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Thermal cycling of cold-pressed PTFE compacts: Reversible and irreversible behavior

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A B S T R A C T

Cold uniaxial pressed PTFE compacts were subjected to thermal cycles of increasing maximum temperature below melting temperature, and thermal expansion was followed in both the compaction and transverse directions. The measurements reveal that thermal expansion consists of two distinct contributions: i) an irreversible part that is controlled only by the maximum temperature experienced by the sample, and ii) a reversible, or thermo-elastic, part that is observed while cycling below the maximum temperature. Moreover, both of these thermal strains exhibit marked anisotropy, resulting from the cold oedometric compaction of the sample. A quantitative analysis of these eigenstrains is proposed, following a formal analogy with elasto-plasticity. Finally, it is shown that such behavior is specific to cold-pressed compacts as sintered samples exhibit isotropic and purely reversible thermo-elastic behavior.

1. Introduction

Well-known for its remarkable properties, Polytetrafluoroethylene (PTFE) is employed in various engineering applications. The main assets of this material are its extremely low coefficient of friction, high thermal stability, good electrical insulation, resistance to corrosion, hydrophobicity and biocompatibility [1–3]. This unique semi-crystalline polymer owes its attributes to the presence of fluorine atoms all around the carbon chain. Fluorine is responsible for the chemical inertness of the polymer and for the low friction as the polymer chains do not bond to each other [4,5]. PTFE polymerizes in extended chain configuration leading to very high crystallinity (more than 90%) whereas melt-crystallized PTFE is much less crystalline (around 50%) due to chain entanglements [6]. Several phase transitions exist in PTFE at atmospheric pressure. At room temperature two first-order crystalline phase transitions occur, namely Triclinic phase II - Hexagonal phase IV at 19 °C and Hexagonal phase IV - Pseudo-hexagonal phase I at 30 °C [7]. Two second-order transitions occur in PTFE. The γ-transition around −100°C seems to correspond to the glass transition of mobile amorphous fraction (MAF), and the α-transition around 130 °C to the glass transition of rigid amorphous fraction (RAF) [8,9].

This polymer is processed from powder with very specific methods such as billet pressing and sintering, ram extrusion and film casting. The choice of the manufacturing process and of type of PTFE powder is made according to the application [10]. There are two types of polymerization of PTFE available, leading to different pure PTFE resins: emulsion polymerized PTFE and suspension polymerized PTFE. From the former, PTFE dispersion and fine powder are obtained. From the latter, granular PTFE is obtained. The granular PTFE can be separated into two categories: low flow and free flow resins depending on the size of the grains (fine and large respectively). All of these powders can be mixed with fillers.

PTFE powder pressing, sintering and skiving is used to create films to make bearing components. Low flow (also called fine cut) resin is pressed into a die to form a cylinder called a “billet” [11]. The preform is then sintered to reduce and homogenize porosity created during compaction and to blur the powder grain interfaces thanks to chain entanglements, and thereby enhance the final mechanical properties. During the thermal treatment large strains occur due to the melting and recrystallization of the polymer [12]. Thermal gradients coupled to these strains lead to strain incompatibilities, and hence stress concentration that could damage the billet [13].

During the sintering cycle, the PTFE experiences significant strains. A convenient concept to characterize the thermo-mechanical behavior is that of eigenstrains, namely the strain that would be measured in the polymer if microstructure and temperature were always spatially...
uniform (and hence devoid of any kinematic incompatibility). The overall eigenstrain of PTFE is due to several physical mechanisms such as thermal expansion dependent on the crystallinity content, melting, crystallization [14], gas diffusion and porosity closure [12]. This eigenstrain is observed to be anisotropic as induced by the uniaxial compaction that forms a transverse isotropic material. This paper aims to study the overall eigenstrain of a PTFE compact through arbitrary thermal histories without melting, and to unravel the role of different mechanisms.

In section 2, dilatometry measurements during thermal cycles reveal an unexpected evolution of the eigenstrain, formally similar to elastoplasticity, that can be decomposed into reversible and irreversible parts. A descriptive model is then developed in section 3 to express this strain as a function of the maximum experienced temperature. The tensorial aspect of the reversible and irreversible parts of the eigenstrain is studied and reveals the anisotropy induced by the initial cold pressing. This observation translates into a swelling of the material as a result of internal stress relaxation, suggesting the creation of micro- or mesoporosity.

