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Fibre multiwave-mixing combs reveal the broken symmetry of Fermi-Pasta-Ulam recurrence

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Optical fibres constitute a unique ground to investigate one of the most prolific and controversial discovery of modern physics, namely the Fermi-Pasta-Ulam (FPU) recurrence, which becomes manifest as the growth and decay cycles of a comb of sideband pairs from a weakly modulated pump, occurring via modulational instability (MI). To date, however, the genuine spontaneous symmetry-breaking nature of the MI recurrence was never observed (in any area of physics) due to intrinsic limitations of the experimental realizations. In this work, we overcome such limitations by implementing a novel experimental technique which allows us to reconstruct the longitudinal evolution in amplitude and phase of frequency modes via heterodyne detection of the backscattered light. As a result, we clearly observe how the control of the input modulation seed results into qualitatively different types of FPU recursive behavior associated with the spontaneously broken symmetry.

The fact that nonlinear systems with several modes exhibit recurrence to the initial state after complex coupling dynamics, instead of equipartition, is known as the FPU paradox [1, 2]. After several decades of research, the emerging picture is that the equipartition is eventually reached, though over extremely long times, whereas recurrences dominate the behavior over shorter time scales [3]. In dispersive and nonlinear systems that represent the continuous limit of the oscillator chains originally considered by FPU, a similar behavior is envisaged. In particular, in optical fibres where the propagation is ruled by the nonlinear Schrödinger equation (NLSE), FPU recurrence is triggered by the universal phenomenon of MI [4], i.e. the exponential growth of a modulation at the expense of a strong pump. MI induces a seeded modulation frequency to be amplified along with its harmonics via multiwave mixing to form a spectral triangular comb, until the process is reversed leading to FPU recurrence [5]. To date, in single-pass optical experiments, observations are limited to the first recurrence cycle [6, 7], whereas the long term dynamics is conjectured to lead to a thermalized state owing to the role played by amplified noise [8].

The investigation of such phenomena are attracting a tremendous interest in optics [9–23], boosted by their strong link with the formation of deterministic breathers [20], statistics of rogue waves [22, 23], supercontinuum generation [21], turbulence [9, 12], and frequency combs in microresonators [10]. Yet, in this context, the essential fact that nonlinear MI does not involve a simple recurrence, but rather a complicated phase-space structure [24–27] associated with spontaneous symmetry breaking [28], was never observed. The signature of such structure is the occurrence of two type of qualitatively different re-

currences that are accessible under the same operating conditions. This, however, was eluded so far due to fundamental limitations in the experiments. The major one is represented by the losses which prevents the observation of both type of recurrence, as recently shown in water wave experiments [29] (which are also affected by higher-order effects [30]). An additional limitation, in fibre optics, comes from the need to measure the longitudinal variation of the phases of the mixing products, which turns out to be extremely challenging. In this paper we overcome both of such limitations in a fibre experiment, introducing a (i) loss compensation scheme, and (ii) a novel measurement technique which allows to map the powers and the relevant phases along the fibre. As a result we clearly observe for the first time the signature of the spontaneously broken symmetry of FPU recurrence.

The MI and its recurrent FPU stage are described by the NLSE that rules the propagation of the electric field envelope $E(Z, T)$ in the anomalous dispersion regime of an optical fibre

$$i\frac{\partial E}{\partial Z} - \frac{\beta_2}{2}\frac{\partial^2 E}{\partial T^2} + \gamma|E|^2 E = 0, \quad (1)$$

where γ is the nonlinear coefficient, β_2 is the negative (anomalous) group-velocity dispersion, Z is the distance, and T is the retarded time. According to Eq. (1), MI involves the growth with exponential gain $g(\omega)$ [see Fig. 1(a)] of a modulation with frequency f_m (sideband pair with frequency detuning $\pm f_m$) at the expense of a continuous wave pump with power P , provided that the normalized frequency $\omega = 2\pi f_m \sqrt{|\beta_2|/\gamma P}$ is such that $\omega \leq 2$. When such instability is seeded, FPU cycles of amplification and back-conversion occur [6, 24, 26, 27]. In order to understand the richness and complexity of

