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Near perfect head-to-head selectivity on supramolecular photocyclodimerisation of 2-anthracenecarboxylate with self-organised gemini surfactant bilayers

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Silicified self-organised crystalline bilayers of gemini surfactants were used as reaction templates for supramolecular regioselective [4+4] photocyclodimerisation of 2-anthracenecarboxylate in water. Near perfect head-to-head cyclodimers selectivity, up to 97% at room temperature was achieved with accelerated dimerisation.

Ever since the first report in 1867,^{1–3} the [4+4] photocyclodimerisation of anthracene and its derivatives has attracted much attention due to the unique structure and properties of the photocyclodimers obtained, and has been employed indeed as a key motif in energy storage and photoreversible devices.^{4–8} However, controlling regio- and enantioselectivities of the photocyclodimerisation of anthracene derivatives, such as 2-anthracenecarboxylate (**AC**) (Scheme 1), is a challenge, which has driven researchers to the use of supramolecular approach,^{9,10} using cyclodextrins,^{11–14} proteins,^{15,16} molecular templates,^{17–21} molecular cages,^{20–22} twisted self-associated templates,¹⁸ organogels,²³ and helical metal nanostructures²⁴ as hosts and templates. Most of the reported systems, however, require the multi-step synthesis of host/template, the modification of guest substrate and/or the irradiation in organic media at low temperature. From the Sustainable Development Goals (SDGs) point of view, a readily accessible, spontaneously self-organisable, operative under ambient conditions, yet biodegradable host/template is advocated, while a three-dimensionally well-defined, appropriately functionalised, structurally robust host/template is essential for directing the stereochemical course of photoreaction to desired product(s). Upon photoirradiation in aqueous media, **AC** form *anti*- and *syn*-isomeric *head-to-tail* (HT) cyclodimers (**ACD1** and **ACD2**) and *head-to-head* (HH) cyclodimers (**ACD3** and **ACD4**) in a 4:1 ratio (Table 1, entry 1) for steric and electrostatic reasons. Possessing two functional groups on the same side of molecule, the HH cyclodimers are structurally attractive as building blocks for functional devices and materials.^{25,26} Wolff *et al.* succeeded to increase the HH/HT ratio of the photo-cyclodimerisation of 9-substituted anthracenes by executing the reaction in cationic and anionic micelles to gain modestly enhanced HH/HT ratios of 0.62–0.76,^{27,28} for which the flexible micelle shell would be responsible for the limited value of HH/HT ratios.

Scheme 1 [4+4] Photocyclodimerisation of 2-anthracenecarboxylate (**AC**).

Previously, we have reported the self-organisation of enantiopure tartrate with gemini-type cationic surfactant, *N,N'*-dihexadecyl-*N,N',N',N'*-tetramethylethylene diammonium (hereafter abbreviated as **16-2-16**). Mixing **16-2-16** with L- or D-tartrate in water or some organic solvents leads to the formation of right- or left-twisted double-bilayer crystalline structures termed nanoribbons (NRs).²⁹ These chiral NRs derived from **16-2-16** and tartrate are transcribed into a silica matrix using tetraethyl orthosilicate (TEOS)^{30,31} to form hybrid silica-organic composites (Figure 1).

These hybrid NRs can be used as chiral scaffolds for organising achiral anions (from simple halides to anionic dyes) and inducing chiroptical responses. The chiral inductor tartrate is exchangeable with other anions without deteriorating the chiral supramolecular organisation of gemini surfactants.^{32,33} This result indicates that the silica nanohelical framework is structurally robust enough to keep the memory of **16-2-16** chirality throughout the anion exchange process. Furthermore, the hybrid NRs are stable in water at room temperature in the concentration range of 10^{-4} to 10^{-2} M, and isolable through filtration or centrifugation without affecting their chiroptical properties.

Figure 1 (a) Molecular structure of **16-2-16** L-tartrate and (b) twisted morphology of self-assemblies in water before and after silica transcription.

In the present study, monoanionic **AC** exchanged with tartrate inside the hybrid NRs silica walls was employed as photosubstrate in the presence of **16-2-16** bilayers, photoirradiation of which formed the HH cyclodimers with 97% selectivity.

