



HAL
open science

Evolutionary and polymorphism analyses reveal the central role of **BTN3A2** in the concerted evolution of the **BTN3** gene family

Hassnae Afrache, Pierre Pontarotti, Laurent Abi-Rached, Daniel Olive

► **To cite this version:**

Hassnae Afrache, Pierre Pontarotti, Laurent Abi-Rached, Daniel Olive. Evolutionary and polymorphism analyses reveal the central role of **BTN3A2** in the concerted evolution of the **BTN3** gene family. *Immunogenetics*, 2017, 69 (6), pp.379 - 390. 10.1007/s00251-017-0980-z . hal-01785307

HAL Id: hal-01785307

<https://hal.science/hal-01785307>

Submitted on 13 May 2018

HAL is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers.

L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.

1 **Evolutionary and Polymorphism Analyses Reveal the Central Role**
2 **of *BTN3A2* in the Concerted Evolution of the *BTN3* Gene Family**

3 **Hassnae Afrache¹, Pierre Pontarotti, Laurent Abi-Rached^{3*}, Daniel Olive^{1*}**

4 ¹ Centre de Recherche en Cancérologie de Marseille (CRCM), Inserm, U1068, Marseille, F-1300
5 9, France, CNRS, UMR7258, Marseille, F-13009, Institut Paoli-Calmettes, Marseille, F-13009, F
6 rance, Aix-Marseille University, UM 105, F-13284, Marseille, France

7 ² Institut de Mathématiques de Marseille, UMR 7373

8 ³ ATIP CNRS, Institut de Mathématiques de Marseille, UMR 7373

9 ***lar and do are co-senior authors**

10 Correspondence should be addressed to Daniel Olive, e-mail daniel.olive@inserm.fr

11

12

13 Conflict-of-interest disclosure: Olive D. is a founder and shareholder of Imcheck Therapeutics
14 (Marseille, France).

15 Running title: evolution of *BTN3A* gene family

16 **Abstract (230 words)**

17 The butyrophilin 3 (*BTN3*) are receptors of the immunoglobulin superfamily implicated in the T
18 lymphocytes regulation and present a wide plasticity in mammals, being absent in rodent but
19 present with three copies in human. In order to understand how these genes have been diversified
20 and what forces guiding this diversification, we studied their evolution and show that the three
21 human *BTN3* genes are the result of two successive duplications in Primates and that the three

22 genes are present in Hominoids and the Old World Monkey groups. A thorough phylogenetic
23 analysis reveals a concerted evolution of *BTN3* characterized by a strong and recurrent
24 homogenization of the region encoding the signal peptide and the IgV domain in Hominoids.
25 During these homogenizations the sequences of *BTN3A1* or *BTN3A3* are replaced by *BTN3A2*
26 sequence. In human, the analysis of the diversity of the three genes in 1683 individuals
27 representing 26 worldwide populations shows that the three genes are polymorphic, with more
28 than 46 alleles for each gene, but they are also marked by extreme homogenization of the IgV
29 sequences. The same analysis performed for the *BTN2* genes that are also diversified in Primates,
30 shows also a concerted evolution, however it is not as strong and recurrent as for *BTN3*. This
31 study shows that *BTN3* receptors are marked by extreme concerted evolution at the IgV domain
32 and that *BTN3A2* plays a central role in this evolution.

33

34 **Introduction**

35 The butyrophilins 3 are receptors of the Immunoglobulins superfamily ubiquitously expressed on
36 T and B lymphocytes, monocytes, NK cells and dendritic cells(1-3). They form a group of three
37 proteins *BTN3A1*, *3A2* and *3A3* with an extracellular region composed of two domains IgV and
38 IgC extremely conserved between the three proteins(4) but with a significant difference at the
39 cytoplasmic region where *BTN3A1* and *3A3* contain a B30.2 type domain which is absent in
40 *BTN3A2*. *BTN3* are also butyrophilin family members, a multigene family which is structurally
41 homolog at the extracellular level to the co-signaling B7 family(5) and with several members
42 implicated in immune response regulation. It is the case of *Btnl2* regulating the murine T
43 lymphocytes activation and that seems to be a regulator of the intestinal mucosal immunity(6, 7).

44 The *BTN3* are also important regulators of the immune response. Their function, initially
45 suggested by specific stimulations that could inhibit or activate T lymphocytes in function of the
46 antibodies used(2, 3, 8), is currently clearly described for a specific cytotoxic T lymphocytes
47 population, the Vg9Vd2 T cells(9). The activation of Vg9Vd2 T cells requires the presence of
48 phosphoantigens (pAg), phosphorylated metabolites accumulated in infected and tumor cells(10).
49 The role of each *BTN3* has been investigated through shRNA invalidation and the use of B30.2
50 mutants and *BTN3A1* has been identified as a key player in this activation which is B30.2
51 dependent(9). However, the function of the others *BTN3* remains elusive. A recent structural and
52 functional study has shown that *BTN3A1* B30.2 domain binds directly the pAg through a
53 positively charged surface pocket that contains a Histidine residue critical for the binding and
54 which is absent in *BTN3A3*. When introduced into the B30.2 domain of *BTN3A3*, the Histidine
55 confers pAgs binding ability and Vg9Vd2 T lymphocytes activation(11). Another mechanism of
56 activation has been described: a direct binding of the pAg by the IgV domain of *BTN3A1*
57 describing a stimulation model analog to the classical antigen presentation by CMH molecules
58 (12).

59 At the genomic level, the *BTN3* are located at the extended HLA class I region on the
60 chromosome 6 within a gene cluster composed of four additional *BTN* genes: *BTN1* and three
61 *BTN2* (*2A1-2A3*). Interestingly, this cluster is plastic in mammals and *BTN3* are present in one
62 copy in horses while absent in rodents(13). Additionally, association studies in human show that
63 genes like *BTN3A2* are polymorphic with some SNP that are related to susceptibility to diseases
64 like type 1 diabetes(14). Similarly, the polymorphism of other *BTN* such as *BTN2A1* is related to
65 susceptibility to type 2 diabetes (15), chronic kidney disease (16, 17), metabolic syndrome (18),
66 dyslipidemia (19) or myocardial infarction Moreover (20, 21). A recent study has described the

67 association of the polymorphism of the *3A3-2A1* region with rubella virus-specific cellular
68 immunity following vaccination (22). Given the importance of *BTN3* for the immune response
69 and their apparent plasticity in mammals and in human populations, we conducted a detailed
70 study of the evolution of these genes in primates and their polymorphism in human populations.

71

72

73

74

75

76

77

78 **Material and methods**

79 **Data for the *BTN3* evolution analysis**

80 Sequences used for the analysis were obtained by BLAST search of 'nr', 'est', 'htgs' and 'wgs'
81 databases of the National Center for Biotechnology Information (NCBI).

82 For the analysis of the *BTN3* transcripts, the *Gorilla gorilla* *BTN3A1* sequence was incomplete
83 and was re-predicted with the program FGENESH⁺ (23) using the human protein sequence as
84 reference. Similarly, *Colobus guereza* *BTN3* sequences were predicted using the BAC (Bacterial
85 Artificial Chromosome) genomic sequences CH272-166E5 (Genbank accession number
86 AC210406).

87 *BTN3* genomic sequences were obtained from the NCBI “Gene” database. The *BTN3A1* sequence
88 of *Gorilla gorilla* and *Saimiri boliviensis* and the *BTN3A3* sequence of *Macaca fascicularis* were
89 of low quality (large non-sequenced regions) and were excluded. As the New World Monkey
90 group contained only one genomic sequence, the *BTN3* evolution could not be studied in this
91 taxonomic group.