FIG. 1. **Spontaneous symmetry-breaking of MI and FPU:** (a) MI gain vs. ω and sketch of the equivalent potential associated to the mixing process, exhibiting spontaneous symmetry breaking (change from single to double well), when going across the onset of MI at $\omega = 2$; (b) phase-plane evolutions in the plane $(x, y) \equiv (\eta_1 \cos \Delta\phi, \eta_1 \sin \Delta\phi)$, as obtained from the simple oscillator (Hamiltonian in Eq. (2), where $\omega = 1.25$ as in the experiment) describing the interaction of the pump and first-order sidebands, as from the schematic drawing of the comb; the separatrix (dashed green line) and the inner and outer orbits (thick orange and blue solid lines) correspond to motions characterized by the total energy levels reported with the same color in (a); (c,d,e) Projections of NLSE trajectories (open dots) on the 3WM phase plane (x, y) for weak initial modulation with different initial phase: (c) $\Delta\phi_0 = 0$; (d) $\Delta\phi_0 = \pi/2$; (e) $\Delta\phi_0 = -0.285\pi$; (f,g,h) Corresponding full temporal evolutions obtained from the NLSE showing (f) in-phase and (g) out-of-phase recurrences, and (h) the separatrix or Akhmediev breather. In (f,g,h) distance and time are in units nonlinear length $Z_{nl} = (\gamma P)^{-1}$ and characteristic time $T_0 = \sqrt{|\beta_2| Z_{nl}}$, respectively.

the recurrence, the key point is that the onset of MI implies a spontaneous symmetry breaking, as sketched in Fig. 1(a). Indeed the mixing process is equivalent to the motion of an ideal particle in the potential sketched in Fig. 1(a), where the equilibrium in the origin corresponds to the pump wave. Decreasing ω across the onset of MI, induces the potential to undergo a spontaneous symmetry breaking transition from a single well (with minimum representing the stable pump for $\omega > 2$) to a double well for $\omega < 2$, where the pump exchanges its stability with two new minima. The simplest formalization of this concept, which allows for emphasizing the prominent role of the phases in the dynamics, is the truncation to three-wave mixing (3WM) [27, 31], according to which the mixing can be described in terms of a one-dimensional

oscillator with Hamiltonian (see methods)

$$H = \eta_1(1 - \eta_1) \cos(2\Delta\phi) + (1 - \omega^2/2) \eta_1 - 3\eta_1^2/4, \quad (2)$$

where η_1 is the power fraction of the first-order ($n = 1$) sidebands and $\Delta\phi = \phi_0 - \phi_1$ is the only effective phase, ϕ_0 and ϕ_1 being the pump and sideband phase, respectively. The level curves of H , shown in Fig. 1(b) in the plane $(x, y) \equiv (\eta_1 \cos \Delta\phi, \eta_1 \sin \Delta\phi)$ clearly show the double-loop structure characteristic of the motion in a double-well potential in x . The centres or stable points C_0 and C_π correspond to the minima of the potential and are the 3WM representation of invariant modulated waves with equal modulation depth and opposite side-band phase $\Delta\phi = 0$ or $\Delta\phi = \pi$ (relative to the pump). In time domain they stand for identical wavetrains except for a temporal shift of half period π/ω due to such shift.

A separatrix or homoclinic loop (dashed green curve in Fig. 1(b)) divides the possible trajectories into: (i) inner orbits (thick orange curves in Fig. 1(b), corresponding to evolutions in a single well) surrounding only one stable centre, either C_0 or C_π , thus experiencing phase variations bounded in one (right or left) semiplane; (ii) outer orbits or double-well evolutions (thick blue curve in Fig. 1(b)), surrounding both stable centres and hence featuring unconstrained phase variations in the whole range $[0, 2\pi]$. In particular, in this case, maximum depletion is obtained in alternation between $\Delta\phi = 0$ and $\Delta\phi = \pi$, corresponding to temporal wavetrains mutually shifted by half period.

We are interested in the so-called *homoclinic crossing* phenomenon [24, 26, 27], that is, switching between type (i) and type (ii) orbits controlled by the launching conditions. For a weakly modulated pump, this occurs in the whole range of unstable frequencies, by changing the input phase $\Delta\phi_0 = \Delta\phi(z=0)$ from amplitude modulation $\Delta\phi_0 = 0$ to frequency modulation $\Delta\phi_0 = \pi/2$ (other conditions for switching, though frequency dependent, are discussed in the supplementary information). We show this by means of direct numerical integration of the NLSE. Figure 1(c,d,e) report indeed the projections of the NLSE evolutions on the 3WM phase-plane for (c) $\Delta\phi_0 = 0$; (d) $\Delta\phi_0 = \pi/2$; (e) $\Delta\phi_0 = -0.285\pi$ (separatrix). Apart from slight quantitative deviations (due to the neglected higher-order sidebands), the or-bits present exactly the same qualitative features expected from 3WM. The corresponding spatio-temporal evolutions displayed in Fig. 1(f,g,h) show that homo-clinic crossing implies, as expected, switching from the unshifted recurrences shown in Fig. 1(f) to the alter-nance of patterns shown in Fig. 1(g) induced by the shift $\Delta\phi = \pi$, periodically acquired only by the double loop orbits. Finally, the separatrix in Fig. 1(h) represents the well known exact solution of the NLSE known as Akhmediev breather [20, 21, 23, 24, 27].

