

Photopheresis efficacy in the treatment of rheumatoid arthritis: a pre-clinical proof of concept

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▶ To cite this version:

Céline Coppard, Francis Bonnefoy, Dalil Hannani, Françoise M. Gabert, Olivier Manches, et al.. Photopheresis efficacy in the treatment of rheumatoid arthritis: a pre-clinical proof of concept. Journal of Translational Medicine, 2019, 17 (1), 10.1186/s12967-019-2066-1. hal-02361615

HAL Id: hal-02361615 https://hal.science/hal-02361615

Submitted on 18 Dec 2020

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1 Photopheresis efficacy in the treatment of rheumatoid arthritis: a pre-

2 clinical proof of concept

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26

27 Abstract

28 Background: Despite major advances in rheumatoid arthritis outcome, not all patients achieve

29 remission, and there is still an unmet need for new therapeutic approaches. This study aimed at

30 evaluating in a pre-clinical murine model the efficacy of extracorporeal photopheresis (ECP) in the

31 treatment of rheumatoid arthritis, and to provide a relevant study model for dissecting ECP

32 mechanism of action in autoimmune diseases.

33 Methods: DBA/1 mice were immunized by subcutaneous injection of bovine collagen type II, in order

34 to initiate the development of collagen-induced arthritis (CIA). Arthritic mice received 3 ECP

35 treatments every other day, with Psoralen+UVA-treated (PUVA) spleen cells obtained from arthritic

36 mice. Arthritis score was measured, and immune cell subsets were monitored.

37 Results: ECP-treated mice recovered from arthritis as evidenced by a decreasing arthritic score over

time. Significant decrease in the frequency of Th17 cells in the spleen of treated mice was observed.

39 Interestingly, while PUVA-treated spleen cells from healthy mouse had no effect, PUVA-treated

- 40 arthritic mouse derived-spleen cells were able to induce control of arthritis development.
- 41 Conclusions: Our results demonstrate that ECP can control arthritis in CIA-mice, and clarifies ECP
- 42 mechanisms of action, showing ECP efficacy and Th17 decrease only when arthritogenic T cells are

43 contained within the treated sample. These data represent a pre-clinical proof of concept supporting
44 the use of ECP in the treatment of RA in Human.

45 **Keywords**

46 Collagen-induced arthritis, extracorporeal photopheresis, preclinical study, autoimmunity

47 Background

48 Among autoimmune diseases, rheumatoid arthritis (RA)(1) is a complex common autoimmune 49 disease responsible for progressive disabilities due to synovial inflammation, bone and cartilage 50 destruction associated with systemic disorders. Rheumatoid arthritis development involves 51 environmental factors that trigger the disease in individuals with a predisposing genotype, such as 52 some HLA DR β polymorphisms, and it affects 0.5 to 1% of Caucasian individuals in western countries. 53 During the course of RA, immune cells infiltrate the synovial sublining, half of them being CD4+ 54 memory T cells, and it has been shown that autoreactive T cell frequency is correlated with disease 55 activity(2). Type 1 helper T (Th1) cells have long been considered as crucial in RA development, but 56 accumulating evidence support a major role for Th17 cells in RA pathogenesis. Despite major 57 advances in rheumatoid arthritis outcome, not all patients achieve remission, and there is still an 58 unmet need for new therapeutic approaches(3).

59 Few clinical trials have assessed the therapeutic effect of extracorporeal photopheresis (ECP) in rheumatoid arthritis. In 1991, 7 patients with RA were treated by ECP, and interesting clinical 60 improvements were reported for 4 of them(4). clinical improvements were reported in 1993 for 61 62 seven ECP-treated RA-patients (5), and in 1996, for 12 patients with psoriatic arthritis(6). Despite 63 these encouraging results (overall clinical improvement for approximately 50% of patients(7)), the 64 clinical use of ECP for RA and autoimmune diseases treatment remains rare. Indeed, ECP is a cell 65 therapy mostly applied to treat graft versus host diseases, transplant rejection and cutaneous T cell 66 lymphomas. The therapeutic process is based on extraction of mononuclear cells by apheresis, 67 followed by treatment of cells with 8-Methoxy-psoralen (8-MOP) and exposure to ultraviolet A light. This procedure results in crosslinking of DNA pyrimidine bases in all treated cells, leading to their

apoptosis. The cells are then reinfused to the patients. Depending on the disease, ECP is thought to

70 trigger an immunomodulation either leading to immunization (in CTCL context) or

71 immunosuppression (in GVHD or transplant rejection)(8-10).

