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Two laboratory methods of assessing annoyance due to railway noise and vibration

Running title: Combined noise and vibration: methodological issues

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Abstract

In laboratory experiments, *total annoyance* due to combined noise and vibration, and *partial annoyances* due to each source in the presence of the other, can be assessed in two ways: during separate sessions dedicated to the evaluation of each kind of annoyance, and during the same session. This paper examines the difference between annoyance responses provided by the two methods. No differences were found between partial (respectively total) annoyance responses measured during separate sessions and those measured during the same session. The latter procedure allows reducing the number of stimuli to which the participants are subjected.

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1 I. INTRODUCTION

2 Railway traffic has been increasing in Europe over the last few decades, especially in large
3 urban areas. Annoyance due to railway noise has been widely studied, as has annoyance due
4 to combined noise sources, i.e. when railway noise is heard in the presence of other noise
5 sources (e.g. road traffic, cf. [1] for a review on the topic of combined noises). Railway noise
6 is often linked to building vibration caused by passing trains. This vibration propagates
7 through the ground, from the tracks to inside adjacent houses. It may be perceptible and
8 cause annoyance ([2]). Until now, only a small number of studies have been conducted to
9 investigate annoyance due to combined noise and vibration (cf. [3] for a review). More
10 laboratory and *in situ* studies are needed to further understand perceptual mechanisms
11 when both types of exposure are involved.

12 Studies dealing with annoyance due to combined sources distinguish specific annoyance,
13 partial annoyance and total annoyance. Specific annoyance refers to the annoyance due to
14 one source in isolation. Partial annoyance (primarily defined by [4]) refers to annoyance due
15 to one source (e.g. noise) in the presence of another one (e.g. vibration). Annoyance due
16 to all sources combined is referred to as total (or overall) annoyance. Annoyance responses
17 can be collected during *in situ* and laboratory studies, making it possible to understand the
18 phenomena involved and to propose annoyance models.

19 During *in situ* studies ([5]), partial and total annoyance responses are commonly assessed
20 within the same questionnaire. In the literature, one question asked is whether the order
21 of the questions on annoyance affects annoyance responses ([6]). In studies conducted in
22 the laboratory on combined noise sources, participants are asked during the same session
23 to rate partial and total annoyance after each stimulus has been presented (e.g. [7]). In
24 this case, it is interesting to know whether participants compromise between the different
25 annoyance responses. For combined noise and vibration exposures, partial annoyances and
26 total annoyance are commonly assessed during separate sessions. To our knowledge, no
27 studies have been conducted to determine a potential difference between partial and total
28 annoyance responses collected during the same session and during separate sessions.

29 The goal of this study was to measure the influence of two laboratory methods used to assess
30 partial and total annoyances due to railway noise and vibration on the participants' answers.
31 The initial hypothesis was that both methods would lead to similar results. The stimuli

32 were a set of 16 combinations of railway noise and vibration recorded in a dwelling close to a
33 railway track. Partial and total annoyance responses were collected during separate sessions
34 dedicated to evaluating each kind of annoyance after which they were assessed during the
35 same session.

36 II. METHOD

37 A. Participants

38 Thirty-two adults (20 males, 12 females; mean age = 33.2 years, standard deviation =
39 9.7 years) took part in this experiment. An audiogram was measured before the experiment
40 to ensure that they had normal hearing abilities. They were naive concerning the goal of the
41 study and could ask any question about the experiment if it was not related to the objective
42 of the study. Participants' written consent was obtained in accordance with the Helsinki
43 declaration.

44 B. Stimuli

45 The noise and vibration stimuli were recorded simultaneously inside a house during a
46 train passage. The noise was recorded using a stereophonic system, along with an om-
47 nidirectional microphone to calibrate recordings, as in previous studies ([8]). Vibration
48 was recorded using an accelerometer Piezotronics 393B12 (PCB Piezotronics, Depew, NY,
49 www.pcb.com) operating in the frequency range of interest (*i.e.* between 1 and 80 Hz for
50 whole-body vibration). The railway track was approximately 10 meters from the building
51 facade. Recordings took place in the center of a bedroom where the inhabitant reported the
52 strongest vibration, in conformity with ISO 2631-2 ([9]). The position of the microphones
53 was approximately 1.5 meters above the ground.

