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# MODELING AND FINITE ELEMENT SIMULATION OF MULTI-SPHERE SWIMMERS

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## ABSTRACT

We propose a numerical method for the finite element simulation of micro-swimmers composed of several rigid bodies moving relatively to each other. Three distinct formulations are proposed to impose the relative velocities between the rigid bodies. We validate our model on the three-sphere swimmer, for which analytical results are available.

**Keywords** Stokes in moving domains with articulated rigid bodies, 3-Sphere swimmer, Finite Element Method and ALE Formulation

## Version française abrégée

Dans cet article nous proposons une méthode numérique pour la simulation aux éléments finis d'une classe de micro-nageurs. Ces nageurs sont composés par différents corps rigides qui peuvent bouger les uns par rapport aux autres. Nous appliquons notre méthode sur un exemple de micro-nageur connu sous le nom de Three-sphere swimmer.

## 1 Introduction

The dynamics of immersed rigid and deformable bodies in low Reynolds number flows has been extensively studied for its applications to suspension phenomena and the motion of biological micro-organisms [6, 5].

Different numerical methods are used to study these dynamics, such as the Boundary Element method, which discretizes the boundary integral form of Stokes equations [12]; Resistive Force Theory, where the hydrodynamical interactions are approximated by suitable coefficients [1]; the Finite Element method, where the fluid domain is discretized and fitted [8] or unfitted [4] meshes are used for the immersed bodies. In the following, the focus will be on the Finite Element method with body-fitted mesh, where the Arbitrary Lagrangian-Eulerian formalism is used to solve the motion of the fluid domain. While this choice was dictated by its straightforward extension to non-Newtonian fluids and interactions with immersed elastic bodies, the present article is concerned with the simulation of swimmers composed of multiple rigid bodies that move relatively to each other. The main examples are micro-swimmers composed of spherical bodies, which have been extensively studied via the fundamental solution associated to an immersed rigid sphere [9, 7, 2]. Theoretical and numerical results make these swimmers ideal benchmarking models.

In this paper we propose a numerical method, based on finite elements and the Arbitrary-Lagrangian Eulerian formulation, that allows the simulation of micro-swimmers composed of rigid parts moving relatively to each other, extending [8] to self-propelled swimmers. We illustrate our method by recovering the motion of the three-sphere swimmer, as reported in [9].

## 2 Mathematical formulation of the problem

In this section we recall the formulation of a generic swimming problem in Stokes flow. Let  $\mathcal{F}_0 \subseteq \mathbb{R}^d$ ,  $d = 3$ , be the initial configuration of the fluid domain and  $\mathcal{A}_t : \mathcal{F}_0 \rightarrow \mathcal{F}_t$  the smooth function that maps the reference fluid domain onto the domain  $\mathcal{F}_t$  occupied by the fluid at time  $t$ . Let  $\mathcal{S}_0 \subseteq \mathbb{R}^d$  be the domain occupied by the swimmer at time  $t = 0$  and  $\mathcal{S}_t \subseteq \mathbb{R}^d$  be the domain it occupies at time  $t$ . Let  $(u, p)$  be the fluid velocity and pressure defined over  $\mathcal{F}_t$ ,  $\mu$  the viscosity,  $f$  the fluid volume forces. We denote by  $\mathbf{U}$  and  $\boldsymbol{\omega}$  the translational and angular velocities of the swimmer, by  $x^{CM}$  its center of mass and by  $m$  and  $J$  its volume and geometric inertia tensor. The coupled problem, expressing the kinematic and dynamic coupling of the fluid with the swimmer, reads

$$\begin{cases} -\mu\Delta u + \nabla p = f, & \text{in } \mathcal{F}_t, \\ \nabla \cdot u = 0, & \text{in } \mathcal{F}_t, \\ u = \mathbf{U} + \boldsymbol{\omega} \times (x - x^{CM}(t)) + u_d(t) \circ \mathcal{A}_t^{-1}, & \text{on } \partial\mathcal{S}_t, \\ m\dot{\mathbf{U}} = -F_{fluid}, \\ J\dot{\boldsymbol{\omega}} = -M_{fluid}. \end{cases} \quad (1)$$

where  $F_{fluid}$  and  $M_{fluid}$  denote the global fluid forces and torques acting on the boundary of the swimmer. The function  $u_d(t)$  denotes the time derivative of body deformation, and it is the driving mechanism of the whole motion, making the otherwise stationary problem time-dependent.

