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1 **Removal of pathogens by ultrafiltration from sea water**

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14 Abstract

15 Among water treatment processes, ultrafiltration is known to be efficient for the elimination of micro-organisms (bacteria and viruses). In this study, two pathogens were targeted, a bacterium, 16 17 Vibrio aestuarianus and a virus, OsHV-1, with the objective to produce high quality water from 18 seawater. These pathogens are targets, for example, in the case of shellfish productions. The 19 retention of those microorganisms by ultrafiltration was evaluated at labscale. In the case of 20 OsHV-1, the protection of oysters was validated by in vivo experiments using oysters spat and 21 larvae, both stages being highly susceptible to the virus. The oysters raised using contaminated 22 seawater which was then subsequently treated by ultrafiltration, had similar mortality to the 23 negative controls. In the case of V. aestuarianus, ultrafiltration allowed a high retention of the 24 bacteria in seawater with concentration below the detection limits of the 3 analytical methods (flow cytometry, direct seeding and seeding after filtration to 0.22 µm). Thus, the quantity of 25

26	V. aestuarianus was at least, 400 times inferior to the threshold known to induce mortalities in
27	oysters. Industrial scale experiment on a several months period confirmed the conclusion
28	obtained at lab scale on the Vibrio bacteria retention. Indeed, no bacteria from this genus,
29	potentially harmful for oysters, was detected in permeate and this, whatever the quality of the
30	seawater treated and the bacteria concentration upstream of the membrane. Moreover, the
31	resistance of the process was confirmed with a stability of hydraulic performances over time
32	for two water qualities and even facing an algal bloom. In terms of retention and resistance,
33	ultrafiltration process was validated for the treatment of seawater towards the targeted
34	pathogenic microorganisms, with the aim of biosecuring shellfish productions.
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37	Keywords: Ultrafiltration; biosecurisation; pathogen retention; sea water; OsHV-1; shellfish
38	production
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49 I. Introduction

50 In 2014, world oyster production reached the level of 5.2 million tonnes, corresponding to 3.3 51 billion euros (Buestel et al. 2009). Since shellfish production is intimately linked to the marine 52 environment, it is therefore sensitive to the events that occur. In the case of the oyster 53 production, the profession is indeed impacted by crises of mortalities, sometimes resulting in a 54 decimation of the breedings as in 1920 and 1971 in France for Ostrea edulis and Crassostrea 55 angulata, respectively. In fact, pathogenic organisms were involved in oyster diseases and 56 massive mortalities. These recurring crises have resulted in the introduction of new and more 57 resistant oyster species. Since the 1970s, oyster *Crassostrea gigas* has been produced almost in 58 monoculture in France. Moreover, oyster producers are using hatchery spat, able to produce 59 diploid and triploid selected oysters for their higher resistance to both diseases in order to 60 supplement or even replace wild-caught spat on oyster farms (Azéma et al., 2016; Burnell and 61 Allan, 2009; Dégremont et al., 2016a; Helm, 2004). Nevertheless, the pollution found in 62 shellfish farms and more generally in aquaculture farms knows(?) various origins and 63 consequences. In hatcheries or nurseries, animals are sensitive to the water quality variations 64 which must yet have characteristics adapted to their growth (Utting and Helm, 1985). In fact 65 microorganisms such as parasites, bacteria, viruses and fungi can be present in feed water at 66 harmful concentrations for aquaculture production (Lekang, 2013). In the case of breeding 67 larval animals, the removal of pathogenic microorganisms is essential at this first stage of life, 68 more vulnerable and susceptible to infections (Azema et al. 2017; Degremont et al., 2016) 69 Several virus families have been identified as pathogens in aquaculture, iridoviruses, 70 herpesviruses, reoviruses and rhabdoviruses (Zhang and Gui, 2015). In shellfish production, 71 herpes infections have been reported in nine different species of bivalves (Travers et al., 2015). 72 These pathogens have been associated with significant larval mortalities in hatcheries, in France 73 and New Zealand, and appear to be widespread worldwide. There have always been mortal

74 episodes on *Crassostrea gigas (C. gigas)* since its introduction, however, episodes of mortality 75 have been increased on this species since 2008, mainly affecting spat/juveniles due to a pathogenic virus OsHV-1, and affecting adults since 2012 in relation to a pathogenic bacteria 76 77 Vibrio aestuarianus (Azéma et al., 2015; Cochennec et al., 2011; Renault, 2011; Solomieu et 78 al., 2015). The shellfish farming profession is also confronted with different families of bacteria 79 known to be pathogenic for animals at various steps of their development (Solomieu *et al.*, 80 2015). Among the pathogenic organisms found, bacteria from the *Vibrio* group, which includes 81 118 species, have been associated with mortalities for many bivalve species (Travers et al., 82 2015). Thus, the oyster mortalities since 2008 in France are mainly linked to the OsHV-1 virus 83 at the spat stage of life and then to specie of Vibrio (aestuarianus) mainly at the adult stage. 84 The bacterium Vibrio aestuarianus was detected in 60 % of the batches of hollow oysters 85 analysed in 2014 and is therefore considered to be one of the most problematic pathogens for 86 the French and European shellfish farming profession (Azéma et al., 2015; Travers et al., 2017). 87 To protect oysters, purification processes can be set up in farms with the objective to deliver 88 water with a constant quality, suitable for farming, respectful of the growth and well-being of 89 animals. For shellfish hatcheries and nurseries, the treatment commonly applied consists of a 90 first filtration step to remove bigger suspended particles and then a UV disinfection step to 91 remove most of the biological organisms. The filtration is essential to avoid an excessive 92 concentration of particles brought to animals, potentially harmful for their growth and ? would 93 favour the appearance of diseases. Processes generally used for the removal of particles are 94 sand filtration or equivalent media, followed by a fine filtration on bags or cartridges to retain 95 particles larger than 10 µm to 1 µm (Ford et al., 2001; Helm, 2004; Wallace et al., 2008). This 96 process does not allow long-term protection of farms, in particular for smaller pollution 97 (pathogenic organisms) and dissolved substances. It must therefore be combined with other 98 processes that is why a disinfection step is needed to inactivate pathogens (Torgersen and

