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Analysis of Center of Mass and Gravity-Induced Vertebral Axial Torque on the Scoliotic Spine by Barycentremetry

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Abstract

Study Design: Retrospective observational study.

Objectives: To determine the mass distribution along the scoliotic trunk using barycentremetry and its relationship with vertebral axial rotation and torque.

Summary of the Background Data: Deformity progression in adolescent idiopathic scoliosis (AIS) is not yet fully understood, but gravity load on the spine could play a role. Barycentremetry allows to characterize body mass distribution in standing position, which could provide a better understanding the mechanisms of progression.

Methods: 81 subjects (27 healthy adolescents and 53 AIS patients) underwent biplanar radiography and 3D reconstruction of the spine and body envelope. Position of the gravity line was estimated, as well as trunk segmental centers of mass COMs at each vertebral level and resulting axial torques to each vertebra.

Results: The COM of all trunk segments was less than 1 cm from the gravity line in the frontal plane for healthy subjects, and less than 1.5 cm for AIS patients. Vertebral axial torque was 0.7 ± 0.5 Nm in healthy subjects, 2.9 ± 2.1 Nm at the junctional vertebrae of AIS patients and 0.5 ± 0.5 at the apex. A strong association was found between high torque and high intervertebral rotation at junctions, with low torque and low intervertebral axial rotation at the apex.

Conclusion: Results suggest that AIS patients can maintain the COM of each body segment close to their gravity line, irrespective of the severity and asymmetry of their deformity. Moreover, torque analysis shed some light on the importance of junctional vertebrae in the spinal deformity and, potentially, in the vicious cycle determining scoliosis progression.

Level of Evidence: Level III.

Keywords: Adolescent idiopathic scoliosis; Center of mass; Axial torque; Intervertebral axial rotation

Introduction

Adolescent idiopathic scoliosis (AIS) is a 3D deformity of the trunk that can progress during growth spurt, potentially leading to postural imbalance or decreased lung

capacity. The most common parameter used to characterize scoliosis severity is the angle of the curve (Cobb angle), which can be measured on frontal radiographs. However, given the 3D character of the deformity, transversal plane

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IRB approval: Subject inclusion was approved by the ethical committee (6001 CPP Ile de France VI and local hospital ethical committee).

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geometry may have primary importance in the vicious cycle leading to progression [1,2], the mechanism of which is not yet fully understood.

The pioneering work of Duval Beaupère et al. has shown the relevance of spine and body balance when characterizing scoliosis [3]. More recently, the analysis of gravitational parameters such as the gravity line or the center of mass (COM) could be obtained from biplanar radiographs by estimating the mass distribution in different regions of the body to evaluate its segmental balance [4]. These estimations were obtained using force platform in standing position [5,6]. This approach allows estimating the gravity-induced loading of the spine at each vertebral level. Considering magnetic resonance imaging analysis, Adam et al. [7] estimated the overall torque applied to each vertebral level, whereas Keenan et al. [8] estimated vertebral torque in the coronal plane. However, the former study only roughly estimated the forces applied to the spine and the relative COM where forces were applied, whereas the latter was based on magnetic resonance imaging, with the patient in lying position.

Methods were proposed to obtain the patient's external envelope in standing position from biplanar radiographs [9]. It has been shown that with such methods, it is possible to accurately estimate the position of body COM both in healthy and scoliotic patients by estimating the density of each body segment [4,10].

The hypothesis of the present work was that investigating body balance and the gravity-induced axial torque applied to the spine may increase our understanding of biomechanical aspects of scoliosis progression. The aim of this work was to estimate body balance and the axial torque applied to each vertebra for healthy subjects and scoliotic patients in standing position.