92 **Data for the *BTN2* evolution analysis**

93 Two of the three *BTN2* genes were analyzed (*BTN2A3* was excluded as it is a pseudogene) and
94 the sequences were obtained as it was described for *BTN3* sequences.

95 The *BTN2A1* transcript sequence of *Saimiri boliviensis* was incomplete and was re-predicted
96 using genomic sequences similarly to the prediction of the *Gorilla gorilla BTN3A1* sequence.

97 The quality of the two *BTN2* genomic sequences of *Callithrix jacchus* was verified using the
98 transcribed sequences available in the NCBI ‘est’ database and reassembling the genomic
99 sequences generated within the genome sequencing project of *C.jacchus* by the 'Washington
100 University Genome Sequencing Center' and the 'Baylor College of Medicine'. For the latter
101 analysis, BLAST searches were made of the NCBI 'Trace Archive' database using both *BTN2*
102 genomic sequences of *C.jacchus*: over 170 sequences have been obtained and reassembled with
103 the 'STADEN package'(24).

104 **Phylogenetic analysis**

105 Nucleotide sequences were aligned using MAFFT (25) and QuickProbs (26) and corrected
106 manually. Phylogenetic analyses were conducted using three methods: Neighbor Joining (NJ),
107 Parsimony and Maximum likelihood (ML). The NJ phylogenies were performed with MEGA6
108 (27) using the Tamura-Nei method with 500 replicates. For parsimony analyses, PAUP*4.0b10

109 (28) and the tree bisection-reconnection branch swapping algorithm were used with 500
110 replicates and a heuristic search. RAxML8 (29) was used to perform ML phylogenies with the
111 GTR+G model with 500 replicates (rapid bootstrapping).

112 ***BTN2* and *BTN3* genotyping data in human populations**

113 Exome sequencing data generated by the 1000 Genomes project (30) were analyzed for 1,683
114 individuals representing 26 populations. RNA sequencing data generated by the European
115 medical sequencing consortium GEUVADIS (31) were downloaded from the website
116 <http://www.geuvadis.org/web/geuvadis/RNAseq-project>. They correspond to mRNA sequencing
117 data of 295 among the 1683 individuals analyzed for exome data.

118 The 26 populations were divided into five groups: African origin populations, European origin
119 populations, South Asian origin populations, East Asian origin populations and populations of the
120 Americas (Table SI).

121 ***In silico* genotyping of *BTN2* and *BTN3***

122 The genotyping of the five BTN genes analyzed (*BTN3A1-3A3*, *BTN2A1-2A2*) was performed as
123 previously described (*BTNL2* article). Compared to this approach, an additional analysis was
124 developed for *BTN3* genotyping as the first analyzes showed the presence of a highly conserved
125 region at the exon encoding the IgV domain. The presence of such a region leads to loss of
126 coverage because the sequences are eliminated to the specificity step since they are not specific to
127 a single gene. In order to verify for each individual, if the low covered or non-covered region
128 contains SNPs or not, a “combined” analysis was performed: it consists in using the sequences
129 *BTN3A1*, *3A2* and *3A3* for the conserved region to identify all the variations. The results of the
130 combined analysis were compared to the result of the individual analysis for each gene and the

131 cases where a variation is present in the combined analysis but absent in the individual analysis
132 were isolated. They correspond to variations missed in the individual analysis. 172 problematic
133 cases were detected: for 35 cases, RNA sequencing data of the same individuals were useful to
134 resolve the genotype. For the remaining 141 genotypes (including 28 genotypes partially
135 resolved: one allele identified) they were resolved statistically (see *BTN3* genotypes phasing).

136 ***BTN2* and *BTN3* genotypes phasing**

137 Genotypes phasing was performed using PHASE 2.1 (32, 33). The input files were prepared
138 using SNPtools (34). Information for associated SNPs was specified using the option `-k`.
139 Unresolved genotypes in the *BTN3* conserved region were determined statistically using “?” in
140 place of the missing position. The reconstruction of allele sequences was done using reference
141 coding sequence of each gene: ENST00000312541 (*BTN2A1*), ENST00000244513 (*BTN2A2*),
142 ENST00000289361 (*BTN3A1*), ENST00000356386 (*BTN3A2*) and ENST00000244519
143 (*BTN3A3*).

144

145 **Results:**

146 **Primates have variable number of *BTN3* genes**

147 In order to identify the relation between Primates *BTN3* sequences, a phylogenetic analysis of
148 coding sequences has been conducted. The three *BTN3* genes are present in the Catarrhini group:
149 Hominoidea and Old World monkeys (**Figure 1A**) whereas the Prosimians group represented by
150 *Otolemur garnettii* has only one ortholog and the New World monkeys (NWM) group has two
151 *BTN3* genes: one gene ortholog to *BTN3A1* and one co-ortholog to *3A2* and *3A3*. Thus, the three
152 human *BTN3* genes are the result of two successive duplications: a first duplication at the basis of

153 the Simiiformes group gave rise to *BTN3A1* and an ancestral sequence of *3A2* and *3A3* and a
154 second duplication at the basis of the Catarrhini group gave rise to *3A2* and *3A3*.

155 The domain architecture of the three *BTN3* is conserved in the Catarrhini group with two
156 extracellular domains IgV and IgC, a transmembrane domain TM and a B30.2 intracellular
157 domain which is absent in *3A2* sequences (**Figure 1E**). The presence of the B30.2 domain in the
158 *O.garnettii* and the NWM sequences shows that the ancestral structure of the three *BTN3* is IgV-
159 IgC-TM-B30.2 and that the B30.2 domain has been lost specifically in *BTN3A2* of the Catarrhini
160 group.

161 **The *BTN3* IgV domain in Hominoids has undergone several homogenizations**

162 Interestingly, a domain by domain phylogenetic analysis has shown that the *BTN3* domains
163 evolved differently (**Figure 1A-D**). While the phylogenies of the IgC, the TM and the B30.2
164 domains were compatible with the full sequence phylogenies, the phylogeny of the IgV domain
165 shows the presence of paralog groups in human, orangutan and gibbon (**Figure 1B**), which mean
166 that at the IgV domain level, the paralog sequences *BTN3A1*, *3A2* and *3A3* are more closely
167 related to each other than they are to their orthologs counterparts in a closely related species.
168 Such phylogenetic profile suggests homogenizations of the IgV sequences have occurred in each
169 of these species. The absence of this phylogenetic profile in the OWM group suggests this
170 homogenization is specific to Hominoids. As the NWM group contains few sequences, the
171 interpretation was difficult even if the presence of a group with *BTN3A1* and *3A2/3A3* sequences
172 is compatible with an ancient homogenization (**Figure 1B**).

173 The analysis of the groups present in the IgV phylogeny shows that all Hominoids *BTN3A1*
174 sequences are grouped in the *BTN3A2/3A3* group (**Figure 1B**), suggesting the homogenizations
175 replaced the *BTN3A1* IgV sequences with the IgV sequences of *3A2* or *3A3*. In order to identify

176 more precisely the relations between the sequences and the regions homogenized, we extended
177 the analysis to the genomic sequences.

178 **The homogenization targets different regions in the three *BTN3* genes**

179 The phylogenetic analysis of the genomic regions (introns/exons) was performed using variable
180 size windows and reveals different relations between the *BTN3* groups depending on the genomic
181 region considered (**Figure S1**). Particularly, this analysis shows that *BTN3A3* is a chimeric gene
182 resulting from a recombination between the 5' region of a *BTN3A1-like* gene and the 3' region of
183 a *BTN3A2-like* gene, with a recombination zone located at the UTR regions between the positions
184 4,000 and 4,025 (**Figures 2A, S1B-C**).

