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# Verification of Flat FIFO Systems

**Alain Finkel**

LSV, ENS Paris-Saclay, CNRS, Université Paris-Saclay, France  
UMI ReLaX

**M. Praveen**

Chennai Mathematical Institute, India  
UMI ReLaX

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## Abstract

The decidability and complexity of reachability problems and model-checking for flat counter systems have been explored in detail. However, only few results are known for flat FIFO systems, only in some particular cases (a single loop or a single bounded expression). We prove, by establishing reductions between properties, and by reducing SAT to a subset of these properties that many verification problems like reachability, non-termination, unboundedness are NP-complete for flat FIFO systems, generalizing similar existing results for flat counter systems. We construct a trace-flattable counter system that is bisimilar to a given flat FIFO system, which allows to model-check the original flat FIFO system. Our results lay the theoretical foundations and open the way to build a verification tool for (general) FIFO systems based on analysis of flat subsystems.

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**Keywords and phrases** Infinite state systems, FIFO, counters, flat systems, reachability, termination, complexity

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## 1 Introduction

**FIFO systems** Asynchronous distributed processes communicating through First In First Out (FIFO) channels are used since the seventies as models for protocols [40], distributed and concurrent programming and more recently for web service choreography interface [14]. Since FIFO systems simulate counter machines, most reachability properties are *undecidable* for FIFO systems: for example, the basic task of checking if the number of messages buffered in a channel can grow unboundedly is undecidable [13].

There aren't many interesting and useful FIFO subclasses with a *decidable* reachability problem. Considering FIFO systems with a unique FIFO channel is not a useful restriction since they may simulate Turing machines [13]. A few examples of decidable subclasses are half-duplex systems [15] (but they are restricted to two machines since the natural extension to three machines leads to undecidability), existentially bounded deadlock free FIFO systems [31] (but it is undecidable to check if a system is existentially bounded, even for deadlock free FIFO systems), synchronisable FIFO systems (the property of synchronisability is undecidable [28] and moreover, it is not clear which properties of synchronisable systems are decidable), flat FIFO systems [8, 9] and lossy FIFO systems [2] (but one loses the perfect FIFO mechanism).

**Flat systems** A flat system [5, 27, 17, 7] is a system with a finite control structure such that every control-state belongs to at most one loop. Equivalently, the language of the control structure is included in a bounded language of the form  $w_1^*w_2^*\dots w_k^*$  where every  $w_i$

is a non empty word. Analyzing flat systems essentially reduces to accelerating loops (i.e., to compute finite representations of the effect of iterating each loop arbitrarily many times) and to connect these finite representations with one another. Flat systems are particularly interesting since one may under-approximate any system by its flat subsystems.

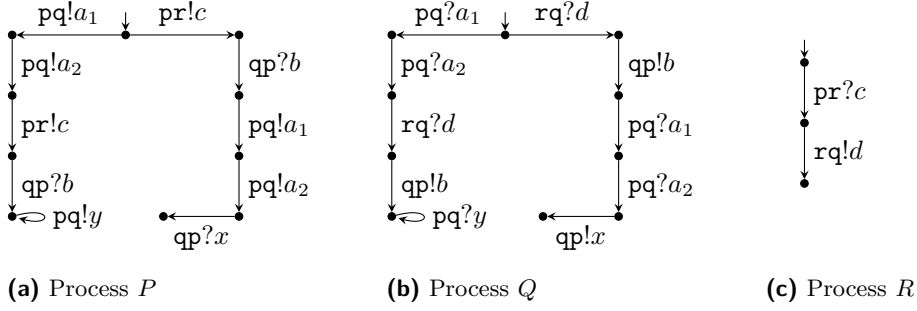
For counter systems [22, 33], this strategy lead to some tools like FAST [4], LASH, TREX [3], FLATA [12] which enumerate all flat subsystems till the reachability set is reached. This strategy is not an algorithm since it may never terminate on some inputs. However in practice, it terminates in many cases; e.g., in [4], 80% of the examples (including Petri nets and multi-threaded Java programs) could be effectively verified. The complexity of flat counter systems is well-known: reachability is NP-complete for variations of flat counter systems [32, 11, 21], model-checking first-order formulae and linear  $\mu$ -calculus formulae is PSPACE-complete while model-checking Büchi automata is NP-complete [20]; equivalence between model-checking flat counter systems and Presburger arithmetic is established in [19].

**Flat FIFO systems** We know almost nothing about flat FIFO systems, even the complexity of reachability is not known. Boigelot et al. [8] used recognizable languages (QDD) for representing FIFO channel contents and proved that the acceleration of *one-counting* loops (a loop is one-counting if it sends messages to only one channel), from an initial QDD, produces another computable QDD. Bouajjani and Habermehl [9] proved that the acceleration of *any* loop can be finitely represented by combining a deterministic flat finite automaton and a Presburger formula (CQDD) that are both computable. However, surprisingly, no upper bound for the Boigelot et al.'s and for the Bouajjani et al.'s loop-acceleration algorithms are known. Just the complexity of the inclusion problem for QDD, CQDD and SLRE (SLRE are both QDD and CQDD) are partially known (respectively PSPACE-complete, N2EXPTIME-hard, CoNP-complete) [30]. But the complexity of the reachability problem for flat FIFO systems was not known. Only the complexity of the control-state reachability problem was known to be NP-complete for flat FIFO systems [25]. Moreover, other properties and model-checking have not been studied for flat FIFO systems.

**Contributions** We solve the open problem of the complexity of the reachability problem for flat FIFO systems by showing that it is NP-complete; we extend this result to other usual verification properties and show that they are also NP-complete. Then we show that a flat FIFO system can be simulated by a synchronized product of counter systems. This synchronized product is flattable and its reachability set is semilinear.

## 2 Preliminaries

We write  $\mathbb{Z}$  (resp.  $\mathbb{N}$ ) to denote the set of integers (resp. non-negative integers). A finite alphabet is any finite set  $\Sigma$ . Its elements are referred to as letters;  $\Sigma^*$  is the set of all finite sequences of letters, referred to as words. We denote by  $w_1w_2$  the word obtained by concatenating  $w_1$  and  $w_2$ ; and  $\epsilon$  is the empty sequence, which is the unity for the concatenation operation. We write  $\Sigma^+$  for  $\Sigma^* \setminus \{\epsilon\}$ . If  $w_1$  is a prefix of  $w_2$ , we denote by  $w_1^{-1}w_2$  the word obtained from  $w_2$  by dropping the prefix  $w_1$ . If  $w_1$  is not a prefix of  $w_2$ , then  $w_1^{-1}w_2$  is undefined. A word  $z \in \Sigma^*$  is primitive if  $z \notin w^* \setminus \{w\}$  for any  $w \in \Sigma^*$ . We denote by  $Parikh(w) : \Sigma \rightarrow \mathbb{N}$  the function that maps each letter  $a \in \Sigma$  to the number of times  $a$  occurs in  $w$ . We denote by  $w^n$  the concatenation of  $n$  copies of  $w$ . The infinite word  $x^\omega$  is obtained by concatenating  $x$  infinitely many times.



■ **Figure 1** FIFO system of Example 2.2

### FIFO Systems

► **Definition 2.1** (FIFO systems). A FIFO system  $S$  is a tuple  $(Q, F, M, \Delta)$  where  $Q$  is a finite set of control states,  $F$  is a finite set of FIFO channels,  $M$  is a finite message alphabet and  $\Delta \subseteq (Q \times Q) \cup (Q \times (F \times \{!, ?\} \times M) \times Q)$  is a finite set of transitions.

We write a transition  $(q, (c, ?, a), q')$  as  $q \xrightarrow{c?a} q'$ ; we similarly modify other transitions. We call  $q$  the source state and  $q'$  the target state. Transitions of the form  $q \xrightarrow{c?a} q'$  (resp.  $q \xrightarrow{c!a} q'$ ) denote retrieve actions (resp. send actions). Transitions of the form  $q \rightarrow q'$  do not change the channel contents but only change the control state.

The channels in  $F$  hold strings in  $M^*$ . Given two channel valuations  $\mathbf{w}_1, \mathbf{w}_2 \in (M^*)^F$ , we denote by  $\mathbf{w}_1 \cdot \mathbf{w}_2$  the valuation obtained by concatenating the contents in  $\mathbf{w}_1$  and  $\mathbf{w}_2$  channel-wise. For a letter  $a \in M$  and a channel  $c \in F$ , we denote by  $\mathbf{a}_c$  the channel valuation that assigns  $a$  to  $c$  and  $\epsilon$  to all other channels. The semantics of a FIFO system  $S$  is given by a transition system  $T_S$  whose set of states is  $Q \times (M^*)^F$ , also called configurations. Every transition  $q \xrightarrow{c?a} q'$  of  $S$  and channel valuation  $\mathbf{w} \in (M^*)^F$  results in the transition  $(q, \mathbf{a}_c \cdot \mathbf{w}) \xrightarrow{c?a} (q', \mathbf{w})$  in  $T_S$ . Every transition  $q \xrightarrow{c!a} q'$  of  $S$  and channel valuation  $\mathbf{w} \in (M^*)^F$  results in the transition  $(q, \mathbf{w}) \xrightarrow{c!a} (q', \mathbf{w} \cdot \mathbf{a}_c)$  in  $T_S$ . Intuitively, the transition  $q \xrightarrow{c?a} q'$  (resp.  $q \xrightarrow{c!a} q'$ ) retrieves the letter  $a$  from the front of the channel  $c$  (resp. sends the letter  $a$  to the back of the channel  $c$ ). A run of  $S$  is a (finite or infinite) sequence of configurations  $(q_0, \mathbf{w}_0)(q_1, \mathbf{w}_1) \cdots$  such that for every  $i \geq 0$ , there is a transition  $t_i$  such that  $(q_i, \mathbf{w}_i) \xrightarrow{t_i} (q_{i+1}, \mathbf{w}_{i+1})$ .

► **Example 2.2.** Figure 1 shows a (distributed) FIFO system (from [35]) with three processes  $P, Q, R$  that communicate through four FIFO channels  $pq, qp, pr, rq$ . Processes are extended finite automata where transitions are labeled by sending or receiving operations with FIFO channels and, for example, channel  $pq$  is an unidirectional FIFO channel from process  $P$  to process  $Q$ . From this distributed FIFO system, we get a FIFO system as given in Definition 2.1 by product construction. The control states of the product FIFO system are triples, containing control states of processes  $P, Q, R$ . The product FIFO system can go from one control state to another if one of the processes goes from a control state to another and the other two processes remain in their states. For example, the product system has the transition  $(q_1, q_2, q_3) \xrightarrow{pq!a_1} (q'_1, q_2, q_3)$ , if process  $P$  has the transition  $q_1 \xrightarrow{pq!a_1} q'_1$ .

For analyzing the running time of algorithms, we assume the size of a system to be the number of bits needed to specify a system (and source/target configurations if necessary) using a reasonable encoding. Let us begin to present the reachability problems that we tackle in this paper.