99 Hastein, 1995). The most used processes are first disinfection by ultraviolet (UV) radiations or 100 chemical oxidation processes with chlorine or ozone. In the case of UV disinfection, the dose 101 applied depends on the targeted water quality (targeted pathogens, disinfection objectives) but 102 also on the presence of suspended matter and on the water transmittance. This presence of 103 suspended matter is function of the first filtration treatment efficiency. Many advantages are 104 specific to UV, such as in situ generation, therefore no storage of toxic or dangerous products, 105 no risk of overdose and very fast action (Summerfelt, 2003). Moreover, unlike the membrane 106 filtration, UV radiation is not able to remove bacteria and virus, it only inactivates them. 107 Regarding pathogenic organisms, this process has been shown to be effective for the 108 inactivation of the OsHV-1 virus and the bacteria Vibrio aestuarianus by Stavrakakis et al. (2017). A dose of 50 mJ cm⁻² is thus effective using a low-pressure UV system for both 109 110 pathogen inactivation. Among the drawbacks of water treatment with UV radiation, the aging 111 of the lamps, the revival of some microorganisms and the influence of the quality of the water 112 (transmittance) to be treated on disinfection performances, can be mentioned (Gullian et al., 113 2012; Martínez et al., 2013; Qualls and Johnson, 1983). The previous step of removing 114 suspended particles is then also necessary to guarantee the effectiveness of UV treatments that 115 could be impacted by the presence of particles (Lekang, 2013). In addition, the generation of 116 degradation by-products during the treatment of chemical molecules by UV was highlighted 117 (Souissi *et al.*, 2013). Ozone, the most powerful industrial oxidant, is used in many applications 118 for its oxidizing and disinfecting capacities. Thus, reducing the bacterial load or improving the 119 yield and production growth are beneficial effects of the use of ozone promoted by Powell et 120 al. (2016) on the cultivation of crustaceans and molluscs. In oyster farming, the effectiveness 121 of this process has been demonstrated for specific pathogens, the herpes virus OsHV-1 and the bacterium *Vibrio aestuarianus*. A treatment of 30 min at a concentration of 1 mg L⁻¹ is effective 122 for the protection of bivalves (Stavrakakis et al., 2017). Ozone can also be coupled with UV 123

124 radiation to improve treatment. Sharrer et al. (2007) showed that this combination resulted in 125 almost complete inactivation in coliform and heterotopic bacteria in fresh water (42.5-126 112.7 mJ cm⁻²). Ozone, however, has drawbacks too: its production cost and the fact that this 127 oxidant is toxic towards humans and animals (Lekang, 2013; Moretti et al., 1999). Powell et al. 128 (2016) have highlighted a negative impact on hatching rates, growth, until mortality 129 observations on shellfish. Finally, chemical oxidation leads to the formation of oxidation 130 residues and disinfection by-products which can impact the species living in the natural 131 environment, or farms in the case of a closed circuit (Delacroix et al., 2013; Kornmueller, 2007; Lazarova et al., 1999; Richardson et al., 2007). 132

133 In this paper, ultrafiltration (UF) is evaluated on its capacity to produce a high-quality water for 134 bio-securisation. In fact, this process well used in other industrial application, especially to treat 135 seawater, allow a purification by a steric effect without drawbacks on the quality of the water 136 produced (Greenlee et al., 2009; Karakulski et al., 2002; Wolf et al., 2005). For the first time, 137 this innovative process is used to bio-secure shellfish farms against specific pathogens. The first 138 part focuses on the specific removal of two pathogens: the herpes virus OsHV-1, and the 139 bacterium Vibrio aestuarianus, known for their impact on shellfish production. In a second part, 140 the aim is to evaluate over the long term (8 months), and at an industrial scale, the performances 141 of the process for the abatement of the bacteria load present in the sea water feeding the process 142 (total flora and Vibrio bacteria). The UF pilot used in this work was studied in terms of retention 143 and hydraulic performance, monitored on different feed water qualities.

144

145 II. Materials and Methods

146 **II.1 Semi-industrial and lab scale ultrafiltration pilot plants**

147 The ultrafiltration membrane used for the experiments were hollow fibres (ALTEONTM I ;

148 SUEZ environnement-Aquasource, France) in polyethersulfone with 7 channels of a 0.9 mm

149 inside diameter and 1.2 m long. Their MWCO was 0.02 µm and initial permeability 1000 L h⁻ ¹ m⁻² bar⁻¹ with a 8 m² of membrane surface (Cordier *et al.*, 2019a,b, 2018). The semi-industrial 150 pilot was able to treat 20 m³ d⁻¹. The tests were all performed in dead end filtration. Hydraulic 151 152 performances, Lp and TMP, respectively membrane permeability and transmembrane pressure, 153 were registered every minute by the pilot system. Turbidity was measured and recorded every 154 minute in the feeding tank of the UF pilot using a prob VisoTurb 700 IQ (WTW). In order to 155 maintain a flux productivity and to sustain an efficient process, a frequent chemical cleaning 156 (CEB) was conducted during this investigation. Chemical cleaning (CEB) was a two steps 157 procedure: first a basic solution with an addition of chlorine (pH = 9.5) in order to reach a chlorine concentration between 100 and 200 ppm in membranes depending on the treatment 158 needed, was injected in the membranes. Then, after 30 min, the module was rinsed with 159 permeate at 2 m³.h⁻¹ and filled with an acid solution (pH = 2). After 30 min the module was 160 finally rinsed with permeate at 2 m³.h⁻¹. The limit of permeability before chemical cleaning was 161 162 250 L h⁻¹ m⁻² bar⁻¹.

For confinement constraints, a lab scale pilot was used with the same hollow fiber membranes. The membrane module, with an active area of 0.138 m², was able to treat a volume of 8.5 L. These characteristics allow a volumic concentration factor (VCF) of 267, similar to the semiindustrial pilot. Hydraulic performances, Lp and TMP were collected by weighting the permeate every minute and following the pressure gauge. The transmembrane pressure applied for the ultrafiltration treatment was 0.3 bar. All the results are expressed taking into account the variation of temperature.

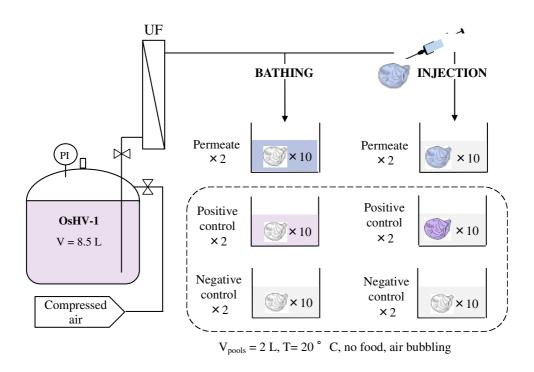
171 II.2 OsHV-1

172 II.2.1 Oysters and contaminated sea water

173 Two groups of oysters were used during the experiments: oysters selected for their higher 174 susceptibility to OsHV-1 and V. aestuarianus according to Azéma et al. (2017) and unselected 175 oysters (NSI) produced according to a standardized protocol describe by Petton et al. (2015). 176 The selected oysters were used to prepare the contaminated sea water (C. gigas oysters selected 177 for their high sensitivity to the OsHV-1 virus, age < 1 year, length = 2 cm, width = 1 cm, 178 experimental oysters produced by Ifremer). Meanwhile, NSI which means standard oyster spat 179 Ifremer, were used to be maintained in the ultrafiltered seawater in order to verify the virus 180 retention. The contaminated solution was prepared by injecting a viral solution into the adductor 181 muscle of the oyster. To perform the injection into the muscle, the oysters must be anesthetized. 182 For this, the oysters were placed dry for 4 hours before falling asleep. These stressful conditions 183 encourage them to open more quickly and filter as soon as they are returned to water. The 184 oysters were anesthetized (T = 20°C) in hexahydrate MgCl₂ (50 g L⁻¹) for 2 h (Suquet *et al.*, 185 2009). Then, they were injected with 10 µL of viral solution in the adductor muscle to allow 186 spreading into the circulatory system. The OsHV-1 inoculum was prepared and produced 187 according to Schikorski et al. (2011), and injections were performed 24 h before the start of the experiment. Then, oysters were placed in disinfected seawater (T = 20 $^{\circ}$ C) during 24 h. The 188 189 conditions of the 3 tests, number of oysters and seawater volume used to prepare contaminated sea water, are 85/20, 200/25 and 200/25 oysters L⁻¹ respectively for test 1, 2 and 3 (Morga et 190 191 al., 2020). After 24 h, the sick ovsters released the virus in the seawater: a contaminated 192 seawater is obtained to be treated by ultrafiltration. The transmembrane pressure applied for the 193 ultrafiltration treatment was 0.3 bar. Permeate weight was collected each minute in order to 194 determinate flowrate and membrane permeability.