185 The homogenization detected at the IgV level with the coding sequences analysis was also
186 confirmed. However, it is not limited to the IgV exon but covers a large region. In fact, we
187 detected a specific homogenization between *BTN3A2* and *BTN3A3* that starts at the 5' regulatory
188 regions (the second UTR exon) and extends at the 3' region up to about 100 bp after the IgV exon
189 (**Figures 2B, S1D-G**). A second homogenization region has been detected between *BTN3A2* and
190 *BTN3A1* that starts at the 5' side of the IgV exon and continues up to about 200 bp after this exon
191 (**Figure S1H-I**). In both cases the topologies of the phylogenetic trees allow the identification of
192 the homogenization direction and show that *BTN3A2* is the donor gene.

193 The homogenization between *BTN3A2* and *3A3* in the Hominoids targets a part of the 5'UTR and
194 the signal peptide (**Figure 2B**) leading to highly similar protein sequences at the peptide signal
195 level but divergent from those of *BTN3A1* (**Figure 2C**). However, the *BTN3A2* and *3A3*
196 sequences of the OWM group, which are not homogenized, are different. Similarly, the
197 homogenized IgV domain between the three *BTN3* is conserved in the Hominoids group while
198 the sequences of the OWM group, which are not homogenized, are different (**Figure 2C**).

199 Although the Hominoids and the OWM groups contain the three *BTN3* genes, this analysis shows
200 the presence of a homogenization phenomenon targeting specifically the IgV domain in the first
201 but not the second group (**Figure 3**). We were interested to verify whether such homogenization
202 exists in human populations.

203 **The human *BTN3* are polymorphic but with homogenized IgV sequences**

204 To investigate the diversity of human *BTN3* we re-analyzed the exomes sequencing data
205 generated by the 1000 Genomes consortium for >1,680 individuals representing 26 populations.
206 Careful reconstruction of allelic sequences of the three *BTN3* genes reveals that *BTN3* are
207 polymorphic with, 57, 47 and 61 alleles encoding 33, 23 and 34 allotypes for *BTN3A1*, *3A2* and
208 *3A3* respectively (**Figure 4A**). However, the majority of allotypes are uncommon with only 8, 5
209 and 2 allotypes having a frequency > 1% in a region of the world for *BTN3A1*, *3A2* and *3A3*
210 respectively (**Figure 4A, D, F and H**).

211 The comparison of the *BTN3* allelic sequences shows that the non-synonymous variations are
212 more concentrated on the IgC domain, in particular for *BTN3A2*, and on the cytoplasmic region
213 (**Figures 4C, E, G**). On the contrary, the IgV domain is highly conserved: for example there is no
214 common allotypes (frequency $\geq 1\%$ in a region of the world) for *BTN3A2* and *3A3* with a
215 variation on the IgV domain (**Figure 4C, E and F**). Confirming this conservation are the results
216 of the non-synonymous nucleotide diversity analysis for the IgV, IgC and B30.2 exons between
217 alleles of each gene. We observed a loss of diversity for the IgV exon which is extremely striking
218 between *BTN3A1* and *3A2* (**Figure 4B**). Indeed, while *BTN3A1* and *3A2* have duplicated tens of
219 millions of years ago, the IgV diversity for *3A1-3A2* pair is of the same order of magnitude as the
220 allelic diversity of each gene and about three orders of magnitude lower than the *3A1-3A2*
221 diversity for IgC. Similarly, the other pairs (*3A1-3A3* and *3A2-3A3*) and *3A1-3A2-3A3* trio have

222 diversity for the IgV lower by about one order of magnitude compared to the diversity for the
223 IgC.

224 Thus, these data show the variation between *BTN3A1* and *3A2* at the IgV level is of the same
225 order of magnitude as the allelic variation of each gene for this domain. This result is consistent
226 with an active homogenization in humans of the IgV between these two *BTN3*. This model is also
227 supported by the existence of several variable positions that are shared between *BTN3A1* and *3A2*
228 or *3A3* or represent the reference position in *3A2* and / or *3A3* (**Table I**). This is the case of the
229 position -14 in the signal peptide and 37 and 39 in the IgV which are polymorphic in *BTN3A1* but
230 conserved in *3A2* and / or *3A3*. The positions -14 and 39 are very common and are carried by
231 alleles in different populations while position 37 is more specific to populations of African origin.
232 Taken together this strongly suggests that there is always an exchange between genes in this
233 region and that the historical homogenization detected in hominoids still exists in human
234 populations.

235 **The homogenization characterizes also the Primate *BTN2* genes evolution**

236 In order to verify if the homogenization observed for *BTN3* genes was unique to this group, we
237 performed the same analyses for *BTN2* genes, another phylogenetic group with more than one
238 gene. We first analyzed the relation between the two human *BTN2* genes (*BTN2A1* and *2A2*) and
239 their counterparts in primates and rodent: the two genes were present in the different primate
240 groups while rodents have only one copy of the gene (**Figure 5A** and **S2**). The two *BTN2* encode
241 for protein with two extracellular domains IgV and IgC separated from a B30.2 cytoplasmic
242 domain with a transmembrane region that differs between the two genes with *BTN2A2* having
243 two TM domains in the Simiiformes group.

244 The phylogenetic analysis of coding sequences shows the presence of a homogenization between
245 the two genes in the Prosimians and the NWM groups (**Figure S2**). This result was confirmed by
246 the genomic sequences analysis which illustrates three homogenizations: one at the basis of the
247 Catarrhini group, one in the Prosimians group and one in the ancestor of the NWM group (**Figure**
248 **5B and S3**). These homogenizations have specifically target the exons encoding the signal
249 peptide, the IgV domain and the B30.2 domain (three homogenizations) but not the exon
250 encoding the TM and a part of the cytoplasmic region (**Figure S3**). Interestingly, sequence
251 alignment of the TM region reveals the presence of an important divergence between the two
252 *BTN2* at the beginning and at the end of the TM exon. In fact, *BTN2A2* contains an insertion of
253 39 bp at the 5' side of the exon and a deletion of 54 bp at the 3' side compared to *BTN2A1*. This
254 difference leads to a large transmembrane region predicted to contain two TM and a shorter
255 cytoplasmic region upstream B30.2 (**Figure 5C**). Only the divergence at the 3' side of the TM
256 exon has been conserved between Prosimians *BTN2* sequences.

257 Similarly to *BTN3*, the IgV domain has been targeted by several homogenizations in Primates. in
258 order to test if, like for human *BTN3*, the IgV domain is conserved between the two *BTN2*, the
259 allelic diversity has been characterized in the same populations as for *BTN3* and nucleotide
260 diversity for the IgV, IgC and B30.2 domains has been estimated for each *BTN2* gene as well as
261 for the combination *BTN2A1-2A2* (**Figure 5D**). We found that the IgV domain presents the
262 lowest nucleotide diversity among the three domains for the analysis of individual genes.
263 However, the diversity for the *2A1-2A2* analysis for the IgV domain (**Figure 5D**) is much higher
264 (between one and three orders of magnitude) than that observed for the combined analysis
265 between the three *BTN3* (**Figure 4B**), despite the fact that the same analysis to the IgC domain
266 produces comparable results for *BTN2* and *BTN3*.

267 Taken together, these data demonstrate that the homogenization is not limited to *BTN3* group but
268 seems to be characteristic of duplicated *BTN* groups. However, the homogenization is much less
269 pronounced for *BTN2* and concerns the extracellular and intracellular region, while for *BTN3* it is
270 recurrent in hominoids with extreme homogenization at the IgV domain level especially for
271 human *BTN3A1* and *3A2*.