(a) Flat FIFO system

(b) Path schema denoted by  $p_0(\ell_1)^*p_1(\ell_2)^*p_2$ 

■ **Figure 2** Example flat FIFO system and path schema

► **Problem (Reachability).** *Given:* A FIFO system  $S$  and two configurations  $(q_0, \mathbf{w}_0)$  and  $(q, \mathbf{w})$ . *Question:* Is there a run starting from  $(q_0, \mathbf{w}_0)$  and ending at  $(q, \mathbf{w})$ ?

► **Problem (Control-state reachability).** *Given:* A FIFO system  $S$ , a configuration  $(q_0, \mathbf{w}_0)$  and a control-state  $q$ . *Question:* Is there a channel valuation  $\mathbf{w}$  such that  $(q, \mathbf{w})$  is reachable from  $(q_0, \mathbf{w}_0)$ ?

It is folklore that reachability and control-state reachability are undecidable for machines operating on FIFO channels.

**Flat systems** For a FIFO system  $S = (Q, F, M, \Delta)$ , its *system graph*  $G_S$  is a directed graph whose set of vertices is  $Q$ . There is a directed edge from  $q$  to  $q'$  if there is some transition  $q \xrightarrow{c?a} q'$  or  $q \xrightarrow{c!a} q'$  for some channel  $c$  and some letter  $a$ , or there is a transition  $q \rightarrow q'$ . We say that  $S$  is *flat* if in  $G_S$ , every vertex is in at most one directed cycle. Figure 2(a) shows a flat FIFO system.

We call a FIFO system  $S = (Q, F, M, \Delta)$  a *path segment* from state  $q_0$  to state  $q_r$  if  $Q = \{q_0, \dots, q_r\}$ ,  $\Delta = \{t_1, \dots, t_r\}$  and for every  $i \in \{1, \dots, r\}$ ,  $q_{i-1}$  is the source of  $t_i$  and  $q_i$  is its target. We call a FIFO system  $S = (Q, F, M, \Delta)$  an *elementary loop* on  $q_0$  if  $Q = \{q_0, \dots, q_r\}$ ,  $\Delta = \{t_1, \dots, t_{r+1}\}$  and for each  $i \in \{1, \dots, r+1\}$ ,  $t_i$  has source  $q_{i-1}$  and target  $q_{i \bmod (r+1)}$ . We call  $t_1 \dots t_{r+1}$  the label of the loop. A *path schema* is a flat FIFO system comprising of a sequence  $p_0\ell_1p_1\ell_2p_2 \dots \ell_r p_r$ , where  $p_0, \dots, p_r$  are path segments and  $\ell_1, \dots, \ell_r$  are elementary loops. There are states  $q_0, q_1, \dots, q_{r+1}$  such that  $p_0$  is a path segment from  $q_0$  to  $q_1$  and for every  $i \in \{1, \dots, r\}$ ,  $p_i$  is a path segment from  $q_i$  to  $q_{i+1}$  and  $\ell_i$  is an elementary loop on  $q_i$ . Except  $q_i$ , none of the other states in  $\ell_i$  appear in other path segments or elementary loops. To emphasize that  $\ell_1, \dots, \ell_r$  are elementary loops, we denote the path schema as  $p_0(\ell_1)^*p_1 \dots (\ell_r)^*p_r$ . We use the term elementary loop to distinguish them from loops in general, which may have some states appearing more than once. All loops in flat FIFO systems are elementary. Figure 2(b) shows a path schema, where wavy lines indicate long path segments or elementary loops that may have many intermediate states and transitions. This path schema is obtained from the flat FIFO system of Figure 2(a) by removing the transitions from  $q_1$  to  $q_3$ ,  $q_4$  to  $q_5$  and  $q_6$  to  $q_3$ .

► **Remark 2.3** (Fig. 1). Each process  $P, Q, R$  is flat and the cartesian product of the three automata is almost flat except on one state: there are two loops, one sending  $y$  in channel  $pq$  and another one retrieving  $y$  from channel  $pq$ .

**Notations and definitions** For any sequence  $\sigma$  of transitions of a FIFO system and channel  $c \in F$ , we denote by  $y_c^\sigma$  (resp.  $x_c^\sigma$ ) the sequence of letters sent to (resp. retrieved from) the channel  $c$  by  $\sigma$ . For a configuration  $(q, \mathbf{w})$ , let  $\mathbf{w}(c)$  denote the contents of channel  $c$ .

**Equations on words** We recall some classical results reasoning about words and prove of one of them, to be used later. The well-known Levi's Lemma says that the words  $u, v \in \Sigma^*$

that are solutions of the equation  $uv = vu$  satisfy  $u, v \in z^*$  where  $z$  is a primitive word. The solutions of the equation  $uv = vw$  satisfy  $u = xy, w = yx, v = (xy)^n x$ , for some words  $x, y$  and some integer  $n \geq 0$ . The following lemma is used in [30] for exactly the same purpose as here.

► **Lemma 2.4.** *Consider three finite words  $x, y \in \Sigma^+$  and  $w \in \Sigma^*$ . The equation  $x^\omega = wy^\omega$  holds iff there exists a primitive word  $z \neq \epsilon$  and two words  $x', x''$  such that  $x = x'x'', x''x' \in z^*, w \in x^*x'$  and  $y \in z^*$ .*

**Proof.** Suppose  $x, w, y$  satisfy the equation  $x^\omega = wy^\omega$ . If  $w = \epsilon$ , then the equation reduces to  $x^\omega = y^\omega$ . Hence we deduce that  $|x| = |y|$ . In this case, we show (using Levi's Lemma and considering the three cases  $|x| = |y|$  or  $|x| < |y|$  or  $|y| < |x|$ ) that the solutions are the words  $x, y \in z^*$  where  $z$  is a finite primitive word. Now suppose that  $w \neq \epsilon$ , so choose the smallest  $n \geq 0$  such that  $w = x^n x'$  with  $x = x'x''$ . Hence, we obtain that  $(x''x')^\omega = y^\omega$ , and again we know that the solutions of this equation are  $x''x', y \in z^*$  where  $z$  is a primitive word.

For the converse, suppose  $x = x'x'', x''x' = z^j, w = x^n x'$  and  $y = z^k$ . We have  $x^\omega = x^n x' (x''x')^\omega = w (z^j)^\omega = w (z^k)^\omega = wy^\omega$ . ◀

### 3 Complexity of Reachability Properties for Flat FIFO Systems

In this section, we give complexity bounds for the reachability problem for flat FIFO systems. We also establish the complexity of other related problems, viz. repeated control state reachability, termination, boundedness, channel boundedness and letter channel boundedness. We use the algorithm for repeated control state reachability as a subroutine for solving termination and boundedness. For channel boundedness and letter channel boundedness, we use another argument based on integer linear programming. Flat FIFO systems can simulate counter systems and reachability and related problems are known to be NP-hard for flat counter systems. However, the lower bound proofs for flat counter systems use binary encoding of counter updates, while the simulation of counter systems by FIFO systems use unary encoding. Hence, we cannot deduce lower bounds for flat FIFO systems from the lower bounds for flat counter systems. We prove the lower bounds for flat FIFO systems directly.

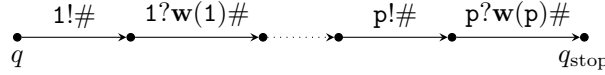
In [25], Esparza, Ganty, and Majumdar studied the complexity of reachability for highly undecidable models (multipushdown systems) but synchronized by bounded languages in the context of bounded model-checking. In particular, they proved that control-state reachability is NP-complete for flat FIFO systems (in fact for FIFO systems controlled by a bounded language). The NP upper bound is based on a simulation of FIFO path schemas by pushdown systems. Some constraints need to be imposed on the pushdown systems to ensure the correctness of the simulation. The structure of path schemas enables these constraints to be expressed as linear constraints on integer variables and this leads to the NP upper bound.

Surprisingly, the NP upper bound in [25] is given only for the control-state reachability problem; the complexity of the reachability problem is not established in [25] while it is given for all other considered models. However, there is a simple linear reduction from reachability to control-state reachability for FIFO (and Last In First Out) systems [39]. Such reductions are not known to exist for other models like counter systems and vector addition systems.

We begin by reducing reachability to control-state reachability (personal communication from Grégoire Sutre [39]) for (general and flat) FIFO systems.

► **Proposition 3.1** ([39]). *Reachability reduces (with a linear reduction) to control-state reachability, for general FIFO systems and for flat FIFO systems.*

**Proof.** Let  $A$  be a FIFO system,  $q$  a control-state and  $(q, \mathbf{w})$  a configuration of  $A$ . We reduce reachability to control-state reachability. We construct the system  $B_{A,(q,\mathbf{w})}$  from  $A$  and  $(q, \mathbf{w})$  as follows. The system  $B_{A,(q,\mathbf{w})}$  is obtained from  $A$  by adding a path to control state  $q$  as follows, where  $\#$  is a new symbol not in  $M$  and  $F = \{1, \dots, p\}$ . The transition labeled  $1?\mathbf{w}(1)\#$  is to be understood as a sequence of transitions whose effect is to retrieve the string  $\mathbf{w}(1)\#$  from channel 1.



The configuration  $(q, \mathbf{w})$  is reachable in  $A$  iff the control state  $q_{\text{stop}}$  is reachable in  $B_{A,(q,\mathbf{w})}$ . Note that if  $A$  is flat, then  $B_{A,(q,\mathbf{w})}$  is also flat. ◀

► **Remark 3.2.** Control-state reachability is reducible to reachability for general FIFO systems. Suppose  $\Sigma = \{a_1, \dots, a_d\}$  and there are  $p$  channels. Using the same notations as in the previous proof, from  $A$  and  $q$ , one constructs the system  $B_{A,q}$  as follows: one adds, to  $A$ ,  $d \times p$  self loops  $\ell_{i,j}$ , each labeled by  $j?a_i$ , for  $i \in \{1, \dots, d\}$  and  $j \in \{1, \dots, p\}$ , all from and to the control-state  $q$ . We infer that  $q$  is reachable in  $A$  if and only if (by definition) there exists  $\mathbf{w}$  such that  $(q, \mathbf{w})$  is reachable in  $A$  if and only if  $(q, \epsilon)$  is reachable in  $B_{A,q}$ . Here,  $(q, \epsilon)$  denotes the configuration where  $q$  is the control state and all channels are empty. Note that  $B_{A,q}$  is not necessarily flat, even if  $A$  is flat.

It is proved in [25, Theorem 7] that control state reachability is in NP for flat FIFO systems. Combining this with Proposition 3.1, we immediately deduce:

► **Corollary 3.3.** *Reachability is in NP for flat FIFO systems.*

Now we define problems concerned with infinite behaviors.

