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To cite this version:

HAL Id: hal-02055622
https://hal.archives-ouvertes.fr/hal-02055622
Submitted on 4 Mar 2019

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The Embedded Isogeometric Kirchhoff-Love Shell: from Design to Shape Optimization of non-conforming stiffened multipatch Structures

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Abstract

Isogeometric shape optimization uses a unique model for the geometric description and for the analysis. The benefits are multiple: in particular, it avoids tedious procedures related to mesh updates. However, although the analysis of complex multipatch structures now becomes tractable with advanced numerical tools, isogeometric shape optimization has not yet been proven to be applicable for designing such structures. Based on the initial concept of integrating design and analysis, we develop a new approach that deals with the shape optimization of non-conforming multipatch structures. The model is built by employing the Free-Form Deformation principle. Introducing NURBS composition drastically simplifies the imposition of the shape updates in case of a non-conforming multipatch configuration. In the case of stiffened structures, the use of embedded surfaces enables to tackle the geometric constraint of connecting interfaces between the panel and the stiffeners during shape modifications. For the analysis, we introduce the embedded Kirchhoff-Love shell formulation. The NURBS composition defines the geometry of the shell while the displacement field is approximated using the same spline functions as for the embedded surface. We also formulate a new mortar method to couple non-conforming Kirchhoff-Love shells which intersect with any angle. We apply the developed method on different examples to demonstrate its efficiency and its potential to optimize complex industrial structures in a smooth manner.

Keywords: Shape optimization, Shell, Mortar coupling, Isogeometric Analysis, Multipatch, Stiffened structures

1. Introduction

Structural shape optimization requires a suitable mix of an accurate geometric description and an efficient analysis model. Even more importantly, a close link between both the geometric and the analysis models is highly sought since they repeatedly communicate during the resolution. Thus, shape optimization is a great application of IsoGeometric Analysis since the latter integrates Computer-Aided Design and analysis \cite{112}. As a result, isogeometric analysis optimization is an active research topic and it has been successfully applied in solid mechanics; see for example Wall et al. \cite{5}, Qian \cite{4}, Nagy et al. \cite{6, 7}, Kiendl et al. \cite{8}, Taheri and Hassani \cite{9}, Wang and Turteltaub \cite{10}, Fußeder et al. \cite{11}, Kang and Youn \cite{12}, Lian et al. \cite{13}, Wang et al. \cite{14}, Choi and Cho \cite{15}, Lei et al. \cite{16}, Hirschler et al. \cite{17}, Ding et al. \cite{18}, Weeger et al. \cite{19}. A general procedure, which has been improved over the years \cite{20}, is commonly adopted. It is based on a multilevel design concept which consists in choosing different refinement levels of the same NURBS-based geometry to define both optimization and analysis spaces \cite{7, 8, 10, 17}. Shape updates are represented by altering the spatial location of the control points, and in some case the weights \cite{6, 7}, on the coarse level. The finer level defines the analysis model and is set to ensure good quality of the solution. The optimization and analysis refinement levels are independently chosen which provides a problem-adapted choice of the spaces.

From this overview, it appears that isogeometric shape optimization has not yet been applied to real-world structures. More precisely, mainly simple geometries modeled as single patch structures have been considered. However, it is well-known that a single NURBS patch cannot represent large and complex ge-
ometries with for example holes and kinks. For instance, we are interested here in stiffened structures which are omnipresent in aeronautics [21–23]. These structures are obtained by the assembly of a main part (usually denoted by the skin or the panel) with subparts as stringers and ribs (the stiffeners). The fastening of the stiffeners with the skin gives a final structure with a high stiffness to weight ratio. Since stiffened structures are built with multiple parts, it seems obvious that multipatch modeling will be required to design them. Even more, the position and the shape of the stiffeners can be arbitrary which makes it difficult to model the whole structure with multiple conforming NURBS patches. Preprocessing procedures seem, in this case, inevitable to generate analysis-suitable conforming NURBS models [24]. In this work we are interested, for example, in finding the optimal position of the stiffeners along the skin [25–26]. In this case, the geometry of the skin remains unchanged during the whole optimization, and therefore it would be attractive not to modify its discretization. In addition, the generation of conforming meshes is not straightforward and may require time consuming procedures. This kind of framework has already been developed in the FEM community, see for example: Mulani et al. [27], Singh et al. [28], Zhao and Kapania [29]. It can be noted that these frameworks lack of compactness in the sense that several softwares are used during the different steps of optimization: geometry parametrization, meshing, analysis. Thus, we are looking for an optimization method that enables to use non-conforming multipatch models in order to suppress the tedious generation of conforming parametrization models. However, the imposition of the shape updates in case of non-conforming patches requires specific attention since there are no explicit links between the patches. We will show in this work how the use of Free-From Deformation techniques [30] helps to deal with this issue. Especially, it transfers the shape modification between the patches in a master-slave way.

Isogeometric analysis of non-conforming multipatch structures is an active field of research. The main interest is to be able to perform the analysis directly on CAD models which almost systematically present trimming and non-conforming parametrization [31–33]. The coupling can be addressed by different techniques. In case of arbitrary non-conforming interfaces, the coupling conditions are imposed in a weak sense. There are different approaches that can be employed; namely penalty methods [34–36], mortar methods [34, 37–43], and Nitsche methods [44–48]. Because stiffened structures are built with thin shells, we seek to consider isogeometric Kirchhoff-Love shell formulation. Moreover, this rotation-free shell formulation is very attractive for shape optimization because of its low computational cost [7, 8, 17]. In the specific context of Kirchhoff-Love shells, penalty [36] and Nitsche [33] methods have been successfully applied for large and complex industrial structures, even for non-linear analysis. Mortar coupling of Kirchhoff-Love shells has been done for geometric continuum surfaces [33] and for non-linear analysis [34–39]. However, the formulation in the context of linear elasticity analysis of multipatch Kirchhoff-Love shells which connect with arbitrary angles does not seem to have been performed yet. A solution can be found in [39] but the applicability in the context of linear analysis may not appear obvious. We present in this work a new mortar method applicable in this context. Differences with the mentioned approaches mainly concern the kinematic constraints imposed by the Lagrange multipliers. From an implementation point of view, the major benefit of the mortar method is its close link with domain decomposition techniques [50, 53]. We discuss in this paper the advantages brought by such techniques to optimize multipatch structures.

