

Orbital profile and orbit algebra of oligomorphic permutation groups

Conjecture of Macpherson

Justine Falque
joint work with Nicolas M. Thiéry

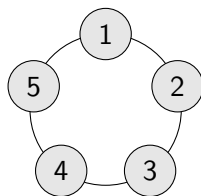
Laboratoire de Recherche en Informatique
Université Paris-Sud (Orsay)

February 22nd of 2018

Age and profile : example on a finite group (1)

Action of the cyclic group $G = C_5$ on the five pearl necklace

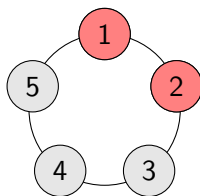
→ induced action on subsets of pearls



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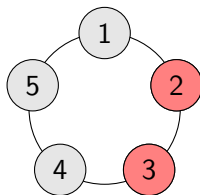
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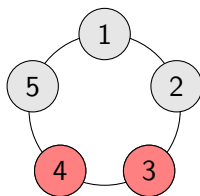
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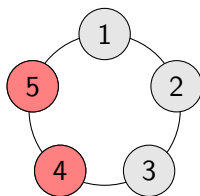
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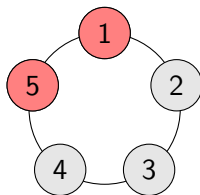
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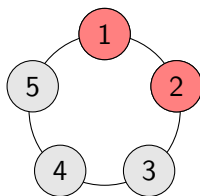


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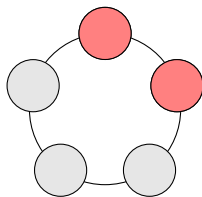


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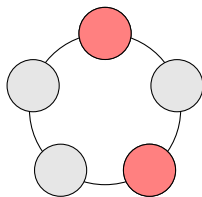


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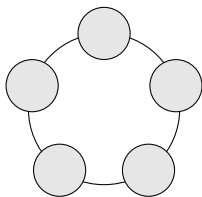
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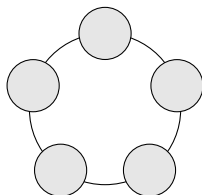
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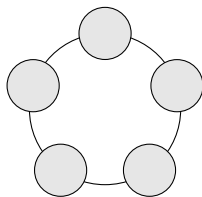
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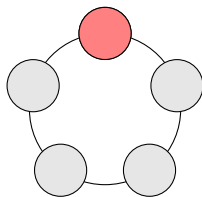
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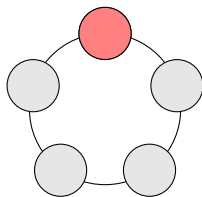
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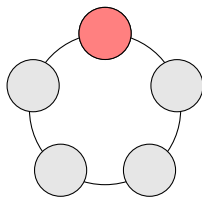
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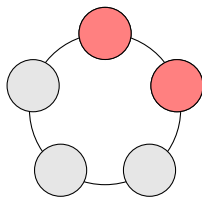
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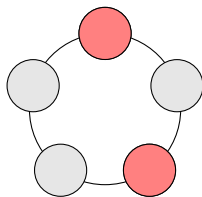
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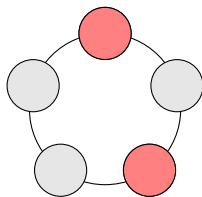
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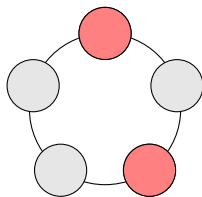
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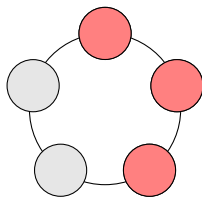
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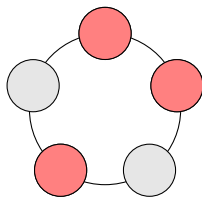
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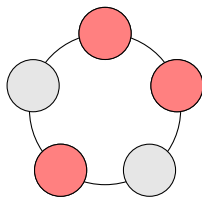
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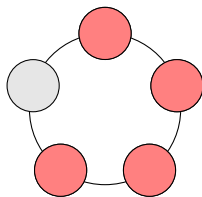
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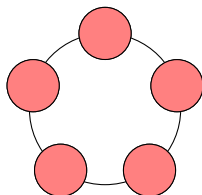
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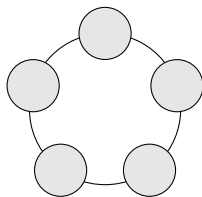
$$\varphi_G(2) = 2$$

$$\varphi_G(3) = 2$$

$$\varphi_G(4) = 1$$

$$\varphi_G(5) = 1$$

$$\varphi_G(n) = 0 \text{ si } n > 5$$



Age and profile : example on a finite group (2)

Generating polynomial of the profile :

$$\mathcal{H}_G(z) = \sum_{n \geq 0} \varphi_G(n) z^n = 1 + z + 2z^2 + 2z^3 + z^4 + z^5$$

Can be calculated using Pólya's theory

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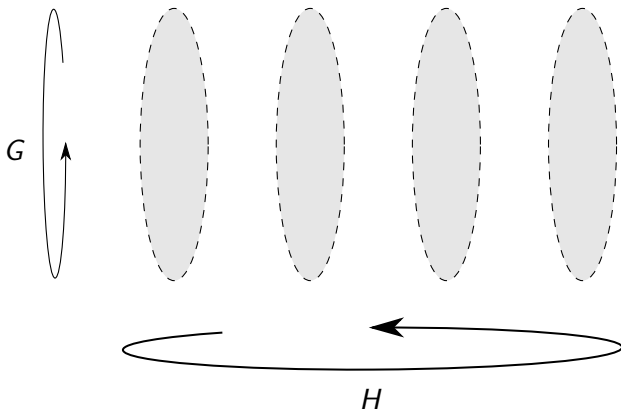
→ **Oligomorphic groups:**

$$\varphi_G(n) < \infty \quad \forall n \in \mathbb{N}$$

Wreath product of two permutation groups

$$G \leq \mathfrak{S}_M, H \leq \mathfrak{S}_N$$

$G \wr H$ has a natural action on $E = \sqcup_{i=1}^N E_i$, with $\text{card} E_i = M$.