196 II.2.2. Evaluation of virus retention

197 Virus retention was evaluated by two methods as describe in Figure 1: bathing and injection. 198 For bathing, 10×2 spat oysters were placed into 3×2 pools filled with 2 L of permeate, 199 disinfected seawater by ultrafiltration (negative control) and contaminated seawater (positive 200 control). For the injection method, 10×2 spat oysters were injected with 100 µL of permeate, 201 disinfected seawater (negative control) and contaminated seawater (positive control) containing 202 virus. Injected oysters were then placed in 6 pools of disinfected seawater at 20 °C. Negative 203 controls enable to verify that oysters could face experiment conditions. Meanwhile, positive 204 controls, which were the oysters in contact with contaminated seawater, verified the 205 pathogenicity of the OsHV-1. Each test was duplicated in order to have 2 negative controls, 2 206 positive controls and 2 permeates in bathing and injection evaluation. For each condition, 207 cumulative mortality was monitored every 24 h for 7 days.



208

209 *Figure 1: Treatment of OsHV-1 – In vivo test of bathing and injection – Pink: contaminated solution*

210 *with OsHV-1; blue : treated water (=permeate) and grey: disinfected seawater [TMP = 0.3 bar]*

212 II.2.3. OsHV-1 analysis larvae mortality data analyses

For the larval experiment, mortality was analyzed by a binomial logistic regression throughout the GLIMMIX procedure (SAS® 9.4 software,Cary, NC, USA) at day 3 and day 7 postinfection according to the following model:

216

$$logit(\pi ij) = \mu + condition_i + replicate_{(ji)}$$

where $\pi i j$ is the probability of the mortality at day 3 or day 7 for oyster of the "i" condition (negative control, positive control, and permeate)(fixed factor) for the "j" replicates (6 wells)(random factor) and μ the intercept.

220

221 II.2.4. Polymerase chain reaction (QPCR) for virus analyses

222 Different seawater samples were collected during the filtration step: first and last millilitre of 223 permeate, retentate and the inlet contaminated seawater (Table 1). A dead-end filtration is 224 operated, so the permeate is divided into two identical volumes P1 and P2 to take into account 225 the increased concentration (i.e. the membrane retention) in the hollow fibre lumen. Dead 226 oysters were also collected to be analysed. Each sample was analysed by QPCR at Ifremer 227 Laboratory of Genetics and Pathology of Marine Molluscs (LGPMM, La Tremblade, France). 228 The QPCR limit detection was estimated at 10 copies of virus DNA per microliters of samples 229 (Pepin et al., 2008).

230

231 **II.3** Vibrio aestuarianus

232 II.3.1. Contaminated sea water

Vibrio aestuarianus GFP strain was provided in Petri dish by Ifremer LGPMM (La Tremblade,
France). The *Vibrio aestuarianus* GFP is a modified strain that gets kanamycine resistant and
fluorescent characteristics. The bacteria solution was prepared 24 h before the ultrafiltration
tests at a 10⁹ CFU L⁻¹ concentration following the protocol developed by (Azéma *et al.*, 2016).

After 24 h, the bacteria solution was then seeded in a 10 L autoclaved seawater to produce a contaminated seawater at 10^{6} CFU L⁻¹. 8.5 L of contaminated seawater was ultrafiltrated by the lab scale pilot. Permeate weight was collected each minute in order to determinate flowrate and membrane permeability. Performances of bacteria removal by ultrafiltration was determined by cytometry and specific bacteria analysis.

242

243 II.3.2. Cytometry and bacteria analysis

244 Due to its fluorescent characteristics Vibrio aestuarianus GFP is detectable by cytometry 245 analysis. 800 µL of contaminated seawater, permeate, concentrate and autoclaved seawater 246 were analysed in triplicate by flow cytometry (CyFlow-Partec-Sysmex) after 4 min with a 247 threshold fixed on FL1 fluorescence (Aboubaker et al., 2013; Travers et al., 2017). Beside flow 248 cytometry, two types of bacteria analysis were carried out: bacterial analysis on Petri dishes 249 and bacteria analysis with a vacuum filtration on 0.2 µm cellulose filter before growth on Petri 250 dishes. Vibrio aestuarianus analysis were carried out in salted luria broth agar medium with 251 kanamycine which allows only Vibrio aestuarianus GFP growth. For the bacteria analysis, 252 $50 \,\mu\text{L}$ of sample was deposed on the Petri dishes and then incubated at 20 °C during 48 h. A 253 second bacteria analysis method was performed by filtering 500 mL of sample on the cellulose 254 filter, then the filter was deposed on Petri dish and incubated at 20 °C during 48 h.

255

256 II.3.3. Microbiologic analyses

In shellfish culture, some species of *Vibrio* being pathogenic for oysters, their presence in the water supplying spat and larvae are also monitored. Total bacterial load and *Vibrio* were analysed in these waters by microbiologic analyses realised twice a week in the pilot feed and in permeate (both at the beginning and the end of the filtration cycle). The aim of these analyses was to verify the absence of *Vibrio* bacteria but also to estimate the total bacterial retention by UF. *Vibrio* analysis were carried out in TCBS agar medium and total bacterial load on marine agar medium (Aboubaker *et al.*, 2013). 50 μ L of each water sample was deposed on the Petri dishes and then incubated at 20 °C during 48 h. Retentions at the beginning and end of the filtration cycle are calculated from the equations below:

$$Initial retention = \frac{C_{feed} - C_{first mL}}{C_{feed}} \qquad Final retention = \frac{C_{retentate} - C_{last mL}}{C_{retentate}}$$

266

with: C_{feed} : virus concentration in the feed, $C_{\text{retentate}}$: virus concentration in the retentate, C_{first} mL: viral DNA concentration in the first mL of permeate and $C_{\text{last mL}}$: viral DNA concentration in the last mL.

