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**Effect of various upper limb multibody models on soft tissue artefact correction: a case study**

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**Abstract**

Soft tissue artefacts (STA) introduce errors in joint kinematics when using cutaneous markers, especially on the scapula. Both segmental optimisation and multibody kinematics optimisation (MKO) algorithms have been developed to improve kinematics estimates. MKO based on a chain model with joint constraints avoids apparent joint dislocation but is sensitive to the biofidelity of chosen joint constraints. Since no recommendation exists for the scapula, our objective was to determine the best models to accurately estimate its kinematics. One participant was equipped with skin markers and with an intracortical pin screwed in the scapula. Segmental optimisation and MKO for 24-chain models (including four variations of the scapulothoracic joint) were compared against the pin-derived kinematics using root mean square error (RMSE) on Cardan angles. Segmental optimisation led to an accurate scapula kinematics ( $1.1^{\circ} \leq \text{RMSE} \leq 3.3^{\circ}$ ) even for high arm elevation angles. When MKO was applied, no clinically significant difference was found between the different scapulothoracic models ( $0.9^{\circ} \leq \text{RMSE} \leq 4.1^{\circ}$ ) except when a free scapulothoracic joint was modelled ( $1.9^{\circ} \leq \text{RMSE} \leq 9.6^{\circ}$ ). To conclude, using MKO as a STA correction method was not more accurate than segmental optimisation for estimating scapula kinematics.

**Keywords:** Soft tissue artefact; Multibody kinematics optimisation; Upper limb; Shoulder;

Kinematics model

## 1 **1. Introduction**

2           Soft tissue artefact (STA) remains one of the major issues when studying upper limb  
3 movements through the use of marker-based motion capture systems (Leardini et al., 2005).  
4 Indeed, STA up to 35° in the humeral internal-external rotation (Cutti et al., 2005), and up to  
5 8.7 cm at the scapula have been highlighted (Matsui et al., 2006). This makes translations and  
6 rotations of the scapula difficult to measure, especially with the anatomical marker set  
7 recommended by the International Society of Biomechanics (Wu et al., 2005), where markers  
8 are placed on angulus acromialis, trigonum spinae and angulus inferior to track the scapula.

9           To overcome this issue, a first approach can be based on the use of technical markers  
10 placed on the acromion. However, while results show a more accurate kinematics (Lempereur  
11 et al., 2014), the use of these additional markers is limited. Indeed, several studies restrained  
12 arm elevations to only 120° due to the risk of markers occlusions, high measurement errors  
13 associated to deltoid bulging, and loss of contact between markers and acromion (Meskers et  
14 al., 2007; van Andel et al., 2009). Another approach can be to use post-acquisition data  
15 processing methods. Several methods minimising the deformation of a set of markers have  
16 been proposed as a way to correct STA (Cheze et al., 1995; Söderkvist and Wedin, 1993).  
17 Such segmental optimisation methods have been applied to the lower limb and were shown to  
18 be unable to correct rigid body displacement (Cappozzo et al., 2005; Dumas and Cheze,  
19 2009). Thus, segmental optimisations were rarely applied to the upper limb (Lempereur et al.,  
20 2014, 2010; Prinold et al., 2011) . An alternative method, initially promoted for lower limb  
21 movement analysis (Andersen et al., 2009; Reinbolt et al., 2005), is called multibody  
22 kinematics optimisation (MKO). Using this approach, rigid body displacements are partially  
23 corrected, but the resulting joint kinematics is highly influenced by the set of joint models  
24 (Andersen et al., 2010). In particular, anatomically-based joint models result in a more

25 accurate kinematics for the lower limb (Duprey et al., 2010). However, only a few studies  
26 have focused on the application of this approach to the upper limb (Dumas et al., 2016;  
27 Duprey et al., 2016 submitted).