272

273

274

275

276

277

278

279

280 **Discussion**

281 Our study of the emergence and diversification of *BTN3* in Primates shows that these genes are
282 characterized by recurrent homogenization between paralog genes. This type of evolution called
283 concerted evolution is concentrated on a large genomic region from the 5' UTR to the end of the
284 IgV exon. Although the three *BTN3* are present in both OWM and Hominoids, the
285 homogenization is observed only in hominoids suggesting the presence of specific evolution
286 constraints on *BTN3* in this taxonomic group. Moreover, these constraints seem to be different
287 between the three genes as the homogenization targets distinct regions. In fact, for *BTN3A2* and

288 3A3 the homogenization is concentrated on the 5'UTR region and the exon encoding the signal
289 peptide. The recurrence of this phenomenon in several species (human, orangutan and gibbon)
290 suggests that strong selection pressure maintains the homogenization of the regulatory elements
291 of the expression these two genes and cellular distribution of the proteins. However, for the IgV
292 domain the homogenization concerns the three *BTN3* and it is particularly extreme for *BTN3A1*
293 and 3A2 in human which explains the apparent conservation of the IgV of the human *BTN3*.
294 Given that the IgV domain is implicated in the interaction with ligand at the cell surface suggests
295 the three proteins interact with the same ligand.

296 Interestingly, our data show that *BTN3A2* is a donor gene in the historic homogenizations in
297 Hominoids but also in human populations with some variations that are polymorphic in *BTN3A1*
298 but conserved in *BTN3A2*. These data are consistent with a model where *BTN3A2* evolves with a
299 partner that varies and then *BTN3A2* adaptations arising spread to the other two genes through the
300 homogenization. The major difference between *BTN3A2* and the other *BTN3* is the absence of
301 the cytoplasmic domain B30.2 indispensable for the function of the other *BTN3*. In fact, the well-
302 known function of the *BTN3* is the stimulation of the TVg9Vd2 cytotoxic lymphocytes in
303 presence of phosphoantigens (pAgs), phosphorylated metabolite accumulated in infected and
304 stressed cells. This stimulation is dependent of *BTN3A1* and its B30.2 domain (9) that can bind
305 directly the pAg(35). Previous study has shown that the stimulation of *BTN3* with monoclonal
306 antibodies specific of the extracellular region of the three proteins increased the cytokine
307 production by TCD4⁺ and CD8⁺ lymphocytes but not by NK cells. This has been explained by the
308 differential expression level of the transcripts of the three genes: while *BTN3A1* was majorly
309 expressed in different T cells *BTN3A2* was most expressed in NK cells. Furthermore, stimulation
310 assays using cell lines expressing NKp-30 receptor, implicated in interferon gamma (IFN γ)

311 production, and transfected with *BTN3A1* or *BTN3A2* have shown differential regulation of
312 cytokine production depending on the BTN3 expressed. The co-stimulation with anti-NKp-30
313 and anti-BTN3A2 antibodies decreases the IFN γ production while the co-stimulation with an
314 anti-BTN3A1 antibody increases the IFN γ production(2).

315 Thus, *BTN3A1* and *3A2* seem to have distinct structures and functions but their evolution is
316 extremely correlated at the IgV domain level, typical characteristics of paired receptors. Paired
317 receptors are closely related receptors including inhibitors and activators members and which are
318 encoded by genes organized into clusters(36). They are characterized by very similar
319 extracellular regions with distinct cytoplasmic regions leading to opposite intracellular
320 signals(37). Concerted evolution is responsible for the evolution of certain paired receptors
321 interacting with ligands that are rapidly evolving like the family of KLRC (killer cell lectin-like
322 receptors subfamily C) receptors in Primates implicated in the regulation of the activation and the
323 cytotoxicity of NK cells(38). Although the BTN3 ligand is not yet identified, these data suggest
324 that depending on the cell types BTN3A2 would be a receptor with opposite cellular effects of
325 those of 3A1 or a decoy receptor interacting with the ligand but with no intracellular signaling.
326 Thus, BTN3A1 and 3A2 would be of potential receptors evolving in pairs in a concerted manner
327 at the level of their IgV domain.

328 The presence of homogenization between *BTN2*, which is less recurrent than that observed for
329 *BTN3*, shows that concerted evolution is not limited to *BTN3* but is rather a characteristic of the
330 evolution of *BTN* groups that duplicated. The major difference between *BTN2* at the TM exon
331 which is accompanied by a lack of homogenization in all species requires further investigation to
332 verify the impact of the presence of such a broad membrane region for BTN2A2 and which is
333 associated with a shorter cytoplasmic region upstream of the B30.2 domain, on its expression,

334 structure and function. MOG is a member of the BTN family that has a second TM domain: this
335 domain does not cross through the membrane but is semi-integrated into the lipid bilayer, and
336 lying partially buried in the membrane, exposing the C-terminal part of the protein lacking the
337 B30.2 domain(39). Thus, the second TM of BTN2A2 could adopt the same conformation or
338 entirely cross the membrane to expose the B30.2 domain at the cell surface. The absence in the
339 galago (*O.garnettii*) of the difference simple versus double TM, conserved in other primates,
340 suggests that it is not as critical as the difference in the short cytoplasmic region upstream of the
341 B30.2 domain which is conserved in all species studied. This region upstream of the B30.2
342 domain is present in all *BTN* having a B30.2 exon (*BTN3A2* does not have a B30.2 domain due to
343 an insertion of an Alu repeat sequence interrupting the reading frame(40)) with a length varying
344 between groups. However, no function has been assigned to this region and the functional data
345 described so far involving the cytoplasmic region are all related to the B30.2 domain(9, 35).

346 In conclusion, we have shown that concerted evolution by homogenization marks the *BTN3*
347 history. These data pave new ways for studying the regulation of the *BTN3A2* and *3A3*
348 expression or the potential function of *BTN3A2* and *3A1* as paired receptors interacting with the
349 same ligand via the IgV domain but with different cellular effects across the cytoplasmic region.

350

351 **Aknowledgements** : DO laboratory is supported by Fondation pour la Recherche Médicale
352 (Equipe FRM DEQ20140329534). DO is a senior scholar of the Institut Universitaire de France.

353

354

355

356
357
358
359
360
361
362
363
364
365
366
367

368 **Figures legends**

369 **Figure 1. The *BTN3* repertoire is different between Primates with different evolution**
370 **profiles between domains. A)** Neighbor joining (NJ) phylogeny of complete coding sequences.
371 **B)** NJ phylogeny of the IgV coding sequences. **C)** NJ phylogeny of the IgC coding sequences. **D)**
372 NJ phylogeny of the B30.2 domain coding sequences of 3A1 and 3A3. **E)** Presentation of the
373 *BTN3* genes in the principal Primates groups and the protein domain architecture. Abbreviations:
374 Hsa : *Homo sapiens* ; Ptr : *Pan troglodytes* ; Ppa : *Pan paniscus* ; Pab : *Pongo abelii* ; Nle :

375 *Nomascus leucogenys* ; Pan : *Papio anubis* ; Mmu : *Macaca mulatta* ; Mfa : *Macaca fascicularis*
376 ; Cgu : *Colobus guereza* ; Oga : *Otolemur garnettii*.

