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Using gold nanoparticles for enhanced intradermal delivery of poorly soluble auto-antigenic peptides *,***,***

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Abstract

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Ultra-small 1-2 nm gold nanoparticles (NP) were conjugated with a poorly-soluble peptide auto-antigen, associated with type 1 diabetes, to modify the peptide pharmacokinetics, following its intradermal delivery. Peptide distribution was characterized, in vivo, after delivery using either conventional intradermal injection or a hollow microneedle device. The poorly-soluble peptide was effectively presented in distant lymph nodes (LN), spleen and draining LN when conjugated to the nanoparticles, whereas peptide alone was only presented in the draining LN. By contrast, nanoparticle conjugation to a highly-soluble peptide did not enhance in vivo distribution. Transfer of both free peptide and peptide-NPs from the skin to LN was reduced in mice lacking lymphoid homing receptor CCR7, suggesting that both are actively transported by migrating dendritic cells to LN. Collectively, these data demonstrate that intradermally administered ultra-small gold nanoparticles can widen the distribution of poorly-soluble auto-antigenic peptides to multiple lymphoid organs, thus enhancing their use as potential therapeutics.

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Key words: Gold nanoparticles; Hydrophobic; Peptide; Intradermal; Microneedle; Autoantigen

Type 1 diabetes is an autoimmune disease characterized by 26 27 the destruction of insulin-producing beta cells within the pancreas. 1 Insulin maintains blood glucose levels but is not a 28 cure. Hence, we seek strategies to deliver antigen-specific 29

The skin is an accessible route for therapeutic delivery. The rich network of antigen-presenting cells, including epidermal Langerhans and dermal dendritic cells (DCs) in skin, facilitates both immune activation and regulation, upon delivery of a therapeutic.^{2–5} Microneedles (MNs) are a viable alternative to

immunotherapy to reduce immune-mediated beta cell damage.

conventional hypodermic needles for intradermal (ID) delivery, 36 being both minimally invasive and relatively pain-free. 6,7 A 37 variety of MNs exists, including the 600 µm hollow MNs 38 (MicronJet600TM), which have been used in this study and in the 39 clinical setting to deliver insulin, 8 influenza vaccine, 9,10 zoster 40 vaccine 11 and polio. 12,13 MicronJet600TM hollow MNs repro- 41 ducibly and effectively deliver material into the dermal 42 compartment, with a significant vaccine dose-sparing effect 43 and improved immunogenicity, compared with intramuscular 44 and subcutaneous delivery. 14

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Nanoparticles (NPs) can be conjugated to multiple therapeutics, including peptides, as drug delivery agents. They can protect peptides from degradation, and can form a depot at the site of injection so enhancing antigen retention and uptake by DCs. Moreover, small carbohydrate-coated gold NPs also offer reduced toxicity 15 and bio-compatibility as well as exhibiting anti-inflammatory properties. 16 Furthermore, gold (Au) can be easily and covalently decorated on its surface by exploiting the strong soft-soft interaction between Au atoms and sulfur. Therefore, gold NPs represent a versatile nanoplatform for development of epitope-based vaccines. 17

Recently, NPs have been used to prevent autoimmune diabetes in the non-obese diabetic (NOD) mouse model of diabetes by generating tolerogenic DCs and promoting T regulatory cell expansion to re-establish immune tolerance. 18,19 Although promising, both studies utilized systemic (intravenous or intraperitoneal) delivery systems, as well as large (>50 nm) NPs. In humans, skin-mediated delivery is more practical and convenient, has fewer safety concerns and can exploit the local immune cells. We have recently demonstrated that gold NPs (MidacoreTM) (<5 nm hydrodynamic radius), conjugated to a peptide autoantigen, can be effectively delivered into the dermal and epidermal layers of human skin explants by MicronJet600TM hollow MNs and target local antigen-presenting cells.²⁰ This present study is the first published report exploring the in vivo pharmacokinetics and resulting systemic immune response following MN-mediated delivery of a poorly-soluble autoantigenic peptide conjugated to ultra-small gold NPs.

Methods

Animal models

BDC2.5 TCR transgenic NOD mice²¹ have diabetogenic CD4+ T-cells that recognize a hybrid insulin-chromogranin A peptide (HIP)²² and mimotope peptides (the specific one used in this study is designated BDC2.5mimotope peptide). ²³ The mice were purchased from the Jackson laboratory and have been bred in a specific pathogen-free facility of Cardiff University. OTII TCR transgenic C57BL/6J mice²⁴ and CCR7^{-/-}mice²⁵ on the C57BL/6J genetic background have previously been described. C57BL/6J mice were purchased from Janvier (France). Mice were maintained in individually-ventilated filter cages in scantainers on a 12 h light/dark cycle. Animal procedures were approved by University ethical review committee. All procedures relating to NOD mice were performed in accordance with protocols approved by the UK Home Office. All procedures relating to OTII and CCR7^{-/-} mice were carried out in accordance with French and European directives.

Peptides and solubility

The peptides (physiochemical properties shown in Table 1) were purchased from Peptide Synthetics, manufactured to >95% purity: BDC2.5mimotope - YVRPLWVRME; Hybrid Insulin Peptide (HIP) - DLQTLALWSRMD; Ovalbumin323-339 peptide (OTII)-ISQAVHAAHAEINEAGR.

