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NON-ARCHIMEDEAN HYPERBOLICITY AND APPLICATIONS

ARIYAN JAVANPEYKAR AND ALBERTO VEZZANI

ABSTRACT. Inspired by the work of Cherry, we introduce and study a new notion of Brody hyperbolicity for rigid analytic varieties over a non-archimedean field K of characteristic zero. We use this notion of hyperbolicity to show the following algebraic statement: if a projective variety admits a non-constant morphism from an abelian variety, then so does any specialization of it. As an application of this result, we show that the moduli space of abelian varieties is K -analytically Brody hyperbolic in equal characteristic 0. These two results are predicted by the Green–Griffiths–Lang conjecture on hyperbolic varieties and its natural analogues for non-archimedean hyperbolicity. Finally, we use Scholze’s uniformization theorem to prove that the aforementioned moduli space satisfies a non-archimedean analogue of the “Theorem of the Fixed Part” in mixed characteristic.

1. INTRODUCTION

Conjectures of Green–Griffiths and Lang predict a precise interplay between different notions of hyperbolicity [31, 48]. In this paper, inspired by work of Cherry [17], we introduce and study a new *non-archimedean* notion of hyperbolicity, and study an analogue of the Green–Griffiths–Lang conjecture in this context.

1.1. Non-archimedean Green–Griffiths–Lang’s conjecture. Let X be a variety over \mathbb{C} , and let X^{an} be the associated complex analytic space. Recall that X is Brody hyperbolic if X^{an} has no entire curves, i.e., every holomorphic map $\mathbb{C} \rightarrow X^{\text{an}}$ is constant. A conjecture of Green–Griffiths–Lang says that, if every algebraic map from an abelian variety to a projective variety X over \mathbb{C} is constant, then X^{an} is Brody hyperbolic; see [31, 48]. We now formulate a non-archimedean analogue of this conjecture.

If K is a complete non-archimedean valued field and X is a finite type scheme over K , we let X^{an} be the associated rigid analytic variety over K . We say that a variety over K is *K -analytically Brody hyperbolic* if, for every finite type connected group scheme G over K , every morphism $G^{\text{an}} \rightarrow X^{\text{an}}$ is constant; see Section 2 for more definitions. The analogue of the Green–Griffiths–Lang conjecture in this context reads as follows.

Conjecture 1.1 (Non-archimedean Green–Griffiths–Lang). *Let K be an algebraically closed complete non-archimedean valued field of characteristic zero, and let*

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X be a proper scheme over K . Suppose that, for every abelian variety A over K , every morphism $A \rightarrow X$ is constant. Then X is K -analytically Brody hyperbolic.

Although the notion of K -analytic Brody hyperbolicity introduced above has not appeared before in the literature, we were first led to investigate this notion by the work of Cherry; see [2, 16, 17, 18, 50, 51].

There is no non-constant morphism $\mathbb{A}_K^{1,\text{an}} \rightarrow \mathbb{G}_{m,K}^{\text{an}}$ (contrary to the complex analytic setting); see [16, Proposition I.4] for instance. Therefore, as $\mathbb{G}_{m,K}$ is clearly not hyperbolic, we see that, to prove that an algebraic variety X over K is K -analytically Brody hyperbolic, it does not suffice to show that all morphisms $\mathbb{A}_K^{1,\text{an}} \rightarrow X^{\text{an}}$ are constant.

In fact, to “show” the K -analytic Brody hyperbolicity of a variety X over K , one has to verify that, for every connected algebraic group G over K , every analytic map $G^{\text{an}} \rightarrow X^{\text{an}}$ is constant. We show that, a variety X over K is K -analytically Brody hyperbolic if and only if, for every abelian variety A over K with good reduction every morphism of K -schemes $A \rightarrow X$ is constant *and* every K -analytic morphism $\mathbb{G}_{m,K}^{\text{an}} \rightarrow X^{\text{an}}$ is constant.

We stress that it is not clear what the “right” notion of non-archimedean hyperbolicity is (or should be). In this paper, we investigate a non-archimedean analogue of “groupless varieties” (as defined in [39] and Definition 3.1), and show that this notion satisfies some of the expected properties. However, it might be worth pursuing non-archimedean analogues of “Borel hyperbolic” complex algebraic varieties [40]. Moreover, another perspective on complex-analytic hyperbolicity is provided by Kobayashi’s pseudometric. Cherry proposed a non-archimedean analogue of this pseudometric, but notices quickly that it does not have the right properties. We refer the reader to Section 3.5 for a discussion of Cherry’s non-archimedean analogue of Kobayashi’s pseudodistance.

Lang conjectured that, for k an algebraically closed field of characteristic zero and X a projective variety X over k , we have that every morphism from an abelian variety A to X is constant if and only if it is “arithmetically hyperbolic” [41, Definition 4.1], i.e., for every \mathbb{Z} -finitely generated subring $A \subset \mathbb{C}$, and every finite type separated scheme \mathcal{X} over A with $\mathcal{X}_{\mathbb{C}} \cong X$, the set $\mathcal{X}(A)$ is finite; see [1, §0.3], [38, Conjecture 1.1], [47] and [22, Conjecture XV.4.3]. In conclusion, the non-archimedean version of the Green–Griffiths–Lang conjecture (Conjecture 1.1) predicts that a projective variety X over an algebraically closed complete non-archimedean valued field K of characteristic zero is arithmetically hyperbolic over K if and only if it is K -analytically Brody hyperbolic. Other “arithmetic” speculations related to K -analytic Brody hyperbolicity are made by An–Levin–Wang [3].

1.2. Evidence for non-archimedean Green–Griffiths–Lang. Our first result verifies Conjecture 1.1 for constant varieties over K .

Theorem 1.2 (Non-archimedean Green–Griffiths–Lang for constant varieties). *Let X be a proper scheme over an algebraically closed field k . Fix a complete algebraically closed non-archimedean valued field K with ring of integers \mathcal{O}_K and fix a section*

$k \hookrightarrow \mathcal{O}_K$ of the quotient map. Suppose that, for every abelian variety A over K , every morphism $A \rightarrow X_K$ is constant. Then X_K is K -analytically Brody hyperbolic over K .

To prove Theorem 1.2, we establish a more general result relating K -analytic Brody hyperbolicity of the generic fiber of a proper scheme X over \mathcal{O}_K to the “hyperbolicity” of its special fiber. The precise statement reads as follows.

Theorem 1.3 (Inheriting hyperbolicity from the special fiber). *Let K be complete algebraically closed non-archimedean valued field K . Let X be a proper finitely presented scheme over \mathcal{O}_K . Suppose that, for every abelian variety over k , every morphism $A \rightarrow X_k$ is constant. Then X_K is K -analytically Brody hyperbolic.*

Theorem 1.3 is proven using a uniformization theorem of Bosch–Lütkebohmert for abelian varieties over K .

The above two results (Theorems 1.2 and 1.3) are in accordance with the non-archimedean Green–Griffiths–Lang conjecture (Conjecture 1.1). We note that Conjecture 1.1 was previously shown to hold for closed subvarieties of abelian varieties. More precisely, for closed subvarieties of abelian varieties, the work of Cherry [16], Faltings [28, 29], Kawamata [43], and Ueno [64, Theorem 3.10] can be combined into the following result.

Theorem 1.4 (Cherry, Faltings, Kawamata, Ueno). *Let K be an algebraically closed field of characteristic zero. Let X be a closed subvariety of an abelian variety over K . Then the following are equivalent.*

- (1) *For every abelian variety A over K , every morphism $A \rightarrow X$ is constant, i.e., X is groupless (Definition 3.1). (Equivalently, X does not contain the translate of a positive-dimensional abelian subvariety of A .)*
- (2) *Every closed integral subvariety of X is of general type.*
- (3) *The projective variety X is arithmetically hyperbolic [41, Definition 4.1].*
- (4) *If $K = \mathbb{C}$: The projective variety X is Brody hyperbolic.*
- (5) *If K is non-archimedean: The projective variety X is K -analytically Brody hyperbolic.*

Cherry’s theorem (Theorem 1.4) not only shows that the non-archimedean Green–Griffiths–Lang conjecture holds for closed subvarieties of abelian varieties, but it also suggests that our notion of non-archimedean hyperbolicity is not far from the “right” one.

We use Cherry’s theorem (Theorem 1.4) to verify that the non-archimedean Green–Griffiths–Lang conjecture (Conjecture 1.1) holds for all projective curves (Proposition 3.15) and their symmetric powers (Proposition 3.16).

1.3. An algebraic application of Theorem 1.3. If X is a projective variety over an algebraically closed field k , then we say that X is *groupless* if, for every abelian variety A over k , every morphism $A \rightarrow X$ is constant (see Definition 3.1). To motivate the following results, note that the classic Green–Griffiths–Lang conjecture

predicts that a projective variety over \mathbb{C} is groupless if and only if it is of general type and Brody hyperbolic.

Now, the (classical) Green–Griffiths–Lang conjecture predicts that every specialization of a non-groupless variety is non-groupless; we explain this in detail in Remark 4.1. Our next result verifies this prediction.

Theorem 1.5 (Grouplessness generalizes). *Let S be an integral normal variety over an algebraically closed field k of characteristic zero with function field $K = K(S)$. Let $K \rightarrow K^a$ be an algebraic closure of K . Let $X \rightarrow S$ be a proper morphism of schemes such that there is an s in $S(k)$ with X_s groupless over k . Then the geometric generic fiber X_{K^a} of $X \rightarrow S$ is groupless over K^a .*

It seems worth stressing that our proof of Theorem 1.5 uses our “algebraic” criterion for non-archimedean hyperbolicity (Theorem 1.3). In particular, our proof of Theorem 1.5 illustrates that our notion of non-archimedean hyperbolicity can be used to verify algebraic predictions made by the (classical) Green–Griffiths–Lang conjecture.

To motivate our next result, we note that the fact that a groupless variety should specialize to a groupless variety is also a consequence of the Green–Griffiths–Lang conjecture; we explain this also in detail in Remark 4.1. The precise statement reads as follows.

Theorem 1.6 (Grouplessness specializes). *Let k be an uncountable algebraically closed field. Let S be an integral variety over k with function field $K = K(S)$. Let $K \rightarrow K^a$ be an algebraic closure of K . Let $X \rightarrow S$ be a proper morphism of schemes. If X_{K^a} is groupless over K^a , then there is an s in $S(k)$ such that X_s is groupless.*

To prove Theorem 1.6 we use (only) algebraic arguments, and properties of the moduli space of principally polarized abelian varieties.

We stress that Theorems 1.5 and 1.6 verify predictions made by the Green–Griffiths–Lang conjecture, and thereby provide (new) evidence for this conjecture.

1.4. The moduli space of abelian varieties. If g is a positive integer, we let \mathcal{A}_g be the stack of g -dimensional principally polarized abelian schemes over \mathbb{Z} . Let $N \geq 3$ be an integer coprime to p and let $\mathcal{X} := \mathcal{A}_g^{[N]}$ be the moduli space of g -dimensional principally polarized abelian schemes with full level N -structure over $\mathbb{Z}[1/N]$. Note that \mathcal{X} is a smooth quasi-projective scheme over $\mathbb{Z}[1/N]$; see [55].

The Green–Griffiths–Lang conjecture has an analogue for quasi-projective, not necessarily projective, varieties to which we will refer to as the Lang–Vojta conjecture. The original versions of Lang–Vojta’s conjecture appeared in [47] and [68, Conj. 4.3]. A general conjecture over finitely generated subrings of \mathbb{C} is stated in [1, §0.3] (see also [42, Conj. 6.1]). Part of Lang–Vojta’s conjecture predicts that, if X is a quasi-projective integral scheme over \mathbb{C} whose integral subvarieties are of log-general type, then X is arithmetically hyperbolic over \mathbb{C} [41, Definition 4.1] and Brody hyperbolic.

