



Non-Reciprocal Scattering in Shear Flow

Charlotte Saverna, Yves Aurégan, Vincent Pagneux

► To cite this version:

Charlotte Saverna, Yves Aurégan, Vincent Pagneux. Non-Reciprocal Scattering in Shear Flow. Journal of the Acoustical Society of America, 2019, 146 (2), pp.1051-1060. 10.1121/1.5120523 . hal-02336972

HAL Id: hal-02336972

<https://hal.science/hal-02336972>

Submitted on 29 Oct 2019

HAL is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers.

L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.

Non-Reciprocal Scattering in Shear Flow

Charlotte Saverna,^{1, a)} Yves Aurégan,¹ and Vincent Pagneux¹

Laboratoire d'Acoustique de l'Université du Mans, Le Mans, 72000, France

This work presents a study of scattering phenomena in shear flows and its application to impedance walls. These flows are described by a dimensionless shear layer thickness and a mean Mach number. Both transmission through a given shear layer and reflection on an acoustic treatment are studied. We show that the dimensionless thickness of the shear layer may be an interesting tool to reach perfect absorption or large lateral displacement of a Gaussian beam.

©2019 Acoustical Society of America. [<http://dx.doi.org/DOI number>]

[XYZ]

Pages: 1–10

I. INTRODUCTION

Adding flow to an acoustic system is one of the simplest ways to make it non-reciprocal. Recently, it has been used to obtain sound isolation¹⁶ or asymmetric propagation³⁹, in the broad context of research on unidirectional transmission devices for acoustic waves^{17,32}. It appears that sound-flow interactions have been studied for a very long time⁴², early works being motivated by the study of the deflection of sound in the wind¹². For instance, in the *Theory of Sound*, Rayleigh⁴⁵ uses geometric acoustics to show in which direction the sound is deflected according to the direction of the wind. Subsequently, varieties of studies were then led on the effect of shear flows on acoustic propagation, from a simple flow velocity discontinuity^{33,34,47}, to piece-wise constant profiles^{1,52} or continuous parallel shear flows^{23,26,28}. Many other papers deal with the propagation of sound in parallel shear flow in the presence of walls, either for ducts⁴⁴ or for reflection problems^{5,8,21,25,31,36,40,41}. For impedance walls, it can be noted that analytic expressions exist for very small boundary layers^{4,18}, which tend to the one given by Ingard²⁵ and Myers³⁷ for vanishing boundary layers.

Though rarely mentioned as such, non-reciprocity is obviously an existing feature in most of the previously cited works. In this paper, we will inspect the effect of basic parallel shear flows on the non-reciprocity properties. In the model we use, both the mean Mach number and the height of the shear layer can be varied independently. Though not so realistic, this will allow us to highlight some key aspects of the influence of shear. We consider two specific scattering problems: i) reflection and transmission, when the fluid is moving at two different velocities above and under a layer of shear flow with a linear profile, ii) reflection, when the fluid is flowing above a lined wall with a shear layer. The first problem we consider is similar to the ones studied in jet-like configurations by Amiet¹ and Jones²⁶, except we consider it as a scattering problem. This enables us to get a uni-

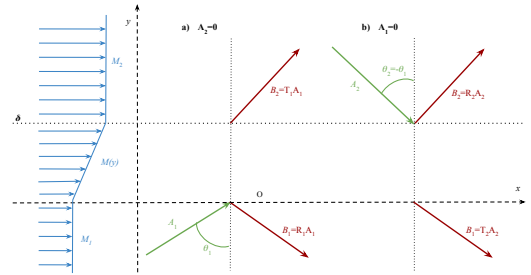


FIG. 1. Scheme of the problem of scattering by a layer of shear flow a) in the case when the incident wave comes from below the shear layer, b) when it comes from above the shear layer.

tary relation on the full scattering matrix. In the second problem, we highlight other non-reciprocal effects such as unilateral perfect absorption or asymmetric lateral displacement of Gaussian beams. The latter phenomenon is known as Goos-Hänchen shift^{2,22} in optics^{6,10} or Schoch effect in ultrasounds^{13,24,50}. In the presence of shear flow, we show it is possible to reach large lateral displacement due to non-reciprocity.

II. GENERAL SCATTERING PROPERTIES OF A SHEAR FLOW

We consider the problem of the scattering of a plane wave by a layer of shear flow between two fluids moving at constant Mach number M_1 and M_2 , as described in Fig. 1. We study this problem using a scattering matrix S linking the incident amplitudes $A_{1,2}$ to the scattered amplitudes $B_{1,2}$.

A. Energy conservation

The propagation equation for a wave in a parallel flow is given by⁴⁴:

$$D_t[(\partial_x^2 + \partial_y^2)p - \frac{1}{c_0^2}D_t^2 p] - 2\partial_y U(y)\partial_{x,y}^2 p = 0, \quad (1)$$

^{a)} charlotte.saverna@univ-lemans.fr

where D_t is a convective derivative term, $D_t = \partial_t + U\partial_x$, and $U(y)$ is the mean velocity of the flow, such that $M(y) = U(y)/c_0$, with c_0 the constant sound speed. To study the energy conservation, non-trivial in shear flow, we consider the pressure p to be a plane harmonic wave, such that $p(x, y, t) = \Re[P(y)e^{-i(\omega t - \alpha x)}]$, where $\omega = 2\pi f$ is the circular frequency, $\alpha = K \sin(\theta_i)/(1 + M_i \sin(\theta_i))$ is the horizontal component of the wave wave number, $K = \omega/c_0$ is the natural wave number, $M_i = M_{1,2}$ the mean Mach in the medium of incidence and $\theta_i = \theta_{1,2}$, the angle of incidence. Then, we obtain the Pridmore-Brown⁴⁴ equation for P :

$$P'' + \frac{2\alpha M'(y)}{K - M(y)\alpha} P' + [(K - M(y)\alpha)^2 - \alpha^2]P = 0, \quad (2)$$

where the prime notation stands for a derivation with respect to y . The time-averaged energy equation, as given

$$\langle \mathcal{D} \rangle = \frac{MM'}{2(K - M\alpha)^3} \Im \left[(P'' + \frac{2\alpha M'}{K - M\alpha} P' + [(K - M\alpha)^2 - \alpha^2]P) P'^* \right]. \quad (6)$$

Using Eq. 2, $\langle \mathcal{D} \rangle = 0$ and Eq. 3 can now be evaluated to:

$$\left[\frac{\Im(PP'^*)}{(K - M(y)\alpha)^2} \right]_{y_1}^{y_2} = 0, \quad (7)$$

meaning that the energy flux of plane waves is conserved across the shear layer.

