French translation and cultural adaptation of a questionnaire for patients with hip or knee prosthesis
Roger Erivan, Guillaume Villatte, Thibault Chaput, Aurélien Mulliez, Matthieu Ollivier, Stéphane Descamps, Stéphane Boisgard

To cite this version:

HAL Id: hal-02194791
https://hal.archives-ouvertes.fr/hal-02194791
Submitted on 15 Apr 2020

HAL is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers.

L’archive ouverte pluridisciplinaire HAL, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d’enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.
French translation and cultural adaptation of a questionnaire for patients with hip or knee prosthesis

Roger Erivan a,*, Guillaume Villatte a, Thibault Chaput b, Aurélien Mulliez c, Matthieu Ollivier d, Stéphane Descamps a, Stéphane Boisgard a

a Université Clermont Auvergne, CHU Clermont-Ferrand, CNRS, SIGMA Clermont, ICF, 63000 Clermont-Ferrand, France
b Université Clermont Auvergne, CHU Clermont-Ferrand, 63000 Clermont-Ferrand, France
c Délégation à la Recherche Clinique et aux Innovations (DRCI) - CHU Clermont-Ferrand, 63000 Clermont-Ferrand, France
d Aix-Marseille Université, CNRS, UMR 7287, 13288, Marseille cedex 09, France Institute for Locomotion, Department of Orthopedics and Traumatology, St. Marguerite Hospital, 270, boulevard Sainte-Marguerite, BP 29, 13274 Marseille, France

Keywords:
Orthopedics
Patient follow-up
Questionnaire
Translation
Total hip arthroplasty
Total knee arthroplasty

ABSTRACT

Introduction: The Kingsbury questionnaire offers the possibility of follow-up by means of an X-ray and a simple questionnaire sent to the home address of the patient, who will not need to come in consultation if there are no problems. The questionnaire detects any anomaly in follow-up. In case of anomaly in the questionnaire or radiograph, the patient is contacted and/or seen again, as appropriate. The Kingsbury questionnaire has had no transcultural validation, and we therefore conducted a prospective study in order to 1) translate into French the questionnaire, previously validated in English; 2) adapt it for good understanding according to cultural habits; and 3) assess the translated version on a test-retest procedure.

Hypothesis: The study hypothesis was that the translated questionnaire would show good test-retest reproducibility.

Material and methods: The exact English version of the questionnaire was obtained directly from the authors of the index publication. A methodology of translation, back-translation and test-retest enabled assessment of the translation and of the reproducibility of the French version. The reference method of cultural adaptation of self-administered questionnaires and patient information documents was used. The questionnaire was tested prospectively.

Results: One hundred patients were contacted, providing 73 clinical tests with radiographic validation and 48 complete test-retests in a representative population of total hip and total knee arthroplasty (THA, TKA). Internal coherence showed a KR-20 coefficient of 0.71 and Cronbach alpha of 0.76: e.g., good internal coherence. Item difficulty, requiring renewed contact, was low for all questions. Mean variance was low on the first 7 questions: 0.08 (range, 0.02–0.16). Correlation was close to 0.5 for each question. Analysis of reproducibility found excellent agreement (>90%) for the first 7 questions, which were binary; for question 8, agreement was good (83.3%) considering that there were 5 possible responses. For 19 of the 73 respondents, the questionnaire results indicated a need for further contact. After analysis of their radiographs, 4 needed to be seen in consultation again. The other 15 had unfavorable responses but without deterioration since the last classical consultation or any radiologic abnormality consultation, and were not called back for consultation.

Conclusion: The French version of the Kingsbury questionnaire provided reproducible assessment, avoiding the need to call the patient back for consultation unnecessarily. The questionnaire needs validating in a larger sample before being widely used: the present study was just a first step.

Level of evidence: IV, Prospective without control group.
1. Introduction

The number of total hip and knee arthroplasties (THA, TKA) is constantly increasing and is set to continue doing so in coming years [1–3]. Ever more patients are undergoing arthroplasty, and population aging means that they are less and less able to easily come for follow-up consultations. Follow-up becomes voluntary: it is proposed, but in practice many patients are lost to follow-up or do not comply with the proposal [4]. In the case of prostheses, long-term follow-up is necessary, to detect loosening but also to assess the patient’s behavior and monitor behavioral change and new implants.

A study in 2016 showed that follow-up by means of an X-ray and a simple questionnaire sent to the patient’s home address was feasible [4], avoiding having to call the patient in if there are actually no problems. The questionnaire detects any anomaly in follow-up. If an anomaly is detected on the questionnaire or on the X-ray, the patient is contacted and/or seen again, depending on the findings. The aim is thus simply to judge whether it is worth calling the patient back for consultation [4]. If all questionnaire responses are favorable and there is no radiographic abnormality, there is no reason to importune the patient: the economic and time savings are thus potentially considerable.