It follows from the work of Zuo that every integral subvariety of the moduli space $\mathcal{X}_{\mathbb{C}}$ is of log-general type [70] (see also [14] and [42, Lemma 6.3]). The aforementioned predictions made by the Lang–Vojta conjecture are that $\mathcal{X}_{\mathbb{C}}$ is arithmetically hyperbolic over \mathbb{C} and Brody hyperbolic. The arithmetic hyperbolicity of $\mathcal{X}_{\mathbb{C}}$ was proven by Faltings; see [26, 27]. As it plays a crucial role in our work below, recall that $\mathcal{X}_{\mathbb{C}}$ is (complex analytically) Brody hyperbolic, as any holomorphic map $\mathbb{A}_{\mathbb{C}}^{1,\text{an}} \rightarrow \mathcal{X}_{\mathbb{C}}^{\text{an}}$ lifts to the universal cover \mathbb{H}_g of $\mathcal{X}_{\mathbb{C}}^{\text{an}}$, the universal cover \mathbb{H}_g of $\mathcal{X}_{\mathbb{C}}^{\text{an}}$ is a bounded domain, and bounded domains are Brody hyperbolic by Liouville’s theorem. In a diagram, the proof can be summarized as follows:

$$\begin{array}{ccc}
 & \text{bounded domain} & \\
 & \nearrow \exists & \downarrow \text{topological covering} \\
 \mathbb{A}_{\mathbb{C}}^{1,\text{an}} & \longrightarrow & \mathcal{X}_{\mathbb{C}}^{\text{an}}
 \end{array}$$

This proof also shows that maps into $\mathcal{X}_{\mathbb{C}}$ with trivial monodromy are constant. The latter statement is an instance of the so-called “Theorem of the Fixed Part”; see [67, Theorem 3.1] for the more general version due to Griffiths.

Theorem 1.7 (Theorem of the Fixed Part). *Let S be a finite type separated connected scheme over \mathbb{C} . A morphism $S^{\text{an}} \rightarrow \mathcal{X}_{\mathbb{C}}^{\text{an}}$ is constant if and only if the image of the induced morphism on fundamental groups*

$$\pi_1(S^{\text{an}}) \rightarrow \pi_1(\mathcal{X}_{\mathbb{C}}^{\text{an}})$$

is finite.

Motivated by the Lang–Vojta conjecture and the aforementioned properties of the moduli space of abelian varieties, it seems reasonable to suspect that, if K is a complete algebraically closed non-archimedean valued field of characteristic zero, then the moduli space \mathcal{X}_K is K -analytically Brody hyperbolic. Our first result in the direction of this reasonable expectation reads as follows.

Theorem 1.8. *If the residue field of K has characteristic 0, then the moduli space \mathcal{X}_K is K -analytically Brody hyperbolic.*

In the mixed characteristic case, we do not show that the moduli space \mathcal{X}_K is K -analytically Brody hyperbolic. However, we do obtain an analogue of the Theorem of the Fixed Part in this case. Indeed, using results of Scholze on perfectoid spaces, an argument similar to the above “complex-analytic” argument leads to the following result.

Theorem 1.9 (Non-archimedean Theorem of the Fixed Part). *Let K be a complete algebraically closed non-archimedean valued field of characteristic zero and residue characteristic $p > 0$. Let S be a connected rigid analytic variety over K . A morphism $S \rightarrow \mathcal{X}_K^{\text{an}}$ is constant if and only if the image of the induced morphism on (algebraic) étale pro- p -fundamental groups*

$$\pi_1^{\text{alg}}(S) \rightarrow \pi_1^{\text{alg}}(\mathcal{X}_K^{\text{an}}) \rightarrow \text{GSp}_{2g}(\mathbb{Z}_p)$$

is finite.

Our proof of Theorem 1.9 is conceptually quite close to the line of reasoning sketched above. However, instead of lifting a map $S \rightarrow \mathcal{X}_K^{\text{an}}$ to the topological universal cover of $\mathcal{X}_K^{\text{an}}$, we lift to a suitable perfectoid pro-finite étale covering [60, §3]. In a diagram similar to the above “complex-analytic” diagram, our line of reasoning used to prove Theorem 1.9 can be summarized as follows:

$$\begin{array}{ccc}
 & \text{Scholze's perfectoid space} & \\
 & \nearrow \exists & \downarrow \text{pro-finite étale} \\
 S & \xrightarrow{\quad} & \mathcal{X}_K^{\text{an}}
 \end{array}$$

For the reader’s convenience, we stress that the crucial property of perfectoid spaces used in the proof of Theorem 1.9 is the following folklore result; we refer to Proposition 2.10 for a more precise statement.

Proposition 1.10. *Let K be a complete algebraically closed non-archimedean valued field of characteristic zero and residue characteristic $p > 0$. A perfectoid space over K is K -analytically Brody hyperbolic.*

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2. NON-ARCHIMEDEAN BRODY HYPERBOLICITY

Throughout this paper, we make the following assumption.

Assumption 2.1. We let K be an algebraically closed field of characteristic 0 which is complete with respect to a non-archimedean, non-trivial multiplicative norm $\|\cdot\|: K \rightarrow \mathbb{R}$. We let \mathcal{O}_K be its valuation ring and k be its residue field.

Example 2.2. Finite field extensions of \mathbb{Q}_p are complete non-archimedean valued fields of characteristic zero and residue characteristic $p > 0$. The completion \mathbb{C}_p of $\overline{\mathbb{Q}_p}$ is also a complete non-archimedean valued field of characteristic zero and residue characteristic $p > 0$. Finally, for any field k of characteristic 0, the completion K of $\overline{k((t))}$ endowed with the t -adic valuation is a complete non-archimedean valued field with residue field k .

We will use the language of adic spaces [36, 37] in order to deal with rigid analytic varieties. In particular, we will denote by $|X|$ the underlying topological space of a rigid analytic variety as defined by Huber [37]. All schemes and adic spaces (see [36] for the general theory) will be considered as spaces over K endowed with its valuation

of rank 1. For a reduced Tate algebra R which is a quotient of $K\langle T_1, \dots, T_N \rangle$ (the completion of the polynomial ring) we write $\mathrm{Spa} R$ for the affinoid space $\mathrm{Spa}(R, R^\circ)$. We let \mathbb{B}^N be the poly-disc $\mathrm{Spa} K\langle T_1, \dots, T_N \rangle$.

If X is a locally finite type scheme over K , we let X^{an} be the associated rigid analytic variety (see for example [7, Proposition 0.3.3] or [11]). To simplify the notation, we will sometimes let $\mathbb{G}_m^{\mathrm{an}}$ be the rigid analytic variety associated to the finite type K -scheme $\mathbb{G}_{m,K}$.

The following definition introduces the main objects of study of our paper. We stress that our definition is modeled on the observation that a complex algebraic variety X over \mathbb{C} is Brody hyperbolic (i.e., has no entire curves $\mathbb{C} \rightarrow X^{\mathrm{an}}$) if and only if for every connected finite type group scheme G over \mathbb{C} , every morphism of varieties $G^{\mathrm{an}} \rightarrow X^{\mathrm{an}}$ is constant. We refer the reader interested in complex algebraic Brody hyperbolic varieties to Kobayashi's book [44].

Definition 2.3. An adic space X over K is *K -analytically Brody hyperbolic* if, for every connected finite type group scheme G over K , every morphism of adic spaces $G^{\mathrm{an}} \rightarrow X$ is constant, i.e. factors over a K -rational point. A locally finite type K -scheme X is *K -analytically Brody hyperbolic* if X^{an} is K -analytically Brody hyperbolic.

Remark 2.4. Since any finite type group scheme G over K is reduced (as K is of characteristic zero) and has a K -rational point (by definition), a map $G^{\mathrm{an}} \rightarrow X$ is constant if and only if it is constant as a map of topological spaces.

Remark 2.5. The closed disc \mathbb{B}^1 and the open disc $\mathring{\mathbb{B}}^1$ are subgroups of $(\mathbb{A}^{1,\mathrm{an}}, +)$, and any rigid analytic variety admits non-trivial maps from \mathbb{B}^1 . A definition of hyperbolicity using rigid analytic groups as “test” objects would therefore be of no interest.

Remark 2.6. In [44, Corollary 3.11.2], it is shown that the total space of a family of Kobayashi hyperbolic varieties over \mathbb{C} over a Kobayashi hyperbolic variety over \mathbb{C} is Kobayashi hyperbolic. The non-archimedean analogue of this statement reads as follows (and is not hard to prove). Let $X \rightarrow Y$ be a morphism of rigid analytic varieties over K . If Y is K -analytically Brody hyperbolic and all K -fibers of $X \rightarrow Y$ are K -analytically Brody hyperbolic, then X is K -analytically Brody hyperbolic.

The notion of K -analytic hyperbolicity introduced above (Definition 2.3) has not appeared before in the literature. However, the following weaker notion was studied in the work of Cherry [17]; see also [2, 16, 18, 50, 51].

Definition 2.7. An adic space X over K is *K -analytically pure* if all morphisms $\mathbb{G}_{m,K}^{\mathrm{an}} \rightarrow X$ are constant. A locally finite type scheme X over K is *K -analytically pure* if the adic space X^{an} is K -analytically pure.

Note that smooth proper curves of genus one over K with good reduction are K -analytically pure. In particular, a K -analytically pure is not necessarily “hyperbolic”. This is why we avoid saying that K -analytically pure varieties are “hyperbolic”. Part of the aim of this paper is to show that a (more) “correct” non-archimedean analogue of Brody hyperbolic complex manifolds is provided by K -analytic Brody hyperbolicity (Definition 2.3).

2.1. Hyperbolicity of affinoids. It follows from Liouville’s theorem on bounded holomorphic functions that a bounded domain in affine space is Brody hyperbolic. In the non-archimedean setting, we can state the following analogue of this fact.

Proposition 2.8. *An affinoid adic space A over K is K -analytically Brody hyperbolic. More generally, if X is a reduced connected locally finite type scheme over K , then any morphism $X^{\text{an}} \rightarrow A$ is constant.*

Proof. It suffices to prove the second statement. To do so, note that any map $X^{\text{an}} \rightarrow A$ to an affinoid space $A = \text{Spa}(R, R^+)$ over K is uniquely determined by a morphism from R^+ to the ring of global bounded functions on X^{an} ; see [36, Proposition 2.1(ii)]. The claim then follows if we show that this ring is \mathcal{O}_K . Therefore, we may and do assume that A is the closed disc \mathbb{B}^1 .