270

271

Table 1: Treatment of OsHV-1 – Synthesis of analyses realized on permeates obtained

Permeate	First mL	P1	P2	$P_{average} = P1 + P2$	Last mL
Volume recovered (L)	0.05	4.2	4.2	= 4.2 + 4.2	0.05
In vitro analyses	×	×	×	×	×
In vivo analyses				×	
Total bacteria and Vibrio measurement	×			×	×

272

273 III. Results and discussion

In this paper, ultrafiltration is evaluated on its capacity to biosecure shellfish farms and to make oyster farming more sustainable. The aim is the treatment of feed sea water for the protection of larvae and spat against pathogenic organisms. The retention and hydrodynamic performances, as well as the resistance of the process to the fouling generated on the membrane in the case of these particular pollutions, are monitored.

279

280 III.1. Specific tests for *C. gigas* pathogen retention: laboratory scale

281 The tests were carried out using a laboratory scale ultrafiltration device to meet the confinement 282 constraints linked to working with sensitive bacteria and viruses on a production site. This 283 device is equipped with the same membranes as the industrial-scale pilot (used for the long-284 time evaluation) and operates at constant pressure. The volumic concentration factor (VCF) of 285 the industrial pilot is the same on the laboratory pilot by choosing a filtering surface of 0.13 m² 286 and a volume of solution to be treated of 8.5 L, for J60 t60 conditions (i.e. Permeate flux J = $60 \text{ L} \text{ h}^{-1} \text{ m}^{-2}$ and filtration time t = 60 min). In addition, the ultrafiltration tests are carried out 287 288 at a transmembrane pressure (TMP) of 0.3 bar which corresponds to the maximum TMP 289 reached during the filtration of seawater on the industrial-size pilot under similar J60 t60 conditions. Whether for OsHV-1 and V. aestuarianus, the general protocol followed was 290 291 identical and took place in three stages: (i) preparation of a contaminated sea water, (ii) 292 ultrafiltration of this solution with flow monitoring and (iii) verification of performance by in 293 vivo and / or in vitro tests with positive and negative controls.

294

295 III.1.1. Virus OsHV-1 treatment: Virus retention

Three experiments were carried out on the virus. The characteristics of the experiments, initial permeability measured with demineralized water, filtration time, turbidity, DNA concentration of OsHV-1 and total flora of the contaminated sea water are summarized in Table 2.

299

Table 2: Characteristics of OsHV-1 experiments

Filtration				Contaminated seawater			
Test	$Lp_0 \\ (L h^{-1} m^{-2} \\ bar^{-1})$	Filtration time (min)	Turbidity (NTU)	Number of oysters/Seawater Volume L	OsHV-1 Concentration (DNA copies μL^{-1})	Total bacteria (CFU mL ⁻¹)	
1	780	210	5.5	85 / 20	$8.7.10^{2}$	$4.39.10^{6}$	
2	607	167	8.2	200 / 25	$9.1.10^{1}$	$8.79.10^{6}$	
3	770	47	4.6	200 / 25	$4.2.10^{2}$	$1.19.10^{6}$	

In the test 1, the virus concentration was judged too low. In order to work with a contaminated solution with a higher OsHV-1 DNA concentration, the number of oysters used for the preparation of the solutions for tests 2 and 3 was increased from 85 to 200 oysters (Table 2).

303 However, contrary to what was expected, the increase of the viral concentration in the solutions was not significant. In the case of test 2, it was even lower than test 1 with less than 10^2 copies 304 of DNA μ L⁻¹ in the contaminated solution. This result could be explained by a reduced 305 susceptibility of the oysters in tests 2 and 3, because even if they were from the same batch, 306 307 they were older (3 months) and larger than in test 1, as demonstrated by Dégremont (2013). 308 24 h post-injection, mortalities were observed among the animals used for the preparation of 309 the contaminated seawater by OsHV-1 dedicated to the tests 1 and 2. Those dead oysters 310 generated a viscous deposit in the solution obtained testifying to a more turbid and more loaded 311 bacteria solutions than for test 3, especially for the test 2 as shown by the variation in filtration 312 time? As the variation in filtration time shows. The variation of the permeability as a function 313 of the VCF is presented in Figure 2 for the 3 tests carried out. The differences of the number of dead oysters to prepare solution and the difference of turbidity, virus and bacterial 314 315 concentration, led to different fouling generated by the filtration of the 3 solutions obtained. 316 Moreover, the effect of these initial parameters is accentuated by the concentration factor in the 317 membrane. Membrane fouling seems to be linked more to parameters such as suspended matter 318 (turbidity) and the presence of bacteria than to the concentration of OsHV-1 in the seawater, it 319 is in agreement with the pore size. In all 3 cases, the permeability loss remains reasonable with final permeate fluxes around 100 L h⁻¹ m⁻² bar⁻¹ from high concentrated solutions. 320

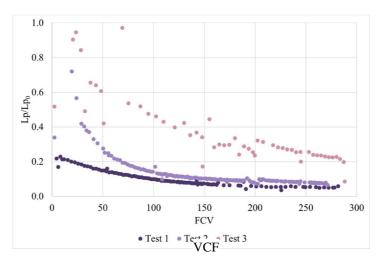
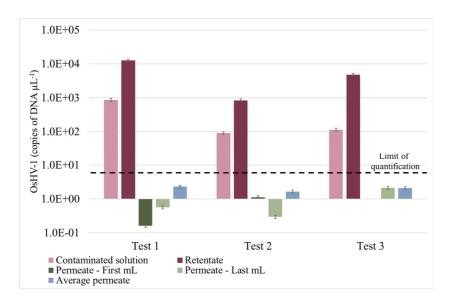




Figure 2: Evolution of permeability vs. VCF – Retention of OsHV-1

323 The retention performance of the virus is controlled by measurements of the concentration of 324 OsHV-1 viral DNA by QPCR analyses in the contaminated water, in the retentate and permeate. 325 Several samples of permeate are analyzed: first mL, last mL, first 4.2 liters, last 4.2 liters and 326 average permeate in order to take into account the variation of the concentration inside the 327 membrane during the experiment. The concentrations measured on these different samples and 328 for the 3 tests are presented Figure 3. Whatever the viral DNA concentration in the initial 329 solution, if virus is detected in the permeates, the measured value is always less than the QPCR 330 quantification limit (10 copies of viral DNA μ L⁻¹). The analyses revealed very low amount of 331 virus, not quantificable, in the permeate samples.



332

Figure 3: DNA of OsHV-1 concentrations in samples after ultrafiltration of contaminated solution qPCR analysis

336 Retentions at the beginning and end of the filtration cycle are calculated as previously 337 explained, for the different experiments and presented in Figure 4. To be noted that, when the 338 concentration measured is inferior to the quantification limit, the value used for retention 339 calculation is this threshold, 10 DNA copies μ L⁻¹.