2. Experimental

In order to better understand the strain induced by thermal treatment, uniaxially compacted PTFE samples were subjected to different heating/cooling histories, and followed through dilatometry. To avoid the very large dimensional changes that go together with melting, unless explicitly mentioned, the maximum temperature was kept below that of the melting transition.

2.1. Material

A fine cut granular PTFE resin was chosen. This type of resin is currently used for molding and is suitable for compounding with fillers because of the small particle size which is in the range of 20–100 μm [10]. The initial crystallinity content is above 90%.

2.2. Thermal characterization

A calorigram of compacted PTFE is shown Fig. 1. This experiment was performed on a differential scanning calorimeter (DSC) Q10 from TA instruments with a 5 mg sample heated up at 20 °C/min. The calorigram shows that the melting temperature of this resin is close to 340 °C. It highlights an inflexion close to 250 °C revealing a diffuse phase transition below the melting peak that could correspond to the melting of smaller crystals.

2.3. Sample preparation

The different specimens were prepared at the same time with PTFE resin from the same batch. PTFE powder is recommended to be conditioned at 21–25 °C at atmospheric pressure for better compaction [10]. These precautions ensure very reliable and reproducible experiments.

The powder was uniaxially compacted at 50 MPa in a die using a universal testing machine to form cubes of 8 mm long edges (Fig. 2a). The PTFE cubes were removed from the die and kept at room...
temperature for at least 2 days to allow entrapped air to diffuse out before being tested.

2.4. Microstructural analysis

On the SEM image of the PTFE powder in Fig. 3, PTFE particles in the range of 20–100μm can be observed. Those particles appear as fluffy flakes with a complex sub-microstructure (Fig. 3b). The individual size of those elements is in the range of 100–500nm. Therefore, two types of porosities can exist in the material after compaction, one macro-porosity to be linked with the particles size itself and a micro-porosity at a submicrometric scale.

The compaction pressure controls the level of final macro-porosity as shown in Fig. 4 indicating the density of the PTFE sample as a function of the compaction pressure. For a compaction pressure of 50MPa, the level of macro-porosity can be neglected as the density is not increasing at higher pressures. Moreover, no porosity was observed using X-ray computed microtomography with 1μm resolution.

Another impact of the compaction is the induced orientation texture. As the PTFE is uniaxially compacted in a mold, the crystals are reoriented in the transverse directions (perpendicular to the compaction direction). A Herman's orientation factor for the chains orientation of −0.1 was determined in the compaction direction via X-Ray diffraction [16].

2.5. Dilatometric measurements

A thermomechanical analyzer TMA/SDTA 840 from Mettler Toledo was used to perform the dilatometry tests. The PTFE cubic specimen was placed inside an oven and a prescribed temperature history was applied. The thermal cycle was chosen to be slow enough to ensure good temperature homogeneity inside the sample. The temperature difference was limited to 2°C between the core and the edge of the sample for a heating rate of 1.5°C/min (result obtained by thermal simulation). The force applied by the probe on the sample was maintained at 0.01N. Assuming a homogeneous state (from quasi-static temperature variations) the true (or logarithmic) strain $\varepsilon_i$ in direction $i$ is estimated from the displacement $u_i$ and initial size $L_i$, as

$$
\varepsilon_i = \log\left(1 + \frac{u_i}{L_i}\right)
$$

all along the thermal cycle (no implicit summation on index $i$). Note that this is the mean strain from the initial state considered as a reference, and the current state. Resorting to true strains allows us to change reference if so desired by mere subtraction of the strain of the newly chosen reference state. Because of the homogeneity assumption and the assumption that the applied force is null, the measured strains are actually “eigenstrains” for constitutive law formulation of the thermo-mechanical behavior.

The dilatometry test was performed on different samples, some to test the compaction direction (CD) and others for the transverse direction (TD) as the samples are transversely isotropic due to the uniaxial compaction (Fig. 2b).