28 The shoulder complex is commonly modelled as an open-loop kinematic chain with  
29 joints represented as three rotational degrees-of-freedom (DoF) joints (Högfors et al., 1991;  
30 Yang et al., 2009). However, regarding the glenohumeral joint, experimental studies reported  
31 *in vivo* upward translations up to 12.4 mm (Dal Maso et al., 2014; Graichen et al., 2000). Joint  
32 models including a 6-DoF or a parallel mechanism (El Habachi et al., 2015a) may partially  
33 solve this issue. Unfortunately, little is known about sternoclavicular and acromioclavicular  
34 joint translations, as only rotations have been investigated on these joints (Sahara et al., 2007).  
35 Furthermore, in presence of a kinematic chain, a recent study showed that joint kinematics is  
36 highly sensitive to the model parameters, especially to the clavicle length (El Habachi et al.,  
37 2015b). Thus, regarding the shoulder kinematic chain model, the level of biofidelity required  
38 to correct STA remains unknown.

39 In order to correct the above-mentioned limitations (*i.e.* markers occlusions, limited  
40 arm elevations, and sensitivity to model parameters), some authors proposed to include the  
41 scapulothoracic joint in the model, resulting in a closed-loop mechanism (*i.e.* fewer DoFs).  
42 This joint is often defined as a geometrical constraint, resulting in a contact between one to  
43 three fixed points belonging to the scapula with an ellipsoid representing the thorax (Garner  
44 and Pandy, 1999; Maurel, 1995; Tondu, 2005). This can be achieved through a geometrical  
45 constraint or by using an equivalent parallel mechanism (Ingram et al., 2016). However, a  
46 cadaveric study (Sah and Wang, 2009) showed that the scapula's area in contact with the  
47 thorax changes throughout a movement covering the complete arm reachable space. Models  
48 with fixed contact points between the scapula and the thorax may thus introduce systematic  
49 errors, and lead to penetration of the scapula into the thorax. On the other hand, a model only

50 constraining the scapula to be tangent to the thorax should result in a more physiological  
51 scapulothoracic model (Blana et al., 2008; Tondu, 2007; van der Helm, 1994). As a result, it  
52 can be seen that various upper limb models have been developed in the literature (Duprey et  
53 al., 2016). However, results obtained with such a correction method are rarely compared to  
54 experimental reference data (Charbonnier et al., 2014; El Habachi et al., 2015a).

55 The aim of this study was thus to assess and compare different STA correction  
56 methods based on a segmental optimisation approach and on MKO. These optimisations were  
57 either associated with an open-loop or a closed-loop chain integrating different  
58 scapulothoracic joints (related to different kinematic constraints). The questions at stake here  
59 were: 1) Is MKO more efficient than segmental optimisation in STA correction for the upper  
60 limb and more specifically the scapula? 2) Should a closed-loop chain model be favoured  
61 over an open-loop chain model? 3) When using a closed-loop chain model, which  
62 scapulothoracic constraints should be preferred?

## 63 **2. Material and methods**

### 64 **2.1. Experimental data**

65 This study is a secondary use of a previous protocol, where only four participants were  
66 involved due to its invasiveness (Dal Maso et al., 2014). This protocol was approved by the  
67 local ethics committees of the University of Montreal (Canada) and the Karolinska Institutet  
68 (Sweden). Each participant signed an informed consent prior to this study. A detailed  
69 description of this protocol has been made available by Dal Maso et al. (2014). Briefly,  
70 intracortical pins were positioned distal to the medial attachment of the deltoid on the  
71 humerus, on the scapula spine, and on the superior part of the anterior concavity of the  
72 clavicle (Fig. 1). Rigid clusters of four (*i.e.* scapula, clavicle) or five (*i.e.* humerus) markers  
73 were connected firmly to the pins. Because STA were assumed to be small on the thorax