377 **Figure 2. The *BTN3* 5' region is marked with a recombination and several**
378 **homogenizations. A)** Illustration of the generation of *BTN3A3* through a recombination between
379 a *BTN3A1-like* gene and a *3A2-like* gene. **B)** Illustration of the homogenization between the
380 *BTN3*. **C)** Alignment of Primates *BTN3* protein sequences of the signal peptide, the IgV domain
381 and the beginning of the IgC domain. Hominoid sequences are marked in turquoise and the Old
382 World Monkeys sequences in gray. Abbreviations: U: UTR; L: leader peptide; IgV: IgV domain;
383 IgC: IgC domain; TM: transmembrane domain; B30.2: B30.2 domain.

384 **Figure 3. Loss of the diversity at the exon encoding the IgV domain of *BTN3*.** The nucleotide
385 diversity normalized by base pair (bp) was calculated by a window of 100bp with a step of 25 bp
386 using the program DnaSP version 5.10.1 (41). Abbreviations: LP: leader peptide; IgV: IgV
387 domain; IgC: IgC domain; TM: transmembrane domain; B30.2: B30.2 domain.

388 **Figure 4. The *BTN3* are polymorphic in human populations but with an extremely**
389 **conserved IgV domain. A)** Summary of alleles and allotypes number for each *BTN3*. **B)** Values
390 of nucleotide diversity (π) by exon for the alleles of each *BTN3*. **C), E)** and **G)** Summary of non-
391 synonymous variations for allotypes with a frequency $\geq 1\%$ in at least one of the regions studied.
392 Allele nomenclature is based on the HLA convention: the first two digits (*xx) correspond to
393 amino acid differences, subsequent digits correspond to synonymous variations. Q denotes alleles
394 with a “questionable” structure due to a non-sense mutation. In gray are marked the sequences
395 obtained if the exon with the non-sense mutation is spliced. Abbreviations: LP: leader peptide;
396 IgV: IgV domain; IgC: IgC domain; CR: cytoplasmic region between the TM and the B30.2

397 domain; TM: transmembrane domain; B30.2: B30.2 domain; n: number of times the allotype is
398 observed; ter: termination codon; NS: non-synonymous.

399 **Figure 5. The evolution of *BTN2* in Primates is also marked by homogenizations. A)**
400 **Presentation of the *BTN2* of the principal Primates groups and their domain architecture. B)**
401 **Illustration of the homogenizations for each group and their localizations. C) Alignment of *BTN2***
402 **protein sequences for the TM and the beginning of the cytoplasmic region. D) Comparison of the**
403 **nucleotide diversity (π) values calculated for each exon between the two *BTN2*. Abbreviations:**
404 **U: UTR; L: leader peptide; IgV: IgV domain; IgC: IgC domain; TM: transmembrane domain and**
405 **B30.2: B30.2 domain. Abbreviations for the species names are the same as for the Figure 1.**

406

407

408

409

410

411

412 **References:**

413

- 414 1. Compte, E., P. Pontarotti, Y. Collette, M. Lopez, and D. Olive. 2004. Frontline: Characterization of
415 BT3 molecules belonging to the B7 family expressed on immune cells. *European Journal of*
416 *Immunology* 34: 2089-2099.
- 417 2. Messal, N., E. Mamessier, A. Sylvain, J. Celis-Gutierrez, M. L. Thibult, B. Chetaille, G. Firaguay, S.
418 Pastor, Y. Guillaume, Q. Wang, I. Hirsch, J. A. Nunès, and D. Olive. 2011. Differential role for
419 CD277 as a co-regulator of the immune signal in T and NK cells. *European Journal of Immunology*
420 41: 3443-3454.
- 421 3. Yamashiro, H., S. Yoshizaki, T. Tadaki, K. Egawa, and N. Seo. 2010. Stimulation of human
422 butyrophilin 3 molecules results in negative regulation of cellular immunity. *J. Leukoc. Biol.* 88:
423 757-767.

- 424 4. Abeler-Dörner, L., M. Swamy, G. Williams, A. C. Hayday, and A. Bas. 2012. Butyrophilins: an
425 emerging family of immune regulators. *Trends Immunol.* 33: 34-41.
- 426 5. Linsley, P. S., R. Peach, P. Gladstone, and J. Bajorath. 1994. Extending the B7 (CD80) gene family.
427 *Protein Sci.* 3: 1341-1343.
- 428 6. Arnett, H. A., S. S. Escobar, E. Gonzalez-Suarez, A. L. Budelsky, L. A. Steffen, N. Boiani, M. Zhang,
429 G. Siu, A. W. Brewer, and J. L. Viney. 2007. BTNL2, a butyrophilin/B7-like molecule, is a negative
430 costimulatory molecule modulated in intestinal inflammation. *J. Immunol.* 178: 1523-1533.
- 431 7. Nguyen, T., X. K. Liu, Y. Zhang, and C. Dong. 2006. BTNL2, a butyrophilin-like molecule that
432 functions to inhibit T cell activation. *J. Immunol.* 176: 7354-7360.
- 433 8. Simone, R., B. Barbarat, A. Rabellino, G. Icardi, M. Bagnasco, G. Pesce, D. Olive, and D. Saverino.
434 2010. Ligation of the BT3 molecules, members of the B7 family, enhance the proinflammatory
435 responses of human monocytes and monocyte-derived dendritic cells. *Mol. Immunol.* 48: 109-
436 118.
- 437 9. Harly, C., Y. Guillaume, S. Nedellec, C.-M. Peigné, H. Mönkkönen, J. Mönkkönen, J. Li, J. Kuball, E.
438 J. Adams, S. Netzer, J. Déchanet-Merville, A. Léger, T. Herrmann, R. Breathnach, D. Olive, M.
439 Bonneville, and E. Scotet. 2012. Key implication of CD277/butyrophilin-3 (BTN3A) in cellular
440 stress sensing by a major human $\gamma\delta$ T-cell subset. *Blood* 120: 2269-2279.
- 441 10. Bonneville, M., and J.-J. Fournié. 2005. Sensing cell stress and transformation through
442 Vgamma9Vdelta2 T cell-mediated recognition of the isoprenoid pathway metabolites. *Microbes*
443 *and infection / Institut Pasteur* 7: 503-509.
- 444 11. Sandstrom, A., C.-M. Peigné, A. Léger, J. E. Crooks, F. Konczak, M.-C. Gesnel, R. Breathnach, M.
445 Bonneville, E. Scotet, and E. J. Adams. 2014. The intracellular B30.2 domain of butyrophilin 3A1
446 binds phosphoantigens to mediate activation of human V γ 9V δ 2 T cells. *Immunity* 40: 490-500.
- 447 12. Vavassori, S., A. Kumar, G. S. Wan, G. S. Ramanjaneyulu, M. Cavallari, S. El Daker, T. Beddoe, A.
448 Theodosis, N. K. Williams, E. Gostick, D. A. Price, D. U. Soudamini, K. K. Voon, M. Olivo, J.
449 Rossjohn, L. Mori, and G. De Libero. 2013. Butyrophilin 3A1 binds phosphorylated antigens and
450 stimulates human $\gamma\delta$ T cells. *Nature Immunology* 14: 908-916.
- 451 13. Afrache, H., P. Gouret, S. Ainouche, P. Pontarotti, and D. Olive. 2012. The butyrophilin (BTN)
452 gene family: from milk fat to the regulation of the immune response. *Immunogenetics* 64: 781-
453 794.
- 454 14. Viken, M. K., A. Blomhoff, M. Olsson, H. E. Akselsen, F. Pociot, J. Nerup, I. Kockum, A. Cambon-
455 Thomsen, E. Thorsby, D. E. Undlien, and B. A. Lie. 2009. Reproducible association with type 1
456 diabetes in the extended class I region of the major histocompatibility complex. *Genes Immun.*
457 10: 323-333.
- 458 15. Hiramatsu, M., M. Oguri, K. Kato, T. Yoshida, T. Fujimaki, H. Horibe, K. Yokoi, S. Watanabe, K.
459 Satoh, Y. Aoyagi, M. Tanaka, H. Yoshida, S. Shinkai, Y. Nozawa, T. Murohara, and Y. Yamada.
460 2011. Association of a polymorphism of BTN2A1 with type 2 diabetes mellitus in Japanese
461 individuals. *Diabetic medicine : a journal of the British Diabetic Association* 28: 1381-1387.
- 462 16. Yoshida, T., K. Kato, H. Horibe, M. Oguri, M. Fukuda, K. Satoh, Y. Aoyagi, S. Shinkai, Y. Nozawa,
463 and Y. Yamada. 2011. Association of a genetic variant of BTN2A1 with chronic kidney disease in
464 Japanese individuals. *Nephrology* 16: 642-648.
- 465 17. Yoshida, T., K. Kato, M. Oguri, H. Horibe, T. Kawamiya, K. Yokoi, T. Fujimaki, S. Watanabe, K.
466 Satoh, Y. Aoyagi, M. Tanaka, H. Yoshida, S. Shinkai, Y. Nozawa, and Y. Yamada. 2011. Association
467 of a polymorphism of BTN2A1 with chronic kidney disease in individuals with or without
468 hypertension or diabetes mellitus. *Experimental and therapeutic medicine* 2: 325-331.
- 469 18. Oguri, M., K. Kato, T. Yoshida, T. Fujimaki, H. Horibe, K. Yokoi, S. Watanabe, K. Satoh, Y. Aoyagi,
470 M. Tanaka, H. Yoshida, S. Shinkai, Y. Nozawa, D. J. Shin, J. H. Lee, Y. Jang, and Y. Yamada. 2011.