72 The American council on ECP has recently published a consensus report, describing ECP as a bidirectional therapy, able to induce both immunizing and tolerizing effects(11). ECP seems to be a 73 74 safe and efficient treatment for diseases that are associated to T cell dysregulations, leading to 75 specific long-lasting immunosuppression, making it attractive to treat autoimmune diseases(12). The 76 central role of T cells in RA pathogenesis, with circulating autoreactive T cells is a strong argument to 77 propose ECP as a therapy for this disease. The lack of randomized clinical trials and the poor 78 understanding of ECP mechanism of action clearly hinder ECP development in the context of 79 autoimmune diseases. In order to evaluate the potential efficacy of ECP in arthritis, we used a well 80 characterized mouse model of collagen-induced arthritis, extensively used for modeling human RA. 81 In this paper, we show that ECP is efficient in reversing arthritis, by decreasing Th17 cells. These data 82 represent a pre-clinical proof of concept, rationalizing the use of ECP in the treatment of RA, and 83 provide a relevant study model for dissecting ECP mechanism of action in autoimmune diseases.

84

85 Material and methods

86 **Mice**

Six to eight week old male DBA/1 mice were obtained from Janvier Labs (Le Genest-Saint-Isle,
France), housed at the University of Grenoble animal core facility PHTA (Plateforme de Haute
Technologie Animale, agreement #C 38516 10 006 delivered by Direction Départementale de la
Protection des Personnes de l'Isère), in individually ventilated cages (5 mice per cage) and fed ad
libitum a standard diet and filtered water. All experiments were approved by the local ethic

92 committee as well as French ministry of Research and Innovation, under the number:

93 2015061815254659. These animal experiments comply with the ARRIVE guidelines(13). Some of the

94 experiments have been performed at the UMR1098 animal facility (agreement #C25-056-7;

95 Besançon, France) under #02831 project number authorization.

96 Induction of collagen-induced arthritis

97 DBA/1 mice were immunized by subcutaneous injection at the tail base with 100µl of emulsion of 98 bovine collagen type II (200mg/ml dissolved in 0.5M of acetic acid, MD Bioscience) and Complete 99 Freund adjuvant (4mg of Mycobacterium tuberculosis toxin in 1 ml of incomplete Freund adjuvant, 100 Sigma-Aldrich), 50µl in each side of the tail. Arthritis developed at days 21-28 after collagen 101 immunization. The health status of the animals was carefully monitored, by daily observation of 102 animal behavior and external physical appearance. Animals were also weighed every day. The 103 protocol dictated that mice losing more than 20% of start weight at any time would be sacrificed, 104 however this did not occur. No unexpected adverse event occurred. Arthritis severity was measured 105 by visual evaluation of the paws. Each paw was scale of 0-4, where 0 = normal paw, 1= swelling in 106 one digit, 2= swelling of one or more digit or mild swelling of the entire paw, 3= moderate erythema 107 and swelling of the entire paw, and 4= erythema and severe swelling involving the entire paw (fig 108 1A). The clinical score for each mouse was the result of the sum of the four paws (maximum score 109 16). Food and water were placed directly in the cage for animals with a score higher than 8, to 110 compensate for their mobility impairment. To take into account disease heterogeneity at the time of 111 treatment, mice were stratified according to arthritis score and split into the different treatments 112 groups (n=5 mice per group). The sample size was calculated on the basis of pilot experiments, 113 indicating that in untreated animals the mean arthritis score at day 40 post-collagen injection was 9.6 114 (SD=0.88). Power calculations indicated that for a mean difference in arthritis score of 2 points to be 115 detected with 90% power at this time point with a one-sided significance level of 5%, 5 mice in each 116 treated group were required (calculation made with R software, epi R package). The average and

standard deviation of clinical scores were similar for each group in each experiment (n=5). To
standardize the monitoring of the therapeutic effect of ECP on arthritis development, we calculated
for each mouse and each day a relative CIA score, by subtracting the value of CIA score at the
beginning of the treatment to the measured CIA score. Hence, on day 0, when the first injection was
performed, all mice have a relative CIA score of zero. Arthritis score was measured every day, and
the relative arthritis score was calculated. If arthritis progressed, the calculated CIA score was
positive, and if arthritis regressed the relative CIA score was below zero.