► **Problem (Repeated reachability).** *Given:* A FIFO system  $S$ , two configurations  $(q_0, \mathbf{w}_0)$  and  $(q, \mathbf{w})$ . *Question:* Is there an infinite run from  $(q_0, \mathbf{w}_0)$  such that  $(q, \mathbf{w})$  occurs infinitely often along this run?

► **Problem (Cyclicity).** *Given:* A FIFO system  $S$  and a configuration  $(q, \mathbf{w})$ . *Question:* Is  $(q, \mathbf{w})$  reachable (by a non-empty run) from  $(q, \mathbf{w})$ ?

► **Problem (Repeated control-state reachability).** *Given:* A FIFO system  $S$ , a configuration  $(q_0, \mathbf{w}_0)$  and a control-state  $q$ . *Question:* Is there an infinite run from  $(q_0, \mathbf{w}_0)$  such that  $q$  occurs infinitely often along this run?

We can easily obtain an NP upper bound for repeated reachability in flat FIFO systems. A non-deterministic Turing machine first uses the previous algorithm for reachability (Corollary 3.3) to verify that  $(q, \mathbf{w})$  is reachable from  $(q_0, \mathbf{w}_0)$ . Then the same algorithm is used again to verify that  $(q, \mathbf{w})$  is reachable from  $(q, \mathbf{w})$  (i.e. cyclic).

► **Corollary 3.4.** *Repeated reachability is in NP for flat FIFO systems.*

Let us recall that the cyclicity property is EXPSpace-complete for Petri nets [10, 23] while structural cyclicity (every configuration is cyclic) is in PTIME. Let us show that one may decide the cyclicity property for flat FIFO systems in linear time.

► **Lemma 3.5.** *In a flat FIFO system, a configuration  $(q, \mathbf{w})$  is reachable from  $(q, \mathbf{w})$  iff there is an elementary loop labeled by  $\sigma$ , such that  $(q, \mathbf{w}) \xrightarrow{\sigma} (q, \mathbf{w})$ .*

**Proof.** The implication from right to left ( $\Leftarrow$ ) is clear. For the converse, suppose that  $(q, \mathbf{w})$  is reachable from  $(q, \mathbf{w})$ . Flatness implies that  $q$  belongs to a (necessarily unique and elementary) loop, say a loop labeled by  $\sigma$ . As  $(q, \mathbf{w})$  is reachable from  $(q, \mathbf{w})$ , there exists a sequence of transitions  $\gamma$  such that  $(q, \mathbf{w}) \xrightarrow{\gamma} (q, \mathbf{w})$ . Now, still from flatness,  $\gamma$  is necessarily a power of  $\sigma$ , say  $\gamma = \sigma^k$ ,  $k \geq 1$ . Hence we have:  $(q, \mathbf{w}) \xrightarrow{\sigma^k} (q, \mathbf{w})$ . Let us write  $(q, \mathbf{w}) \xrightarrow{\sigma} (q, \mathbf{w}_1) \xrightarrow{\sigma} (q, \mathbf{w}_2) \xrightarrow{\sigma} \dots \xrightarrow{\sigma} (q, \mathbf{w}_k) = (q, \mathbf{w})$ . The effect of  $\sigma$  on the channel contents must preserve their initial length, so we have  $|x_c^\sigma| = |y_c^\sigma|$  for every channel  $c$ . Since  $\sigma$  is fireable from  $(q, \mathbf{w})$  and reaches  $(q, \mathbf{w}_1)$ , let us show that  $\mathbf{w}_1 = \mathbf{w}$ . If  $x_c^\sigma = \epsilon$  then  $x_c^\sigma = y_c^\sigma = \epsilon$  and  $\mathbf{w}_1 = \mathbf{w}$ . So, let us suppose that  $x_c^\sigma \neq \epsilon$  (this also implies  $y_c^\sigma \neq \epsilon$ ). From  $(q, \mathbf{w}) \xrightarrow{\sigma^k} (q, \mathbf{w})$ , we know that the sequence  $\sigma^k$  is infinitely iterable and we have (1)  $((x_c^\sigma)^k)^\omega = \mathbf{w}_c((y_c^\sigma)^k)^\omega$  and since  $k \geq 1$ ,  $x_c^\sigma \neq \epsilon$  and  $y_c^\sigma \neq \epsilon$ , equality (1) implies that  $(x_c^\sigma)^\omega = \mathbf{w}_c(y_c^\sigma)^\omega$ . In the rest of this proof, we skip the superscript  $\sigma$  and the subscript  $c$  for simplicity. We now write  $x^\omega = \mathbf{w}y^\omega$ .

Lemma 2.4 implies that there exists a primitive word  $z \neq \epsilon$  and two words  $x', x''$  such that  $x = x'x''$ ,  $x''x' \in z^*$ ,  $w \in x^*x'$  and  $y \in z^*$ . Let us write  $y = z^d$ . Since  $x''x' \in z^*$  and since  $x''x'$  has the same length as  $y$ , we deduce that  $x''x' = z^d = y$ . From  $w \in x^*x'$ , we obtain that  $w \in (x'x'')^*x' = x'(x''x')^*$ , hence  $w \in x'(z^d)^*$ . Hence, we have:

$$y = x''x' = z^d, x = x'x'' \text{ and } w = x'z^{ds} \text{ for some } s \geq 0 \quad (2)$$

Since  $(q, \mathbf{w}) \xrightarrow{\sigma} (q, \mathbf{w}_1)$ , the firing equation  $w_1 = x^{-1}wy$  is satisfied. By replacing  $x, w$  by their values in (2) in the firing equation, we obtain:

$$w_1 = x^{-1}wy = x^{-1}x'z^{ds}z^d = x''^{-1}z^d z^{ds} = x''^{-1}x''x'z^{ds} = x'z^{ds} = w.$$

Hence  $(q, \mathbf{w}) \xrightarrow{\sigma} (q, \mathbf{w})$ . ◀

To decide whether  $(q, \mathbf{w}) \xrightarrow{*} (q, \mathbf{w})$ , one tests whether  $(q, \mathbf{w}) \xrightarrow{\sigma} (q, \mathbf{w})$  for some elementary loop  $\sigma$  in the flat FIFO system. Since the FIFO system is flat,  $q$  can be in at most one loop, so only one loop need to be tested. This gives a linear time algorithm for deciding cyclicity.

► **Corollary 3.6.** *Testing cyclicity can be done in linear time for flat FIFO systems.*

We are now going to show an NP upper bound for repeated control state reachability.

Let a loop be labeled with  $\sigma$ . Recall that for each channel  $c$ , we denote by  $x_c^\sigma$  (resp.  $y_c^\sigma$ ) the projection of  $\sigma$  to letters retrieved from (resp. sent to) the channel  $c$ . Let us write  $\sigma_c$  for the projection of  $\sigma$  on channel  $c$ .

► **Remark 3.7.** The loop labeled by  $\sigma$  is infinitely iterable from  $(q, \mathbf{w})$  iff  $\sigma_c$  is infinitely iterable from  $(q, \mathbf{w}(c))$ , for every channel  $c$ . If  $\sigma$  is infinitely iterable from  $(q, \mathbf{w})$  then each projection  $\sigma_c$  is also infinitely iterable from  $(q, \mathbf{w}(c))$ . Conversely, suppose  $\sigma_c$  is infinitely iterable from  $(q, \mathbf{w}(c))$ , for every channel  $c$ . For all  $c \neq c'$ , the actions of  $\sigma_c$  and  $\sigma_{c'}$  are on different channels and hence independent of each other. Since  $\sigma$  is a shuffle of  $\{\sigma_c \mid c \in F\}$ , we deduce that  $\sigma$  is infinitely iterable from  $(q, \mathbf{w})$ .

We now give a characterization for a loop to be infinitely iterable.

► **Lemma 3.8.** *Suppose an elementary loop is on a control state  $q$  and is labeled by  $\sigma$ . It is infinitely iterable starting from the configuration  $(q, \mathbf{w})$  iff for every channel  $c$ ,  $x_c^\sigma = \epsilon$  or the following three conditions are true:  $\sigma$  is fireable at least once from  $(q, \mathbf{w})$ ,  $(x_c^\sigma)^\omega = \mathbf{w}(c) \cdot (y_c^\sigma)^\omega$  and  $|x_c^\sigma| \leq |y_c^\sigma|$ .*



**Proof.** Let  $\ell$  be an elementary loop on a control state  $q$  and labeled by  $\sigma$ . If  $\sigma$  is infinitely iterable starting from the configuration  $(q, \mathbf{w})$  then for every channel  $\mathbf{c}$ , one has  $|x_{\mathbf{c}}| \leq |y_{\mathbf{c}}|$ . Otherwise,  $|x_{\mathbf{c}}| > |y_{\mathbf{c}}|$  (the number of letters retrieved is more than the number of letters sent in each iteration), so the size of the channel content reduces with each iteration, so there is a bound on the number of possible iterations. Since  $\sigma$  is infinitely iterable from  $(q, \mathbf{w})$ , the inequation  $(x_{\mathbf{c}}^{\sigma})^n \leq \mathbf{w}(\mathbf{c}) \cdot (y_{\mathbf{c}}^{\sigma})^n$  must hold for all  $n \geq 0$  (here,  $\leq$  denotes the prefix relation). If  $x_{\mathbf{c}} \neq \epsilon$ , we may go at the limit and we obtain  $(x_{\mathbf{c}}^{\sigma})^{\omega} \leq \mathbf{w}(\mathbf{c}) \cdot (y_{\mathbf{c}}^{\sigma})^{\omega}$ .

Finally,  $\sigma$  is fireable at least once from  $(q, \mathbf{w})$  since it is fireable infinitely from  $(q, \mathbf{w})$ .

Now conversely, suppose that for every channel  $\mathbf{c}$ ,  $x_{\mathbf{c}}^{\sigma} = \epsilon$  or the following three conditions are true:  $\sigma$  is fireable at least once from  $(q, \mathbf{w})$ ,  $(x_{\mathbf{c}}^{\sigma})^{\omega} = \mathbf{w}(\mathbf{c}) \cdot (y_{\mathbf{c}}^{\sigma})^{\omega}$  and  $|x_{\mathbf{c}}^{\sigma}| \leq |y_{\mathbf{c}}^{\sigma}|$ .

For the rest of this proof, we fix a channel  $\mathbf{c}$  and write  $x_{\mathbf{c}}^{\sigma}, y_{\mathbf{c}}^{\sigma}, \mathbf{w}(\mathbf{c})$  as  $x, y, w$  to simplify the notation.