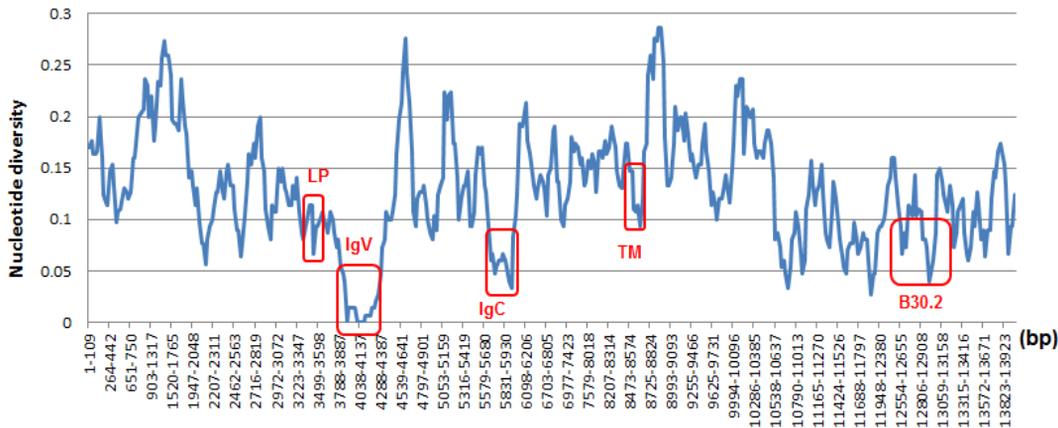
- 471 Association of a genetic variant of BTN2A1 with metabolic syndrome in East Asian populations.
472 *Journal of medical genetics* 48: 787-792.
- 473 19. Fujimaki, T., K. Kato, M. Oguri, T. Yohida, H. Horibe, K. Yokoi, S. Watanabe, K. Satoh, Y. Aoyagi, M.
474 Tanaka, H. Yoshida, S. Shinkai, Y. Nozawa, D. J. Shin, J. H. Lee, Y. Jang, and Y. Yamada. 2011.
475 Association of a polymorphism of BTN2A1 with dyslipidemia in East Asian populations.
476 *Experimental and therapeutic medicine* 2: 745-749.
- 477 20. Yamada, Y., T. Nishida, S. Ichihara, M. Sawabe, N. Fuku, Y. Nishigaki, Y. Aoyagi, M. Tanaka, Y.
478 Fujiwara, H. Yoshida, S. Shinkai, K. Satoh, K. Kato, T. Fujimaki, K. Yokoi, M. Oguri, T. Yoshida, S.
479 Watanabe, Y. Nozawa, A. Hasegawa, T. Kojima, B. G. Han, Y. Ahn, M. Lee, D. J. Shin, J. H. Lee, and
480 Y. Jang. 2011. Association of a polymorphism of BTN2A1 with myocardial infarction in East Asian
481 populations. *Atherosclerosis* 215: 145-152.
- 482 21. Yoshida, T., K. Kato, M. Oguri, H. Horibe, T. Kawamiya, K. Yokoi, T. Fujimaki, S. Watanabe, K.
483 Satoh, Y. Aoyagi, M. Tanaka, H. Yoshida, S. Shinkai, Y. Nozawa, and Y. Yamada. 2011. Association
484 of polymorphisms of BTN2A1 and ILF3 with myocardial infarction in Japanese individuals with
485 different lipid profiles. *Molecular medicine reports* 4: 511-518.
- 486 22. Kennedy, R. B., I. G. Ovsyannikova, I. H. Haralambieva, N. D. Lambert, V. S. Pankratz, and G. A.
487 Poland. 2014. Genetic polymorphisms associated with rubella virus-specific cellular immunity
488 following MMR vaccination. *Human genetics*.
- 489 23. Solovyev, V. 2007. Handbook of Statistical genetics. 3rd ed. C. C. M. B. D. Balding, ed. Wiley-
490 Interscience. 1616.
- 491 24. Staden, R., K. F. Beal, and J. K. Bonfield. 2000. The Staden package, 1998. *Methods in molecular*
492 *biology (Clifton, N.J.)* 132: 115-130.
- 493 25. Katoh, K., K. Misawa, K. Kuma, and T. Miyata. 2002. MAFFT: a novel method for rapid multiple
494 sequence alignment based on fast Fourier transform. *Nucleic Acids Res.* 30: 3059-3066.
- 495 26. Gudys, A., and S. Deorowicz. 2014. QuickProbs--a fast multiple sequence alignment algorithm
496 designed for graphics processors. *PLoS one* 9: e88901.
- 497 27. Tamura, K., G. Stecher, D. Peterson, A. Filipiński, and S. Kumar. 2013. MEGA6: Molecular
498 Evolutionary Genetics Analysis version 6.0. *Mol. Biol. Evol.* 30: 2725-2729.
- 499 28. Swofford, D. L. 2001. PAUP*: Phylogenetic analysis using parsimony (*and other methods),
500 version 4.0. Sinauer, Sunderland, Massachusetts.
- 501 29. Stamatakis, A. 2014. RAxML version 8: a tool for phylogenetic analysis and post-analysis of large
502 phylogenies. *Bioinformatics* 30: 1312-1313.
- 503 30. Genomes Project, C., G. R. Abecasis, A. Auton, L. D. Brooks, M. A. DePristo, R. M. Durbin, R. E.
504 Handsaker, H. M. Kang, G. T. Marth, and G. A. McVean. 2012. An integrated map of genetic
505 variation from 1,092 human genomes. *Nature* 491: 56-65.
- 506 31. Lappalainen, T., M. Sammeth, M. R. Friedlander, P. A. t Hoen, J. Monlong, M. A. Rivas, M.
507 Gonzalez-Porta, N. Kurbatova, T. Griebel, P. G. Ferreira, M. Barann, T. Wieland, L. Greger, M. van
508 Iterson, J. Almlof, P. Ribeca, I. Pulyakhina, D. Esser, T. Giger, A. Tikhonov, M. Sultan, G. Bertier, D.
509 G. MacArthur, M. Lek, E. Lizano, H. P. Buermans, I. Padioleau, T. Schwarzmayr, O. Karlberg, H.
510 Ongen, H. Kilpinen, S. Beltran, M. Gut, K. Kahlem, V. Amstislavskiy, O. Stegle, M. Pirinen, S. B.
511 Montgomery, P. Donnelly, M. I. McCarthy, P. Flicek, T. M. Strom, C. Geuvadis, H. Lehrach, S.
512 Schreiber, R. Sudbrak, A. Carracedo, S. E. Antonarakis, R. Hasler, A. C. Syvanen, G. J. van Ommen,
513 A. Brazma, T. Meitinger, P. Rosenstiel, R. Guigo, I. G. Gut, X. Estivill, and E. T. Dermitzakis. 2013.
514 Transcriptome and genome sequencing uncovers functional variation in humans. *Nature* 501:
515 506-511.
- 516 32. Stephens, M., and P. Scheet. 2005. Accounting for decay of linkage disequilibrium in haplotype
517 inference and missing-data imputation. *American journal of human genetics* 76: 449-462.