124 Induction of apoptotis by PUVA treatment

125 Spleens of the donor mice (arthritic or healthy mice) were harvested and mechanically dissociated. 126 Erythrocytes were removed with a red blood cell lysis solution (eBiosciences). Spleen cells (10.10⁶ 127 cells/ml) were treated by PUVA during 15 minutes at 37°C with 8-MOP (200ng/ml, Sigma-Aldrich) and 128 irradiated with UV-A (365nm, 2J/cm²). Cells were washed twice with PBS. Irradiated cells (PUVA cells) 129 were reinjected intravenously (10.10⁶ cells in 200µl of PBS) three times every other day. Treatment 130 was initiated when the mean arthritic score reached 7. Mice receiving arthritic mice derived PUVA treated-spleen cells were called « Arthritic PUVA » (A-PUVA) mice while mice receiving healthy mice 131 132 derived PUVA treated-spleen cells were called « Healthy PUVA » (H-PUVA) mice.

133 Flow Cytometry analysis

134 Apoptotic PUVA treated-T cells were stained by anti-mouse CD3-PE, Annexin V and 7AAD (AnnV-

135 FITC/7AAD kit, Beckman Coulter) and measured 5 hours after the treatment by flow cytometry. The

percentage of apoptotic T cells includes early (AnnV+/7ADD-) and late (7AAD+) apoptotic T cells. For

- 137 ex vivo T cell analysis, following mice harvest, spleens were collected and mechanically dissociated.
- 138 Spleen cells have been homogenized and T cells were stimulated for 6 hours with PMA
- 139 (50ng/ml)/lonomycin (1µg/ml) (Sigma) in presence of monensin (protein secretion inhibitor BD
- Golgistop, BD biosciences). T cells were stained extracellularly by CD3 AF488 (17A2, Biolegend), CD4-
- 141 BV421 (GK1.5, Biolegend) and CD25-PECy7 (PC61.5, eBiosciences), and after fixation and

- 142 permeabilization (Foxp3 Transcription Factor Staining Buffer, Invitrogen or Cytofix/cytoperm plus, BD
- Biosciences), intracellular cytokines and transcription factor (IL-17A-APC (TC11-18H10.1, Biolegend),
- 144 IFN- γ-PE (XMG1.2, BD Biosciences) and FoxP3-PE (FJK-16s, eBiosciences)) were stained.

145 Cytokine measurement

- 146 Sera of the mice were obtained after retro-orbital sampling and centrifugation (2000g, 10 min).
- 147 Cytokines (IL-2, -4, -6, -10, -17A, IFN-γ and TNF-α) were quantified by cytometric bead array (CBA
- 148 Mouse Th1/Th2/Th17 Cytokine Kit, BD Biosciences). Quantification of cytokines was performed by
- 149 FACS Canto II cytometer (BD).

150 Statistical analysis

151 Statistical analyses were performed by Prism6 software (GraphPad; La Jolla, CA, USA). Statistical 152 significance was determined by indicated adequate tests (Mann Whitney to compare two groups or 153 Kruskal-Wallis test with Dunns post-test for more than two groups). To compare the different curves, 154 a nonlinear regression was performed, and a best fit second order polynomial equation was determined for each curve ($y=B0 + B1^*x + B2^*x^2$). These curves were then compared using two 155 156 methods: the extra sum-of-squares F test, and Akaike's information criteria. In addition, curves fitted 157 according to the 4-parameter Baranyi model were compared using Bayesian analysis, as implemented in the function Bayescompare from the R[™] software package babar (Lydia Rickett, 158 159 Matthew Hartley, Richard Morris and Nick Pullen (2015). babar: Bayesian Bacterial Growth Curve 160 Analysis in R. R package version 1.0. <u>https://CRAN.R-project.org/package=babar</u>). The competing hypotheses were: curves are replicates, and curves' parameters are all different. 161

163 **Results**

164 ECP treatment reverses arthritis progression

165

Arthritis was induced by the injection of type II bovine collagen mixed with complete Freund adjuvant. Arthritis symptoms arose around 3 weeks after immunization. The severity of arthritis was monitored every day with the classical established scoring system, based on paw swelling and erythema evolution, as illustrated in figure 1A. Each paw individual score were summed to determine the clinical score of the mouse. As shown in figure 2B, arthritis raw score continuously grows, starting from a score of 3 at 25 days post immunization and reaching a score of 10 at 42 days post immunization.