From this transverse isotropy symmetry, the strain tensor is expected to be fully characterized by the TD and CD strain components. Choosing the third axis along the CD, the strain tensor assumes the following form

$$
\varepsilon = \begin{pmatrix}
\varepsilon_{CD} & 0 & 0 \\
0 & \varepsilon_{TD} & 0 \\
0 & 0 & \varepsilon_{TT}
\end{pmatrix}
$$

In this study, successive thermal cycles with an increasing maximal temperature were chosen to investigate the strain behavior of compacted PTFE powder under thermal treatment below melting temperature. The thermal path consisted of three successive cycles reaching, respectively, 175°C, 250°C and 300°C (Fig. 5a). The heating and cooling rates were 1.5°C/min and the holding time at maximal temperature was 30 min. The PTFE specimen was held for 5 min at
controlled by the crystallite size distribution of the PTFE resin [17]. In contrast, the crystallization is ruled by different mechanisms that depend on the cooling rate. The crystallization mechanism is a complex and not fully understood topic due to the presence of secondary crystallization [18,19]. Because of this, the present study does not address melting and crystallization mechanisms, but rather focuses on the earlier stages prior to melting which, although simpler, reveal unexpected manifestations of stress relaxation already observed by Canto et al. [12]. Nevertheless, the last cycle that goes above melting temperature is shown in some of the following figures in order to see the very strong effect of sintering.

The CD and TD strains versus time are shown in Fig. 5b with the reference chosen at the initial state at temperature $T_0$. A first observation is that the CD and TD directions show very different strains, even in the first three cycles. This means that the cold uniaxial compaction process induces pronounced anisotropy [13,15,20,21]. After successive cycles, the sample tends to dilate in the compaction direction (CD), and to contract in the transverse direction (TD), and the apparent coefficient of thermal expansion appears to differ in these two directions, again a manifestation of compaction-induced anisotropy.

When plotted as a function of temperature rather than time, as done in Fig. 6, it is observed again that sintering has a very major impact on the dilatometric behavior and, as mentioned above, the present study focuses on the pre-melting behavior, as shown in Fig. 6b and d. In this first subsection, any of the principal eigenstrain, CD or TD, is considered independently without index.

These figures first show that, even in the absence of phase transformation, the thermo-mechanical behavior is not a reversible thermal dilation, in as much as the thermal eigenstrain is not given by a single function of the temperature variation $\delta$. However, when the temperature variation is decreased from any previously experienced peak value $\tau$, then a one-to-one relationship between eigenstrain and $\delta$ is observed, as can be seen for all branches $B_i-A_i$ in Fig. 6b or 6d. These curves seem, however, to depend on $\tau$ but in a rather simple way: mere translations along the strain axis allow all reversible branches to superimpose exactly. This observation suggests that two parameters are needed to characterize the thermo-mechanical eigenstrains: 1) the current (relative) temperature, $\theta$, along a reversible path and 2) the maximum previously experienced relative temperature, $\theta_{\text{max}}$.

That controls the overall translation of the thermal response. Moreover, an additive decomposition of the overall thermal eigenstrain into two contributions can be made:

$$\varepsilon_t(\theta(t)) = \varepsilon_t^{\text{rev}}(\theta(t)) + \varepsilon_t^{\text{irr}}(\tau(t))$$

where $\varepsilon_t^{\text{rev}}$ is the thermal expansion that only depends on the instantaneous relative temperature, $\theta$, and $\varepsilon_t^{\text{irr}}$ is an irreversible eigenstrain that only depends on the maximum of the previously encountered relative temperature. Note that the notation $[\theta(t)]$ refers to a functional dependence over the entire (past) temperature history, whereas $\theta(t)$ refers to a function dependence on the scalar instantaneous variation of temperature occurring at time $t$.

This additive strain decomposition is formally reminiscent of the one encountered in (rate-independent) elasto-plasticity, but where relative temperature plays the role of stress. Introducing the functions defining the reversible and irreversible eigenstrains for $i \in \{\text{CD, TD}\}$:

$$\varepsilon_i^{\text{rev}}(\theta) = f_i(\theta)$$

$$\varepsilon_i^{\text{irr}}(\tau) = g_i(\tau)$$

(5)

It is easy to have access these functions experimentally by noting the following property: In the thermal cycles, one can select those instants of time noted $t^*$ where $\theta(t^*) = \theta(t^*)$. For those, the eigenstrain obeys

3. Results and discussion

3.1. Reversible and irreversible eigenstrain decomposition

Fig. 5b shows the true strain curves for CD and TD as function of time.