If  $x = \epsilon$  then  $\sigma$  is infinitely iterable because it doesn't retrieve anything. So assume that  $x \neq \epsilon$ . We have  $x^{\omega} = wy^{\omega}$  from the hypothesis. We infer from Lemma 2.4 that there is a primitive word  $z \neq \epsilon$  and words  $x', x''$  such that  $x = x'x''$ ,  $x''x' \in z^*$ ,  $w \in x^*x'$  and  $y \in z^*$ . Suppose  $x''x' = z^j$  and  $y = z^k$ . Since  $|y| \geq |x| = |x''x'|$ , we have  $k \geq j$ . Let us prove the following monotonicity property: for all  $n \geq 0$ ,  $\sigma$  is fireable from any channel content  $wz^n$  and the resulting channel content is  $wz^{n+(k-j)}$  (this will imply that for all  $m \geq 1$ ,  $w \xrightarrow{\sigma^m} wz^{m \times (k-j)}$ , hence that  $\sigma$  is infinitely iterable). We prove the monotonicity property by induction on  $n$ .

For the base case  $n = 0$ , we need to prove that  $w \xrightarrow{\sigma} wz^{k-j}$ . By hypothesis,  $\sigma$  is fireable at least once from  $w$ , hence  $w \xrightarrow{\sigma} w'$  for some  $w'$ . We have  $w' = x^{-1}wy = x^{-1}x^r x' z^k$  for some  $r \in \mathbb{N}$ . Since  $k \geq j$ , we have  $w' = x^{-1}x^r x' z^j z^{k-j} = x^{-1}x^r x' (x''x') z^{k-j} = x^{-1}x^r (x'x'') x' z^{k-j} = x^{-1}x^{r+1} x' z^{k-j} = x^r x' z^{k-j} = wz^{k-j}$ .

For the induction step, we have to show that  $\sigma$  is fireable from channel content  $wz^{n+1}$  and the resulting channel content is  $wz^{n+1+(k-j)}$ . From induction hypothesis, we know that  $\sigma$  is fireable from channel content  $wz^n$ . Since  $y = z^k$ , the channel content after firing a prefix  $\sigma_1$  of  $\sigma$  is  $x_1^{-1}wz^n z^s z_1$ , where  $x_1$  is some prefix of  $x$ ,  $s \in \mathbb{N}$  and  $z_1$  is some prefix of  $z$ . By induction on  $|\sigma_1|$ , we can verify that  $\sigma_1$  can be fired from  $wz^{n+1}$  and results in  $x_1^{-1}wz^{n+1} z^s z_1$ . Hence,  $\sigma$  can be fired from  $wz^{n+1}$  and results in  $x^{-1}wz^{n+1}y = x^{-1}x^r x' z^{n+1} z^k = x^{-1}x^r x' z^j z^{n+1+k-j} = x^{-1}x^r x' x'' x' z^{n+1+k-j} = x^{-1}x^{r+1} x' z^{n+1+k-j} = wz^{n+1+k-j}$ . This completes the induction step and hence proves the monotonicity property.

Hence  $\sigma$  is infinitely iterable.  $\blacktriangleleft$

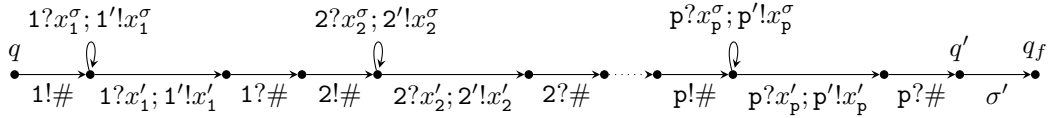
The proof of Lemma 3.8 provides a complete characterization of the contents of a FIFO channel when a loop is infinitely iterable. One may observe that the channel acts like a counter (of the number of occurrences of  $z$ ).

► **Corollary 3.9.** *With the previous notations, the set of words in channel  $\mathbf{c}$  that occur in control-state  $q$  is the regular periodic language  $\mathbf{w}(\mathbf{c}) \cdot [z_{\mathbf{c}}^{k-j}]^*$ , when the elementary loop containing  $q$  is iterated arbitrarily many times.*

► **Remark 3.10.** One may find other similar results on infinitely iterable loops in many papers [26, 34, 8, 9, 30]. Our Lemma 3.8 is the same as [30, Proposition 5.1] except that it (easily) extends it to systems with *multiple* channels and also provides the converse. Lemma 3.8 simplifies and improves Proposition 5.4. in [9] that used the equivalent but more complex notion of *inc-repeating sequence*. Also, the results in [9] don't give the simple representation of the regular periodic language.

► **Proposition 3.11.** *The repeated control state reachability problem is in NP for flat FIFO systems.*

**Proof.** We describe an NP algorithm. Suppose  $S$  is the given flat FIFO system and the control state  $q$  is to be reached repeatedly. Suppose  $q$  is in a loop labeled with  $\sigma$ . The algorithm first verifies that for every channel  $c$ ,  $|x_c^\sigma| \leq |y_c^\sigma|$  — if this condition is violated, the answer is no. From Lemma 3.8, it is enough to verify that we can reach a configuration  $(q, \mathbf{w})$  such that  $\sigma$  can be fired at least once from  $(q, \mathbf{w})$  and for every channel  $c$  for which  $x_c^\sigma \neq \epsilon$ , we have  $(x_c^\sigma)^\omega = \mathbf{w}(c) \cdot (y_c^\sigma)^\omega$ . Since the case of  $x_c^\sigma = \epsilon$  can be handled easily, we assume in the rest of this proof that  $x_c^\sigma \neq \epsilon$  for every  $c$ . For verifying that  $(x_c^\sigma)^\omega = \mathbf{w}(c) \cdot (y_c^\sigma)^\omega$ , the algorithm depends on Lemma 2.4: the algorithm guesses  $x'_c, x''_c, z_c \in M^*$  such that  $x_c^\sigma = x'_c x''_c$  and  $x''_c x'_c, y_c^\sigma \in z_c^*$ . We have  $|x'_c|, |x''_c| \leq |x_c^\sigma|$  and  $|z_c| \leq |y_c^\sigma|$  so the guessed strings are of size bounded by the size of the input. It remains to verify that we can reach a configuration  $(q, \mathbf{w})$  such that for every channel  $c$ ,  $\mathbf{w}(c) \in (x_c^\sigma)^* x'_c$  and  $\sigma$  can be fired at least once from  $(q, \mathbf{w})$ . For accomplishing these two tasks, we add a channel  $c'$  for every channel  $c$  in the FIFO system  $S$ . The following gadgets are appended to the control state  $q$ , assuming that there are  $p$  channels and  $\#$  is a special letter not in the channel alphabet  $M$ . We denote by  $\sigma'$  the sequence of transitions obtained from  $\sigma$  by replacing every channel  $c$  by  $c'$ . A transition labeled with  $c?x_c^\sigma; c'!x_c^\sigma$  is to be understood as a sequence of transitions whose effect is to retrieve  $x_c^\sigma$  from channel  $c$  and send  $x_c^\sigma$  to channel  $c'$ .



Finally our algorithm runs the NP algorithm to check that the control state  $q_f$  is reachable. We claim that the control state  $q$  can be visited infinitely often iff our algorithm accepts. Suppose  $q$  can be visited infinitely often. So the loop containing  $q$  can be iterated infinitely often. Hence from Lemma 3.8, we infer that  $S$  can reach a configuration  $(q, \mathbf{w})$  such that  $\sigma$  can be fired at least once and for every channel  $c$ ,  $|x_c^\sigma| \leq |y_c^\sigma|$  and  $(x_c^\sigma)^\omega = \mathbf{w}(c) \cdot (y_c^\sigma)^\omega$ . From Lemma 2.4, there exist  $x'_c, x''_c, z_c \in M^*$  such that  $x_c^\sigma = x'_c x''_c$ ,  $\mathbf{w}(c) \in (x_c^\sigma)^* x'_c$  and  $x''_c x'_c, y_c^\sigma \in z_c^*$ . Our algorithm can guess exactly these words  $x'_c, x''_c, z_c$ . It is easy to verify that from the configuration  $(q, \mathbf{w})$ , the configuration  $(q', \mathbf{w}')$  can be reached, where  $\mathbf{w}'(c') = \mathbf{w}(c)$  for every  $c$ . Since  $\sigma$  can be fired from  $(q, \mathbf{w})$ ,  $\sigma'$  can be fired from  $(q', \mathbf{w}')$  to reach  $q_f$ . So our algorithm accepts.

Conversely, suppose our algorithm accepts. Hence the control state  $q_f$  is reachable. By construction, we can verify that the run reaching the control state  $q_f$  has to visit a configuration  $(q, \mathbf{w})$  such that for every channel  $c$ ,  $\mathbf{w}(c) \in (x_c^\sigma)^* x'_c$  and  $\sigma$  can be fired at least once from  $(q, \mathbf{w})$ . Our algorithm also verifies that  $|x_c^\sigma| \leq |y_c^\sigma|$ ,  $x_c^\sigma = x'_c x''_c$  and  $x''_c x'_c, y_c^\sigma \in z_c^*$ . Hence, from Lemma 2.4 and Lemma 3.8, we infer that the loop containing  $q$  can be iterated infinitely often starting from the configuration  $(q, \mathbf{w})$ . Hence, there is a run that visits  $q$  infinitely often.  $\blacktriangleleft$

Let us now introduce the non-termination and the unboundedness problems.

► **Problem (Non-termination).** *Given:* A FIFO system  $S$  and an initial configuration  $(q_0, \mathbf{w}_0)$ . *Question:* Is there an infinite run from  $(q_0, \mathbf{w}_0)$ ?

► **Problem (Unboundedness).** *Given:* A FIFO system  $S$  and an initial configuration  $(q_0, \mathbf{w}_0)$ . *Question:* Is the set of configurations reachable from  $(q_0, \mathbf{w}_0)$  infinite?

► **Corollary 3.12.** *For flat FIFO systems, the non-termination and unboundedness problems are in NP.*

**Proof.** First we deal with non-termination. A flat system is non-terminating iff there is an infinite run  $r$ . As there are only a finite number of control-states, the run will visit at least one control state (say  $q$ ) infinitely often. Hence to solve non-termination, we can guess a control state  $q$  and use the NP algorithm of Proposition 3.11 to check that  $q$  can be visited infinitely often. This gives an NP upper bound for non-termination.

Next we deal with unboundedness. The *effect of a loop*  $\ell$  labeled with  $\sigma$  is a vector of integers  $\mathbf{v}_\ell \in \mathbb{Z}^F$  such that  $\mathbf{v}_\ell(c) = |x_c^\sigma| - |y_c^\sigma|$  for every  $c \in F$ . If  $\ell$  is an infinitely iterable loop, then  $\mathbf{v}_\ell \geq \mathbf{0}$ , where  $\geq$  is component-wise comparison and  $\mathbf{0}$  is the vector with all components equal to 0. If none of the loops in a flat FIFO system are infinitely iterable, then only finitely many configurations can be reached. Hence, an unbounded flat FIFO system has at least one loop  $\ell$  that is infinitely iterable, hence  $\mathbf{v}_\ell \geq \mathbf{0}$ . If every infinitely iterable loop  $\ell$  has  $\mathbf{v}_\ell = \mathbf{0}$ , then none of the infinitely iterable loops will increase the length of any channel content. Hence, there is a bound on the length of the channel contents in any reachable configuration, so only finitely many configurations can be reached. Hence, in an unbounded flat FIFO system, there is at least one infinitely iterable loop  $\ell$  with  $\mathbf{v}_\ell \neq \mathbf{0}$ .