To determine whether ECP has a beneficial effect in the treatment of arthritis, we have translated the human ECP process to adapt it to mice. In humans, ECP is an autologous procedure where blood cells are extracorporally exposed to PUVA (psoralen + UVA irradiation) before being infused back to the patient. Such procedure cannot be performed in an autologous manner in mice. Thus, we used spleen cells from an arthritic mouse (mean score = 7) as source of cells to be injected to a second arthritic mouse, following PUVA treatment.

179 Spleen cells from donor arthritic mice were harvested, incubated with 8-MOP and irradiated with UV-180 A (PUVA procedure), in the same conditions as for therapeutic ECP procedure in human. This procedure induced leucocyte apoptosis that was measured by annexin V/7-AAD labeling (figure 2A). 181 182 Five hours after PUVA application, 60% of treated leucocytes were apoptotic (AnnV⁺7-AA⁻ cells) or 183 underwent secondary necrosis (AnnV⁺7AAD⁺), p=0.04(figure 2B). PUVA-treated spleen cells obtained 184 from arthritic mice were immediately transferred to another group of arthritic mice. Each recipient 185 arthritic mouse received 3 ECP treatments every other day. As shown in figure 2C, the relative CIA 186 score of untreated mice increased over time, while ECP treated mice recovered from arthritis as

evidenced by a decreasing score. The analysis of 13 mice per group pooled from 2 independent
experiments demonstrated the ability of ECP to reverse arthritis (figure 2D). Of note, 2 days after the
third and last injection, ECP treated mice were no longer able to control arthritis development and
clinical scores began to progress again, suggesting the need to continue treating the mice to maintain
therapeutic efficacy, as observed in humans. Taken together these data prove that ECP treatment
can efficiently induce the regression of collagen induced arthritis in mice.

193 ECP triggers Th17 decrease in vivo

In order to gain further insights on ECP mechanism of action, we evaluated the impact of such
treatment on Th17 and Th1 cells (autoimmune cells described as major players in CIA pathogenesis),
as well as on Tregs (that help control disease progression), by flow cytometry analysis, as illustrated
in figure 3A. While ECP does not affect either Tregs or Th1 cell frequencies, it significantly decreases
the frequency of Th17 cells within the spleen (Figure 3B). No differences were observed in lymph
nodes (not shown).

In order to evaluate systemic inflammation, serum cytokines were also assessed. Similar levels of
 circulating IFNγ, IL-4, IL-6, IL-10, IL-2 and TNFα were observed between untreated and ECP treated
 mice. Interestingly, serum IL-17 was also decreased under ECP treatment, although the trend did not
 reach statistical significance (Fig 3C).

204 These data suggest that ECP treatment can modulate IL17 production by Th17 cells.

205 The presence of arthritogenic T cells within the treated sample is mandatory for ECP
206 efficacy in arthritis

In human, it is currently admitted that ECP can be efficient only in pathologies where circulating
pathogenic T lymphocytes are present, thus representing a fraction of the treated cells. To test
whether pathogenic T cells were also needed for ECP efficacy in CIA, we compared the therapeutic
effect of PUVA-treated splenocytes originating from arthritic (A-PUVA) or healthy mice (H-PUVA).

Interestingly, only PUVA-treated arthritic mouse derived-spleen cells were able to control arthritis
development, while spleen cells from healthy mouse failed to do so. Statistical analyses comparing
the two curves highlighted the significant difference of CIA progression in mice treated with A-PUVA
spleen cells compared to mice treated with H-PUVA spleen cells or not treated, and the absence of
therapeutic effect with H-PUVA spleen cells.