In order to further show that the qualitatively different FPU recurrences associated with the homoclinic crossing can be detected in an optical fibre, we reported in Fig. 2 further simulations based on the full NLSE with realistic real-world values. We find that, for a very weak input modulation (pump to sideband power ratio of 20 dB, or $\eta_1 = 0.98$), nearly 18 km of optical fibre are necessary to see two recurrences with $\Delta\phi_0 = 0$, as shown in Fig. 2(a-d), whereas with $\Delta\phi_0 = \pi/2$ only one full recurrence is completed over the same length. Indeed, while in both cases two cycles of conversion and back-conversion are achieved in terms of powers [see full spectra in Fig. 2(c,g) or power fractions in Fig. 2(a,e)], when $\Delta\phi_0 = \pi/2$ the phase, which spans the whole range $[0, 2\pi]$, recurs only over a double distance. Indeed, in the latter case, the initial condition in phase plane is recovered only after moving through the double loop shown in Fig. 2(h), which require nearly twice the distance needed to move through

FIG. 2. Homoclinic crossing and period doubling in fibre FPU recurrence: Evolutions along fibre distance of (a,e) pump and sidebands powers; (b,f) effective phase $\Delta\phi(Z)$; (c,g) full MI power spectrum. (d, h) phase-plane portrait of the evolution. Upper row (a-d) and lower row (e-h) panels differ only for the input relative phase, set to $\Delta\phi_0 = 0$ (amplitude modulation) and $\Delta\phi_0 = \pi/2$ (frequency modulation), respectively. fibre parameters: $\beta_2 = -19 \text{ ps}^2/\text{km}$, $\gamma = 1.3 \text{ (W km)}^{-1}$, modulation frequency $f_m = 35 \text{ GHz}$, pump power $P = 450 \text{ mW}$, pump to signal input power ratio is equal to 20 dB. All power plots are normalized to their respective maxima.

a single loop as in Fig. 2(d). Interestingly, in both cases the weakness of the input modulation induces the conversion process, which is very rapid around the maximum extension of the comb, to strongly slow down near the recurrences (see the plateau in power in Fig. 2(a,e)) when the point passes, in phase-plane, close to the saddle point (i.e., the unstable pump), a feature which is universal for nonlinear oscillators.

In order to have an almost real time access to the ongoing dynamics along the fibre, we have developed a multi-channel vector optical time domain reflectometer (VOTDR). It is optimized for non-linear measurements. Indeed, Rayleigh backscattered light excited from a coherent light source exhibits a jagged appearance due to the fading phenomenon. It originates from the random state of polarization of the scattered light and from a speckle-like phenomenon due to the huge number of scattered waves involved in the process [32]. To overcome this strong limitation, we perform an error correction calibration thanks to a double pulse sequence. A *strong* signal pulse is first launched inside the experiment fibre (SMF-28) and a *weak* reference pulse, typically attenuated by 13 dB, shortly follows. We assume that the weak pulse and its backscattered light experiences similar linear ef-

and enhances the impact of noise amplification due to spontaneous MI, which is ultimately responsible for thermalization [8]. In our experiment, the trade-off between a weak modulation and reasonable fiber length has led us to operate with a pump to sideband power ratio of 8.5 dB (compared with 20 dB in the ideal case of Fig. 2), which allowed for scaling down two recurrence periods below the length of 7.7 km of our fibre. Noteworthy, such a stronger modulation results in a less pronounced plateau in power (see Fig. 4(c,f), and compare with Fig. 4(a,e)), due to passages at larger distances from the saddle point (i.e., the pump) in phase plane. Interestingly enough, by comparing Fig. 4(c) and (d), we notice a nearly perfect recurrence in terms of power levels for $\Delta\phi_0 = \pi/2$, whereas the recurrence is within 20% for $\Delta\phi_0 = 0$, in spite of the same level of Raman pump to compensate for the losses. We mainly attribute this to the fact that, in the presence of strong two sideband modulation, the trajectories followed by the injected amplitude modulation ($\Delta\phi_0 = 0$) show a stronger deviation against the ideal case of weak modulation (Fig. 2), compared with the case of the injected frequency modulation ($\Delta\phi_0 = \pi/2$). This is indeed evident also from the simulated trajectories (black curves) in Fig. 4(c,f), which highlight the non-ideal behavior which is also manifest from a slight spatial shift between the dip of the pump and the peak of the sidebands as well as a certain degree of asymmetry between the first cycle and the second, which also reflects in the non perfect superposition of phase-space trajectories in phase-plane (compare Fig. 2(d) and Fig. 4(e)).