Hybrid NRs of **16-2-16** tartrate were prepared as described previously.^{31,34} Briefly, **16-2-16** L- or D-tartrate (1.0 mM) was dissolved in ultra-pure water (5 mL) by heating to 70 °C; the resulting solution was cooled down to 20 °C and kept at that temperature for 1 h, to which was added 5 mL of a TEOS (5% v/v) solution pre-hydrolysed for 5 h in 0.1 mM aqueous tartaric acid. The mixture was placed in a vial which was rotated for 14 h at 20 °C on a roller mixer. The hybrid NRs thus obtained were washed with ultra-pure water at 4 °C to remove the excess TEOS without damaging the nanostructure of **16-2-16** tartrate inside the silica insulate, and stored at 4 °C. As can be seen from the TEM images in Figure 1b, the hybrid NRs structure was well preserved in the prepared sample. The content of **16-2-16** tartrate in the hybrid silica-organic nanostructures was reported to be ca. 30%w.³⁵

Upon addition of **AC** to the **16-2-16** tartrate in the hybrid NR thus prepared, the 0-0 transition of the L_b band of **AC** at 387 nm was red-shifted to 394 nm (Figure S2a), indicating the J-aggregation of **AC** inside the hybrid NRs produced.^{36–38} Also in the case of L-hybrid NRs, a strong positive couplet, implying the right-handed screw arrangement of the **AC** chromophores in the hybrid NRs, gradually developed at the B_b band, the amplitude of which reached a plateau in 5 h (20 °C) to 10 h (4 °C) (Figure S2c). The contribution of linear dichroism (LD) turned out to be negligible (Figure S4) under the conditions employed.³⁹ In the case of L-hybrid NRs, anisotropy (g) factors at the extrema amounted to 7.5 and -6.2×10^{-3} at 238 and 230 nm, respectively. The opposite signs CD signals were observed with D-hybrid NRs. It is important to note that if the tartrates in NRs were fully exchanged, the stoichiometry of **AC** (monoanion) to **16-2-16** (dication) should be 2:1 to balance the charge (Figure S11). However, the circular dichroism (CD) intensity reaches the maximum at the stoichiometric 1:1 ratio (Figure 2), meaning that only half of the tartrates were replaced by **AC**. This behaviour differs from the results reported previously for methyl orange and halides.⁴⁰ Our previous study has shown that the self-organised double-bilayer assembly of **16-2-16** tartrate possesses inner and outer tartrate layers in equal quantities.⁴⁰ (Figure 2, top left) Considering such a double bilayer structure, we deduce that only the outer-layer tartrates are exchanged by **AC**, while the inner-layer tartrates stay intact.

However, over the 1 to 1 ratio, **AC** will start to exchange with inner-layer tartrate (Figure 2 top right). Not only **AC** has a bulky and rigid structure, due to the strong stacking interaction of **ACs**, they tend to make di-anionic pairs which have similar affinity to gemini bilayer as tartrate. Such aggregates are difficult to go through the crystalline gemini layer and exchange with inner-layer tartrates.

Figure 2 The anion exchange of tartrate to **AC** in hybrid nanoribbons. The CD titration was conducted with the fixed [hybrid nanoribbons] (25 μM) and varied [**AC**] (13, 25 and 50 μM). The ICD reaches the maximum (7.5 and -6.2×10^{-3}) at 25 μM , which corresponds to **AC** to hybrid nanoribbons 1 to 1 ratio. At 2 to 1, the absorption at 258 nm corresponding to free **AC** in solution appears. The ICD can be observed throughout the **AC** spectrum up to 400 nm. LD components were small enough to be neglected.

To elucidate the photophysical, as well as the photochemical, consequences of **AC** stacking in hybrid NRs, we compared the fluorescence spectrum of **AC** (10 μM) incorporated in hybrid NRs (10 μM) with that of free **AC** (10 μM) in the bulk solution. As shown in Figure 3a and 3b, upon hybridisation with NR, **AC** fluorescence was significantly quenched (by a factor of 7.2), hypsochromically shifted (by *ca.* 5 nm) and band-sharpened exhibiting the vibrational fine structures, all of which can be explained by the change from polar to nonpolar local environment around the **AC** molecules incorporated in NR.⁴¹ It is to note that the fluorescence intensity of hybrid NR rapidly faded out upon repeated measurements with a 30% reduction after 10 scans, while essentially no fading (<1%) was observed for free **AC** even after 30 scans under the same conditions (Figure 3c and 3d). This probably indicates that the stacking structure of **AC** in hybrid NR and/or the increased local concentration of **AC** are inherently favourable for photocyclodimerisation. The progress of photoreaction was fluorometrically monitored and the results were analysed by the pseudo-first order kinetics, assuming that the concentration of excited-state **AC** is much lower than that of ground-state **AC**.⁴² The **AC** consumption rate, constant upon photoirradiation of **AC** in hybrid NRs (10 μM), was $21 \text{ M}^{-1} \text{ s}^{-1}$, which is 77-fold larger than that for free **AC** ($0.27 \text{ M}^{-1} \text{ s}^{-1}$) (Figure S10).