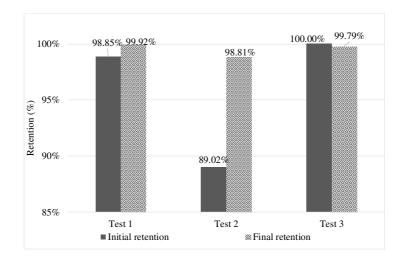






Figure 4: OsHV-1 retentions at the beginning and end of filtration cycle for the 3 tests

Retention was always greater than 89 % and retention of 100 % was even achieved for test 3 343 344 since the virus was not detected in the first mL of permeate. The minimum value of 89 % may 345 appear low but it is important to specify that the retention values are calculated using for the limit of quantification of 10 copies of viral DNA μ L⁻¹, leading to an underestimation. This 346 347 underestimation is even more pronounced when the concentration in the feed is low: test 2 348 showed the lower retention because of a concentration in the contaminated solution of 100 copies of DNA μ L⁻¹. If the virus concentrations were below the QPCR quantification limit 349 350 in the 3 tests, the retention was not total since the presence of DNA from the virus is almost 351 always detected in the permeate. Two questions arise following these results: (i) is the amount 352 of virus still present in the permeate sufficient to induce oyster mortality? and (ii) does the DNA 353 detected, once it has passed through the pores of the membrane (20 nm), belong to a virus able 354 to infect oysters? Indeed, the QPCR carried out makes it possible to detect traces of viral DNA 355 but does not specify whether this DNA is from an integrated viral particle. To answer these 356 questions and to conclude on the performances of the UF process for the removal of this 357 pathogenic agent, in vivo tests were performed. Two tests were set up to assess the infectiosity of the treated solution: bathing of oyster spat (n = 10, V = 2 L of water, in duplicate) and larvae 358 359 (n = 150 larvae per 6 mL well, 6 wells per condition), and injections in spat (10 individuals 360 injected in duplicate). To validate the results obtained, the same manipulations were each time carried out on positive and negative controls, under the same conditions. The mortalities 361 362 obtained after 7 days of contact, for the immersion (or bath) and oyster injection tests on the 3 363 tests, are presented in Figure 5. The dead oysters were frozen, and their flesh was then analyzed by QPCR. In all the samples analyzed, a concentration greater than or equal to 10^3 copies of 364 viral DNA μ L⁻¹ was measured, which confirms that all the oysters died due to a viral infection. 365 366 Regarding the controls, as expected, (a) no mortality in the negative controls, either by injection 367 or by bathing was observed. The oysters were not affected by an injection of 100 µL (Schikorski 368 et al., 2011) and withstood the conditions of the experiment at 20 °C in ultrafiltered seawater 369 without food during the 7 days of follow-up; (b) mortalities were observed for positive injection 370 and bathing controls. As expected, the mortalities by injection are higher than those in bathing 371 for each test. These results are consistent since, during the injection, the virus enters the oyster's 372 body directly without going through the filtration and incorporation stage, as in the case where 373 the virus is found in the bathing water (Schikorski et al., 2011). In experiment 2, low mortality 374 was observed in the positive injection controls which could be explained by their age, close to 375 18 months, making the oysters more resistant to the virus. For experiment 3, the tests were 376 therefore carried out on the selected oysters for their higher susceptibility to the virus, and the 377 average mortality was 80 % in the positive controls by injection. However, on this same 378 experiment, no mortality was observed in bathing oysters, so no conclusion can be drawn.

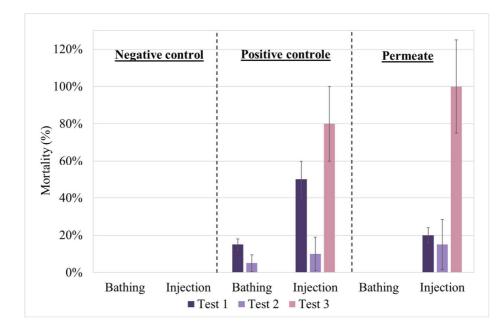


Figure 5: In vivo tests results – Oyster spat mortalities after 7 days in contact by bathing and injection
 with negative, positive controls and permeate

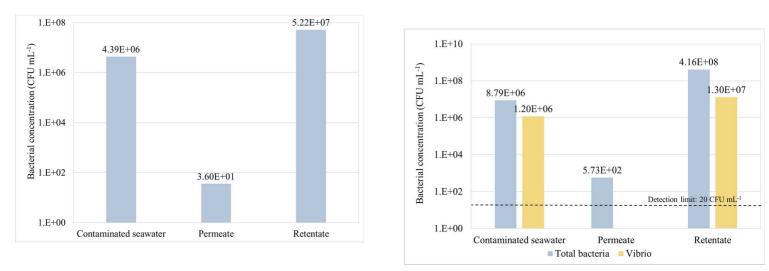
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383 Concerning the permeate, 100 % mortality was obtained on the injected oysters but none for 384 those in bathing. These results are correlated with QPCR analyzes: the viral DNA is detected 385 in low concentration, sufficient to kill oysters when the permeate is injected directly inside the 386 body, but insufficient to kill oysters in bathing over 7 days. Ultrafiltration provides protection 387 of oysters at the spat stage when the permeate is in bathing contact with the oysters, closer to 388 real production conditions. In order to validate the effectiveness of ultrafiltration for the 389 protection of shellfish farms, during experiment 3, the immersion or bath tests were carried out 390 with oyster larvae 8 days old, life stage more sensitive than spat previously used (Degrémont, 391 Morga *et al.* 2016). As for spat, the larvae were brought into contact with the permeate produced 392 and the cumulative mortalities at days 3 and 7 were compared with those obtained for bathing 393 larvae in contaminated water and ultrafiltered seawater. At day 3, mortality was not 394 significantly different among conditions (P = 0.48) with 23 %, 25 %, and 36 % for the negative 395 control, the permeate, and the positive control, respectively. P value lesser than 0.05 indicates 396 strong evidence against the null hypothesis which was the absence of effect on larval mortality 397 among conditions (ultrafiltation vs negative vs positive controls). At day 7, larvae of the 398 positive control showed a significant higher mortality (100 %) than those of the negative control 399 (44 %) and the permeate (56 %) (P < 0.01). Although the mortality of the larvae in contact with 400 the permeate was 12 % higher than in the negative control, this difference was not significant 401 (P = 0.23). Thus, it appears that the protection of the larvae by the ultrafiltration process was 402 effective against OsHV-1. Mortality observed for the negative controls, as well as the permeate 403 condition could be both related to physiological-genetic characteristics and the conditions of 404 the 6-well plates experiment that lasted 7 days without feeding. Thus, it is common to observe 405 some mortality for D larvae, as some of them will be unable to survive (abnormal shape, 406 deleterious/letal genes..), as well as those near the metamorphosis (Dégremont et al., 2016b).

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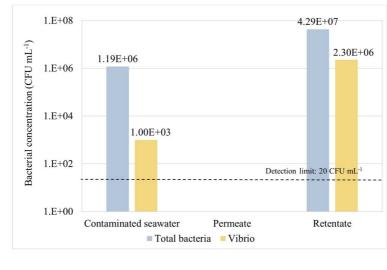
408 III.1.2. Virus OsHV-1 treatment: Bacteria retention

409 Bacterial removal was measured on total flora and Vibrio bacteria, present in contaminated 410 seawater by OsHV-1. The results put in light a reduction of at least 4 logs of the total flora in 411 each experiment by ultrafiltration (Figure 6). The Vibrio concentrations from Petri dishes in 412 experiment 1 could not be determined because of the too large number of colonies formed on 413 agar media (> 300 CFU). Dilutions were made for the following experiments to facilitate the 414 enumeration. The retention of bacteria of the Vibrio genus obtained for experiments 2 and 3 415 was high since no colony was detected on the petri dishes corresponding to the permeates 416 analyzed and this for different initial bacterial concentrations.