In line with the clinical efficacy observed in figure 4A, only A-PUVA group displayed a decreased Th17
frequency while H-PUVA treated mice displayed a Th17 rate comparable to untreated mice (Figure
4B). Taking together, these results demonstrate that ECP is able to trigger arthritis control and Th17
decrease only when arthritogenic T cells are contained within the treated sample.

220 Discussion

The newly developed treatments based on synthetic or biologic disease-modifying anti-rheumatic drugs (DMARD) interfering with the inflammatory process(3), allow 10 to 50% of patients with RA to reach 70% improvement in the American College of Rheumatology response criteria (ACR70); their sequential use allows a good control of RA, and many patients reach remission. However, despite these recent improvements, new therapeutic strategies are needed for primary or secondary nonresponder RA patients who do not reach low disease activity.

227 ECP can be used to treat T cell-mediated diseases, with great efficacy in various disease contexts, 228 either leading to tolerogenic or immunogenic immune responses(11). ECP has been recently shown 229 to induce anticancer immunity, especially when DC differentiation is initiated during the apheresis 230 process, while tumor cells are rendered apoptotic by the PUVA treatment(14). On the other hand, in allogeneic T cell-mediated diseases such as GvHD,organ transplantation and T cell-mediated 231 232 autoimmune diseases, ECP can have a tolerizing effect. Since RA is thought to involve Th17 233 autoreactive T cells, ECP could be a very promising therapeutic option for this debilitating disease. 234 Unlike conventional immunosuppressive regimen, ECP-induced tolerance seems specific rather than 235 systemic, and does not increase the risk of opportunistic infections or cancer development. This

236 considerable advantage renders ECP very attractive as a therapeutic option for the treatment of 237 autoimmune diseases, but only few clinical trials have assessed its efficacy in the treatment of 238 autoimmune diseases. In RA, the results of published small cases series are unfortunately often 239 controversial (9, 12), making it crucial to develop robust and easy to handle preclinical animal model 240 to rationally evaluate ECP efficacy in this therapeutic indication. Different animal models of arthritis 241 are currently available, each of them displaying immunologic similarities and differences with human 242 rheumatoid arthritis(15). We chose the model of collagen-induced arthritis in DBA1 mice to evaluate 243 ECP efficacy and mechanism of action because this model recapitulates most clinical, histologic and 244 immunologic features of the human disease. Indeed, in this robust model, methotrexate, anti-TNF 245 antibodies or Abatacept (CTLA-4lg), that are currently used to treat RA patients, have been shown to 246 ameliorate clinical CIA scores (16-18), rendering it particularly relevant to analyze new 247 immunomodulatory therapeutic regimen. The CIA model is probably quite stringent, since a pellet of 248 antigen and adjuvant continuously releases the immunogenic trigger, thereby making it difficult to 249 maintain control of the disease in the absence of treatment. In the present study, ECP could induce 250 similar decrease in CIA scores as more established therapies in the same model (16-18), even though 251 the procedure was initiated at a more advanced disease stage (CIA score=7); it is unclear whether the 252 transient symptom decrease/ stabilization is due to progressing disease despite continuous 253 immunoregulation, or if the immunological mechanisms responsible for clinical amelioration are 254 themselves transient in nature. It is to be noted that CIA can also be initiated in non-human primates, 255 which could prove useful to test novel therapeutic targets and for pre-clinical development. An exact 256 replica of the autologous ECP procedure performed in humans cannot be performed in mice, but can 257 be approximated in inbred mouse strains.

Here, our results show that ECP can induce the decrease of CIA disease scores as soon as 2 days after the first ECP-treated cell infusion, demonstrating ECP efficacy in arthritis. In humans treated with ECP in the context of GVHD, the mean time to response observed is around 1 month(19, 20), and this time is even longer in the context of cutaneous T cell lymphoma treatment(21). In mice however, in a