The map  $\mathcal{A}_t(x)$  is defined as  $\mathcal{A}_t(x) = x + \phi_t(x)$ , where  $\phi_t(x)$  is the solution of the following Laplace problem

$$\begin{cases} \Delta\phi_t(x) = 0 & \text{in } \mathcal{F}_0, \\ \dot{\phi}_t(x) = \mathbf{U} + \boldsymbol{\omega} \times (x - x^{CM}(t)) + u_d(t), & \text{on } \partial\mathcal{S}_0. \end{cases} \quad (2)$$

## 3 The articulated micro-swimmer

The articulated micro-swimmer is composed of  $n$  rigid bodies among which a reference body  $B_n$  is identified. This body is linked to all the other bodies  $B_i$ , for  $i \in \{1 \dots n - 1\}$ , by thin and hydrodynamically negligible arms. The length of these links can be changed via ‘‘internal motors’’ that impose a relative speed between the bodies, leading to self-propulsion.

In principle, each  $B_i$  can have a different shape, but we choose to work with spheres for benchmarking purposes. In fact, the motion of some multi-sphere swimmers can be analytically computed by using the appropriate Green kernel of Stokes equations [9].

In this section the motion of  $n$  independent bodies is first described, by recalling the formulation in [8]. After that, we present the modified formulation that takes into account the relative velocities between the bodies. In the end, we propose few equivalent methods that allow the imposition of the velocity constraints.

### 3.1 Motion of $n$ independent bodies

Using the same notations as before, the problem of  $n$  independent bodies moving in a Stokes fluid reads

$$\begin{cases} -\mu\Delta u + \nabla p = f, & \text{in } \mathcal{F}_t, \\ \nabla \cdot u = 0, & \text{in } \mathcal{F}_t, \\ u = \mathbf{U}_i + \boldsymbol{\omega}_i \times (x - x_i^{CM}(t)), & i = 1 \dots n, \text{ on } \partial B_i, \\ m_i \dot{\mathbf{U}}_i = -F_{fluid}, & i = 1 \dots n, \\ J_i \dot{\boldsymbol{\omega}}_i = -M_{fluid}, & i = 1 \dots n. \end{cases} \quad (3)$$

In this case  $u_d(t) = 0$ , as the bodies move independently of each other and no deformation is present. Hence,  $\mathcal{A}_t(x) = x + \phi_t(x)$ , where  $\phi_t(x)$  is the solution of the Laplace problem

$$\begin{cases} \Delta\phi_t(x) = 0 & \text{in } \mathcal{F}_0, \\ \dot{\phi}_t(x) = \mathbf{U}_i + \boldsymbol{\omega}_i \times (x - x_i^{CM}(t)), & \text{on } \partial B_i. \end{cases} \quad (4)$$

In this formulation, the body motion is dictated by fluid stresses only. We now address the variational formulation of (3) and look for a solution  $(u, p, \mathbf{U}_i, \boldsymbol{\omega}_i) \in [H^1(\mathcal{F}_t)]^d \times L^2(\mathcal{F}_t) \times [\mathbb{R}^d]^n \times [\mathbb{R}^d]^n$  to the weak formulation of the problem.