54 The duration of the extracted pass-by was 13.5 seconds. The spectrum of the extracted
55 acoustic signal was broad band and the frequency range varied between 40 Hz and 20 kHz
56 while the dominant frequency of the vibration signal was 55 Hz . Based on these acoustic
57 and vibration signals, noise stimuli and vibration stimuli were presented at 4 different levels.
58 The equivalent sound levels, $L_{A_{eq}}$, of the 4 noise stimuli N_1 , N_2 , N_3 and N_4 , were 44, 50,
59 56 and 62 $dB(A)$, respectively. The lowest level of 44 $dB(A)$ corresponded to the level of

60 the recorded pass-by with no gain applied. The unweighted root-mean-square (r.m.s.) ac-
61 celerations of the 4 vibration stimuli V_1 , V_2 , V_3 and V_4 , averaged over the total duration of
62 the pass-by, were 0.0299, 0.0543, 0.0714 and 0.0943 $m.s^{-2}$, respectively. The lowest r.m.s.
63 acceleration of 0.0299 $m.s^{-2}$ corresponded to the acceleration measured on site. These levels
64 were chosen according to the literature ([10],[11]). Therefore, there were 16 combinations
65 N_iV_j of noise and vibration ($i = 1 : 4, j = 1 : 4$).

66 C. Apparatus

67 Vibration was generated in the vertical direction by an electrodynamic shaker LDS-V650
68 (B&K, Nærum, Denmark, www.bksv.com). The participants sat on a rigid stool secured
69 to a platform mounted on the shaker. A guardrail was placed around the platform as a
70 safety precaution for the participants. They were told not to lean against it and to maintain
71 their bodies in a vertical posture during the experiment. Noise was presented through
72 two loudspeakers (Tapco S5) placed in front of each participant so that they formed an
73 equilateral triangle with the participant’s head. The experiment was performed in a semi-
74 anechoic chamber with background noise below 30 $dB(A)$. Lastly, a touch screen was placed
75 in front of the participant to display instructions and annoyance scales.

76 D. Procedure

77 At the beginning of the experiment, the participants were trained so that they could
78 familiarize themselves with the stimulus range and annoyance scale. A numerical continuous
79 scale was used, ranging from 0 (“not at all”) to 10 (“extremely”), adapted from the ICBEN
80 recommendations ([12]). Four stimuli were presented during this training period: a “weak”
81 combination of the lowest noise and vibration levels, a “strong” combination of the highest
82 noise and vibration levels, a stimulus composed of noise alone and a stimulus composed of
83 vibration alone. After this training period, the experiment consisted of four sessions: A, B,
84 C and D. The participants engaged in each session in random order. During each of these
85 sessions, they were exposed to the 16 combinations of noise and vibration, also in random
86 order. The participants had to rate their annoyance after each stimulus:

- 87 - During session A, the participants had to evaluate partial annoyance due to the noise

88 heard within each of the 16 combined stimuli N_iV_j . During this session, specific
89 annoyance due to the 4 noise stimuli N_i in isolation was also assessed (a total of 20
90 stimuli: $16 N_iV_j + 4N_i$).

91 - During session B, the participants had to evaluate partial annoyance due to the vi-
92 bration felt within each of the 16 combined stimuli N_iV_j . During this session, specific
93 annoyance due to the 4 vibration stimuli V_j in isolation was also assessed (a total of
94 20 stimuli: $16N_iV_j + 4V_j$).

95 - During session C, the participants had to evaluate total annoyance due to combined
96 noise and vibration (16 stimuli N_iV_j).

97 - During session D, the participants were asked to evaluate partial annoyance due to
98 noise, partial annoyance due to vibration and total annoyance due to combined noise
99 and vibration (16 stimuli N_iV_j).

100 A stimulus could be played as many times as necessary before the annoyance response was
101 given. This response could not be modified once the next stimulus had been played. At the
102 end of each session, the participants were asked to rate the difficulty of the task on the same
103 continuous numerical scale. The experiment lasted approximately 45 minutes.

104 III. RESULTS

105 The results were analyzed to evaluate potential differences between the annoyance mea-
106 sured using both procedures. Repeated-measures analyses of variance (RM-ANOVA) were
107 carried out on the annoyance responses. All the *post hoc* analyses were carried out using
108 Tukey's Honest Significant Difference (HSD) test. In order to focus on the comparison of
109 both procedures, specific annoyance responses will not be considered in this paper. FIG. ??
110 indicates the mean noise annoyance responses (A_N), mean vibration annoyance responses
111 (A_V) and total annoyance responses (A_T), collected during sessions A and D, during sessions
112 B and D and during sessions C and D, respectively.