Based on these observations, we develop in this work an approach which integrates the design and analysis into a single model accordingly to the isogeometric concept. Our approach deals with non-conforming multipatch models which are inevitable for designing stiffened structures. We offer a smooth way to impose the shape updates during the optimization even for complex geometries with varying curvatures. Neither during the design, the analysis nor the optimization steps we introduce approximations, reparametrizations, and other tedious procedures. To do so, we first tackle the geometric challenges in section 2. We present the idea of embedded surface related to Free-Form Deformation (FFD) methods [30]. This will lead us to formulate, in section 4, the embedded isogeometric Kirchhoff-Love shell. Then we present our mortar approach for the coupling and we remind basics on domain decomposition methods for the resolution. In section 4 we highlight the benefits and the potentials of using NURBS composition to perform shape optimization. Finally, section 5 presents advanced numerical examples that demonstrate the applicability and the efficiency of our approach to design innovative stiffened structures. This brings us to section 6 where concluding remarks and perspectives are discussed.

2. Geometric modeling

We first need to deal with the geometric difficulties of imposing shape updates to complex geometries. In par-
ticular, this work focuses on stiffened structures which are obtained by the assembly of a main part (the panel) with one or more specific substructure (the stiffener). The main part and its substructure are coupled at a common interface. We introduce in this section the use of embedded surfaces to tackle this geometric constraint of connecting interfaces during shape modifications.

2.1. Geometric challenges

The simple case of a portion of a cylinder with one stiffener helps to highlight the geometric challenges. A first configuration can be defined as depicted in figure 1. Two classical NURBS surfaces describe the portion of cylinder and the stiffener. During the design, or more specifically, the optimization process, the designer may want to modify the shape of the different parts. In the IGA-based framework, it consists in changing the control point coordinates and, in few cases, their associated weights [3–8, 11, 14, 17]. Figure 2 shows two difficulties that arise with non-conforming multipatch configurations. The first difficulty occurs when trying to modify the shape of the stiffener. We want the bottom of the stiffener to perfectly lie on the cylinder. This geometric constraint is not easily fulfilled as shown in figure 2(a). In other words, sliding the control points along the edge of the cylinder disconnects the surfaces. The second difficulty is to modify the global shape (i.e., in this case the portion of cylinder) while keeping the substructure connected. More precisely, the question here is: how to transfer the shape update from the global part to its substructure? An illustration of the problem is shown in figure 2(b). If we move one control point of the main surface, the stiffener does not lie anymore on it. In this simple case, one could formulate an appropriate condition in order to glue the stiffener but, in general, it is not a trivial task. In case of curvilinear stiffeners lying on a curved surface, reparametrizations and approximations appear inevitable.

Figure 1: Construction of a portion of cylinder with one stiffener by using two non-conforming NURBS surfaces.

(a)

(b)

Figure 2: Geometric difficulties to impose design modifications to a stiffened cylinder: (a) while moving, the stiffener disconnects with the cylinder, and (b) the stiffener does not follow the shape variation of the cylinder.

2.2. Embedded surface

2.2.1. Main idea

We introduce the concept of embedded surface in order to overcome the geometric challenges highlighted in the previous section. The idea comes from Bauer et al. [54] who considered univariate embedded entities. More precisely, they embed a curve into a surface. A similar procedure is undertaken to define the stiffener for the stiffened cylinder depicted in figure 1. We do not use classical NURBS surfaces to describe the stiffeners. Instead of a simple surface, we introduce the composition of a NURBS volume and a NURBS surface. In other words, we immerse a surface into the parametric space of a volume. The volume can be seen as a mapping that smartly transforms the embedded surface in order to create a final surface with the desired properties. Figure 3 illustrates this process.

In general, embedded entities (curve, surface or volume) can be introduced when the shape modification of a given structure is controlled by another one or by sophisticated geometric rules. In this work, we restrict ourselves to embedded surfaces without loss of gener-
ality. The embedded entities can be interpreted as slave parts since their shapes are driven by external geometric properties. Conversely, the master parts are those which dictate specific constraints during the shape update. For example, in the case depicted in figure 1, the portion of cylinder is the master part and the stiffener constitutes the slave part: modifying the master part imposes to transfer shape modification to the slave part and, in turn, the possible shape modifications of the slave part are restricted to follow the master geometry.

2.2.2. Mathematical description

Let us now introduce the following quantities. We define the mapping $V$ as a NURBS volume:

$$V(\xi_1, \xi_2, \xi_3) = \sum_{ijk} R_{ijk}(\xi_1, \xi_2, \xi_3) P_{ijk},$$

(1)

where $R_{ijk}$ are trivariate NURBS basis functions and $P_{ijk}$ are the related control points. The parametric coordinates $\xi_1$, $\xi_2$ and $\xi_3$ are defined over the parametric domain $\Omega$. The embedded surface $\tilde{S}$ is a NURBS surface defined as:

$$\tilde{S}(\theta_1, \theta_2) = \sum_{ab} \tilde{R}_{ab}(\theta_1, \theta_2) Q_{ab},$$

(2)

with $\tilde{R}_{ab}$ some bivariate NURBS basis functions and $Q_{ab}$ their associated control points. The surface $S$ is parametrized by the same set of variables $(\theta_1, \theta_2)$ as the embedded surface $\tilde{S}$ and it returns value in the physical space $\mathbb{R}^3$.

2.3. Design benefits brought by embedded surfaces

The potential of using embedded entities is multiple from the design point of view. Our first motivation is to design stiffened structures found in aeronautics but, of course, it should not be limited to this purpose.