262 recent study, tumor growth was almost completely inhibited very soon after an ECP-like treatment, 263 suggesting a rapid efficacy of ECP in rodents(14). The differences in time to response observed in 264 these different studies and ours may rely on differences due to the pathologies and species 265 considered. It is noteworthy that IL-17 and Th17 play a role in early stages of CIA in mice, and that 266 anti-IL17A antibodies can help control arthritis in CIA mice(22). In human rheumatoid arthritis, Th17 267 cells frequency and interleukin-17 levels are found associated with arthritis both at the onset and the 268 progression of the disease(23), supporting the development of IL-17 blocking agents that are 269 currently being tested in RA(24). In a clinical trial that used ECP to treat systemic sclerosis patients, 270 the percentage of peripheral Th17 cells, initially high, decreased under treatment(25). Interestingly, 271 in our CIA model, we observed that ECP treatment triggers the decrease of IL-17 producing Th17 272 cells, as well as serum IL-17 cytokine, suggesting that pathogenic Th17 cells are efficiently targeted by 273 ECP immunomodulatory action. The observed effect is significant but moderate, perhaps because 274 this measure was performed 1 week after the last ECP treatment, at a time point where arthritis 275 symptoms were rising again. How Th17 frequency reduction was achieved is unclear, since Th17 276 levels are controlled by many factors, including among many others: differentiation-inducing and 277 expansion-inducing cytokines (e.g. IL-1b, IL-6, TGFb, IL-21, IL-23 etc), inhibitory cytokines (e.g. IL-10, 278 type I IFN), microbial stimulation, selective T cell death or migration. Possible but still 279 unsubstantiated explanations may involve differential sensitivity of Th17 cells to PUVA-induced cell 280 death, a significant reduction of their proliferation, or modulation of Th17-expanding cytokines. In a 281 mouse model of psoriasis (K5.hTGF-b1 transgenic mice) (26), 4-week PUVA treatment suppressed the 282 IL-23/ Th17 pathway while augmenting the frequencies of Th2 and IL-10 secreting Foxp3+ Treg, in a 283 CTLA-4 dependent manner, and the levels of many inflammatory cytokines were reduced. Whether 284 these features also apply to the present model is not clear, as no modulation of Treg and Th1 cell 285 populations or IL-10 was observed in the periphery in the present study, after a shorter course of 286 treatment.

287 To date, ECP mechanism of action are poorly understood. In CTCL, it has been hypothesized that ECP 288 triggers anti-tumor specific responses directed toward the tumor T cell clone(14, 27, 28), the ECP 289 procedure being able to trigger monocyte differentiation into DC(14). In our hands however, in a 290 platelet-free process, ECP-treated monocytes do not to differentiate into dendritic cells, and even if 291 their apoptosis is slower than T cell apoptosis, all monocytes end up being apoptotic after a few 292 days(29). In a parallel study, we hypothesized that ECP could induce immunogenic cell death(30). 293 Damage-Associated Molecular Patterns expression by allogeneic activated PUVA-treated T cells was 294 evaluated, and upregulated Calreticulin expression and HMGB-1 secretion were observed(31).

295 On the other hand, in the context of GVHD, it has been hypothesized that ECP treated DCs, or liver-296 and spleen- resident DC, massively exposed to the reinfused ECP-treated apoptotic cells, acquire 297 tolerogenic properties and will in turn, promote immune tolerance through Treg generation, leading 298 to disease stabilization or regression. The monitoring of regulatory T cells during ECP treatment in 299 human brought controversial results: an increase of Treg has been observed in the context of GHVD 300 at some time points during ECP treatment (32-35), but in CTCL (34) or lung transplant rejection(36) 301 lower levels of circulating Tregs were observed. Usually, Treg percentages in human diseases are 302 highly heterogeneous (37), and do not correlate with clinical responses (38), suggesting that ECP 303 mechanism of action does not directly affect regulatory T cells frequency. In line with these 304 observations, in our preclinical CIA model, the decrease of arthritogenic Th17 cells induced by ECP 305 was not associated with Treg frequency modifications.

In the context of human CTCL treatment, it is currently admitted that ECP efficacy relies on the
presence of circulating tumor cells. In "consensus statement update from the UK Photopheresis
Society" published in 2017, ECP is recommended in CTCL patients with proven peripheral blood
involvement demonstrating a peripheral blood T-cell clone and / or circulating Sezary cells
representing 10% to 20% of peripheral circulating lymphocytes(39). In all other ECP indications, no
evaluation of correlations between circulating pathogenic T cell presence and clinical efficacy has

been performed(40), such demonstration being particularly challenging. Our data clearly
demonstrate that the therapeutic effect in CIA is obtained only when ECP-treated leukocytes
originate from arthritic mice, suggesting that the presence of pathogenic T cells within PUVA treated
leukocyte samples is mandatory to obtain therapeutic efficacy.