B. Scattering matrix

In the domains of constant velocity, the pressure is given by:

$$\begin{cases} P(y) = A_1 e^{i\beta_1 y} + B_1 e^{-i\beta_1 y} & \text{for } y < 0, \\ P(y) = A_2 e^{-i\beta_2 y} + B_2 e^{i\beta_2 y} & \text{for } y > \delta, \end{cases} \quad (8)$$

$$\frac{\beta_1}{(K - M_1\alpha)^2} |A_1|^2 + \frac{\beta_2}{(K - M_2\alpha)^2} |A_2|^2 = \frac{\beta_1}{(K - M_1\alpha)^2} |B_1|^2 + \frac{\beta_2}{(K - M_2\alpha)^2} |B_2|^2. \quad (9)$$

We define a scattering matrix S by:

$$\begin{bmatrix} B_1 \\ B_2 \end{bmatrix} = S \begin{bmatrix} A_1 \\ A_2 \end{bmatrix} = \begin{bmatrix} R_1 & T_2 \\ T_1 & R_2 \end{bmatrix} \begin{bmatrix} A_1 \\ A_2 \end{bmatrix} \quad (10)$$

where R_1 (respectively R_2) is the reflection coefficient for a wave coming from medium 1 (resp. 2); T_1 (respectively T_2) is the transmission coefficient for a wave coming from medium 1 (resp. 2). With the correct normalization on the amplitudes, one can re-write equation 9 as $\tilde{S}^\dagger \tilde{S} = I$, where the \dagger exponent stands for Hermitian transposition, \tilde{S} is the normalized scattering matrix and I is the 4-by-

by Myers³⁸ is:

$$\nabla \cdot \langle \mathbf{I} \rangle = - \langle \mathcal{D} \rangle, \quad (3)$$

with \mathbf{I} the energy flux given by $\mathbf{I} = (\rho_0 \mathbf{v} + \rho \mathbf{v}_0)(c_0^2 \rho / \rho_0 + \mathbf{v}_0 \cdot \mathbf{v})$, and \mathcal{D} the dissipation, given by $\mathcal{D} = \rho_0 \mathbf{v}_0 \cdot ((\nabla \times \mathbf{v}) \times \mathbf{v}) - \rho \mathbf{v} \cdot ((\nabla \times \mathbf{v}_0) \times \mathbf{v}_0)$. In these expressions, ρ_0 is the mean density, ρ is the density variation which in adiabatic conditions is equal to p/c_0^2 , \mathbf{v}_0 is the mean velocity field and \mathbf{v} is the acoustic particle velocity which we can evaluate using linearized Euler equations with flow as:

$$\mathbf{v} = \begin{cases} V_x(y) e^{-i(\omega t - \alpha x)}, \\ V_y(y) e^{-i(\omega t - \alpha x)}, \end{cases} \quad (4)$$

with:

$$\begin{cases} \rho_0 c_0 V_x(y) = [\frac{\alpha P}{K - M\alpha} - \frac{M' P'}{(K - M\alpha)^2}], \\ \rho_0 c_0 V_y(y) = \frac{-i P'}{K - M\alpha}. \end{cases} \quad (5)$$

Substituting these values in the definition of \mathcal{D} , we find:

where $\beta_j^2 = (K - M_j \alpha)^2 - \alpha^2$ ($j = 1, 2$). Using Eq. 7 and the formalism shown in Fig. 1 we obtain:

4 identity matrix. This last relation is exactly the same relation as in the no-flow case. The scattering coefficients then satisfy the four relations:

$$\begin{cases} |R_1|^2 + \frac{\beta_2}{\beta_1} (\frac{K - M_1 \alpha}{K - M_2 \alpha})^2 |T_1|^2 = 1, \\ |R_2|^2 + \frac{\beta_1}{\beta_2} (\frac{K - M_2 \alpha}{K - M_1 \alpha})^2 |T_2|^2 = 1, \\ |R_1| = |R_2|, \\ |T_1| = \frac{\beta_1}{\beta_2} (\frac{K - M_2 \alpha}{K - M_1 \alpha})^2 |T_2|. \end{cases} \quad (11)$$

Equations 11 show that the relation between the scattering coefficients is independent of the shear layer thickness. However, their values do depend on it (see appendix

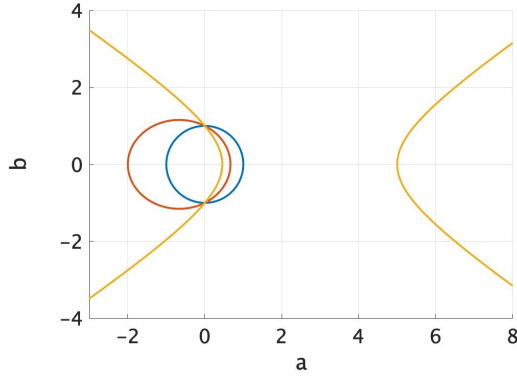


FIG. 2. (color online) Dispersion relation curve in the $(a; b)$ plane (a and b being the dimensionless horizontal and vertical wave numbers), when $M_0 = 0$ (blue circle) ; $M_0 = 0.5$ (red ellipse) and $M_0 = 1.2$ (yellow hyperbola).

A). In the case when one of the incident waves has zero amplitude, Eq. 11 verifies the relation found by Jones²⁶.

C. Dispersion relation and anomalous refraction properties

A useful representation of scattering between two media of different characteristics is to represent dispersion relations in both media in the (a, b) plane (a and b being respectively the horizontal and vertical component of the wave number, normalized by K). In our case, we have the following dispersion relation:

$$a_j^2 + b_j^2 = (1 - M_j a_j)^2, \quad (12)$$

with j the index of the considered medium. In this formulation, we recognize a conic equation, with an eccentricity directly given by $|M_j|$. Therefore, we will have a circle of radius 1 in the (a, b) plane if $M_j = 0$; an ellipse if $|M_j| < 1$; a parabola if $|M_j| = 1$; an hyperbola if $|M_j| > 1$. This property is illustrated in Fig. 2. Plotting such a relation gives access to both the group and the phase velocity directions for the refracted wave (see Fig. 3). As we study Gaussian beams, the direction of the group velocity, given by the direction of the normal to the ellipse at the point $(a(\theta), b(\theta))$ will have a particular interest as it will give the apparent direction of the beam. The beams are built by summing weighed plane waves as follows:

$$p(x, y) = \int_{-\infty}^{+\infty} e^{-(\alpha - \alpha_0)^2 / w^2} p(\alpha, x, y) d\alpha, \quad (13)$$

with α_0 the horizontal wave number in the (imposed) main direction of the beam, and w the waist of the beam. In Fig. 3, these beams are represented for the propagation from a medium 1 at rest to a medium 2 where $M_2 = 0.4$. By looking at the ellipses in the (a, b) plane, and since α is constant between the two media, we can see that for some incidence, θ_1 and θ_2 can be of opposite sign. This result can be interpreted as an equivalent

