Expanded microchannel heat exchanger: Non-destructive evaluation

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Abstract

Recent theoretical developments in expanded microchannel polymer-based heat exchangers were promising, but the initial experiments underperformed simple theory. In order to understand this discrepancy, this paper introduces a nondestructive methodology for characterizing polymer heat exchangers. A computerized tomography (X-ray)

scan was performed to diagnose the problem. The method was tested on the expanded microchannel polymer heat exchanger to determine the variations in geometry between the theoretical and experimental heat exchanger. Channels were found to have variable heights causing flow maldistribution. The results are discussed to guide further technological development of this approach to heat exchanger design and fabrication and lays the groundwork for an advanced discretized modeling.

Introduction

Heat exchangers (HXs) are widespread. Though polymers have low strength and thermal conductivity, they can be used for low temperature, low pressure applications. Furthermore, the low cost and high corrosion resistance is advantageous in certain applications.

One advantageous way of designing HXs is to use microchannels. Microchannel HXs (typically < 1 mm hydraulic diameter) have decreased material cost, weight, and volume, but current fabrication techniques are expensive. Commercially available microchannel HXs use one of the following techniques: etching, LIGA (lithography, electroplating and molding), micromachining, and stereolithography [1]. Recent work [2] has introduced a potentially low-cost method of fabricating microchannel HX for polymer HXs.

Following on this development, this paper summarizes the expanded microchannel HX design, fabrication, and preliminary tests, which were significantly below the performance predicted by simple *NTU* theory (see below). In order to understand this discrepancy, this paper introduces an original nondestructive methodology for characterizing polymer

HXs. The method was tested on a polymer expanded microchannel HX (EMHX) to determine the variations in geometry between the theoretical and experimental HX. This is the main objective of the work. The results are discussed to guide further technological development of this approach to HX design and fabrication.

The HX variable introduction below is based on McQuiston, et al. [3]. The thermal goodness of a HX is described by its effectiveness, η , defined as the realized heat transfer rate as a fraction of the ideal heat transfer rate

$$\eta = \frac{\dot{q}}{\dot{q}_{max}} \quad (1)$$

where the realized heat transfer rate is

$$\dot{q} = C(T_{hi} - T_{ho}) = C(T_{co} - T_{ci}) \quad (2)$$

where the temperature of the hot fluid going into the HX is T_{hi} , the temperature of the hot fluid coming out of the HX is T_{ho} , the temperature of the cold fluid going into the HX is T_{ci} , and the temperature of the cold fluid coming out of the HX is T_{co} . The focus in this paper is on balanced flow, so the heat capacity rate is

$$C = \dot{m}C_p \quad (3)$$

where the mass flow rate $\dot{m}$ and the specific heat is C_p .

The maximum heat transfer rate is

$$\dot{q}_{max} = C(T_{hi} - T_{ci}) \quad (4)$$

for a counter-flow HX with balanced flow,

$$\eta = \frac{NTU}{NTU+1} \quad (5)$$

where NTU is “number of transfer units”

$$NTU = \frac{hA}{c} \quad (6)$$

where A is the heat transfer area and h is the heat transfer coefficient [3].

The idea of the EMHX is high-precision joining layers of material and later expansion [4]. The joining pattern (e.g. Figure 1) can be achieved by laser welding. The expansion could be achieved by inflation (stretching or non-stretching of the layers). The materials could be polymers, composites, ceramic precursors (polymers fired into ceramics), or metals. Mass production, e.g. with a roll-to-roll process, could enable low cost [5]. Low cost would facilitate high surface area and/or multiple stages to produce high effectiveness. Low pressure drop can be achieved with lower velocity and numerous parallel paths, especially for laminar flow.

Methods

Fabrication

An EMHX was fabricated by laser welding 28 μm thick low density polyethylene (LDPE) sheets following the patterns shown in Figure 1. The pattern on the left allows the e.g. hot

flow to enter on the bottom left of the pattern, and exit on the top right of the pattern. The pattern on the right allows the cold flow to enter on the top and exit at the bottom. These patterns alternate such that hot and cold fluids alternate. There were five hot layers and five cold layers, for a total of 35 channels in each direction. The expansion, using inflation, resulted in 2.1 mm hydraulic diameter channels. Clear polyvinyl chloride (PVC) tubes were coupled with the manifold to allow water flow. To maintain expansion, a rigid acrylic (poly methyl methacrylate: PMMA) frame was glued to the top and bottom of the EMHX.