Figure 3 (a) The fluorescence of **AC** (100 μM) with and without hybrid nanoribbons (100 μM) observed under 352 nm UV lamp. (b) The fluorescence emission spectra of **AC** (10 μM) with and without D-hybrid nanoribbons (10 μM) (JASCO CPL-300, ex 350 nm, ex and em bandwidth 10 nm, detector HT 800 V). (c) The fluorescence emission spectra of **AC** 10 μM after multiple scans (JASCO CPL-300, ex 350 nm, ex and em bandwidth 10 nm, scan speed 100 nm/s, detector HT 700 V). (d) The fluorescence emission spectra of **AC** (10 μM) with D-hybrid nanoribbons (10 μM) after multiple scans (the same parameters as (c), except for the detector HT 850 V).

For the purpose of product analysis, a 10 mL solution of **AC** (0.1 mM) and **16-2-16** D- or L-tartrate hybrid NRs (0.1 mM) was incubated overnight at 4 °C and then photoirradiated with an 8-W UV-A fluorescent lamp (Ushio F8T5BL) at 4 °C on a roller mixer. Despite the low lamp power, the fluorescence of the suspended NR sample faded out after 1 h of irradiation, indicating highly efficient photocyclodimerisation.

To analyse the relative yield and enantiomeric excess (ee) of each **ACD**, the organic components of hybrid NRs (**16-2-16**, tartrate, **AC** and **ACD**) were extracted from silica nanostructures by hot methanol. The extracted solution was dried, re-dissolved in a 1:1 (v/v) mixture of acetonitrile and aqueous NaOH (1 mM) and then filtered. The filtrate was analysed by chiral HPLC following the reported protocols to give the product distribution and ee listed in Table 1.

Table 1 Supramolecular photocyclodimerisation of **AC** in hybrid nanoribbon derived from silicified **16-2-16** D- or L-tartrate in aqueous solution^a

Entry	[AC]/ μM	[NR]/ μM	Tartrate chirality	Incubation		Irradiation		Product distribution/%				% HH	ee/%	
				Temperature/°C	Period/h	Temperature/°C	Period/h	ACD1	ACD2	ACD3	ACD4		ACD2	ACD3
1 ^b	30	0	c	c	c	~25	0.17	43	38	12	7	19	-0.4	-0.7
2 ^d	100	100	L	4	overnight	4	1	1 $\pm$ 1	2 $\pm$ 1	53 $\pm$ 2	44 $\pm$ 2	97 $\pm$ 1	e	-2.3 $\pm$ 1.4
3 ^d			D	4	overnight	4	1	1 $\pm$ 1	2 $\pm$ 1	54 $\pm$ 2	43 $\pm$ 1	97 $\pm$ 1	e	-2.3 $\pm$ 0.9
4	10	500	L	4	overnight	4	1	1	4	56	39	95	e	-9.5
5	100	100	L	4	0	4	1	5	10	46	39	85	-1.4	-0.6
6					1	4	1	10	13	31	46	77	0.1	-2.9
7					overnight	4	1	1	2	53	44	97	e	-2.3
8						-20	1.5	e	2	35	63	98	e	-0.5
9				20	1	4	1	2	2	53	43	96	e	-5.6
10				~25	3	~25	3.5	1	2	55	42	97	e	-0.4

^a Sample solution (10 mL) placed in a vial was continuously rotated on a roller mixer during the irradiation at 352 nm with an 8-W UV-A fluorescent lamp. ^b Carried out in phosphate buffer (pH 7) using 500-W Hg-Xe lamp with glass filter (Toshiba, >350 nm). ^c Not applicable. ^d Average of 3 independent runs with standard deviation.

^e Not determined due to low yield.