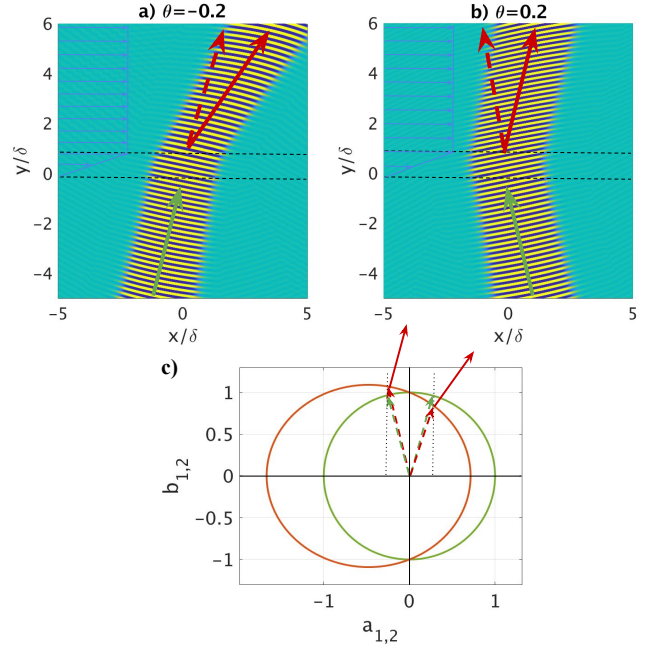


FIG. 3. (color online) Beams coming from medium 1 with an incident angle a) $\theta = -0.2$ or b) $\theta = 0.2$. c) represents the dispersion relations in the plane (a_i, b_i) , with Mach numbers $M_1 = 0$ and $M_2 = 0.4$. Conservation of a at the interfaces allows us to find the direction of the phase velocity by finding the point of the ellipse with abscissa a . The normal to the ellipse in this point then gives the direction of the group velocity (i.e. the visible direction of the beam). Green items are linked to medium 1 and red items to medium 2. Solid arrows stand for group velocity direction and dashed arrows for phase velocity. In medium 1, only one arrow is represented as phase and group velocity are co-linear in a medium at rest.

negative refractive index, analog to what is observed for electromagnetic waves in left handed materials⁴⁹ and in hyperbolic meta-materials^{9,19,27}. The shear layer thickness will influence the value of the moduli of R and T but not the direction of the transmitted beam which only depends on the Mach numbers.

III. REFLECTION ON AN IMPEDANCE WALL

We now consider an acoustically treated wall with uniform admittance Y_0 placed in $y = 0$ with a shear flow (see Fig. 4). Y_0 is such that its imaginary part stands for the reactance of the wall, and its real part stands for the resistance of the wall ($\Re(Y_0) < 0$ means that there are losses at the wall, $\Re(Y_0) > 0$ means that there is gain at the wall).

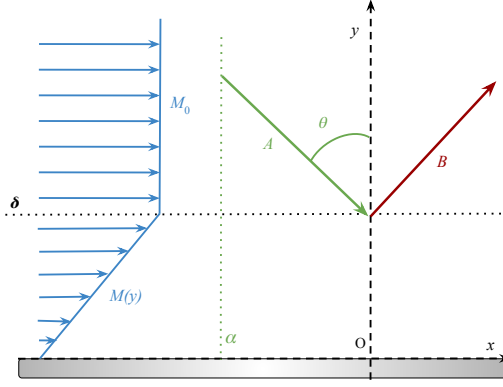


FIG. 4. Geometry of the problem for the reflection of a plane on an admittance wall.

A. Energy conservation

We know that Eq. 7 is still valid, and that the pressure field for $y > \delta$ is such that:

$$P(y) = Ae^{-i\beta y} + Be^{+i\beta y}, \quad (14)$$

where $\beta^2 = (K - M_0\alpha)^2 - \alpha^2$. Now, due to the impedance wall, there is a mixed boundary condition involving the admittance Y_0 at $y = 0$:

$$P'(0) = iKY_0P(0). \quad (15)$$

The scattering problem that we consider is only with reflection and the energy flux conservation 7 applied between $y_1 = 0$ and $y_2 = \delta$ leads to:

$$|R|^2 = 1 + \frac{(\alpha^2 + \beta^2)}{KY_0} |P(0)|^2 \Re(Y). \quad (16)$$

As long as the flow is subsonic, one can check that β is positive. Therefore, the sign of $|R|^2 - 1$ will only depend on the sign of $\Re(Y)$, *whatever the mean flow velocity or the shear layer thickness*. In particular, as noted by Campos⁷, if the wall is purely reactive ($\Re(Y) = 0$) then the reflection will always be perfect i.e. $|R| = 1$.

B. Non-reciprocal perfect absorption

The computation of R will be achieved numerically using the method detailed in appendix B. The addition of flow leads to non-reciprocity testified in the value of the absorption coefficient, defined as $A = 1 - |R|^2$. Indeed, an incoming plane wave will not be absorbed to the same extent at the wall depending on whether it comes in the direction of the flow or against the flow. This non-reciprocal property is highlighted in Fig. 5, for a liner of admittance $Y = 0.1i - 0.1$. This figure shows clearly that varying $K\delta$ allows to reach high absorption for one incidence. This is a typical behaviour as long as the shear layer thickness is large enough. One can also remark on this figure that the higher the Mach number and the

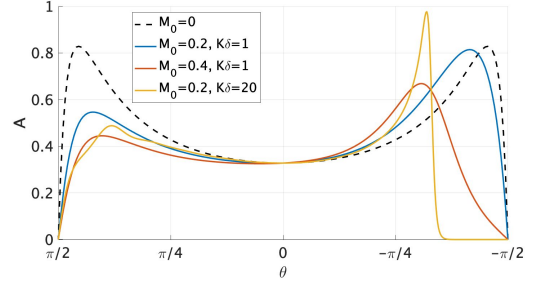


FIG. 5. (color online) Value of the absorption coefficient A as a function of θ for several flows, described by their mean Mach number M_0 and the height of their shear layer δ for a liner of admittance $Y = 0.1i - 0.1$.

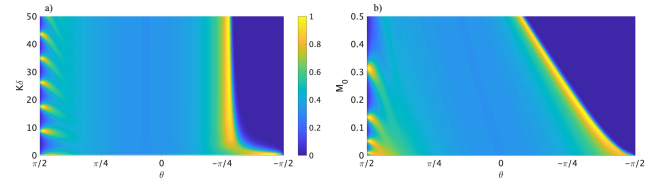


FIG. 6. (color online) Value of the absorption coefficient A for a liner of admittance $Y = 0.1i - 0.1$ as a function of a) θ and $K\delta$ for $M_0 = 0.1$, b) θ and M_0 for $K\delta = 30$.

height of the shear layer, the more non-reciprocal the system becomes. For a clearer view of this asymmetry, we plot in Fig. 6 the value of the absorption coefficient as a function of both θ and $K\delta$ and θ and M_0 . A clear difference can be noted whether incident waves come from the left (in the direction of the flow) or from the right (against the flow). In particular, what is striking on Fig. 6 is that, above a certain thickness of shear layer, waves coming against the flow with a wide angle are fully reflected. This could be explained by a strong refraction of the rays, such that the incident wave does not see the effects of the liner before being pushed back towards the "sky". This corresponds to the "turning points", evoked by Rienstra⁴⁸. On the other side, waves coming with the flow ($\theta > 0$) with an almost grazing incidence can either be perfectly reflected or perfectly absorbed depending on the shear layer thickness. The quasi-periodic phenomenon which appears is related to resonance phenomena inside the shear layer. For the previous Mach number, for small incidence angles, the absorption does not vary a lot. Therefore, we look at the variation of A with respect to both θ and M_0 to see if the peak absorption can be displaced towards normal incidence for higher Mach numbers (see Fig. 6). The position of the near-to-perfect absorption for negative θ then depends linearly on M_0 . Using numerical simulations, we want to design a system which would enhance the absorption of a given liner by adding a specific flow above it, so that it reaches perfect absorption, or zero reflection. The admittance of the liner is fixed at $Y_0 = -0.1 + 0.1i$, and the incident