Now, to show that $X^{\text{an}} \rightarrow A$ is constant, we may and do assume that X is affine. Moreover, by considering the normalization of X , we can also assume that X is normal. Let $X \rightarrow \bar{X}$ be an open immersion with \bar{X} connected, normal and projective over K . By the Hebbbarkeitssatz ([19, p. 502] or [54, Theorem 1.6]), any morphism $X^{\text{an}} \rightarrow \mathbb{B}^1$ extends to a morphism $\bar{X}^{\text{an}} \rightarrow \mathbb{B}^1$. By GAGA [21, 45], the composite map $\bar{X}^{\text{an}} \rightarrow \mathbb{B}^1 \rightarrow \mathbb{P}^{1, \text{an}}$ is induced by an algebraic map $\bar{X} \rightarrow \mathbb{P}^1$ whose image is closed and does not contain ∞ . Therefore, since \bar{X} is connected, we conclude that $\bar{X}^{\text{an}} \rightarrow \mathbb{P}^{1, \text{an}}$ is constant, as required. \square

Remark 2.9. The complex analytic analogue of Proposition 2.8 reads as follows. Let D be a bounded domain in the affine space \mathbb{C}^N , and let X be a reduced connected locally finite type scheme over \mathbb{C} . Then, any morphism $X^{\text{an}} \rightarrow D$ is constant. Indeed, since any two points in X lie in the image of a reduced connected curve, we may and do assume that X is a curve. Let Y be a connected component of the normalization X' of X , and note that Y is a smooth quasi-projective curve over \mathbb{C} . It suffices to show that $Y^{\text{an}} \rightarrow D$ is constant. Let $Y \subset \bar{Y}$ be the smooth compactification of Y . Let $P \in \bar{Y} \setminus Y$, and let $\Delta_P \subset \bar{Y}^{\text{an}}$ be an open disk around P not containing any other point of $\bar{Y} \setminus Y$. Then, as the morphism $\Delta_P \setminus \{P\} \rightarrow D$ is a bounded holomorphic function, it extends to a morphism $\Delta_P \rightarrow D$. We see that $Y^{\text{an}} \rightarrow D$ extends a holomorphic morphism $\bar{Y}^{\text{an}} \rightarrow D \subset \mathbb{C}^n$. As \bar{Y} is projective, every global holomorphic function $\bar{Y}^{\text{an}} \rightarrow \mathbb{C}$ is constant. We see that $\bar{Y}^{\text{an}} \rightarrow D$ is constant, and conclude that $Y^{\text{an}} \rightarrow D$ is constant.

2.2. Hyperbolicity of perfectoid spaces. Recall that in Proposition 2.8 we showed that affinoid adic spaces do not admit non-constant maps from a connected algebraic variety. Similarly, perfectoid spaces over K (see [58] for definitions) also

do not admit non-constant morphisms from an algebraic variety. Even better, they do not admit any non-constant morphisms from a connected rigid analytic variety (Proposition 2.10). This property is fairly obvious to anyone accustomed to Scholze's theory of perfectoid spaces; we include it here due to a lack of a suitable reference in the literature.

Proposition 2.10. *Assume K has positive residue characteristic. Let X be a reduced connected rigid analytic variety over K and let Y be a perfectoid space over K . Then any morphism of adic spaces $X \rightarrow Y$ is constant.*

Proof. By a standard induction argument on the dimension of X , replacing X by its (dense open) smooth locus if necessary, we may and do assume that X is smooth. Moreover, we may and do assume that X and Y are affinoid and equal to $\mathrm{Spa}(R, R^\circ)$ and $\mathrm{Spa}(P, P^+)$, respectively. Let $\varphi: P \rightarrow R$ be the morphism of rings associated to $X \rightarrow Y$.

We first prove that R does not contain any non-constant element having arbitrary p -th roots. (That is, we first treat the case $P = K\langle T^{1/p^\infty} \rangle$.) Fix a K -rational point x of X . Since X is smooth, it is locally étale over a poly-disc \mathbb{B}^n (see [5, Corollary 1.1.51]) and there is an open neighborhood of x which is isomorphic to an open neighborhood of a rational point y in \mathbb{B}^n (see [5, Remark 1.2.4]). Thus, since concentric poly-discs form a basis of open neighborhoods of y , by the identity theorem (see [19, Lemma 2.1.4]), we may and do assume that X is \mathbb{B}^n , so that R equals the Tate algebra $K\langle T_1, \dots, T_n \rangle$. Note that, as in the proof of [58, Lemma 3.4.(i)], we have an isomorphism of multiplicative monoids

$$\varprojlim_{x \rightarrow x^p} \mathcal{O}_K\langle T_1, \dots, T_n \rangle \cong \varprojlim_{x \rightarrow x^p} \mathcal{O}_K\langle T_1, \dots, T_n \rangle / p.$$

Therefore, we have the following isomorphisms of multiplicative monoids

$$\begin{aligned} \varprojlim_{x \rightarrow x^p} \mathcal{O}_K\langle T_1, \dots, T_n \rangle &\cong \varprojlim_{x \rightarrow x^p} \mathcal{O}_K\langle T_1, \dots, T_n \rangle / p \\ &\cong \varprojlim_{x \rightarrow x^p} (\mathcal{O}_K/p)[T_1, \dots, T_n] \cong \varprojlim_{x \rightarrow x^p} \mathcal{O}_K/p \cong \varprojlim_{x \rightarrow x^p} \mathcal{O}_K. \end{aligned}$$

We deduce that $R^\circ = \mathcal{O}_K\langle T_1, \dots, T_n \rangle$ does not contain any non-constant element with arbitrary p -th roots. By multiplying with a constant, this implies the statement for R as well, as desired.

Let

$$P^b \cong \varprojlim_{x \rightarrow x^p} P$$

be the tilt of P ; see [58, Proposition 5.17]. Consider the multiplicative map $\sharp: P^b \rightarrow P$, $y \mapsto y^\sharp$ given by the projection to the first term. Since R does not contain any non-constant element having all p -th roots (as shown above), we deduce that $\varphi(\sharp(P^b))$ is contained in K . Because the canonical map of rings $P^{b^\circ}/p^b \rightarrow P^\circ/p$ is an isomorphism (see [58, Lemma 6.2]), it follows that, for any element x in P° , there exist an element y in P^{b° and an element x' in P° such that $x = y^\sharp + px'$. Fix an

element x_0 in P° and define inductively a sequence $x_i \in P^\circ$ and $y_i \in P^{b^\circ}$ by

$$x_i = y_i^\sharp + px_{i+1}$$

Note that the sequence $(z_n)_{n=0}^\infty$ defined by $z_n = \sum_{i=0}^n p^i y_i^\sharp = x_0 - p^{n+1} x_{n+1}$ converges to x_0 . By what we proved above, we see that $\varphi(z_n) = \sum_{i=0}^n p^i \varphi(y_i^\sharp) \in K$. We deduce that $\varphi(x_0)$ is a limit of elements of K , and thus lies in K . This proves that φ factors over K as wanted. \square

Remark 2.11. Proposition 2.10 can be generalized to a connected (not necessarily reduced) rigid analytic variety X by saying that its image is a single rational point of Y . Indeed, it suffices to take the reduced closed subvariety of X . On the other hand, we remark that in this (more general) case the morphism may not factor over $\mathrm{Spa} K$. For example, the map $T^{1/p^n} \mapsto 1 + \frac{1}{p^n} T$ induces a morphism from the perfectoid algebra $K\langle T^{1/p^\infty} \rangle$ to the (non-reduced) Tate algebra $K\langle T \rangle/T^2$ which clearly does not factor over K .

Remark 2.12. Let X be an adic space over K . Let $P \subset X$ be an open adic subspace which is perfectoid. If S is a connected reduced rigid analytic variety over K and $S \rightarrow X$ is a non-constant morphism, then the image of $S \rightarrow X$ lies in the complement of P . In particular, following the complex-analytic terminology [44, §3.2], one could say that X is “hyperbolic modulo the complement of P ”.

2.3. Descending hyperbolicity along finite étale covers. If $X \rightarrow Y$ is a finite étale morphism of complex algebraic varieties and X is Brody hyperbolic (resp. Kobayashi hyperbolic), then Y is Brody hyperbolic (resp. Kobayashi hyperbolic); see for instance [44, Theorem 3.2.8.(2)]. We now show the analogue of the latter statement for K -analytically Brody hyperbolic varieties.

Proposition 2.13. *Let $X \rightarrow Y$ be a finite étale morphism of adic spaces over K . If X is K -analytically Brody hyperbolic, then Y is K -analytically Brody hyperbolic.*

Proof. Let G be a finite type connected group scheme over K , and let $\varphi : G^{\mathrm{an}} \rightarrow Y^{\mathrm{an}}$ be a morphism. Consider the Cartesian diagram

$$\begin{array}{ccc} V'' & \longrightarrow & X^{\mathrm{an}} \\ \downarrow & & \downarrow \\ G^{\mathrm{an}} & \xrightarrow{\varphi} & Y^{\mathrm{an}} \end{array}$$

Let V' be a connected component of V'' , and note that $V' \rightarrow G^{\mathrm{an}}$ is finite étale. Let $V \rightarrow V' \rightarrow G^{\mathrm{an}}$ be its Galois closure, so that $V \rightarrow G^{\mathrm{an}}$ is finite étale Galois. We claim that there is a finite type group scheme H over K and a central isogeny $H \rightarrow G$ such that the associated morphism $H^{\mathrm{an}} \rightarrow G^{\mathrm{an}}$ is isomorphic to $V \rightarrow G^{\mathrm{an}}$. To prove this, note that, by the non-archimedean analogue of Riemann’s existence theorem, the finite étale morphism $V \rightarrow G^{\mathrm{an}}$ algebraizes; see [53, Theorem. 3.1]. Since K is an algebraically closed field of characteristic zero and $H \rightarrow G$ is finite étale Galois,

there is a group structure on the connected K -scheme H such that $H \rightarrow G$ is a homomorphism; see [13]. This proves the claim.

Since X is K -analytically Brody hyperbolic, the induced morphism $H^{\text{an}} \rightarrow X^{\text{an}}$ is constant. It follows readily that the morphism $\varphi : G^{\text{an}} \rightarrow Y^{\text{an}}$ is constant. \square

2.4. Testing hyperbolicity on algebraic groups with good reduction. The main result of this section (Theorem 2.18) shows that the hyperbolicity of a variety over K can be tested on *analytic* maps from \mathbb{G}_m and *algebraic* maps from abelian varieties with good reduction. Our proof uses the (algebraic) classification theory of algebraic groups, GAGA for rigid analytic varieties, and a uniformization theorem of Bosch–Lütkebohmert; we note that Cherry also used this uniformization theorem to prove the non-archimedean version of Bloch’s conjecture (Theorem 1.4). We start with two preliminary lemmas.

Lemma 2.14. *Let X be an adic space over K . The following are equivalent.*

- (1) *The adic space X is K -analytically Brody hyperbolic.*
- (2) *For every abelian variety A over K , every morphism $A^{\text{an}} \rightarrow X^{\text{an}}$ is constant, and every morphism $\mathbb{G}_m^{\text{an}} \rightarrow X^{\text{an}}$ is constant.*

Proof. We follow the proof of [39, Lemma 2.4]. It suffices to show that (2) \implies (1). Since K is of characteristic zero, a finite type connected group scheme over K is smooth, integral and quasi-projective over K [63, Tag 0BF6]. In particular, by Chevalley’s theorem on algebraic groups [20], there is a unique affine normal subgroup scheme H of G such that G/H is an abelian variety over K . Since H is a smooth connected affine algebraic group, every two points lie on the image of a morphism of K -schemes $\mathbb{A}_K^1 \setminus \{0\} \rightarrow H$. Thus, as every morphism $\mathbb{G}_{m,K}^{\text{an}} \rightarrow X$ is constant (by (2)), we see that every morphism $H^{\text{an}} \rightarrow X$ is constant. In particular, every morphism $G^{\text{an}} \rightarrow X$ factors via a morphism $(G/H)^{\text{an}} \rightarrow X$. However, since G/H is an abelian variety, we conclude that $(G/H)^{\text{an}} \rightarrow X$ is constant (by (2)). This concludes the proof. \square

Lemma 2.15. *If X is a finite type separated scheme over K and A is an abelian variety over K , then every morphism $\varphi : A^{\text{an}} \rightarrow X^{\text{an}}$ is algebraic.*

Proof. We first use Nagata’s theorem to compactify X . Thus, let \overline{X} be a proper scheme over K and let $X \subset \overline{X}$ be an open immersion. Now, by GAGA for proper schemes over K [21, 45], the composed morphism $A^{\text{an}} \rightarrow X^{\text{an}} \rightarrow \overline{X}^{\text{an}}$ algebraizes. In particular, the morphism φ algebraizes. \square

We now show that K -analytic Brody hyperbolicity can be tested on analytic maps from \mathbb{G}_m^{an} and algebraic maps from abelian varieties.