NP synthesis/characterization

Gold NPs (MidacoreTM) with a gold core size of <5 nm were 109 synthesized and supplied by Midatech Pharma. 20 NPs were 110 conjugated with each of the poorly-soluble HIP and BDC2.5mi- 111 motope peptides as well as the highly-soluble ovalbumin 112 peptide, OTII, using custom-synthesized peptides with a thiol 113 propionic acid linker, in an amide linkage at the N terminal (-S 114 (CH2)2-CONH) (AmbioPharm Inc., North Augusta, SC, USA). 115 Figure 1 illustrates the schematic structure of NPs covalently 116 linked to BDC2.5mimotope peptide and Table 2 summarizes the 117 NP properties. For all reactions, gold (III) chloride was mixed 118 with a 3-fold excess of organic ligands and peptide in different 119 ratios (5% ß glucose C2 (synthesized in-house) and either 94% or 120 92% L-glutathione oxidized (Sigma Aldrich) and 1% or 3% 121 peptide). Glutathione enabled non-enzymatic intracellular acti- 122 vation and release. The NPs were produced by reduction, 123 following rapid addition of a 20-fold molar excess, relative to 124 gold, of freshly-made 1 M sodium borohydride (Sigma Aldrich, 125 Poole, UK) under vigorous vortex mixing. The samples were 126 continuously vortexed for 1 min followed by a further 1 h 127 constant mixing on a flatbed shaker at room temperature. After 1 128 h, the NP samples were concentrated by ultrafiltration using 129 Amicon Ultra-15 centrifugal filter tubes (Millipore Ltd., 10 K 130 membrane molecular weight cut-off) and washed 4 times with 131 Milli-Q water (4 ml) to remove unbound peptide and residual 132 borohydride.

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The NP gold content was determined using a colorimetric 134 gold assay. Hydrodynamic size and zeta potential of the NPs in 135 water/10% PBS were determined by dynamic light scattering 136 (DLS) using a Zetasizer Nano ZSP (Malvern instruments). 137 Peptide content of the NP samples was determined by HPLC 138 using a Varian 900-LC, with a reverse phase C18 column 139 (Acquisition time: 11.2 min; Temperature = 35 °C; Slit width = 2 nm; 95% water, 5% acetonitrile gradient (1 ml/min) switching 141 at 8 min, switch to 20% water, 80% acetonitrile). In brief, an 142 aliquot of NPs (10 µl) was mixed with 40 µl of KCN solution 143 (100 mM in 10 mM potassium hydroxide) to dissolve the gold. 144 The sample was analyzed by HPLC at 212 nm to determine 145 released peptide concentration, and at 400 nm to confirm that all 146 the gold was dissolved. An aliquot of NPs (10 µl), diluted with 20 147 ul TFA, was also analyzed by HPLC to determine whether any 148 free peptide remained in the NP solution, following ultrafiltration 149 purification.

ID injection of peptide-loaded NPs

Mice were anesthetized using isoflurane and the injection site 152 was shaved prior to delivery. Free peptide or peptide-loaded NPs 153 were injected intradermally in 50 µl sterile PBS using a 29G 154 needle.

MN characterization and injection of peptide-loaded NPs

Hollow MN devices (MicronJet600TM) were provided by 157 NanoPass Technologies. MicronJet is a CE marked and FDA 158 cleared device, consisting of three MNs, each 600 µm in length, 159 with a lumen of approximately 60 µm in diameter, bonded to a 160 plastic adapter that attaches to a Luer syringe. ¹⁴ MicronJet600 TM 161

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t1.1 Table 1
 Physicochemical properties of peptides used, listed in order of hydrophobicity with BDC2.5 being the most hydrophobic and OVA being the least hydrophobic

 t1.2 (genscript online resource, available at https://www.genscript.com/ssl-bin/site2/peptidecalculation.cgi).

t1.3	Peptide	Sequence	Charge	Isoelectric point	pН	% hydrophobic/hydrophilic residues
t1.4	BDC2.5	YVRPLWVRME	1	9.34	Basic	Hydrophobic: 60% Hydrophilic: 10% Neutral: 30%
t1.5	HIP	DLQTLALWSRMD	-1	4.11	Acidic	Hydrophobic: 50% Hydrophilic: 25% Neutral: 25%
t1.6	OTII	ISQAVHAAHAEINEAGR	1	6.5	Basic	Hydrophobic: 47.1% Hydrophilic: 23.5% Neutral: 29.4%

injection did not change the diameter of NPs, which remained physically stable under the shear forces of the injection. ²⁶ Mice were anesthetized using isoflurane, the injection site was shaved, and peptide was injected in 50 µl sterile PBS.

Adoptive cell transfer

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Splenic BDC2.5 CD4+ T-cells were purified using a MACS CD4 II negative selection kit (Miltenyi Biotec). Cells were labeled with 20 µM CFDA (Invitrogen) in 10% fetal calf serum (FCS) RPMI at room temperature for 5 min at a concentration of 10⁷/ml. The cells were then washed twice in RPMI containing FCS, rested for 15 min, and subsequently washed in saline. These cells were then re-suspended at 4×10^6 cells in 200 µl sterile saline and injected intravenously into 6-10-week-old female mice. At the indicated times, single-cell suspensions were prepared from spleen and various LNs, and CD4⁺ T-cells were analyzed by FACS. OTII T-cells were isolated from pooled LNs and spleen of OTII mice, maintained on a Rag-2^{-/-}xB6 [CD45.1] background, using a CD4⁺ T-cell negative isolation kit (Dynal, Invitrogen). For CTV labeling, purified OTII T-cells were resuspended in PBS containing 2.5 mM cell tracer violet (CTV) (Molecular Probes) for 3 min at room temperature. 10⁶ CTV-labeled OTII T-cells were adoptively transferred into the 183 specified mice.

Phenotypic analysis by flow cytometry

All antibodies were purchased from BioLegend and BD 186 Pharmingen.

A single cell suspension was pre-treated with anti-CD16/32 188 (BD Pharmingen) and then stained at 4 °C for 25min with the 189 following pre-titrated mAbs against the indicated antigens: CD4- 190 AF700 (RM4-5), CD44-BV71 (IM7), CD3e-AF700 (500A2), 191 CD45.1-APC (A20), CD4-BV786 (RM4-5) and TCR Vα2-PE 192 (B20.1). To assess intracellular expression, samples were 193 permeabilized and fixed using Foxp3 transcription factor 194 staining buffer set (eBioscience), according to the manufacturer's 195 instructions. Intracellular mAbs against the following proteins 196 included: CTLA4-BV421 (UC10-4B9), IL10-BV650 (JES5- 197 16E3) and IFNy-PeCY7 (XMG-1.2). Cell viability was evaluated using fixable viability dye efluor780 (eBioscience) or 199 zombie yellow (Biolegend), according to the manufacturer's 200 instructions. Samples were washed and read using a FACS 201 LSRII Fortessa cytometer with DIVA software (BD) and 202 analyzed using FlowJo software (Treestar).