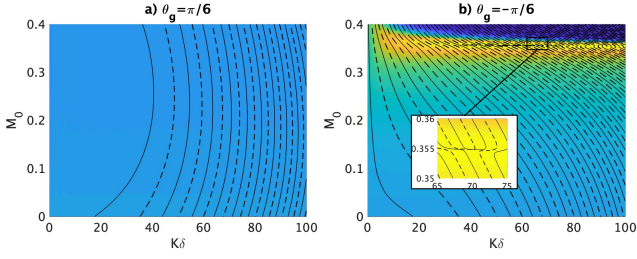


FIG. 7. (color online) Value of A as a function of M_0 and $K\delta$ for waves coming either in the direction of the flow (case a) or counter-flow (case b). Black lines show the values of M_0 and $K\delta$ for which $\Re(R) = 0$ (dashed lines) or $\Im(R) = 0$ (solid lines). It appears that we can find one crossing point, corresponding to $|R| = 0$ (i.e. $A = 1$) for waves coming counter-flow only, for $K\delta = 69.68$ and $M_0 = 0.355$.

angle such that $|\theta| = \frac{\pi}{6}$. Without flow, in such a situation $A \approx 0.36$ (see Fig. 8). In order to get a physically consistent simulation, it is not the direction of the phase velocity which is held constant, but the direction of the group velocity θ_g . A relation between θ and θ_g can be found using the dispersion relation (as shown in Fig. 2):

$$\theta_g = \arctan[\tan(\theta) + \frac{M_0}{\cos(\theta)}], \quad (17)$$

or $\theta = \arcsin[\sin(\theta_g) \cos(\theta_g) \sqrt{\frac{1}{\cos^2(\theta_g)} - M_0^2} - M_0 \cos^2(\theta_g)]$. We now look at the variations of $|R|$ as both M_0 and $K\delta$ vary for $\theta_g = \pi/6$. In Fig. 7, an optimum is found, leading to no reflection when $\theta_g = -\pi/6$ (counter-flow propagation). We precisely read it as being linked to the values $M_0 = 0.355$ and $K\delta = 69.68$. Non-reciprocity can also be pointed out here, as there is no way to reach perfect absorption if the opposite incidence is considered. We can check that these values are also associated with an optimal absorption in terms of incidence by plotting A as a function of θ without flow or with a flow corresponding to the optimum previously identified. Figure 8 gives us this confirmation: the absorption (the absorption coefficient is 0.36 without flow) can be enhanced all the way to perfect absorption with the right flow parameters.

Let us now illustrate the non-reciprocal perfect absorption with Gaussian beams following either upstream or downstream propagation. The results are plotted in Fig. 9. The reflection becomes highly non-reciprocal when flow is added: perfect absorption here is only achieved for waves coming counter-flow. Waves traveling in the direction of the flow actually encounter more reflection than they did in a medium at rest on the same liner (as pointed in Fig. 8). This behaviour is in agreement with the refraction of acoustic rays in shear flow: rays are pushed towards the regions of low local wave speed, consequently they are trapped near the wall when

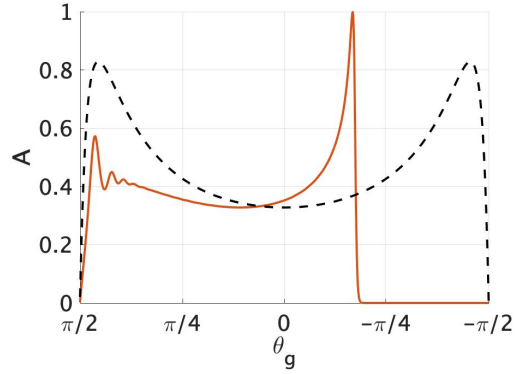


FIG. 8. Value of A as a function of θ_g with and without flow ($M_0 = 0.355$), for $Y = -0.1 + 0.1i$ and $K\delta = 69.68$.

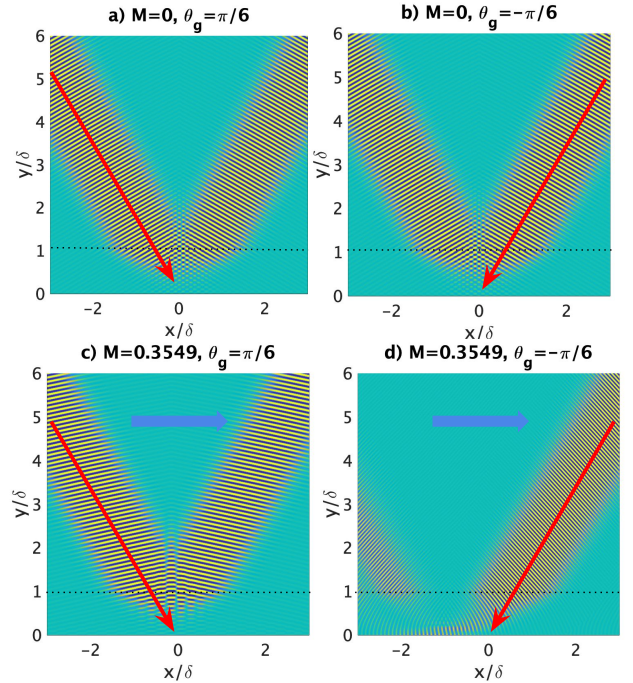


FIG. 9. (color online) Pressure field for an incident Gaussian beam coming with a constant incident angle $\theta_g = \frac{\pi}{6}$ between its group velocity and the normal to the wall, either from the left (cases a and c) or from the right (cases b and d) on a liner of admittance $Y_0 = -0.1 + 0.1i$ in a medium at rest (cases a and b) or in which we impose a flow with Mach number $M_0 = 0.355$ and a boundary layer such that $K\delta = 69.68$. Red arrows show the direction of the group velocity, blue arrows show the direction of the flow.

propagating upstream and pushed towards the sky when propagating downstream.

C. Non-reciprocal Goos-Hänchen shift

When the reflection implies an incidence-dependent phase shift, Gaussian beams are shifted along the wall.

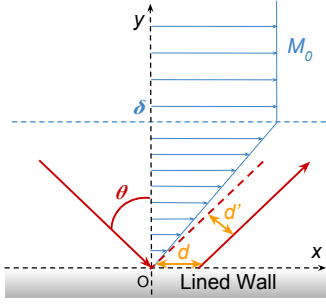


FIG. 10. Scheme of the lateral shift phenomenon for an incident beam. d is the shift along the wall, d' is the shift perpendicularly to the direction of the beam.