74 compared to the distance between the markers, and because the fastening of pins is difficult in  
75 the sternum, cutaneous markers were used on this segment. These markers were positioned on  
76 the first and tenth thoracic vertebrae (T1, T10), incisura jugularis (IJ) and xiphoid process  
77 (XP), and were completed by the set of 28 technical markers used by Jackson et al. (2012)  
78 covering the whole upper limb (Fig. 1). To calibrate the model, an anatomical position and  
79 three series of functional movements were collected, which mobilised the sternoclavicular,  
80 acromioclavicular and glenohumeral DoFs, respectively (Jackson et al., 2012; Michaud et al.,  
81 2016). Then, the participants performed 10 repetitions of two tasks: abduction-adduction and  
82 flexion-extension of the arm. All movements were recorded using a system of 18  
83 optoelectronic VICON<sup>TM</sup> cameras (Oxford Metrics Ltd., Oxford, UK). Marker occlusions  
84 were reported. As said in previous studies (Dal Maso et al., 2016, 2014), the scapula pin in  
85 two participants rotated slightly. Also, due to discomfort related to the invasiveness of the  
86 protocol, one other participant was not able to perform arm elevation movements above 120°.  
87 Only the data of the remaining participant (male, 27 years, 57 kg, 165 cm) were thus used for  
88 the subsequent analysis. Joint kinematics (*i.e.* rotations and translations) was extracted from  
89 the pin markers' trajectories and reported. The expression of the joint kinematics, hereafter  
90 called reference kinematics, followed the recommendations of the International Society of  
91 Biomechanics (Wu et al., 2005).

## 92 2.2. Models

93 A shoulder girdle model composed of three rigid segments (*i.e.* thorax, scapula,  
94 humerus) was defined with glenohumeral, acromioclavicular and sternoclavicular joints  
95 modelled as spherical joints. The clavicle was not modelled as a segment but as a kinematic  
96 constraint, *i.e.* a constant length between the scapula and the thorax (El Habachi et al., 2015a).  
97 The three joint centres were obtained using the SCoRE algorithm (Ehrig et al., 2006) applied  
98 on the three series of functional movements. For the clavicle and the arm, the Jackson et al.'s



99 (2012) marker set was adopted. For the thorax, only the markers placed on the xiphoid  
100 process, incisura jugularis, and thoracic vertebrae (T1 and T10) were retained. For the  
101 scapula, the four markers placed on the acromioclavicular joint, and the markers placed on the  
102 angulus acromialis and on the lateral part of the scapula spine (*i.e.* two markers) were kept.

103 Then, three models of the scapulothoracic joint were defined (Tab. 1). For each of  
104 them, the same ellipsoid was used (Fig. 2). This ellipsoid was functionally determined using  
105 the displacements of five markers positioned on the scapula (*i.e.* angulus acromialis, trigonum  
106 spinae, angulus inferior and the two markers positioned on lateral part of the scapula spine)  
107 during the same movements as for the definition of the glenohumeral centre. The first two  
108 scapulothoracic models were defined respectively by one and two fixed contact points  
109 between this ellipsoid and the scapula (respectively termed as *one-contact point* or *two-*  
110 *contact point* models) (El Habachi et al., 2015a; Nikooyan et al., 2010) (see Appendix 1 for  
111 the definition of these contact points). The last model constrained the plane of the scapula to  
112 be tangent (in any point) to the ellipsoid (termed as *tangent-contact* model). This model was  
113 assumed to be more physiological since it allows the scapula to slide freely on the thorax with  
114 a moving contact point (see Appendix 1 for the definition of this point). In addition to these  
115 three scapulothoracic joint models, an open-loop mechanism was defined, *i.e.* without  
116 scapulothoracic joint (*NoST*). The penetration values of the scapula in the ellipsoid  
117 representing the thorax were reported for each model.

118 The authors also tested models without the clavicle constraints or with different  
119 glenohumeral constraints (*i.e.* 6-DoF and a parallel mechanism (El Habachi et al., 2015a)  
120 (Tab. 2)) for a total of 24 shoulder girdle models generated through the combinations of  
121 clavicle, glenohumeral and scapulothoracic joint constraints. The markers used in MKO  
122 (Fig. 1) were those placed on the thorax, on the acromioclavicular joint, on the angulus  
123 acromialis and on the lateral part of the scapula spine, as well as on the arm (*i.e.* seven

124 markers) (Jackson et al., 2012). No marker on the clavicle was necessary since this segment  
125 was modelled as a constraint and not as a body segment.