Materials and Methods

Population

Eighty adolescents were retrospectively included: 27 asymptomatic subjects (13 boys and 14 girls, mean age: 12.9 ± 2.1) and 53 AIS patients (13 boys and 40 girls, mean age: 14.4 ± 1.7 , Cobb angle $31.6^\circ \pm 16.2^\circ$ ranging from 10° to 76°) (Table 1). For AIS patients, junctional vertebrae and apical vertebra were selected by experienced surgeons based on radiographs. According to the SRS definition, the apical vertebra was defined as the most laterally shifted vertebra from the vertical axis in the frontal plane, which is

also often the more axially rotated and horizontal. The junctional vertebrae, also called the inflexion vertebra, were defined as the vertebra where the curve changed direction from convex to concave and vice versa. In our study, we only focused on the junctional vertebrae from the major curve. The recruitment excluded patients with previous spine surgery, subnumerary, and supernumerary vertebrae. Subject inclusion was approved by the ethical committee (6001 CPP Ile de France VI and Trousseau hospital).

3D reconstruction

For each patient, head to feet low-dose biplanar radiographs were acquired using EOS system (EOS imaging, Paris, France). 3D reconstruction of the spine, the pelvis, and the external envelope (head, thorax, abdomen, arms, legs, and feet) was performed using validated techniques [9,11,12] (Fig. 1).

Patient's gravity line (GL), vertebral axial rotations (VAR), and intervertebral axial rotations (IAR) were computed from the 3D reconstruction. The estimation of GL from 3D reconstruction of body envelope was validated on healthy and scoliotic patients [10], by comparison to a Wii Board, with a mean difference of 0.8 kg (SD 1.2) for the mass estimation and 0.5 mm (SD 1.2) for COM location, respectively.

Barycentremetry

The 3D envelope of each subject was cut into slices at each vertebral level, from T1 to L5, with horizontal planes passing through the center of each intervertebral disc (Fig. 2A), each slice being defined by a COM_{slice} (Fig. 2B). Each torso slice (between two cutting planes) contained the vertebra, the bottom half of the upper disc, and the top half of the lower disc. The head and neck were considered in a segment on its own. For each vertebra, the body segment above it (ie, the sum of the slices above it) was characterized by calculating its volume. Using the estimation of the density values proposed by Dempster [13] and by Amabile for the torso [14], its mass and center of mass (COM_{segment}) were also computed. The subject's arms were included in the model, although their position was not personalized, and their weight was shared by the T2 and T3 vertebrae.

Calculation of the gravity-induced torque in the spine

Calculation of the gravity-induced torque in each vertebra is illustrated in Figure 3. Axial torque, relative to the vertebra's vertical axis, depends on three parameters:

Table 1
Description of the different parameters of the population of patients, mean (SD).

Population	Count	BMI	Age	Sex	Cobb angle (°)	T1–T12 kyphosis (°)	Lordosis (°)	Pelvic incidence (°)
Asymptomatic	27	18 (1.97)	12.9 (2.1)	14 F 13 M	X	39.4 (12.6)	−44.1 (9.9)	48.4 (11.1)
Scoliotic	53	19 (2.59)	14.4 (1.7)	40 F 13 M	31.6 (16.2)	36.6 (12.7)	−49.2 (10.8)	46.2 (12.8)

F, female; BMI, body mass index; M, male; SD, standard deviation.

Fig. 1. Biplanar radiographs (left) and 3D reconstruction (right) of the external envelope and the spine, showing the gravity line passing through the center of mass.

Fig. 2. Body segmentation of the trunk. (A) Representation of the different trunk slices by vertebral level. (B) Position of the COM_{slice} of each slice compared with the gravity line for two typical patients.