This phenomenon is called Goos-Hänchen shift²², and can be quantified using Artmann's formula²:

$$d = -\frac{\partial \phi_R}{\partial \alpha} = -\frac{(1 + M \sin(\theta))^2}{K \cos(\theta)} \frac{\partial \phi_R}{\partial \theta}, \quad (18)$$

where ϕ_R is the angle of the reflection coefficient, and d is the lateral shift, as displayed in Fig. 10. We also define the displacement of the beam perpendicularly to its direction of propagation: $d' = \cos(\theta)d$.

$$K d' = -Y_2 \sin(\theta) \frac{\cos^2(\theta) + |Y|^2}{[(\cos(\theta) + Y_1)^2 + Y_2^2][(\cos(\theta) - Y_1)^2 + Y_2^2]} \quad (23)$$

where, for $Y_1 = \pm \cos(\theta)$ and Y_2 is close to zero, the denominator can go to zero leading to large $K d'$. This result is also the one leading to perfect absorption. Therefore, large lateral shift is associated with large absorption coefficient. This phenomenon is easily understandable if one is to look at the phase portrait of the reflection coefficient when it varies with θ (see Fig. 11): phase variation of R is enhanced near zero reflection.

2. With Flow

When flow is added, the Goos-Hänchen displacement has to be computed numerically. It is then interesting to study the impact of both the flow and the wall admittance on the beam displacement. Results shown in Fig. 12 illustrate that the effect of the flow is predominant. Indeed, the two maximal values of displacement visible without flow for $\theta \approx \pm \pi/6$ are still visible when the flow is added but are negligible compared to the effect of the flow with a much larger displacement at $\theta \approx -\pi/4$. Remark that this displacement is comparable to the large displacement of beams observed in the case of reflection on multi-layered materials in optics^{11,15,50}.

1. Without flow

We know that, without flow, the reflection coefficient is given by:

$$R = \frac{\cos(\theta) + Y_0}{\cos(\theta) - Y_0}. \quad (19)$$

In the following, $Y_0 = Y_1 + iY_2$. Then its angle ϕ_R is given by:

$$\phi_R = \arctan\left(\frac{Y_2}{\cos(\theta) + Y_1}\right) + \arctan\left(\frac{Y_2}{\cos(\theta) - Y_1}\right). \quad (20)$$

In the case of a lossless wall, $Y_1 = 0$, Eq. 20 reduces to

$$\phi_R = 2 \arctan\left(\frac{Y_2}{\cos(\theta)}\right). \quad (21)$$

We can then compute the value of d' , and we get:

$$K d' = -\frac{2Y_2 \sin(\theta)}{\cos^2(\theta) + Y_2^2}. \quad (22)$$

By differentiating Eq. 22 with respect to Y_2 , we find a maximum displacement of the beam $K d'_{max} = \pm \tan(\theta)$ for $Y_2 = \pm \cos(\theta)$. Thus, it appears that, for lossless walls, the value of $K d'_{max}$ is small for small incident angles. Nevertheless, it is possible to make this limitation disappear by adding losses to the liner. Indeed, in the case when $Y_1 \neq 0$, we find that $K d'$ can take much larger values. With losses ($Y_1 \neq 0$), Eq. 22 takes the form:

As in the previous section, where we illustrated non-reciprocal perfect absorption, Gaussian beams can nicely illustrate the Goos-Hänchen effect (Fig. 13). By choosing the angle for which the flow has the most visible effect ($\theta = -0.89$), we see a large lateral shift. Besides, a strong non-reciprocal effect is demonstrated by taking the opposite angle.

IV. CONCLUSION

In the global context of acoustics with flow we have shown that the presence of a shear layer leads to non-reciprocal effects whose origin can be found in the known effects due to convection. We have illustrated some of these phenomena by considering diffraction by a shear layer that can lead to negative refraction, as well as absorption by an acoustic material and lateral displacement of a beam along this material which both depend on the direction of propagation relatively to the flow. In this paper, we have only considered homogeneous problems along the direction of flow. Interesting new effects appear as soon as inhomogeneities are considered along the flow direction.

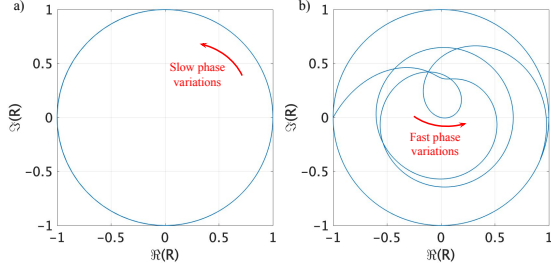


FIG. 11. Phase portrait of R as θ varies from $-\pi/2$ to $+\pi/2$, with $M_0 = 0.23$, $K\delta = 15.04$ and a) $Y_0 = 0.1i$, b) $Y_0 = 0.1i - 0.2$. When losses are added on the wall and in a situation where perfect absorption can be achieved, the reflection coefficient can cross the zero point, and therefore experience a sudden phase jump of amplitude π , resulting in a high phase gradient and therefore a large lateral displacement of the beam.

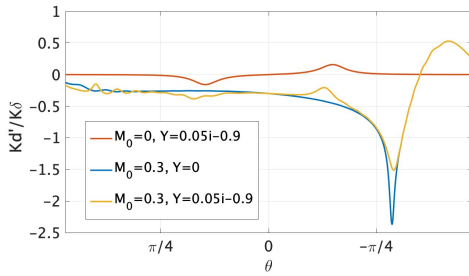


FIG. 12. Value of d'/δ as a function of θ_g for a reflection either on a hard wall or with an acoustic treatment, with and without flow. The flow used here is such that $M_0 = 0.3$ and $K\delta = 50$.

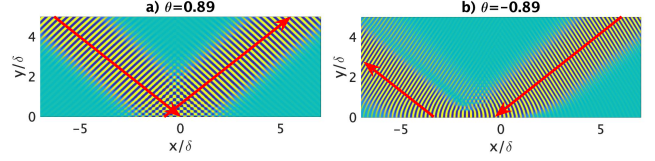


FIG. 13. (color online) Pressure field for Gaussian beam with a constant main direction $\theta_g = \pm 0.877$, reflecting on a hard wall, with $M_0 = 0.3$ and $K\delta = 30$. In case a), the beam comes in the direction of the flow and is slightly displaced backwards in case b), the beam comes against the direction of the flow and is widely displaced forwards. The black dashed line shows the height of the shear layer.