Nonetheless we emphasize that the measured data clearly highlight the two qualitatively different type of recurrences. Indeed the range of variation of the phase is bounded between ± 1 rad for $\Delta\phi_0 = 0$ [see Fig. 4(d)], whereas for $\Delta\phi_0 = \pi/2$ the phase turns out to span nearly the whole range of 2π over a single recurrence [see Fig. 4(g)]. The evidence for the impact of the relative initial phase is even more clear by reconstructing the phase portrait evolutions. For $\Delta\phi_0 = 0$, as shown in Fig. 4(e), the evolution exhibit more than two quasi-periodic orbits spanning only half of the phase plane, as predicted in the ideal scenario (Fig. 2(a-d)). Conversely, for $\Delta\phi_0 = \pi/2$, the phase plane evolution in Fig. 4(h) span the whole phase plane making two loops which are nearly symmetric around the vertical axis. All the results are confirmed by numerical simulations of the NLSE (1), with a pretty good agreement (solid black lines). We have also performed additional measurements corresponding to initial conditions located on the other cardinal points of the phase plane, i.e. $\Delta\phi_0 = \pi, 3\pi/2$, which show good agreement with the expected dynamics. Indeed we found that symmetric initial conditions in phase plane, $\Delta\phi_0 = \pm\pi/2$ and $\Delta\phi_0 = 0, \pi$, respectively, give almost symmetric orbits in the phase plane (see supplementary information). Finally, we have also provided further evidence for homoclinic crossing by performing additional measurements

obtained by varying the phase across the critical value fixed by the slope of the separatrix near the origin (see supplementary information).

We believe that our experimental technique opens new perspectives in the characterization of parametric mixing processes in guided wave optics, including the regime of phase-sensitive amplification. On the other hand, our results pave the way towards a more complete understanding of the extremely rich and formidably complex phenomenon of FPU recurrence. In this respect, it must be noticed that most of the recent progresses toward understanding the recurrence and its deterioration have theoretical nature (see e.g. [3, 8, 9] and references therein). Conversely, very few experimental tests exist that deepen the mechanisms of mode interaction and the recurrence in a truly conservative (Hamiltonian) setting, as originally proposed by FPU. Our results establish optical fibres to be a viable platform for investigating all aspects of mode interactions including the role of the mutual phases, at the same time highlighting for the first time the role of spontaneous symmetry breaking and the existence of a complex homoclinic structure in FPU dynamics ruled by MI. This opens the doors to further experimental investigations that range from the mechanisms of thermalization and their universality [8, 9], the observation of higher-order separatrices, their associated phase plane dynamics and their role in rogue wave formation [22] and supercontinuum generation, the link with new mechanisms of soliton-mediated recurrences in fibres [33], as well as the transition to chaos induced around the homoclinic structure (homoclinic chaos) in strongly perturbed structures such as fibre passive cavities and microresonators for frequency comb generation [10], where MI remains a key driving mechanism.

METHODS

Numerics: The simulations reported in Fig. 1-2 are made by integrating the NLSE [Eq. (1)] with pseudospectral or split-step method with typical grid spacing $\Delta T = 0.5$ ps and $\Delta Z = 5$ cm. Boundary conditions are implicitly imposed on a temporal windows which take several periods of the modulation period $T_m = 1/f_m$. The initial condition is set to $E(Z, T) = \sqrt{P_p} + \sqrt{P_s} \exp(-i\Delta\phi_0) [\exp(i2\pi f_m T) + \exp(-i2\pi f_m T)]$, where P_p and P_s are the pump and sideband power, respectively, which fix the pump to signal power ratio $10 \log_{10} P_p/P_s$ given in the text (20 dB in Fig. 2 or 8.5 dB in Fig. 4, corresponding to $P_s = 4.5$ mW and $P_s = 63.6$ mW, respectively). We have also checked numerically that higher order dispersion terms, as well as Raman effect have a negligible impact on our results and can be neglected, so that the whole dynamics of the system can be accurately captured by the NLSE.