316 Importantly, these data indicate that the treatment of a fraction of pathogenic T cells provokes a 317 systemic control of untreated pathogenic cells, as illustrated by the decrease of Th17 cells and serum 318 IL-17. This observation is reminiscent of T cell vaccination (TCV) experiments where autoreactive T 319 cells were ex-vivo amplified and gamma-irradiated in order to trigger their apoptosis, before being 320 reinfused back to the patients. Such TCV strategy aims at inducing anti-clonotypic T cell responses, 321 directed toward pathogenic T cell clones, and has shown promising clinical responses (30). For 322 instance, a recent Russian clinical trial evaluating the efficacy of TCV with irradiated autologous 323 collagen reactive T-cells in RA has shown a clinical improvement in 87% of treated patients (24). 324 Interestingly, we have shown that activated T cells undergo faster apoptosis than resting T cells after 325 ECP (41). Since autoreactive T cells are in an activated state, one can speculate that they will very 326 rapidly undergo apoptosis following ECP, emitting immunogenic-cell death-associated signals 327 allowing dendritic cell maturation (14, 42), and will be preferentially captured to serve as a source of 328 Ag, for priming a specific immune response by inducing efficient anti-clonotypic responses against 329 alloreactive T cells and control systemic pathogenic T cells as hypothesized in 2015 by D. 330 Hannani(30).

331 **Conclusion**:

We demonstrate here that ECP is efficient in a preclinical mouse model of RA, and should be considered as a promising therapeutic option in the course of human rheumatoid arthritis and more broadly of any T cell mediated autoimmune disease. We provide a pertinent pre-clinical in vivo model, recapitulating both human RA- and ECP-related clinical observations, paving the way for dissecting ECP Mechanism of action in autoimmune disorders. We believe that the understanding of ECP mechanisms of action will help with its rational optimization and broaden its clinical applications.

338 Abreviations

- 339 ECP : extracorporeal photopheresis
- 340 PUVA: Psoralen+ultraviolet A in vitro treatment
- 341 CIA : collagen-induced arthritis
- 342 8-MOP: 8-Methoxy-psoralen
- 343 CTCL : cutaneous T cell Lymphoma
- 344 GVHD: Graft versus host disease
- 345 RA: rheumatoid arthritis
- 346

347 **Declarations**:

348 Ethics approval and consent to participate

- 349 Mice were housed at the University of Grenoble animal core facility PHTA (Plateforme de Haute
- 350 Technologie Animale, agreement #C 38516 10 006), or in Besançon in UMR1098 animal facility
- 351 (agreement #C25-056-7; Besançon, France). All experiments were approved by the local ethic
- 352 committee as well as French ministry of Research and Innovation, under the number:
- 353 2015061815254659 and #02831 project number authorization.

354 *Consent for publication:*

355 Not applicable

356 Availability of data and material

357 All data generated or analysed during this study are included in this published article

358 *Competing interests*

359 The authors declare that they have no competing interests

360 Funding

- 361 This work was possible thanks to the financial support of EFS-APR 2013 and EFS Rhone-Alpes. Céline
- 362 Coppard was recipient of a CIFRE grant from French ANRT. The funders had no role in study design,
- 363 data collection and analysis, decision to publish, or preparation of the manuscript.

364 Authors' contributions

- 365 SP, FG, JP and LC designed the study, CC and FB performed the experiments, and SP, CC, LC, FG and
- 366 DH analyzed the data. LC wrote the article. OM performed statistical analyses and manuscript
- 367 edition.

368 Acknowledgements

- 369 We are grateful to F. Blanquet and Dominique Paris, and to all the technical staff of the Grenoble
- 370 Plateforme de Haute Technologie Animale for expert animal care, and of the Besançon animal
- 371 facility. We thank all the staff in UMR 1098, and in EFS R&D laboratory, and especially Emmanuelle
- 372 Grasso and Jean-Paul Molens for their help. We thank Philippe Saas for his support.
- 373

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502 Figure legend

- 503 Figure 1: Typical clinical pattern of collagen-induced arthritis in male DBA/1 mice.
- 504 Arthritis symptoms started 3 weeks after immunization. A) The severity of arthritis was monitored by
- using the classical scoring system, based on paw swelling and erythema evolution. The value on each
- 506 picture represents the score determined for the displayed paw. B) Individual paw scores were
- summed to determine the clinical score of the mouse. Arthritis was mild at first, and became
- 508 increasingly severe.