APPENDIX A: NUMERICAL DETERMINATION OF THE SCATTERING COEFFICIENTS IN A TRANSMISSION PROBLEM

To find the scattering coefficients, we need to solve Eq. 2. For this, we define Q :

$$Q(y) = \frac{P'(y)}{KP(y)}. \quad (\text{A1})$$

Then Eq. 2 can be re-written as a first-order differential equation for Q , and becomes a Ricatti equation. Moreover, to make its integration easier, a dimensionless variable s is introduced, such that $s = \frac{y}{\delta}$. The integration will then be done on $[0, 1]$. Finally, the equation to solve is:

$$Q'(s) = -K\delta[Q(s)^2 + (1 - M_0as)^2 - a^2] - \frac{2M_0a}{1 - M_0as}Q(s), \quad (\text{A2})$$

where $M_0 = M_2 - M_1$ and $a = \frac{\alpha}{K}$. Solving for the whole scattering matrix requires the study of two cases:

- if the incident wave comes from medium 1, A_2 is null, and Eq. 8 gives us the following boundary condition in $s = 1$:

$$Q(1) = ib_2, \quad (\text{A3})$$

where $b_2 = \beta_2/K$. Equation A2 could have been solved with a common numerical method (such as a Runge-Kutta algorithm), but large heights of shear layer resulted in divergences in the result. Thus, we implemented a Magnus-Moebius scheme (as described in⁴³) for s going from 1 to 0. In order to do so, it is necessary to go back to Eq. 2 which, when written on s , becomes:

$$P'' + \frac{2a(M_2 - M_1)}{1 - [M_1 + (M_2 - M_1)s]a}P' + (K\delta)^2[(1 - M_1 + (M_2 - M_1)s)a]^2 - \dots \quad (\text{A4})$$

When put under a matrix form, Eq. A4 becomes:

$$\mathbf{d}_s \begin{pmatrix} P \\ P' \end{pmatrix} = \begin{bmatrix} 0 & 1 \\ -(K\delta)^2[(1 - M_1 + (M_2 - M_1)s)a]^2 - a^2 & -\frac{2a(M_2 - M_1)}{1 - [M_1 + (M_2 - M_1)s]a} \end{bmatrix} \begin{pmatrix} P \\ P' \end{pmatrix}. \quad (\text{A5})$$

The matrix involved in Eq. A5 will later be denoted $\mathbf{M}(s)$. Discretizing the domain in $N + 1$ points (intervals of size $h = 1/N$) allows us to apply the following scheme :

$$\begin{pmatrix} P(nh) \\ P'(nh) \end{pmatrix} = \mathbf{e}^{\mathbf{H}_n} \begin{pmatrix} P((n+1)h) \\ P'((n+1)h) \end{pmatrix}, \quad (\text{A6})$$

where $\mathbf{H}_n = -h\mathbf{M}[(n + 1/2)h]$. Note that the exponential in Eq. A6 is a matrix exponential. At each iteration, it is possible to compute $Q(nh)$ from $Q((n + 1)h)$:

$$Q(nh) = \frac{\frac{E_{2,1}}{K\delta} + E_{2,2}Q((n+1)h)}{E_{1,1} + K\delta E_{1,2}Q((n+1)h)}, \quad (\text{A7})$$

where the $E_{i,j}$ are the coefficients of $\mathbf{e}^{\mathbf{H}_n}$. After N iterations, we can access R_1 via Eq. 8, and we find:

$$R_1 = \frac{ib_1 - Q(0)}{ib_1 + Q(0)}, \quad (\text{A8})$$

where $b_1 = \frac{\beta_1}{K}$.

- if the incident wave comes from medium 2, A_1 is null and we can now write a boundary condition in $s = 0$:

$$Q(0) = -ib_1. \quad (\text{A9})$$

Once again, Eq. A5 is solved iteratively for s going from 0 to 1. This time, we have:

$$\begin{pmatrix} P((n+1)h) \\ P'((n+1)h) \end{pmatrix} = \mathbf{e}^{-\mathbf{H}_n} \begin{pmatrix} P(nh) \\ P'(nh) \end{pmatrix}. \quad (\text{A10})$$

Thus:

$$Q((n+1)h) = \frac{\frac{E_{2,1}}{K\delta} + E_{2,2}Q(nh)}{E_{1,1} + K\delta E_{1,2}Q(nh)}, \quad (\text{A11})$$

and we can access R_2 using:

$$R_2 = \frac{ib_2 + Q(1)}{ib_2 - Q(1)} e^{-2iK\delta b_2}. \quad (\text{A12})$$

The determination of transmission coefficients T_1 and T_2 , now that Q is known in $[0, 1]$, requires to integrate Eq. A4 one more time, but in the opposite direction. Here also, we will need to study the two cases of upward and downward traveling incident waves.

- if the incident wave comes from medium 1, we know from Eq. 8 that the boundary condition in $s = 0$ is:

$$\tilde{P}(0) = 1 + R_1, \quad (\text{A13})$$

where \tilde{P} is the y -dependant component of the pressure field normalized by the incident amplitude A_1 . Then, by solving for \tilde{P} on $[0, 1]$ using the scheme in Eq. A10, we can access T_1 using:

$$T_1 = \tilde{P}(1)e^{-iK\delta b_2}. \quad (\text{A14})$$

- if the incident wave comes from medium 2, then this time the boundary condition is:

$$\tilde{P}(1) = e^{-iK\delta b_2} + R_2 e^{iK\delta b_2}, \quad (\text{A15})$$

where $\tilde{P}(s)$ is normalized by A_2 . Then, by solving for \tilde{P} on $[1, 0]$ using the scheme in Eq. A6, we can access T_2 using:

$$T_2 = \tilde{P}(0). \quad (\text{A16})$$

The whole matrix can then be reconstituted. It turns out from Eq. A2 that three parameters will influence the values of the scattering coefficients: the dimensionless width of the shear layer $K\delta$, the relative Mach number $M_2 - M_1$ and the direction of the incident wave given by the horizontal wave number a .

APPENDIX B: NUMERICAL DETERMINATION OF R IN A REFLECTION PROBLEM

In order to determine R , we apply the same method as for the transmission problem : solving Eq. A2 for s in $[0, 1]$, but this time the boundary condition will be given by the wall admittance:

$$Q(0) = iY_0. \quad (\text{B1})$$

Here also, the resolution is led to its end using a Magnus-Moebius scheme³⁰ of the second order as described in⁴³. In order to compute the solution, we go back to Eq. A5, and after writing P and P' in discrete forms, we get:

$$\begin{pmatrix} P((n+1)h) \\ P'((n+1)h) \end{pmatrix} = \mathbf{e}^{\mathbf{H}_n} \begin{pmatrix} P(nh) \\ P'(nh) \end{pmatrix} = \begin{bmatrix} E_{1,1} & E_{1,2} \\ E_{2,1} & E_{2,2} \end{bmatrix} \begin{pmatrix} P(nh) \\ P'(nh) \end{pmatrix}, \quad (\text{B2})$$

where $H_n = hM[(n + 1/2)h]$. Thus:

$$Q((n + 1)h) = \frac{\frac{E_{2,1}}{K\delta} + E_{2,2}Q(nh)}{E_{1,1} + K\delta E_{1,2}Q(nh)}. \quad (B3)$$