To demonstrate the flexibility of the proposed approach, we apply it to design the internal substructure of a curved wing. The wing is described by its external geometry as shown in figure 4(a). The outer skin of the wing can, for example, be defined by two classical NURBS surfaces. In order to build the internal substructure, we firstly introduce a NURBS volume that fills the inside of the wing. The two outer surfaces compose two opposite faces of the volume. Degree one is chosen between these faces. Thus, the control points associated to the volume are none other than the union of those associated to the both outer surfaces. Figure 4(b) shows the defined volume. Once the volume is generated, embedded surfaces are introduced into its parametric space. The composition of these embedded surfaces and the volume gives surfaces that are located inside the wing and that are perfectly coincident at some of their edges with both outer skins. One can simply modify the shape of the substructure by changing the location of some control points of the embedded surfaces. As shown in figure 5, a uni-directional translation of the embedded surface in the parametric space of the mapping leads to a smooth translation of the substructure which follows the curvature of the wing. It also automatically takes
into account the cross-section variations of the wing. The geometric constraints given by the curvature and the cross-section variations could be really tedious, if not impossible without approximation, to be imposed with classical NURBS patches.

The example of the wing is only one example of the capabilities of using embedded entities from the design point of view. We believe that such techniques can be successfully applied to a large range of design problems. The examples tackled in this article illustrate some of the applications (see more specifically section 5).

3. Shell analysis with embedded surfaces

The analysis of non-conforming multipatch structures is of high interest in the IGA community (see [34][36][38][40][45][46][55] to name a few). In this section, we firstly adapt the popular isogeometric Kirchhoff-Love shell formulation to the case of embedded surfaces. Secondly, we propose a mortar approach for the coupling of non-conforming parametrizations and we remind the basics of domain decomposition methods which enable to efficiently solve mixed problems.

3.1. Embedded Kirchhoff-Love formulation

The surface obtained by the composition of NURBS functions, as introduced in section 2, is not a classical NURBS surface. Thus, the standard isogeometric Kirchhoff-Love shell requires some adjustments to be used with embedded surfaces.
Looking at Kiendl et al. [56], it can be seen that the starting point of the Kirchhoff-Love element formulation is the definition of the covariant base vectors. Taking equation (3), we observe that the surface is parametrized and, therefore, it has curvilinear coordinates which are and . As a consequence, the definition of the covariant base vectors is straightforward and is given by the chain rule:

\[
a_\alpha = \frac{\partial S}{\partial \theta_\alpha} = \sum_{k=1,3} [\bar{S}_{\beta k} \cdot e_\alpha] V_{\gamma_k}(\bar{S}) \quad \alpha = 1, 2, 3,
\]

where the subscript \( (\cdot)_\xi = \partial(\cdot)/\partial \xi \) indicates the partial derivative with respect to variable \( \xi \). The unit normal vector \( a_3 \) is obtained using the relation:

\[
a_3 = \frac{1}{J} a_1 \times a_2, \quad \text{where} \quad J = |a_1 \times a_2|.
\]

The Kirchhoff kinematic assumptions are well-known: straight lines normal to the mid-surface are characterized by rigid-body motions and remain normal to the mid-surface after deformation. Such assumptions enable to describe the deformation of the shell body only by the displacement \( u \) of the mid-surface. Therefore, the overall displacement field \( U \) of the shell body can be written as:

\[
U(\theta_1, \theta_2, \zeta) = u(\theta_1, \theta_2) + \zeta [\Phi \times a_3](\theta_1, \theta_2),
\]

where \( \zeta \in [-\frac{1}{2}, \frac{1}{2}] \), \( t \) being the thickness of the shell. From Echter et al. [57], the linearized rotation vector \( \Phi \) is defined as a function of the covariant base vectors and rotation angles:

\[
\Phi = \varphi_1 a_1 + \varphi_2 a_2,
\]

where the rotation angles \( \varphi_1 \) and \( \varphi_2 \) are:

\[
\varphi_1 = \frac{1}{J} u_{\theta_2} \cdot a_3 \quad \text{and} \quad \varphi_2 = -\frac{1}{J} u_{\theta_1} \cdot a_3.
\]

It follows that the linearized strain tensor of the shell body is found to be of the form

\[
\epsilon_{\alpha\beta} = \epsilon_{\alpha\beta \|} + \zeta \kappa_{\alpha\beta},
\]

where membrane strains \( \epsilon \) and bending strains \( \kappa \) are given by

\[
\epsilon_{\alpha\beta} = \frac{1}{2} \left( u_{\beta,\alpha} + u_{\alpha,\beta} \cdot a_3 \right),
\]

\[
\kappa_{\alpha\beta} = -u_{\alpha,\beta} \cdot a_3 + \frac{1}{J} \left[ u_{\beta,\alpha} \cdot (a_2 \times a_3) + u_{\alpha,\beta} \cdot (a_1 \times a_3) \right] + \frac{a_1 \cdot a_2}{J} \left[ u_{\alpha,\beta} \cdot (a_2 \times a_3) + u_{\beta,\alpha} \cdot (a_1 \times a_3) \right].
\]

Greek indices take the values 1 and 2. In fact, the kinematic assumptions make the transverse shear strains vanish (i.e. \( \epsilon_{\alpha3} = 0 \)).

Looking at equation (11) reveals that the derivatives of the covariant base vectors versus the curvilinear coordinates are required. In case of the composition of NURBS, the expressions of these derivatives are obtained starting from equation (4) and applying one more time the chain rule. It gives:

\[
a_{\alpha,\beta} = \sum_{k=1,3} [\bar{S}_{\beta k} \cdot e_\alpha] V_{\gamma_k}(\bar{S})
\]

\[
+ \sum_{k=1,3} \sum_{i=1,3} [\bar{S}_{\beta k} \cdot e_\alpha][\bar{S}_{\beta l} \cdot e_l] V_{\gamma_i}(\bar{S}).
\]

One can notice that second derivatives are employed for the bending term. More specifically, the physical surface obtained by NURBS composition has to be \( C^1 \) (see equation (12)). This requirement can be satisfied with NURBS discretizations of higher continuity. If both the embedded surface \( \bar{S} \) and the volume mapping \( V \) are \( C^1 \) then the NURBS composition is de facto \( C^1 \).