- 518 33. Stephens, M., N. J. Smith, and P. Donnelly. 2001. A new statistical method for haplotype
519 reconstruction from population data. *American journal of human genetics* 68: 978-989.
- 520 34. Chen, B., S. Wilkening, M. Drechsel, and K. Hemminki. 2009. SNP_tools: A compact tool package
521 for analysis and conversion of genotype data for MS-Excel. *BMC Res Notes* 2.
- 522 35. Sandstrom, A., C. M. Peigne, A. Leger, J. E. Crooks, F. Konczak, M. C. Gesnel, R. Breathnach, M.
523 Bonneville, E. Scotet, and E. J. Adams. 2014. The intracellular B30.2 domain of butyrophilin 3A1
524 binds phosphoantigens to mediate activation of human Vgamma9Vdelta2 T cells. *Immunity* 40:
525 490-500.
- 526 36. Yamada, E., and D. W. McVicar. 2008. Paired receptor systems of the innate immune system.
527 *Current protocols in immunology / edited by John E. Coligan ... [et al.]* Chapter 1: Appendix 1X.
- 528 37. Lanier, L. L. 2001. Face off--the interplay between activating and inhibitory immune receptors.
529 *Current opinion in immunology* 13: 326-331.
- 530 38. Fossum, S., P. C. Saether, J. T. Vaage, M. R. Daws, and E. Dissen. 2011. Paired opposing leukocyte
531 receptors recognizing rapidly evolving ligands are subject to homogenization of their ligand
532 binding domains. *Immunogenetics* 63: 809-820.
- 533 39. della Gaspera, B., D. Pham-Dinh, G. Roussel, J. L. Nussbaum, and A. Dautigny. 1998. Membrane
534 topology of the myelin/oligodendrocyte glycoprotein. *European journal of biochemistry / FEBS*
535 258: 478-484.
- 536 40. Tazi-Ahnini, R., J. Henry, C. Offer, C. Bouissou-Bouchouata, I. H. Mather, and P. Pontarotti. 1997.
537 Cloning, localization, and structure of new members of the butyrophilin gene family in the juxta-
538 telomeric region of the major histocompatibility complex. *Immunogenetics* 47: 55-63.
- 539 41. Librado, P., and J. Rozas. 2009. DnaSP v5: a software for comprehensive analysis of DNA
540 polymorphism data. *Bioinformatics* 25: 1451-1452.

541

542

Human *BTN3*



Mantled guereza (*Colobus guereza*) *BTN3*

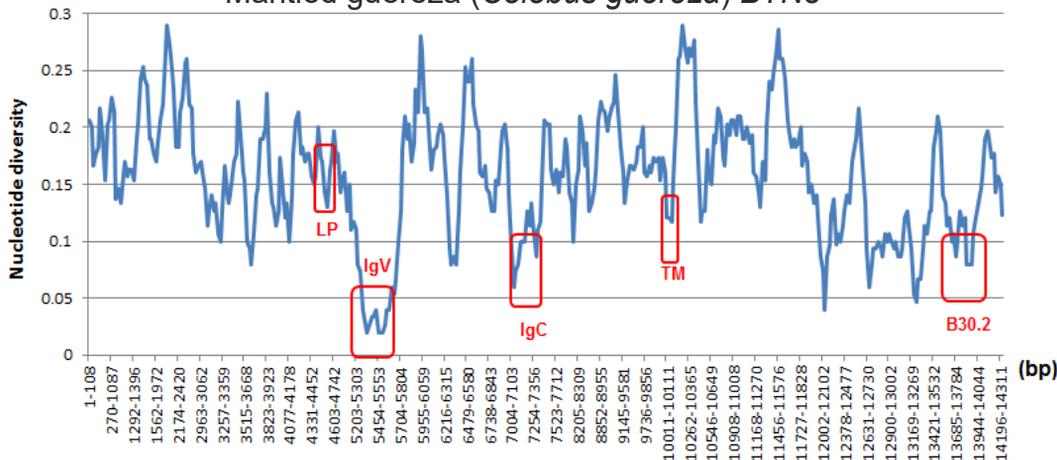


Figure 3. Loss of the diversity at the exon encoding the IgV domain of *BTN3*.