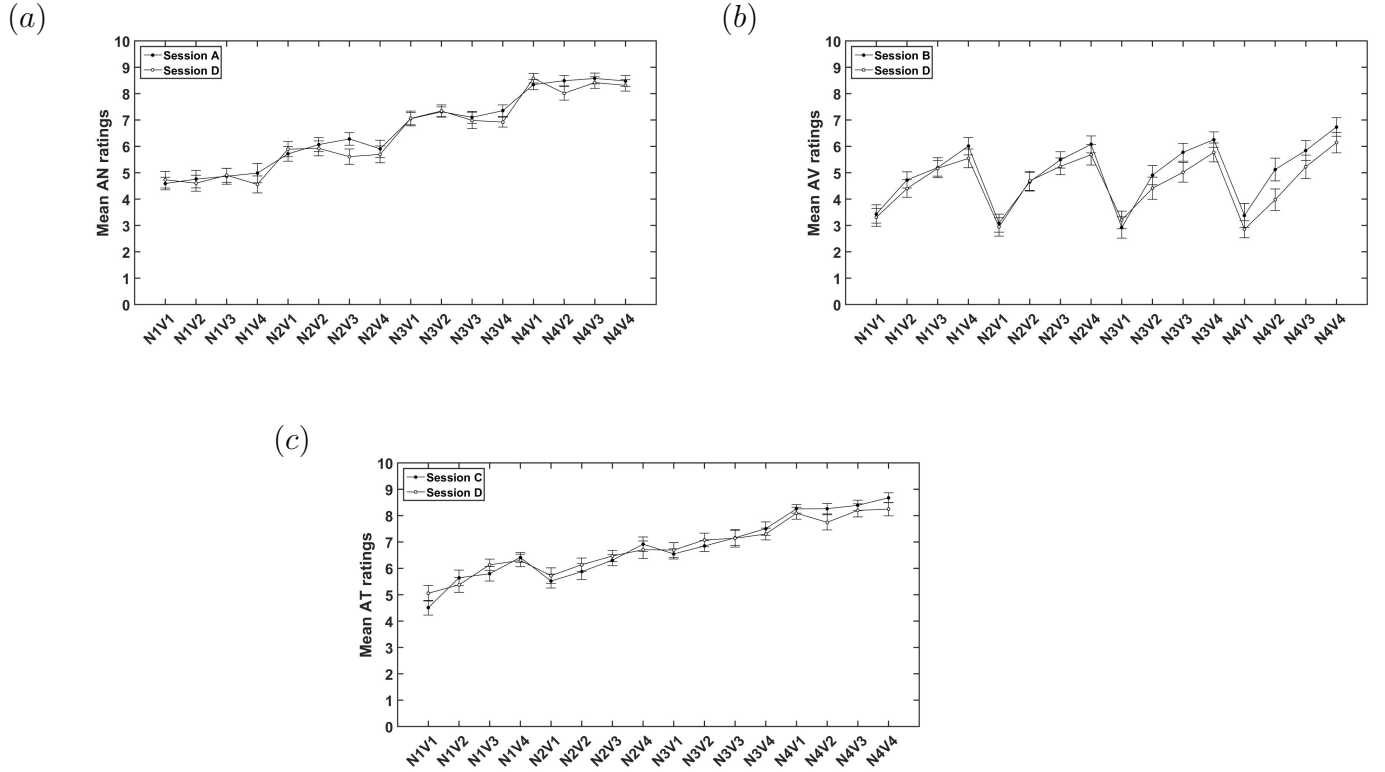


FIG. 1. Mean annoyance ratings and standard errors: (a) partial noise annoyance, (b) partial vibration annoyance and (c) total annoyance.

114 **A. Influence of the method on annoyance responses**

115 In order to study the influence of the method of collecting annoyance responses on the
 116 participants' answers, three one-factorial RM-ANOVAs were carried out, considering the
 117 factor *SESSION*. The results indicated that the effect of the session was not significant on
 118 A_N responses [$F(1, 31) = 1.12, p > 0.29$], A_V responses [$F(1, 31) = 3.55, p > 0.06$] and A_T
 119 responses [$F(1, 31) = 0.01, p > 0.91$].

120 **B. Influence of the method on task difficulty**

121 The difficulty ratings expressed at the end of each session were also analyzed using an one-
 122 factorial RM-ANOVA with the factor *SESSION*. The effect of the method on task difficulty
 123 was significant [$F(3, 93) = 5.7364, p < 0.01$]. The proportion of variance η^2 explained by
 124 the factor *SESSION* was 2.4%, giving information on the small size of the effect. A *post*
 125 *hoc* analysis confirmed that the difficulty responses were significantly higher for session D

126 than for session A ($p < 0.001$) and than for session C ($p < 0.05$). However, mean difficulty
127 ratings remained below 5 out of 10 for the four sessions.

128 C. Effects of noise and vibration levels on annoyance responses for both methods

129 The effects of noise and vibration levels on A_N , A_V and A_T were analyzed to investigate
130 the similarity of trends between sessions A, B and C on the one hand, and session D on
131 the other hand. Six two-factorial RM-ANOVAs were conducted with factors *NOISE* and
132 *VIBRATION* levels (four levels per factor).

133 1. Partial noise annoyance responses

134 The effect of noise level on A_N was significant, whether the responses were collected during
135 session A [$F(3, 93) = 157.49$, $p < 0.001$, $\eta^2 = 47.5\%$] or during session D [$F(3, 93) = 146.20$,
136 $p < 0.001$, $\eta^2 = 44.8\%$]. The effect of vibration level on A_N collected during sessions A
137 and D was not significant, [$F(3, 93) = 2.70$, $p > 0.05$] and [$F(3, 93) = 1.13$, $p > 0.34$],
138 respectively.