R is finally given by:

$$R = \frac{ib + Q(1)}{ib - Q(1)} e^{-2ibK\delta}. \quad (B4)$$

- ¹Amiet, R. K. (1978). "Refraction of sound by a shear layer," *Journal of Sound and Vibration* **58**, 467–482.
- ²Artmann, K. (1947). "Berechnung der Seitenversetzung des totalreflektierten Strahles (Calculation of the lateral displacement of the totally reflected beam)," *Annalen der Physik* **333**.
- ³Brambley, E. J. (2009). "Fundamental problems with the model of uniform flow over acoustic linings," *Journal of Sound and Vibration* **322**(4-5), 1026–1037, doi: [10.1016/j.jsv.2008.11.021](https://doi.org/10.1016/j.jsv.2008.11.021).
- ⁴Brambley, E. J. (2011). "A well-posed boundary condition for acoustic liners in straight ducts with flow," *AIAA Journal* 7–9.
- ⁵Brand, R. S., and Nagel, R. T. (1982). "Reflection of sound by a boundary layer," *Journal of Sound and Vibration* **85**, 31–38.
- ⁶Breazeale, M. A., Adler, L., and Scott, G. W. (1977). "Interaction of ultrasonic waves incident at the Rayleigh angle onto a liquidsolid interface," *Journal of Applied Physics* **530**, doi: [10.1063/1.323677](https://doi.org/10.1063/1.323677).
- ⁷Campos, L. M. B. C., and Kobayashi, M. H. (2013). "On an acoustic oscillation energy for shear flows," *International Journal of Aeroacoustics* **12**(1+2), doi: <https://doi.org/10.1260/1475-472X.12.1-2.123>.
- ⁸Cantrell, R. H., and Hart, R. W. (1964). "Interaction between sound and flow in acoustic cavities : mass, momentum and energy considerations," *Journal of the Acoustical Society of America* **697**.
- ⁹Christensen, J., and de Abajo, F. J. G. (2012). "Anisotropic metamaterials for full control of Acoustic Waves," *Physical Review Letters* **108**(12), 124301, <https://link.aps.org/doi/10.1103/PhysRevLett.108.124301>, doi: [10.1103/PhysRevLett.108.124301](https://doi.org/10.1103/PhysRevLett.108.124301).
- ¹⁰Declercq, N. F., Degrieck, J., Briers, R., and Leroy, O. (2004). "Theory of the backward beam displacement on periodically corrugated surfaces and its relation to leaky Scholte-Stoneley waves," *Journal of Applied Physics* **96**(11), 6869–6877, doi: [10.1063/1.1808247](https://doi.org/10.1063/1.1808247).
- ¹¹Declercq, N. F., Van des Abeele, F., Degrieck, J., and Leroy, O. (2004). "The Schoch effect to distinguish between different liquids in closed containers," *IEEE Transactions on Ultrasonics, Ferroelectrics and Frequency Control* **51**(10), 1354–1357.
- ¹²Delaroche, F. (1816). "Sur l'influence que le vent exerce dans la propagation du son, sous le rapport de son intensité," *Ann. Chim* **1**(176).
- ¹³Emile, O., Galstyan, T., Le Floch, A., and Bretenaker, F. (1995). "Measurement of the nonlinear Goos-Hänchen effect for Gaussian optical beams," *Physical Review Letters* **75**(8), 1511–1513, doi: [10.1103/PhysRevLett.75.1511](https://doi.org/10.1103/PhysRevLett.75.1511).
- ¹⁴Fa, L., Xue, L., Fa, Y., Han, Y., Zhang, Y., Cheng, H., Ding, P., Li, G., Tan, S., Bai, C., Xi, B., Zhang, X., and Zhao, M. (2017). "The acoustic Goos-Hänchen effect," *Science China - Physics, Mechanics & Astronomy* **60**, doi: [10.1007/s11433-017-9052-9](https://doi.org/10.1007/s11433-017-9052-9).
- ¹⁵Farmani, A. (2018). "Graphene Plasmonic : Switching Applications," in *Graphene- Physics, Chemistry and Biology* (WILEY-Scribner Publishing LLC), pp. 1–36.
- ¹⁶Fleury, R., Sounas, D.L., Sieck, C.F., Haberman, M.R. and Alù, A. (2014). "Sound isolation and giant linear nonreciprocity in a compact acoustic circulator," *Science* **343**(6170), 516–519.
- ¹⁷Fleury, R., Sounas, D.L., Haberman, M.R. and Alù, A. (2015). "Nonreciprocal acoustics," *Acoustics Today* **1**(EPFL-ARTICLE-223074), 14–21.
- ¹⁸Gabard, G. (2013). "A comparison of impedance boundary conditions for flow acoustics," *Journal of Sound and Vibration* **332**(4), 714–724, doi: [10.1016/j.jsv.2012.10.014](https://doi.org/10.1016/j.jsv.2012.10.014).
- ¹⁹García-Chocano, V. M., Christensen, J., and Sanchez-Dehesa, J. (2014). "Negative refraction and energy funneling by hyperbolic materials: An experimental demonstration in acoustics," *Physical Review Letters* **112**(14), 11–15, doi: [10.1103/PhysRevLett.112.144301](https://doi.org/10.1103/PhysRevLett.112.144301).
- ²⁰Godin, O. (1997). "Reciprocity and energy theorems for waves in a compressible inhomogeneous moving fluid," *Wave Motion* **25**(2), 143–167, <http://www.sciencedirect.com/science/article/pii/S0165212596000376>, doi: [10.1016/S0165-2125\(96\)00037-6](https://doi.org/10.1016/S0165-2125(96)00037-6).
- ²¹Goldstein, M., and Rice, E. (1973). "Effect of shear on duct wall impedance," *Journal of Sound and Vibration* **30**(1), 79–84, doi: [10.1016/S0022-460X\(73\)80051-3](https://doi.org/10.1016/S0022-460X(73)80051-3).
- ²²Goos, F., and Hänchen, H. (1947). "Ein neuer und fundamentaler Versuch zur Totalreflexion (a new and fundamental attempt at total reflection)," *Annalen der Physik* **436**(7-8), 333–346, doi: [10.1002/andp.19474360704](https://doi.org/10.1002/andp.19474360704).
- ²³Graham, E. W., and Graham, B. B. (1969). "Effect of a sheared layer on plane waves in a fluid," *Journal of the Acoustical Society of America* **46**(1969), 169–175, doi: [10.1121/1.1911666](https://doi.org/10.1121/1.1911666).
- ²⁴Herbison, S. W. (2011). "Ultrasonic diffraction effects on periodic surfaces," Ph.D. thesis, Georgia Institute of Technology.
- ²⁵Ingard, U. (1959). "Influence of fluid motion past a plane boundary on sound reflection, absorption, and transmission," *The Journal of the Acoustical Society of America* **31**(7), 1035, <http://scitation.aip.org/content/asa/journal/jasa/31/7/10.1121/1.1907805>, doi: [10.1121/1.1907805](https://doi.org/10.1121/1.1907805).
- ²⁶Jones, D.S. (1977). "The Scattering of Sound by a Simple Shear Layer," *Philosophical Transactions of the Royal Society of London. Series A, Mathematical and Physical Sciences* **1323**(284), 287–328.
- ²⁷Ju, F., Tian, Y., Cheng, Y., and Liu, X. (2018). "Asymmetric acoustic transmission with a lossy gradient-index metasurface," *Applied Physics Letters* **113**(12), 121901, <http://aip.scitation.org/doi/10.1063/1.5032263>, doi: [10.1063/1.5032263](https://doi.org/10.1063/1.5032263).
- ²⁸Koutsoyannis, S. P., Karamcheti, K., and Galant, D. C. (1979). "Acoustic resonances and sound scattering by a shear layer," *NASA*.
- ²⁹Liu, F., Xianjun, M., Jiaqi, X., Anling, W., and Changchun, Y. (2012). "The Goos-Hänchen shift of wide-angle seismic reflection wave," *Science China - Earth Sciences* **55**(5), 852–857, doi: [10.1007/s11430-011-4344-5](https://doi.org/10.1007/s11430-011-4344-5).
- ³⁰Lu, Y. Y. (2005). "A fourth-order Magnus scheme for Helmholtz equation," *Journal of Computational and Applied Mathematics* **173**(2), 247–258, doi: [10.1016/j.cam.2004.03.010](https://doi.org/10.1016/j.cam.2004.03.010).
- ³¹Mariano, S. (1971). "Effect of wall shear layers on the sound attenuation in acoustically lined rectangular ducts," *Journal of Sound and Vibration* **19**(3), 261–275.
- ³²Maznev, A.A., Every, A.G. and Wright, O.B. (2013). "Reciprocity in reflection and transmission: What is a 'phonon diode'?", *Wave Motion* **50**(4), 776–784.
- ³³Miles, J. W. (1957). "On the reflection of sound at an interface of relative motion," *The Journal of the Acoustical Society of America* **29**(2), 226–228, <http://asa.scitation.org/doi/10.1121/1.1908836>, doi: [10.1121/1.1908836](https://doi.org/10.1121/1.1908836).
- ³⁴Miles, J. W. (1958). "On the disturbed motion of a plane vortex sheet," *Journal of Fluid Mechanics* **4**(5), 538–552, doi: [10.1017/S0022112058000653](https://doi.org/10.1017/S0022112058000653).
- ³⁵Morfe, C. L. (1971). "Acoustic energy in non-uniform flows," *Journal of Sound and Vibration* **14**(2), 159–170, doi: [10.1016/0022-460X\(71\)90381-6](https://doi.org/10.1016/0022-460X(71)90381-6).
- ³⁶Mungur, P., and Gladwell, G. (1969). "Acoustic wave propagation in a sheared fluid contained in a duct," *Journal of Sound and Vibration* **9**(1), 28–48, doi: [10.1016/0022-460X\(69\)90260-0](https://doi.org/10.1016/0022-460X(69)90260-0).