The major strain variations are observed during the last thermal cycle at the end of the test and correspond respectively to melting and crystallization of PTFE compact as crystalline phase is denser than amorphous phase. The strain due to crystallization stretches on a longer temperature range than melting. The melting mechanism is essentially

25°C before each new cycle. A final cycle ended the thermal path to complete the sintering of the sample, with the same heating and cooling rates with a longer plateau of 100 min above the melting temperature at 370°C. In this paper, $\delta = T - T_0$, refers to the temperature variation counted from the reference temperature $T_0$ which was chosen to be 25°C.

Fig. 5. Thermal cycle applied to the small PTFE block (a) and related measured true strain in compaction direction (CD) and transverse direction (TD) as function of time (b).
Fig. 6. (a) Measured true strain in TD; (c) Measured true strain in CD; (b) zoom of (a) and (d) zoom of (c) on the three first cycles prior to melting, where the followed path is O − A₁ − B₁ − A₂ − B₂ − A₃ − B₃ − A₄. Branches Aᵢ − Bᵢ appear to be reversible behaviors valid when the temperature remains lower than that of point Aᵢ.

This relation is the "envelope" curve (path O − A₁ − A₂ − A₃) of Fig. 6b or 6d and drawn in purple. Conversely, the longest descending temperature ramp (A₃ − B₃), corresponds to instants of time noted τᵢ for which τ is frozen to the temperature reached at point A₃, τᵢ, and hence

\[ \varepsilon_i^{\text{envol}}(\Theta(\tau)) = \varepsilon_i^{\text{rev}}(\Theta(\tau)) + \varepsilon_i^{\text{irr}}(\Theta(\tau)) + f_i(\Theta(\tau)) + g_i(\Theta(\tau)) \]

(6)

Thus, the reversible eigenstrain function is obtained (for \( \Theta < \tau_i \)) as

\[ f_i(\Theta) = \varepsilon_i^{\text{desc}}(\Theta) - \varepsilon_i^{\text{desc}}(\tau_i) \]

(8)

while the irreversible part is

\[ g_i(\Theta) = \varepsilon_i^{\text{envol}}(\Theta) - f_i(\Theta) \]

(9)

An illustration of the evaluation of these eigenstrains in strain space. The symmetry of the problem reduces the tensorial nature of the strain tensor to only two components CD and TD.

An irreversible part of the eigenstrain \( g_i \) is very close to 0 up to about 60 °C, just as if the PTFE sample had already experienced such a maximum temperature in its past. In some way, the function \( g_i \) encodes a memory of the sample. However, it may be that the effect of temperature can also be obtained with time through similar, but now thermally activated, irreversible microscopic events. This temperature-time analogy has, however, not been studied herein.

Both CD and TD directions obey the same formalism, thereby reducing the full history dependent behavior to four (reversible and irreversible parts, CD and TD directions) scalar functions. However, they show very different quantitative contributions. This sheds some light on the subtle question of anisotropy.

The quantification of anisotropy can be done from the direction of the path followed by eigenstrains in strain space. The symmetry of the problem reduces the tensorial nature of the strain tensor to only two components CD and TD.

From 200 °C and above, some new features that were not visible at lower temperatures begin to appear. First, the reversible part is very close to linear up to 200 °C, and markedly non-linear (yet still reversible) above. Second, a more and more pronounced gap appears at temperature reversal points of the cycles (Fig. 6), suggesting the progressive relevance of viscous effects that could be neglected at lower temperatures [22]. Third, the irreversible part of the eigenstrain seem to reveal higher and higher densification, which may be due to a different phenomenon than in the low temperature regime where a net expansion is observed. One may speculate that the collapse of nanocavities may take place and contribute to the irreversible mechanism at such high temperatures. The melting of smaller crystals suggested by the calorigram shown in Fig. 1 around 250 °C may help close nanocavities.
The plots of the irreversible and thermo-elastic (reversible) eigenstrains in CD and TD against each other are shown in Fig. 8. In such plots, an isochoric (isovolumic) transformation is a straight line of slope $-2$ because of the transversely isotropic character of the specimen.