Let  $(\tilde{u}, \tilde{p}, \tilde{\mathbf{U}}_i, \tilde{\boldsymbol{\omega}}_i) \in [H^1(\mathcal{F}_t)]^d \times L^2(\mathcal{F}_t) \times [\mathbb{R}^d]^n \times [\mathbb{R}^d]^n$  denote the test functions. The variational formulation reads

$$2\mu \int_{\mathcal{F}_t} D(u) : D(\tilde{u}) dx - \sum_{i=1}^n \int_{\partial B_i} (-pI + 2\mu D(u)) \tilde{\mathbf{n}} \cdot \tilde{u} dS - \int_{\mathcal{F}_t} p \nabla \cdot \tilde{u} dx = \int_{\mathcal{F}_t} f \cdot \tilde{u} dx, \quad (5)$$

$$\int_{\mathcal{F}_t} \tilde{p} \nabla \cdot u dx = 0, \quad (6)$$

$$m_i \dot{\mathbf{U}}_i \cdot \tilde{\mathbf{U}}_i = - \int_{\partial B_i} (-pI + 2\mu D(u)) \tilde{\mathbf{n}} \cdot \tilde{\mathbf{U}}_i dS, \quad i = 1 \dots n, \quad (7)$$

$$J_i \dot{\boldsymbol{\omega}}_i \cdot \tilde{\boldsymbol{\omega}}_i = - \int_{\partial B_i} (-pI + 2\mu D(u)) \tilde{\mathbf{n}} \times (x - x_i^{CM}) \cdot \tilde{\boldsymbol{\omega}}_i dS, \quad i = 1 \dots n, \quad (8)$$

where  $D(u) = \frac{1}{2}(\nabla u + \nabla u^T)$ .

Following [8], we choose  $(\tilde{u}, \tilde{\mathbf{U}}_i, \tilde{\boldsymbol{\omega}}_i) \in [H^1(\mathcal{F})]^d \times [\mathbb{R}^d]^n \times [\mathbb{R}^d]^n$  satisfying  $u = \mathbf{U}_i + \boldsymbol{\omega}_i \times (x - x_i^{CM})$  on  $\partial B_i$ . These test functions form a subspace of  $[H^1(\mathcal{F}_t)]^d \times [\mathbb{R}^d]^n \times [\mathbb{R}^d]^n$ , and they satisfy the relationship

$$\int_{\partial B_i} (-pI + 2\mu D(u)) \tilde{\mathbf{n}} \cdot \tilde{u} dS = \int_{\partial B_i} (-pI + 2\mu D(u)) \tilde{\mathbf{n}} \cdot \tilde{\mathbf{U}}_i dS + \int_{\partial B_i} (-pI + 2\mu D(u)) \tilde{\mathbf{n}} \times (x - x_i^{CM}) \cdot \tilde{\boldsymbol{\omega}}_i dS, \quad (9)$$

that combines the boundary terms in equations (5)-(7)-(8). The ‘‘compact’’ reformulation

$$2\mu \int_{\mathcal{F}_t} D(u) : D(\tilde{u}) dx - \int_{\mathcal{F}_t} p \nabla \cdot \tilde{u} dx + \sum_{i=1}^n m_i \mathbf{U}_i \cdot \tilde{\mathbf{U}}_i + J_i \boldsymbol{\omega}_i \cdot \tilde{\boldsymbol{\omega}}_i = \int_{\mathcal{F}_t} f \cdot \tilde{u} dx. \quad (10)$$

of equations (5)-(7)-(8) shows that boundary terms have been absorbed by the choice of the finite element spaces.

### 3.2 The constraints on relative velocities

Among the  $n$  bodies composing the articulated swimmer, we identify  $B_n$  as the reference body. The velocities  $\mathbf{U}_i$  of all the other bodies  $B_i$ ,  $i = 1 \dots n - 1$ , are expressed as functions of  $\mathbf{U}_n$  via constraints of the form

$$\mathbf{U}_i = \mathbf{U}_n + \mathbf{W}_{in}(t), \quad i = 1 \dots n - 1, \quad (11)$$

where  $\mathbf{W}_{in}(t)$  represents the relative velocity between  $B_i$  and  $B_n$ . The addition of these constraints to (3) completes the formulation of the swimming problem for the articulated swimmers. We notice that the resulting system is a particular instance of the general case presented in (1), where  $u_d(t)$  is given by combining the constraints in (11).

