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4

## 5 6 7 8 **Runoff and nutrient loss from a water-repellent soil**

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19 **Abstract**

20 The effects of soil water repellency (SWR) on runoff and nutrient losses are difficult to isolate.  
21 Hydrophobic organic substances coating soil particles can severely delay water infiltration and  
22 enhance runoff. We used a portable run-on simulator to investigate the effect of SWR on  
23 runoff and nutrient loss. Intact soil slabs, 0.48 m long and 0.19 m wide, were collected from  
24 a severely water-repellent Andosol under pasture. One day before simulating 60-min long  
25 run-on events with an intensity of 60 mm h<sup>-1</sup>, superphosphate was applied at a rate of 45 kg  
26 P ha<sup>-1</sup>. The effects of SWR were quantified by comparing runoff volumes and nutrient losses  
27 from run-on events conducted with water and a fully wetting aqueous ethanol solution as  
28 run-on liquids. Further, through conducting multiple consecutive water run-on events with  
29 the same soil slab, the hypothesis, that SWR is lost through the washing off of hydrophobic  
30 materials, was tested. Finally, runoff dynamics were visualised by adding a dye to the run-on  
31 water. In the first run-on experiment, 88% of the water applied was captured as runoff, while  
32 no runoff was observed when aqueous ethanol was used as run-on liquid, providing strong  
33 evidence that SWR governed runoff generation from this Andosol. In consecutive water run-  
34 on experiments, approximately 23% of the applied P was recovered in the runoff from the  
35 first event, while the cumulative P loss over ten consecutive run-on events was around 30%  
36 of the applied P. This confirms that nutrient losses were associated with SWR and the  
37 occurrence of runoff. After ten consecutive run-on events, the persistence of both actual and  
38 potential SWR in areas of the slab that had been wetted were significantly ( $p < 0.5$ ) reduced.  
39 But the persistence of potential SWR of the soil was still classified as severe, suggesting only  
40 minor losses of hydrophobic materials from the soil surface. The persistence of potential SWR  
41 and the degree of SWR of the dry areas remained more or less unchanged. In accordance with  
42 this, visualisation of the wetted areas showed that runoff occurred as rivulets guided by

43 surface topography, rather than as sheet flow, with the wetted area increasing from  
44 approximately 20% of the slab in the first event to around one third of the total slab area in  
45 the final event. This pattern is reflected in the cumulative pattern of the P losses over the ten  
46 events. Consequently, we conclude that SWR should be considered as a factor in hydrological  
47 modelling and should be included in models to address appropriately the risk of surface water  
48 contamination by solutes exogenously applied to water-repellent soils.

49

50 *Keywords: phosphorus; soil water repellency; hydrophobicity; infiltration; runoff simulation.*

51

## 52 **1. Introduction**

53 The infiltration of water into water-repellent soils can be severely delayed when soil particles  
54 are coated with hydrophobic organic substances, which lower the wettability of the soil. This  
55 has implications for surface runoff and erosion, water storage and for plant growth. Many  
56 studies have suggested that surface runoff and overland flow increase with an increase in soil  
57 water repellency (SWR) (Ferreira et al., 2016; Gomi et al., 2008b; Keizer et al., 2005; Miyata  
58 et al., 2010; Valeron and Meixner, 2010). A majority of these studies focused on the impact  
59 of forest fires. Estimates of the increase in runoff and overland flow caused by SWR ranged  
60 from three (Burch et al., 1989) to 16 times (Leighton-Boyce et al., 2007). While many  
61 researchers have inferred that SWR enhances runoff and hence nutrient losses, the effect of  
62 SWR has only very rarely been isolated from other hydrological factors such as surface sealing  
63 and compaction (Keizer et al., 2005). Most attempts to quantify the effect of SWR on runoff  
64 have relied on indirect methods that attribute the increase of runoff to an increase in SWR  
65 (Gomi et al., 2008b; Leighton-Boyce et al., 2007). For example, runoff has been statistically  
66 correlated to SWR. One study measured the impact of SWR on runoff directly by comparing  
67 results when either water, or water plus a surfactant, was used in simulated rainfall  
68 experiments (Leighton-Boyce et al., 2007). It is a well-established method to assess the effect  
69 of SWR on infiltration by comparing the infiltration dynamics of water with those of a  
70 completely wetting liquid such as ethanol (Lamparter et al., 2010). Recently, we have adopted  
71 this method to study the effect of SWR on runoff (Jeyakumar et al., 2014).

72 Spatial variability of runoff connectivity and development of flow networks is directly related  
73 to rainfall intensity and soil infiltration capacity and is therefore also affected by antecedent  
74 soil moisture conditions (Cantón et al., 2011). Generally, runoff exhibits threshold behaviour  
75 such that the initiation of runoff requires a certain amount of rainfall. When the rainfall rate

76 exceeds the rate of infiltration, excess water accumulates on the soil surface in depressions,  
77 forming puddles. Runoff starts once surface storage is filled and the puddles overflow  
78 (Horton, 1933). At a similar rainfall rate, the runoff rate depends on soil surface conditions  
79 including micro-topography, depressions, stone cover, water-repellent and surface hydraulic  
80 conditions (Gomi et al., 2008a). For example, a study analysing the temporal dynamics of  
81 runoff on forested hill slopes in Portugal found that runoff at times of strong to extreme SWR  
82 was significantly higher than when there was only slight, or no SWR (Pierson et al., 2009). The  
83 between-year variability in runoff and infiltration in soils with SWR was assessed in several  
84 burned and unburned sagebrush systems. The large variability indicated that the influence of  
85 SWR on runoff generation is quite variable, and its impact might be masked by short-term  
86 fluctuations in repellency (Pierson et al., 2008). As far as we know no studies have quantified  
87 runoff connectivity and the dynamic changes in runoff as influenced by SWR. To improve  
88 surface runoff modelling, better conceptualization of the underlying processes is necessary.  
89 Contreras et al. (2008) distinguished three runoff patterns for water-repellent soils. According  
90 to these authors all runoff hydrographs on repellent soils are characterized by the highest  
91 runoff rates at the beginning of an event. This assumes a water-repellent topsoil. The first  
92 runoff hydrograph type results from a rainfall rate exceeding the infiltration rate of the  
93 repellent topsoil layer. Runoff patterns then can be further differentiated depending on the  
94 existence of macropores and cracks or an underlying impermeable layer, which would lead to  
95 saturation-excess flow conditions. While SWR most often occurs in the topsoil, a water-  
96 repellent layer can also be sandwiched between wettable top and subsoil layers (Doerr et al.,  
97 2000). Thus, the suggested three runoff hydrograph patterns on repellent soils are not  
98 sufficient to capture the complexity of the response of water-repellent soils to rain.

99     Runoff studies are hampered by the unpredictability of runoff-generating rainfall events. The  
100     use of rainfall simulators is a reliable method for creating reproducible scenarios under semi-  
101     controlled conditions (Zhang et al., 1997). Major drawbacks associated with rainfall  
102     simulation studies are their small scale, the different characteristics of simulated rainfall  
103     compared with natural rainfall (Lascelles et al., 2000; Tossell et al., 1990), and high  
104     experimental costs. Rickson (2002) reviewed rainfall simulation techniques, highlighted issues  
105     related to the various approaches and techniques, and concluded that there is no ideal rainfall  
106     simulator. However, Neff (1979) concluded, ‘we have no alternative’ for investigating runoff  
107     processes. To avoid the discussion around the comparability of the heterogeneity and kinetic  
108     energy of natural and artificial rainfall, we decided to simulate inflow runoff (run-on) volumes  
109     to soil slabs instead of simulating artificial rainfall (Fabis et al., 1993) using our portable rainfall  
110     simulator (ROMA - RunOff Measurement Apparatus) (Jeyakumar et al., 2014). Run-on  
111     experiments allow to exclude the kinetic impact of raindrops on the soil surface and thus, to  
112     isolate the effect of SWR on water dynamics from other processes such as surface sealing. In  
113     contrast to others (e.g., Darboux et al., 2008), we use intact soil slabs to quantify the effect of  
114     SWR on runoff.

115     Our main objectives were (i) to quantify the effects of SWR on runoff and phosphorus (P)  
116     losses, (ii) to assess how SWR affects runoff and infiltration dynamics during successive  
117     events, and (iii) to investigate if rainfall leaches hydrophobic organic compounds from the  
118     soil, thereby requiring the input of new hydrophobic material to re-establish SWR following  
119     substantial rainfall.