The core and the manifolds were formed in the same expansion process (see Figure 1). The bent pattern has less welded area near the ends, so the “chessboard” pattern in the EMHX core is converted to fluid sheets of alternating hot and cold in the manifold. Note that the rectangular shape of the passages in Figure 2 would occur if the expansion were achieved by pulling the top and the bottom of the EMHX. Because expansion was achieved by the injection of air, the actual channels are more hexagonal, as shown with the 3-D X-ray scans in Figures 10 and 11. Next the cross-flow manifold separates the two flows by having either the end or side closed (welded). When the patterns are superimposed, no lines cross because this would create a weld all the way through the EMHX, preventing expansion there. The core of the heat exchanger was 10 cm long, 3 cm wide, and 1 cm high. The manifolds of the heat exchanger were 3 cm by 3.5 cm and variable height.

For the rest of this paper, effectiveness will be used in the temperature sense, rather than heat flux

$$\eta_h = \frac{T_{hi} - T_{ho}}{T_{hi} - T_{ci}} \quad (7)$$

and

$$\eta_c = \frac{T_{co} - T_{ci}}{T_{hi} - T_{ci}} \quad (8)$$

An average effectiveness was calculated from the experimental data. The NTU was calculated for the prototype by assuming it was fully expanded with a Nusselt number of 3.6. This yielded a heat transfer coefficient of 1000 W/(mK) with a hydraulic diameter of 2.1 mm. The data are plotted according to this (see Figure 3). The vertical error bars are in the range of 0.4% to 1.4% and correspond to the uncertainty in temperature measurement. The error in the temperature measurement is composed of the error in the voltage sensor, switch, cold junction compensation, the thermocouple itself, logger resolution, and calibration. The overall error for an absolute temperature measurement assuming that all the errors are independent was calculated to be $\sim 0.5^\circ\text{C}$. The percent error for each of the data points was calculated. On this plot, it would not be possible to distinguish the $\sim 1.5\%$ uncertainty in NTU (produced by the 1.5% uncertainty in flow rate driven largely by changes in head). This shows that the variation in flow rate has a negligible influence on the uncertainty in effectiveness. The fall in effectiveness at intermediate flow rate is because the flow is significantly unbalanced, so averaging the effectivenesses does not produce the same result as balanced flow at the same average NTU . During the effectiveness experiments, the EMHX was horizontal. More details on

the experiment can be found in [2]. The discrepancy between experimental data and model value increases as NTU increases because the heat loss to the environment increases as a percent as the flow rate decreases (higher NTU). Since the experimental results were significantly below that predicted by the NTU theory, it was necessary to determine the geometry.

Methods for Measuring EMHX Geometry

Flow maldistribution may have caused the significant reduction in effectiveness from the NTU model. One possibility of determining the EMHX geometry would be to destructively test the EMHX. However, it is likely that the destruction process would distort the shape of the channels. Using an infrared camera would not work because the carbon black in the LDPE would make it a surface temperature measurement, so the internal details would not be revealed. The same problem would be faced by florescent methods. Magnetic particle inspection requires a ferrous material.

A number of nondestructive techniques have been used to image non-polymer heat exchangers, including thermal neutron radiography [6], ultrasound [7], Computerized Tomography (CT: 3-D X-ray) [8], magnetic resonance imaging (MRI) [9], and eddy current (not possible for polymers) [10]. However, little if any nondestructive evaluation has been performed on polymer HXs. It is possible that millimeter wave scanning or ultrafast optical pulses could work to determine the EMHX geometry. The ideal would be setting up flow with tracers and taking images at different times to get flow rate in each channel. However,

the water flow takes days to set up, which would be very expensive in terms of equipment time.

A Medtronic “O arm” 3-D X-ray scanning machine shown in Figure 4 was used to determine the geometry of the EMHX. The X-ray contrast between water and LDPE is small, so the EMHX was drained. Also, dry air was pumped through the EMHX with a hand pump (~100 mL/s) for several hours, until the relative humidity dropped at the outlet. This indicated that the water in the EMHX was mostly evaporated, though there were some bumps in the X-ray scan, possibly indicating some un-evaporated water in channels that received less air flow.

It was difficult to resolve the EMHX layers, so the EMHX was put in water to reduce the reflection of the X-rays off the top and bottom plates. After the O arm testing was complete, dry air was pumped through the EMHX again for several hours. Then a CT scan was performed at Avista Adventist Hospital (see Figure 5). First a CT scan was performed with no liquid in the EMHX. It was again difficult to resolve the channels. Therefore, an iodine solution was injected into the upper side tube with the other tubes closed with gum (the HX was inclined for the CT scans – see Figure 6 through 11). The EMHX was tapped to try to remove bubbles. The scan used 120 kV and 78 mA. In the “Extr soft tissue” and “Extr 3-D volume” modes, the picture was blurry (not shown). However, in the “Extr bone” mode, a relatively clear picture was generated.