Applying Galerkin’s method raises the question of the choice of the approximation space \( \mathcal{V}^h \) for the displacement field. In fact, the isoparametric concept may not be applicable in case of a NURBS composition. We need to define the solution space as all linear combinations of a given set of basis functions. Since second derivatives of the displacement field are involved in the bending term (see equation (11)), the approximated displacement field has to be \( C^1 \) as the geometry. Two choices emerge:

1. based on the trivariate NURBS discretization of the mapping:

\[
u^h(\theta_1, \theta_2) = \sum_{ijk} R_{ijk}(\bar{S}(\theta_1, \theta_2)) U_{ijk}.
\]

2. based on the bivariate NURBS discretization of the embedded surface:

\[
u^h(\theta_1, \theta_2) = \sum_{ab} R_{ab}(\theta_1, \theta_2) U_{ab}.
\]
or the other approach. The first approach can be interesting if the volume which surrounds the surface is a structural part. In case of void, a lot of degrees of freedom may be inactive leading to an ill-conditioned system, which is a well-known drawback of immersed method. Special treatments, such as penalization techniques [61, 62], have to be performed. It is particularly true for higher continuity discretizations since the supports of the NURBS basis functions become larger. The second approach leads to smaller system because it uses a bivariate parametrization (instead of a trivariate one for the first approach). No regularization is required and higher continuity discretizations (cubic and quartic for example) can be used. We notice that the second approach is also easier from the implementation point of view, especially during the stiffness matrix assembly.

In this work, we apply the second methodology [14]. An overview of the approach is depicted in figure 6. Compared to the classical isogeometric Kirchhoff-Love shell, the difference lies in the additional trivariate mapping step that modifies the shape of the undeformed configuration. We name it the FFD step since it reminds the Free Form Deformation technique [30]. Finally, introducing the approximation $u^h$ into (10) and (11) gives the discrete membrane and bending strains. The equilibrium configurations of the shell followed from the principle of minimum potential energy leads to the typical linear system:

$$K U = F.$$  \hspace{1cm} (15)

Further details on the expression of the stiffness matrix $K$ and the vector of external force $F$ can be found in Kiendl [63] and for example in the following papers; Hirschler et al. [17], Cirak et al. [64], Kiendl et al. [65]. Here, one has to replace the expressions of the covariant vectors and their derivatives as given by equations (4) and (12).

3.2. Non-conforming coupling

We now introduce a new mortar method for the coupling of non-conforming isogeometric Kirchhoff-Love shells. Similarities with already published papers in the standard FEM community can be found, especially with those of Bernadou et al. [66, 67] where theoretical studies are carried out. In the isogeometric framework, mortar coupling of Kirchhoff-Love shells has been recently studied by Apostolatos et al. [34] and Duong et al. [49]. Differences with our approach mainly lie in the kinematic constraints imposed by the Lagrange multiplier. In Apostolatos et al. [34], the rotation vector is not clearly defined and the continuity of the rotation is imposed in the three directions of the global basis, which is only true in case of coplanar domains [52]. In Duong et al. [49], the chosen kinematic constraints deal with arbitrary shell junctions but they are only suited and usable for non-linear problems.
3.2.1. Mortar approach for Kirchhoff-Love shells

For the sake of simplicity, the method is presented in the case of two non-overlapping subdomains $\Omega_1$ and $\Omega_2$ without loss of generality. At the common interface denoted by $\Gamma$, the continuity of the displacement has to be ensured. Furthermore, in case of a shell junction with rigid hinge, an additional constraint is required which enforces the continuity of the rotation in the tangential direction associated to the interface curve. Thus, we formulate the kinematic constraints as:

\[
\begin{align*}
\mathbf{u}_1 &= \mathbf{u}_2 & \text{on} & & \Gamma & & [3 \text{ displacements}], \\
\mathbf{t} &= \mathbf{t} & \text{on} & & \Gamma & & [1 \text{ rotation}],
\end{align*}
\]

where $\mathbf{t}$ is a unit tangent vector associated to the interface curve. The constraints are ensured in a weak sense by introducing two Lagrange multipliers $\lambda \in L_d$ and $\mu \in L_r$ ($L_d$ and $L_r$ being ad-hoc spaces for the displacements and the rotation respectively). It results the formulation of the following Lagrangian for the coupled problem:

\[
L(u_1, u_2, \lambda, \mu) = \frac{1}{2} a_1(u_1, u_1) - l_1(u_1) + \frac{1}{2} a_2(u_2, u_2) - l_2(u_2) + \langle \lambda, u_1 - u_2 \rangle + \langle \mu, \mathbf{t} - \Phi_2 \cdot \mathbf{t} \rangle,
\]

where bilinear forms $a_1$ and $a_2$ and linear forms $l_1$ constitute the standard variation forms of the elasticity problem on each subdomain. Operator $\langle \cdot, \cdot \rangle$ is a bilinear form defined such that:

\[
\langle v, w \rangle = \int_{\Gamma} v \cdot w \, dl. \tag{19}
\]

Then, the variational principle written in the discrete form gives the coupled linear system to be solved:

\[
\begin{bmatrix}
K_1 & C_1^T & Z_1^T \\
0 & K_2 & C_2^T & Z_2^T \\
C_1 & C_2 & 0 & 0 \\
Z_1 & Z_2 & 0 & 0
\end{bmatrix}
\begin{bmatrix}
U_1 \\
U_2 \\
\Lambda \\
M
\end{bmatrix}
= \begin{bmatrix}
F_1 \\
F_2 \\
0 \\
0
\end{bmatrix}, \tag{20}
\]

where vectors $U_1$, $U_2$, $\Lambda$, and $M$ collect the Degrees Of Freedom corresponding to the discretizations of $u_1$, $u_2$, $\lambda$, and $\mu$ respectively. The displacement coupling matrices $C_i$, and the rotation coupling matrices $Z_i$ consist in sparse rectangular operators. As for the stiffness matrices $K_i$, they are obtained by assembling local matrices $C^{loc}$ and $Z^{loc}$ that can be expressed at the control point level as:

\[
C^{loc} = \pm \int_{\Gamma} R^t R \, dl, \tag{21}
\]

\[
Z^{loc} = \pm \int_{\Gamma} R^t \frac{a_1}{J} (R_{\beta_1}(a_1 \cdot \mathbf{t}) - R_{\beta_2}(a_2 \cdot \mathbf{t})) \, dl. \tag{22}
\]

Here, we voluntarily skip the indices to ease the reading. $R^t$ and $R^t$ correspond to some basis functions of the displacement and of the rotation Lagrange multipliers respectively. $R$ is a basis function of one displacement.
field. \(I_d\) is the 3-by-3 identity matrix. Finally, \(C^{\infty}\) is a 3-by-3 matrix and \(Z^{\infty}\) is a 1-by-3 matrix. The symbol \(\pm\) indicates whether the domain is the master (+) or the slave (–).