### 126 2.3. Comparison methods

127 MKO was finally applied to the skin markers for the 24 shoulder girdle models in  
128 addition to the segmental optimisation. Their global performance was firstly estimated by  
129 expressing the root mean square error (RMSE) of the joint kinematics with respect to the  
130 reference kinematics for the scapulothoracic and the thoracohumeral kinematics. A 3° RMSE  
131 difference between models was considered as clinically significant (Laudner et al., 2007; Tsai  
132 et al., 2003). An adaptation of the Bland and Altman graphs proposed by Krouwer (2008) was  
133 used for a detailed comparison of the scapulothoracic kinematics in which the error between  
134 each condition and the reference kinematics was plotted for each plane of rotation and for  
135 each task as a function of the reference thoracohumeral kinematics.

### 136 3. Results

137 Each model optimisation required less than 140s on a standard PC (CPU 3.3 GHz  
138 RAM 8 Go) for a movement with approximately 470 frames. Marker occlusions varied  
139 between 0 and 13% of frames between the trials, the markers related to the acromioclavicular  
140 joint and the acromial tip being the most affected after 100° of arm elevation. The pin data  
141 showed that the maximum translations at the sternoclavicular and acromioclavicular joints  
142 were 17.6 mm and 6 mm (averaged over the repetitions), respectively. Only results based on  
143 segmental optimisation and the variations of scapulothoracic constraints (for which the  
144 clavicle was modelled as a constant length and the glenohumeral as a spherical joint in MKO)  
145 are reported here. Results with the variations of clavicle and glenohumeral joint constraints  
146 are reported in Appendix 2.

### 147 3.1 Open loop *versus* closed loop

148 Among all tasks and all DoFs, the RMSE range was [1.1° 3.3°] when using segmental  
149 optimisation (Figs. 3 and 4). The use of the MKO increased the RMSE range to [0.9° 9.6°].  
150 During the flexion task, both the open-loop and closed-loop (*i.e.* with scapulothoracic joint)  
151 mechanisms gave similar RMSE ranges, respectively [0.9° 3.1°] and [2.1° 2.8°]. However,  
152 during the abduction task, the use of the closed-loop MKO reduced the RMSE from 9.6° with  
153 open-loop to a RMSE range of [1.4° 4.1°] for the posterior anterior tilt, and from 7.7° to [1.0°  
154 3.3°] for the protraction retraction. A similar RMSE range was found for the downward-  
155 upward rotation with 2.9° for the open-loop versus a range of [2.0° 2.7°] for the closed-loop  
156 MKO. With respect to segmental optimisation, all RMSE differences were higher than 2° for  
157 the open-loop MKO, but systematically lower for the closed-loop MKO.

### 158 3.2 Effect of scapulothoracic joints

159 When considering the difference between the different scapulothoracic models, no  
160 clinically-relevant difference ( $< 3^\circ$ ) was observed between the RMSE range for the *one-*  
161 *contact point* ([0.9° 4.1°]), *tangent-contact* ([1.0° 3.6°]) or *two-contact point* models ([1.0°  
162 2.8°]). Nevertheless, except for the posterior tilt during flexion, the *two-contact point* model  
163 tends to give the lowest RMSE. Overall, the RMSE in segmental optimisation tends to be  
164 lower than those in MKO, except for the *two-contact point* model for the anterior-posterior tilt  
165 and the protraction-retraction during the abduction and flexion tasks, and for the *one-contact*  
166 *point* and *tangent-contact* models for the anterior-posterior tilt during the flexion task.

### 167 3.3 Scapula-Thorax interpenetration

168 When considering the scapula penetration (Fig. 5), the *one and two-contact point*  
169 models give rise to a penetration in the ellipsoid up to 7.3 mm and 6.3 mm, respectively,  
170 whereas the *tangent-contact* model, by definition, did not generate any penetration. Both the

171 segmental optimisation and reference data created a systematic positive offset between the  
172 ellipsoid and the scapula up to 14 mm and 11 mm, respectively.

