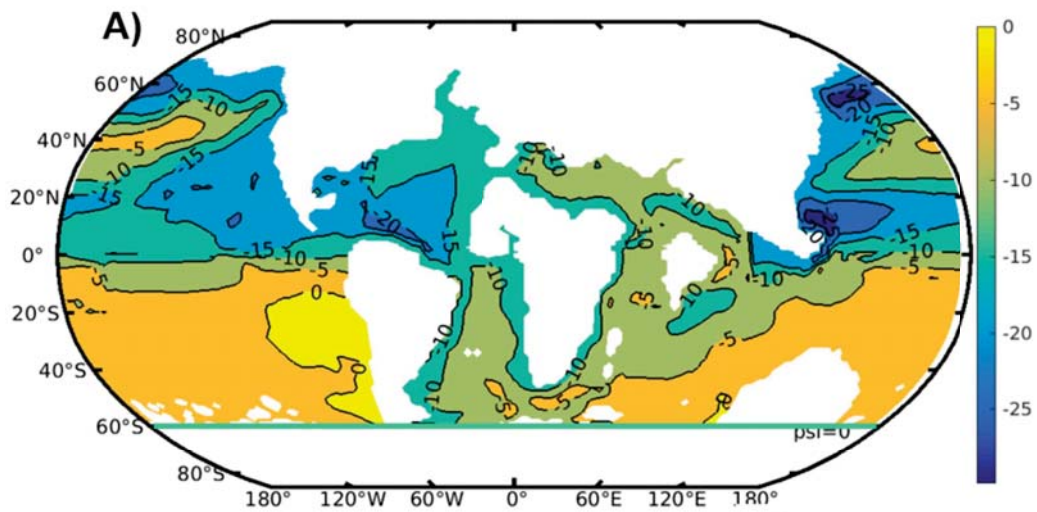
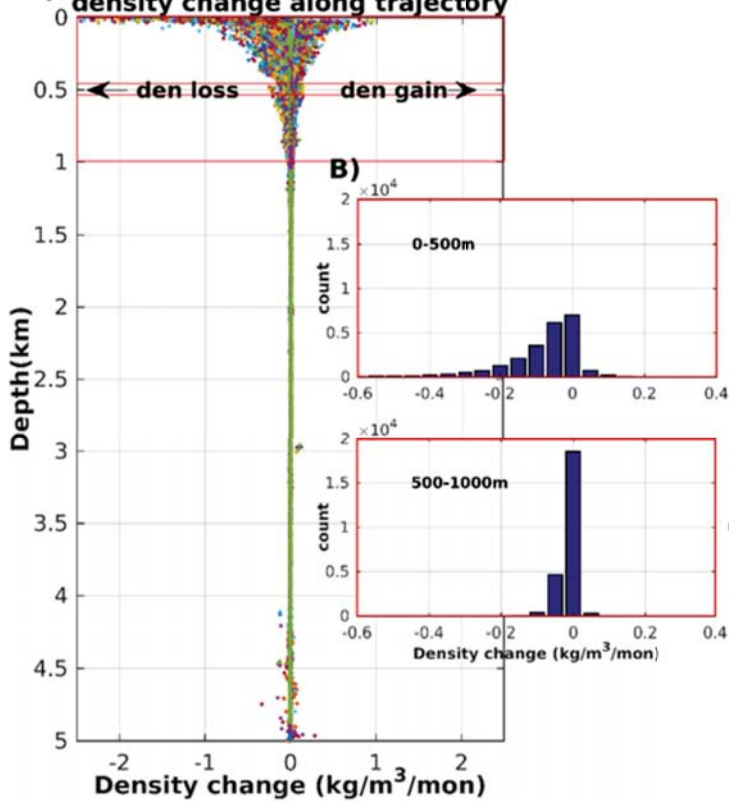


Figure6.



**A) density change along trajectory**



**C) Density change (dden)**

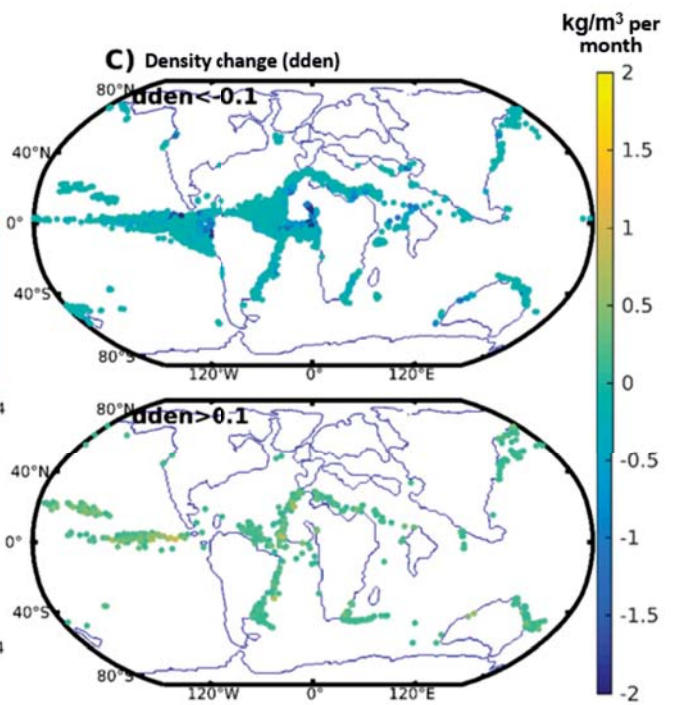


Figure 7.