In Fig. 8a, the irreversible eigenstrain contribution corresponds at low temperature to a fixed direction in strain space, so that the ratio of volumetric to deviatoric strain is constant and positive (meaning an irreversible swelling). Because no phase transformation is expected in this range of temperature, such an effect could correspond to the opening of nanopores. The scale of those pores is termed nano to signify submicrometric, as they are not seen in x-ray computed microtomography. It would be interesting to use small angle x-ray scattering to investigate this point. At about 200 °C, a contraction character begins to set in and, as mentioned above, it could correspond to the closing of nano-cavities.

The thermo-elastic eigenstrain contribution shown in Fig. 8b is remarkably linear and reveals anisotropic dilation. Unlike the irreversible eigenstrain, this behavior remains linear at higher temperatures (at 250 °C and above). This indicates that the origin of the anisotropy is different for the reversible and irreversible contributions. For the reversible eigenstrain, which can be associated to the thermal expansion, the anisotropy may be induced by the crystalline texture caused by the compaction. A possible origin of the irreversible eigenstrain anisotropy is discussed in the next subsection.

3.2. Proposed mechanisms for irreversible eigenstrains

In order to distinguish the respective contribution of two categories of porosities (macro and micro) mentioned in subsection 2.4, varying the compaction pressure provided some insight. At the highest compaction pressure considered in this study, 50 MPa, no macro-pores are observed to remain in the compacted sample. In contrast, we supposed that for lower pressures, (pressures down to 10 MPa were tested) some macro-porosity is left after compaction as the density is not saturated (Fig. 4). However, it is observed to be stable when the temperature is varied, up to temperature close to fusion, where surface tension leads to closure of the pores. For lower temperatures, macro-pores when they exist seem not to play any significant role. Besides, for these different compaction pressure, the dilatometry experiment revealed a similar irreversible expansion behavior. Therefore, the latter characteristic is believed to be due to the densification that takes place at the nanoscale. This is consistent also with the observation that no porosity became visible at the 1 μm scale resolution from tomography of samples compacted at 50 MPa, after the thermal cycles of the dilatometry experiment. The irreversible expansion due to the thermal cycle did only generate submicrometric pores.

From the above observations, it is deduced that the mechanism for the irreversible expansion of the sample:

- has to find its origin in the initial cold mechanical compaction
- has to result from the deformation of the constitutive particles
- has to involve a stored mechanical energy that will allow to compete with the surface tension cost of creating a pore
- has to correspond to a metastable state becoming unstable under a temperature rise.

These points led us to propose a mechanistic scenario whereby the closing a micro-pores goes together with an internal stress field (where the potential elastic energy is stored).
Porosity (at least supermicrometric) disappears during compaction under a macroscopic oedometric strain, as sketched in Fig. 9a. Elementary particles are thus deformed plastically, so that they come together and stick from interparticle adhesion (Fig. 9b), that opposes residual stress due to the deformation of particles. The oedometric compression induces polarization of these internal stresses, as shown in (b). As temperature increases, such contacts may snap open, with a local kinematics that is illustrated in Fig. 9c. Even if locally rather complex rearrangement of grains may exist, the net effect of a local contact opening can be inferred from the classical “Eshelby” problem, where an inclusion embedded in an elastic matrix undergoes a plastic strain \[\epsilon_{\text{relax}}\] [23]. Actually, all complications coming from the local details of such events can be accounted for by a multipolar expansion of Eshelby type terms vanishing with distance to the inclusion center \(r\) as \(r^{-3}\) with \(\sigma \geq d\) where \(d = 3\) is the space dimension. The dominant contribution is the only one that survives at the macroscopic scale and is precisely the usual “Eshelby” solutions, that contains three elementary purely deviatoric components polarized along \(x\), \(y\) or \(z\) and one isotropic term (dilation of the inclusion). A finite density of such inclusions will produce, at a macroscopic scale, a strain reflecting the symmetry of the locally locked residual stress. In our case, it is expected that the macroscopic strain released by these local contact break-ups is simply opposed to the oedometric compaction, in agreement with the observed irreversible thermal eigenstrain.