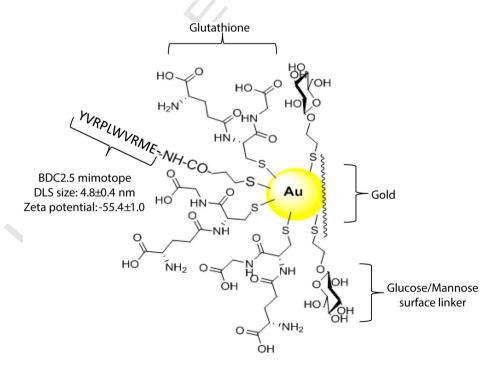


Figure 1. Gold NP composition. Composition of gold NPs used, comprising a gold core, conjugated via sulfur bonds to an organic layer of glucose or mannose, glutathione and peptide, (BDC2.5mimotope).

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t2.1 Table 2
 NP properties, including DLS size, NP zeta potential and peptide loading
 t2.2 levels.

Peptide	DLS size (nm)	Zeta potential	Peptide loading levels
BDC2.5	4.8 ± 0.4	-55.4 ± 1.0	2%
HIP	3.3 ± 1.0	-54.7 ± 1.0	2%
OVA	6.3 ± 0.8	-45.3 ± 0.6	2%

Statistical analysis

All results are presented as the mean \pm standard error of the mean (SEM) and were analyzed using GraphPad Prism V5. Student's t test, one-way ANOVA and two-way ANOVAs (for analyses with more than 1 variable) with Bonferroni post-hoc test were used for statistical analysis. P values of <0.05 were considered statistically significant: *denotes P < 0.05, **denotes P < 0.01, ***denotes P < 0.001.

212 Results

213 Pharmacokinetics of intradermally-delivered BDC2.5mimotope 214 peptide coupled to NP differs from BDC2.5mimotope peptide 215 alone in vivo

Following the intravenous transfer of CFDA-labeled isletspecific BDC2.5 CD4+ T-cells into naïve NOD mice, BDC2.5mimotope NPs, BDC2.5mimotope peptide alone (2 µg 218 peptide in 50 ul) or peptide free 'blank' NPs were administered 219 by ID injection at the back of the neck. Lymphoid organs were 220 then analyzed at 24, 48 and 72 h. Following administration of 221 BDC2.5mimotope NPs, BDC2.5 T-cell proliferation in the skin- 222 draining LN (axillary), the non-draining LN (inguinal), the 223 spleen and pancreatic LN (PLN) was enhanced, compared to 224 baseline at the 48 and 72 h time points (Figure 2). In contrast, 225 levels of proliferation were much reduced in mice treated with 226 BDC2.5mimotope peptide alone; proliferation was only detected 227 in the axillary (draining) LN at the 72 h time point, with no 228 proliferation at either 24 or 48 h (and only baseline proliferation 229 in the PLN) (Figure 2). As expected, modest proliferation of 230 BDC2.5 CD4+ T-cells as a result of endogenous presentation of 231 the cognate antigen occurred in the pancreatic LN in control 232 mice. This was not enhanced following ID injection of peptide 233 and indicates that the peptide administered alone was not 234 disseminated to the PLN. No proliferation was observed using 235 peptide-free 'blank' NPs, confirming the antigen-specific nature 236 of the response. Overall, ID delivery of BDC2.5mimotope 237 peptide via NPs resulted in significantly higher levels of T-cell 238 proliferation in distant lymphoid tissues and at earlier time points 239 than peptide alone.

Peptide NP conjugation: the effects of T-cell affinity

CD4+ T-cells are central in the process of beta cell 242 destruction. BDC2.5 CD4+ T-cells are highly diabetogenic and 243

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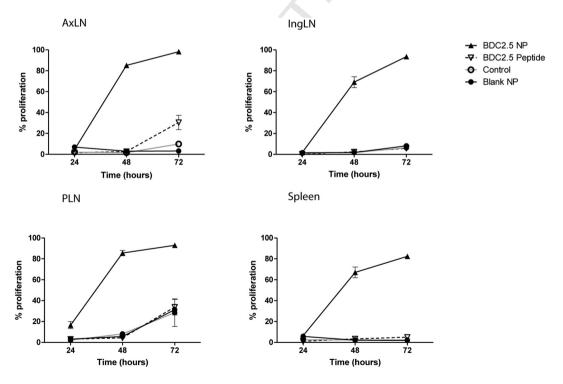
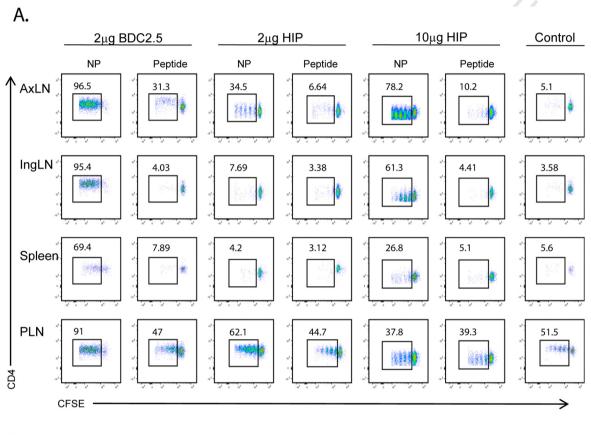


Figure 2. In vivo proliferation time course analysis following peptide-NP delivery compared to peptide alone. CFDA-labeled BDC2.5 CD4+ T-cells were transferred intravenously into NOD mice, followed by an ID injection of either 2 μ g BDC2.5mimotope or BDC2.5mimotope-NP or peptide free 'blank' NP. Experimental control mice did not receive the ID injection. LNs (axillary, inguinal and pancreatic) and spleen were harvested at 24, 48 and 72 h following injection and BDC2.5 CD4+ T-cells analyzed for proliferation by CFSE dilution. Mean \pm SEM with 3 mice per treatment is shown, representative of 3 experiments. Statistical analysis was done by two-way ANOVA with Bonferroni post-test comparing BDC2.5mimotope NP to BDC2.5mimotope peptide (***P < 0.001).