#### 173 **4. Discussion**

174 Modelling the upper limb skeleton for MKO is a delicate compromise between biofidelity of  
175 the kinematic chain, and ability to estimate coupling DoF displacements using experimental  
176 skin markers to correct STA. Our objective was to assess and compare, on the scapula  
177 kinematics, the effect of different STA correction methods based on segmental or multibody  
178 kinematics (*i.e.* MKO) optimisations with various joint models. The main findings are that 1)  
179 segmental optimisation led to accurate scapula kinematics ( $RMSE \leq 3.3^\circ$  on each axis)  
180 whatever the arm elevation angle and motion; 2) when using MKO, a twofold STA correction  
181 was achieved by modelling the scapulothoracic joint; but 3) the choice of the scapulothoracic  
182 joint model had little effect on the STA correction.

183 The present study is a case report based on a participant of normal body mass index  
184 ( $BMI = 20.94$ ). Only one of four participants was selected for his ability to reach maximal  
185 range of motion (Fig. 4,  $160^\circ$  of arm elevation) without discomfort and intracortical pin  
186 rotation. Findings should thus be interpreted with caution and be confirmed by future – less  
187 accurate but non-invasive – studies based on a scapula palpator (Johnson et al., 1993), and  
188 with a larger sample size. Nevertheless, intracortical pin measurement is considered as a gold  
189 standard as dynamic movements (free of palpation errors) can be recorded; unlike  
190 measurement using a scapula palpator.

191 Markers placed on the spine of the scapula, in addition to those on the acromion,  
192 resulted in an unexpected accuracy of the segmental optimisation, though the marker  
193 locations close to the intracortical pin could have reduced the STA. Similarly, Bourne et al.  
194 (2011) obtained a RMSE ranged between  $2^\circ$  and  $5^\circ$  using a multiple calibration correction.

195 However, without correction, a higher RMSE range ([5.5° 9.7°]) was found. The different  
196 marker sets between studies may partially explain this phenomenon. In the present study, skin  
197 markers were preferred to an acromial cluster (with sticks) as commonly used (Brochard et  
198 al., 2011; De Baets et al., 2013; Karduna et al., 2001; Lempereur et al., 2010; van Andel et al.,  
199 2009) for three experimental reasons: 1) the acromial cluster may interfere with the pins but  
200 also the neck in maximal elevation; 2) the acromial cluster may vibrate during fast motions  
201 (Ramsey et al., 2003); and 3) a rigid cluster (similarly to an electromagnetic sensor) shows  
202 redundancy since each marker undergoes the same STA rototranslation. Indeed, in the studies  
203 of Brochard et al. (2011) and van Andel et. (2009), errors up to 11° and 8.5° were found  
204 without correction, respectively, and these errors increased after 90° of arm elevation. For  
205 maximal elevations, amplitude errors up to 16° and up to 20° were obtained by Lempereur et  
206 al. (2010) and Karduna et al. (2001), respectively. Consequently, as elevations up to 160°  
207 were tested in our study, our marker set was thought to be more adapted for measuring the  
208 scapula movement.

209 The same marker set used in open-loop MKO gave a four-fold error in scapula  
210 kinematics, probably due to the strict constraint related to the clavicle constant length. Indeed,  
211 glenohumeral joint models (*i.e.* spherical, parallel or free joint) showed no effect on the  
212 kinematics (Appendix 2). While segmental optimisation leads to apparent joint dislocation,  
213 MKO can strictly prevent the joint from any translation. Nevertheless, similarly to the  
214 glenohumeral joint (Dal Maso et al., 2014; Graichen et al., 2000), sternoclavicular and  
215 acromioclavicular joints are not perfect ball-and-sockets joints. In these two non-congruent  
216 joints, which are mainly maintained by a series of ligaments, pin-based kinematics  
217 highlighted translations up to 17 and 6 mm, respectively. Compared to the estimated clavicle  
218 length (120 mm), such translations are not negligible and could explain the lower STA error  
219 obtained with the segmental optimisation relative to the open-loop MKO. With a different