120

## 121     **2. Materials and methods**

### 122     *2.1. Collecting soil samples*

123 Soil was collected from a severely water-repellent Pumice Soil (Andosol; FAO, 2006) under  
124 permanent pasture on a beef farm near Tihoi, New Zealand (-38.394959, 175.444809; GPS  
125 coordinates). On 20 December 2013, six intact topsoil slabs from a north-facing hillslope with  
126 an average slope of 16° were collected. On 27 November 2014, three additional intact topsoil  
127 slabs from the same hillslope were collected. All collected topsoil slabs were larger than the  
128 required size for the ROMA trays (0.2 x 0.5 m) and were wrapped in plastic to reduce the risk  
129 of damage and evaporative losses during transport to the laboratory. Four soil samples (0–  
130 0.05 m depth) were taken adjacent to each slab with a spade and immediately sieved (< 5  
131 mm) to remove the thatch, a layer of organic matter accumulated around the base of the  
132 pastoral plants; the remaining soil (designated 'disturbed' soil sample) was placed in sealed  
133 plastic bags. In addition, intact soil cores (0.05 m diameter x 0-0.03 m) were collected close  
134 to the slabs in 2014. All slabs, cores and disturbed soil samples were stored at 4°C prior to  
135 conducting the experiments.

136 In November 2014, water infiltration rates into the topsoil were measured using tension disc  
137 infiltrometers at -70, -40, -20 and -10 mm tension in triplicate. Unsaturated hydraulic  
138 conductivity  $K$  was derived at each of these tensions as described by Reynolds and Elrick  
139 (2005). The infiltrometer results at a steady state, at each given tension were used to estimate  
140 the mean pore size weighted by flow,  $\alpha$ , at this tension (Reynolds and Elrick, 1991). A large  $\alpha$   
141 indicated that the unsaturated flow was dominated by gravitational force, meaning that  
142 macropore flow dominated over matrix flow.

143

## 144 *2.2. Determining physico-chemical soil properties*

145 Approximately 100 g of each disturbed soil sample was passed through a 2-mm sieve. The  
146 gravimetric soil water content (GWC) and pH (in 1 M KCL) were measured using standard



147 methodology (Blakemore et al., 1987). The pH meter was a Hanna HI 9812. Total soil organic  
148 carbon (SOC) and nitrogen content were analysed by the Dumas method for %C and %N using  
149 a Leco TruMac instrument (Blakemore et al., 1987). Hot water extractable carbon (HWC) was  
150 determined using the method developed by Ghani et al. (2003). Dissolved organic carbon  
151 (DOC) in the HWC extracts was determined by high temperature catalytic combustion using a  
152 Shimadzu TOC-V CSH total organic-carbon analyser (Ghani et al., 2003). For the second  
153 measurement campaign in 2015, subsamples for textural analysis were sieved to <4 mm, air-  
154 dried and dispersed using an ultrasonic vibrator before being separated into sand, silt and  
155 clay fractions using a sieving and settling process (Gee and Or, 2002). Bulk density was  
156 determined following standard procedures (Blakemore et al., 1987).

157

### 158 *2.3. Conducting runoff experiments*

159 Design and performance of the ROMA have been described in detail by Jeyakumar et al.  
160 (2014), where we conducted consecutive run-on events with water and a fully-wetting liquid  
161 on the same water-repellent soil slab. ROMA allows measuring volumes and rates of the  
162 applied run-on liquid running off the soil surface and draining below the soil slab depth. The  
163 set-up is shown in Fig. 1. In brief, a polycarbonate manifold with eight hypodermic needles is  
164 connected to a storage tank to simulate a constant run-on rate of 60 mm h<sup>-1</sup>. A constant  
165 pressure head is maintained by a floating switch controlled by a solenoid switch powered with  
166 a 12 V battery to ensure a steady run-on rate. The intact soil slab is fitted tightly onto a  
167 perforated tray adjusted to a slope of five degrees in order to simulate a hillslope situation.  
168 This tray accommodates drainage from the soil and retains the soil slab. Parallel to this  
169 perforated tray is a second tray, which collates the drainage. Runoff and drainage are  
170 collected in separate troughs (Fig. 1).

171 All field-moist soil slabs were trimmed to fit into the ROMA trays and air-dried in the  
172 laboratory at 21°C to a GWC between 0.25 and 0.3 g g<sup>-1</sup>. The persistence of actual SWR was  
173 measured on both the slabs and a subsample of the 5-mm sieved disturbed samples using  
174 water-droplet penetration tests (WDPTT<sub>act</sub>; Doerr, 1998). A further subsample of  
175 approximately 80 g of the disturbed soil was oven dried at 65°C for 48 h, then placed in a  
176 sealed plastic bag to equilibrate at room temperature for 48 h prior to measuring the  
177 persistence of potential SWR (WDPTT<sub>pot</sub>; Doerr, 1998), and the degree of SWR using the  
178 molarity of ethanol droplet test (MED, Roy and McGill (2002)). In the MED test, the molarity  
179 of water and ethanol mixtures is varied repeatedly until droplets of the mixture infiltrate into  
180 the soil within 10 s. The molarity of this concentration (*M*) was used to calculate the contact  
181 angle following Roy and McGill (2002):

$$182 \quad \gamma_c = 0.06105 - 0.01475 \ln(M + 0.5) \quad (1)$$

183

$$184 \quad \cos \theta = \left( \frac{\gamma_c}{\gamma_w} \right)^{1/2} - 1, \quad (2)$$

185 Where  $\gamma_c$  is the surface tension of the ethanol solution and  $\gamma_w$  is the surface tension of water  
186 (N m<sup>-1</sup>). The persistence of potential SWR and the degree of SWR are both measures of the  
187 potential of a soil to become hydrophobic when drying.

188 In contrast to the experimental set-up described by Jeyakumar et al. (2014), petroleum jelly  
189 and plastic liners were used between the soil slabs and side plates instead of expanding foam.  
190 Prior tests showed this to be more effective at preventing leakage along the sides of the slab.  
191 In addition, the bottom end of the slab was sealed with petroleum jelly to prevent wetting up  
192 due to runoff. Because of these changes, the new slab size was 0.19 m x 0.46 m, and the depth

193 was kept at 0.05 m. The slope was set to five degrees, and pasture ground cover of the slabs  
194 was close to 100%. Thus, erosion was assumed to be negligible. All runoff experiments were  
195 conducted in triplicate. We conducted three sets of experiments each consisting of a number  
196 on run-on events: a run-on experiment with water followed by ethanol, and two run-on  
197 experiments consisting of consecutive water events.

### 198 *2.3.1. Run-on experiment with water followed by ethanol, 2014*

199 In the first set of ROMA experiments, the potential risk of fertilizer loss was quantified.  
200 Phosphorus, at a rate of 45 kg P ha<sup>-1</sup>, was applied uniformly over the surface of each slab by  
201 distributing a mixture of superphosphate and acid-washed dry sand at a 1:5 ratio to the three  
202 replicates. The sand was used to facilitate the homogeneous application of the fertilizer. To  
203 isolate the effect of SWR from other potential hydrological parameters, we sequentially  
204 simulated run-on with two liquids (i) water and (ii) aqueous ethanol to the same soil slab, air-  
205 drying back to the initial GWC between the two run-on events. The aqueous ethanol (30%  
206 ethanol, v/v) was used as a reference full-wetting liquid with a smaller surface tension and  
207 specific density than water. Air-drying of the slabs back to the initial GWC, between run-on  
208 events was accomplished by monitoring the GWC of offcuts from the slabs, which received  
209 the same treatment as the slabs. The soil slabs were weighed before and after each run. To  
210 dry the slabs back to  $\pm 1\%$  of their initial weights, the weight obtained prior to the first run-  
211 on event was used as the reference. This method to assess the impact of SWR on runoff on  
212 different soil types has been successfully tested (Jeyakumar et al., 2014). Runoff and drainage  
213 volumes were collected, measured and sub-sampled over 5- or 10-min intervals during the  
214 60-minute events with a run-on intensity of 60 mm h<sup>-1</sup>. All subsamples were analysed for  
215 dissolved reactive phosphorus (DRP) and DOC. DRP was determined by Flow Injection  
216 Autoanalyzer following APHA's method 4500-P-G (2013) with a detection limit of 0.005 g m<sup>-3</sup>

217 <sup>3</sup>. Results are presented as runoff and drainage coefficients (%), which were calculated as the  
218 sum of the entire runoff or drainage volume collected during an event divided by the total  
219 run-on volume applied per event.

### 220 *2.3.2. Run-on experiment with consecutive water events, 2014*

221 To test the hypothesis that water-repellent organic substances are washed out of repellent  
222 soil and need to be replenished for the soil to re-develop water repellency, we conducted ten  
223 consecutive 60-minute events with a run-on water rate of 60 mm h<sup>-1</sup> on the same intact soil  
224 slab in triplicate. Between the consecutive events, the slabs were air-dried to their initial  
225 GWCs as described above. Superphosphate was applied at a rate of 45 kg P ha<sup>-1</sup> as above.  
226 Runoff and drainage samples were collected over 5-min intervals during the initial five and  
227 the tenth events, and over 15-min intervals during the remaining events. The sample volumes  
228 were measured. Subsamples of all runoff and drainage samples were filtered through 0.45-  
229 µm cellulose acetate membrane filters and analysed for DRP and DOC as described above.  
230 After the tenth run-on event, SWR characteristics were measured at eight randomly selected  
231 points in the dry (water-repellent) and wetted (hydrophilic) areas following the standard  
232 methods outlined above. The dry and wet areas were distinguished visually. Slabs were then  
233 oven dried at 65°C for at least 8 days until constant weight was achieved and weighed to  
234 determine the dry weight of the soil.