Examples

- $G = \mathfrak{S}_\infty \wr \mathfrak{S}_\infty$ (action on a denumerable set of copies of \mathbb{N})

An orbit of degree $n \longleftrightarrow$ a partition of n

$\varphi_G(n) = \mathcal{P}(n)$, the number of partitions of n

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- $G = \mathfrak{S}_m \wr \mathfrak{S}_\infty$

$\varphi_G(n) = \mathcal{P}_m(n)$, number of partitions into parts of size $\leq m$

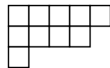
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- $G = \mathfrak{S}_\infty \wr \mathfrak{S}_m$

$\varphi_G(n) = \mathcal{P}_m(n)$, number of partitions into at most m parts

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Conjecture of Cameron

Conjecture (Cameron, 70s)

If a profile is bounded by a polynomial it is **quasi-polynomial**:

$$\varphi_G(n) = a_s(n)n^s + \cdots + a_1(n)n + a_0(n),$$

where the a_i 's are periodic functions.

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Note

$$\mathcal{H}_G = \frac{P(z)}{(1-z^{d_1})\cdots(1-z^{d_k})} \implies \varphi_G \text{ quasi-polynomial of degree at most } k - 1$$

Graded algebras

Definition: Graded algebra

$A = \bigoplus_n A_n$ such that $A_i A_j \subseteq A_{i+j}$.

Example

$A = \mathbb{K}[x_1, \dots, x_m]$ is a graded algebra.

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Proposition

A is finitely generated \implies Hilbert $(A) = \frac{P(z)}{(1-z^{d_1}) \dots (1-z^{d_k})}$

Example

Hilbert $(\mathbb{Q}[x, y, t^3]) = \frac{1}{(1-z)^2(1-z^3)}$

A strategy to prove Cameron's conjecture?

- G : an oligomorphic permutation group with polynomial profile
- Find a graded algebra $\mathbb{Q}\mathcal{A}(G) = \bigoplus_{n \geq 0} A_n$ such that

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- Try to show that $\mathbb{Q}\mathcal{A}(G)$ is finitely generated
- Deduce:

$$\mathcal{H}_G = \frac{P(z)}{(1 - z^{d_1}) \cdots (1 - z^{d_k})}$$

and thus the quasi-polynomiality of $\varphi_G(n)$

Cameron, 1980: the orbit algebra $\mathbb{Q}\mathcal{A}(G)$

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Vector space structure

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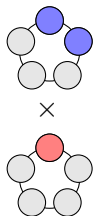
- Defined on subsets:

$$ef = \begin{cases} e \cup f & \text{if } e \cap f = \emptyset \\ 0 & \text{otherwise} \end{cases}$$

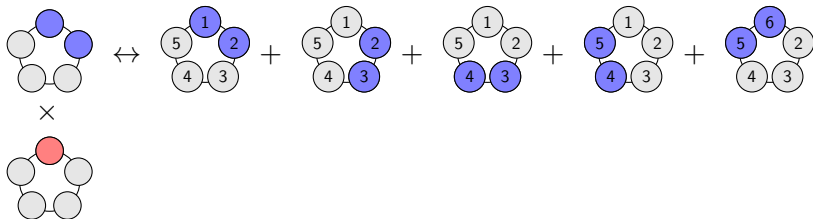
- $o = \{e_1, e_2, \dots\} \longleftrightarrow e_1 + e_2 + \dots$

Example of product on a finite case

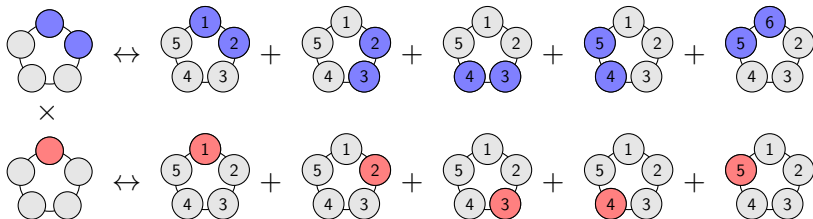
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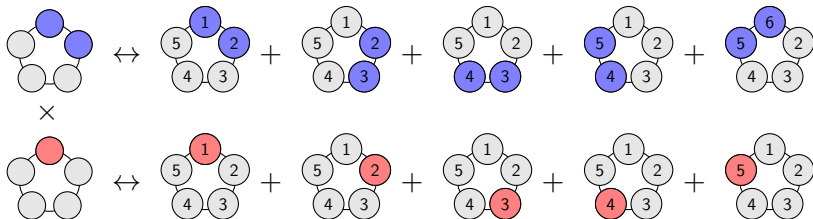
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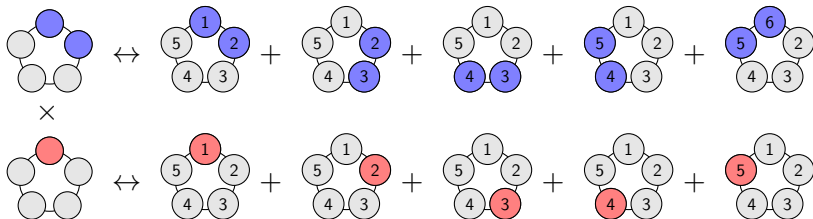
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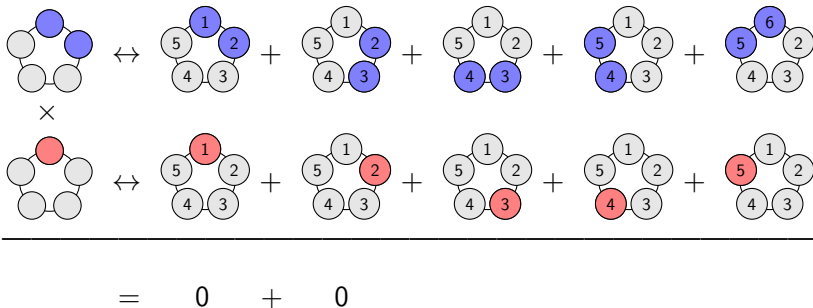