The formulation we just described applies directly to the three-sphere swimmer [9], an articulated swimmer composed of three aligned spheres. Here the reference body  $B_n = B_3$  is the central sphere, which is connected by extensible arms to the other two spheres  $B_1$  and  $B_2$ . The formulation can be applied as well to the planar three-sphere swimmer [7] or to the four-sphere swimmer [2], whose spherical bodies are placed on the vertices of an equilateral triangle or tetrahedron, respectively. In those cases, the relative velocity vectors  $\mathbf{W}_{in}$  should be carefully computed, as each extensible arm connects  $B_i$  to the barycenter of the swimmer, and not to  $B_n$  directly as in the case of the three-sphere swimmer.

### 3.3 Discrete and algebraic formulation

Let us consider a geometrical discretization  $\mathcal{F}_h$  of the fluid domain using simplices. The fluid problem is then discretized using an inf-sup stable pair of conforming finite element spaces  $X_h(\mathcal{F}_h) \times B_h(\mathcal{F}_h)$  for  $(u_h, B_h)$  and  $(\mathbf{U}_j, \boldsymbol{\omega}_j) \in [\mathbb{R}^d]^n \times [\mathbb{R}^d]^n$ .

Since the fluid velocity satisfies  $u = \mathbf{U}_i + \boldsymbol{\omega}_i \times (x - x_i^{CM})$  on  $\partial B_i$ , the degrees of freedom  $u_{\partial B_i}$  that lie on  $\partial B_i$  are treated differently from the remaining ones, that we denote by  $u_I$ . Indeed,  $u_{\partial B_i}$  are expressed as a function of  $(\mathbf{U}_i, \boldsymbol{\omega}_i)$ .

At first, equations (5)-(8) are discretized by ignoring the constraint  $u = \mathbf{U}_i + \boldsymbol{\omega}_i \times (x - x_i^{CM})$  on  $\partial B_i$ . Then, via the operator

$$\mathcal{P} = \begin{bmatrix} I & 0 & 0 & 0 \\ 0 & \tilde{P}_{\mathbf{U}_i} & \tilde{P}_{\boldsymbol{\omega}_i} & 0 \\ 0 & I & 0 & 0 \\ 0 & 0 & I & 0 \\ 0 & 0 & 0 & I \end{bmatrix}, \quad (12)$$

that satisfies the equation

$$(u_I, u_{\partial B_i}, \mathbf{U}_i, \boldsymbol{\omega}_i, p)^T = \mathcal{P}(u_I, \mathbf{U}_i, \boldsymbol{\omega}_i, p)^T,$$

the change of finite element basis, from the standard formulation to the constrained one, is performed. In (12),  $\tilde{P}_{\mathbf{U}_i}$  and  $\tilde{P}_{\boldsymbol{\omega}_i}$  are the interpolation operators that allow the expression of  $u_{\partial B_i}$  as a function of  $\mathbf{U}_i$  and  $\boldsymbol{\omega}_i$ .

The constraints on the relative velocities between the bodies can be imposed via Lagrange multipliers, through a modification of the operator  $\mathcal{P}$  or by modifying the matrix that results from the discretization of the fluid problem.

The first method is the least invasive: additional equations and unknowns are added to a pre-existing discretized problem of  $n$  independent bodies in a Stokes fluid, as described in (3). Lagrange multipliers  $\alpha_i \in \mathbb{R}^d$ ,  $i = 1 \dots n-1$  are introduced to impose the constraints on the translational velocities  $\mathbf{U}_i$  onto the differential formulation. The previous constraint will appear in the equations that describe the rigid body motion of the solid bodies: equations (7) will be substituted by

$$m_i \dot{\mathbf{U}}_i \cdot \tilde{\mathbf{U}}_i + \alpha_i \cdot \tilde{\mathbf{U}}_i = - \int_{\partial B_i} (-pI + 2\mu D(u)) \vec{n} \cdot \tilde{\mathbf{U}}_i dS, \quad i = 1 \dots n-1, \quad (13)$$

$$m_n \dot{\mathbf{U}}_n \cdot \tilde{\mathbf{U}}_n - \sum_{i=1}^{n-1} \alpha_i \cdot \tilde{\mathbf{U}}_n = - \int_{\partial B_n} (-pI + 2\mu D(u)) \vec{n} \cdot \tilde{\mathbf{U}}_n dS, \quad (14)$$

$$\alpha_i \cdot (\mathbf{U}_i - \mathbf{U}_n) = \alpha_i \cdot \mathbf{W}_{in}, \quad i = 1 \dots n-1. \quad (15)$$

The addition of Lagrange multipliers entails the modification of  $\mathcal{P}$  by providing an additional identity matrix of size  $d(n-1) \times d(n-1)$  on the diagonal.