### 235 *2.3.3. Run-on experiment with consecutive water events, 2015*

236 The ROMA was modified to increase water run-on uniformity by oscillating the polycarbonate  
237 tube delivering the water. It had a horizontal range of 15 mm at a constant rate of 40  
238 oscillations min<sup>-1</sup> across the top of a slab (motor, PITMANN GM 8712, Harleysville, PA). The  
239 experimental design was also modified to improve our understanding of the interactions  
240 between SWR, runoff and the processes observed. To visualise runoff dynamics and wetting-

241 up patterns of the soil, and to investigate if water-repellent conditions and runoff/drainage  
242 patterns could be reproduced after heavy rainfall events, Food Colour FCF Brilliant Blue  
243 (Narmada Colours Private Ltd., Gujarat, India) was added to the run-on water at a rate of 83  
244 mg L<sup>-1</sup> applied to three replicates. As the dye was used only to trace flow paths, its potential  
245 adsorption to soil particles was irrelevant (Flury and Flühler, 1995). We collected and  
246 measured runoff and drainage volumes over 10-min intervals. The runoff and drainage  
247 samples of each runoff event were bulked into a single runoff volume, and a single drainage  
248 sample per event. These were analysed for DOC as described above. In 2015, the water run-  
249 on events were repeated until the average runoff coefficient during the 60-min event was <  
250 10% and the drainage coefficient was > 70%. Drying of the soil slabs followed the protocol  
251 presented in 2.3.2. The persistence of actual SWR was measured at five randomly selected  
252 points in the dry, and wetted (stained) areas, immediately before each run.

253 Runoff dynamics were visualised via staining the run-on water with brilliant blue. Images of  
254 the slabs were captured during the run-on events using a tripod-mounted Nikon D700 camera  
255 (Nikon Imaging Japan Inc., Tokyo, Japan) with a Nikon 50.0 mm f/2.8 lens. The digital camera  
256 was fitted with a 36.0 mm x 23.9 mm FX CMOS sensor with approximately 12.1 million  
257 effective pixels. The camera was used in its manual mode where shutter speed, focus and  
258 aperture were manually selected. The optimal settings, a 0.5-s exposure time, ISO 800 mode,  
259 F-stop 18, 50-mm focal length, and a distance of 0.68 m from the top of the slab were  
260 determined prior to starting the events and kept constant. The image size was 4256 x 2832  
261 pixels, with a pixel density of 1.41 MP cm<sup>-2</sup>. Photographs were taken of the slab prior to each  
262 event and at 5, 10 and every 10 min thereafter for the duration of each event. A metal grid  
263 with 32 squares (47.5 x 49 mm i.d. of the squares) was mounted above the slabs and a grey  
264 colour card was incorporated into each image for analysing any potential optical distortion.

265

266 *2.4. Image Analysis*

267 The dynamics of the flow-path development on the soil surface over time were visualised and  
268 quantified by the sequence of the photographs taken for every run-on event. These provided  
269 information on the temporal and spatial patterns of development of the active surface-runoff  
270 pathways during a single run-on event, and over a sequence of run-on events performed on  
271 the same soil slab. The stained area can be understood as an upper limit of the area involved  
272 in runoff.

273 For each soil slab, a stack of images was defined using the photographs taken during all run-  
274 on events conducted per slab. The stack of images from each slab were aligned with an ImageJ  
275 Java plugin ([https://imagej.net/Linear\\_Stack\\_Alignment\\_with\\_SIFT](https://imagej.net/Linear_Stack_Alignment_with_SIFT)) implementing the Scale  
276 Invariant Feature Transform (SIFT) method (Lowe, 2004). The alignment was done to correct  
277 for possible changes in the camera position during photograph acquisition. The aligned stack  
278 of images allowed monitoring changes in the pixels' hue and saturation values due to  
279 changing dye concentrations over time.

280 All pixels of all these aligned images per slab were used and segmented into stained and  
281 unstained pixels, using a fuzzy c-means algorithm (Bezdec, 1981). The method provided a set  
282 of values, not a single thresholding value, for the hue, the saturation and the value of the HSV  
283 colour space that were used to classify each pixel as stained or non-stained. The segmentation  
284 provided information on the development of the total number of stained pixels for each soil  
285 slab during a run-on event, which was expressed as the active runoff area of the total slab  
286 area. Segmentation was performed in a solution developed using MATLAB (The MathWorks  
287 Inc., 2017). To analyse how the dye moved across the slab, the reference metal grid was used

288 to divide each slab into 32 regions. These regions were used to quantify the number of stained  
289 pixels per region, and to evaluate the runoff pattern across a slab.

290

## 291 *2.5. Statistical analysis*

292 All data were analysed using an analysis of variance (ANOVA) with a 5% level of significance.

293 Statistical analyses were carried out using the statistical package GenStat (version

294 14.2.0.6297, VSN International Ltd). Figures were prepared using SigmaPlot version 12.5.

295

## 296 **3. Results and discussion**

### 297 *3.1. Soil properties*

298 The topsoil of the Andosol was characterized by high total-carbon contents between 10.5 and

299 15.1% (Table 1). The variability might have been related to the local disturbance of vegetation

300 as well as the heterogeneous spread of dung and urine by grazing livestock, which was also

301 supported by the large variability of the HWC concentrations. The top 0.05 m of the soil was

302 composed of 7% clay, 37% silt and 56% sand, and had a bulk density of  $0.742 \pm 0.026 \text{ kg m}^{-3}$ .

303 The pH of the soil was consistently low. The persistence of actual and potential SWR ( $\text{WDPT}_{\text{act}}$

304 and  $\text{WDPT}_{\text{pot}}$ ) for all slabs used in this study can be classified as severely (600 s – 1 h), and

305 extremely persistent (>1 h) respectively (Dekker and Jungerius, 1990). All soils had the

306 potential to develop hydrophobic conditions, as reflected in their contact angles (CA) of well

307 above  $90^\circ$  (Table 1). The unsaturated hydraulic conductivities close-to-saturation measured

308 in November 2014 were 0.2, 0.3, 1.1 and 3.3  $\text{mm h}^{-1}$  at -70, -40, -20 and -10 mm, with

309 coefficients of variation between 30 and 70%. These are lower than expected *K*-values for

310 Andosols, soils that are known for their rapid water infiltration and conductivities (Arnalds,

311 2008). This could partly be explained by the water-repellent state of the soil. The persistence

312 of actual SWR determined close to the infiltrometers was between 2 and 135 s, with an  
313 average of 19 s, which classifies the SWR status of the soil as slightly persistent (Dekker and  
314 Jungerius, 1990). For the two lower tensions, the flow-weighted mean pore size,  $\alpha$ , was larger  
315 or very close to 0.3 mm, the threshold for macropores according to Jarvis (2007), indicating  
316 that macropore flow could have been an important flow path in this soil (Burch et al., 1989).  
317 The trend was for higher  $K$ -values at the sites with slightly higher repellency, but the  
318 measurements were too few in number to draw any conclusions about the effect of  
319 repellency on macropore flow.

320

### 321 *3.2. Effects of repellency on runoff and P losses*

322 Generally, research investigating the effect of SWR on runoff is inferred from observations on  
323 the coincidence of the occurrence of SWR and runoff in the field throughout different seasons  
324 (Ruiz-Sinoga et al., 2010; Shakesby et al., 2000). Our experiments with intact soil slabs under  
325 controlled laboratory conditions allowed us to isolate and measure the effects of SWR on  
326 runoff using soil slabs that were extracted at the same time of the year (Jeyakumar et al.,  
327 2014). It needs to be stressed that these experiments are not representing field conditions of  
328 rainfall events. The main purpose of the run-on experiments was to analyse the effect of SWR  
329 on runoff and P-losses via runoff while excluding other hydrological factors such as surface  
330 sealing through the kinetic impact of rainfall. The initial average SWR characteristics of the  
331 slabs used in the first runoff experiments classified the soil as extremely hydrophobic (Table  
332 1).

333 While no runoff occurred in the ethanol events, which reflects the response of the soil to  
334 rainfall under hydrophilic conditions, average runoff coefficients of the associated water  
335 events were very high, averaging  $88 \pm 8\%$  for three slabs over the 60-min events (Figs 2a and



336 c). This provided strong evidence that SWR governed runoff. In accordance with this, the short  
337 lag time of less than 5 min for runoff initiation also pointed to infiltration excess as the runoff  
338 generating process (Fig. 2a). Consistent with these results are the very low unsaturated  
339 hydraulic conductivities close to saturation ( $<5 \text{ mm h}^{-1}$ ). Interestingly, the average runoff  
340 coefficient stayed very high throughout the 60-min runoff run-on event. This agrees with the  
341 high initial degree and persistence of SWR, but is inconsistent with the soil carbon content.  
342 The runoff response observed is similar to that of an Organic Soil with 22% OC (Jeyakumar et  
343 al., 2014), while the Andosol used in this study had half this OC content (Table 1). This  
344 highlights that the nature, not only the quantity, of OC is relevant for the persistence of SWR  
345 (Horne and McIntosh, 2000).