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the natural epitope for these pathogenic cells is a hybrid insulin peptide (HIP), formed by the fusion of a chromogranin A peptide sequence WE14 and insulin C-peptide. ²² Although naturally formed, HIP has a lower affinity for BDC2.5 CD4+ T-cells than the commonly used high affinity islet antigenic peptide substitute BDC2.5mimotope. Therefore, to determine whether NP conjugation changed these relative peptide affinities, NPs were conjugated to either the high affinity BDC2.5mimotope peptide or the lower affinity naturally-formed HIP peptide. CFDA-labeled BDC2.5 CD4+ T-cells were then intravenously trans-

ferred into NOD mice and these mice were subsequently treated 254 with an ID injection of an NP formulation (BDC2.5mimotope or O200 HIP peptide or peptide alone. At 72 h, proliferation of transferred 256 BDC2.5 CD4 T-cells was significantly higher in all lymphoid 257 tissues following treatment with the BDC2.5 NPs compared to 258 BDC2.5mimotope peptide (Figure 3, A and B), replicating 259 earlier findings shown in Figure 2. However, this effect was not 260 observed using the lower affinity HIP-NPs at an equivalent 261 peptide dose (2 μ g). Instead, HIP-NPs only induced proliferation 262 in the axillary LN and at lower levels than those seen with 263



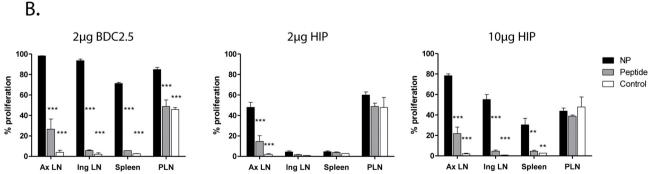


Figure 3. Peptide-NP effects on in vivo T-cell proliferation are dependent on peptide affinity. CFDA-labeled BDC2.5 CD4+ T-cells were transferred intravenously into NOD mice, followed by an ID injection of peptide or peptide-NP. LNs (axillary, inguinal and pancreatic) and spleen were harvested 72 h later and proliferation analyzed by flow cytometry. (A) Representative flow cytometric plots showing proliferative responses from left to right, 2 μ g BDC2.5mimotope-NP, 2 μ g BDC2.5mimotope peptide, 2 μ g HIP-NP, 2 μ g HIP peptide, 10 μ g HIP-NP, 10 μ g HIP peptide and no ID injection. (B) Left to right, summary of proliferation for high affinity BDC2.5mimotope and lower affinity 2 μ g and 10 μ g HIP epitope. Mean \pm SEM of 3 mice per treatment is shown, representative of 3 experiments. Statistical analysis was done using one-way ANOVA with Bonferroni post-test comparing peptide-NP to peptide and control (**P < 0.01, ***P < 0.001).

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BDC2.5mimotope-NP, though still significantly higher than HIP peptide alone. Endogenous proliferation in the pancreatic LN was not enhanced by 2 µg HIP-NP. No proliferation above control (no ID injection) was observed in the inguinal LN and spleen. However, when a higher dose of HIP-NP was administered (10 µg), widespread proliferation was observed in these NP-treated mice, with profiles resembling those witnessed with 2 µg BDC2.5mimotope NP. These results are consistent with lower amounts of peptide-NP being present in distant LN and in the case of a lower affinity peptide, may be limiting for T-cell proliferation at lower doses. Dissemination of peptide alone was much less efficient than its NP-formulated counterpart and therefore no presentation was witnessed in the distant LNs, at any of the doses of HIP peptide that were examined.

Peptide-NP conjugation: effects of peptide solubility

The influence of peptide solubility on peptide-NP behavior was also evaluated in vivo (Figure 4), by comparing the behavior of the highly water-soluble OTII peptide, recognized by OTII CD4+ T-cells, with the less soluble BDC2.5mimotope and HIP peptide counterparts. CTV-labeled cells were transferred into C57BL/6 mice, followed by OTII peptide or OTII-NP at 2 μg or 10 μg by ID injection, using 29G insulin needles. At a 2 μg OTII-NP dose, T-cell proliferation occurred in all the lymphoid organs, with greatest proliferation in the draining lymph nodes (brachial and axillary LN). Moreover, at the higher dose of 10 μg , OTII-NP induced significantly more T-cell proliferation than 2 μg OTII-NP in the inguinal LN (**P<0.01) and spleen (***P<0.001). In contrast to both BDC2.5mimotope and HIP peptide, proliferation of T-cells in response to the OTII peptide also reached almost 100%.

Peptide-NP can be successfully delivered via MicronJet600TM microneedles into murine skin

To test whether using MicronJet600TM MNs would enhance peptide-NP and free peptide delivery in vivo, experiments were performed in both NOD and C57BL/6 mice. Following intravenous transfer of BDC2.5 CD4+ T-cells into NOD mice (Figure 5), and OTII cells into C57BL/6 mice (Figure 6), the respective mice were injected with 2 µg BDC2.5mimotope-NP or 2 µg OTII peptide-NP or soluble peptide using MicronJet MNs. Lymphoid organs were harvested after 72 h and proliferation was analyzed. Figures 5 and 6 indicate successful peptide-NPs delivery using MNs, with high levels of proliferation in the skin-draining brachial and axillary LN, as well as the inguinal LN and spleen, thus confirming the presentation of the MN-delivered antigen to the responding T-cells. However, the use of MicronJet needles, in this context, did not enhance delivery of the BDC2.5mimotope peptide alone, nor alter the delivery of OTII peptide compared to conventional ID injection. Overall, proliferation following MN delivery was comparable to that observed with conventional ID injection.