Instead of using Lagrange multipliers to constrain the translational velocities, a modification of the operator  $\mathcal{P}$  could give the same results. In terms of finite element spaces, this consists in reducing the constrained finite element space to basis functions that satisfy  $u = \mathbf{U}_n + \boldsymbol{\omega}_n \times (x - x_n^{CM}(t)) + u_d(t)$ , with  $u_d(t)$  function of  $\mathbf{W}_{in}$ .

The  $dn \times dn$  block that corresponds to the translational speeds, that presently is an identity matrix  $I_{dn}$ , would be substituted by a block of the form

$$\begin{matrix} B_1 \{ \\ B_i \{ \\ B_n \{ \end{matrix} \begin{bmatrix} I_d & \dots & 0_d & \dots & -I_d \\ 0_d & \dots & I_d & \dots & -I_d \\ -I_d & \dots & -I_d & \dots & I_d \end{bmatrix}. \quad (16)$$

This modification must be applied directly to the construction of  $\mathcal{P}$ , because an a posteriori change of the operator would be too costly in terms of operations on the compactly stored matrix. The relative velocities will then be imposed on the right-hand side of the problem, as the known part of the body velocity.

The last modification is even more invasive, and it consists in modifying the system matrix and right-hand side by substituting (7) with

$$\begin{aligned} (m_i \mathbf{U}_i - m_n \mathbf{U}_n) \cdot \tilde{\mathbf{U}}_i &= \mathbf{W}_{in}(t) \cdot \tilde{\mathbf{U}}_i, & i = 1 \dots n-1, \\ m_n \dot{\mathbf{U}}_n \cdot \tilde{\mathbf{U}}_n &= - \int_{\partial B_n} (-pI + 2\mu D(u)) \vec{n} \cdot \tilde{\mathbf{U}}_n dS. \end{aligned} \quad (17)$$

## 4 The three-sphere micro-swimmer

The three-sphere micro-swimmer [9] is a three-dimensional swimmer composed of three aligned spheres having the same radius  $R$ . The two outer spheres are connected to the central one by extensible links, and the propulsion of the swimmer is ensured by changing the lengths of the connecting arms between two fixed values. The arm shrinkage is performed in a non-reversible fashion, in order to break the time-reversal symmetry of the Stokes equations, with a constant relative speed between the central sphere and the approaching one. For example, if the left arm is shrinking and the right arm keeps its length fixed, the translational speed  $\mathbf{U}_3$  and  $\mathbf{U}_2$  of the central and right sphere coincide, while the speed of the left sphere, moving with relative speed  $\mathbf{W}_{13}$  with respect to the center sphere, will be  $\mathbf{U}_3 + \mathbf{W}_{13}$ . The (non-reciprocal) stroke is composed of 4 steps, as shown in Figure 1, where the lengths of the two arms are alternatively modified.

A quantitative example of swimming is presented in [9]: if  $D = 10R$  is the length of each link in its rest position and  $\varepsilon = 4R$  is the maximal variation for the length of each arm (leaving a link length of  $6R$  when the arms are completely shrunk), the center sphere is displaced by  $0.16R$  in the positive direction at the end of the 4-step stroke. In the first

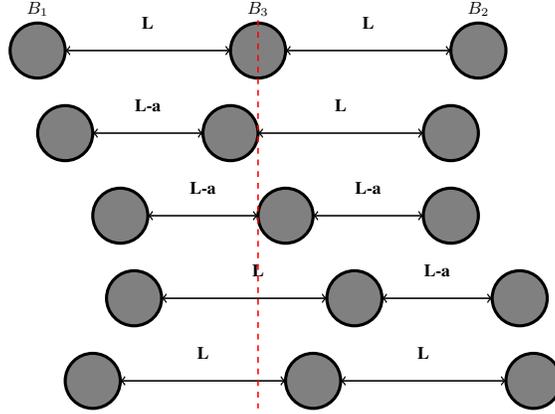


Figure 1: Representation of the three-sphere swimmer and its swimming gait. The gait is composed of four strokes in which one of the arms is alternatively shrunk or elongated. The alternation guarantees the non-reciprocity of the motion.