Conversely, suppose a flat FIFO system has an infinitely iterable loop  $\ell$  with  $\mathbf{v}_\ell \neq \mathbf{0}$ . Since  $\ell$  is infinitely iterable,  $\mathbf{v}_\ell \geq \mathbf{0}$ . Hence there is some channel  $c$  such that  $\mathbf{v}_\ell(c) \geq 1$ . So every iteration of the loop  $\ell$  will increase the length of the content of channel  $c$  by at least 1. Hence, infinitely many iterations of the loop  $\ell$  will result in infinitely many configurations. So a system  $S$  is unbounded iff there exists an infinitely iterable loop  $\ell$  such that  $\mathbf{v}_\ell \geq \mathbf{0}$  and  $\mathbf{v}_\ell \neq \mathbf{0}$ . Hence to decide unboundedness, we guess a control state  $q$ , verify that it belongs to a loop whose effect is non-negative on all channels and strictly positive on at least one channel and use the algorithm of Proposition 3.11 to check that  $q$  can be visited infinitely often. This gives an NP upper bound for unboundedness.  $\blacktriangleleft$

For a word  $w$  and a letter  $a$ ,  $|w|_a$  denotes the number of occurrences of  $a$  in  $w$ . For a FIFO system, we say that a letter  $a$  is unbounded in channel  $c$  if for every number  $B$ , there exists a reachable configuration  $(q, \mathbf{w})$  with  $|\mathbf{w}(c)|_a \geq B$ . A channel  $c$  is unbounded if at least one letter  $a$  is unbounded in  $c$ .

► **Problem (Channel-unboundedness).** *Given:* A FIFO system  $S$ , an initial configuration  $(q_0, \mathbf{w}_0)$  and a channel  $c$ . *Question:* Is the channel  $c$  unbounded from  $(q_0, \mathbf{w}_0)$ ?

► **Problem (Letter-channel-unboundedness).** *Given:* A FIFO system  $S$ , an initial configuration  $(q_0, \mathbf{w}_0)$ , a channel  $c$  and a letter  $a$ . *Question:* Is the letter  $a$  unbounded in channel  $c$  from  $(q_0, \mathbf{w}_0)$ ?

Now we give an NP upper bound for letter channel unboundedness in flat FIFO systems. We use the following two results in our proof.

► **Theorem 3.13** ([25, Theorem 3, Theorem 7]). *Let  $S = p_0(\ell_1)^*p_1 \cdots (\ell_r)^*p_r$  be a FIFO path schema. We can compute in polynomial time an existential Presburger formula  $\phi(x_1, \dots, x_r)$  satisfying the following property: there is a run of  $S$  in which the loop  $\ell_i$  is iterated exactly  $n_i$  times for every  $i \in \{1, \dots, r\}$  iff  $\phi(n_1, \dots, n_r)$  is true.*

For vectors  $\mathbf{k}, \mathbf{x}$  and matrix  $\mathbf{A}$ , the expression  $\mathbf{k} \cdot \mathbf{x}$  denotes the dot product and the expression  $\mathbf{A}\mathbf{x}$  denotes the matrix product.

► **Lemma 3.14** ([37, Lemma 3]). *Suppose  $\mathbf{A}$  is an integer matrix and  $\mathbf{k}, \mathbf{b}$  are integer vectors satisfying the following property: for every  $B \in \mathbb{N}$ , there exists a vector  $\mathbf{x}$  of rational numbers such that  $\mathbf{A}\mathbf{x} \geq \mathbf{b}$  and  $\mathbf{k} \cdot \mathbf{x} \geq B$ . If there is an integer vector  $\mathbf{x}$  such that  $\mathbf{A}\mathbf{x} \geq \mathbf{b}$ , then for every  $B \in \mathbb{N}$ , there exists an integer vector  $\mathbf{x}$  such that  $\mathbf{A}\mathbf{x} \geq \mathbf{b}$  and  $\mathbf{k} \cdot \mathbf{x} \geq B$ .*

► **Proposition 3.15.** *Given a flat FIFO system, a letter  $a$  and channel  $c$ , the problem of checking whether  $a$  is unbounded in  $c$  is in NP.*

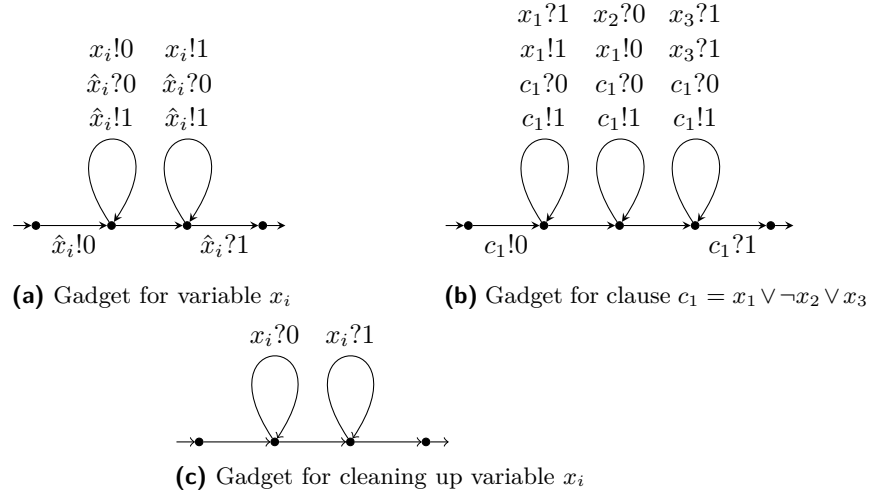
**Proof.** The letter  $a$  is unbounded in  $c$  iff there exists a control state  $q$  such that for every number  $B$ , there is a reachable configuration with control state  $q$  and at least  $B$  occurrences of  $a$  in channel  $c$  (this follows from definitions since there are only finitely many control states). A non-deterministic polynomial time Turing machine begins by guessing a control state  $q$ . If there are  $r$  loops in the path schema ending at  $q$ , the Turing machine computes an existential Presburger formula  $\phi(x_1, \dots, x_r)$  satisfying the following property:  $\phi(n_1, \dots, n_r)$  is true iff there is a run ending at  $q$  in which loop  $i$  is iterated  $n_i$  times for every  $i \in \{1, \dots, r\}$ . Such a formula can be computed in polynomial time (Theorem 3.13). Let  $k_i$  be the number of occurrences of the letter  $a$  sent to channel  $c$  by one iteration of the  $i^{\text{th}}$  loop ( $k_i$  would be negative if  $a$  is retrieved instead). If loop  $i$  is iterated  $n_i$  times for every  $i$  in a run, then at the end of the run there are  $k_1 n_1 + \dots + k_r n_r$  occurrences of the letter  $a$  in channel  $c$ . To check that  $a$  is unbounded in channel  $c$ , we have to verify that there are tuples  $\langle n_1, \dots, n_r \rangle$  such that  $\phi(n_1, \dots, n_r)$  is true and  $k_1 n_1 + \dots + k_r n_r$  is arbitrarily large. This is easier to do if there are no disjunctions in the formula  $\phi(x_1, \dots, x_r)$ . If there are any sub-formulas with disjunctions, the Turing machine non-deterministically chooses one of the disjuncts and drops the other one. This is continued till all disjuncts are discarded. This results in a conjunction of linear inequalities, say  $A\mathbf{x} \geq \mathbf{b}$ , where  $\mathbf{x}$  is the tuple of variables  $\langle x_1, \dots, x_r \rangle$ . The machine then tries to maximize  $k_1 x_1 + \dots + k_r x_r$  over rationals subject to the constraints  $A\mathbf{x} \geq \mathbf{b}$ . This can be done in polynomial time, since linear programming is in polynomial time. If the value  $k_1 x_1 + \dots + k_r x_r$  is unbounded above over rationals subject to the constraints  $A\mathbf{x} \geq \mathbf{b}$ , then the machine invokes the NP algorithm to check if the constraints  $A\mathbf{x} \geq \mathbf{b}$  has a feasible solution over integers. If it does, then  $k_1 x_1 + \dots + k_r x_r$  is also unbounded above over integers (Lemma 3.14). Hence, in this case,  $a$  is unbounded in channel  $c$ . ◀

The above result also gives an NP upper bound for channel-unboundedness. We just guess a letter  $a$  and check that it is unbounded in the given channel.

We adapt the proof of NP-hardness for the control state reachability problem from [25] to prove NP hardness for reachability, repeated control state reachability, unboundedness and non-termination.

► **Theorem 3.16.** *For flat FIFO systems, reachability, repeated control-state reachability, non-termination, unboundedness, channel-unboundedness and letter-channel-unboundedness are NP-hard.*

**Proof.** We reduce from 3SAT. Given a 3-CNF formula  $\text{clause}_1 \wedge \dots \wedge \text{clause}_m$  over variables  $x_1, \dots, x_n$ , we construct a flat FIFO system with  $2n + m$  channels  $\{x_i, \hat{x}_i \mid i \in [1, n]\} \cup \{c_i \mid i \in [1, m]\}$ . There are two letters 0, 1 in the message alphabet. The channel  $x_i$  is used to keep a guess of the truth assignment to the variable  $x_i$ . The channel  $\hat{x}_i$  is a “control channel” used to ensure that only one guess is made. The channel  $c_i$  is used to verify that  $\text{clause}_i$  is satisfied. The flat FIFO system consists of the gadgets shown in Fig. 3. The gadget for variable  $x_i$  adds either 0 (in the left loop) or 1 (in the right loop) to channel  $x_i$ . Only one letter can be added since each iteration of each loop needs to retrieve the letter 0 from channel  $\hat{x}_i$  and there is at most one occurrence of 0 in channel  $\hat{x}_i$ . At the end of this gadget, channel  $x_i$  will have either 0 or 1 and channel  $\hat{x}_i$  will be empty. We will sequentially compose the gadgets for all variables. Starting from the initial control state of the gadget for variable  $x_1$ , we reach the final control state of the gadget for variable  $x_n$  and the contents of the channels  $x_1, \dots, x_n$  determine a truth valuation.



■ **Figure 3** Gadgets used in the proof of Lemma 3.16

The gadget for the example clause  $c_1 = x_1 \vee \neg x_2 \vee x_3$  (gadgets for other clauses follow similar pattern) is shown in Fig. 3. The gadget checks that channel  $x_1$  has 1 (in the first loop) or that channel  $x_2$  has 0 (in the second loop) or that channel 3 has 1 (in the third loop). At most one of these loops can be iterated at most once, since each iteration of each loop needs to retrieve the letter 0 from channel  $c_1$  and there is at most once occurrence of 0 in channel  $c_1$ . We append the clause gadgets to the end of the variable gadgets one after the other. All clauses are satisfied by the truth valuation determined by the contents of channels  $x_1, \dots, x_n$  iff we can reach the last control state of the last clause.