346 Drainage started after approximately 30 min, and stayed below 10% of the water rate applied  
347 (Fig. 2a). After drying the soils to their initial GWCs, the average  $\text{WDPT}_{\text{act}}$  and  $\text{WDPT}_{\text{pot}}$  were  
348  $6,267 \pm 2,135 \text{ s}$  and  $9,800 \pm 1,470 \text{ s}$ , respectively, while the degree of SWR (CA) was  $102.5 \pm$   
349  $0.6^\circ$ . This underscores that the water-repellent status of the soil had not changed significantly  
350 ( $p > 0.05$ ) during the initial water runoff event. Subsequently, as described above, ROMA run-  
351 on events were repeated with ethanol and no runoff occurred (Fig. 2c). Our experiment  
352 confirms the connection between SWR and the occurrence of runoff, a relationship that has  
353 been mostly inferred but not measured, until now (Doerr and Moody, 2004).

354 Losses of the P applied to a water-repellent topsoil were directly quantified. DRP  
355 concentrations in runoff samples were highest in the first 5 minutes of the 60-minute  
356 experiment, and decreased exponentially with time (Fig. 2b). In total,  $18 \pm 6.9\%$  and  $1.5 \pm$   
357  $0.1\%$  of the applied P were lost as DRP in runoff and drainage, respectively. Losses were  
358 comparatively low considering that the average runoff coefficient exceeded 80%, and that  
359 risks for P losses via runoff are highest for recently applied fertilizers (Hart et al., 2004). We

360 restricted the runoff analysis to DRP because in our run-on experiments erosion, and thus  
361 particulate P transport, were considered to be negligible. No sediment was visible in the  
362 runoff samples. In addition, Hart et al. (2004) highlighted in their review of previous research  
363 on P runoff from agricultural land that DRP losses are the dominant P fraction lost via runoff  
364 in drier years and in summer and autumn. Solute extraction from water-repellent soils, and  
365 losses in runoff, are restricted to the solutes available in the active runoff areas. In accordance  
366 with our findings, Jeyakumar et al. (2014), who used an inert tracer in their ROMA  
367 experiments, reported tracer losses between 8 and 13% of the applied amounts in surface  
368 runoff, in spite of runoff coefficients larger than 50%.

369

### 370 *3.3. Switching between hydrophobic and hydrophilic soil conditions*

371 The three soil slabs used for the consecutive run-on events with water in 2014 were extremely  
372 hydrophobic (Table 1). As expected from our previous experiment and the SWR status of the  
373 soil, runoff was observed for all intact soil slabs in the first event. In the sequence of run-on  
374 events with water, the runoff coefficient dropped from the first to the third event, but stayed  
375 relatively constant within each of these three events. During later run-on events, the runoff  
376 coefficient decreased exponentially with time over the event, with  $R^2$  values ranging between  
377 0.94 and 0.99 (Fig. 3a). But even after ten consecutive events, runoff still occurred during the  
378 first 30 min of the events, albeit at rapidly decreasing rates. Resulting average runoff  
379 coefficients were 89 and 12% for events 1 and 10, respectively (Table 2). Drainage mirrored  
380 these runoff patterns (Fig. 3b), with average drainage coefficients of 5 and 54% in run-on  
381 events 1 and 10, respectively (Table 2). The increasing infiltration and drainage rates during  
382 later events suggest a breakdown of SWR, or wash-out/ wash-off of hydrophobic organic  
383 substances. The repeated wetting/ drying cycles were not expected to significantly change

384 the soil's structure because the pastoral free-draining and well-structured soil had a low clay  
385 content and a low bulk density (Table 1), and, in addition, the slabs were dried under ambient  
386 conditions.

387 After ten cycles of simulated run-on and drying, water contents and repellency characteristics  
388 were separately determined for the dry and wet areas of the three slabs (Table 3). The wet  
389 areas of the slab were saturated. As expected, these areas were hydrophilic after 10 run-on  
390 events. DOC was washed off the soil slabs via runoff (Fig. 4) or leached via drainage (data not  
391 shown); this could have been linked to washing out/washing off of soluble water-repellent  
392 organic compounds. While the load was highest for the first run-on event, the change in the  
393 pattern of the runoff coefficients observed in event 4 (Fig. 3a) was not correlated to DOC  
394 loads, suggesting that the DOC washed off, or out, was not necessarily hydrophobic. While  
395 the persistence of potential repellency for the wet areas was reduced, it was still classified as  
396 severe. Of interest, the degree of repellency had not changed significantly ( $p < 0.05$ ) for the  
397 wet areas (Table 3). This highlights that the soil still had the potential to develop repellency  
398 upon drying, and therefore challenges the hypothesis that all water-repellent material was  
399 washed out, or off.

400 In contrast to the wet areas, the dry areas of the slab had a higher persistence of actual SWR  
401 than the initial slab, which might be related to selective drying of the soil during air-drying.  
402 Selective drying was confirmed by the difference between the initial soil water content and  
403 the water content of the dry areas after 10 events. The latter was on average  $0.141 \pm 0.017 \text{ g}$   
404  $\text{g}^{-1}$  compared with the average initial water content of the entire slab of  $0.372 \pm 0.043 \text{ g}$   
405  $\text{g}^{-1}$ . The dependence of SWR on soil water content is well known (de Jonge et al., 1999; Regalado  
406 and Ritter, 2005; Wijewardana et al., 2016). Seasonal variations of hydrophobic and  
407 hydrophilic soil conditions based on measurements of the persistence of actual SWR can be

408 confounded by differences in soil water contents (Dekker et al., 2001; Müller et al., 2014), as  
409 also described here. The persistence of potential SWR and the degree of SWR remained  
410 unchanged within experimental error in the dry areas after the 10 run-on events (Table 3).  
411 Over ten consecutive run-on events, on average 29% of applied P was lost as DRP in runoff,  
412 of which 79% was lost in the first event (Table 2). This suggests relatively stable, active runoff  
413 pathways over the ten events. To analyse runoff patterns of water-repellent soils over a  
414 sequence of run-on events, we stained the run-on water for the next set of experiments.

415

#### 416 *3.4. Recurring active runoff areas*

417 All three soil slabs collected in 2015 were extremely hydrophobic (Table 1). All slabs  
418 responded to the simulated run-on with runoff during all events. During the fourth event, the  
419 average runoff coefficient was below 10% for all slabs, with the exception of one slab. With  
420 this slab, five events had to be performed to fulfil the pre-defined experimental cut-off criteria  
421 (average runoff coefficient <10%; drainage coefficient >70%). In the sequence of water  
422 events, the runoff coefficient averaged over an event dropped from  $90 \pm 7.6\%$  in the first  
423 event, to  $7 \pm 4.2\%$  in the fourth event, while the average drainage coefficient increased from  
424  $5.5 \pm 7.6\%$  to  $71.1 \pm 24.3\%$ , thus mirroring the runoff pattern. Similar to the 2014 experiments,  
425 later runoff responses, i.e. the third and fourth events, were described with an exponential  
426 model with  $R^2$  values of 0.91 and 0.93. The water retained in the slab was on average  $4.5 \pm$   
427  $4.1\%$ ,  $12.6 \pm 8.5\%$ ,  $16.1 \pm 9.1\%$ ,  $22 \pm 11.9\%$  and  $21.4\%$  of the applied run-on water for the  
428 four, and for the last slab's five events, respectively (Fig. 5).