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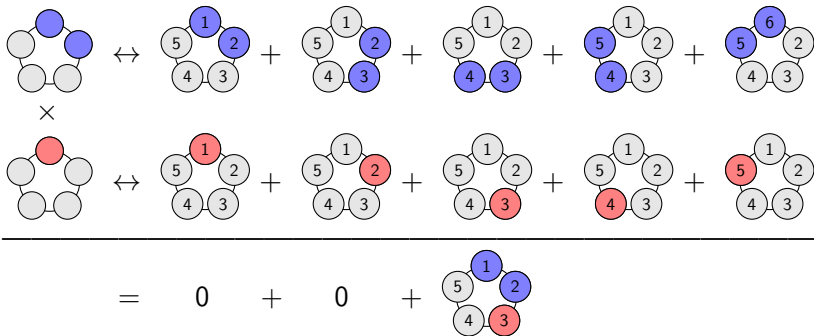


= 0

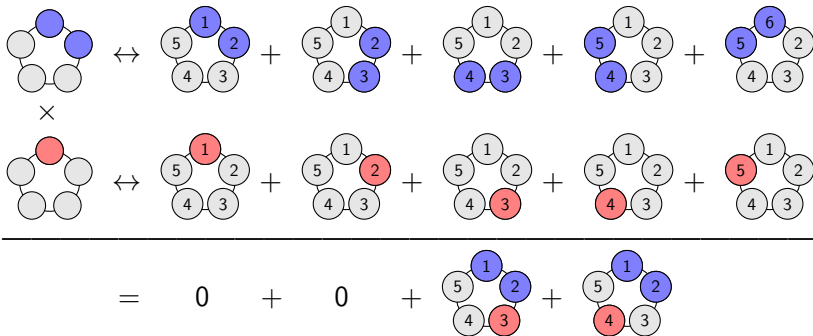
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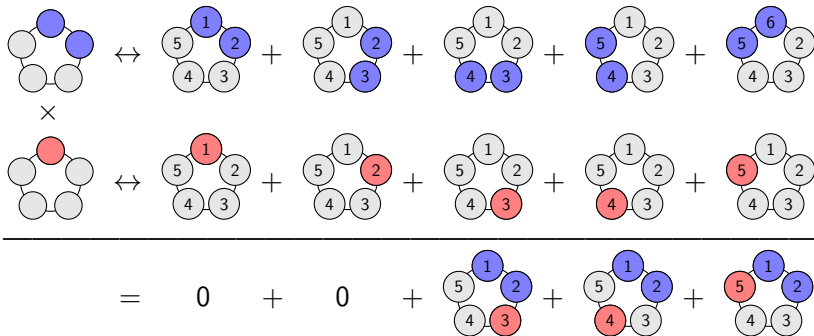
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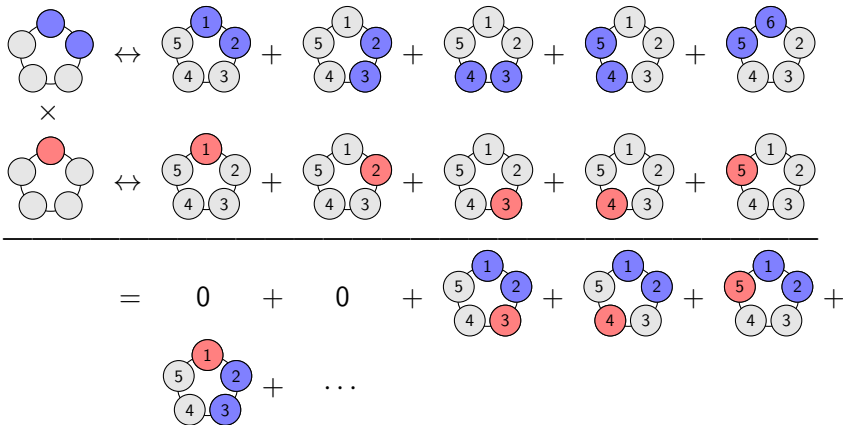
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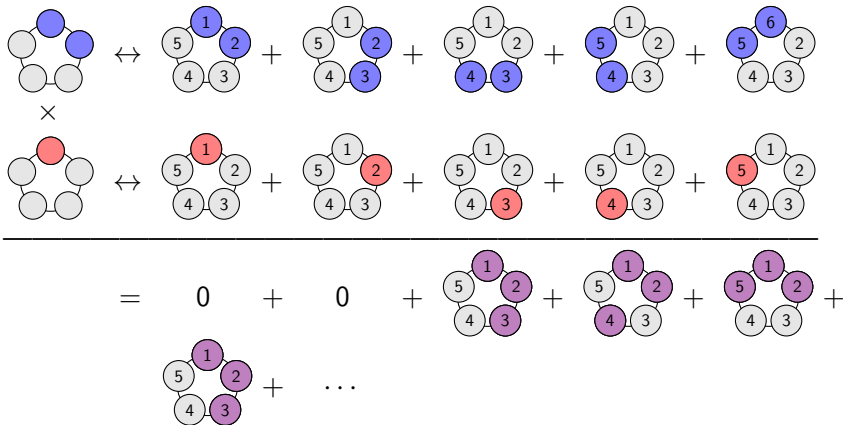
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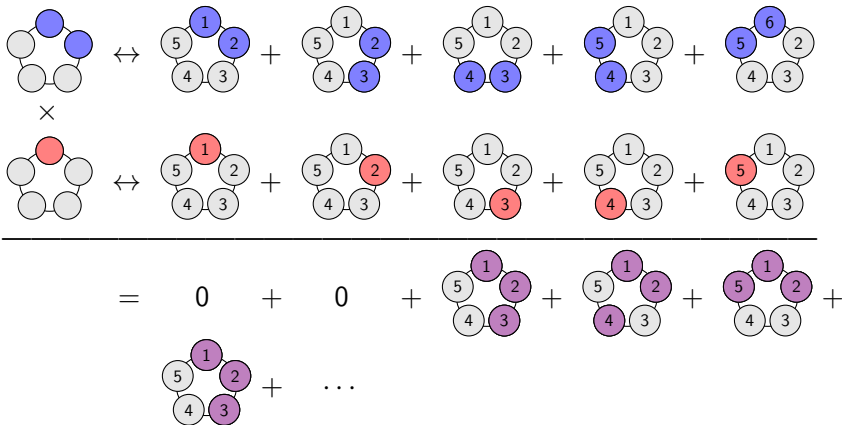
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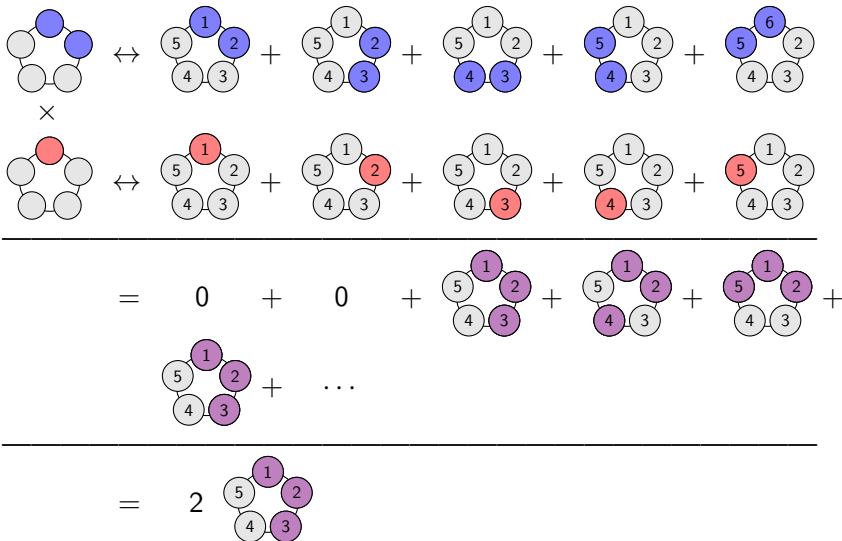
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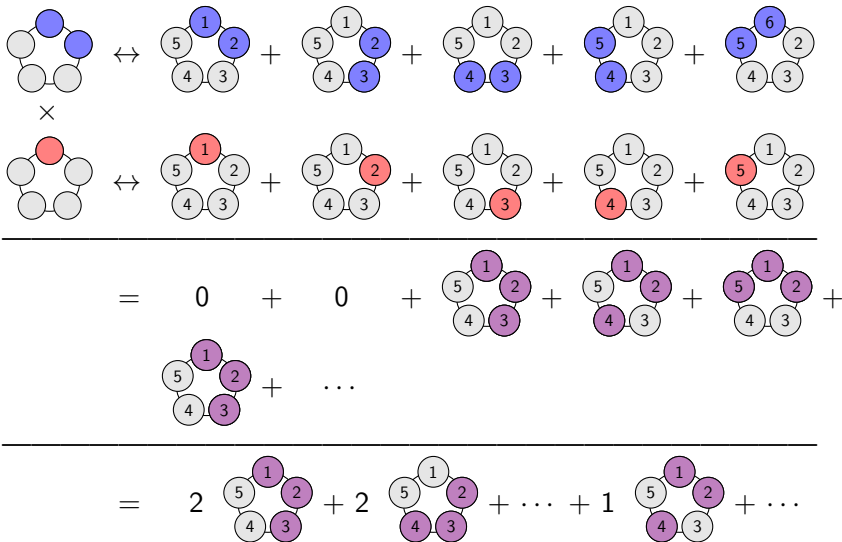


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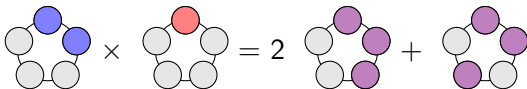
$$\begin{array}{c}
 \begin{array}{c} \text{Diagram 1} \\ \times \\ \text{Diagram 2} \end{array} \\
 \Leftrightarrow \begin{array}{c} \text{Diagram 1.1} \\ + \\ \text{Diagram 1.2} \\ + \\ \text{Diagram 1.3} \\ + \\ \text{Diagram 1.4} \\ + \\ \text{Diagram 1.5} \end{array} \\
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 \hline
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 \hline
 = \begin{array}{c} 2 \\ \text{Diagram 4.1} \\ + \\ 2 \\ \text{Diagram 4.2} \\ + \\ \dots \end{array}
 \end{array}$$

The diagrams are 5-cycles with nodes labeled 1, 2, 3, 4, 5. In the first row, the top diagram has nodes 1 and 2 highlighted in blue, and the bottom diagram has node 1 highlighted in red. The second row shows the decomposition of the product into five terms, each with one node highlighted in blue. The third row shows the decomposition into five terms, each with one node highlighted in red. The fourth row shows the simplification, where the first two terms are zero, and the remaining three terms have nodes 1, 2, and 3 highlighted in purple. The fifth row shows the final simplified result, where the first two terms have a coefficient of 2 and nodes 1, 2, and 3 highlighted in purple.