Peptide-NP is transported to LN by migratory skin DCs

CCR7, a leukocyte chemotactic receptor, is expressed by mature DCs and plays a key role in DC homing to LNs, as well as their subsequent positioning within LN functional compart-

ments. Loss of CCR7 impedes DC migration from non-lymphoid 318 organs, such as the skin, into the draining LN. 25,27 With this in 319 mind, CCR7-deficient mice were used to determine the role of 320 DCs in distribution of OTII peptide and OTII peptide-NP, and to 321 determine whether peptide transport is an active DC-mediated 322 process. ID injection of OTII peptide and OTII-NP was therefore 323 examined at two different concentrations (2 µg and 10 µg) in wild 324 type C57BL/6 or CCR7KO C57BL/6 mice. A reduction in 325 proliferation was observed in different LN of the CCR7KO mice 326 following administration of the peptide-NP, with a significant 327 reduction at the higher 10 µg OTII concentration in both the 328 draining LN and spleen (*P < 0.05) (Figure 7). Although the 329 same trend was observed with 2 µg OTII-NP and peptide alone, 330 the decrease was not statistically significant. This suggests that 331 migratory DCs are important for peptide-NP transport from the 332 skin to the draining LNs, particularly at high concentrations.

HIP-NP influences cellular phenotype in a concentration- 334 dependent manner 335

To determine the impact of HIP-NP concentration on cell 336 function. NOD mice were transferred with CFDA-labeled 337 BDC2.5 CD4+ T-cells and then immediately treated with an 338 ID injection of 0.4 µg, 2 µg, 6 µg or 10 µg HIP-NP or free HIP 339 peptide. After 72 h, axillary draining LNs, the inguinal LN, 340 spleen and pancreatic LN were harvested and examined and a 341 concentration dependent HIP-NP proliferative response was 342 revealed. Proliferation in the axillary LN, inguinal LN and 343 spleen, was most notable at 10 µg. This was significantly higher 344 than the proliferation observed in the HIP peptide-administered 345 mice (Figure 8, A) and consistent with experiments that were 346 conducted with a more limited dose range (Figure 3). 347 Furthermore, the axillary LN T-cells expressed increased levels 348 of activation markers CD44 and CTLA4 (Figure 8, B), which 349 reached significance at lower concentrations compared with 350 proliferation in the inguinal LN and spleen. Despite enhanced 351 activation status, a significant decrease in cells expressing IFNy 352 was noted in the axillary LN of HIP-NP treated mice compared to 353 peptide alone (*P < 0.05). IL-10 expression was low under all 354 conditions (Figure 8, C). Therefore, while T-cells displayed an 355 increased activation and proliferation profile over a wide HIP-NP 356 range, functionally the cells of the draining LN produced less 357 IFNγ.

Discussion 359

The lipophilicity of 'naked' peptide autoantigens has been 360 shown to influence localized delivery in human skin²⁸; results in 361 murine skin (Figures 2-6) provide further exemplification of this. 362 The murine dermis is an aqueous region, consisting of 60.3% 363 water, ²⁹ and therefore the notable increase in T-cell proliferation 364 in response to intradermal administration of the water-soluble 365 OTII peptide (its cognate antigen) at lymphoid organs distant to 366 the local environment (Figure 7) is likely to be facilitated by 367 rapid diffusion in the local environment, uptake by DC in 368 draining LNs and subsequent distribution in the lymphatic 369 system. However more lipophilic auto-antigenic peptides such as 370 BDC2.5mimotope and HIP, which are insoluble in aqueous 371

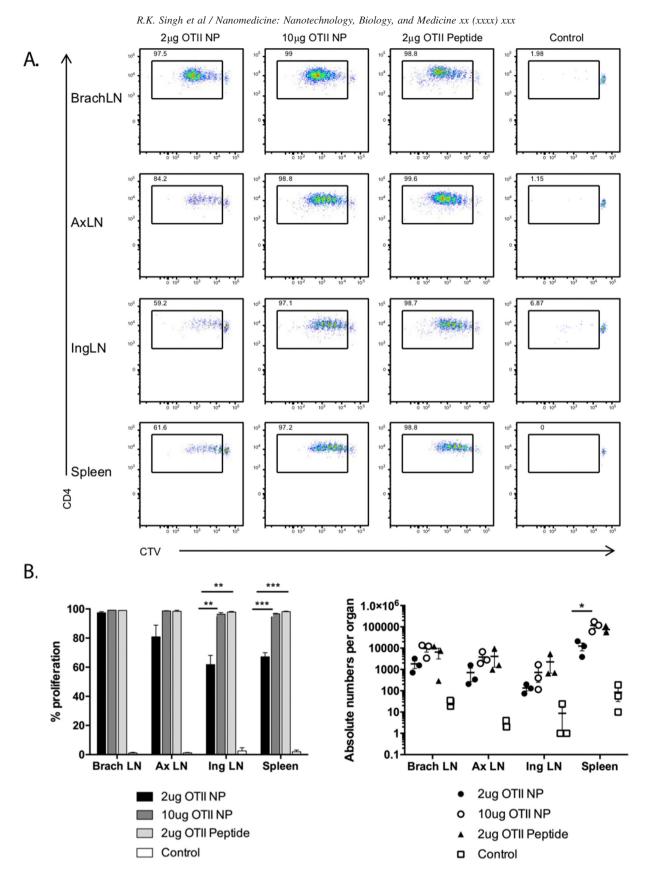
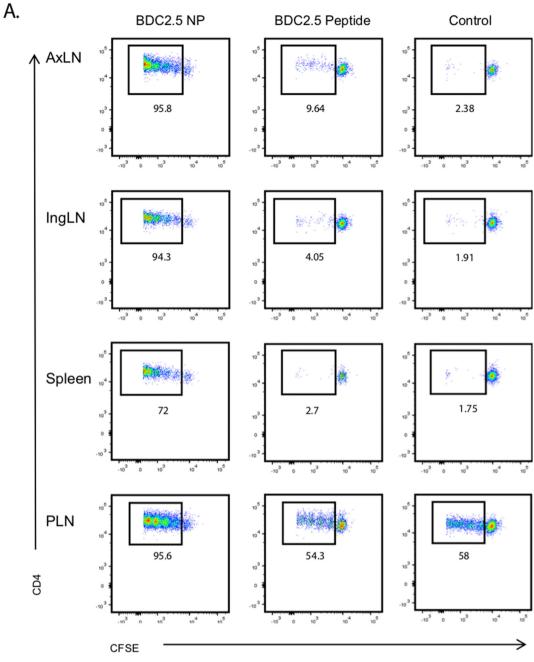
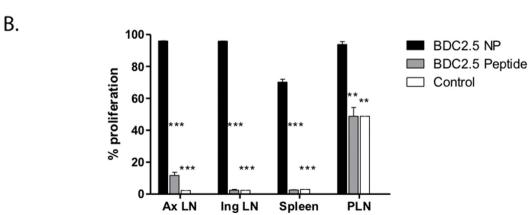