It is important to note that this elementary stress field involves a tension at the scale of the micro-pore (nm range) that has to be counterbalanced by a compression at a further distance. However the latter stress field decreases very fast with the distance \(r\) to the initial pore (Eshelby outer field decaying as \(r^{-3}\)). This is to be contrasted with a structural internal stress field (such as a skin compression balanced by a bulk traction as produced e.g. by thermal tempering or shot-peening). As a result, it is not possible to reveal these internal stresses by cutting or drilling because the net effect of introducing a free surface has to balance out at the scale of the micro-pores. Hence ideally no more than a nano-roughness should be expected. Nevertheless, when a spatially uniform distribution of such locked-in internal stresses is freed a uniform macroscopic strain is expected, consistently with the observed irreversible dilation.

At high temperature, the elastic properties of the material are expected to soften, (possibly involving viscous relaxation) and surface tension of nanocavities may proceed with a similar mechanism.

### 3.3. Sintered PTFE

In order to highlight the specificities of the green compact, a similar dilatometry experiment was performed on a compacted PTFE sample first sintered with a thermal cycle above melting temperature and 100 min hold time at 370°C. Then, a similar temperature cycling (as shown in Fig. 2a) was applied to the sintered specimen. The strains in CD and TD corresponding to the three thermal cycles are shown in Fig. 10 as a function of the temperature. Those strains reveal no
irreversibility during the successive cycles. This suggests that most residual stresses have been relaxed after the melting of PTFE, and no residual stress susceptible to be relaxed by thermal activation has been regenerated during the recrystallization. The sintering of PTFE leads to the loss of granular structure as the particles interfaces are merged during melting and recrystallization. This could also explain that no residual stress can be trapped in the same way as for the compacted nascent powder.

Also, the behavior appears to be isotropic [12], the expansion comes mainly from the amorphous phase which is more likely to be isotropic, and the recrystallized PTFE is much more amorphous (around 50%) than nascent PTFE (more than 90% crystalline). It is then also important to note that the thermal expansion is very different from sintered PTFE and green compact of PTFE due to this difference of crystallinity content, so that these results cannot be used to compare with the reversible part of the unsintered sample. The thermal expansion stops being linear with temperature above 130 °C, which may be due to the glass transition of RAF. This transition may impact sintered PTFE more than nascent PTFE as the amorphous fraction is much more important after sintering [24]. Around 250 °C, the curvature of the strain may be explained by other phenomena such as melting of smaller crystals coming from secondary crystallization.

4. Conclusions

Compacted nascent PTFE powder presents a peculiar behavior under thermal cycling. In addition to a reversible thermo-elastic contribution, an irreversible contribution has been shown. The latter may be progressively erased by previous thermal treatment at higher and higher temperatures. Such behavior is formally reminiscent of an elastic-plastic behavior, with an additive decomposition of strains between the reversible and irreversible parts. Moreover, both of these strains reveal anisotropic behavior that is due to the preceding cold compaction process of nascent PTFE powder. Remarkably, after sintering, the irreversible part disappears, and the anisotropy (that still exists in the microstructure) has no manifestation in the thermal cycles studied.

It is speculated that the irreversible part of the thermal eigenstrain is due to local stress relaxation mechanisms, occurring at a submicrometer scale. Above 250 °C, some other mechanisms appear that modify the strain behavior of PTFE, such as possible porosity closure.

To refine those observations and deepen the understanding of this phenomenon, it would be interesting to study the residual stress relaxation of PTFE with different multiaxial compactions such as hydrostatic or biaxial compaction. This should have an effect on the anisotropy of the relaxation strain by modifying the residual stress formation. Further investigations will be performed on the strain behavior to study melting and crystallization of PTFE and the variation of thermal expansion during a complete sintering cycle.

Data availability

The raw data required to reproduce these findings cannot be shared at this time as the data also forms part of an ongoing study.

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Appendix A. Supplementary data

Supplementary data to this article can be found online at https://doi.org/10.1016/j.polymertesting.2019.01.018.

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