The gadget for cleaning up variable  $x_i$  is shown on the bottom in Fig. 3. We append the cleanup gadgets to the end of the clause gadgets one after the other. The last control state of the cleanup gadget for variable  $x_n$  can be reached iff the given 3-CNF formula is satisfiable.

The given 3-CNF formula is satisfiable iff the last control state of the cleanup gadget for variable  $x_n$  can be reached with all channels being empty. Hence, this constitutes a reduction to the reachability problem. Note that in the flat FIFO system constructed above, all channels are bounded and none of the control states can be visited infinitely often. We add a self loop to the last control state of the cleanup gadget for variable  $x_n$  that adds letter 1 to channel  $x_1$ . If this loop can be reached, then it can be iterated infinitely often to add unboundedly many occurrences of the letter 1 to channel  $x_1$ . Now, the given 3-CNF formula is satisfiable iff the constructed flat FIFO system is unbounded iff channel  $x_1$  is unbounded iff letter 1 is unbounded in channel  $x_1$  iff there is a non-terminating run iff the last control state of the cleanup gadget for variable  $x_n$  can be reached infinitely often. Hence reachability, unboundedness, channel unboundedness, letter channel unboundedness, non-termination and repeated control state reachability are all NP-hard. ◀

Hence we deduce the main result of this Section.

► **Theorem 3.17** (Most properties are NP-complete). *For flat FIFO systems, reachability, repeated reachability, repeated control-state reachability, termination, boundedness, channel-boundedness and letter-channel-boundedness are NP-complete. Cyclicity can be decided in linear time.*

## 4 Construction of an Equivalent Counter System

Suppose we want to model check flat FIFO systems against logics in which atomic formulas are of the form  $\#_c^a \geq k$ , which means there are at least  $k$  occurrences of the letter  $a$  in channel  $c$ .

There is no easy way of designing an algorithm for this model checking problem based on the construction in [25], even though we solved reachability and related problems in previous sections using that construction. That construction is based on simulating FIFO systems using automata that have multiple reading heads on an input tape. The channel contents of the FIFO system are represented in the automaton as the sequence of letters on the tape between two reading heads. There is no way in the automaton to access the tape contents between two heads, and hence no way to check the number of occurrences of a specific letter in a channel. CQDDs introduced in [9] represent the entire set of reachable states and they are also not suitable for model checking.

To overcome this problem, we introduce here a counter system to simulate flat FIFO systems. This has the additional advantage of being amenable to analysis using existing tools on counter machines. Counter systems are finite state automata augmented with counters that can store natural numbers. Let  $K$  be a finite set of counters and let *guards over  $K$*  be the set  $G(K)$  of positive Boolean combinations<sup>1</sup> of constraints of the form  $C = 0$  and  $C > 0$ , where  $C \in K$ .

► **Definition 4.1** (Counter systems). *A counter system  $S$  is a tuple  $\langle Q, K, \Delta \rangle$  where  $Q$  is a finite set of control states and  $\Delta \subseteq Q \times G(K) \times \{-1, 0, 1\}^K \times Q$  is a finite set of transitions.*

We may add one or two labeling functions to the tuple  $\langle Q, K, \Delta \rangle$  to denote labeled counter systems. The semantics of a counter system is a transition system with set of states  $Q \times \mathbb{N}^K$ , called *configurations of the counter system*. A counter valuation  $\nu \in \mathbb{N}^K$  satisfies a guard  $C = 0$  (resp.  $C > 0$ ) if  $\nu(C) = 0$  (resp.  $\nu(C) > 0$ ), written as  $\nu \models C = 0$  (resp.  $\nu \models C > 0$ ). The satisfaction relation is extended to Boolean combinations in the standard way. For every transition  $\delta = q \xrightarrow[g]{\mathbf{u}} q'$  in the counter system, we have transitions  $(q, \nu_1) \xrightarrow{\delta} (q', \nu_2)$  in the associated transition system for every  $\nu_1$  such that  $\nu_1 \models g$  and  $\nu_2 = \nu_1 + \mathbf{u}$  (addition of vectors is done component-wise). We write a transition  $(q, C_2 = 0, \langle 1, 0 \rangle, q')$  as  $q \xrightarrow[C_2=0]{C_1^{++}} q'$ , denoting addition of 1 to  $C_1$  by  $C_1^{++}$ . We denote by  $\longrightarrow$  the union  $\cup_{\delta \in \Delta} \xrightarrow{\delta}$ . A *run* of the counter system is a finite or infinite sequence  $(q_0, \nu_0) \longrightarrow (q_1, \nu_1) \longrightarrow \dots$  of configurations, where each pair of consecutive configurations is in the transition relation.

We assume for convenience that the message alphabet  $M$  of a FIFO system is the disjoint union of  $M_1, \dots, M_p$ , where  $M_c$  is the alphabet for channel  $c$ . In the following, let  $S = (Q, F, M, \Delta)$  be a flat FIFO system, where the set of channels  $F = \{1, \dots, p\}$  and the set of transitions  $\Delta = \{t_1, \dots, t_r\}$ .

### The counting abstraction system

The idea behind the *counting abstraction system* is to *ignore the order of letters* stored in the channels and use counters to remember only the number of occurrences of each letter. If a transition  $t$  sends letter  $a$ , the corresponding transition in the counting abstraction system increments the counter  $(a, t)$ . If a transition  $t$  retrieves a letter  $a$ , the retrieved letter

<sup>1</sup> In the literature, counter systems can have more complicated guards, such as Presburger constraints. For our purposes, this restricted version suffices.

would have been produced by some earlier transition  $t'$ ; the corresponding transition in the counting abstraction system will decrement the counter  $(a, t')$ . The counting abstraction system doesn't exactly simulate the flat FIFO system. For example, if the transition labeled  $(a, t_1)^{-}$  in Fig. 4(b) is executed, we know that there is at least one occurrence of the letter  $a$  in the channel, since the counter  $(a, t_1)$  is greater than zero at the beginning of the transition. However, it is not clear that the letter  $a$  is at the front of the channel; there might be an occurrence of the letter  $b$  at the front. This condition can't be tested using the counting abstraction system. We use other counter systems to maintain the order of letters.

Formally, the *counting abstraction system* corresponding to  $S$  is a labeled counter system  $S_{\text{count}} = (Q, K, \Delta_{\text{count}}, \psi, T)$ , where  $(Q, K, \Delta_{\text{count}})$  is a counter system and  $\psi, T$  are labeling functions. The set of *counters*  $K$  is in bijection with  $M \times \Delta$  and a counter will be denoted  $c_{a,t}$  or shortly  $(a, t)$ , for  $a \in M$  and  $t \in \Delta$ . The set  $\Delta_{\text{count}}$  of *transitions* of  $S_{\text{count}}$  and the labeling functions  $\psi : \Delta_{\text{count}} \rightarrow (M \times \Delta) \cup \{\tau\}$  and  $T : \Delta_{\text{count}} \rightarrow \Delta$  are defined as follows: for every transition  $t \in \Delta$ , one adds the following transitions in  $\Delta_{\text{count}}$  :

- If  $t$  sends a message,  $t = q_1 \xrightarrow{c!a} q_2$ , then the transition  $t_{\text{count}} = q_1 \xrightarrow{(a,t)^{++}} q_2$  is added to  $\Delta_{\text{count}}$  ; we define  $\psi(t_{\text{count}}) = \tau$  and  $T(t_{\text{count}}) = t$ .
- If  $t = q_1 \rightarrow q_2$  doesn't change any channel content, then the transition  $t_{\text{count}} = q_1 \rightarrow q_2$  is added to  $\Delta_{\text{count}}$  ; we define  $\psi(t_{\text{count}}) = \tau$  and  $T(t_{\text{count}}) = t$ .
- If  $t$  receives a message,  $t = q_1 \xrightarrow{c?a} q_2$ , then the set of transitions  $A_t$  is added to  $\Delta_{\text{count}}$  with  $A_t = \{\delta_{a,t'} = q_1 \xrightarrow[(a,t')>0]{(a,t')^{-}} q_2 \mid t' \text{ sends } a \text{ to channel } c\}$ . We define  $\psi(\delta_{a,t'}) = (a, t')$  and  $T(\delta_{a,t'}) = t$ , for all  $\delta_{a,t'} \in A_t$ .

The function  $\psi$  above will be used for synchronization with other counter systems later and  $T$  will be used to match the traces of this counter system with those of the original flat FIFO system. In figures, we do not show the labels given by  $\psi$  and  $T$ . They can be easily determined. For a transition  $\delta_{a,t'} \in \Delta_{\text{count}}$ , it decrements the counter  $(a, t')$  and  $\psi(\delta_{a,t'}) = (a, t')$ . Transitions that don't decrement any counter are mapped to  $\tau$  by  $\psi$ .

► **Example 4.2.** Figure 4(a) shows a flat FIFO system and Fig. 4(b) shows its counting abstraction system.

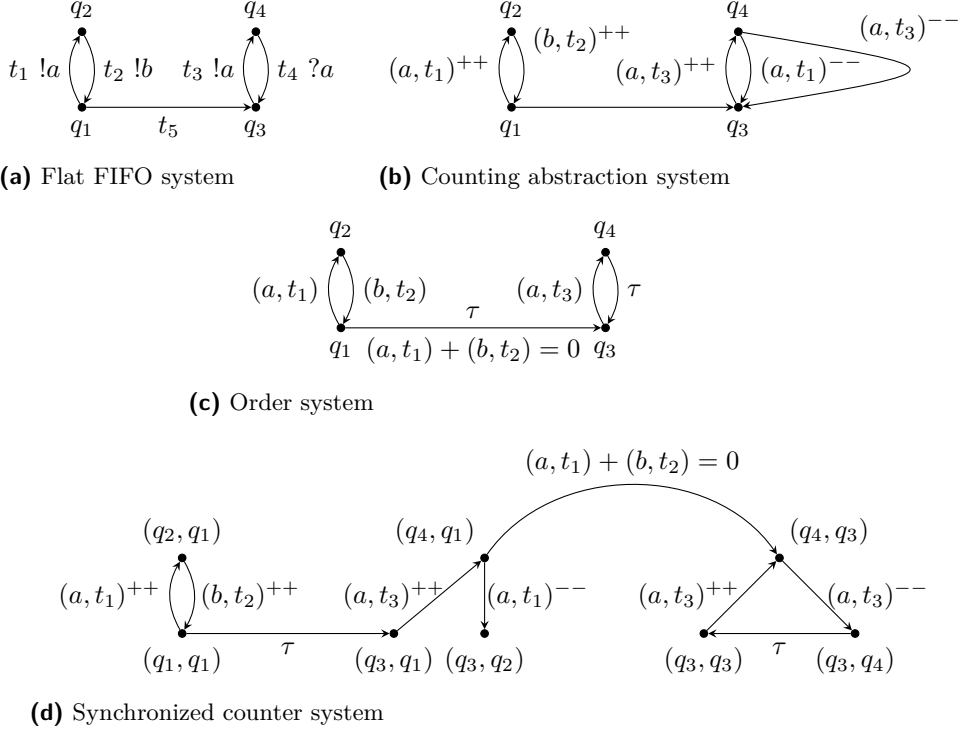
### The order system

The *order system for channel  $c$*  is a labeled counter system  $S_{\text{order}}^c = (Q, K, \Delta_{\text{order}}^c, \psi^c)$ , where  $(Q, K, \Delta_{\text{order}}^c)$  is a counter system and  $\psi^c$  is a labeling function. The set of control states  $Q$  and the set of counters  $K$  are the same as in the counting abstraction system. The set  $\Delta_{\text{order}}^c$  of *transitions* of  $S_{\text{order}}^c$  and the *labeling function*  $\psi^c : \Delta_{\text{order}}^c \rightarrow (M \times \Delta) \cup \{\tau\}$  are defined as follows: for every  $t \in \Delta$ , one adds the following transitions in  $\Delta_{\text{order}}^c$ :