Figure 4. **Peptide-NP effects in vivo differ according to peptide solubility.** (**A**) Representative flow cytometric plots showing proliferative responses to (from left to right) 2 µg OTII-NP, 10 µg OTII-NP, 2 µg OTII peptide and controls in the brachial and axillary LNs (draining), distal inguinal lymph node and spleen. (**B**) Summary of proliferation for OTII-NP and peptide by % proliferation and absolute numbers. Data represent mean ± SEM (% proliferation) and each dot







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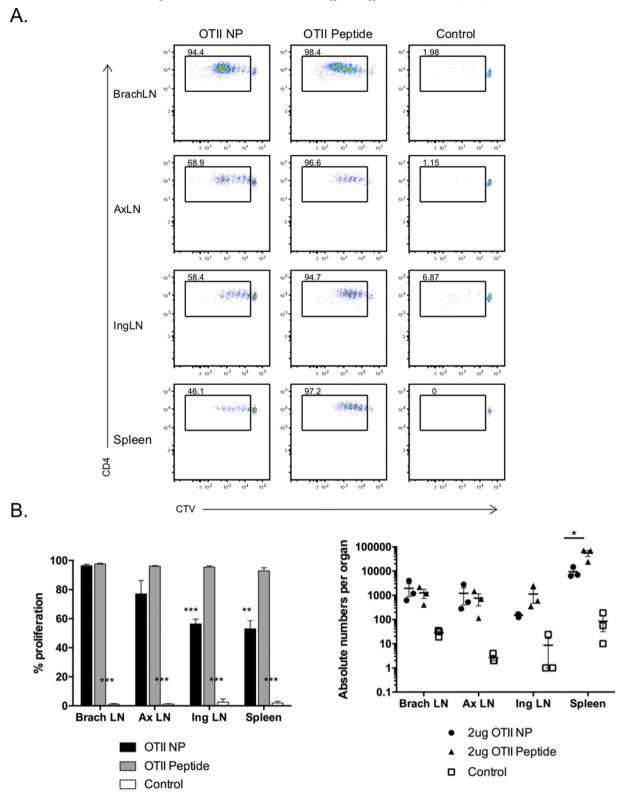


Figure 6. MicronJet600 $^{\text{TM}}$ delivery of OTII peptide-NP and peptide alone induce proliferation of transferred T-cells. Mice received fluorescently-labeled cells intravenously, followed by an ID injection of peptide or peptide-NP using the short (600 μ m) hollow MN device (MicronJet600TM). Lymph nodes were harvested 72 h later and proliferation was analyzed by flow cytometry. (A) Representative flow cytometric plots showing proliferative responses to 2 μ g OTII-NP, 2 μ g OTII peptide and controls in the brachial and axillary lymph nodes (draining), distal inguinal lymph node and spleen following MN delivery. (B) Summary of proliferation for OTII-NP and peptide. Mean \pm SEM (% proliferation) is shown and each dot corresponds to a mouse (absolute numbers per organ). Data are representative of three experiments with n=2-3 animals per group. Significant differences were identified using one-way ANOVA with Bonferroni post-test comparing peptide-NP to peptide and control (**P < 0.001 ***P < 0.001).