Fig. 3. Description of the different elements leading to the axial torque of a vertebra. (A) A horizontal vertebra is loaded with a vertical force that is aligned with the spinal canal. The weight of the segment above it will create a simple compressive force on the vertebra and no torque. (B) A vertebra is laterally tilted and laterally displaced relative to the $COM_{segment}$. The lever arm L created by the lateral displacement of the vertebra and the projection of the weight F_p in the vertebral frame create a lateral bending of the vertebra. (C) A vertebra is tilted sagittally and displaced laterally. The lever arm L and the projection of the weight F_p tangential to the vertebra in the vertebral frame create an axial torque applied to the vertebra, producing the torsion.

the vertebra's orientation (axial, sagittal and lateral), the weight of the body segment above the vertebra, and the distance (ie, the moment arm) between the center of mass of this segment ($COM_{segment}$) and the spinal canal. In other words, torque is applied to a vertebra only if this vertebra is 1) laterally displaced relative to the center of mass and 2) tilted in the sagittal plane. The gravity-induced load applied at $COM_{segment}$ for each vertebra was decomposed according to the vertebra's coordinate system [15]. The torque relative to the vertebral axis was defined as the cross product between the gravity-induced force F and the vector between $COM_{segment}$ and the spinal canal (Fig. 3C). Absolute values of torque were considered. Three examples are reported in Figure 3 to illustrate the phenomenon of axial torque. In the first case (Fig. 3A), a horizontal vertebra is loaded with a vertical force that is aligned with the spinal canal. In this case, the weight of the segment will create a simple compressive

force on the vertebra and no torque. In the second case (Fig. 3B), a vertebra is laterally tilted and laterally displaced relative to the $COM_{segment}$. The lever arm L created by the lateral displacement of the vertebra and the projection of the weight F_p in the vertebral frame create a lateral bending of the vertebra. In the third case (Fig. 3C), a vertebra is tilted sagittally and displaced laterally. The lever arm L and the projection of the weight F_p tangential to the vertebra in the vertebral frame create an axial torque applied to the vertebra, producing the torsion.

Statistical analysis

Normality corridors were defined from the asymptomatic population as the mean ± 2 SD (95th centiles) for the lateral displacement of the COM, for the range of $COM_{segment}$ position in the sagittal plane relative to the GL and for the vertebral axial torque.

Fig. 4. Spatial distribution of the centers of mass of the segments above each vertebra for two representative AIS patients, one whose maximal distance was within the normality corridor (A) and one without (B). Vertical green lines represent the gravity lines. Panel C reports histograms of maximal lateral distance between the segmental centers of mass and the gravity line. The red dashed line represents the limit of the corridor of normality calculated on the asymptomatic population. Twenty-one patients were beyond this threshold, and there was no difference between mild and severe scoliosis.

Correlations were quantified using Spearman rank test. Subjects were analyzed by pathology group (scoliosis vs. healthy controls) with Mann-Whitney tests and by scoliosis topology (thoracic, thoraco-lumbar, lumbar) with Kruskal-Wallis test. Torque and IAR values at junctions and apex of AIS patients were compared with the maximal values observed in each asymptomatic subjects.

The level of significance was set at 0.05. Data were analyzed using Matlab 2014b (The MathWorks Inc., Natick, MA).

Results

Centers of mass

Figure 2 shows two typical examples of the distribution of the COM_{slice} relative to the spine. COM_{slice} tended to follow the spinal line, but with much smaller displacement than the vertebrae. The maximal lateral distance between $COM_{segment}$ and the GL for the healthy population gave a

mean value of 3.9 mm. The normality corridor (95th centile) was 8 mm.

For the scoliotic group, the maximal lateral GL- $COM_{segment}$ distance gave a mean value of 6.2 mm. Twenty-one patients of 53 (39,6%) had maximal distances over the 8 mm threshold (Fig. 4). Comparison between the mild and severe scoliosis showed no differences ($p = .83$), and no significant relationship was observed between the Cobb angle and this distance ($p = .85$).

Gravity-induced torque

Table 2 reports the values of torque, IAR, and axial orientations observed in the asymptomatic and AIS groups. AIS patients showed significantly higher torque and IAR than asymptomatic subjects at the junctional levels ($p < .0001$), and significant lower torque at the apex ($p < .0001$). Indeed, 34 patients had maximal torque higher than the normality corridor, whereas 19 patients had higher IAR at the junctional levels.