Proposition 2.16. *Let X be a finite type separated scheme over K . The following are equivalent.*

- (1) *X is K -analytically Brody hyperbolic.*
- (2) *For every abelian variety A over K , every morphism $A \rightarrow X$ of schemes over K is constant, and X is K -analytically pure (Definition 2.7).*

Proof. Assume (2) holds. In order to prove that X^{an} is K -analytically Brody hyperbolic, let $A^{\text{an}} \rightarrow X^{\text{an}}$ be a morphism. By Lemma 2.15, this morphism is algebraic, and hence constant by (2). Therefore, by Lemma 2.14, as X is K -analytically pure, we conclude that X is K -analytically Brody. This shows that (2) \implies (1). The other implication is straightforward. \square

The uniformization theorem of Bosch–Lütkebohmert that we require reads as follows.

Theorem 2.17 (Bosch–Lütkebohmert). *Let K be a complete non-archimedean algebraically closed field. Let A be an abelian variety over K . Then the following data exists.*

- (1) *A semi-abelian variety G over K ;*
- (2) *An abelian variety B over K with good reduction over \mathcal{O}_K ;*
- (3) *A surjective morphism of K -group schemes $G \rightarrow B$ whose kernel is a torus $\mathbb{G}_{m,K}^{\dim G - \dim B}$, and*
- (4) *A topological covering $G^{\text{an}} \rightarrow A^{\text{an}}$.*

Proof. See [12, Theorem. 8.8 and Remark. 8.9]. \square

Recall that an abelian variety A over K has good reduction (over \mathcal{O}_K) if there is an abelian scheme \mathcal{A} over \mathcal{O}_K and an isomorphism of schemes $\mathcal{A}_K \cong A$ over K . The following result says, roughly speaking, that one can test the K -analytic hyperbolicity of a variety over K on analytic tori and on abelian varieties with good reduction.

Theorem 2.18. *Let X be a finite type separated scheme over K . Then the following are equivalent.*

- (1) *X is K -analytically Brody hyperbolic.*
- (2) *Every morphism $\mathbb{G}_{m,K}^{\text{an}} \rightarrow X$ is constant and, for every abelian variety B over K with good reduction over \mathcal{O}_K , every morphism $B \rightarrow X$ is constant.*

Proof. Clearly, (1) \implies (2). To prove the theorem, assume that (2) holds. In particular, X is K -analytically pure. Let A be an abelian variety over K and let $A^{\text{an}} \rightarrow X_K^{\text{an}}$ be a morphism. By Lemma 2.14, it suffices to show that this morphism is constant.

By Theorem 2.17, there is a semi-abelian variety G over K , an abelian variety B over K with good reduction over \mathcal{O}_K , a surjective homomorphism $G \rightarrow B$ whose kernel T is a torus, and a topological covering $G^{\text{an}} \rightarrow A^{\text{an}}$.

Since every morphism $\mathbb{G}_m^{\text{an}} \rightarrow X_K^{\text{an}}$ is constant, the composed morphism

$$T^{\text{an}} \subset G^{\text{an}} \rightarrow A^{\text{an}} \rightarrow X_K^{\text{an}}$$

is constant. Therefore, the composed morphism $G^{\text{an}} \rightarrow A^{\text{an}} \rightarrow X_K^{\text{an}}$ factors over a morphism $B^{\text{an}} = G^{\text{an}}/T^{\text{an}} \rightarrow X_K^{\text{an}}$. By GAGA (Lemma 2.15), the morphism $B^{\text{an}} \rightarrow X^{\text{an}}$ is the analytification of a morphism $B \rightarrow X$. By assumption (2), this morphism $B \rightarrow X$ is constant. We conclude that $B^{\text{an}} \rightarrow X^{\text{an}}$ and thus $A^{\text{an}} \rightarrow X^{\text{an}}$ is constant, as required. \square

We stress that Theorem 2.18 will be used to show that the generic fiber of a proper scheme over \mathcal{O}_K “inherits” K -analytic Brody hyperbolicity from the “grouplessness” of its special fiber (Theorem 3.13).

2.5. Inheriting hyperbolicity from the special fiber. Given a proper finitely presented scheme X over \mathcal{O}_K , the “hyperbolicity” of its special fiber forces the “hyperbolicity” of its generic fiber. We will make this more precise in the next section (see Theorem 3.13). In this section, we prove some preliminary results necessary to prove the latter result.

We start with a criterion for a map of topological spaces to be constant. We recall first some definitions about spectral spaces; see [34] or [63, Section 08YF].

Definition 2.19. A topological space X is *spectral* if X is quasi-compact, if X has a basis of quasi-compact open subsets which is stable under finite intersections, and if every irreducible closed subset of X is the closure of a unique point.

We recall that examples of spectral spaces are given by quasi-compact quasi-separated schemes and quasi-compact quasi-separated adic spaces over K [37, Lemma 1.3.12]. We will apply the next lemma to a situation where both these types of spaces appear.

Lemma 2.20. *Let $f: X \rightarrow Y$ be a quasi-compact continuous map between spectral spaces, with X connected and Y noetherian. Let N be the set of non-closed points in the image. If N is empty, then f is constant. Otherwise, the image of f is included in the closure of N .*

Proof. Let Z be the closure of N and suppose that there is a (closed) point y in the image of f which does not lie in Z . The set $U := f^{-1}(Y \setminus \{y\})$ is open and quasi-compact. Let $x' \in U$ be a point and let x be a point in the closure of x' , so that $f(x)$ is in the closure of $f(x')$. If $f(x')$ lies in Z , then $f(x)$ also lies in Z . Otherwise, the point $f(x')$ is closed, so that $f(x) = f(x')$. In both cases, we deduce that x' lies in U . Therefore U is stable under specializations, and is therefore closed [63, Tag 0903]. As X is connected, we conclude that U is empty, as desired. \square

Corollary 2.21. *Let \mathfrak{X} be a quasi-compact separated formal scheme over \mathcal{O}_K which is topologically of finite presentation, and let $\pi: \mathfrak{X}_\eta \rightarrow \mathfrak{X}_k$ be the specialization map. Let S be a connected finite type separated scheme over K . A map of rigid analytic varieties $f: S^{\text{an}} \rightarrow \mathfrak{X}_\eta$ is constant if and only if every point in the image of the composite continuous map $\pi \circ f$ is closed.*

Proof. Suppose that every point in the image $\pi \circ f$ is closed. We claim that the composite map $\pi \circ f$ is constant. To prove this, we can restrict S^{an} to some quasi-compact connected open subset T . Since the specialization map is quasi-compact [8, (0.2.2)-(0.2.3)] and f is quasi-compact, the composite $\pi \circ f: T \rightarrow \mathfrak{X}_\sigma$ is a quasi-compact continuous map of spectral spaces with a noetherian target. The claim that $\pi \circ f$ is constant now follows from the previous lemma.

We conclude that there is a point x in \mathfrak{X}_σ such that f factors over the set $\pi^{-1}(x)$. Note that $\pi^{-1}(x)$ is included in $\mathfrak{U}_\eta = \pi^{-1}(\mathfrak{U}_\sigma)$ for some open affine subscheme \mathfrak{U} of

\mathfrak{X} . Since \mathfrak{U}_η is affinoid [8, (0.2.2.1)], the corollary follows from the hyperbolicity of affinoids (Proposition 2.8). \square

We recall that whenever one considers a formal scheme \mathfrak{X} over \mathcal{O}_K which is obtained as the formal completion of a proper finitely presented scheme X over \mathcal{O}_K , its generic fiber \mathfrak{X}_η coincides with the K -analytic space associated to X_K [8, Proposition (0.3.5)].

We now investigate the “generic” properties of a proper scheme over \mathcal{O}_K whose special fiber does not contain any curves of genus at most g . To make this more precise, we start with two definitions.

Definition 2.22. If X is a proper scheme over a field k , then we say that X has no curves of genus at most g if, for every smooth projective geometrically connected curve C over k of genus $\leq g$, every morphism $C \rightarrow X$ is constant.

Definition 2.23. If X is a rigid analytic variety over K , we say that X has no K -analytic curves of genus at most g if, for every smooth projective geometrically connected curve C of genus $\leq g$ and every dense open subscheme $\tilde{C} \subset C$, every morphism $\tilde{C}^{\text{an}} \rightarrow X$ is constant.

If X is a rigid analytic variety over K with no K -analytic curves of genus zero, then X is K -analytically pure (Definition 2.7).

The following results are inspired by (and generalize) [17, Lemmas 2.12-2.13]. The proof in *loc. cit.* uses the Berkovich topology.

Proposition 2.24. *Let X be a proper scheme over \mathcal{O}_K such that X_k has no curves of genus at most g . Let C be a smooth projective connected curve of genus at most g over K and let $U \subset C^{\text{an}}$ be a connected open analytic subvariety of C^{an} . If $f: U \rightarrow X_K^{\text{an}}$ is a K -analytic map, then the composite map of topological spaces $U \rightarrow X_K^{\text{an}} \rightarrow X_k$ is constant.*

Proof. By Lemma 2.20, it suffices to show that every point in the image of $C^{\text{an}} \rightarrow X_k$ is closed.

Let \tilde{x} be a non-closed point of X_k with local field $\kappa(\tilde{x})$. Suppose that there is a point c in C^{an} with $\pi \circ f(c) = \tilde{x}$. Define $x := \overline{f(c)}$. Let $\widetilde{\kappa(c)}$ [resp. $\kappa(x)$] be the valuation field associated to c [resp. x] and let $\widetilde{\kappa(c)}$ [resp. $\kappa(x)$] be its residue field. Then we have an inclusion of fields

$$\kappa(\tilde{x}) \subseteq \widetilde{\kappa(x)} \subseteq \widetilde{\kappa(c)}.$$

Since $c \in C^{\text{an}}$, we have that $\widetilde{\kappa(c)} = k$ or $\widetilde{\kappa(c)}$ is the function field of smooth projective connected curve over k of genus at most g [6, Remark 4.18]. Since \tilde{x} is a non-closed point of X_k and X_k is a finite type k -scheme, we have that $\kappa(\tilde{x}) \neq k$. Thus, the curve $C_{\tilde{x}} \subset X_k$ given by the closure of \tilde{x} in X_k is dominated by a curve of genus at most g . This contradicts the fact that X_k admits no curves of genus at most g . Thus, the point \tilde{x} is not contained in the image of $\pi \circ f: C^{\text{an}} \rightarrow X_k$, i.e., every point in the image is closed. \square

Corollary 2.25. *Let X be a proper scheme over \mathcal{O}_K such that X_k has no smooth projective connected curves of genus at most g . Then X_K^{an} has no K -analytic curves of genus at most g .*

Proof. Combine Corollary 2.21 and Proposition 2.24. □

3. GROUPLESSNESS, PURITY, AND THEIR RELATION WITH NON-ARCHIMEDEAN HYPERBOLICITY

In this section we investigate some notions of hyperbolicity for algebraic varieties. In particular, we verify some predictions made by Green–Griffiths–Lang’s conjecture. To prove our results, we will combine the non-archimedean results with the uniformization theorem of Bosch–Lütkebohmert [12]. To simplify some of our proofs and statements, we introduce some terminology.