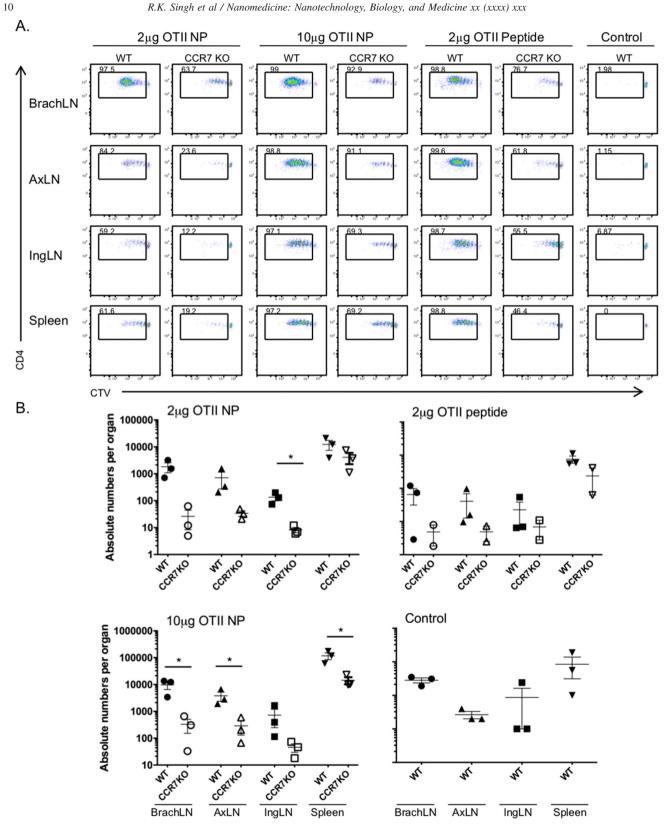


Figure 7. Peptide-NP is taken up by dendritic cells and distributed to lymphoid organs. WT and CCR7KO mice received CTV-labeled OTII T-cells intravenously, followed by an ID injection of peptide or peptide-NP using a $600 \mu m$ MicronJet 600^{TM} needle. LNs and spleen were harvested 72 h later and proliferation was analyzed by flow cytometry. (A) Representative flow cytometric plots showing proliferative responses (left to right) to $2 \mu g$ OTII-NP, $10 \mu g$ OTII-NP, $2 \mu g$ OTII peptide and controls in the brachial and axillary LN (draining), distal inguinal LN and spleen. (B) Absolute numbers of proliferating OTII CD4+ T-cells in response to OTII-NP and peptide. Each dot corresponds to a mouse and the mean (horizontal bar) is indicated. Data are representative of three

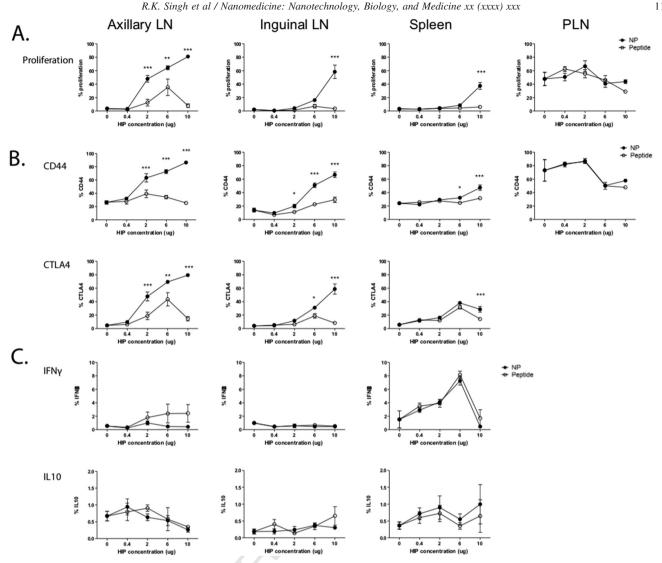


Figure 8. HIP-NP has differential effects on the cellular phenotype dependent on concentration. NOD mice received CFDA-labeled BDC2.5 CD4+ T-cells intravenously, followed immediately by an ID injection (29G insulin needle) of HIP-NP or HIP peptide at various concentrations. LNs were harvested 72 h later and CD4+ T-cells were analyzed by flow cytometry. (A) Proliferative response of cells to HIP-NP or peptide as determined by CFSE dilution. (B) BDC2.5 Tcell activation markers including CD44 and CTLA4 and (C) cytokine response including IFNy (axillary LN; interaction = ns, NP vs peptide p = *0.027, HIP concentration = ns) and IL10. Statistical analysis was done using two-way ANOVA with Bonferroni post-test comparing peptide-NP and peptide at individual concentrations (*P < 0.5 **P < 0.01, ***P < 0.001). Mean \pm SEM is shown; data are representative of 3 experiments (n = 3 per treatment).

media, such as water and PBS, are only able to stimulate response in the local environment i.e. in the draining lymph node (Figures 2-3). Enhancing the distribution of poorly water-soluble peptides to stimulate immune responses distant from their administration site may be desirable for a range of therapeutic approaches, including immunotherapy for conditions such as type 1 diabetes.

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Gold NPs have recently garnered attention as drug delivery agents for several reasons, including their lack of toxicity, chemical stability and extensive surface to volume ratio, 32,33 which enable conjugation of clinically significant doses of therapeutics. NPs have previously been used to deliver diseaserelevant autoantigens in mouse models of EAE, ^{34–36} arthritis ³⁷, ³⁸ and diabetes. ^{18,19,39} Therefore, in this study we have explored the potential of a gold NP formulation to enhance

trafficking of lipophilic peptides from the antigen-presenting cell 387 (APC) rich skin compartment to distant target sites, such as the 388 pancreas and pancreatic LN. We have also investigated the 389 importance of the mechanism of administration (microneedles 390 versus ID injection), peptide affinity, peptide solubility and 391 dosing on peptide-NP trafficking in vivo.

Peptide-NPs incorporating the relatively insoluble 393 BDC2.5mimotope and HIP peptides facilitated enhanced 394 proliferation at LNs distant to the site of intradermal injection, 395 thus indicating a marked improvement in distribution, in vivo, 396 compared to peptide alone. Enhanced proliferation of T-cells, in 397 response to the NP-conjugated lipophilic peptides, was detect- 398 able in the draining LN, the non-draining LN, the spleen and the 399 PLN, 48 h after intradermal administration. These kinetics are 400 consistent with a minimum requirement of 24 h for T-cell 401

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proliferation to occur after antigen encounter. Conversely, conjugation of the highly water-soluble OTII peptide to the NP formulation reduced proliferation in the LNs at a "like for like" dose (2 ug) and it was only at an increased dose (10 ug) that the NP formulation performed comparably to the 'naked' peptide. This may be explained by a reduction in the diffusive properties of the hydrophilic peptide upon conjugation to a gold NP and, as a corollary, indicates that the enhancement afforded by conjugation of the lipophilic peptides to the ultra-small gold NPs may not be mediated simply by an increase in the solubility and diffusive properties of the therapeutic within the tissue. However, while these results are indicative, the lipophilic and hydrophilic peptides used in this study are detected by two different T-cell clones in two different mouse strains. Future experiments examining a range of peptide solubilities in a single mouse strain are therefore needed to probe this hypothesis further. Further studies could also explore whether NPconjugation enhances peptide stability in vivo, as this may also contribute to enhanced and prolonged T-cell proliferation.