220 approach (gold standard bone kinematics *versus* sensitivity analysis), the present study  
221 reinforces the findings of El-Habachi et al. (2015b) stating that the scapular girdle kinematics  
222 is affected by the model parameters, especially the clavicle length. In the present work, the  
223 sternoclavicular and acromioclavicular joint centres were located independently using a  
224 functional approach (Ehrig et al., 2006). However, as shown by Michaud et al. (2016), skin  
225 markers cannot accurately locate sternoclavicular and acromioclavicular joint centres. In a  
226 similar manner, the functional ellipsoid used in this study might not be adapted for MKO.  
227 Indeed, a systematic positive offset between the ellipsoid and the scapula was obtained when  
228 using reference data, whereas the use of a scapulothoracic joint tended to lead to  
229 interpenetration. A better approach would be to estimate both the clavicle length and ellipsoid  
230 parameters concomitantly with the kinematics reconstruction, using the algorithms proposed  
231 by Reinbolt et al. (2005) and Andersen et al. (2010).

232 The scapular girdle with a scapulothoracic joint modelled as a point-ellipsoid contact has been  
233 introduced by Veeger (1991) in the early 90's in a musculoskeletal model in order to obtain  
234 realistic movements of the scapula. Several kinematic studies flowed from this innovative  
235 model to improve the ellipsoid definition (Bolsterlee et al., 2014; Prinold et al., 2011) and to  
236 define the best contact points between the scapula and the thorax (Berthonnaud et al., 2005;  
237 Maurel, 1995; Tondu, 2005). Hence, the scapular girdle was modelled as a closed-loop  
238 mechanism with small dimensions, and several experimental issues were related to the  
239 identification of its geometry due to large STA. A tangential contact between the scapula and  
240 the thorax, avoiding penetration of the scapula into the thorax and allowing a moving contact  
241 point between these structures, has been introduced in this study. However, the resulting  
242 kinematics showed no advantage of this "anatomical-like" constraint. The model allowing a  
243 moving contact point (*tangent-contact model*) between the scapula and the ellipsoid

244 representing the thorax provides a RMSE similar to the model with one fixed contact point  
245 and slightly higher than the model with two fixed contact points.

246

247 Those differences might be due to the fact that the *one-contact point* and the *tangent-contact*  
248 scapulothoracic joint models have more degrees of freedom (6 DoFs) than the *two-contact*  
249 *point* models (5 DoFs), thus enlarging the possibilities of bone positioning. Whereas the  
250 above mentioned RMSE results do not prove any gain of using a *tangent-contact*  
251 scapulothoracic joint model in MKO for correcting STA, this model has the advantage of  
252 being as close as possible to anatomy (Sah and Wang, 2009). Besides avoiding  
253 interpenetrations of bones, having a mobile contact point moving with respect to the scapula  
254 could enhance the prediction of muscular moment arms, and thus help obtaining more  
255 realistic dynamic and musculoskeletal models. It might also be more adapted for pathological  
256 populations such as for patients suffering from scapula dyskinesis (*e.g.* scapula allata), where  
257 the contact between the thorax and some part of the scapula may be lost. Consequently, it  
258 seems that the *tangent-contact* joint model should be considered for further development.

## 259 **5. Conclusion**

260 MKO is not more accurate than segmental optimisation for estimating scapula kinematics in  
261 the presence of STA. Consequently, we recommend using segmental optimisation with  
262 individual markers placed on the acromion and along the spine of the scapula. Indeed, this  
263 approach provides accurate results, is easier to implement than MKO and is not affected by  
264 geometrical parameters. However, when a simplified kinematic chain without joint translation  
265 is required (*e.g.* in musculoskeletal modelling), the scapulothoracic joint should be included.  
266 In particular, in line with previous experimental data (Sah and Wang, 2009), a tangential  
267 scapulothoracic model allowing a mobile contact point could be recommended.