429 Staining the run-on water allowed visualisation of runoff flow pathways and identification of  
430 the slab areas where runoff occurred. These areas were referred to as stained or active runoff  
431 areas. The active runoff area increased during the first event on average from  $12 \pm 3\%$

432 measured after the first 5 min, to  $30 \pm 5\%$  of the total surface area of a slab after 60 min. This  
433 increase was significant ( $p < 0.05$ ) (Fig. 6). Runoff was triggered as an infiltration-excess runoff  
434 governed by SWR. However, the initiation of runoff via infiltration-excess was also dependent  
435 on ‘filling and spilling’ of depressions, and thus the micro-topography of the slabs. The  
436 increasing water depth during an event connected more and more filled depressions to the  
437 active runoff area through spilling and merging. This was reflected by the increasing active  
438 runoff area during an event. Generally, the number of stained pixels per region of a slab  
439 increased throughout each event (data not shown), and from event to event (Fig. 7). The final  
440 active runoff area at the end of the four events conducted was only significantly ( $p < 0.05$ )  
441 different for run 1 with  $22 \pm 4\%$ , compared with runs 2, 3 and 4 with  $31 \pm 4\%$ ,  $33 \pm 5\%$  and  $33$   
442  $\pm 4\%$ , respectively (Figs 6 and 7). The active runoff area for all slabs never exceeded a third of  
443 the entire slab area, which would have been driven by interactions between surface  
444 roughness and persistence of SWR (Gomi et al., 2008a). These results highlight that runoff  
445 from water-repellent soils does not occur as homogeneous sheet flow, but in rivulets, which  
446 was supported by the visualization of the flow patterns in the different slab regions shown in  
447 Figure 7. However, it needs to be pointed out that similar studies with the same soil under  
448 unconfined conditions need to be carried out to confirm that these percentages were not  
449 caused by boundary effects resulting from the experimental set-up. We postulate that the  
450 observed phenomenon of recurring active runoff areas for a water-repellent soil is  
451 comparable to the vertical re-wetting of flow pathways in water-repellent soils during a  
452 sequence of rainfall events (Ritsema, 1997). In the case of vertical flow, hydrophobic  
453 compounds are leached from pores along finger flow pathways, which then develop into  
454 permanent flow pathways (Ritsema et al., 1998). Here, in the case of runoff, the persistence  
455 of SWR can be broken down through water ponding in depressions, which might result in a

456 reconfiguration, leaching or washing out/off of hydrophobic compounds (Urbanek et al.,  
457 2014). The hydrologic connectivity of the active runoff areas enhances the breakdown of SWR  
458 by the re-distribution of water. Contact and lateral diffusion from wetted into water-repellent  
459 areas (Doerr and Thomas, 2000) and capillary rise from saturated subsoil layers upon drying  
460 (Shakesby et al., 2000) can take place. The persistence of SWR quantifies by how much  
461 infiltration is delayed. A higher persistence of repellency causes longer ponding, and/or  
462 earlier threshold flow depending on the micro-topography, underscoring the importance of  
463 persistence of SWR for hydrological effects of SWR (Doerr and Moody, 2004).

464 To support our hypothesis that hydrophobic material is leached during rainfall events, before  
465 each event,  $WDPT_{act}$  was measured separately for the stained and unstained slab areas on  
466 the air-dried slabs. For all slabs, and all events, the persistence of SWR was consistently lower  
467 in the stained than in the unstained areas. However, it never reached a fully wettable state.  
468 Average values for the stained areas were  $107 \pm 30$  s,  $122 \pm 39$  s,  $314 \pm 84$  s and  $107 \pm 13$  s for  
469 the run-on events 2, 3, 4 and 5, respectively, which can all be classified as strongly persistent  
470 repellent (60 – 600 s). The variability of the measurements was large. The active runoff areas  
471 were characterized by a significantly ( $p < 0.05$ ) lower persistence of SWR than the dry areas.  
472 The latter were all classified as persistent and severely repellent (600 – 3600 s). After 4 to 5  
473 events, the run-on water was effectively channelled within a few minutes into the active  
474 runoff areas, with lower persistence of SWR. Here the water filled the depressions and  
475 eventually infiltrated, reducing the total runoff volumes to less than 10% of the total run-on  
476 volume. Accordingly, the active runoff area was positively ( $p < 0.001$ ) correlated to the  
477 drainage coefficient ( $R = 67.92$ ) and negatively ( $p < 0.001$ ) correlated to the runoff coefficient  
478 ( $R = -88.29$ ). All parameters were measured at the seven sampling times during each event.

479 The average total DOC load per experiment in the runoff generally decreased over the  
480 sequence of run-on events, but the average DOC load in drainage increased (Fig. 8). No  
481 correlation was found between the DOC loads and the SWR characteristics determined before  
482 each event (data not shown).

483

#### 484 **4. Conclusions**

485 We have identified SWR as a factor leading to runoff and P losses. Persistence of actual SWR  
486 was reflected in the high runoff coefficient throughout one-hour run-on events. The  
487 breakdown of repellency during repeated runoff events could not be linked directly to the  
488 wash-out of hydrophobic material. About 20% of the surface-applied P-fertiliser was washed  
489 off in the runoff induced by SWR, showing that the risk of solute losses from water-repellent  
490 soils was somewhat restricted to the active runoff area in our experiments. This was further  
491 highlighted by the fact that about 80% of the losses over 10 and four sequential events  
492 occurred in the first event, and the relatively small growth of the active runoff area after the  
493 first event. In addition, the recurrence of active runoff areas in this water-repellent soil was  
494 observed.

495 Further experiments are required in which micro-topography is measured in parallel with  
496 SWR. Connectivity models considering micro-topography and the patchiness of the  
497 persistence of SWR may provide a way to model runoff from water-repellent soils  
498 successfully, and then link point and plot-scale measurements to impacts at larger spatial  
499 scales. Field experiments are required to assess on larger scales the relevance of the  
500 processes analysed in the lab. In summary, we have shown that SWR should be considered as  
501 an important factor in hydrological modelling, and should be included in models to address

502 appropriately this increased risk of surface water contamination by solutes exogenously  
503 applied to water-repellent soils.

504

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510 Sharon Barton for access to their farm and for their ongoing interest and support of our  
511 research. Thanks are due also to Tony Corbett, who helped with the image acquisition. We  
512 thank Charlotte Robinson and Jade Gribben for their assistance with conducting the runoff  
513 experiments.

514



515 **Figure captions**

516 **Figure 1:** A schematic diagram of the run-off measurement apparatus (RunOff  
517 Measurement Apparatus; ROMA). Reproduced from Jeyakumar et al. (2014).

518 **Figure 2:** (a) Surface runoff and drainage coefficients (runoff or drainage volume as a  
519 percentage of run-on volume) in laboratory run-on events with water. (b)  
520 Dissolved reactive phosphorus (DRP) concentrations in runoff. (c) Runoff and  
521 drainage coefficients in run-on events with 30% ethanol on the same soil slabs. All  
522 results are averages of 10-min intervals over the 60-min run-on events for three  
523 slabs. Bars represent standard deviations of the means.

524 **Figure 3:** (a) Runoff and (b) drainage coefficients (runoff or drainage volume as a  
525 percentage of run-on volume) in a sequence of ten events conducted with the  
526 same soil slab and air-drying back to its initial gravimetric soil water content after  
527 each run-on event. All results are the averages over three slabs. For the sake of  
528 clarity, no variability measures are presented.

529 **Figure 4:** Dissolved organic carbon (DOC) loads in runoff from ten consecutive run-on  
530 events on the same soil slab with air-drying between the run-on events. All results  
531 are averaged over three experiments. Bars represent standard deviations of the  
532 means.

533 **Figure 5:** The water balance for the five consecutive water runoff events conducted in  
534 triplicate. The average of the run-on water recovered as runoff, drainage or water  
535 retained in each of the soil slabs is presented.

536 **Figure 6:** Development of the active surface runoff areas over time for the four to five  
537 consecutive water runoff events conducted, here shown for one of the three soil  
538 slabs.

539 **Figure 7:** Segmented images (left) and number of stained pixels per segment of the  
540 reference grid determined at the end of each run-on event (right). Number of  
541 images each pixel was stained during a run-on event, e.g., 14 means that a pixel  
542 was stained from the first to the last image taken (left). The size of stained area  
543 per segment of the reference grid was determined at the end of each run-on  
544 event. The size of the dots at each grid coordinate is proportional to the number  
545 of stained pixels per segment (right). Both results are shown for one of the three  
546 soil slabs and the four consecutive runs (top to bottom).

547 **Figure 8:** Average total dissolved organic carbon (DOC) load over the sequence of four  
548 water run-on events. The bars are the averaged results for three soil slabs. The  
549 error bars represent the standard deviation of the means.

550

551 **Tables**

552 **Table 1:** Average basic soil properties including total organic carbon (TOC), total  
 553 nitrogen (TN) and hot water carbon (HWC) as well as the persistence of actual  
 554 and potential SWR (WDPT<sub>act</sub>, WDPT<sub>pot</sub>), and degree of soil water repellency  
 555 (contact angle, CA) for disturbed soil samples collected adjacent to the  
 556 respective intact soil slabs. Figures are averages ± standard deviations of three  
 557 replicates.

558

Lab ID	TOC (%)	TON (%)	C:N (-)	pH (-)	HWC (µg g <sup>-1</sup> )	WDPT <sub>act</sub> (min)	CA (°)	WDPT <sub>pot</sub> (min)
<b>Slabs 2014 – water &amp; ethanol experiments</b>								
7	10.86	0.91	11.96	4.3	2703	110 ±48	101.2 ±0.7	155 ±43
8	14.53	1.20	12.10	4.5	1256	30 ±4	100.7 ±1.3	65 ±18
9	10.50	0.88	11.89	4.6	1450	180 ±0	102.1 ±1.0	180 ±0
<b>Slabs 2014 – consecutive water experiments</b>								
3	15.07	1.23	12.27	4.4	1424	24 ±3	100.7 ±0.5	88 ±42
4	12.61	1.10	12.61	4.2	2142	13 ±3	100.2 ±0.0	130 ±35
6	11.33	0.93	12.18	4.3	3024	13 ±0	101.2 ±0.3	130 ±17
<b>Slabs 2015 – consecutive water experiments</b>								
1	13.1	1.09	12.01	4.3	4380	18 ±0	101.7 ±1.0	95 ±17
2	11.5	0.95	12.19	4.5	4105	18 ±0	100.2 ± 1.0	62 ±12
3	14.9	1.23	12.19	4.6	5679	68 ±12	102.5 ±1.0	145 ±17

559

560 **Table 2:** Average runoff and drainage coefficients, and dissolved reactive phosphorus  
 561 (DRP) losses in run-on events 1, 10 and totalled over the ten consecutive run-  
 562 on events. Figures are averages  $\pm$  standard deviations of three soil slabs.