Beyond our anticipation, a very high HH selectivity of 97% (53 $\pm$ 2% **ACD3** and 44 $\pm$ 2% **ACD4**) was obtained with an excellent reproducibility upon irradiation of **AC** incorporated in the NR that was derived from **16-2-16** and L-tartrate and incubated overnight at 4 °C (Table 1, entry 2). Similar HH selectivity with a comparable **ACD3:ACD4** ratio of 54:43 (entry 3) was observed with **16-2-16** D-tartrate NRs. Changing the molar ratio of **AC** versus NR from the standard 1:1 to 1:5 did not affect strongly the product distribution, leading to a 95% HH selectivity and a similar **ACD3:ACD4** ratio of 56:39 (Table 1, entry 4).

However, the incubation temperature and period as well as the irradiation temperature turned out to be critical for the product distribution. The 1 h incubation prior to photoirradiation was enough at 20 °C to yield a 96% HH selectivity, while the incubation overnight was needed at 4 °C to gain a similar HH selectivity (Table 1, entry 9). These results are consistent with the faster evolution of ICD signals, indicating faster exchange at 20 °C than at 4 °C (Figure S2). The HH selectivity remained high at 98% also upon irradiation in aqueous acetone at -20 °C, but the **ACD3:ACD4** ratio was reduced to 35:68, probably both due to the temperature and solvent effects. To the best of our knowledge, such near perfect HH selectivity in water at room temperature has never been reported previously. Most of the high HH selectivities are reported for the photocyclodimerisations conducted in solid state or in organic solvents at low temperatures.^{12,17,24} Yang *et al.* also reported an equally high 97% HH selectivity, but for the photocyclodimerisation of **AC** covalently attached to α -cyclodextrin.⁴³

In keen contrast to the high regioselectivity discussed above, the enantioselectivity was disappointingly low for the NR-mediated photocyclodimerisation of **AC**, affording nearly racemic **ACD3** (0-6% ee) at least under the conditions employed (Table 1). This somewhat unexpected result, together with varied **ACD3:ACD4** ratio, would be rationalised by the following scenario: (1) **AC** molecules replace L- or D-tartrate templates in silicified chiral NR through ion-pairing of **AC**'s carboxylate with **16-2-16**'s ammonium group. **AC** molecules are stacked to each other to form a right- or left- handed screw and therefore exhibit a UV-Vis bathochromic shift and a strong positive CD couplet at the main band of **AC**; (2) However, the pK_a of 2-anthracenecarboxylic acid (**ACH**) is significantly augmented from 4.2 to 6.6 upon photoexcitation,⁴⁴ meaning that excited **AC** anion is readily protonated in the less polar environment of NR and becomes free from the ion-pairing with **16-2-16**'s ammonium group; (3) Nevertheless, the liberated **AC** is not totally free. It is still confined in the same nanospace with some rotational freedoms around the long molecular axis, which spoil the enantiotopic face selectivity attained upon adsorption to the chiral NR. Yet, the longitudinal rotation around the short molecular axis, requiring more space, is not allowed and hence the HH selectivity is kept high even upon photoexcitation.

In the present system, the silica shell and the crystalline double-bilayer structure of **16-2-16** cooperatively act as a semi-rigid framework to organise and bind **AC** along the chiral surface in-between the two during the photocyclodimerisation reaction. The silica framework reinforces the confinement of the double bilayers within the chiral nanospace, forcing them to be tightly organised. Such a crystalline organisation of the template with directional anisotropy leading mostly to HH dimers is different from the usual dynamic and flexible surfactant assemblies.⁴⁵ Indeed, the use of such hybrid NR is a promising sustainable supramolecular photochemical reaction template. In addition, the chirality induction and conservation of hybrid NR make it a potential chiral template of enantioselective reactions. We are currently working on the asymmetric synthesis using hybrid NR as a chiral template.

By employing the [4+4] photocyclodimerisation of **AC** as a model reaction, we have demonstrated that the hybrid NR functions as a stereocontrolling, yet rate-accelerating, reaction template to afford the HH dimers in excellent selectivities of up to 97% in water at room temperature. The enantioselectivity was not satisfactory at all, which however provides us with invaluable insights into the detailed binding and reaction mechanisms as well as the design principles for better hosts and more suitable guest substrates. The results and concept obtained in this study show a high potential of the hybrid NR as a sustainable supramolecular regioselective nanoreactor.

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Conflicts of interest

There are no conflicts to declare.

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