The questionnaire has not been subjected to transcultural validation, and we therefore conducted a prospective study in order to:

- translate into French the questionnaire, previously validated in English;
- adapt it for good understanding according to cultural habits;
- assess the translated version on a test-retest procedure. The hypothesis was that the French version would show good reproducibility.

2. Materials and methods

2.1. Patients

The study had review board approval (CpP n° 2018-A01022-53). The exact English questionnaire was procured directly from the authors of the index publication [4] (Appendix 1). Requisite sample size was determined to be 50 subjects, following Terwee et al. [5].

The French version was tested prospectively in 100 patients, to ensure test-retest in about 50 patients, considering, in the light of recent similar studies [6], that 25% of patients were liable not to respond to the first questionnaire and 25% to the second. The questionnaires and radiography prescription were sent to the home address of patients meeting the inclusion criteria.

Selection aimed at a representative sample of the target THA/TKA population in terms of gender, postoperative follow-up and urban/rural place of residence. The inclusion criteria were those of our usual 1, 3, 5 and 10 year follow-up, selecting the first 100 patients seen at the beginning of 2018. Questionnaires were then analyzed, and patients were called back in consultation when necessary.

2.2. Method

The translation, back-translation, test-retest methodology was used [7,8], with a simple and reproducible method of translation. The translation/back-translation method [9] is the reference for cultural adaptation of self-administered questionnaires and patient information documents.

Translation: two independent English-to-French translations were made, by two native French-speaking translators (RE and TC), and compared to reach a consensus approved by the head of project (RE).

Back-translation: back-translation from French back into English was performed by PC, a native English-speaker.

Translation meeting: a meeting with the head of project analyzed discrepancies between the source document and the translated/back-translated versions, making necessary changes and reaching a consensus between the members of the translation team.

Cultural adaptations, both linguistic and related to the specificities of the French health and social system, were made, as in previous studies of this type [10–12]. The COSMIN guidelines were also applied in adapting the questionnaire [13].

Test/retest was performed with a 2-week interval, such that patients would not remember their initial responses but would not have changed in clinical status. At first self-administration, patients were not told that there would be a retest 2 weeks later, so as not to bias the initial responses; the need for a retest was, however, explained when the second, identical, questionnaire was sent out. Responses were considered “unfavorable” when they required the patient’s file to be re-examined: i.e., a “yes” to questions 1 to 4 or a “no” to questions 5 to 7. Responses to question 8 helped give an overall impression, but did not enter into the interpretation of the results. An unfavorable response to questions 1 to 3 automatically entailed the patient being called back in, while an unfavorable response to questions 4 to 8 entailed closer analysis of the file, with the patient being called back in only if need be. Questionnaires and radiographs were analyzed for respondents to the first questionnaire/radiograph.

2.3. Statistics

Statistical analysis used Stata software, v12 (Stata-Corp, Texas, USA) following the validity criteria for studies based on questionnaires [5]. Descriptive statistics were reported as numbers and percentages for categoric variables and mean ± standard deviation for continuous variables. Analysis concerned respondents to both successive questionnaires; there were thus no missing questionnaires. In case of missing response on an item, the patient was recontacted to complete the questionnaire; there were thus no missing data. Comparison of means used the Student test (population > 30).

Internal coherence was analyzed on the Kuder-Richardson Formula 20 (KR-20) for binary questions (i.e., 1–7). Question 8 had 5 possible responses, and Cronbach’s alpha (applicable to non-binary variables) was calculated. In both cases, values ranged from 0 to 1, with > 0.60 considered acceptable, > 0.70 good and > 0.90 excellent [14]. Item difficulty, item variance and item-rest correlation were calculated. Item difficulty corresponded to cases in which the patient’s file needed re-examining. Item variance corresponded to criterion variability, with less variability the closer the value to 1 (or 0, as appropriate). Item-rest correlation corresponded to the item’s correlation with all other items (except itself), low values meaning the item correlated poorly with the others (i.e., was not measuring the same thing). Variability is greatest for correlations around 0.5. The various items were assessed successively [15].

Reproducibility was first assessed in terms of percentage agreement and Cohen kappa coefficient [16]. As distributions were asymmetric, with many “yes” or many “no” responses, liable to bias the kappa coefficient, Gwet’s AC1 coefficient was also calculated [17]. Values < 0.20 indicated slight concordance, 0.21–0.40 acceptable concordance, 0.41–0.60 moderate concordance, 0.61–0.80 good concordance, and > 0.81 near-perfect concordance [18]. The Chi² test was used to assess difference in type of implant, gender and...
Fig. 1. French version of total arthroplasty patient follow-up questionnaire.