DCs are abundant in the immunocompetent skin. Increased peptide uptake by DCs in the local environment is more likely to result in increased activation and translocation of these highly effective APCs from the skin to the draining LNs and subsequent presentation to their cognate T-cells. Studies conducted in the CCR7-deficient mouse model at high OTII-NP concentrations (Figure 7) were therefore used to probe the role of DCs in trafficking locally-delivered NP-conjugated lipophilic peptides to distant LNs. Data indicate that distribution to LNs was partly dependent on DCs, with those mice lacking the lymph node homing receptor, CCR7, displaying reduced proliferation in response to peptide-NP administration (Figure 7). Previous studies suggest that intradermally-delivered antigens conjugated to a NP formulation diffuse within the extracellular environment to the draining LN for presentation to resident DCs in a size-dependent manner. 5,42,43,45,46 Furthermore, it has been hypothesized that 'large' NPs, ranging from 33 nm⁴⁵ to 500 nm, ⁴⁶ are internalized by DCs at the site of injection and are trafficked to local LNs for presentation, while 'small' NPs are not retained in LNs, thereby reducing the magnitude and quality of the ensuing T-cell response 45,47 and Foxp3 T-reg induction. 43,48 In contrast to this, we have shown that ultra-small OTII-NPs (2-6 nm) are, in part, taken up by DCs following ID injection and are subsequently transported to the local draining LN. Mice deficient in the LN homing receptor CCR7 demonstrated significantly less proliferation in the draining LN, particularly at the high concentration peptide-NP formulation. We therefore hypothesize that the enhancement in the distribution afforded by gold NP conjugation to poorly soluble peptides is, in part, due to enhanced trafficking from the site of injection by DCs, rather than simply by a change to the diffusive properties of the formulation. This finding is of particular interest, as it implies that peptide-NPs could be formulated with a second tolerogenic cargo that could be targeted and co-delivered to skin DCs (rather than LN resident DCs that may be responsible for presentation of 'naked' peptide that reaches the LN by diffusion), thus potentially enabling DC function to be modulated prior to migration to the draining LN.

Different affinities of the peptides to their corresponding 459 antigen-specific T-cells resulted in observable differences in the 460 in vivo response to the BDC2.5mimotope, HIP and OTII 461 peptide-bound NP formulations. BDC2.5mimotope peptide, 462 identified by screening a peptide library, 23 has a high affinity 463 to BDC2.5 CD4+ T-cells whereas HIP, a relatively newly- 464 discovered peptide epitope, has been proposed as the natural 465 peptide for the highly diabetogenic BDC2.5 T-cells and thus, in 466 common with many autoantigens, is relatively lower affinity. 24 der This relationship was maintained following NP conjugation of 468 the peptides, with HIP-NPs inducing less proliferation than 469 BDC2.5mimotope-NPs at the same peptide dose, thus indicating 470 that NP conjugation to the peptide does not have a detrimental 471 effect on the relative potencies of these auto-antigens.

Previous studies using NPs formulated with an islet 473 autoantigen provide evidence that enhanced expansion of 474 regulatory T-cells in lymphoid organs may translate to a 475 tolerogenic response in diabetes mouse models. 18,19 This was 476 exemplified by an expansion in T regulatory cells and 477 suppression of diabetes development; however neither study 478 delivered antigen by the intradermal route. 18,19 In both of these 479 studies the authors reasoned that NPs would also benefit from a 480 second tolerance-inducing cargo. Indeed, sub-cutaneous delivery 481 of antigen-specific NP formulations, intended to induce tolerance 482 in vivo, has typically used additional anti-inflammatory 483 mediators, such as IL10, 42 rapamycin 34 or TGFβ. 43 HIP-NP 484 studies were therefore extended to explore whether intradermal 485 delivery and enhanced distribution of the peptide to distant LNs 486 could bring about a phenotypic change that is indicative of 487 tolerance in type 1 diabetes i.e. a reduction in IFNy, a pro- 488 inflammatory cytokine whose levels correlate with disease 489 progression and which is known to enhance the development 490 of type 1 diabetes in the NOD mouse model. 40,41 Down- 491 regulation of this pro-inflammatory mediator IFNy, three days 492 after administration of HIP-NPs (Figure 7), therefore encourages 493 further investigation of HIP-NP as a therapeutic candidate, 494 potentially a pro-tolerogenic addition to the NP formulation to 495 further enhance regulation.

In this study, NPs were administered via an ID injection using 497 both traditional hypodermic needles and hollow MNs 498 (MicronJet600TM). In the mouse model, both methods were 499 able to deliver both peptide and peptide NP formulations into the 500 skin and facilitated comparable in vivo responses. While there 501 are well-recognized differences in murine and human skin, here, 502 both methods of delivery proved equal in their ability to deliver 503 peptide and peptide-NP. Both methods are easily translatable to 504 humans, and peptide and peptide-NP are presented in LNs 505 distant to the site of injection. 9

In conclusion, this study illustrates the potential value of the 507 intradermal delivery of ultra-small gold NPs for enhanced 508 delivery of lipophilic peptide autoantigens to lymphoid organs 509 and the importance of peptide dose, affinity and solubility on 510 distribution to, and T-cell expansion at, these body sites. Peptide-511 NP formulations therefore potentially provide a valuable means 512 of targeting poorly soluble peptide epitopes to internal directly 513 inaccessible LNs, such as the pancreatic LN, which may be of 514 particular value in type 1 diabetes tolerisation strategies. Future 515

studies will investigate the clinical utility of this drug delivery system in immunotherapy.

Q21 Uncited references

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