The coupled linear system (20) is a saddle point problem. A special care may be required for the construction of the approximation subspaces of the Lagrange multipliers to avoid undesirable energy-free oscillations due to the non-satisfaction of the inf-sup condition. Let \(p\) denote the smaller degree of both subdomain displacement fields. We adopt the following strategy:

- for the displacement constraint (16), a B-Spline function \(\lambda_h\) with degree \(p - 1\) is defined since it is mainly related to traction forces,
- for the rotation constraint (17), a B-Spline function \(\mu_h\) with degree \(p - 2\) is defined because it transfers a bending moment,
- same mesh refinement is chosen for both Lagrange multipliers \(\lambda_h\) and \(\mu_h\). We discretize these fields using as many elements as the coarsest of the domains \(\Omega_1\) and \(\Omega_2\) over the interface.

At this stage, it is important to say that this strategy is only based on numerical experiments. With such a choice, we never encountered instabilities in our computations. To illustrate this point, two classical test cases, the Scoderlis-Lo roof and the T-beam, are presented in the next section. Although the numerical studies validate numerically the formulation, we notice that a strong mathematical investigation of the choices of the discrete fields could be of interest from a theoretical point of view. For example, based on the work of Brivadis et al. [37] and Wunderlich et al. [43] one could propose appropriate spaces to reach an optimal convergence rate for the Kirchhoff-Love shells. One may note that concerning stability issues, the penalty method [35, 36] and the Nitsche approach [33, 45] are thus attractive since they involve simpler stability parameters.

### 3.2.2. Numerical investigation of the coupling

We shortly present two test cases to assess the presented coupling strategy. The goal is to underline the applicability of the approach for well-known problems.

The Scoderlis-Lo roof is discretized with three non-conforming embedded patches. Starting from the configuration depicted in figure 7(a), refinement is performed to investigate the convergence of the solution. The vertical displacement of the midpoints of the free edges is compared to the reference value \(u_{ref} = -3.005925 \times 10^{-1}\) (see Herrema et al. [36]). The results are given in figure 7(c). Good convergence of the solution is obtained. For the T-beam problem, we take the same numerical setting as in Herrema et al. [36]. Two planar patches are connected in a non-matching way. The interface crosses the middle of the upper patch. We use here the classical Kirchhoff-Love shell to show that the proposed coupling strategy is fully applicable in this context. We obtain a similar deformation as in Herrema et al. [36] where penalty coupling was performed. In order to show that the rotation constraint is well prescribed, we plot in figure 8(c) the resulting angle between the patches along the interface. It can be seen that it remains equal to 90° after deformation. Without the rotation constraint, the angle between the patches was found to be equal to 86.6° at the end of the beam deformation.
because the interface acts as a hinge. The presence of small oscillations for the coarser parameterization highlights the non-optimality of the chosen spaces for the Lagrangian fields. It could be interesting to investigate the inf–sup condition to clarify the stability of the method. However, these oscillations vanish with a finer parameterization.

In addition to these two examples, the following studies of section will further confirm the potential of the proposed coupling approach.

3.3. Domain decomposition

A great benefit of mortar coupling is its link with domain decomposition methods. The coupled problem can be decomposed in order to solve only local and smaller systems. This is a particularly interesting technique to analyze large and complex structures characterized by the assembly of many substructures.

The starting point is to split the coupled system into the following coupled equations:

\[ \mathbf{U}_s = K^{-1}_s \left( \mathbf{F}_s - C_*^T \mathbf{A} - Z_*^T \mathbf{M} \right) \quad \forall s \]  
\[ \sum_s C_s \mathbf{U}_s = 0 \quad \text{and} \quad \sum_s Z_s \mathbf{U}_s = 0. \]

The dual interface problem is obtained by the condensation of the problem at the interface. It consists in substituting equation into equation. We get:

\[ \begin{bmatrix} \mathbf{S}_d \mathbf{A} \\ \mathbf{T} \end{bmatrix} = \begin{bmatrix} \mathbf{T}^T \\ \mathbf{T}^T \end{bmatrix} \]

where the condensed right hand side \( \mathbf{T} \) and the so-called dual Shur complement operator \( \mathbf{S}_d \) read:

\[ \mathbf{S}_d = \sum_s \begin{bmatrix} C_s \\ Z_s \end{bmatrix} K^{-1}_s \begin{bmatrix} C_s^T \\ Z_s^T \end{bmatrix}, \]

\[ \begin{bmatrix} \mathbf{T} \\ \mathbf{T} \end{bmatrix} = \sum_s \begin{bmatrix} C_s \\ Z_s \end{bmatrix} K^{-1}_s \mathbf{F}_s. \]

Now, the analysis of the non-conforming multipatch structure is done in two main steps: first we solve the interface problem, and secondly we deduce the local solutions from equation. One can see that these equations involve only local systems. Finally, a last ingredient comes into play to entirely decompose the problem (and make it parallelizable). The Shur complement is neither computed nor assembled. Indeed, the condensed symmetric linear system is solved using a Krylov iterative solver, and more precisely a preconditioned conjugate gradient solver. With such a solver in hand, only matrix-vector products are required. As operator \( \mathbf{S}_d \) is expressed as a sum on subdomains, these products are computed as follows:

\[ \mathbf{S}_d \mathbf{v} = \sum_s \begin{bmatrix} C_s \\ Z_s \end{bmatrix} \mathbf{y}_s, \quad \mathbf{y}_s = K^{-1}_s \begin{bmatrix} C_s^T \\ Z_s^T \end{bmatrix} \mathbf{v}. \]

The vectors \( \mathbf{y}_s \) are solutions of local systems. Thus, during the whole resolution, only local quantities are involved making the approach parallelizable.