139 2. Partial vibration annoyance responses

140 The effect of vibration level on A_V collected during sessions B and D was significant
141 with a large effect size, [$F(3, 93) = 75.47$, $p < 0.001$, $\eta^2 = 24.2\%$] and [$F(3, 93) = 77.83$,
142 $p < 0.001$, $\eta^2 = 19.7\%$], respectively. On the one hand, the effect of the noise level on A_V
143 collected during session B was significant [$F(3, 93) = 3.75$, $p < 0.015$, $\eta^2 = 0.6\%$], but the
144 effect size was very small. The result of a *post hoc* analysis indicated that when the noise
145 level was 62 $dB(A)$, A_V differed significantly from A_V when the noise level was below 56
146 $dB(A)$. On the other hand, no influence of the noise level on A_V collected during session D
147 was observed [$F(3, 93) = 0.11$, $p > 0.95$].

148 3. Total annoyance responses

149 The effects of both the noise and vibration levels on the A_T responses collected during
150 session C were significant, [$F(3, 93) = 127.85$, $p < 0.001$, $\eta^2 = 35.9\%$] and [$F(3, 93) = 28.94$,

151 $p < 0.001$, $\eta^2 = 5.8\%$], respectively. The effects of both the *NOISE* and *VIBRATION* levels
152 on the A_T responses collected during session D were also significant, [$F(3, 93) = 92.86$,
153 $p < 0.001$, $\eta^2 = 25.1\%$] and [$F(3, 93) = 18.21$, $p < 0.001$, $\eta^2 = 2.9\%$], respectively. There
154 was a significant interaction between the two factors on the A_T responses collected during
155 sessions C and D, [$F(9, 279) = 3.13$, $p < 0.002$, $\eta^2 = 1.5\%$] and [$F(9, 279) = 2.34$, $p < 0.016$,
156 $\eta^2 = 1\%$], respectively.

157 **IV. DISCUSSION**

158 Regarding the results of the three one-factorial RM-ANOVAs carried out on A_N (collected
159 during sessions A and D), A_V (collected during sessions B and D) and A_T (collected during
160 sessions C and D) with factor *SESSION*, it seems that the factor session (*i.e.* the method)
161 had no influence on the partial and total annoyance responses. However, the method of
162 collecting the annoyance responses seems to have had a small effect on task difficulty.

163 The results of the six two-factorial RM-ANOVAs conducted with the factors *NOISE* and
164 *VIBRATION* levels suggest that the presence of vibration did not have any influence on
165 the A_N responses. This finding is in agreement with the conclusions of previous laboratory
166 studies (e.g. [10]). However, other research works conducted in the laboratory and *in situ*
167 (e.g. [13]) showed that this influence may exist and therefore contradict this conclusion.
168 In a laboratory experiment, Paulsen and Kastka [11] showed that noise annoyance tends to
169 increase with vibration magnitude only for low to moderate noise levels. However, the noise
170 levels used in their experiment were quite low compared to those used in other studies (e.g.
171 [10] and the current study). This difference in the noise levels could explain the differences
172 between the results of these studies.

173 The noise level seems to have had an influence on the A_V collected during session B. Although
174 this result is consistent with other studies ([10],[11],[13]), this influence was not found when
175 the A_V were collected during session D. Although the proportion of variance η^2 explained
176 by the noise level during session B was very small (less than 1%). Therefore the effect of
177 the noise level highlighted on A_V was very weak.

178 Both noise and vibration levels influenced A_T . According to the proportions of variance
179 explained by the two factors, A_T is mainly influenced by noise. This result is in agreement
180 with several laboratory and *in situ* studies ([10],[11],[13]).

181 V. CONCLUSION

182 The purpose of this study was to compare two methods of collecting annoyance responses
183 in laboratory conditions: their collection during the same session or during separate sessions.
184 Four sessions with 16 combined noise and vibration stimuli were designed to achieve this
185 goal. During the first session (A), the task was to evaluate partial noise annoyance. During
186 another session (B), the task was to evaluate partial vibration annoyance. The task in the
187 third session (C) was to evaluate total annoyance. Finally during the fourth session (D), the
188 task was to evaluate all three annoyances after each stimulus.

189 The results indicated that no differences existed between the partial and total annoyance
190 responses collected during the same session and during separate sessions. Session D appeared
191 as rather more difficult for participants. Lastly, session D was suitable as a reduced number
192 of stimuli can lead to as many results as the cumulative sessions A, B and C.

193 Therefore, this laboratory method may be adopted in further experiments in which a larger
194 number of stimuli are used.

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