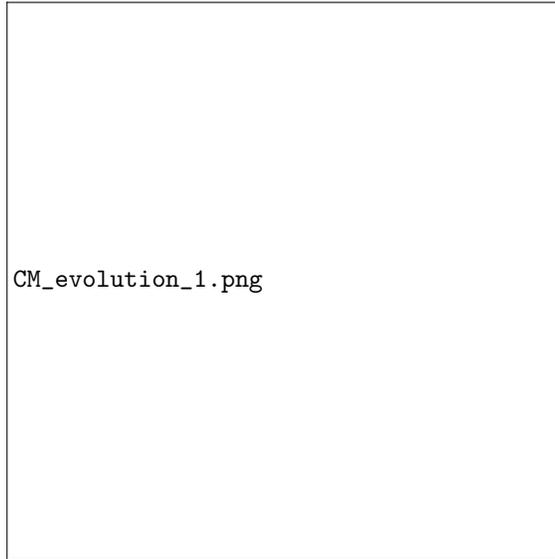
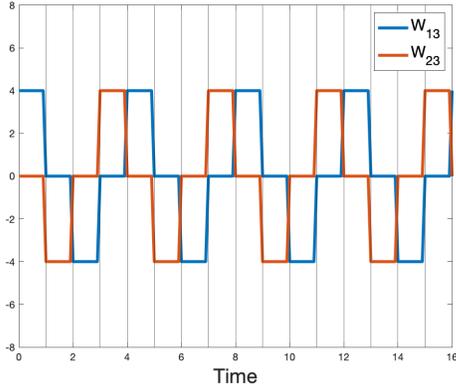


Figure 2: The left figure presents the relative speed  $\mathbf{W}_{13}$ , between the central and left sphere, and the relative speed  $\mathbf{W}_{23}$ , between the central and right sphere, as functions of time. The right figure shows, in blue, the position of the central sphere during the 4-step stroke. The red line intersects the trajectory of the central sphere at the red circles, which mark the  $0.08R$  and  $0.16R$  displacements predicted in [9] after 2 and 4 steps composing the swimming stroke.

step, the travelled distance is  $1.35R$  in the negative direction; in the second step, it is  $1.44R$  in the positive direction; in the third step, it is  $1.44R$  in the positive direction; in the fourth step, it is  $1.35R$  in the negative direction.

Using the aforementioned Lagrange multipliers formulation, we are able to recover the displacement at each step of the 4-step stroke reported in [9]. Figure 2, on the left, translates the steps of the body deformation in terms of relative velocities between the central and lateral spheres. Figure 2, on the right, represents the motion of  $B_3$  during several repetitions of the 4-step stroke. The results were obtained using the library FEEL++[3] and in particular its toolbox for Navier-Stokes in moving domains including moving rigid bodies. The implementations of Lagrange multiplier and  $\mathcal{P}$  modification formulations are available in FEEL++ Github repository [10] and can be used to reproduce the results in sequential and parallel.

## 5 Conclusion

In this paper, we provide a numerical method to simulate a self-propelled micro-swimmer composed of rigid bodies. Different formulations are proposed, including one based on Lagrange multipliers, to impose the relative motion between its components. The correctness of our formulations is verified on the three-sphere micro-swimmer by comparing the displacements obtained numerically to the ones in [9], even if only the results based on Lagrange multipliers are presented here. Current work includes the treatment of other multi-body swimmers like the planar 3-sphere swimmer [7] or the 4-sphere swimmer [2], formulating the ALE framework with mesh adaptation, the extension to other fluid models, *e.g.* Navier-Stokes and non-Newtonian, and the coupling with elasticity models to handle deformable bodies, *i.e.* swimmers.

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