We do not go further into the details regarding domain decomposition in the present contribution. Other key aspects as efficient preconditioner, floating domain, and practical implementation of the method are not of interest here. The goal is to present the main concepts behind the domain decomposition methods in order to highlight the potential of using mortar coupling to analysis non-conforming multi-patch structures. For structural optimization, the domain decomposition method enables, in a preprocessing step, to factorize once for all the stiffness matrices of patches located in non-design regions. Following the invoked strategy, the solution at every iteration of the optimization process is therefore computed at a very competitive cost compare to the monolithic resolution.

4. Optimal design

Using IGA to perform structural optimization is attractive since it integrates design and analysis. We show in this section that introducing embedded surfaces into the IGA-based shape optimization framework offers new possibilities. It enables to tackle challenging optimization problems in a proper and accurate manner.

4.1. IGA-based shape optimization

A commonly adopted procedure is used in this work, and thus only the basics of IGA-based shape optimization are reminded. Interested readers can find more information in the following papers.

The main ingredient is the multilevel approach which allows to define the optimization model and the analysis model in a versatile manner. Both optimization and analysis models are initially obtained through refinement of the initial geometry of the structure and represent the exact same geometry during the optimization process. The shape variations are imposed on the optimization model. Therefore, depending on the complexity of the admitted shape variations, one can choose an appropriate discretization level. A coarser optimization model may provide a simpler optimal shape than a finer optimization model. Then, the level of refinement for the analysis model is defined so as to ensure
good quality of the solution. Thus, the analysis model is finer than the optimization model. Finally, thanks to the refinement procedure of NURBS functions, the link between both models is kept. The shape modifications imposed on the optimization model can be explicitly transferred to the analysis model by using the linear relation between the control points of these two discretizations [4].

In this work, we focus on the common optimization problem that consists of minimizing the compliance under a given volume constraint. The mathematical formulation of this problem is:

$$\min \frac{1}{2} \mathbf{F}(\mathbf{s}) \cdot \mathbf{U}(\mathbf{s}) \quad \text{subject to} \quad \mathbf{s}_l \leq \mathbf{s} \leq \mathbf{s}_u, \quad V(\mathbf{s}) \leq V_0,$$

where vector $\mathbf{s}$ collects the $n_s$ design variables. These variables take real values limited by lower bound $\mathbf{s}_l$ and upper bound $\mathbf{s}_u$. In IGA-based shape optimization, the design variables are related to the control point coordinates in order to parametrize the geometry of the structure. Other quantities involved in the problem (29) are the load vector $\mathbf{F}$, the state variables $\mathbf{U}$, the volume of the structure $V$, and a prescribed volume $V_0$. There are plenty of numerical methods to solve such an optimization problem. We use in this work the gradient based algorithm SLSQP [68] available in many scientific packages, as for instance SciPy [69]. Computation of the sensitivities are required for specification of the search direction. Accurate sensitivities are crucial to obtain trustful results since they control the shape updates of the iterative optimization process. In this work, we manage to evaluate discrete sensitivities in a fully analytical fashion. This is made possible because of the close link between design and analysis within the isogeometric framework [4, 7, 9, 16].

4.2. Design capabilities

Taking the example of the parabolic arch described in Kiendl et al. [8], we illustrate the design capabilities offered by embedded surfaces. We compare the resolution of this problem when using the classical Kirchhoff-Love shell and the introduced embedded version. The description of this benchmark example is given in figure 9. Under the consideration of a small thickness, the bending stiffness vanishes, and the optimal shape is analytically found to be a parabola with a specific length to height ratio (see again [8]).

One can solve the arch optimization problem by modelling the arch with isogeometric Kirchhoff-Love shell elements. In this case of a classical NURBS surface, the design variations are imposed in the vertical direction through design variables which move the inner control points of the beam, as depicted in figure 10. With the parameters given in [8], one has to find the final solution of a parabola with a height $h_{opt} = 5.4779$ (see figure 10).

Now, we solve the arch optimization problem with the embedded Kirchhoff-Love shell elements. The difference lies in the imposition of the shape variations. In fact, it can be done in two ways. Firstly, the design variables can be related to the control points of the volume mapping. We call this approach the FFD-like shape update because it recalls Free-Form Deformation techniques [30]. Secondly, the design variables can be linked to the control points of the embedded surface in order to modify the shape of the shell. This second ap-

![Figure 9: The parabolic arch problem taken from Kiendl et al. [8]. When subjected to constant vertical load $q$, the optimal shape is a parabola with ratio $h/L = 0.54779$.](image)

![Figure 10: Isogeometric resolution of the arch optimization problem with classical Kirchhoff-Love shell elements. A set of design variables (3 in the current case) move couples of control points in the $z$-direction.](image)
Figure 11: Resolution of the arch optimization problem with embedded Kirchhoff-Love elements in case of FFD-based shape updates. The embedded surface is fixed while the shape modifications are imposed by moving the control points associated to the volume mapping ($i$ denotes the SQP iteration).

Figure 12: Resolution of the arch optimization problem with embedded Kirchhoff-Love elements in case of embedded shape updates. The volume mapping is fixed and it limits the design space. The shape modifications are imposed by moving the control points associated to the embedded surface ($i$ denotes the SQP iteration).
Figure 13: Construction of the geometry of the stiffened roof: starting from the square plate, the first step consists in generating a volume by extrusion. Degree one is set in the direction of the extrusion. Once the volume is obtained, NURBS surfaces are embedded into the parameter space of the volume. Finally, the compositions of these embedded surfaces with the volume give the final surfaces that describe the stiffeners.

The potential of the two design techniques is significant. We present in next section a few examples that highlight inherent benefits. The FFD design helps to optimize the global shape of structures composed of multiple parts. Conversely, the embedded technique offers a smooth way to optimize specific parts of a global structure. In any case, main and specific parts remain perfectly connected through the optimization process.

5. Advanced numerical examples

We apply the presented approach to three problems. The stiffened roof illustrates the use of the FFD design technique. The global shape modifications of the roof are automatically transferred to the stiffeners. The curved wall problem shows how the design modifications of the embedded surfaces allows to optimize the shape and the position of stiffeners along curved and complex geometries. Finally, the wing problem proves the applicability of our approach to design innovative aeronautical structures.
5.1. Stiffened roof

The problem of the stiffened roof is derived from the initial shape optimization problem of a roof under vertical uniform load [70, 71]. Two stiffeners are added to the initial square plate. We introduce two embedded surfaces to define these stiffeners as shown in figure [13].