Table 2
Vertebral axial torque and intervertebral axial rotation (IAR) and junctional and apical vertebrae.

	Axial torque, Nm		IAR, °	
Asymptomatic subjects (n = 27)	Maximal value		Maximal value	
Mean $\pm$ SD	0.7 $\pm$ 0.5		3.4 $\pm$ 2.1	
Range	0–2.1		0–9.0	
No. of subjects outside normality corridor (%)	2 (7 %)		2 (7 %)	
AIS patients (n = 53)	Junctions	Apex	Junctions	Apex
Mean $\pm$ SD	2.9 $\pm$ 2.1	0.5 $\pm$ 0.5	7.3 $\pm$ 3.6	0.98 $\pm$ 0.8
Range	0.1–8.9	0–1.8	0.5–20	0–3.2
No. of subjects outside normality corridor (%)	34 (64%)		19 (36%)	

AIS, adolescent idiopathic scoliosis; SD, standard deviation.

Fig. 5. Vertebral axial torque, vertebral orientation, and intervertebral axial rotation for a typical patient. Junctional (J) vertebrae and apex (A) are indicated. Axial orientation is maximal at the apex whereas intervertebral axial orientation is highest (and presents discontinuities) at the junctional levels. Torque is maximal near the junctionals and close to zero at the apex.

Figure 5 shows torque at all vertebral levels compared with the vertebral orientations and IARs for a typical scoliotic subject. VAR was high at the apex, whereas IAR and torque were higher near the junctions. Conversely, IAR

and torque were minimal at the apex. This observation was confirmed for the whole AIS group, as shown in Figure 6. In the apical zone, axial torque was 30% of the torque at the higher junction and 49% of the torque at the lower junction (Fig. 6).

Fig. 6. Relation of the gravity-induced vertebral torque, vertebral axial rotation (VAR), and intervertebral axial rotation (IAR), and the specific points of the major curve of scoliosis (apex, higher and lower junctional vertebra). Values are reported as median $\pm$ first and third quartile. For the three parameters, significant differences were found between the value of the apex and the value of one of the junction. The asterisk shows a significant difference between two groups, p-values are indicated in the figure.

A significant correlation was found between the axial torque at the junctions and the corresponding IAR ($p < .05$, $r = 0.65$), whereas no significant relationship was found for the healthy population. A significant correlation was also found between VAR along the spine and the distance between the vertebra and GL in the frontal plane, for more than 90% of the scoliotic population. In other words, vertebrae were more rotated the further they were from the gravity line.

Discussion

In this work, barycentremetry was used for a retrospective analysis of AIS patients in standing position, aiming at estimating their balance and the torsional forces applied to their vertebrae, in comparison with asymptomatic subjects.

The main limitation of this work is that the position of the arms was not personalized for each patient when reconstructing the body envelope. This could displace the gravity line and the position of the $COM_{segments}$. However, the body envelope model used is in the standardized free-standing position (Fig. 1), and patients who did not respect this position were excluded. This significantly limits the uncertainty of the gravity line position, as was confirmed by validation studies on healthy subjects and scoliotic patients, which reported an uncertainty of the GL position lower than 9 mm [10,14].

The second main limitation is that muscle action was neglected; therefore, only vertebral loading resulting from the gravity line was considered. The same approach was used previously in similar studies because of the complexity of load transfer to the spine. Musculoskeletal numerical modeling could be used to refine the analysis and to clarify the cause-effect relationship between torque, vertebral rotation, and IAR.