- ³⁷Myers, M. K. (1980). "On the acoustic boundary condition in the presence of flow," *Journal of Sound and Vibration* **71**, 429–434, doi: [10.1016/0022-460X\(80\)90424-1](https://doi.org/10.1016/0022-460X(80)90424-1).
- ³⁸Myers, M. K. (1986). "An exact energy corollary for homentropic flow," *Journal of Sound and Vibration* **109**(2), 277–284, doi: [10.1016/S0022-460X\(86\)80008-6](https://doi.org/10.1016/S0022-460X(86)80008-6).
- ³⁹Naghdi, M. and Farzbod, F.(2018). "Acoustic nonreciprocity in Coriolis mean flow systems," *The Journal of the Acoustical Society of America* **143**(1), 230–236.
- ⁴⁰Nagel, R. T., and Brand, R. S. (1982). "Boundary layer effects on sound in a circular duct," *Journal of Sound and Vibration* **85**, 19–29.
- ⁴¹Nayfeh, A. H. (1973). "Effect of the acoustic boundary layer on the wave propagation in ducts," *The Journal of the Acoustical Society of America* **54**(6), 1737–1742, <http://link.aip.org/link/JASMAN/v94/i2/p1076/s1?Agg=doi>, doi: [10.1121/1.1914472](https://doi.org/10.1121/1.1914472).
- ⁴²Ostashev, Vladimir E., and Wilson, D. Keith (2015) "Acoustics in Moving Inhomogeneous Media, Second Edition," CRC Press.
- ⁴³Pagneux, V. (2010). "Multimodal admittance method in waveguides and singularity behavior at high frequencies," *Journal of Computational and Applied Mathematics* **234**(6), 1834–1841, <http://dx.doi.org/10.1016/j.cam.2009.08.034>, doi: [10.1016/j.cam.2009.08.034](https://doi.org/10.1016/j.cam.2009.08.034).
- ⁴⁴Pridmore-Brown, D. C. (1958). "Sound propagation in a fluid flowing through an attenuating duct," *Journal of Fluid Mechanics* **4**(4), 393–406, doi: [10.1017/S0022112058000537](https://doi.org/10.1017/S0022112058000537).
- ⁴⁵Rayleigh (1877). *Theory of Sound, Volume II*, dover publ ed. (Dover Publications), Chap. XIV, 132–133.
- ⁴⁶Renou, Y., and Aurégan, Y. (2011). "Failure of the Ingard—Myers boundary condition for a lined duct: An experimental investigation," *The Journal of the Acoustical Society of America* **130**(1), 52–60, <http://asa.scitation.org/doi/10.1121/1.3586789>, doi: [10.1121/1.3586789](https://doi.org/10.1121/1.3586789).
- ⁴⁷Ribner, H. S. (1957). "Reflection, transmission, and amplification of sound by a moving medium," *The Journal of the Acoustical Society of America* **29**(4), 435, doi: [10.1121/1.1908918](https://doi.org/10.1121/1.1908918).
- ⁴⁸Rienstra, S. W. (2019). "Solutions and Properties of the Pridmore-Brown Equation," 25th AIAA/CEAS Aeroacoustics Conference 20–24,
- ⁴⁹Smith, D. R., and Kroll, N. (2000). "Negative refractive index in left-handed materials," *Physical Review Letters* **85**(14), 2933–2936, doi: <https://doi.org/10.1103/PhysRevLett.85.2933>.
- ⁵⁰Tamir, T., and Bertoni, H.L. (1971). "Lateral displacement of optical beams at multilayered and periodic structures," *Journal of the Optical Society of America* **61**(10), 1397–1413, <http://www.opticsinfobase.org/abstract.cfm?URI=josa-61-10-1397>, doi: [10.1364/JOSA.61.001397](https://doi.org/10.1364/JOSA.61.001397).
- ⁵¹Wang, A., and Liu, F. (2014). "The lateral shift of refraction Sh-wave on an interface of two media," *Applied Mechanics and Materials* **551**, 580–582, doi: [10.4028/www.scientific.net/AMM.551.580](https://doi.org/10.4028/www.scientific.net/AMM.551.580).
- ⁵²Yeh, C. (1968). "A Further Note on the Reflection and Transmission of Sound Waves by a Moving Fluid Layer," *Journal of the Acoustical Society of America* **145**(43), 1–3.