The construction can be divided into two steps. First, the volume mapping is generated by the extrusion of the square plate. We set the degree to one in the direction of extrusion (i.e., the knot vector in direction $\xi_3$ is defined as $W = [0 0 1 1]$). Thus, the generation of the volume is straightforward. The volume shares half of its control points with those associated to the square plate. The additional control points are a copy of the previous ones where the $z$-component is set to an offset value. The second step consists in embedding surfaces into the parameter space of the created volume. These embedded surfaces, once composed with the volume mapping, give the final surfaces within the physical space that define the stiffeners. Here, the embedded surfaces are B-Splines of one single element with degree 2-by-1. Thus, each surface has six control points as depicted in figure [13]. The coordinates of their control points can directly be interpreted from this figure considering the ratio $d_1/d_3 = 0.35$. The other geometric and mechanical parameters of the problem are the following: Young's modulus $E = 210 \cdot 10^9$, Poisson's ratio $\nu = 0.30$, plate length $L = 10$, shell thickness $t = 0.1$, stiffener height $h = 0.6$, vertical uniform load $P = 1000$. The 4 corners of the plate are fixed.

In this problem, the embedded surfaces remain unchanged during the optimization. We apply the FFD design technique. The shape modifications of the stiffeners are only imposed by the design updates of the surface representing the roof. To this purpose, the design variables are associated to the control points of the mapping. The volume mapping is discretized in 4-by-4-by-1 elements. Degree 3 is taken in directions $\xi_1$ and $\xi_2$ while degree 1 is kept in direction $\xi_3$ (red points in figure [13]). Thus, the optimization model has 45 design variables that move the control points of the mapping in the vertical direction $z$. The analysis model is defined such that the roof and both stiffeners are discretized into 1024 and 256 bi-cubic elements respectively. The area of the roof without the stiffeners is constrained to be lower than 110% of the initial area of the square plate (i.e. lower than $V_0 = 110$). The shape evolution of the optimization model and the analysis model is presented in figure [14].

Figure [15] presents the optimal results. The final shape is obtained after 125 iterations of the optimization algorithm when setting the stopping criteria regarding the relative objective function to $1 \cdot 10^{-8}$. Figure [15(c)] depicts the evolution of the relative compliance during the resolution of the optimization problem. The compliance is drastically reduced: final relative compliance is equal to $C_{\text{opt}}/C_0 = 2.217 \cdot 10^{-3}$. The optimized structure deforms much less than the initial stiffened plate. The final displacement field is presented in figure [15(b)]. One can notice that the angle along the interface between the roof and the stiffeners varies. Thanks to our coupling approach, this type of configuration can be dealt with. Looking at the continuity of the displacement field at the interface further confirms the suitability of the presented approach (see again figure [15(b)]). It is interesting to note that the final shape has two planes of symmetry (see figure [15(a)]). This is expected since the problem presents these symmetries. Therefore, some design variables have identical optimal values. The fact that

![Figure 14: Shape updates of the stiffened roof during the optimization. At left the optimization model where the color map indicates the amplitude of the shape variation. These shape variations are imposed through the control points of the volume mapping (red points) which move in the vertical direction. At the right are depicted the associate analysis model. The stiffeners are perfectly lying on the roof during the whole process.](image)
we obtain the symmetries without setting groups of design variables is a meaningful indication to validate the result. From an engineering point of view, this problem of the stiffened roof highlights an interesting issue. We manage to solve the optimization problem with the substructure. One could also simplify the problem by ignoring in the optimization process the stiffeners assuming that they only have small influence on the behavior of the global structure. We try to solve in a first step the optimization problem without the stiffeners, and we build the substructure afterwards. We compute the compliance on this new global structure and we get a compliance approximately 15% higher than the one obtained when optimizing the structure with the stiffeners. It highlights that taking into account, during the optimization, sub-parts as stiffeners, holes, and other geometric details can be essential to design even better structures.

5.2. Curved wall

The second problem addresses the optimization of the shape and the position of a stiffener lying on a curved surface that represents a wall. Contrary to the stiffened roof problem, we do not modify the geometry of the wall which is here the master part. For this problem, we focus on the substructure only. In order to design this substructure, we apply the embedded design technique presented in section 4.2 figure 12.

The geometric construction of the stiffened curved wall is done similarly as the stiffened plate presented in figure 13 starting from the surface defining the wall, we create a volume by extrusion. Once the volume is obtained, a surface is embedded in the parameter space.
of the volume. The composition of the embedded surface with the volume mapping gives the surface defining the stiffener in the physical space. More specifically, the wall is defined by a quadratic NURBS surface. This surface originally represents a quarter cylinder. We move some control points to generate the final curved wall depicted in figure[16]. The embedded surface is defined by a quadratic NURBS with 2-by-4 elements. Its initial shape is described in figure[17]. In order to perform the shape optimization of the stiffener, a set of design variables is associated to the control points of the embedded surface. These design variables modify the cross-section and the position of the stiffener. With the chosen parametrization, six groups of four control points are spread out along the main direction of the stiffener. For each group of control points, we define four design variables \( v^i = [v^i_1, v^i_2, v^i_3, v^i_4] \) as explained in figure[17](c) \((i\) identifies the group number). Thus, a total of \( 6 \times 4 = 24 \) design variables is used for this example. The initial shape is obtained by setting the design variables of each group equal to \( v_0 = [0.3, 0.90, 0.06, 0.05] \). The area of the stiffener is constrained to be lower than the initial one which is \( V_0 = 21.579 \). Additional inequality constraints are included to the optimization problem in order to prevent undesired shapes during the resolution:

\[
v^3_3 - v^1_1 \leq 0, \quad v^1_1 + v^3_3 - 1 \leq 0, \quad v^4_4 - v^3_3 \leq 0. \tag{30}\]

The first two constraints ensure that the embedded surface remains in the parameter space of the volume mapping. The third constraint limits the curvature of the cross-section of the stiffener. Finally, bound constraints set the range of variation of the design variables. The lower bounds are \( v_{lb} = [0.0, 0.1, 0.05, 0.01] \) and the upper bounds are \( v_{ub} = [1.0, 1.0, 0.15, 0.15] \).