Definition 3.1. Let k be an algebraically closed field. Let X be a finite type scheme over k .

- (1) We say X is *groupless over k* if, for any finite type connected group scheme G over k , every morphism $G \rightarrow X$ is constant.
- (2) We say X is *pure over k* if, for every normal variety T over k and every dense open $U \subset T$ with $\text{codim}(T \setminus U) \geq 2$, we have that every morphism $U \rightarrow X$ extends (uniquely) to a morphism $T \rightarrow X_k$.

Note that Kovács [46], Kobayashi [44, Remark 3.2.24] and Hu–Meng–Zhang [35] refer to groupless varieties (over \mathbb{C}) as being “algebraically hyperbolic” or “algebraically Lang hyperbolic” or “algebraically Brody hyperbolic”. We avoid this terminology, as “algebraic hyperbolicity” more commonly refers to a notion introduced by Demailly [10, 25, 39].

Note that Proposition 2.16 says that the non-archimedean analogue (Conjecture 1.1) of the Green–Griffiths–Lang conjecture boils down to showing the non-existence of “analytic” tori mapping to a groupless variety. Thus, we see that Conjecture 1.1 is equivalent to the following conjecture.

Conjecture 3.2 (Non-archimedean Green–Griffiths–Lang Conjecture). *Let X be a projective variety over K . If X is groupless over K , then X is K -analytically pure over K .*

Needless to stress, a smooth projective K -analytically pure variety is not necessarily K -analytically Brody hyperbolic. Indeed, a smooth proper connected genus one curve over K with good reduction over \mathcal{O}_K is K -analytically pure [16, Theorem 3.6], but (clearly) not groupless (and therefore not K -analytically Brody hyperbolic).

3.1. Properties of groupless and pure varieties. Groupless and pure varieties are studied systematically in [39]. Some of the properties of groupless varieties we need in this paper are summarized in the following two remarks.

Remark 3.3. If k is an algebraically closed field of characteristic zero and X is a proper scheme over k , then X is groupless (over k) if and only if for every abelian

variety A over k every morphism $A \rightarrow X$ is constant [39, Lemma 2.5]. Moreover, a proper scheme X over k is pure if and only if it has no rational curves, i.e., every morphism $\mathbb{P}_k^1 \rightarrow X$ is constant [39, Lemma 3.5]. In other words, a proper scheme over k is pure if and only if it contains no curves of genus at most zero in the sense of Definition 2.22. In particular, if X is a proper groupless variety over k , then X is pure over k . The latter statement also follows from [30, Proposition 6.2].

Remark 3.4. Let k be an algebraically closed field of characteristic zero, and let $k \subset L$ be an algebraically closed field extension. Let X be a pure (resp. groupless) variety over k . Then X_L is pure (resp. groupless) over L . This is proven in [39].

Definition 3.5. Let k be a field and let X be a finite type scheme over k . We say that X is *groupless over k* (respectively *pure over k*) if there is an algebraically closed field extension $k \subset \Omega$ such that X_Ω is groupless over Ω (respectively pure over Ω). In this case, X is groupless over any field extension of k . This is a well-defined property of X over k by Remark 3.4.

We will need the following application of Zarhin’s trick for abelian varieties.

Lemma 3.6. *Let X be a proper scheme over k . Then X is groupless over k if and only if, for every principally polarizable abelian variety B over k , every morphism $B \rightarrow X$ is constant.*

Proof. Let A be an abelian variety over k , and let $A \rightarrow X$ be a morphism. To prove the lemma, as X is proper over k , it suffices to show that $A \rightarrow X$ is constant (Remark 3.3). To do so, let $B := A^4 \times A^{\vee,4}$, where A^\vee is the dual abelian variety of A . Note that B is principally polarizable by Zarhin’s trick [69]. Therefore, by assumption, the composed morphism $B \rightarrow A \rightarrow X$ is constant, where $B \rightarrow A$ is the projection onto the first coordinate. Since $B \rightarrow A$ is surjective and $B \rightarrow A \rightarrow X$ is constant, we conclude that $A \rightarrow X$ is constant, as required. \square

3.2. Hyperbolicity of the special fiber and the analytic generic fiber. Given a proper finitely presented scheme over \mathcal{O}_K , we now relate the non-archimedean hyperbolicity of its generic fiber to the “algebraic” hyperbolicity of its special fiber. We begin with the following immediate consequence of Corollary 2.25.

Proposition 3.7. *Let X be a proper finitely presented scheme over \mathcal{O}_K with a pure special fiber over k . Then, for any rational curve C , every morphism $C^{\text{an}} \rightarrow X_K^{\text{an}}$ is constant. In particular, X_K is K -analytically pure.*

Proof. Since the special fiber of $X \rightarrow \text{Spec } \mathcal{O}_K$ is pure and proper, it has no rational curves (Remark 3.3). Thus, the special fiber has no curves of genus at most zero. Therefore, by Corollary 2.25, the generic fiber X_K has no K -analytic curves of genus at most zero. This concludes the proof. \square

We now prove that purity of one fiber in a family of varieties is inherited by any fiber that specializes to it.

Corollary 3.8. *Let $X \rightarrow S$ be a proper morphism of schemes. with S an integral regular noetherian local scheme. Let s be the unique closed point of S , and let $s' \in S$ be a point. If X_s is pure over $k(s)$, then $X_{s'}$ is pure over $k(s')$.*

Proof. Write $S = \text{Spec } A$. If $\dim S = 0$, then the statement is clear. Thus, we may and do assume that $d := \dim S \geq 1$. We now proceed by induction on d .

If $d = 1$, we may and do assume that s' is the generic point of S . Let K be a complete algebraic closure of $\text{Frac}(A)$ and note that K is naturally endowed a valuation. Let \mathcal{O}_K be the associated valuation ring and write $T := \text{Spec } \mathcal{O}_K$. Note that $X_T \rightarrow T$ is a proper morphism and that its special fiber is pure. By Proposition 3.7, it follows that X_K is K -analytically Brody hyperbolic, so that $X_{s'}$ is pure. This proves the statement when $d = 1$.

Assume that $d > 1$. Let (x_1, \dots, x_d) be a minimal set of generators for the maximal ideal of A . Note that (x_1, \dots, x_d) is a regular sequence and that $B := A/(x_1)$ is a regular ring of dimension $d - 1$; see [63, Tag 00NQ]. Now, as the special fiber of $X_B \rightarrow \text{Spec } B$ is isomorphic to the special fiber of $X \rightarrow S$, it follows from the induction hypothesis that every fiber of $X_B \rightarrow B$ is pure. Note that $\text{Spec } B \subset S$ is a closed subscheme. If $s' \in \text{Spec } B$, then we are done. Otherwise, we have that $s' \in \text{Spec } A_{x_1}$. Note that the special fiber of $X_{A_{x_1}} \rightarrow \text{Spec } A_{x_1}$ is the generic fiber of $X_B \rightarrow \text{Spec } B$, and is thus pure. Therefore, since $\dim A_{x_1} < d$, by applying the induction hypothesis to $X_{A_{x_1}} \rightarrow \text{Spec } A_{x_1}$, we conclude that $X_{s'}$ is pure. \square

Similarly to Proposition 3.7, the main result of this section (Theorem 3.13) says that the grouplessness of the special fiber implies the analytic hyperbolicity of the generic fiber. To prove our main result, we will use Theorem 2.18 and the following ‘‘algebraic’’ lemmas. These lemmas will help us reduce to the case of ‘‘good reduction’’ in the proof of our main result (Theorem 3.13).

Lemma 3.9. *Let S be an integral regular noetherian scheme. Let $X \rightarrow S$ be a proper morphism of schemes. Assume that the geometric fibers of $X \rightarrow S$ contain no rational curves. Let Z be an integral smooth finite type scheme over S , and let $U \subset Z$ be a dense open. Then, every S -morphism $U \rightarrow X$ extends to a morphism $Z \rightarrow X$.*

Proof. Let K be the function field of Z . The morphism $U \rightarrow X$ induces a K -section of the morphism $X \times_S Z \rightarrow Z$. For any geometric point z in Z , its fiber $X_z \otimes k(z)$ contains no rational curves. Therefore, by [30, Proposition 6.2], the morphism $X \times_S Z \rightarrow Z$ has a section which is compatible with the given morphism $U \rightarrow X$. \square

Lemma 3.10. *Let S be an integral regular noetherian scheme with $K = K(S)$. Let $\mathcal{B} \rightarrow S$ be an abelian scheme and let $X \rightarrow S$ be a proper morphism whose geometric fibers are pure. Let $\mathcal{B}_K \rightarrow X_K$ be a non-constant morphism. Then φ extends uniquely to a morphism $\mathcal{B} \rightarrow X$.*

Proof. Since $X \rightarrow S$ is proper, by the valuative criterion of properness, there is a dense open $U \subset \mathcal{B}$ whose complement is of codimension at least two and a morphism

$U \rightarrow X$ which extends the morphism $\mathcal{B}_K \rightarrow X_K$. By Lemma 3.9, the morphism $U \rightarrow X$ extends to a morphism $\mathcal{B} \rightarrow X$. \square

Lemma 3.11. *Let \mathcal{O} be a valuation ring with algebraically closed fraction field K and let $S = \text{Spec } \mathcal{O}$. Let $X \rightarrow S$ be a finitely presented morphism of schemes. Then there is an integral regular finite type scheme T over \mathbb{Z} , a dominant morphism $S \rightarrow T$, and a finitely presented morphism $\mathcal{X} \rightarrow T$ with $\mathcal{X} \times_T S \cong X$ over S .*

Proof. Since $X \rightarrow S$ is finitely presented, we may descend $X \rightarrow S$ to a morphism of finite type schemes over \mathbb{Z} . Thus, let T_1 be an integral finite type \mathbb{Z} -scheme, let $\mathcal{X}_1 \rightarrow T_1$ be a finitely presented morphism, let $S \rightarrow T_1$ be a dominant morphism, and let $\mathcal{X}_1 \times_{T_1} S \cong X$ be an isomorphism of schemes over S . Now, we use an alteration to “resolve” the singularities of T_1 ; see [24, Theorem 8.2]. Thus, let $T \rightarrow T_1$ be proper surjective generically finite morphism with T an integral regular finite type scheme over \mathbb{Z} . Since K is algebraically closed, the point $\text{Spec } K \rightarrow S \rightarrow T_1$ factors over $T \rightarrow T_1$. Then, since \mathcal{O} is a valuation ring, it follows from the valuative criterion of properness [63, Tag 0A40] that the dominant morphism $S \rightarrow T_1$ factors over $T \rightarrow T_1$. Let $\mathcal{X} := \mathcal{X}_1 \times_{T_1} T$. Then, $\mathcal{X} \rightarrow T$ is a finitely presented morphism over an integral regular finite type scheme T over \mathbb{Z} with $\mathcal{X} \times_T S \cong X$ over S , as required. \square

Lemma 3.12. *Let \mathcal{O} be a valuation ring with algebraically closed fraction field K and let $S = \text{Spec } \mathcal{O}$. Let $B \rightarrow S$ be an abelian scheme and let $X \rightarrow S$ be a proper morphism whose geometric fibers are pure. Let $B_K \rightarrow X_K$ be a non-constant morphism. Then φ extends uniquely to a morphism $B \rightarrow X$. The morphism $\mathcal{B}_K \rightarrow X_K$ is constant if and only if $\mathcal{B}_k \rightarrow X_k$ is constant.*

Proof. We first descend “everything” to an integral regular noetherian base T using Lemma 3.11. Thus, let T be an integral regular finite type affine \mathbb{Z} -scheme, let $S \rightarrow T$ be a dominant morphism, let $\mathcal{X} \rightarrow T$ be a proper finitely presented morphism with $\mathcal{X}_S \cong X$ over S , let $\mathcal{B} \rightarrow T$ be an abelian scheme with $\mathcal{B}_S \cong B$ over S , and let $\mathcal{B}_{K(T)} \rightarrow \mathcal{X}_{K(T)}$ be a morphism which agrees with $B_K \rightarrow X_K$ after base-change along $K \subset K(T)$.