	Event 1	Event 10	Over 10 events
Mean runoff coefficient (%)	89 $\pm$ 7	12 $\pm$ 16	
Total DRP in runoff (%)	22.9 $\pm$ 2.8	0.3 $\pm$ 0	29 $\pm$ 2.5
Mean drainage coefficient (%)	5 $\pm$ 6	54 $\pm$ 14	
Total DRP in drainage (%)	0.8 $\pm$ 0.5	0 $\pm$ 0	2.7 $\pm$ 2.3
<b>Total DRP loss (%)</b>	<b>23.7</b>	<b>0.3</b>	<b>31.5</b>

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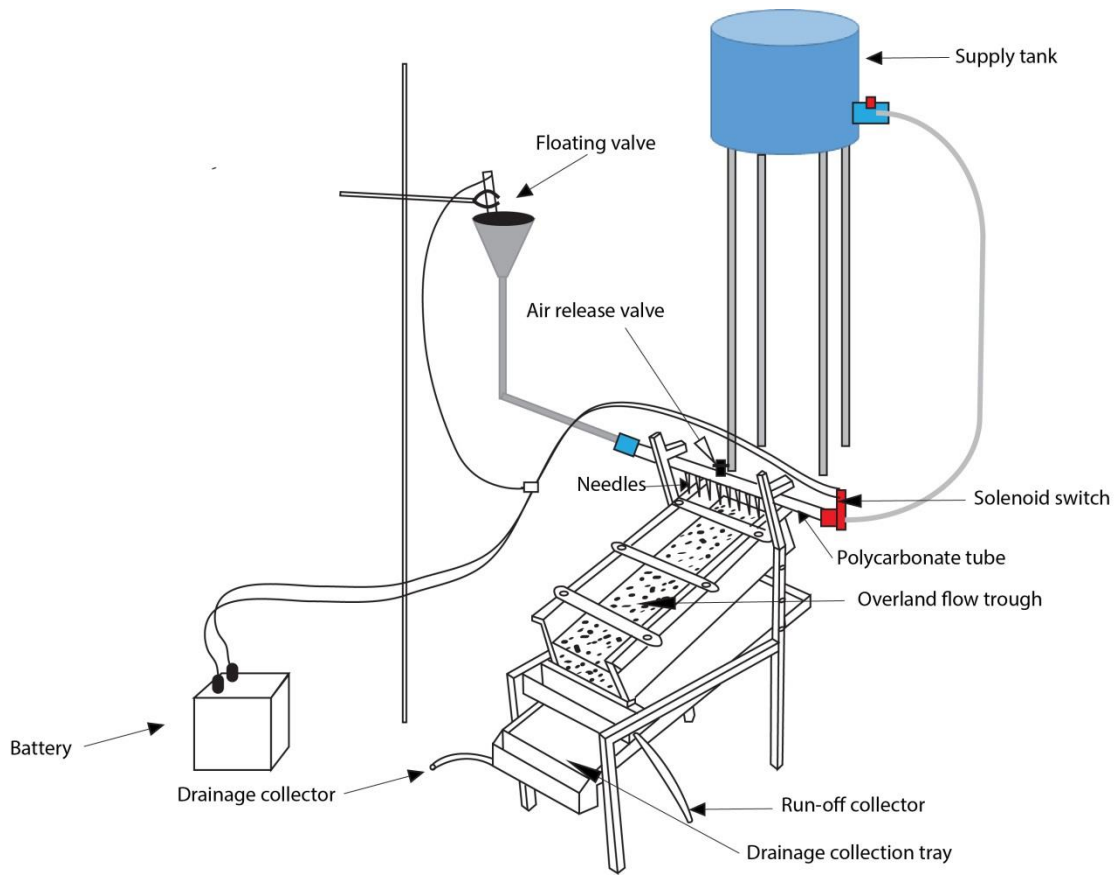
565 **Table 3:** Average water content and soil water repellency (SWR) characteristics before  
 566 the initial and after the tenth water run-on event. After the tenth event,  
 567 hydrophilic (active runoff rivulets) and hydrophobic (dry) areas of the soil slabs  
 568 were analysed separately. Classification of the persistence of SWR: Class 0,  
 569 wettable; Class 1, slightly persistent SWR (5–60 s); Class 2, strongly persistent  
 570 SWR (60–600 s); Class 3, severely persistent SWR (600 s–1 h); Class 4,  
 571 extremely persistent SWR (>1 h) (Dekker and Jungerius, 1990). Results are  
 572 averages  $\pm$  standard deviations of the mean of three slabs; measurements  
 573 were replicated eight times in each area.  
 574

Event number	<b>0</b>	<b>10 – hydrophilic areas</b>	<b>10 – hydrophobic areas</b>
Water content (g g <sup>-1</sup> )	0.372 $\pm$ 0.043	0.899 $\pm$ 0.011	0.141 $\pm$ 0.017
WDPT <sub>act</sub> (s)	983 $\pm$ 404	0 $\pm$ 0	4800 $\pm$ 2078
WDPT <sub>pot</sub> (s)	7800 $\pm$ 1859	3100 $\pm$ 2058	7100 $\pm$ 2194
MED (°)	100.87 $\pm$ 0.57	100.43 $\pm$ 1.09	101.2 $\pm$ 0

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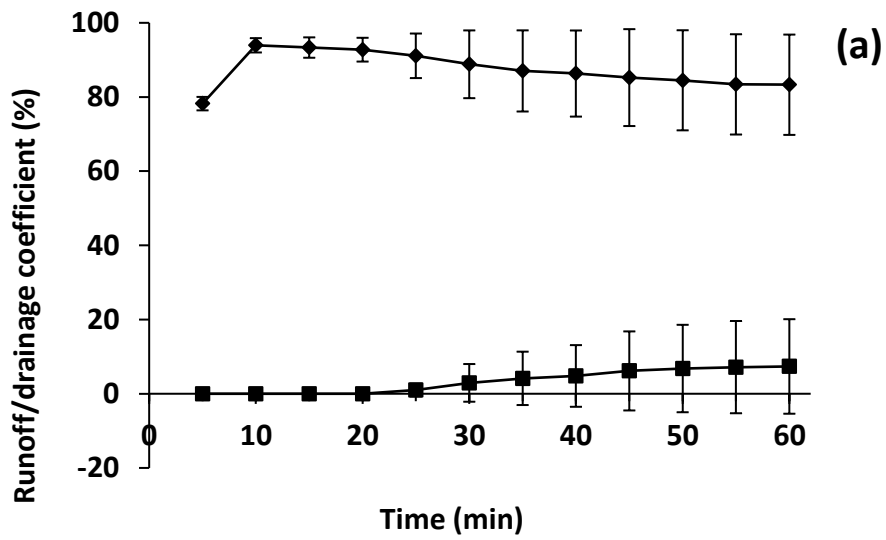
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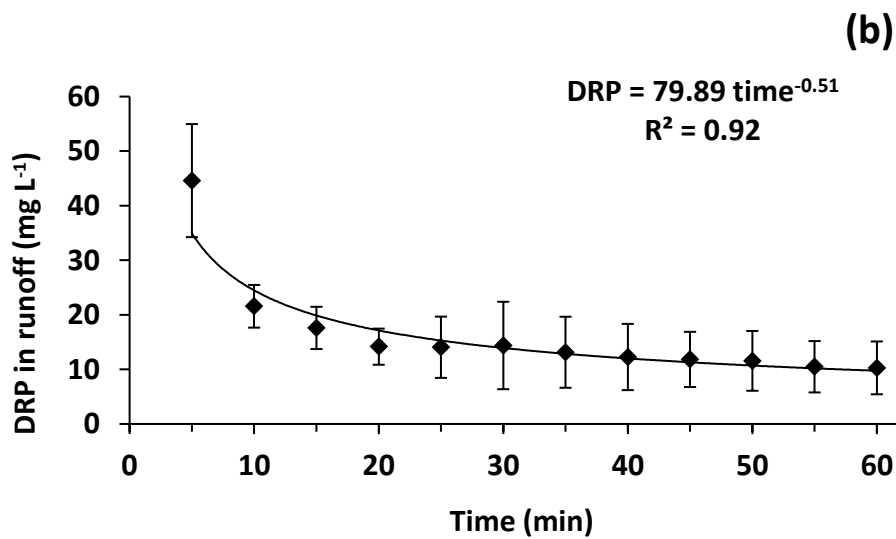
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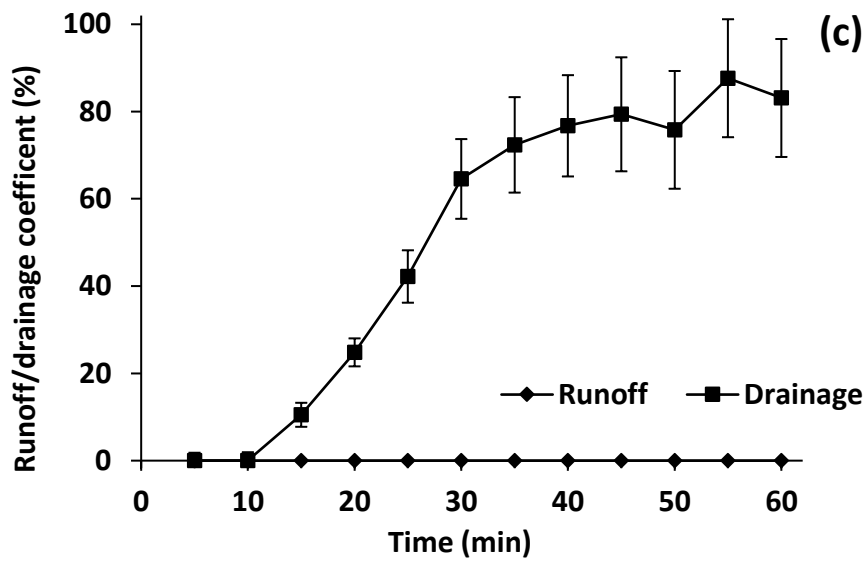