Example of product on a finite case



In the end:



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$$\begin{array}{c} \bullet \\ \bullet \\ \circ \\ \circ \\ \circ \end{array} \times \begin{array}{c} \bullet \\ \circ \\ \circ \\ \circ \\ \circ \end{array} = 2 \begin{array}{c} \bullet \\ \bullet \\ \circ \\ \circ \\ \circ \end{array} + \begin{array}{c} \bullet \\ \bullet \\ \circ \\ \circ \\ \circ \end{array}$$

Non trivial fact

Product well defined (and graded) on the space of orbits.

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$$\begin{array}{c} \text{blue} \\ \circ \\ \text{blue} \\ \circ \\ \circ \\ \circ \\ \circ \end{array} \times \begin{array}{c} \text{red} \\ \circ \\ \circ \\ \circ \\ \circ \\ \circ \end{array} = 2 \begin{array}{c} \text{purple} \\ \circ \\ \text{purple} \\ \circ \\ \circ \\ \circ \\ \circ \end{array} + \begin{array}{c} \text{purple} \\ \circ \\ \text{purple} \\ \circ \\ \circ \\ \circ \\ \circ \end{array}$$

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→ **The orbit algebra of a permutation group**

Examples of orbit algebras (1)

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If $G = \mathfrak{S}_\infty$, $\varphi_G(n) = 1$ for all n , and $\mathbb{Q}\mathcal{A}(G) = \mathbb{K}[x]$.

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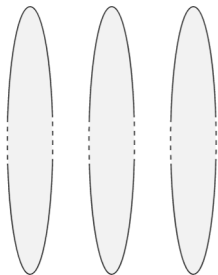
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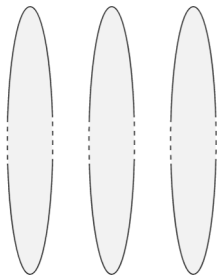
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If $G = \mathfrak{S}_\infty$, $\varphi_G(n) = 1$ for all n , and $\mathcal{QA}(G) = \mathbb{K}[x]$.

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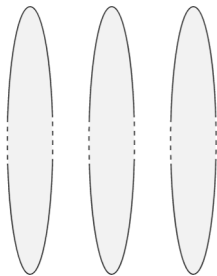
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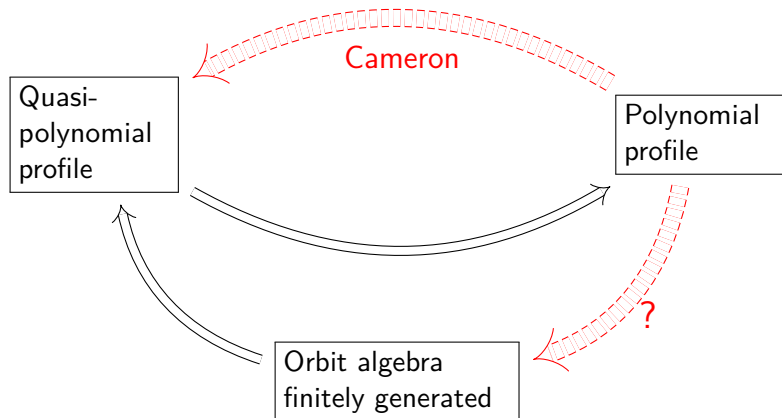
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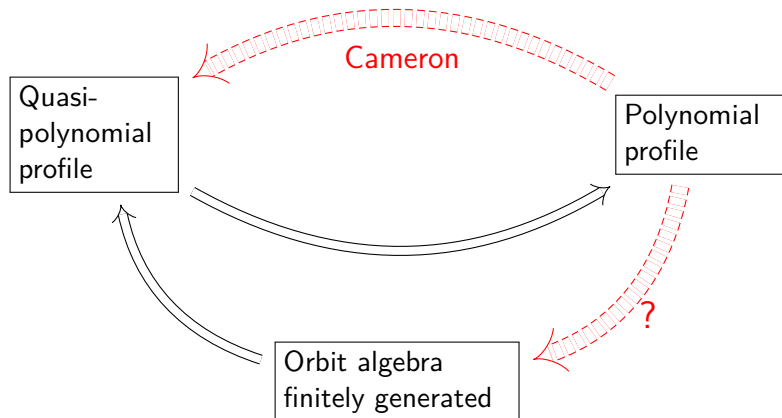
$$\rightarrow \mathbb{Q}\mathcal{A}(\mathfrak{S}_\infty \wr \mathfrak{S}_3) = \mathbb{K}[x_1, x_2, x_3]^{\mathfrak{S}_3}$$

More generally, for H subgroup of \mathfrak{S}_m ,
 $\mathbb{Q}\mathcal{A}(\mathfrak{S}_\infty \wr H) = \mathbb{K}[x_1, \dots, x_m]^H$, the
algebra of invariants of H

Overview and conjecture of Macpherson

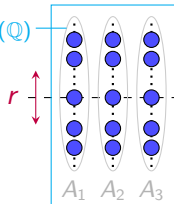
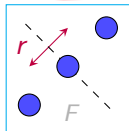
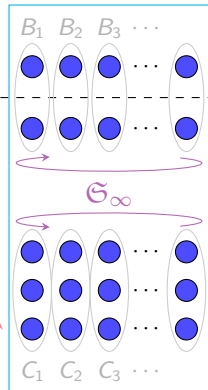
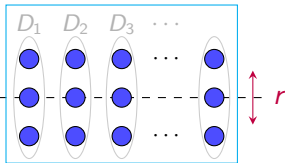


Overview and conjecture of Macpherson



Conjecture (Macpherson, 1985)

Profile of G polynomial $\iff \mathcal{QA}(G)$ finitely generated

$\mathfrak{S}_2 \times (\text{Aut}(\mathbb{Q}) \wr C_3)$ Rev(\mathbb{Q}) \mathfrak{S}_3  $(\mathfrak{S}_2 \times C_3) \times \mathfrak{S}_\infty$  $\mathfrak{S}_2 \times (C_3 \wr \mathfrak{S}_\infty)$ 

Finite index subgroups

Theorem

Let H be a finite index subgroup of G .