Let $t \in T$ be the image of the (unique) closed points of S in T . Define $T' = \text{Spec } \mathcal{O}_{T,t}$ to be the spectrum of the local ring at t . Note that T is an integral regular noetherian scheme, and that the morphism $S \rightarrow T$ factors over the natural morphism $T' \rightarrow T$. Indeed, write $T = \text{Spec } A$ and let $\mathfrak{p} = \varphi^* \pi$, where $\pi \subset \mathcal{O}$ is the unique maximal ideal of \mathcal{O} and $\varphi : A \rightarrow \mathcal{O}$ is the (injective) morphism associated to $S \rightarrow T$. The morphism $\varphi : A \rightarrow \mathcal{O}$ induces a morphism $A_{\mathfrak{p}} \rightarrow K$. However, the image of this morphism is contained inside \mathcal{O} . Indeed, the ring $A_{\mathfrak{p}}$ is mapped to $\mathcal{O}_{\mathfrak{m}}$, but the latter equals \mathcal{O} .

Note that the geometric fibers of $X \rightarrow S$ are pure (by assumption). Therefore, the fiber of $\mathcal{X} \rightarrow T'$ over the (unique) closed point is pure. Thus, by Corollary 3.8, every geometric fiber of $\mathcal{X} \rightarrow T'$ is pure. Therefore, by Lemma 3.10, the morphism $\mathcal{B}_K \rightarrow \mathcal{X}_K$ extends uniquely to a morphism $\mathcal{B}_{T'} \rightarrow \mathcal{X}_{T'}$ over T' . The morphism $B = \mathcal{B}_S := \mathcal{B} \times_{T'} S \rightarrow \mathcal{X}_S = X$ clearly extends the morphism $B_K \rightarrow X_K$. This concludes the proof of the first statement of the lemma.

To prove the second statement, let $Y \subset X$ be the reduced scheme-theoretic image of the morphism $\mathcal{B} \rightarrow X$. Note that Y is a closed subscheme of X . Since $\mathcal{B} \rightarrow S$ is an abelian scheme over S , the morphism $\mathcal{B} \rightarrow S$ is surjective. Therefore, the morphism $Y \rightarrow S$ is surjective. The fibers of $Y \rightarrow S$ are of the same dimension [63, Tag 00QK]. This concludes the proof. \square

Theorem 3.13. *Let X be a proper finitely presented scheme over $\text{Spec } \mathcal{O}_K$. If the special fiber X_k is groupless, then the generic fiber X_K is K -analytically Brody hyperbolic.*

Proof. Since the special fiber is groupless and proper over k , it is pure over k (Remark 3.3). Therefore, by Proposition 3.7, the variety X_K is K -analytically pure. To conclude the proof, let B be an abelian variety over K with good reduction over \mathcal{O}_K and let $B \rightarrow X$ be a morphism. To prove the theorem, as we can test hyperbolicity on algebraic groups with good reduction (Theorem 2.18), it suffices to show that this morphism is constant.

Let $S = \text{Spec } \mathcal{O}_K$ and let $\mathcal{B} \rightarrow S$ be an abelian scheme over S with $\mathcal{B}_K \cong B$. Since the special fiber of X is pure, the first part of Lemma 3.12 implies that the morphism $B \rightarrow X$ extends to a morphism $\mathcal{B} \rightarrow X$. Since the special fiber X_k is groupless, the induced morphism $\mathcal{B}_k \rightarrow X_k$ is constant. Therefore, by the second part of Lemma 3.12, the morphism $B \rightarrow X_K$ is constant, as required. \square

Proof of Theorem 1.3. This is Theorem 3.13. \square

3.3. Evidence for the non-archimedean Green–Griffiths–Lang conjecture.

As we have already mentioned in the introduction, in light of the Green–Griffiths–Lang conjecture, it seems reasonable to suspect that a projective groupless variety over K is K -analytically Brody hyperbolic; see Conjecture 1.1. In this direction, we first prove the following result for “constant” varieties.

Corollary 3.14. *Let X be a proper scheme over an algebraically closed field k . Fix a complete algebraically closed non-archimedean valued field K endowed with a section $k \hookrightarrow \mathcal{O}_K$ of the quotient map.*

- (1) *X is pure over k if and only if X_K^{an} is K -analytically pure.*
- (2) *X is groupless over k if and only if X_K^{an} is K -analytically Brody hyperbolic.*

Proof. If X_k is pure (respectively groupless) then X_K^{an} is K -analytically pure (respectively K -analytically Brody hyperbolic) by Proposition 3.7 (respectively Theorem 3.13). Conversely, a non-constant map $A_k \rightarrow X_k$ from an abelian variety A over k induces a non-constant map $A_K \rightarrow X_K$. \square

Proof of Theorem 1.2. This follows from the second part of Corollary 3.14. \square

Cherry’s theorem (Theorem 1.4) says that a closed groupless subvariety of an abelian variety over K is K -analytically Brody hyperbolic, and thereby confirms that the non-archimedean Green–Griffiths–Lang conjecture holds for closed subvarieties of abelian varieties. We now prove the following two consequences of Cherry’s work.

Proposition 3.15. *Let X be a quasi-projective integral curve over K . Then X is groupless if and only if X is K -analytically Brody hyperbolic.*

Proof. Assume X is groupless. Since X is a curve, to prove that X is K -analytically Brody hyperbolic, we may and do assume that X is smooth. Then, as X is a groupless smooth quasi-projective curve over K , there is a finite étale cover $Y \rightarrow X$ of X such that the smooth projective model \bar{Y} of Y is of genus at least two. In particular, \bar{Y} is K -analytically Brody hyperbolic (Theorem 1.4). Therefore, the open subset Y of \bar{Y} is K -analytically Brody hyperbolic. Since $Y \rightarrow X$ is finite étale and hyperbolicity descends along finite étale maps (Proposition 2.13), we conclude that X is K -analytically Brody hyperbolic, as required. \square

Following “standard” arguments (see for instance [65, 49]), we now prove the non-archimedean version of the Green–Griffiths–Lang conjecture for symmetric powers of smooth projective curves.

Proposition 3.16 (Non-archimedean Green–Griffiths–Lang conjecture for symmetric powers of projective curves). *Let $d \geq 1$ be an integer, and let X be a smooth projective integral curve over K . Then Sym_X^d is groupless if and only if Sym_X^d is K -analytically Brody hyperbolic.*

Proof. We assume that Sym_X^d is groupless (the other implication is obvious). Let J be the Jacobian of X over K . Fix a point P in $X(K)$. Consider the morphism $\text{Sym}_X^d \rightarrow J$ given by $[x_1, \dots, x_d] \mapsto x_1 + \dots + x_d - dP$ in J . The fibers of this morphism are projective spaces [63, Tag 0CCT]. Thus, since Sym_X^d is groupless, this morphism is injective. Let W^d be its image in J , and note that the subvariety $W^d \subset J$ is isomorphic to Sym_X^d . In particular, the closed subvariety W^d of J is a groupless closed subvariety. Therefore, by Cherry’s theorem (Theorem 1.4), we conclude that W^d is K -analytically Brody hyperbolic, so that Sym_X^d is K -analytically Brody hyperbolic, as required. \square

Remark 3.17. Let A be a simple abelian surface over K with good reduction over \mathcal{O}_K . Let $X := A \setminus \{0\}$. Since X is simple, we see that X is groupless. Interestingly, if $K = \mathbb{C}$, then the variety X is not Brody hyperbolic. However, if K is non-archimedean, the groupless variety X is K -analytically Brody hyperbolic. Indeed, since A has good reduction, any morphism $\mathbb{G}_m^{\text{an}} \rightarrow A \setminus \{0\} \subset A$ is constant [16, Theorem 3.6], so that X is K -analytically Brody hyperbolic by Proposition 2.16.

3.4. Application to the moduli space of abelian varieties. For an integer $N \geq 3$ and integer $g \geq 1$, let $\mathcal{A}_g^{[N]}$ be the fine moduli space of principally polarized abelian varieties over $\mathbb{Z}[1/N]$ with full level N -structure. Note that $\mathcal{A}_g^{[N]}$ is a smooth quasi-projective (non-proper) scheme over $\mathbb{Z}[1/N]$; see [55]. We let $\mathcal{A}_{g,K}^{[N],*}$ be the Satake-Baily-Borel compactification of X over K .

We will show that in the equicharacteristic zero case, the smooth quasi-projective scheme $\mathcal{A}_{g,K}^{[N]}$ is K -analytically Brody hyperbolic; see Corollary 3.20. To do so, we will combine Nadel’s theorem (over the complex numbers) with the results proven

in this paper. In fact, our proof of the K -analytic hyperbolicity of $\mathcal{A}_{g,K}^{[N]}$ given below is modeled on the proof of Proposition 3.15.

Theorem 3.18 (Nadel). *For every integer g , there is an integer $\ell > 3$ such that $\mathcal{A}_{g,\mathbb{C}}^{[\ell]*}$ is Brody hyperbolic (and groupless over \mathbb{C}).*

Proof. We refer the reader to Nadel’s paper [56] for the first statement. The fact that Brody hyperbolic varieties over \mathbb{C} are groupless over \mathbb{C} is well-known. This proves the theorem. \square

Theorem 3.19. *Fix a complete algebraically closed non-archimedean valued field K of characteristic zero endowed with a section $k \hookrightarrow \mathcal{O}_K$ of the quotient map. For every integer g , there is an integer ℓ such that $\mathcal{A}_{g,K}^{[\ell]*}$ is K -analytically Brody hyperbolic.*

Proof. We first apply Nadel’s theorem (Theorem 3.18). Thus, let $N > 3$ be an integer such that $\mathcal{A}_{g,\mathbb{C}}^{[N]*}$ is groupless over \mathbb{C} . In particular, by the Lefschetz principle, the proper scheme $\mathcal{A}_{g,k}^{[N]*}$ is groupless over k . Therefore, as \mathcal{O}_K is endowed with a section $k \hookrightarrow \mathcal{O}_K$ of the quotient map, it follows from Corollary 3.14 that $\mathcal{A}_{g,K}^{[N]*}$ is K -analytically Brody hyperbolic. \square

Corollary 3.20. *Fix a complete algebraically closed non-archimedean valued field K of characteristic zero endowed with a section $k \hookrightarrow \mathcal{O}_K$ of the quotient map. For every integer $N \geq 3$, and for every integer g , the quasi-projective scheme $\mathcal{A}_{g,K}^{[N]}$ is K -analytically Brody hyperbolic.*

Proof. By Theorem 3.19, there is an integer $\ell > 1$ such that $\mathcal{A}_{g,K}^{[N\ell]*}$ is K -analytically Brody hyperbolic. Since $\mathcal{A}_{g,K}^{[N\ell]}$ is an open subscheme of $\mathcal{A}_{g,K}^{[N\ell]*}$, it follows that $\mathcal{A}_{g,K}^{[N\ell]}$ is K -analytically Brody hyperbolic. Since $\mathcal{A}_{g,K}^{[N\ell]} \rightarrow \mathcal{A}_{g,K}^{[N]}$ is finite étale and K -analytic hyperbolicity descends along finite étale maps (Proposition 2.13), we conclude that $\mathcal{A}_{g,K}^{[N]}$ is K -analytically Brody hyperbolic. \square

3.5. Cherry’s semi-distance. In his thesis, Cherry introduces and studies a natural analogue of Kobayashi’s pseudometric in the non-archimedean setting. In this section we gather three new observations on Cherry’s semi-distance. The main result is that Cherry’s semi-distance can “only” detect rational curves for “constant” varieties (Theorem 3.24), and therefore fails to detect the hyperbolicity of the space in general. We refer to [66] for related results.