Next saline solution was added to the upper end tube to reveal a different flow path. The X-ray scattering cross-section of the saline solution was significantly lower than the iodine solution, so the two solutions could be differentiated (iodine white and saline grey).

Results and Discussion

Figure 6 through Figure 11 are shown to characterize the geometry. The iodine solution outside the tube is explained by the fact that there is room between the LDPE and tube where fluid could infiltrate. All views are slightly off normal, so the entire length of a single channel is not visible. The lines below the EMHX are the towel.

Figure 6 shows CT scan in “Extr bone” mode side view with iodine solution put into upper side tube, which flowed into some channels. Moving across the EMHX exposed long bubbles in the iodine solution in the core (see Figure 7). Bubbles would likely be much less of a problem for actual EMHX operation, because the fluid could move through the EMHX, flushing bubbles out.

Moving further across the EMHX, Figure 8 shows the pinch in the center of the upper manifold, and also the channel pinches between the lower manifold and the core. The grey is the saline solution that was injected into the top end tube.

Next the CT scan in “Extr bone” mode cross sections was analyzed. Figure 9 shows part of the upper manifold, with the manifold pinch filled on top and bottom with adhesive (see also Figure 8) and alternating layers of saline solution.

Three millimeters further down the EMHX, part iodine solution is in three straight channels and two bent channels (see Figure 10). This indicates an internal leak that could have been due to damage removing the heat exchanger from its container in the effectiveness measurement test set up. This figure also shows that the channel pattern is the same as in the core. This is unlike the prediction that the hot and cold flows would separate into discrete layers. However, the actual behavior is reasonable because the straight weld lines in the manifold (see Figure 1) would discourage contraction in the axial direction, and this contraction would have opened up the bent layers. Therefore, in future designs, only dots should be used in the manifold. The CT scan was also consistent with the observation that the EMHX did not contract in the axial direction upon expansion. Furthermore, the flow resistance was significantly higher for the bent direction, and this is consistent with having to overcome the pinches in flow presented by the manifold geometry.

In the core, a cross section shows saline and iodine to the left of the core, and adhesive to the right (see Figure 11). This demonstrates the predicted pattern similar to a chess board.

Despite the ability to see the channels better in most cases with the CT scan, it was not possible to reorient the images to be parallel to the channels. Therefore, the O arm scans were used for the measurements of the channel heights. A representative image is shown in Figure 12. A grid was drawn over the image to measure the height at 24 locations. Since there are seven channels per layer of LDPE, there are seven hot slices and seven cold slices.

Since there is only one prototype, this could be significantly better or significantly worse effectiveness than the typical. However, at least in terms of the welds working, since there are 70 channels, there is fairly good statistical sampling.

If the channels are of different size, the fluid will flow quicker in some of the cold channels and thus the NTU will be smaller, so the fluid will not warm up as much. An analogous effect also applies to the hot channels. These effects were explored by Ratts [11]. A further mechanism for producing channel irregularity is through non-uniform fouling, where solid material builds up randomly [12]. Still another way of producing channel variations is through two-phase flow, that is gases and liquids. This is usually found in condensers and evaporators. However, it also pertains to some water HXs because, as the water is heated, gasses come out of solution, forming bubbles. In addition, channel irregularity can be produced by thermally-induced flow maldistribution. This can occur if the temperature change in parallel layers is significantly different, which can arise due to different layers having different number of neighbors. Then if the fluid properties such as density and viscosity change much with temperature, the flow rate in different layers can be different [13]. Another channel irregularity category is the non-uniform spacing of fins [14].

An algebraic expression has been formulated for the ineffectiveness produced by flow maldistribution due to channel irregularities [15]. This is for the scenario of countercurrent shell in tube with no mixing, which may not exhibit the same behavior as the chessboard pattern this paper is investigating. Therefore, this correction is a good first approximation, but an

advanced discretized model would be more accurate (to be published). The fractional diameter variation is described by $\pm a/2$. An irregularity parameter for $C = 1$, small diameter variations, high NTU , and laminar flow is

$$\varepsilon = 1 - \frac{2a}{3} \quad (9)$$

Given ε , the effectiveness can be calculated for balanced flow:

$$\eta = \frac{\varepsilon(1 - e^{(-NTU(1 - \varepsilon))})}{1 - \varepsilon e^{(-NTU(1 - \varepsilon))}} \quad (4)$$

The plot of equation 10 is shown in Figure 3 for several values of the irregularity parameter. As $NTU \rightarrow \infty$, $\eta \rightarrow \varepsilon$. However, at lower NTU , the loss in effectiveness due to flow maldistribution is smaller than the infinite NTU case.