3. Results

Adhering to the translation procedure described in the Methods section resulted in the validated French version of the questionnaire, shown in Fig. 1.

The questionnaire and radiography prescription were first sent to 100 patients, 73 of whom responded by mail and had the X-ray taken. The questionnaire was then sent out to these responders (without radiography prescription) 2 weeks later, and received 48 responses. The flowchart is shown in Fig. 2.

The 100 patients had 50 THAs and 50 TKAs, and a mean age at arthroplasty of 70.7 ± 10.6 years [range, 44–92 years]. Mean age at questionnaire response was 75.5 ± 9.9 years [range, 54–101 years], for a mean interval of 3.9 ± 3.3 years [range, 1–10 years]. Sixty patients (60%) were female. 56 were urban residents (56%).

The 27 non-respondents were contacted by telephone, to which 11 did not respond. Twelve telephone respondents said they had not replied to the questionnaire as they did not wish to take part in the study; 4 were willing to fill out the questionnaire but not to get and send back the X-ray, for which they would have had to pay.
The 27 non-respondents comprised 9 THAs and 18 TKAs \( (p = 0.12) \), with mean age at arthroplasty of 73.7 ± 9.8 years [range, 61–92 years] \( (p = 0.67) \) and mean age at the time of the questionnaire of 78.8 ± 10.1 years [range, 63–101 years] \( (p = 0.13) \) for a mean interval of 3.8 ± 3.4 years [range, 1–10 years] \( (p = 0.95) \). Seventeen were female (63.0%) \( (p = 0.42) \), mean age at arthroplasty of 69.4 ± 9.6 years \( (p = 0.49) \) and mean age at questionnaire response of 74.3 ± 9.6 years [range, 54–96 years] \( (p = 0.42) \), for a mean interval of 4.0 ± 3.4 years [range, 1–10 years] \( (p = 0.99) \). Forty-three were female (59%) \( (p = 0.053) \), and 30 urban (48%) \( (p = 0.47) \).

The 73 initial respondents (questionnaire + radiograph) had 41 THAs and 32 TKAs \( (p = 0.42) \), mean age at arthroplasty of 69.5 ± 10.7 years [range, 44–91 years] \( (p = 0.49) \) and mean age at questionnaire response of 74.3 ± 9.6 years [range, 54–96 years] \( (p = 0.42) \), for a mean interval of 4.0 ± 3.4 years [range, 1–10 years] \( (p = 0.99) \). Forty-three were female (59%) \( (p = 0.89) \), and 30 urban (41%) \( (p = 0.053) \).

Nineteen of the 73 initial respondents (26.0%) had an unfavorable response among questions 1 to 3, and were therefore automatically recontacted. Thirteen (17.8%) had an unfavorable response among questions 4 to 8, with re-examination of their file and no cases in which they were called back for consultation. Radiographic analysis of the 73 patients found 8 with abnormalities: 3 cases of periprosthetic radiolucency, 2 of wear and 3 of heterotopic ossification. In all, after renewed contact and X-ray analysis, 4 of the 73 patients were called back for consultation. The other 15 of the 19 patients with unfavorable questionnaire responses showed no progression since the last classic follow-up and no radiographic abnormality, and were therefore not called back in. The 2 patients called back in presented pain and periprosthetic radiolucency: 1 on a THA stem and 1 on the tibial plateau of a TKA, requiring close follow-up.

Internal coherence analysis found KR-20 = 0.71 on the 7 binary questions. Including question 8, Cronbach alpha was 0.76. The questionnaire thus showed good internal coherence (see Table 2). Item difficulty (i.e., percentage “poor response” requiring renewed contact) was low for all questions. Variance was also low, at a mean 0.08 (range, 0.02–0.16) for the 7 binary questions.

Reproducibility analysis results (percentage agreement, kappa and Gwet AC coefficients) are shown in Table 3. Percentage agreement on the first 7 (binary) questions was excellent, exceeding 90%; for question 8, it was still good, considering that 5 responses were seen again; the other 8 had unfavorable questionnaire responses but showed no progression since the last classic follow-up and no radiographic abnormality, and were therefore not called back in. The 2 patients called back in presented pain and periprosthetic radiolucency: 1 on a THA stem and 1 on the tibial plateau of a TKA, requiring close follow-up.