Definition 3.21. Let X be a rigid analytic variety over an algebraically closed complete valued field K .

- (1) Let $x, y \in X(K)$. A *Kobayashi chain joining x and y* is a finite sequence of analytic maps

$$f_j: \mathbb{B}^1 \rightarrow X \quad j = 1, \dots, n$$

and points $z_j, w_j \in \mathbb{B}^1(K)$ such that $f(z_1) = x$, $f_n(w_n) = y$ and $f_j(w_j) = f_{j+1}(z_{j+1})$.

(2) The *Cherry-Kobayashi semi-distance* on $X(K)$ is defined by

$$d_{CK}(x, y) = \inf \sum_{j=1}^n |z_j - w_j| \in \mathbb{R}_{\geq 0} \cup \{+\infty\}, \quad x, y \in X(K),$$

where the infimum is taken over all Kobayashi chains joining x and y .

(3) We will say that the Cherry-Kobayashi semi-distance d on $X(K)$ is a *distance* if, for all distinct x and y in $X(K)$, we have that $d(x, y) \neq 0$. (Even if d is a distance, $d(x, y)$ can nonetheless be infinite [17, §2].)

We start by showing that Cherry's semi-distance is a distance on an affinoid rigid analytic variety.

Proposition 3.22. *If X is an affinoid rigid analytic variety over K , then d is a distance on $X(K)$.*

Proof. Let X be $\text{Spa}(R, R^\circ)$ with R a Tate algebra $R = K\langle T_1, \dots, T_n \rangle / I$. We can embed X as a Zariski closed subvariety of \mathbb{B}^n . For any pair of points $x, y \in X(K)$ the set of Kobayashi chains joining them in X is smaller than the one in \mathbb{B}^n . It then suffices to show that the semi-distance on \mathbb{B}^n is a distance, which is done in [17, Example 2.9]. \square

We now prove a generalization of [17, Lemma 2.13].

Proposition 3.23. *Let X be a proper finitely presented scheme over \mathcal{O}_K . If the special fiber X_k is pure, then the semi-distance on $X_K^{\text{an}}(K)$ is a distance.*

Proof. As we showed in Section 2.5, by the purity of X_k , any analytic map $\mathbb{B}^1 \rightarrow X_K^{\text{an}}$ induces a constant map when composed with the specialization morphism $X_K^{\text{an}} \rightarrow X_k$. In particular, any Kobayashi chain is constant on the special fiber, and therefore factors over some open affinoid subvariety of X_K^{an} . The result then follows from the fact that Cherry's semi-distance on an affinoid is a distance (Proposition 3.22). \square

We now show that Cherry's semi-distance does not “see” the hyperbolicity of a space in general. That is, roughly speaking, the next theorem says that the Cherry-Kobayashi semi-distance on a constant proper variety over $k((t))$ is a distance if and only if the variety is pure. Therefore, this semi-distance is not enough to detect the hyperbolicity of a variety, as it only detects rational curves.

Theorem 3.24. *Let k be an algebraically closed field of characteristic zero and let X be a proper scheme over k . Fix a complete non-archimedean valued field K with residue field k endowed with a section $k \hookrightarrow \mathcal{O}_K$ of the quotient map. The following are equivalent.*

- (1) X is pure over k .
- (2) X_K^{an} is K -analytically pure.
- (3) For every dense open $C \subset \mathbb{P}_k^1$, every morphism of K -analytic spaces $C^{\text{an}} \rightarrow X_K^{\text{an}}$ is constant.
- (4) The semi-distance on $X_K^{\text{an}}(K)$ is a distance.

Proof. The equivalence of (1) and (2) follows from Corollary 3.14. The implication (1) \implies (3) follows from Corollary 2.25 (with $g = 0$), and the implication (3) \implies (2) is clear. Proposition 3.23 proves that (1) implies (4). Conversely, suppose there exists a non-constant map $\mathbb{P}^1 \rightarrow X$. Note that this morphism induces a non-constant map $\mathbb{A}_K^{1,\text{an}} \rightarrow X_K^{\text{an}}$. Therefore, the semi-distance on $X_K^{\text{an}}(K)$ is not a distance by [17, Corollary 2.7]. This concludes the proof. \square

4. GENERIZING AND SPECIALIZATION OF GROUPLINESS

Let k be an algebraically closed field of characteristic zero.

Remark 4.1. Let us briefly say that a projective variety over k is *Kodaira-hyperbolic* if every integral subvariety of X is of general type. It is not hard to show that Kodaira-hyperbolicity “generizes”. To be more precise, let S be a smooth integral curve over k , and let $X \rightarrow S$ be a projective family of varieties over k . Assume that there is an s in $S(k)$ such that X_s is Kodaira-hyperbolic. Then, the generic fiber $X_{K(S)}$ is Kodaira-hyperbolic over the function field $K(S)$ of S . Similarly, it is not hard to show that Kodaira-hyperbolicity “specializes” in one-parameter uncountable families. That is, if the generic fiber of $X \rightarrow S$ is Kodaira-hyperbolic and k is uncountable, then there is a point s in $S(k)$ such that X_s is Kodaira-hyperbolic.

The (classical) Green–Griffiths–Lang conjecture says that a projective variety over k is groupless if and only if X is Kodaira-hyperbolic; see [31, 48]. In particular, it predicts that the notion “being groupless” generizes and specializes, as “being Kodaira-hyperbolic” generizes and specializes (Remark 4.1). In this section, we prove these two predictions; see Theorem 4.3 and Theorem 1.6.

4.1. Purity and grouplessness generize. We start by proving the generization property. We stress that our proofs rely on non-archimedean analytic methods, even though the statements are “algebraic”.

Theorem 4.2 (Purity generizes). *Let k be an algebraically closed field and let S be an integral noetherian normal scheme of characteristic zero. Let $X \rightarrow S$ be a proper morphism. If there is a point s in $S(k)$ such that X_s is pure over k , then $X_{K(S)}$ is pure.*

Proof. We can first localize at the point s and assume that S is local. By standard cutting arguments (see the proof of Corollary 3.8) we can replace S with the spectrum of a valuation ring having residue field equal to k and a complete, algebraically closed field of fraction K . We need to prove that if X_k is pure, then X_K is also pure. Since k is of characteristic zero, the latter statement follows then from Proposition 3.7. \square

Theorem 4.3 (Grouplessness generizes). *Let k be an algebraically closed field and let S be an integral noetherian normal scheme of characteristic zero. Let $X \rightarrow S$ be a proper morphism of schemes. If there is a point s in $S(k)$ such that X_s is groupless over k , then $X_{K(S)}$ is groupless over $K(S)$.*

Proof. As in the proof of Theorem 4.2, by localizing at the point s and by standard cutting arguments (see the proof of Corollary 3.8) we can replace S with the spectrum of a valuation ring having residue field equal to k and a complete, algebraically closed field of fraction K .

By assumption, the special fiber X_k of $X \rightarrow S$ is groupless. Therefore, by Theorem 3.13, the proper scheme X_K is K -analytically Brody hyperbolic. It follows readily that X_K is groupless over K . \square

Proof of Theorem 1.5. This (clearly) follows from (the more general) Theorem 4.3. \square

4.2. Purity and grouplessness specialize. In contrast to our proofs for the fact that purity and grouplessness “generalize”, we will use only algebraic techniques to verify that purity and grouplessness “specialize”.

Theorem 4.4 (Purity specializes). *Let k be an uncountable algebraically closed field. Let S be an integral variety over k . Let $X \rightarrow S$ be a projective morphism. Suppose that the generic fiber of $X \rightarrow S$ is pure. Then there is an s in $S(k)$ such that X_s is pure over k .*

Proof. Suppose that, for any s in $S(k)$, the projective variety X_s is not pure over k . To prove the theorem, it suffices to show that the geometric generic fiber of $X \rightarrow S$ is not pure.

To do so, consider the scheme $H := \underline{\mathrm{Hom}}_S^{nc}(\mathbb{P}_S^1, X)$ parametrizing non-constant morphisms from \mathbb{P}_S^1 to X ; see [32, Section 4.c, pp. 221-19 – 221-20]. Note that H is a countable union $H = \sqcup_{d \in \mathbb{Z}} H^d$ of finitely presented schemes H^d over S . Let S_d be the image of $H^d(k)$ in $S(k) \subset S$. Now, as X_s is not pure over k for any s in $S(k)$, we have that $S(k) = \cup_{d \in \mathbb{Z}} S_d$. The latter implies that

$$S = \overline{S(k)} = \bigcup_{d \in \mathbb{Z}} \overline{S_d}.$$

Since k is uncountable and the right hand side is a countable union of closed subsets of S , there is an integer e such that $S = \overline{S_e}$. Thus, S_e is dense in S so that the morphism $H^e \rightarrow S$ is dominant. Therefore, the generic fiber of $H^e \rightarrow S$ is non-empty. This implies that the generic fiber of $\underline{\mathrm{Hom}}_S^{nc}(\mathbb{P}_S^1, X) \rightarrow S$ is non-empty, i.e., there is a non-constant morphism $\mathbb{P}_{K(S)^a}^1 \rightarrow X_{K(S)^a}$ where $K(S)^a$ is an algebraic closure of $K(S)$. Thus, the geometric generic fiber of $X \rightarrow S$ is not pure. This concludes the proof. \square

Corollary 4.5. *Let k be an uncountable algebraically closed field. Let S be an integral variety over k . Let $X \rightarrow S$ be a projective morphism. Suppose that the generic fiber of $X \rightarrow S$ is pure. Then the set of s in $S(k)$ with X_s pure is dense in S .*

Proof. Let S' be the set of s in $S(k)$ such that X_s is pure over k . Suppose that S' is not dense. Let S° be the complement of the closure of S' in S . Then S° is a dense open of S . Let $X^\circ \rightarrow S^\circ$ be the restriction of $X \rightarrow S$ to S° . Then the generic fiber of $X^\circ \rightarrow S^\circ$ is pure (as it equals the generic fiber of $X \rightarrow S$). Thus, by Theorem

4.4, there is an s in $S^\circ(k)$ such that X_s is pure over k . But then s is an element of S' leading to a contradiction. \square

We are now ready to prove that grouplessness also specializes in families. That is, let k be an uncountable algebraically closed field and let S be an integral variety over k with function field $K = K(S)$. Let $X \rightarrow S$ be a projective morphism of schemes. We now show that, if X_K is groupless over K , then there is an s in $S(k)$ such that X_s is groupless.