- If  $t = q_1 \xrightarrow{c!a} q_2$ , one adds to  $\Delta_{\text{order}}^c$  the transition  $t' = q_1 \rightarrow q_2$  and  $\psi^c(t') = (a, t)$ .
- If  $t = q_1 \xrightarrow{x} q_2$  where  $x$  doesn't contain a sending operation (of a letter) to channel  $c$ , one adds to  $\Delta_{\text{order}}^c$  the transition  $t' = q_1 \rightarrow q_2$  and  $\psi^c(t') = \tau$ .

While adding the transitions above, if  $t$  happens to be the first transition after and outside a loop in  $S$ , we add a guard to the transition  $t'$  that we have given in the above two cases. Suppose  $t$  is the first transition after and outside a loop, and the loop is labeled by  $\sigma$ . We add the following guard to the transition  $t'$ .

$$\sum_{\substack{t'' \text{ occurs in } \sigma \\ a \in M}} (a, t'') = 0$$



■ **Figure 4** An example flat FIFO system and the equivalent counter system.

This constraint ensures that all the letters produced by iterations of  $\sigma$  are retrieved before letters produced by later transitions.

Figure 4(c) shows the order system corresponding to the flat FIFO system of Fig. 4(a).

### The synchronized counter system

We will synchronize the counting abstraction system  $S_{\text{count}}$  with the order systems  $(S_{\text{order}}^c)_c$  by *rendez-vous* on transition labels.

Suppose that the system  $S_{\text{order}}^c$  is in state  $q_2$  as shown in Fig. 4(c) and the system  $S_{\text{count}}$  is in state  $q_4$ , as shown in Fig. 4(b). The system  $S_{\text{order}}^c$  is in state  $q_2$  and the only transition going out from  $q_2$  is labeled by  $(b, t_2)$ , denoting the fact that the next letter to be retrieved from the channel is  $b$ . The system  $S_{\text{count}}$  can't execute the transition labeled with  $(a, t_1)^{-}$  in this configuration, since its  $\psi$ -label is  $(a, t_1)$  and hence it can't synchronize with the system  $S_{\text{order}}^c$ , whose next transition is labeled with  $(b, t_2)$ . The guard  $(a, t_1) + (b, t_2) = 0$  in the bottom transition in Fig. 4(c) ensures that all occurrences of letters produced by iterations of the first loop are retrieved before those produced by the second loop.

In the following, the *label of a transition* refers to the image of that transition under the function  $\psi$  (if the transition is in the counting abstraction system) or the function  $\psi^c$  (if the transition is in the order system for channel  $c$ ).

The *synchronized counter system*  $S_{\text{sync}} = S_{\text{count}} \parallel S_{\text{order}}^1 \parallel \dots \parallel S_{\text{order}}^c \parallel \dots \parallel S_{\text{order}}^p$  is the synchronized (by *rendez-vous*) product of the *counting abstraction system*  $S_{\text{count}}$  and the *order systems*  $S_{\text{order}}^c$  for all channels  $c \in \{1, \dots, p\}$ . All counter systems share the same set of counters  $K$  and have disjoint copies of the set of control states  $Q$ , so the global control states of the synchronized counter system are tuples in  $Q^{p+1}$ . Transitions labeled



with  $\tau$  need not synchronize with others. Each transition labeled (by the function  $\psi$  or  $\psi^c$  as explained above) with an element of  $M \times \Delta$  should synchronize with exactly one other transition that is similarly labeled. We extend the labeling function  $T$  of  $S_{\text{count}}$  to  $S_{\text{sync}}$  as follows: if a transition  $t$  of  $S_{\text{count}}$  participates in a transition  $t_s$  of  $S_{\text{sync}}$ , then  $T(t_s) = T(t)$ . If no transition from  $S_{\text{count}}$  participates in  $t_s$ , then  $T(t_s) = \tau$  and we call  $t_s$  a silent transition.

Since we have assumed that the channel alphabets for different channels are mutually disjoint, synchronizations can only happen between the counting abstraction system and one of the order systems. For a global control state  $\bar{q} \in Q^{p+1}$ ,  $\bar{q}(0)$  denotes the local state of the counting abstraction system and  $\bar{q}(c)$  denotes the local state of the order system for channel  $c$ . The synchronized counter system maintains the channel contents of the flat FIFO system as explained next.

### A weak bisimulation between the FIFO system and the synchronized system

We now explain that every reachable configuration  $(\bar{q}, \nu)$  of  $S_{\text{sync}}$  corresponds to a unique configuration  $h(\bar{q}, \nu)$  of the original FIFO system  $S$ . The corresponding configuration of  $S$  is  $h(\bar{q}, \nu) = (\bar{q}(0), h_1(v_1), h_2(v_2), \dots, h_p(v_p))$ , where the words  $v_c \in \Delta^*$  and morphisms  $h_c : \Delta^* \rightarrow M^*$  are as follows. Fix a channel  $c$ . Let  $v_c \in \Delta^*$  be a word labelling a path in  $S$  from  $\bar{q}(c)$  to  $\bar{q}(0)$  such that  $\text{Parikh}(v_c)(t) = \nu((a, t))$  for every transition  $t \in \Delta$  that sends some letter to channel  $c$  (and  $a$  is the letter that is sent by  $t$ ). Now, define  $h_c(t) = a$  if  $t$  sends some letter to channel  $c$  (and  $a$  is the letter sent) and  $h_c(t) = \epsilon$  otherwise. The word  $h_c(v_c)$  is unique since  $S$  is flat and so the set of traces of  $S$ , interpreted as a language over the alphabet  $\Delta$ , is included in a bounded language. Intuitively, the path  $v_c$  gives the order of letters in channel  $c$  and the counters give the number of occurrences of each letter. Let us denote by  $R_{h, \text{sync}}$  the relation  $\{(h((\bar{q}, \nu)), (\bar{q}, \nu)) \mid (\bar{q}, \nu) \text{ is reachable in } S_{\text{sync}}\}$ .

► **Example 4.3.** Figure 4(d) shows the reachable states of the synchronized counter system for the flat FIFO system in Fig. 4(a). Initially, both the counting abstraction system and the order system are in state  $q_1$ , so the global state is  $(q_1, q_1)$ . Then the counting abstraction system may execute the transition labeled  $(a, t_1)^{++}$  and go to state  $q_2$  while the order system stays in state  $q_1$ , resulting in the global state  $(q_2, q_1)$ . Consider the global state  $\bar{q} = (q_3, q_2)$  and counter valuation  $\nu$  with  $\nu((a, t_1)) = 2$ ,  $\nu((b, t_2)) = 3$  and  $\nu((a, t_3)) = 1$ . Then, for the only channel  $c = 1$ ,  $v_c = t_2(t_1 t_2)^2 t_5 t_3$  and  $h_c(v_c) = b(ab)^2 a$ .

Let us recall that a relation  $R$  between the reachable configurations of the FIFO system  $S$  and the synchronized counter system  $S_{\text{sync}}$  is a *weak bisimulation* if every pair  $((q, \mathbf{w}), (\bar{q}, \nu)) \in R$  satisfies the following conditions: (1) for every transition  $(q, \mathbf{w}) \xrightarrow{t} (q', \mathbf{w}')$  in  $S$ , there is a sequence  $\sigma$  of transitions in  $S_{\text{sync}}$  such that  $T(\sigma) \in \tau^* t \tau^*$ ,  $(\bar{q}, \nu) \xrightarrow{\sigma} (\bar{q}', \nu')$  and  $((q', \mathbf{w}'), (\bar{q}', \nu')) \in R$ , (2) for every transition  $(\bar{q}, \nu) \xrightarrow{t_s} (\bar{q}', \nu')$  in  $S_{\text{sync}}$  with  $T(t_s) = \tau$ ,  $((q, \mathbf{w}), (\bar{q}', \nu')) \in R$  and (3) for every transition  $(\bar{q}, \nu) \xrightarrow{t_s} (\bar{q}', \nu')$  in  $S_{\text{sync}}$  with  $T(t_s) = t \neq \tau$ ,  $(q, \mathbf{w}) \xrightarrow{t} (q', \mathbf{w}')$  is a transition in  $S$  and  $((q', \mathbf{w}'), (\bar{q}', \nu')) \in R$ .

► **Proposition 4.4.** *The relation  $R_{h, \text{sync}}$  is a weak bisimulation.*

**Proof.** By routine induction on the length of the run of  $S_{\text{sync}}$  reaching the configuration  $(\bar{q}, \nu)$ . Let  $h((\bar{q}, \nu)) = (\bar{q}(0), h_1(v_1), \dots, h_p(v_p))$ . If the next synchronized transition in  $S_{\text{sync}}$  sends a letter to channel  $c$ , the corresponding counter will increment and the local state of  $S_{\text{count}}$  will advance by one state. This will result in the word  $v_c$  to be suffixed by another edge, reflecting the addition of a new letter to channel  $c$  and maintaining the weak bisimulation. If the next synchronized transition in  $S_{\text{sync}}$  retrieves a letter from channel  $c$ , the corresponding

counter will decrement and the local state of  $S_{\text{order}}^c$  will advance by one state. This will remove the first letter from the word  $v_c$  and weak bisimulation is again maintained. ◀

### A bisimulation between the FIFO system and the modified synchronized system

We proved weak bisimulation above instead of bisimulation, due to the presence of silent transitions in the order systems participating in  $S_{\text{sync}}$ . We can modify the order systems as follows to get a bisimulation. For every channel  $c$  and every transition  $q_1 \rightarrow q_2$  labeled  $\tau$  in  $S_{\text{order}}^c$ , remove the transition and merge the two states  $q_1, q_2$  into one state. If exactly one of the two states  $q_1, q_2$  was an anchor state, retain the name of the anchor state as the name of the merged state. Otherwise, retain  $q_2$  as the name of the merged state. Repeat this process until there are no more transitions labeled  $\tau$ . Note that we have only removed transitions that do not correspond to any transition of  $S$  sending letters to channel  $c$ . Such transitions are assigned  $\epsilon$  by the morphism  $h_c$  defined in the paragraph preceding Ex. 4.3. Hence, the deletion of  $\tau$ -labeled transitions do not affect the correspondence between the configurations of  $S$  and  $S_{\text{sync}}$ . If there are no sending transitions between two anchor states, the above deletion procedure may result in two anchor states getting merged, destroying the flatness of the order system. Next we describe a way to tackle this.