Theory: Starting from the NLSE, the description

of the nonlinear stage of MI in term of the reduced oscillator (2), can be obtained as follows. The comb that develops from depleted MI is of the form $E(Z, T) = \sqrt{P} \sum_n a_n(Z) \exp(i2\pi f_m T)$, where the sum is over all integers $n = \dots, -2, -1, 0, 1, 2, \dots$, f_m is the input modulation frequency and P is the injected (conserved) power, $a_0(Z)$ and $a_{\pm|n|}(Z)$ are the complex amplitudes of the pump and the n -th sideband pair, respectively. Since the spectrum is triangular [21] with strong decay between adjacent sidebands of increasing order ($|a_{n+1}|^2/|a_n|^2(dB) = 10 \log_{10}(2 - \omega)/(2 + \omega)$ at conversion apex, e.g. ~ -8 dB at peak gain $\omega = \sqrt{2}$ [15]), we can accurately approximate the process by means of three modes (3WM, $n = -1, 0, 1$), neglecting higher-order sidebands $|n| \geq 2$ that remain enslaved and phase-locked to the main pair $n = \pm 1$ upon propagation. Hence, further assuming symmetric sidebands ($a_1 = a_{-1}$) as in the experiment, we plug into the NLSE (1) the 3WM ansatz $E(Z, T) = \sqrt{P} \{a_0(Z) + a_1(Z) [\exp(i2\pi f_m T) + \exp(-i2\pi f_m T)] / \sqrt{2}\}$, and obtain coupled equations by grouping terms at the same frequency. Then, following the approach developed in Refs. [27, 31], we exploit the Hamiltonian structure of the resulting system of equations to reduce them to a one degree of freedom oscillator in terms of the conjugated variables $\eta_1 = |a_1|^2 = 1 - |a_0|^2$ and $\Delta\phi = \phi_0 - \phi_1$, which are found to obey the following evolution equations

$$\begin{aligned} \frac{d\eta_1}{dz} &= \frac{\partial H}{\partial \Delta\phi} = -2\eta_1(1 - \eta_1) \sin(2\Delta\phi), \\ \frac{d\Delta\phi}{dz} &= -\frac{\partial H}{\partial \eta_1} = \left(1 - \frac{\omega^2}{2}\right) - \frac{3}{2}\eta_1 + (1 - 2\eta_1) \cos(2\Delta\phi), \end{aligned} \quad (3)$$

where $z = Z/Z_{nl}$ is the normalized distance in units of the nonlinear length $Z_{nl} = (\gamma P)^{-1}$. Clearly, $H = H(\eta_1, \Delta\phi)$ in Eqs. (3) takes the expression of the Hamiltonian reported in Eq. (2). The invariance of H along the motion allows to draw the level curves reported in Fig. 1(b).

Experiment: Two major challenges faced in the experiment are: (i) the loss compensation in the 7.7 km long SMF-28, that would induce all the evolutions to drop on phase-shifted evolution [29], thus hiding the broken symmetry of FPU; (ii) to overcome the fading phenomenon that is likely to occur when a quasi-monochromatic wave is launched in an optical fibre [32]. The losses of the SMF-28 are almost perfectly compensated by means of a scheme borrowed by telecommunication systems, which exploits a counter-propagating wave centred at 1480 nm acting as a Raman pump (see Fig. 3 and supplemental material for further informations). Concerning the second issue, we remind that a random noise in amplitude and phase is superimposed on the backscattered light originating from variations of the state of the polarisation of the light and/or from local thermo-mechanical fluctu-

ations of the scattering volume. We removed the contribution of this detrimental linear phenomenon on the reflected pulse as follows. We launch two consecutive pulses in the fibre. The first one is strong and is responsible for the nonlinear dynamics, whereas a following weaker one (-13 dB) experiences essentially linear effects. These linear effects are similar to those experienced by the first strong pulse because the time delay between these pulses is extremely short (1 ms) compared to the characteristic response time of thermo-mechanical fluctuations in the fibre. The amplitude and the phase of the backscattered strong signal is then corrected by means of the weak one. This scheme allows to effectively remove the contribution of the fading effect (the effectiveness of the scheme is further discussed in the supplemental, showing in Fig. S3 a typical trace before and after the correction).

The drawback of this method is that all linear contributions are removed, including the phase due to the group velocity dispersion acquired during the propagation. This contribution is linked to the linear phase mismatch term of the four-photon process underlying the MI process, and can not be neglected. However, it can be easily restored by adding to the compensated phase evolution the characteristic phase term arising from GVD, i.e. $\frac{1}{2}\beta_2(2\pi f_m)^2 Z$.

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