The analysis model is defined through \( k \)-refinement of the optimization model. More specifically, the wall is
discretized with 32-by-32 bi-cubic NURBS elements and the classical Kirchhoff-Love formulation is used. The embedded surface is discretized with 16-by-64 cubic NURBS elements and the embedded Kirchhoff-Love formulation is applied. The mechanical parameters of the problem are the following: Young’s modulus $E = 10^5$, Poisson’s ratio $\nu = 0.30$, wall thickness $t_w = 0.25$, stiffener thickness $t_s = 0.10$, uniform pressure $P = 0.1$. The pressure is applied over the mid-

surface of the wall. The bottom of the wall and the bottom of the stiffener are both fixed as described in figure 17(a). With this analysis model in hand, the compliance for the initial configuration of the multipatch structure is found to be equal to $c_0 = 3.952 \times 10^{-3}$.

The optimization results are given in figures 18 and 19. During the resolution, the stiffener moves along the wall until it is located at the middle. Due to the symmetry of the problem, this result was predictable. The optimal shape has this symmetry which demonstrates the quality of the result. Especially, the weak coupling between the non-conforming patches is well defined and apparently does not yield any significant inaccuracy. The cross-section of the stiffener is larger at the bottom than at the top of the wall. A large cross-section at the bottom improves the fixation of the overall structure. The cross-section also becomes larger where the deformations are critical. Reducing the deformation at the bottom decreases the global deformation of the structure. More details on the optimal shape are given in figure 19.

Figure 19: Optimal configuration of the stiffened curved wall: (a) final geometry and (b) the magnitude of the displacement. (c) The final embedded surface: side, top views and 3D views. The 2D views give information on the coordinates of the control points.

Figure 20: Definition of a single stiffener for the wing problem. A surface is embedded into the parameter space of the volume that fills the inside of the wing. The mapping transforms the initial surface into the one defining the stiffener in the physical space.
5.3. Wing

The last example of the wing highlights the applicability of our approach to design real world structures. We apply the concept presented in figures 4 and 5. The goal is to optimize the substructure of a simplified wing. We build the substructure by embedding surfaces into the parameter space of the volume that fills the inside of the wing. More precisely, we define a stiffener as presented in figure 20. The optimization problem consists of finding the best position of the stiffeners in order to increase the stiffness of the whole wing. We study successively the case of 2, 4 and 8 stiffeners.

One border of the wing is clamped and a uniform pressure is applied on its top. The initial configurations are obtained with evenly distributed stiffeners. Figure 21(a) shows these initial configurations with 2, 4, and 8 stiffeners. The placement of the stiffener is crucial for the global behaviour of the complete structure. One can notice that for the initial configuration with 2 stiffeners, the upper and lower skins deform locally under the loading. This deformation appears due to the complex curvature of the wing. A better placement of the stiffeners could limit this phenomena. Therefore, one can perform the optimization with the present approach. Moving the stiffener along the wing is simplified by the use of the embedded surfaces as explained previously (see figure 5). One single design variable is associated to each embedded surface. These variables modify the appropriate coordinate of the control points in order to impose uni-directional translation. Thus, we have the same number of design variables as the number of stiffeners (i.e. 2, 4, or 8). Simple inequality constraints are added to the optimization problem so as to maintain a minimal distance between the stiffeners and an ascending order. Finally, there is no volume constraint in this example. The optimal results are depicted in figure 21(b). The decrease of the compliance is significant, and particularly in case of a low number of stiffeners.

Figure 21: Initial (a) and optimal (b) configurations for the wing problem. From left to right, case of 2, 4, and 8 stiffeners. The displacement color map is scaled differently for each number of stiffeners. The deformation factor is the same for each configuration. As expected, the wing deforms less with the optimal position of the stiffeners.
More precisely, the final values of the compliance are 14.9%, 15.1%, 7.69% lower than the initial ones for 2,4 and 8 stiffeners respectively.

6. Concluding remarks

We successfully applied the concept of Free-Form Deformation to design multipatch shell structures. It consists in geometrically defining the shell mid-surface by a NURBS composition of an embedded surface with a volume mapping. We illustrated this construction principle on multiple examples and we applied it for stiffened structures. We managed to directly use the NURBS composition for the analysis by introducing the embedded Kirchhoff-Love shell formulation. This means that the original idea behind isogeometric analysis is maintained since both the design and the analysis are performed on an common model. It is particularly attractive when it comes to structural optimization. This way, analytical sensitivity of the compliance is achieved in this work. Moreover, the Free-Form Deformation techniques offer a smooth and an effective way to impose complex shape variations. It eliminates sophisticated geometric rules, reparametrizations and other approximations one can introduce when designing large and complex multipatch structures.

Thus, we were able, for example, to create a versatile model of a wing with its substructure. Not only the position of the stiffeners are easily modified but also the shape of the whole design of the wing can be parametrized. One can change the shape of the outer skins by acting on the control points associated to the volume mapping. Due to the use of embedded entities, the internal substructure of the wing automatically follows the update of the outer geometry. This can be a very useful tool in a Multidisciplinary Design Optimization (MDO) context [74,75]. One can simultaneously optimize the outer skin regarding an aerodynamic criteria and the shape of the internal substructure regarding the structural behaviour. In the aero-structural optimization framework, it seems that mainly sizing variables such as the thickness are introduced into the design process [74,75]. The use of an isogeometric model with embedded entities can be very attractive to enlarge the design space by adding shape variables as the position of the stiffeners [76,77].

Finally, we pointed out the advantage of adopting a mortar coupling for optimizing multipatch structures. We believe that domain decomposition techniques are of great interest to efficiently solve multipatch optimization problem. One can factorize once and for all the stiffness matrix associated to the unmodified patches and perform the analysis with the domain decomposition approach. In addition, the decomposition allows to distribute the patches amongst several processors running in parallel, at a very competitive cost.

References