419

Test 3

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418

Figure 6: Total bateria and Vibrio bacteria concentrations

The initial and final retentions of total flora and bacteria (*Vibrio* genus) were determined for each experiment (Table 3), showing the high performances of the membrane to reduce the bacteria load, with removal from 4.19 to more than 6.33 log and from 2.99 to more than 7.11 log for total bacteria and *Vibrio* bacteria respectively.

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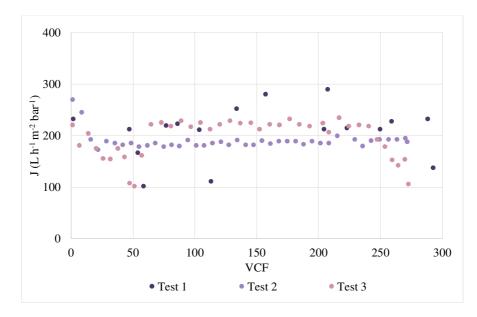
	Total ba	cteria	Vibrio	
Test	Initial removal (Log)	Final removal (Log)	Initial removal (Log)	Final removal (Log)
1	5.08	6.16	-	-
2	4.19	5.86	> 6.08	> 7.11
3	> 4.77	> 6.33	> 2.99	> 6.36

430

431 As conclusion, the tests carried out with OsHV-1 virus highlight a retention of this compound 432 by ultrafiltration membranes with values higher than 4 log which is the retention given by the 433 membrane manufacturer for bacteriophage MS2, virus with a lower size (25 nm) than OsHV-434 1. However, this retention is not total because traces, not quantifiable, are detected by QPCR in 435 the permeate. This result is confirmed by in vivo tests: when the permeate obtained was injected 436 into oysters, mortalities comparable to those obtained for positive controls were noted at the 437 spat stage. The presence of viruses in the permeate despite the pore size of the membranes could 438 be justified by an heterogeneity of the pores of this sample of membranes or by the 439 implementation (or manufacturing) of the micro-modules used for these tests. If the injection 440 test validates the presence of the virus in the permeate and its retained infectiosity, it does not 441 reflect actual hatchery conditions. The balneation tests then carried out, in real conditions, 442 underline a protection of the oysters by the process since no bathing mortality was observed on 443 the 3 tests after ultrafiltration. This result was confirmed with bathing larvae, the most 444 susceptible stage of the oyster for OsHV-1. Moreover, it is important to note, that we have used 445 harsh conditions and in reality, the feed water viral concentrations is not at this level used for 446 these experiments. In addition, the bacteriological analyzes carried out underline the 447 performance of the ultrafiltration for the reduction of the total flora (> 4 log) and particularly 448 bacteria of the Vibrio type (> 7 log with no detection in the permeate), which represents 449 preliminary results encouraging for specific tests on Vibrio aestuarianus but also for long-term 450 follow-up tests for retention of total Vibrio.

452 III.1.3. Retention of the Vibrio aestuarianus bacteria

453 The tests were carried out on a strain of Vibrio aestuarianus GFP, modified to be detectable by 454 flow cytometry without the addition of a marker and resistant to an antibiotic, kanamycin 455 (which makes it possible to obtain cultures specific to this bacterium). Three filtration tests, were carried out with a theorical bacterial concentration in the initial solution of 10⁵-10⁵ and 456 10⁶ CFU mL⁻¹. The variation of flux as the function of the VCF is presented Figure 7. A more 457 458 moderate fouling than in the case of the treatment of viral solutions was observed. This result 459 is explained by the quality of the matrix containing the microorganisms: in the case of the virus 460 the solution was prepared from oysters which also brought organic matter (bacteria, faeces, 461 rotting flesh) to the solution in addition to the virus, which is not the case for Vibrio 462 aestuarianus bacteria solutions.



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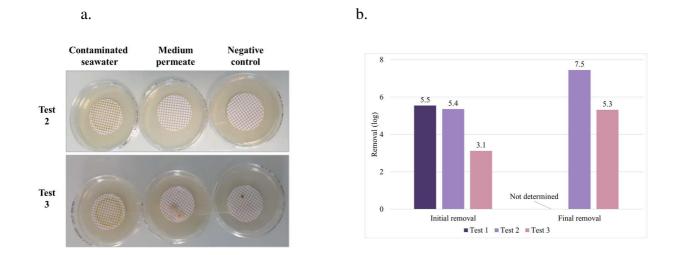
Figure 7: Evolution of flux vs. VCF for Vibrio aestuarianus retention tests

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466 (*i*) Monitoring of retention performance by seeding on a Petri dish

467 Two types of sample seeding were carried out: with filtration on a cellulose filter $(0.22 \,\mu\text{m})$ and 468 without filtration. Only the results of tests 2 and 3 are presented in Figure 8a, because an 469 external contamination appeared for the test 1. The formation of a circle concentrated in bacteria 470 in the center of the filter was observed for the contaminated seawater which was not the case 471 for the medium permeate and the negative control. The appearance of mold on the filters in test 472 3 both in permeate and negative control showed that the filtration was carried out under non-473 sterile conditions. However, the specific and selective medium used, LBS + kanamycin, limit 474 the appearance of bacteria originating from handling under non-sterile conditions. The 475 advantage of the filtration method is the concentration of bacteria in a sample containing a very small quantity leading to a detection limit of 2 CFU L⁻¹ versus 20 CFU mL⁻¹ in the case of direct 476 477 seeding without filtration. Thus, the 500 mL of first and last 4.2 L of permeate and average 478 permeate (which is the mix of the two permeates P1 and P2 obtained), were filtered in triplicate 479 and no bacteria was observed on the 9 filters. The removal rate cannot be calculated with this 480 method because the contaminated seawater is too concentrated to allow a count of the colonies 481 formed. However, the absence of a colony in the permeate indicates high retention, the limit of detection (2 CFU L⁻¹) is therefore given for manipulations 2 and 3 as the maximum value. With 482 483 the direct seeding method, no colony was observed in the permeates (first and last 4.2 L of 484 permeates (P2) and average permeate (P1+P2)). The initial and final retentions calculated for 485 the 3 experiments are reported Figure 8b. The difference between the initial and final removal 486 is consistent with the VCF, reflecting an increase in the concentration upstream due to filtration 487 and a zero concentration downstream from the start to the end of the experience. Ultrafiltration 488 allowed total retention of the Vibrio aestuarianus bacteria with minimum abatements between 489 5 and 7 log.