- The profiles of G and H are asymptotically equivalent
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Application : reduction of Macpherson's conjecture

Without loss of generality, we may assume for instance that G has no finite orbit.

But there will be more...

Block systems

Definition : Block system

Partition of E such that each part is globally mapped onto another one (or itself) by every element of G

(see previous examples)

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→ The groups we are interested in have a constantly equal to 1 profile or have a block system.

The complete primitive groups

Theorem (Classification, Cameron)

There are exactly 5 complete groups of constantly equal to 1 profile.

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- $\text{Aut}(\mathbb{Q})$: automorphisms of the rational chain (increasing functions)
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Well known, nice groups.

In particular, their orbit algebra is finitely generated.

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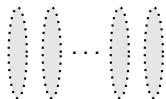
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- $B(G) \rightarrow$ action on the blocks is primitive
- Actually, G acts on the blocks as \mathfrak{S}_∞

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Example

If $G_1 = G_2 = \mathfrak{S}_\infty$, the actions are either independent or totally synchronized. One may assume safely, for our purposes, the same about the other four groups.

Application to the canonical block system

Works on orbits of blocks \rightarrow essentially independent in $B(G)$

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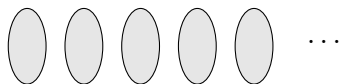
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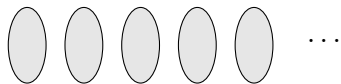
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The "hard case" : transitive block system of finite blocks



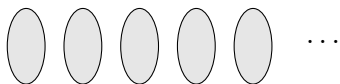
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Definition : Tower of G

$H_0 H_1 H_2 \dots$ where H_i is the restriction to the block $i + 1$ of the subgroup of G that stabilizes all the blocks and acts trivially on the first i blocks.

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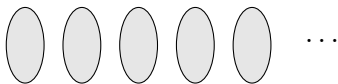
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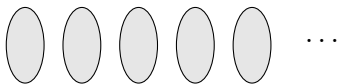
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Proposition 2

The tower of G must be of shape : $H_0 H H H \dots$

Thus, G has the same orbit algebra as $\frac{H_0}{H} \times H \wr \mathfrak{S}_\infty$,
which is of finite index over $H \wr \mathfrak{S}_\infty$.

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Sketch of proof.

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- Solves the issue of possible finite synchronizations between different orbits of blocks

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Recap : proof of the conjecture of Macpherson

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2. Successive reductions to a subgroup of final index
 - "no" finite orbit of elements
 - infinite blocks are primitive orbits
 - G acts as a wreath product on the orbits of finite blocks
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→ *The conjectures of Macpherson and Cameron hold !*

Stronger result : Cohen-Macauley algebra

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- Case of Cohen-Macaulay algebras (free finite module over a free finitely generated algebra) : $\exists P(z)$ with positive coefficients
- Once again, it is possible to adapt a proof of invariant theory to obtain that the orbit algebra is indeed a Cohen-Macaulay algebra

Thank you for your attention !

Context

- G permutation group of a countably infinite set E
- Profile φ_G : counts the orbits of finite subsets of E
- **Hypothesis** : $\varphi_G(n)$ bounded by a polynomial
- Conjecture (Cameron) : quasi-polynomiality of φ_G
- Conjecture (Macpherson) : finite generation of the orbit algebra

Results

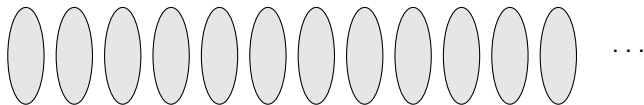
- Both conjectures hold
- The orbit algebra is a Cohen-Macaulay algebra

Question

- On what algebra ?

Direct product in the case of finite blocks

"Speak, friend..."

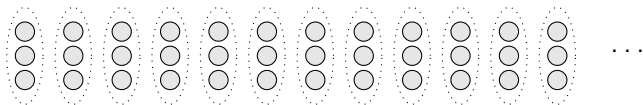


Direct product in the case of finite blocks

"Speak, friend..."

Example 3

$C_3 \times \mathfrak{S}_\infty$ acting on blocks of size 3

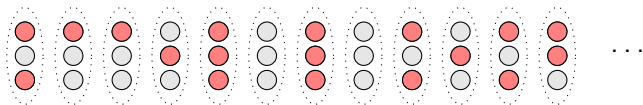


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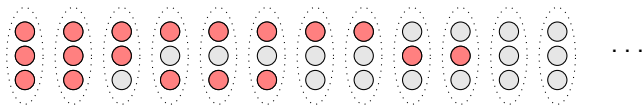


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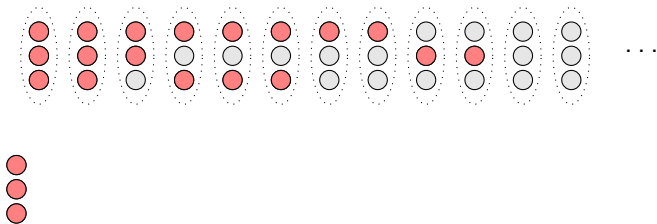


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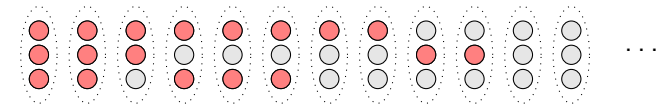