Suppose a transition  $t'$  in the order system modified as above corresponds to a transition  $t$  in the original flat FIFO system  $S$ . Suppose this transition  $t$  of  $S$  is in a loop  $\ell$ , which is labeled by the sequence of transitions  $\sigma$ . For every transition  $t_1$  in  $S$  outside  $\ell$  but reachable from states in  $\ell$ , we make the following modification. If the order system has a transition  $t'_1$  corresponding to  $t_1$ , we add the following guard to  $t'_1$ .

$$\sum_{\substack{t'' \text{ occurs in } \sigma \\ a \in M}} (a, t'') = 0$$

These guards ensure that all letters sent by transitions in  $\ell$  are retrieved before retrieving letters sent by later transitions. In addition, the guards ensure that the modified order system is flattable. Suppose the loop  $\ell$  in  $S$  corresponds to loop  $\ell'$  in  $S_{\text{order}}^c$ . If a transition occurring after and outside the loop  $\ell'$  is fired in  $S_{\text{order}}^c$ , loop  $\ell'$  can't be entered again. The reason is that any transition  $t''$  in the loop  $\ell'$  tries to decrement some counter  $(a, t'')$ , but it can't be decremented since it has value 0, as checked in the guard newly added to every transition occurring after  $\ell'$ .

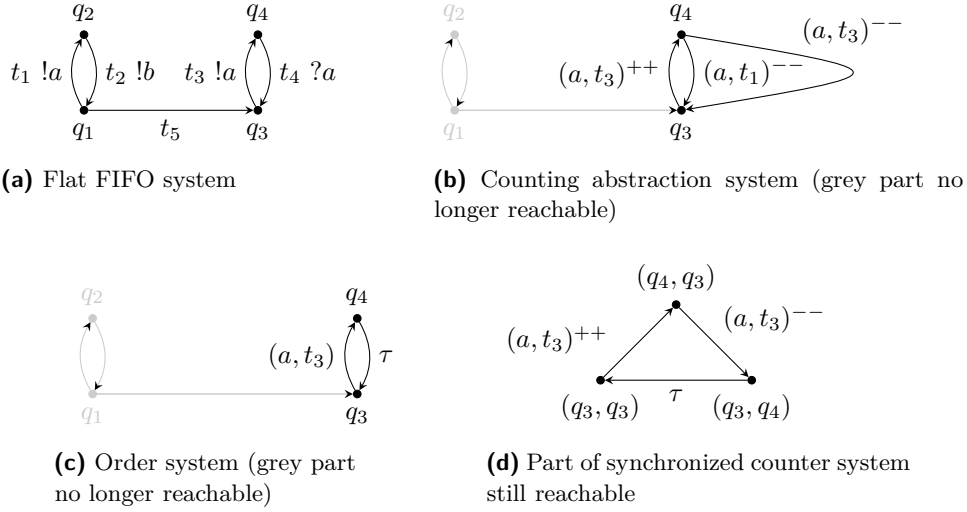
The modified order systems don't have  $\tau$ -labeled transitions anymore, hence the modified synchronized counter system  $S'_{\text{sync}}$  doesn't have silent transitions. Now a proof similar to that of Proposition 4.4 can be used to show bisimulation between  $S$  and the modified synchronized counter system  $S'_{\text{sync}}$ .

Let  $R'_{h, \text{sync}}$  be the relation  $\{(h((\bar{q}, \nu)), (\bar{q}, \nu)) \mid (\bar{q}, \nu) \text{ is reachable in } S'_{\text{sync}}\}$ .

► **Proposition 4.5.** *The relation  $R'_{h, \text{sync}}$  is a bisimulation.*

### Trace-flattening

The counting abstraction system  $S_{\text{count}}$  is not flat in general. E.g., there are two transitions from  $q_4$  to  $q_3$  in Fig. 4(b). Those two states are in more than one loop, violating the condition of flatness. However, suppose a run is visiting states  $q_3, q_4$  of the counting abstraction system and states  $q_3, q_4$  of the order system as shown in Fig. 5 (parts of the systems that are no longer reachable are greyed out). Now the transition labeled  $(a, t_1)^{-}$  can't be used and the run is as shown in Fig. 5(d), which is a flat counter system. In general, suppose  $\ell_0, \ell_1, \dots, \ell_r$  are the loops in  $S$ . There is a flat counter system  $S_{\text{flat}}$  whose set of runs is the set of runs  $\rho$  of the synchronized transition system which satisfy the following



■ **Figure 5** Flattening

property: in  $\rho$ , all local states of the counting abstraction system are in some loop  $\ell_i$  and for every channel  $c$ , all local states of the order system  $S_{\text{order}}^c$  are in some loop  $\ell_c$ . This is the intuition for the next result.

Let  $\text{traces}(S_{\text{sync}})$  be the set of all runs of  $S_{\text{sync}}$ . Let  $S'$  be another counter system with set of states  $Q'$  and the same set of counters as  $S_{\text{sync}}$  and let  $f : Q' \rightarrow Q$  be a function. We say that  $S'$  is a  $f$ -flattening of  $S_{\text{sync}}$  [18, Definition 6] if  $S'$  is flat and for every transition  $q \xrightarrow{u/g} q'$  of  $S'$ ,  $f(q) \xrightarrow{u/g} f(q')$  is a transition in  $S_{\text{sync}}$ . Further,  $S'$  is a  $f$ -trace-flattening of  $S_{\text{sync}}$  [18, Definition 8] if  $S'$  is a  $f$ -flattening of  $S_{\text{sync}}$  and  $\text{traces}(S_{\text{sync}}) = f(\text{traces}(S'))$ .

► **Proposition 4.6.** *The synchronized counter system  $S_{\text{sync}}$  is trace-flattable.*

**Proof.** Starting from a global state  $\bar{q}$  of  $S_{\text{sync}}$ , we claim that we can build a flat counter system that is a trace-flattening of  $S_{\text{sync}}$ . Let  $n_0$  be the number of loops in  $S$  reachable from  $\bar{q}(0)$ . For each channel  $c$ , let  $n_c$  be the number of loops in  $S$  reachable from  $\bar{q}(c)$ . We prove the claim by induction on the vector  $\langle n_0, n_1, \dots, n_p \rangle$ . The order on vectors is component-wise comparison —  $\langle n_0, n_1, \dots, n_p \rangle < \langle n'_0, n'_1, \dots, n'_p \rangle$  if  $n_i \leq n'_i$  for all  $i \in \{0, \dots, p\}$  and  $n_j < n'_j$  for some  $j \in \{0, \dots, p\}$ .

For the base case,  $\langle n_0, n_1, \dots, n_p \rangle = \mathbf{0}$ . From such a global state, the counting abstraction system and order systems for all the channels have unique paths to follow and hence there is a unique run of  $S_{\text{sync}}$ . This unique run can be easily simulated by a flat counter system, proving the base case.

For the induction step, suppose  $\ell_0$  is the first loop in  $S$  reachable from  $\bar{q}(0)$  and for every channel  $c$ , suppose  $\ell_c$  is the first loop in  $S$  reachable from  $\bar{q}(c)$ , with  $\ell'_c$  being the corresponding loop in  $S_{\text{order}}^c$ . There is a flat counter system  $S_{\text{flat}}$  described in the paragraph preceding this lemma, which can simulate runs of the synchronized counter system as long as the counting abstraction system doesn't exit the loop  $\ell_0$  and for every channel  $c$ , the order system  $S_{\text{order}}^c$  doesn't exit the loop  $\ell'_c$ . If the counting abstraction system exits the loop  $\ell_0$  (or the order system  $S_{\text{order}}^c$  exits the loop  $\ell'_c$  for some channel  $c$ ), then the vector  $\langle n_0 - 1, n_1, \dots, n_p \rangle$  (or the vector  $\langle n_0, n_1, \dots, n_c - 1, \dots, n_p \rangle$ ) is strictly smaller than the

vector  $\langle n_0, n_1, \dots, n_p \rangle$ <sup>2</sup>. The induction hypothesis shows that there is a flat counter system  $S'_{\text{flat}}$  that can cover the remaining possible runs. We sequentially compose  $S_{\text{flat}}$  and  $S'_{\text{flat}}$  by identifying the initial state of  $S'_{\text{flat}}$  with the state of  $S_{\text{flat}}$  in which the counting abstraction system exits the loop  $\ell_0$  (or the order system  $S^c_{\text{order}}$  exits the loop  $\ell'_c$ ). There are finitely many possibilities of the counting abstraction system or one of the order systems exiting a loop; for each of these possibilities, the induction hypothesis gives a flat counter system  $S'_{\text{flat}}$ . We sequentially compose  $S_{\text{flat}}$  with all such flat counter systems  $S'_{\text{flat}}$ . The result is a trace-flattening of the synchronized counter system. ◀

Let  $S_{\text{flat}}$  be a trace-flattening of  $S_{\text{sync}}$ . In general, the size of  $S_{\text{flat}}$  is exponential in the size of  $S_{\text{sync}}$ , which is exponential in the size of  $S$ . In theory, problems on flat FIFO systems can be solved by using tools on counter systems (bisimulation preserves CTL\* and trace-flattening preserves LTL [18, Theorem1]); hence we deduce:

▶ **Theorem 4.7.** *LTL and CTL\* are decidable for flat FIFO systems.*

It remains to be seen if tools can be optimized to make verifying FIFO systems work in practice.

## 5 Conclusion and Perspectives

We answered the complexity of the main reachability problems for flat FIFO systems which are NP-complete as for flat counter systems. We also show how to translate a flat FIFO system into a trace-flattable counter system. This opens the way to model-check general FIFO systems by *enumerating their flat subsystems*. For example, if we construct the product of the three processes shown in Fig. 1, the resulting FIFO system is not flat. It does become flat if we remove the self loop labeled  $pq?y$ . The resulting flat subsystem is unbounded, so it implies that the original system is also unbounded. Hence, even if the given FIFO system is not flat, some questions can often be answered by analyzing flat subsystems. This strategy has worked well for counter systems and offers hope for FIFO systems.

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<sup>2</sup> This step fails in non-flat FIFO systems; if a loop is exited in a non-flat FIFO system, it may be possible to reach the loop again, so the vector doesn't necessarily decrease.

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