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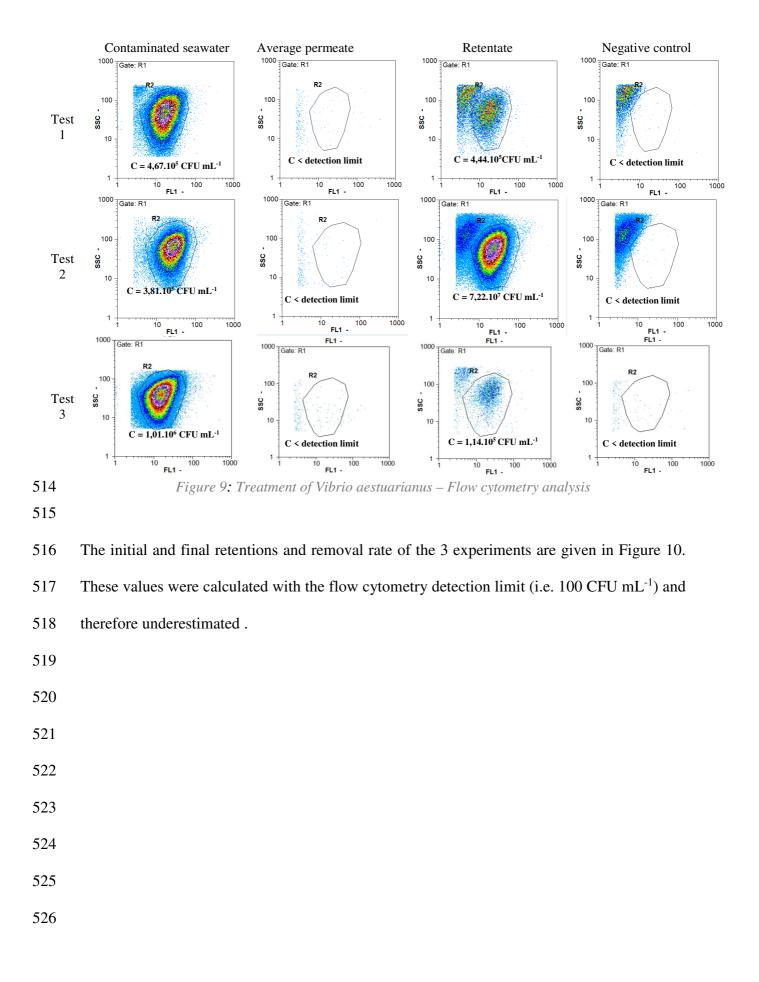
496 Figure 8: Treatment of Vibrio aestuarianus - a. Pictures of filters 48 h after incubation
497 and b. Removal of Vibrio aestuarianus calculated from direct seeding results – Seeding

498

499 (ii) Monitoring of retention performance by flow cytometry

In order to validate the retention performance, the samples (contaminated solution, medium permeate, retentate, autoclaved seawater) were also analyzed by flow cytometry. The results are reported in Figure 9. For all the permeates analyzed for the 3 tests, the concentration measured was below the detection limit (10^2 CFU mL⁻¹). In the case of tests 1 and 2, a second population was observed outside of zone R2 but this new population comes from ultrafiltered and autoclaved seawater used to prepare the bacterial solutions in agreement with the cytograms of the negative control.

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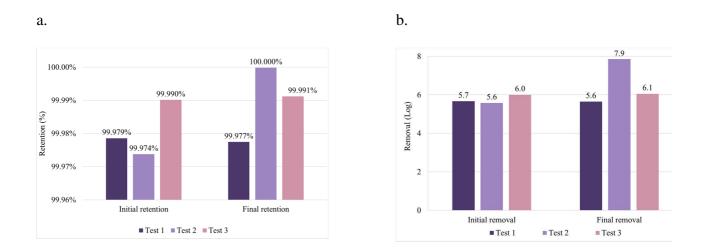
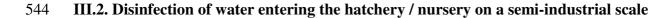


Figure 10: Treatment of Vibrio aestuarianus - a. Initial and final retention et b. Initial and final removal – Flow cytometry analysis

530 The results obtained by flow cytometry are in agreement with those obtained by the method of 531 inoculation on a Petri dish. In conclusion for V. aestuarianus bacteria, the three specific 532 experiments carried out with this bacterium highlight the retention of this microorganism, 533 allowing concentration levels in the permeate to be reached below the detection thresholds of 534 the different methods used. Indeed, the detection threshold of the analysis by flow cytometry was 100 CFU mL⁻¹, which decreased to 20 CFU mL⁻¹ in Petri dish by direct inoculation of 535 536 50 μ L, versus 2CFU L⁻¹ only for the method of depositing a 0.2 μ m filter which had filtering several liters of permeate. If in vivo tests could not be carried out to validate the efficacy of the 537 538 treatment, a study by Travers et al. (2017) estimated the minimum infective dose required to reliably induce infection in adult oysters after a 24 h immersion period in contaminated 539 seawater, was estimated at 4×10^4 CFU mL⁻¹., i.e. 400 times more than the highest detection 540 541 limit in this study. With these results, it is possible to conclude on the effectiveness of the 542 process to protect oyster farms from this pathogenic agent.

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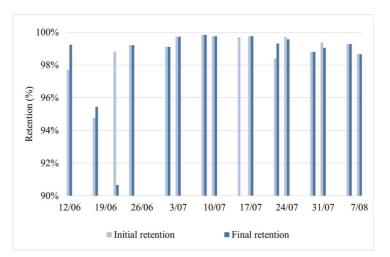


The objective is to validate the use of ultrafiltration for the disinfection of water entering the hatchery / nursery on a semi-industrial scale and for real conditions in feed water. The hydraulic performance of the process is therefore monitored over several months and the retention of the total flora and *Vibrio* bacteria naturally present in the water supplying the pilot are evaluated. During the period of these tests, the pilot was confronted with different qualities of seawater and even in the particular case of an algal bloom upstream of the experimental installations.

551

552 III.2.1. Bacteria removal rate

553 The retention performance of total bacteria is presented in Figure 11. The measurements were 554 carried out at the beginning (initial permeate) and at the end of the filtration cycle (final 555 permeate). Samples from June 12 and June 17 (2019) show less than 98% of the retention, 556 which is explained by the formation of a biofilm in the permeate lines. After cleaning the pilot 557 with chlorine at 4 ppm on June 24, the retention of the total flora is greater than 98 %, thus 558 reflecting the effectiveness of the membrane. The concentration of total flora in the permeates 559 is below the detection threshold of the analyze (20 CFU mL⁻¹), regardless of the bacterial 560 concentration of the feed. This detection limit, coupled with concentrations in the feed of around 561 10^4 CFU mL⁻¹, explains the low removal rate of only 99 %.