Table 2

<table>
<thead>
<tr>
<th>Item</th>
<th>Difficulty</th>
<th>Variance</th>
<th>Item-rest Correlation</th>
<th>Alpha</th>
</tr>
</thead>
<tbody>
<tr>
<td>q1</td>
<td>0.0625</td>
<td>0.0586</td>
<td>0.657</td>
<td>0.617</td>
</tr>
<tr>
<td>q2</td>
<td>0.2083</td>
<td>0.1649</td>
<td>0.3028</td>
<td>0.721</td>
</tr>
<tr>
<td>q3</td>
<td>0.0625</td>
<td>0.0586</td>
<td>0.311</td>
<td>0.694</td>
</tr>
<tr>
<td>q4</td>
<td>0.1458</td>
<td>0.1246</td>
<td>0.4702</td>
<td>0.655</td>
</tr>
<tr>
<td>q5</td>
<td>0.1042</td>
<td>0.0933</td>
<td>0.3812</td>
<td>0.679</td>
</tr>
<tr>
<td>q6</td>
<td>0.0833</td>
<td>0.0764</td>
<td>0.4567</td>
<td>0.660</td>
</tr>
<tr>
<td>q7</td>
<td>0.0208</td>
<td>0.0204</td>
<td>0.5474</td>
<td>0.670</td>
</tr>
</tbody>
</table>

The study hypothesis was confirmed. The translation and cultural adaptation of the self-administered questionnaire for follow-up of THA or TKA recipients bearers was feasible, and checked in a representative sample of the target population. We kept all 8 questions. Correlation coefficients and internal coherence on test-retest were excellent.
The optimal follow-up rhythm after joint arthroplasty is not well defined. The literature on Kingsbury-type questionnaires is still sparse, as they are recent [20]. The methodology of the present translation, on the other hand, is well known; we used Coudeyre et al.’s method [8], ensuring a valid reproducible translation. According to international guidelines, such a translation may be used; however, although we validated the translation and test in a preliminary study on our small sample, application and validation in a larger population will be necessary before widespread use [7,21]. The Pearson coefficient was not used, being unsuited to test-retest analysis. The index Kingsbury study distinguished THA and TKA, which we put together as the method had already been validated and sample size precluded subgroup analysis.

Lovelock et al. [22] performed a “virtual clinic” study on a topic similar to the questionnaire translated here, but did not use a specific follow-up questionnaire but only the Oxford Hip Score or Knee Score, which were not designed for follow-up and need interpreting in order to decide whether a patient requires physical consultation or not; they found that 23% of patients needed to be seen in consultation again. This is why we consider it preferable to use a questionnaire validated specifically for follow-up purposes and identification of patients requiring further consultation. Likewise, a study of telemedicine in Australia was conducted by Caffery et al. [23], but using videoconference after standard questionnaires not specifically designed to identify patients requiring real clinical follow-up.

In the present study, differences emerged between the first and second questionnaire administrations, with more patients contacted after the first. However, only 4 patients actually needed to be seen again clinically, and all were well detected on both questionnaire steps; they will need close follow-up.

One study limitation was the small number of respondents, as the questionnaire was voluntary; there was, however, no significant corresponding difference in patient characteristics. It may be that patients who are not doing well are more likely to respond to a questionnaire or consult with the surgeon or another physician. The 100 selected patients were not contacted in advance. Some may have failed to respond due to an erroneous address or to death not known to our software. This questionnaire, moreover, requires the patient to be free of cognitive impairment, which is difficult to assess except in presence of the patient, and this could be another reason for loss to follow-up. Printing and mailing the questionnaire involved costs that were not assessed; nor were the costs of mailing the responses, which contributed to lowering the response rate. These economic factors deserve specific analysis. An important point with this kind of instrument is that a consultation costs money: the questionnaire uses up some medical time but is not charged as a consultation and some means of payment needs devising. Radiograph + questionnaire follow-up would probably be more effective and reach more patients, as classical follow-up is not specifically designed to identify patients requiring real clinical follow-up.

5. Conclusion

The French version of the questionnaire enabled reproducible assessment without calling the patient in for consolation unnecessarily. A larger-scale study is required before routine implementation, to analyze usefulness and applicability more closely.

Disclosure of interest

The authors declare that they have no competing interest.

SB is a consultant for Zimmer outside of the present study. MO is a consultant for Arthrex, Stryker and New-clip.

Funding

None.

Author contributions

RE was involved in design, investigation, writing and surgery. GV was involved in design, writing and surgery. TC was involved in design, investigation and writing. AM was involved in design and statistical analysis. MO was involved in the statistics, writing and revision. SD was involved in design, revision, surgery and supervision. SB was involved in design, revision, surgery and supervision.

Acknowledgment

Thanks to Philip Chennell for back-translation into English.

Appendix A. Supplementary data

References