Proof of Theorem 1.6. Let A_g be the stack of principally polarized g -dimensional abelian varieties over \mathbb{Z} , and let $U_g \rightarrow A_g$ be the universal family. Note that the Hom-stack $\underline{\text{Hom}}_{S \times A_g}(U_g, X \times A_g) \rightarrow S \times A_g$ is a countable disjoint union of finitely presented algebraic stacks. More precisely, for every polynomial P in $\mathbb{Q}[t]$, let $H_{g,P} = \underline{\text{Hom}}_{S \times A_g}^P(U_g, X \times A_g)$ be the substack whose objects are morphisms from $U_g \times S$ to $X \times A_g$ over $S \times A_g$ with Hilbert polynomial P . Note that $H_{g,P} \rightarrow A_g \times S$ is a finitely presented morphism of stacks [57]. Let $S_{g,P}$ be the image of $H_{g,P}(k)$ in $S(k)$ via $H_{g,P} \rightarrow A_g \times S \rightarrow S$. (This map associates to a k -point s in $S(k)$, a principally polarized abelian variety A over k and a non-constant morphism $A \rightarrow X_s$ the point s in $S(k)$.) Suppose that, for all s in $S(k)$, the variety X_s is not groupless. Then, by Lemma 3.6, for all s in $S(k)$, there is a principally polarizable abelian variety A over k and a non-constant morphism $A \rightarrow X_s$. Therefore, we have that

$$S(k) = \bigcup_{(g,P) \in \mathbb{Z}_{\geq 0} \times \mathbb{Q}[t]} S_{g,P}.$$

In particular,

$$S = \overline{S(k)} = \overline{\bigcup_{(g,P) \in \mathbb{Z}_{\geq 0} \times \mathbb{Q}[t]} S_{g,P}} = \bigcup_{(g,P) \in \mathbb{Z}_{\geq 0} \times \mathbb{Q}[t]} \overline{S_{g,P}}.$$

Since k is uncountable and the right hand side is a countable union of closed subsets of S , there is an integer g and a polynomial $P \in \mathbb{Q}[t]$ such that $\overline{S_{g,P}} = S$. This means that the morphism of stacks $H_{g,P} \rightarrow S$ is dominant. In other words, its generic fibre is non-empty. This means that $X_{K(S)^a}$ admits a non-constant morphism from some g -dimensional abelian variety, where $K(S)^a$ is an algebraic closure of $K(S)$. This proves the theorem. \square

Corollary 4.6. *Let k be an uncountable algebraically closed field. Let S be an integral variety over k with function field $K = K(S)$. Let $X \rightarrow S$ be proper. If $X_{\overline{K}}$ is groupless, then the set of s in $S(k)$ such that X_s is groupless is dense in S .*

Proof. This follows from the previous theorem (cf. the proof of Corollary 4.5). \square

5. A THEOREM OF THE FIXED PART IN MIXED CHARACTERISTIC

In this section we let K be a complete algebraically closed non-archimedean valued field of characteristic zero whose residue field is of characteristic $p > 0$.

Recall that any complex analytic variety which is uniformized by some bounded domain satisfies (a consequence of) the Theorem of the Fixed Part; see Theorem

1.7 in the introduction. In this section we prove a non-archimedean analogue of this statement (Theorem 1.9). To do so, we start with a brief discussion of inverse limits and fundamental groups in the category of adic spaces.

The category of adic spaces does not have arbitrary inverse limits (cf. [62, Definition 2.4.1]). An adequate replacement of this notion in certain cases (without appealing to diamonds [61]) is introduced in [37, Definition 2.4.2], and we recall it here briefly.

Definition 5.1. let $\{X_m\}_{m \in I}$ be a cofiltered inverse system of adic spaces. We say that an adic space X endowed with compatible maps $X \rightarrow X_m$ is *similar to the projective limit* and we write $X \sim \varprojlim_X X_m$ if on the underlying topological spaces we have $|X| \cong \varprojlim |X_m|$ and if there is an open cover of X by affinoid subsets $\mathrm{Spa}(A, A^+)$ such that the map of rings $\varprojlim A_i \rightarrow A$ has a dense image, where the limit runs over the affinoid open subsets $\mathrm{Spa}(A_i, A_i^+)$ of some X_m over which the map $\mathrm{Spa}(A, A^+) \rightarrow X_m$ factors.

We will state our theorem using étale fundamental groups of analytic varieties. We recall that if X is a connected noetherian scheme, then $\pi_1^{\mathrm{ét}}(X)$ denotes the étale fundamental group of X (with respect to the choice of some geometric base point of X); see [33]. Analogously, if X is a connected rigid analytic variety over K , we denote by $\pi_1^{\mathrm{alg}}(X, x)$ the *algebraic étale fundamental group* of X , i.e., the pro-finite group attached to the category of finite étale covers of X with respect to some chosen geometric point x (see [23, Theorem 2.9] and [4, Section III.1.4.1]). Note that, by [4, Proposition III.1.4.4], the isomorphism class of the algebraic étale fundamental group of X is independent of the choice of base-point. We will therefore omit the base-point from our notation. If X is a connected finite type scheme over K , then the analytification functor induces an isomorphism between $\pi_1^{\mathrm{alg}}(X^{\mathrm{an}})$ and the étale fundamental group $\pi_1^{\mathrm{ét}}(X)$ of X (see [53, Theorem 3.1]).

Example 5.2. If K is a non-archimedean complete algebraically closed field of characteristic zero, then

$$\pi_1^{\mathrm{ét}}(\mathbb{G}_{m,K}) = \pi_1^{\mathrm{alg}}(\mathbb{G}_{m,K}) = \widehat{\mathbb{Z}}.$$

Using the strategy sketched in the introduction, inspired by the complex case, we now prove the following result.

Proposition 5.3. *Let $S \rightarrow X_0$ be a morphism of connected reduced rigid analytic varieties over K . Let $\{X_m\}_{m=0}^{\infty}$ be a cofiltered inverse system of connected rigid analytic varieties over K with finite étale transition maps. Assume that there is a perfectoid space P over K such that $P \sim \varprojlim_m X_m$. Let $\Gamma_m \subset \pi_1^{\mathrm{alg}}(X_0)$ be the subgroup associated to $X_m \rightarrow X_0$, and suppose that the image of the induced morphism on (algebraic) étale fundamental groups*

$$\pi_1^{\mathrm{alg}}(S) \rightarrow \pi_1^{\mathrm{alg}}(X_0)$$

lies in $\bigcap_{m=0}^{\infty} \Gamma_m$. Then $S \rightarrow X_0$ is constant.

Proof. By our assumption on the image of $\pi_1^{\text{alg}}(S) \rightarrow \pi_1^{\text{alg}}(X_0)$, the morphism lifts to every finite étale cover X_m of X_0 . Since S is reduced, hence stably uniform [15, Section 3], we conclude by [52, Proposition 2.2] that the morphism lifts to a map $S \rightarrow P$. The latter is constant by Proposition 2.10, so that $S \rightarrow X_0$ is constant, as required. \square

We follow the notation of Section 3.4, and let \mathcal{A}_g be the stack of g -dimensional principally polarized abelian schemes over \mathbb{Z} . Let $N > 3$ be coprime to the residue characteristic of K . Let $X := \mathcal{A}_{g,K}^{[N]}$, and note that X is a smooth quasi-projective scheme over K .

Consider the principal congruence subgroups

$$\Gamma(p^n) = \left\{ \gamma \in \text{GSp}_{2g}(\mathbb{Z}_p) \mid \gamma \equiv \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \pmod{p^n} \right\}$$

If $n \geq 1$ is an integer, we let $X_{\Gamma(p^n)}$ be the corresponding moduli space of principally polarized g -dimensional abelian varieties with full level N -structure and with level $\Gamma(p^n)$ -structure over K .

Theorem 5.4 (Scholze). *There is a unique perfectoid space $X_{\Gamma(p^\infty)}$ such that*

$$X_{\Gamma(p^\infty)} \sim \varprojlim_n X_{\Gamma(p^n)}^{\text{an}}.$$

Proof. This follows from [60, Theorem III.1.2]. \square

As we show now, the Theorem of the Fixed Part for the moduli space of principally polarized abelian varieties (Theorem 1.9) is a consequence of Scholze's theorem and Proposition 5.3.

Proof of Theorem 1.9. Combine Proposition 5.3 and Theorem 5.4. \square

We conclude this section with other applications of Proposition 5.3.

Example 5.5. Let \mathbb{T}^n be $\text{Spa } K\langle T_1^{\pm 1}, \dots, T_n^{\pm 1} \rangle$, and let X be étale over \mathbb{T}^n . Define $X_0 := X$. For $m > 0$, let $f_m : \mathbb{T}^n \rightarrow \mathbb{T}^n$ be the m th power map, and define $X_m := X \times_{\mathbb{T}^n, f_m} \mathbb{T}^n$. Then the inverse limit of the cofiltered inverse system $\{X_m\}_{m=0}^\infty$ is a perfectoid space [59, Lemma 4.5]. We conclude that X is hyperbolic (Proposition 2.8), and satisfies the Theorem of the Fixed Part (Proposition 5.3).

Example 5.6. Consider the inverse system of rigid analytic varieties $\{X_m\}_{m=0}^\infty$, where $X_m := \mathbb{G}_{m,K}^{\text{an}}$ and the (finite étale) morphism $X_m \rightarrow X_{m-1}$ is given by $z \mapsto z^p$. There is a perfectoid space $\mathbb{G}_m^{\text{perf}}$ such that $\mathbb{G}_m^{\text{perf}} \sim \varprojlim_m X_m$ (see [58, Claim 1.4]); we refer to $\mathbb{G}_m^{\text{perf}}$ as the perfection of $\mathbb{G}_{m,K}$. Since $\mathbb{G}_m^{\text{perf}}$ is a perfectoid space, it is K -analytically Brody hyperbolic (Proposition 2.10). Moreover, by Proposition 5.3, the curve $\mathbb{G}_{m,K}$ satisfies the Theorem of the Fixed Part.

Now, the above shows that $\mathbb{G}_{m,K}^{\text{an}}$ is K -analytically Brody hyperbolic up to a pro-finite étale cover. However, it is clear that $\mathbb{G}_{m,K}$ is not K -analytically Brody hyperbolic. This shows that an adic space which is K -analytically Brody hyperbolic

up to a pro-finite étale cover is not necessarily K -analytically Brody hyperbolic. (Thus, the analogue of Proposition 2.13 for pro-finite étale morphisms fails.)

Example 5.7. We give an example similar to Example 5.6. Let A be an abelian variety over K . Define the inverse system of rigid analytic varieties $\{X_m\}_{m=0}^\infty$ by $X_m := A^{\text{an}}$ and the (finite étale) morphism $X_m \rightarrow X_{m-1}$ to be multiplication by p . Then, there is a perfectoid space A^{perf} such that $A^{\text{perf}} \sim \varprojlim_m X_m$; see [9, Theorem 1]. In particular, the abelian variety A satisfies the Theorem of the Fixed Part (Proposition 5.3), and is *up to* a pro-finite étale morphism a K -analytically Brody hyperbolic variety. However, A is (clearly) not K -analytically Brody hyperbolic.

A complex analytic space which has a topological covering by a Brody hyperbolic space is itself Brody hyperbolic. However, the last two examples above show that an adic space over K which has a pro-finite étale cover by a K -analytically Brody hyperbolic space (e.g., a perfectoid space) is itself not necessarily K -analytically Brody hyperbolic. Therefore, the last two examples above show that “descending” the K -analytic Brody hyperbolicity of $X_{\Gamma(p^\infty)}$ to the moduli space X is a non-trivial endeavour. More precisely, to show that X is K -analytically Brody hyperbolic, one has to show that the “monodromy” of every morphism $\mathbb{G}_m^{\text{an}} \rightarrow X^{\text{an}}$ is trivial, and the latter does not follow from the existence of a pro-finite étale cover by a perfectoid space.

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