The analysis of the lateral displacement of the $COM_{segment}$ compared with the gravity line gave an insight on the mass distribution and loading on the spine. The data reveals that the $COM_{segment}$ of the asymptomatic population are well aligned with the gravity line, with a maximal lateral displacement lower than 1 cm. For the scoliotic population, the lateral displacement of $COM_{segment}$ did not exceed 1.5 cm, even in cases of severe scoliosis. This distance is much lower than the lateral displacement of the single vertebra of the scoliotic spine. It can be concluded that the $COM_{segment}$ of the mass supported by each vertebra remains almost aligned to the gravity line. Moreover, $COM_{segment}$ position was not correlated to the magnitude of the spine deformation, represented by the Cobb angle. This suggests that scoliotic patients tend to remain globally well aligned, independently of the severity of the deformity.

Gravity-induced torque locally reached 8.5 Nm, with the higher values being at junctional levels (Fig. 6). It was recently suggested that high IAR could be characteristic of the geometric phenotype of progressive scoliosis [1], but the mechanical origin of high IAR remained unexplained. The present results suggest that IAR at junctions could be in

relation with concentration of high torque at these levels, explaining to some extent the vicious cycle. On the other hand, 40% of the patients who presented abnormally high axial vertebral torque also had IAR at junctions within the normality corridor (Table 2). Disc ultrasonographic elastography of normal and scoliotic of intervertebral discs recently suggested that scoliotic discs may be stiffer than normal ones [16]; therefore, IAR could be a secondary factor.

In Figure 3, it can be noticed that if the vertebra was perfectly horizontal, the gravity load F would be parallel to the vertebra's vertical axis and it would generate no torque. Similarly, if the spinal canal was perfectly coincident relative to the weight of the trunk above it, there would be no lever arm and thus no torque. Adam et al. [7] also found an association between global spinal torque and intra-vertebral rotation, that is, the axial rotation between endplates. This end plate rotation (within the bone) is actually similar to IAR and, just like IAR, it is maximal at junctional levels. Because a nonzero vertebral torque requires an initial coronal deviation or torsion of the spine, those authors hypothesized that torque alone cannot explain the onset of the deformity. However, nonnegligible torques up to 2 Nm were observed in the asymptomatic population in the present study. It is possible that such physiological albeit high torques could be sufficient to initiate a rotational deformity and therefore increase lateral scoliotic deviation.

Vertebral axial torque was the lowest at the apex (Figs. 5 and 6), which can be counterintuitive because the apex is the most laterally displaced vertebra of the curve. However, in this work, torque was calculated relative to the vertebra's vertical axis (Fig. 3), and therefore it strongly depends on the orientation of the vertebra. For instance, in the example shown in Figure 5, frontal orientation of the apex is almost zero (ie, T11 is horizontal in the patient's frontal plane), which means that there is no anteroposterior component to the gravity-induced loading of the vertebra, and therefore no axial torque. In this patient, torque continued increasing moving up from T11 until T4, even if the moment arms for those vertebrae were actually decreasing, indicating that vertebra orientation could be more important for axial torque than its distance from the gravity line. For this patient, torque closely follows frontal orientation; however, this was not the case for all patients.

Another important finding was the low torque at the apex, which is the vertebra that is most axially rotated. The same observation was done on the lateral bending moment: Keenan et al. [8] reported peak lateral bending moment at the apex level, which is often the most horizontal vertebra of the scoliotic curve. Indeed, the apex is the location of highest lateral bending moment and lowest axial torque, while at the same time being the most horizontal and most rotated vertebra.

Conclusion

Barycentremetry was used in this study to investigate patient balance and vertebral torsional loading. It appears

that scoliotic patients tend to maintain their segmental centers of mass close to the gravity line at all vertebral levels, irrespectively of curve severity or clinical imbalance. Moreover, it was observed that vertebral axial torque is the highest at the junctional levels and the lowest at the apex. Although the underlying cause-effect mechanism still needs to be clarified, this could have an important impact on our understanding of the vicious cycle leading to progression of deformity, because it highlights the importance of the junctional vertebrae in the mechanics of the scoliotic spine.

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