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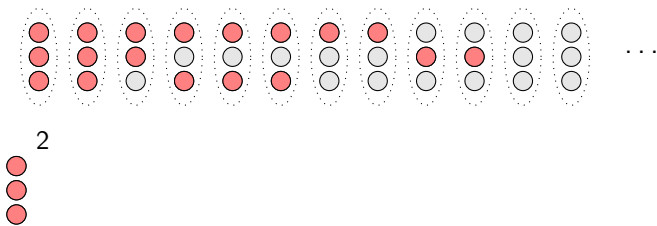


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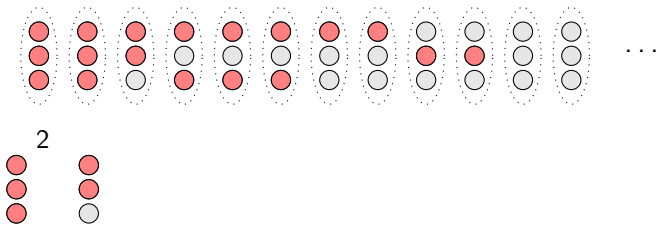


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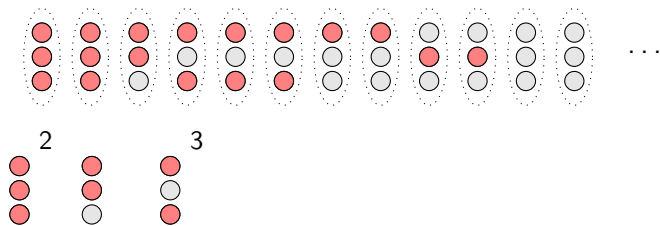
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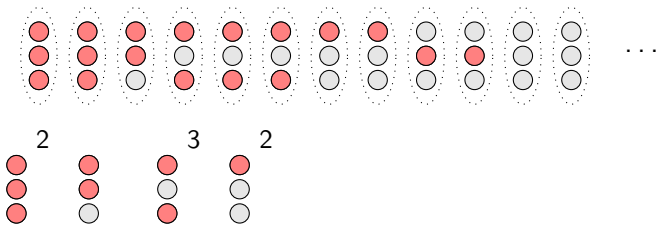
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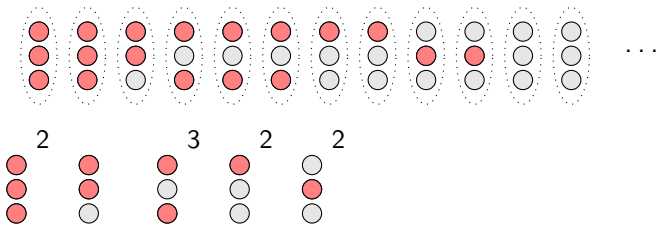


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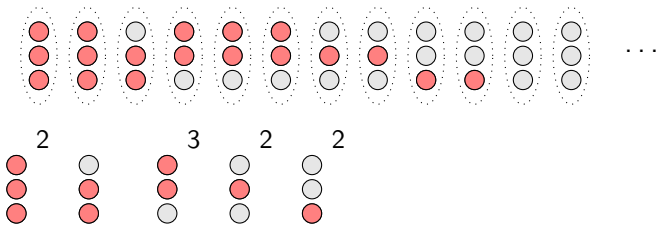


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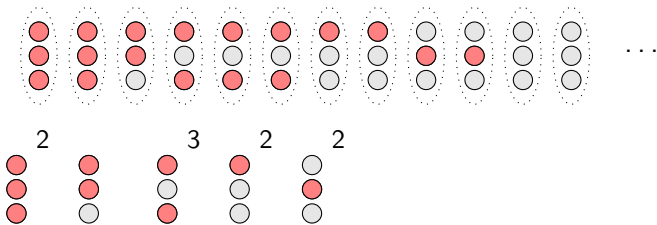


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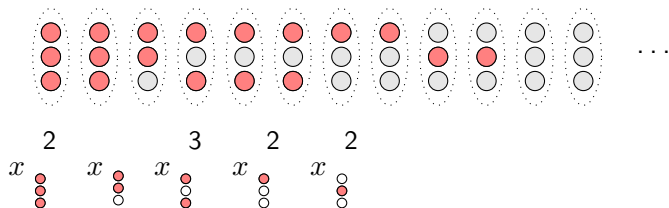
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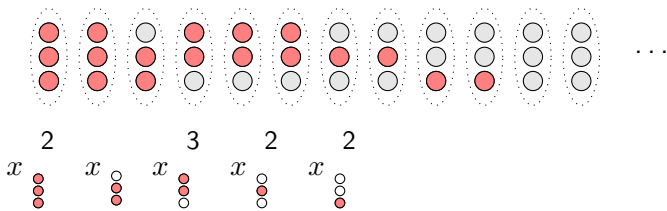
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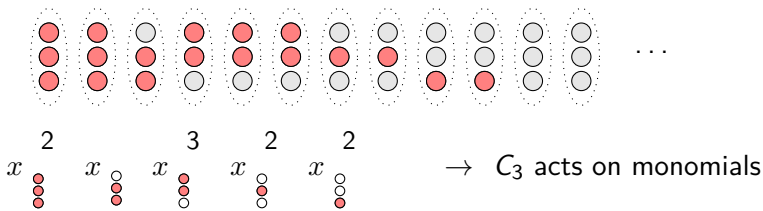


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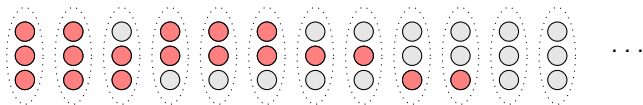
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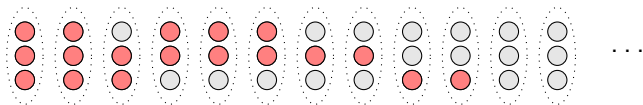
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 $C_3 \times \mathfrak{S}_\infty$ acting on blocks of size 3 $G' = C_3$ acting on (non empty) subsets $\mathbb{K}[x]^{G'}$ \longleftrightarrow Orbit algebra of $C_3 \times \mathfrak{S}_\infty$?