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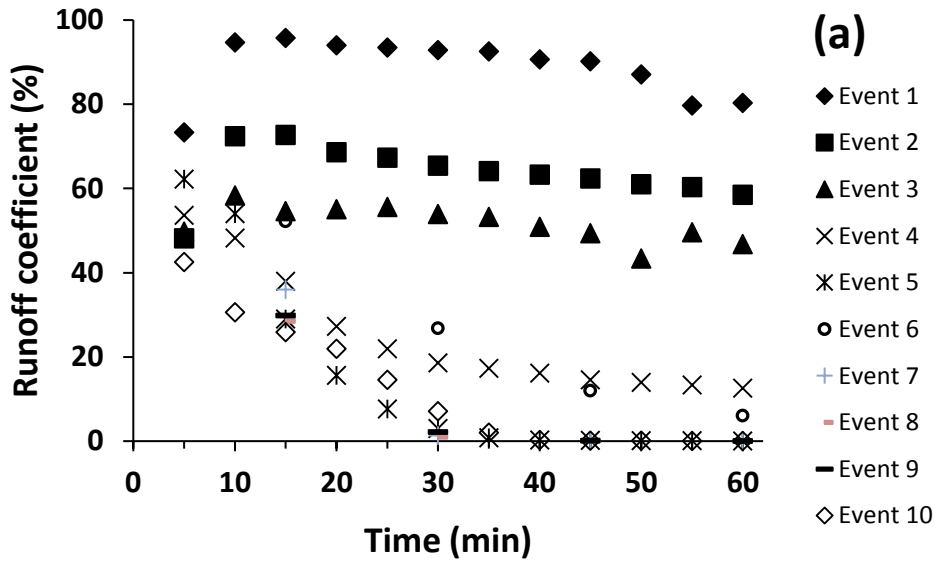
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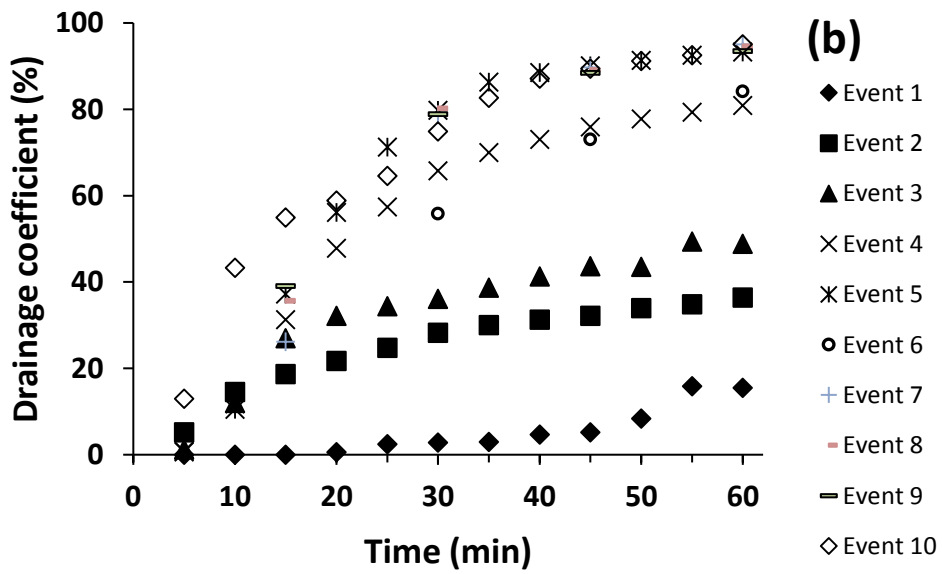
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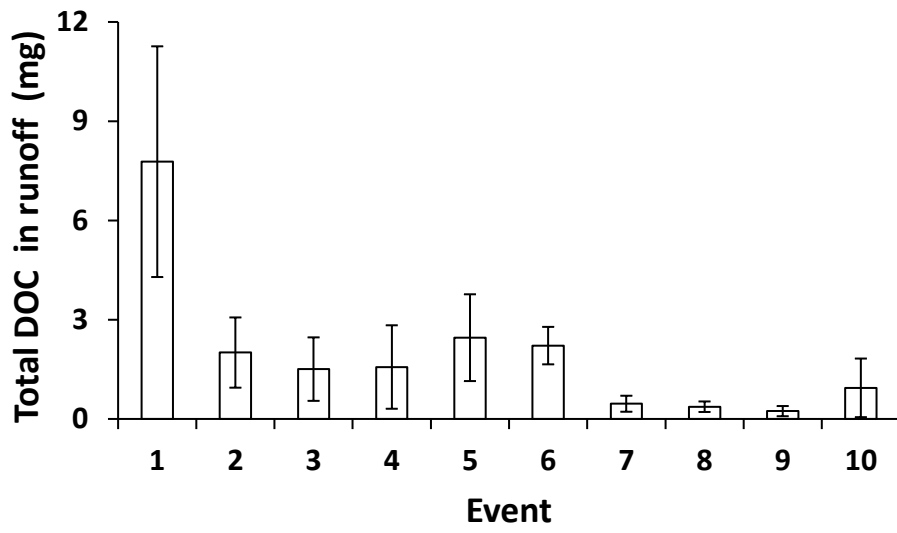
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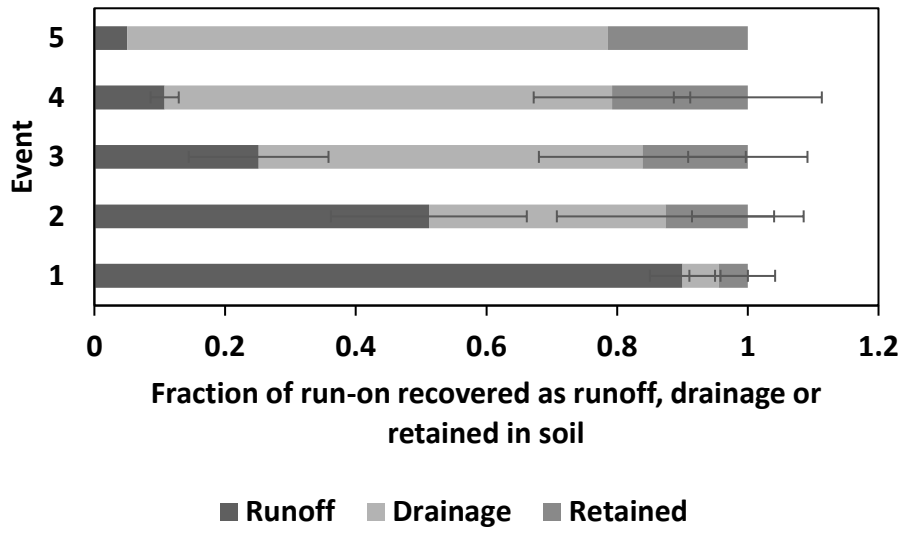
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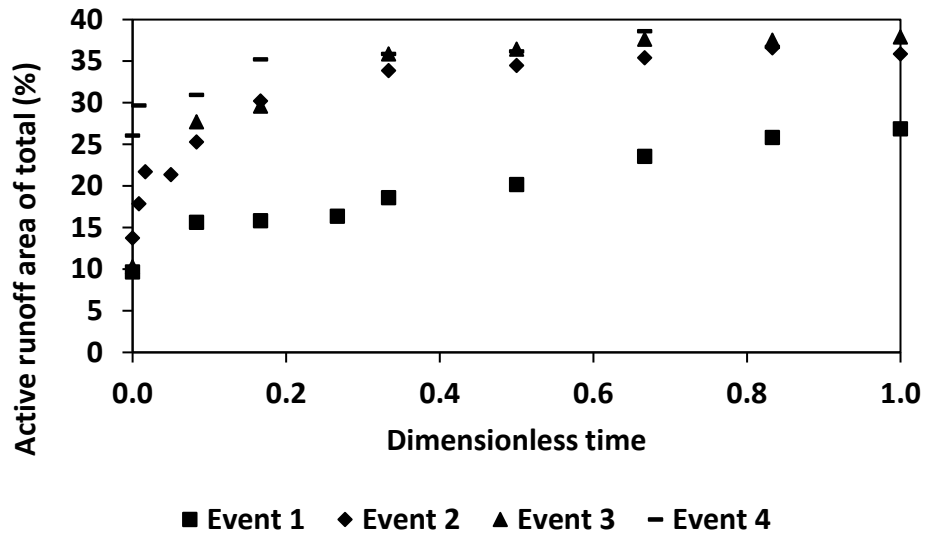