509 Figure 2: ECP treatment efficiently reverses arthritis progression

510 Spleen cells from arthritic mice were submitted to psoralen+UVA irradiation, resulting in their rapid 511 apoptosis. A) Representative flow cytometry profile of cells 5 hours after ivPUVA treatment of the 512 cells. Live cells are defined as AnnV negative 7-AAD negative cells. B) Mean and standard deviation of 513 5 samples from 5 different arthritic mice spleen cells. Statistical differences between treated and untreated mice were determined by Mann and Whitney test ("*" means p<0.05). C) Mice with 514 515 established arthritis were injected (green dotted lines) or not (red plain lines) 3 times (arrows) with 516 ivPUVA spleen cells taken from arthritic mice. Each curve represents the relative clinical score of one 517 mouse. D) Mean and standard error of the mean (SEM) of relative clinical score in 13 mice per group 518 from two different experiments. The two curves represent the calculated nonlinear regression, 519 second order polynomial equations and are statistically different (comparison of polynomial 520 coefficients) for untreated (black squares) and treated (white circles) mice, reflecting the different overall clinical course in treated versus untreated mice. Bayesian analysis using a Baranyi model also 521 522 indicated that the two curves were different (Bayes factor>1000).

523 Figure 3: ECP treatment modifies Th17 frequency

524 Immune cell profile was analyzed in mice treated or not by ECP twelve days after beginning of the treatment. A) Representative flow cytometry profile of regulatory T cells (FoxP3+/CD25+) and Th1 525 (INFg+)and Th17 (IL17+) cells labeling, on gated CD3+CD4+ T lymphocytes. B) Mean percentages (+/-526 527 standard deviation) of Treg, Th1, and Th17 in the blood of treated and non-treated mice (10 mice per 528 groups in two independent experiments). C) Cytokine concentrations in sera were measured by 529 cytometric bead array. Bars represent the mean and standard deviation for 10 mice per groups in 530 two independent experiments. Statistical differences between treated and untreated mice were 531 determined by Mann and Whitney test.

532 Figure 4: ECP efficacy relies on the presence of arthritogenic T cells in the treated sample

533 Mice with established arthritis were left untreated or were injected 3 times with ivPUVA spleen cells 534 taken from arthritic or healthy mice. A) Mean and SEM of relative clinical score in 15 mice per group 535 from 3 independent experiments. The three curves represent the calculated nonlinear regression, 536 second order polynomial equations and are statistically different for mice treated with ivPUVA spleen 537 cells from arthritic mice (white circles) compared to untreated mice (black squares) or treated with 538 ivPUVA cells from healthy mice (stars). Bayesian analysis using a Baranyi model also indicated that 539 the A-PUVA curve was different from the two others (Bayes factor>1000). B) Th17 cell percentages 540 were determined, and bars represent the mean for 5 mice per groups in one experiment. Kruskal-541 Wallis test showed significant differences between the 3 groups (p=0.016) and Dunns' post-test was 542 used to compare the 3 groups (* means p<0.05).

543 Supplementary Figure 1: ECP treatment efficiently reverses arthritis progression-raw 544 data arthritic score

A) Mean and standard error of the mean (SEM) of raw clinical score in 13 mice per group from
two different experiments corresponding to fig 2D. The two curves represent the calculated
nonlinear regression, second order polynomial equations and are statistically different for
untreated (black squares) and treated (white circles) mice, reflecting the different overall
clinical course in treated versus untreated mice.

B) Mean and SEM of raw arthritic clinical score in 15 mice per group from 3 independent
 experiments corresponding to fig 4A. The three curves represent the calculated nonlinear
 regression, second order polynomial equations and are statistically different for mice treated

- 553 with ivPUVA spleen cells from arthritic mice (white circles) compared to untreated mice
- 554 (black squares) or treated with ivPUVA cells from healthy mice (stars).