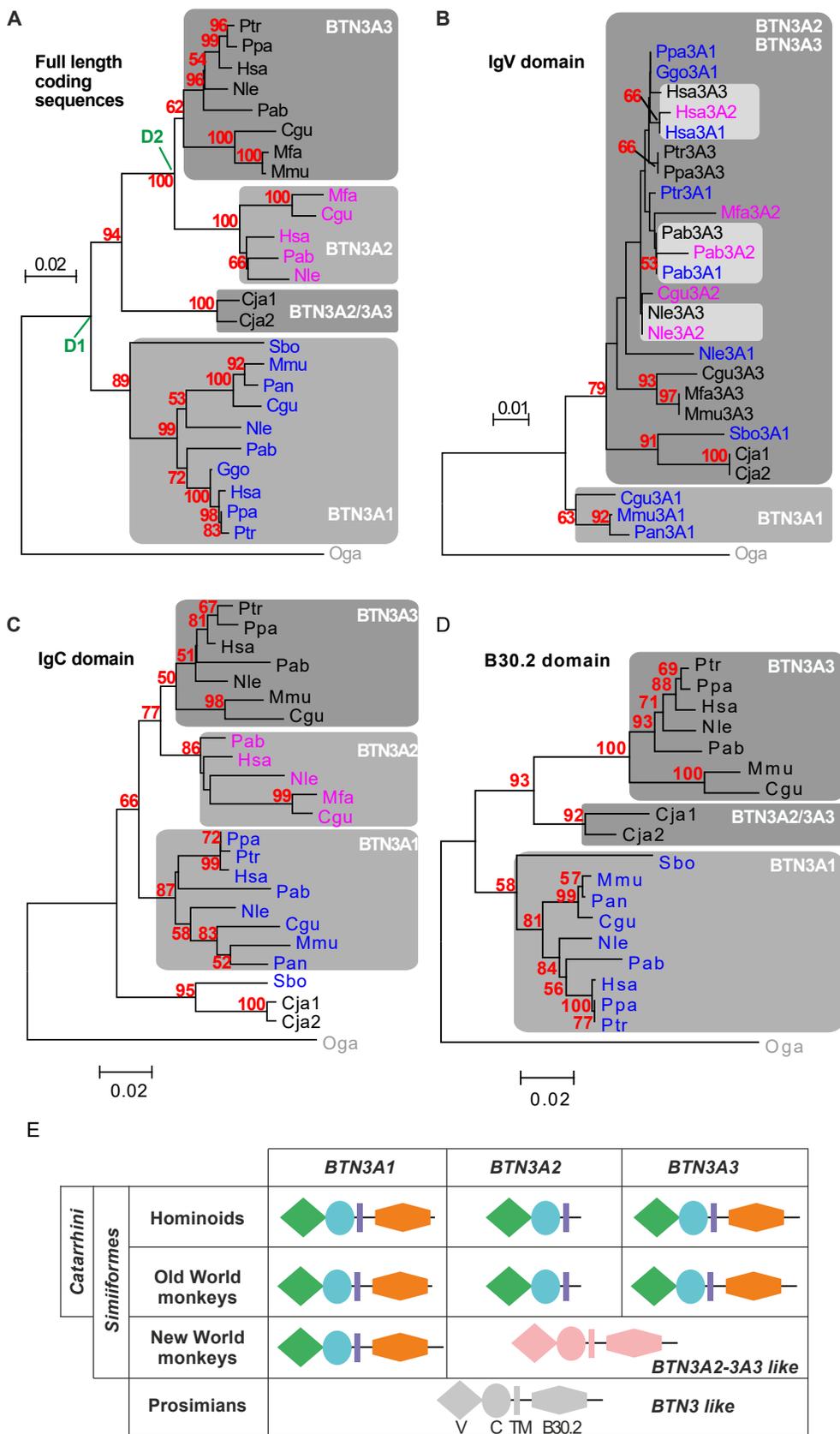


Figure 1: The *BTN3* repertoire is different between Primates with different evolution profiles between domains

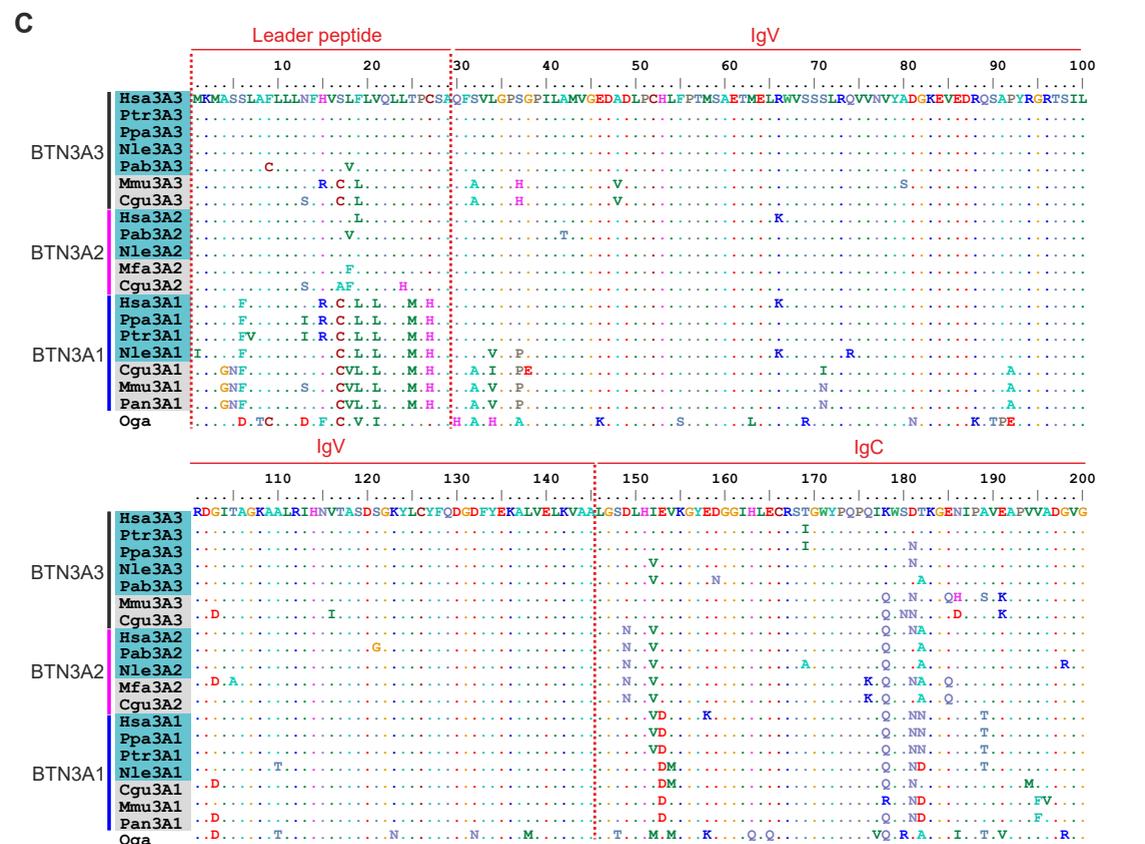
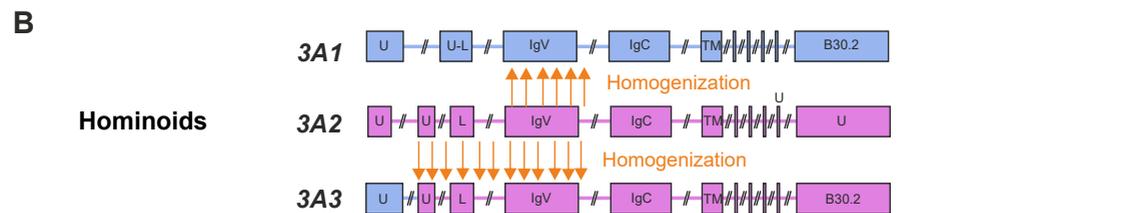
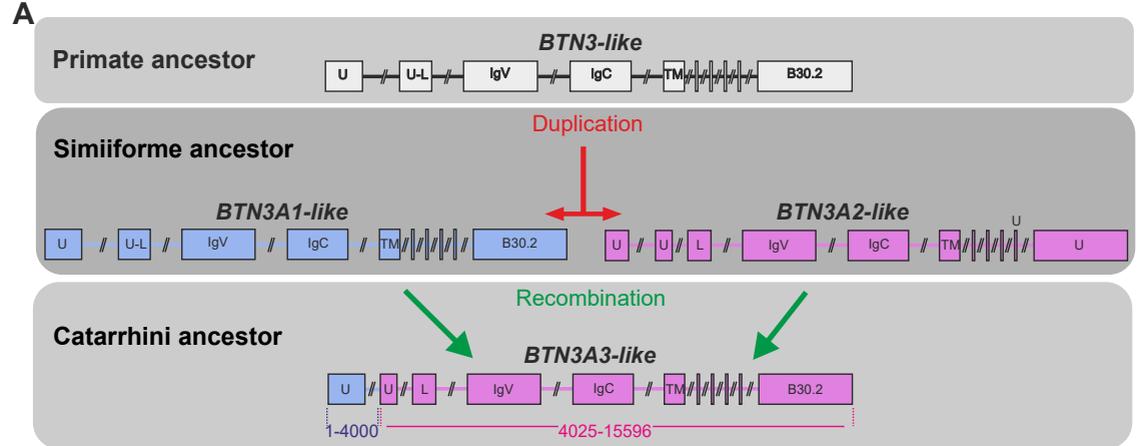


Figure 2. The *BTN3* 5' region is marked with a recombination and several homogenizations