A number of works have discussed the flow maldistribution due to the manifold [16-18]. Simply, not different paths of fluid in the manifold have different flow resistances, so the channels do not all have the same flow rates in the HX core. For very narrow manifolds, the manifold flow maldistribution issue can be extreme, even having reverse flow in some cases.

The best fit to experimental data was achieved with $\varepsilon=0.7$ (see Figure 3). This only applies to channel irregularity, and in reality, there was significant manifold flow maldistribution (and loss to the environment at high NTU). But still the fit with experimental data is dramatically improved with this channel flow maldistribution correction.

Overall, both X-ray machines were able to give approximately 0.6 mm resolution, allowing the determination of the geometry of the polymer EMHX with 2 mm channels. For

significantly smaller channels, different equipment would be required. However, these machines would likely be adequate for the vast majority of polymer HXs from the channel size perspective. From a material perspective, since most other polymers are carbon-based, the X-ray scattering would probably be greater because LDPE is one of the least dense polymers. Furthermore, for silicon-based polymers like silicone, the larger nucleus should provide even greater visibility. From a sheet thickness perspective, 28 μm is relatively thin. This nondestructive evaluation of HXs could also be useful for assessing the health of polymer HXs in service, such as resilience to corrosion and fouling.

Conclusions

The expanded microchannel HX has the potential for low cost and high effectiveness. A particular application of the polymer version of this heat exchanger is in solar water pasteurization, which has the potential to save the lives of thousands of children a day [19, 20]. However, for fabrication methods that result in imperfect expansion processes, an unknown geometry is formed. This paper provided a new nondestructive methodology utilizing X-ray imaging to determine the geometry. This provides a framework for other nondestructive tests of HXs. A finite difference model using this geometry has better predicted the effectiveness of the EMHX (to be published).

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Nomenclature

a	Fractional diameter variation is given by $\pm a/2$, dimensionless
A	Total area for heat transfer, m^2
C	Heat capacity rate, $kJ/(s \cdot K)$
C_p	Specific heat, $kJ/(kg \cdot K)$
EMHX	Expanded microchannel HX
HX	Heat exchanger, dimensionless
h	Heat transfer coefficient, $W/(m^2 \cdot K)$
LDPE	Low density polyethylene, dimensionless

$\dot{m}$	Mass flow rate, kg/s
NTU	Number of transfer units, dimensionless
PMMA	Poly methyl methacrylate, dimensionless
PVC	Polyvinyl chloride, dimensionless
$\dot{q}$	Heat flux, kW
T	Temperature, K

Greek Symbols

ε	Irregularity parameter, dimensionless
η	Effectiveness, dimensionless

Subscripts

c	Cold fluid
h	Hot fluid
HT	Heat transfer
i	In
min	Minimum
max	Maximum
o	Out

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List of Figure Captions

Figure 1 Laser welding pattern (in white): left: “bent” channels where the, e.g., hot water enters the lower left side and exits the upper right side; right: “straight” channels where the, e.g., cold water enters the top and exits the bottom.

Figure 2 Cross section view of the expansion process for the HX core. The hot is flow into the page and cold is flow out of the page. The start is the top (black and green lines indicate material sheets and red lines indicate bonding) and the bottom is expanded (with insulation put on the outside).

Figure 3 NTU model, flow maldistribution ($\epsilon < 1$) and experimental effectivenesses as a function of average NTU.

Figure 4 “O arm” 3-D X-ray machine at Medtronics in Louisville, CO, USA (EMHX at center).

Figure 5 CT scan machine at Avista Adventist Hospital in Louisville, CO, USA (EMHX at center on towels).

Figure 6 CT scan in “Extr bone” mode side view showing iodine solution (white) put into upper side tube and flowed into some channels. Also shown are the upper and lower plate, adhesive and channel walls.

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Figure 9 CT scan in “Extr bone” mode with iodine and saline solutions showing a cross section of the upper manifold with the manifold pinch (see Figure 8) alternating layers of saline solution.

Figure 10 CT scan in “Extr bone” mode showing a cross section of the upper manifold demonstrating that the bent flow was pinched off.

Figure 11 CT scan in “Extr bone” mode showing a cross section of the core demonstrating the near chessboard pattern of iodine (white for one flow direction) and saline (grey for other flow direction). Some channels are empty (black), but nearly all 70 channels can be discerned.

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