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564 565

Figure 11: Total flora retention – Semi-industrial scale

During the two months of follow-up, no *Vibrio* bacteria was detected on the permeate samples 566 (initial and final). The concentration fixed to calculate the retention Figure 12, 20 CFU mL⁻¹ 567 568 which is the detection limit justifies the low retention obtained. The initial concentrations of bacteria of the genus *Vibrio* remained low during the follow-up (200 CFU mL⁻¹ on average). 569 Some peaks were observed in the feed water on 21 June with 5220 CFU mL⁻¹ and on 24 July 570 571 with 520 CFU mL⁻¹. Despite these fluctuations in bacterial concentrations, the membrane process has enabled retention since the bacteria are not quantifiable in the permeates. 572 573 Ultrafiltration ensures the retention of Vibrio bacteria regardless of the initial concentration, the permeability of the membrane and the physico-chemical parameters of the seawater. Similar 574 575 results leading to the same conclusion were obtained in winter Figure 12b.

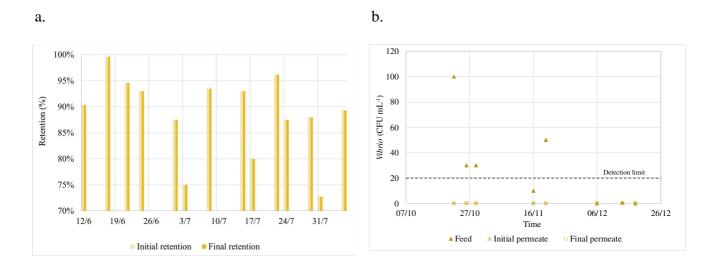


Figure 12: a. Evolution of Vibrio retention (June - July 2019) and b. Evolution of Vibrio concentrations (October – December 2018)

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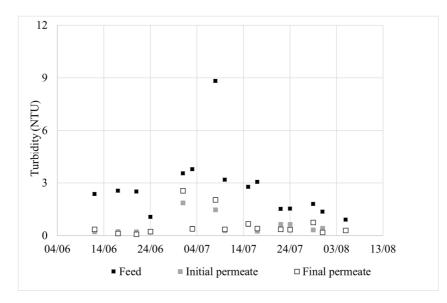
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579 III.2.2. Effect on the physico-chemical parameters

The physico-chemical parameters of the feed and initial and final permeates were followed for 2 months. As expected, the pH, salinity, temperature and dissolved oxygen are not affected by the ultrafiltration treatment since the values are constant between the feed and permeates (Cordier et al., 2019b). Conversely, in Figure 13, a reduction in the turbidity is obtained with 584 the ultrafiltration process since it is mainly below 1 NTU in the permeates whatever the 585 turbidity of the feed (between 1 and 9 NTU).

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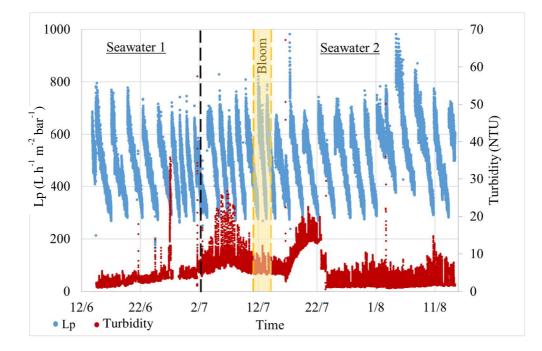
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Figure 13: Evolution of turbidity vs. time (June - August 2019)

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590 **III.2.3.** Hydrodynamic performances

591 The evolution of permeability and turbidity versus time is presented in Figure 14. The peaks of 592 turbidity recorded every 6 hours correspond to the backwashing of the sand filter placed 593 upstream of the ultrafiltration pilot plant (the peak of turbidity between 07/16 and 07/23 is not 594 representative of the water quality- probe position error). Whatever the water quality with a 595 turbidity from 3-7 NTU, the slopes relating to the membrane fouling are more significant for 596 high turbidity. The time between two chemical cleanings is therefore shorter: before the 597 increase of turbidity from 3-4 to 6-7 NTU, the time to pass from a permeability of 600 at 300 L h^{-1} m⁻² bar⁻¹ is on average 47.4 h against 24.1 h after the change in water quality. 598



600 Figure 14: Evolution of permeability of turbidity vs. time (June – August 2019) – Semi-industrial scale
 601 pilot

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599

603 If an increase in turbidity has an impact on the fouling, this has no effect on the recovery of 604 initial permeability obtained after a chemical cleaning (CEB). Indeed the average initial permeability is 750 +/- 50 L h⁻¹ m⁻² bar⁻¹ and this remained constant over the duration of the 605 606 study and on the different qualities of water. Moreover, during this period an algal bloom was 607 observed. Water treatment processes commonly used in the laboratory were not able to face the 608 pollution and the consequence was a contamination of the oyster lines maintained in the 609 experimental hatchery/nursery. On the contrary, ultrafiltration process showed its efficiency 610 both in terms of hydraulic performances, with a stability of the permeability and retention of 611 pollution (bacteria, turbidity, oyster predators) with the production of a clear water free of 612 parasites (Cordier, 2019a)

613

614 IV. Conclusions

615 Performances of the ultrafiltration process were evaluated for biosecuring farms. The first part 616 was devoted to the validation of the process for the elimination of pathogenic organisms from 617 the seawater flow used in oyster hatchery/nursery. Laboratory-scale trials were used to meet 618 site security requirements, and focused on the two main pathogens affecting the French oyster 619 production: the OsHV-1 virus and the bacteria Vibrio aestuarianus. It emerges from this work, 620 that the retention of OsHV-1 was always greater than 98 %, but did not reach 100%. Meanwhile, 621 the quantity of viral DNA found for the permeate condition did not generate mortality using 622 bathing neither on spat nor larvae, the latter being very sensitive to this pathogenic agent. For 623 the bacterium Vibrio aestuarianus, the retention tests of the bacterium reduced by 5 to 7 on a 624 log scale for the permeate condition reaching the limits of detection regardless of the analytical 625 techniques (seeding on the medium of specific culture of permeate samples, permeate filtration 626 and culture of the filter on specific medium and flow cytometry). The permeate filtration tests 627 and re-culture of the filter highlight the recovered concentrations resistant to 2 CFU L⁻¹. If these 628 in vitro analyzes do not allow to conclude on the absence of virulence of the permeate on 629 oysters, the tests carried out by Travers et al. (2017) found a concentration 400 times higher to 630 impact the adult oysters by bathing. Thus, protection of oyster farms at the larval, spat and adult 631 stages were obtained by the ultrafiltration process against two pathogens known worldwide for 632 their impact on the *Crassostrea gigas* oyster production. Tests on an industrial scale, which 633 were carried out naturally with lower but real concentrations of microorganisms, validate 634 ultrafiltration in real conditions as a treatment for incoming seawater from shellfish structures. 635 Bacterial abatement monitoring highlights retention with concentrations in the permeate below 636 the detection threshold for analysis of Vibrio bacteria, potentially pathogenic for shellfish. This 637 was confirmed over several months of analysis, different water qualities and bacterial loads 638 upstream of the membranes. Regarding hydraulic and retention performances, the process was 639 tested on two water qualities including during an algal bloom. The permeability remained constant after the chemical cleanings, and the time between these cleaning procedures did not
drop below 12 h over the duration of the study, reflecting the resistance and the stability of the
process.

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