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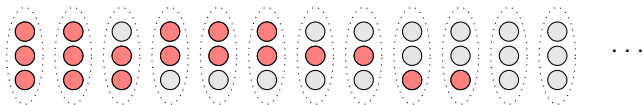
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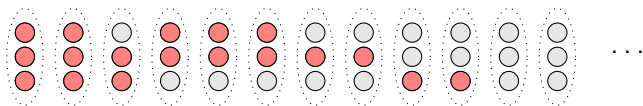
$$x \begin{array}{c} \bullet \\ \bullet \\ \circ \end{array} + x \begin{array}{c} \circ \\ \bullet \\ \bullet \end{array}$$

$$x \begin{array}{c} \bullet \\ \circ \\ \circ \end{array} + x \begin{array}{c} \circ \\ \circ \\ \bullet \end{array}$$

Direct product in the case of finite blocks

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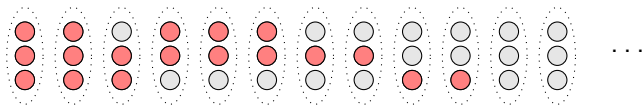
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$$\begin{array}{c} x \\ \bullet \\ \bullet \\ \circ \end{array} + \begin{array}{c} x \\ \circ \\ \bullet \\ \bullet \end{array} + \begin{array}{c} x \\ \bullet \\ \circ \\ \bullet \end{array} \\
 \begin{array}{c} x \\ \bullet \\ \circ \\ \circ \end{array} + \begin{array}{c} x \\ \circ \\ \bullet \\ \circ \end{array} + \begin{array}{c} x \\ \circ \\ \circ \\ \bullet \end{array}$$

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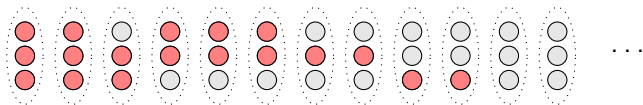
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Direct product in the case of finite blocks

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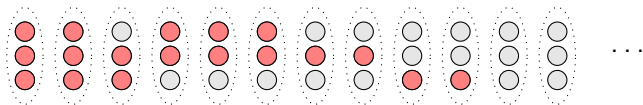
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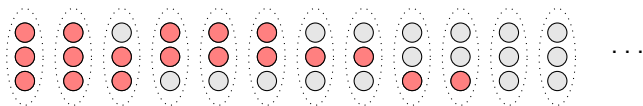
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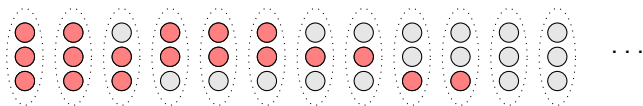
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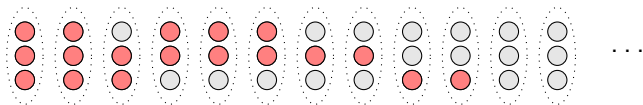
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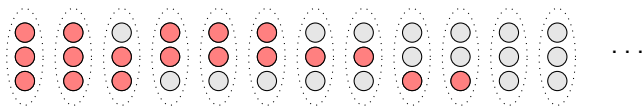
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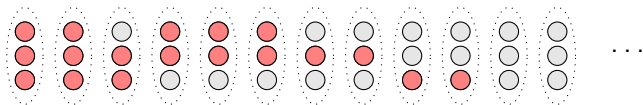
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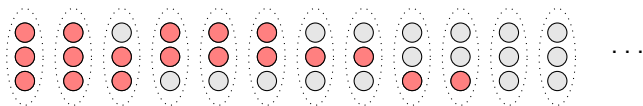
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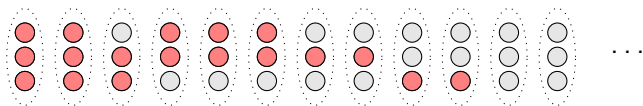
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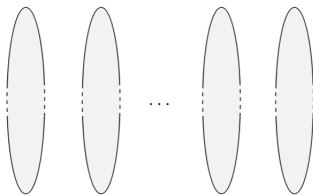
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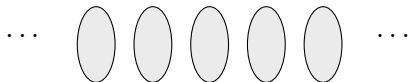
Examples of orbit algebras (2)

More generally, for H subgroup of \mathfrak{S}_m :

- $G = \mathfrak{S}_\infty \wr H$:
 $\mathbb{Q}\mathcal{A}(G) = \mathbb{K}[x_1, \dots, x_m]^H$, the algebra of invariants of H
 $\mathbb{Q}\mathcal{A}(G)$ is finitely generated by Hilbert's theorem.



- $G = H \wr \mathfrak{S}_\infty$:
 $\mathbb{Q}\mathcal{A}(G) =$ the free algebra generated by the age of H



The "hard" case : case of four blocks

Lemma to prove

G has tower $H_0 H_1 H_2 H_3 \Rightarrow H_1 = H_2$

Lemma

In the general case :

$\text{Fix}_G(B_1, \dots, B_n)$ acts on the remaining blocks as \mathfrak{S}_∞
(due to the absence of normal subgroup of finite index of \mathfrak{S}_∞).

Proof.

An element $s \in G$ stabilizing the blocks \leftrightarrow a quadruple

$g \in H_1 \rightarrow \exists (1, g, h, k), \quad h, k \in H_1.$

Let σ be an element of G that permutes the first two blocks and fixes the other two.

Conjugation of x by σ in $G \rightarrow y = (g', 1, h, k)$

Then: $x^{-1}y = (g', g^{-1}, 1, 1)$

By arguing that the tower does not depend on the ordering of the blocks, g^{-1} and therefore g are in H_2 .