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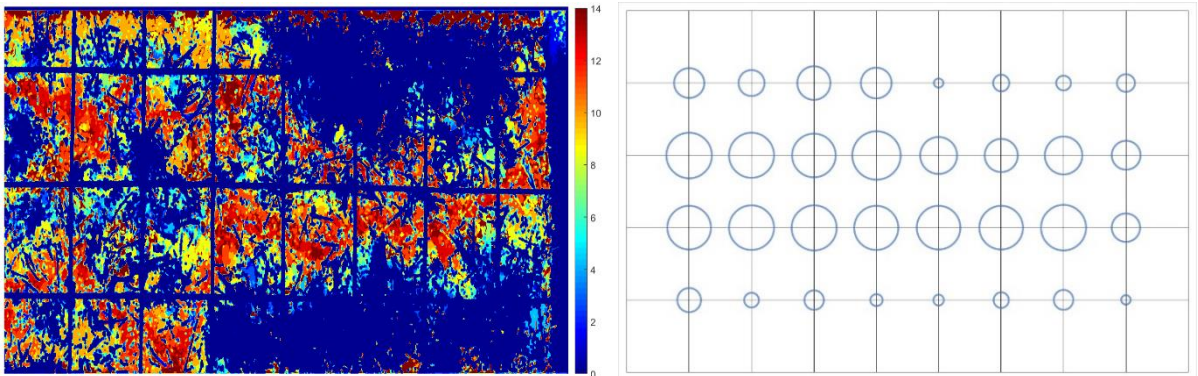
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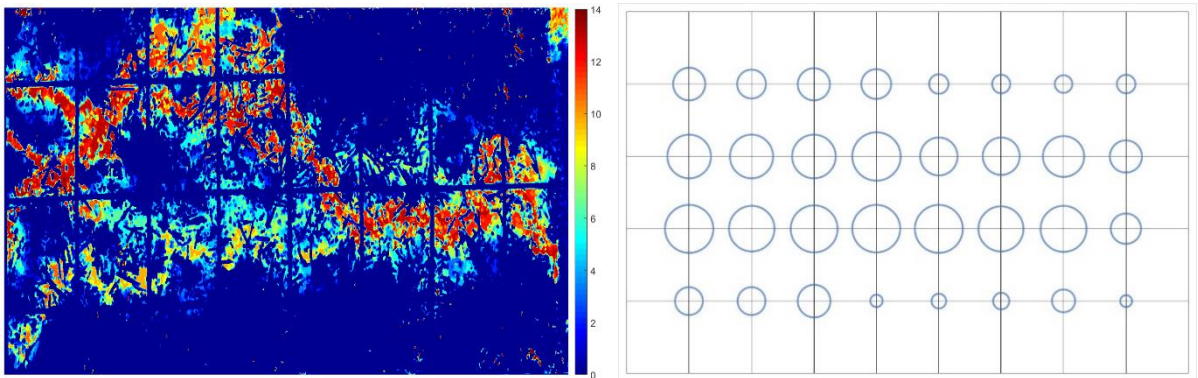
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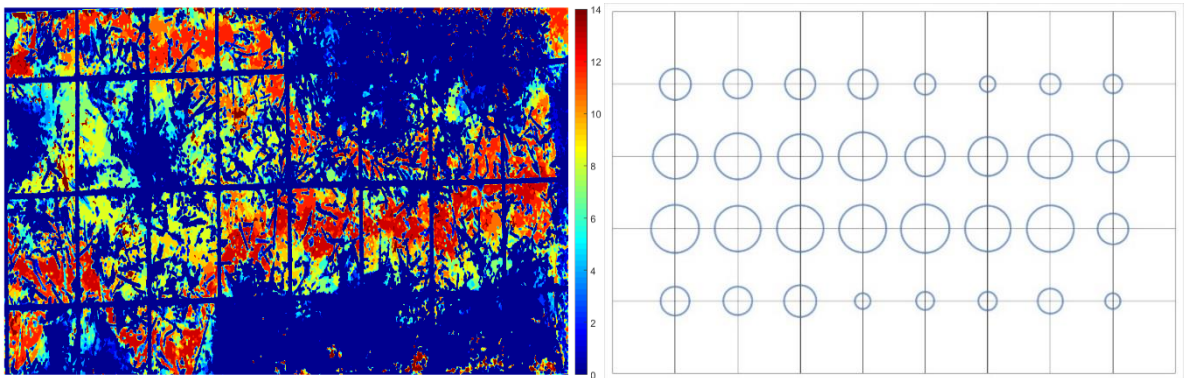
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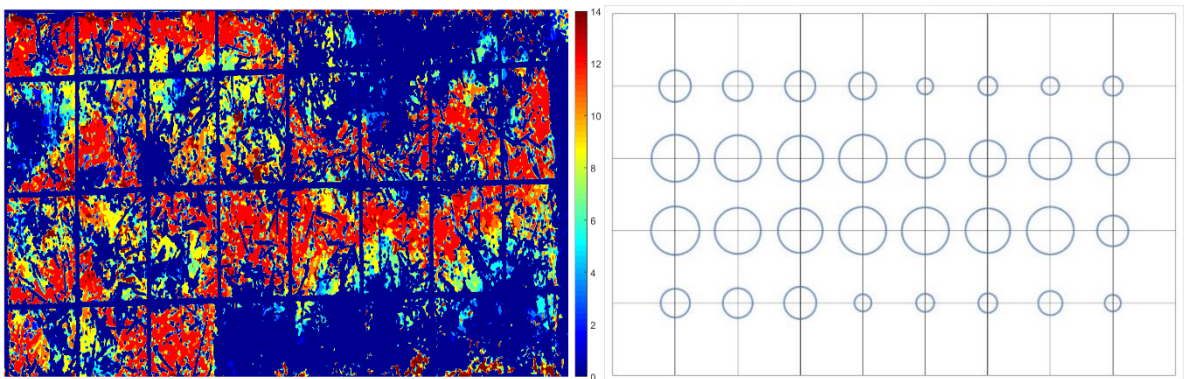
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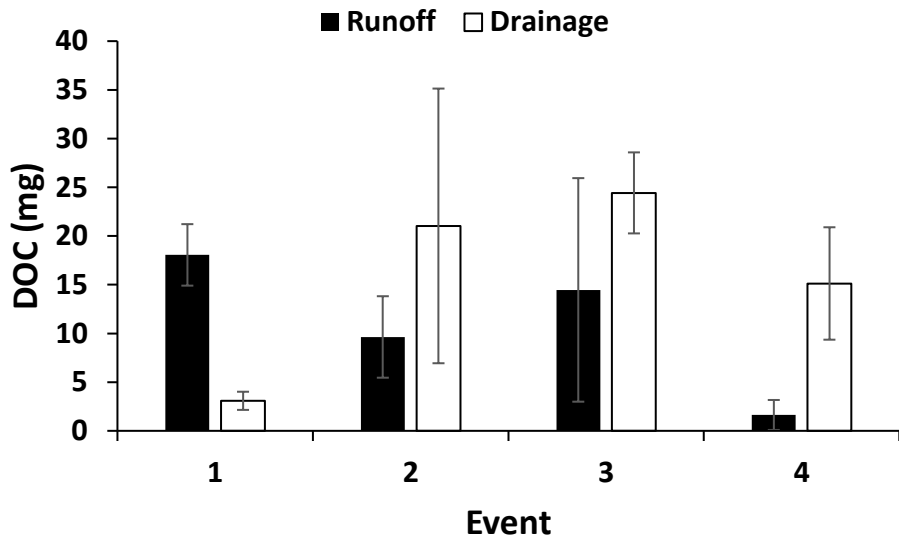
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