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271 **Conflict of Interest Statement**

272 The authors hereby affirm that the study does not raise any conflict of interest.

273



274 **References**

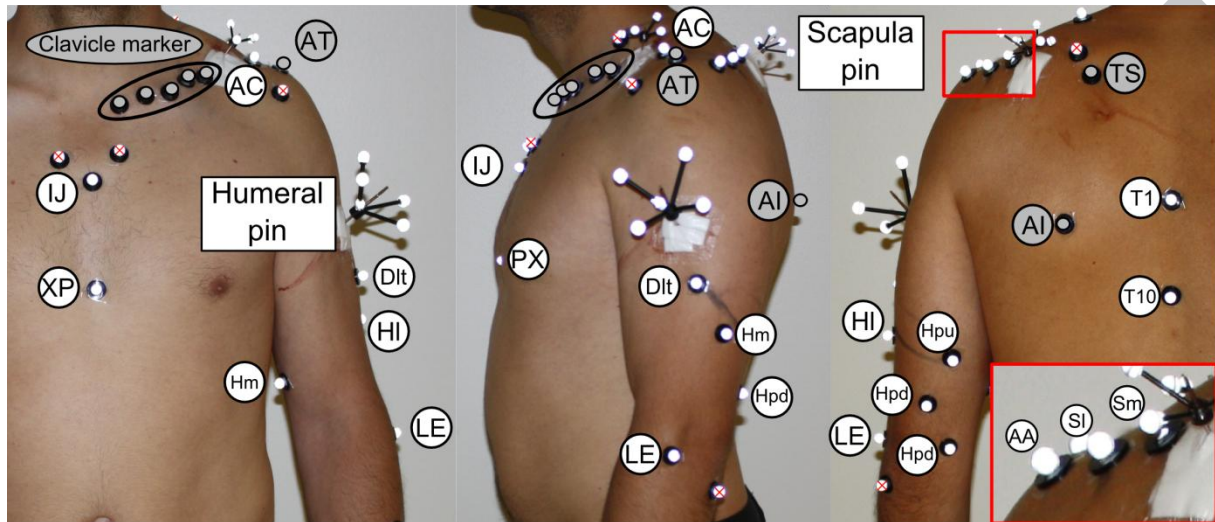
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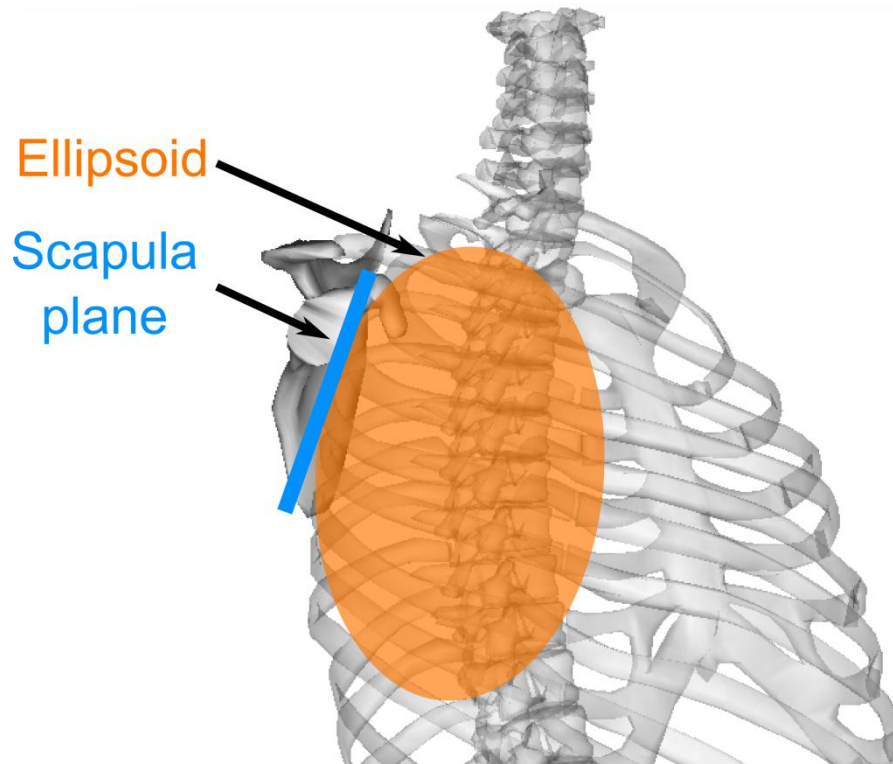
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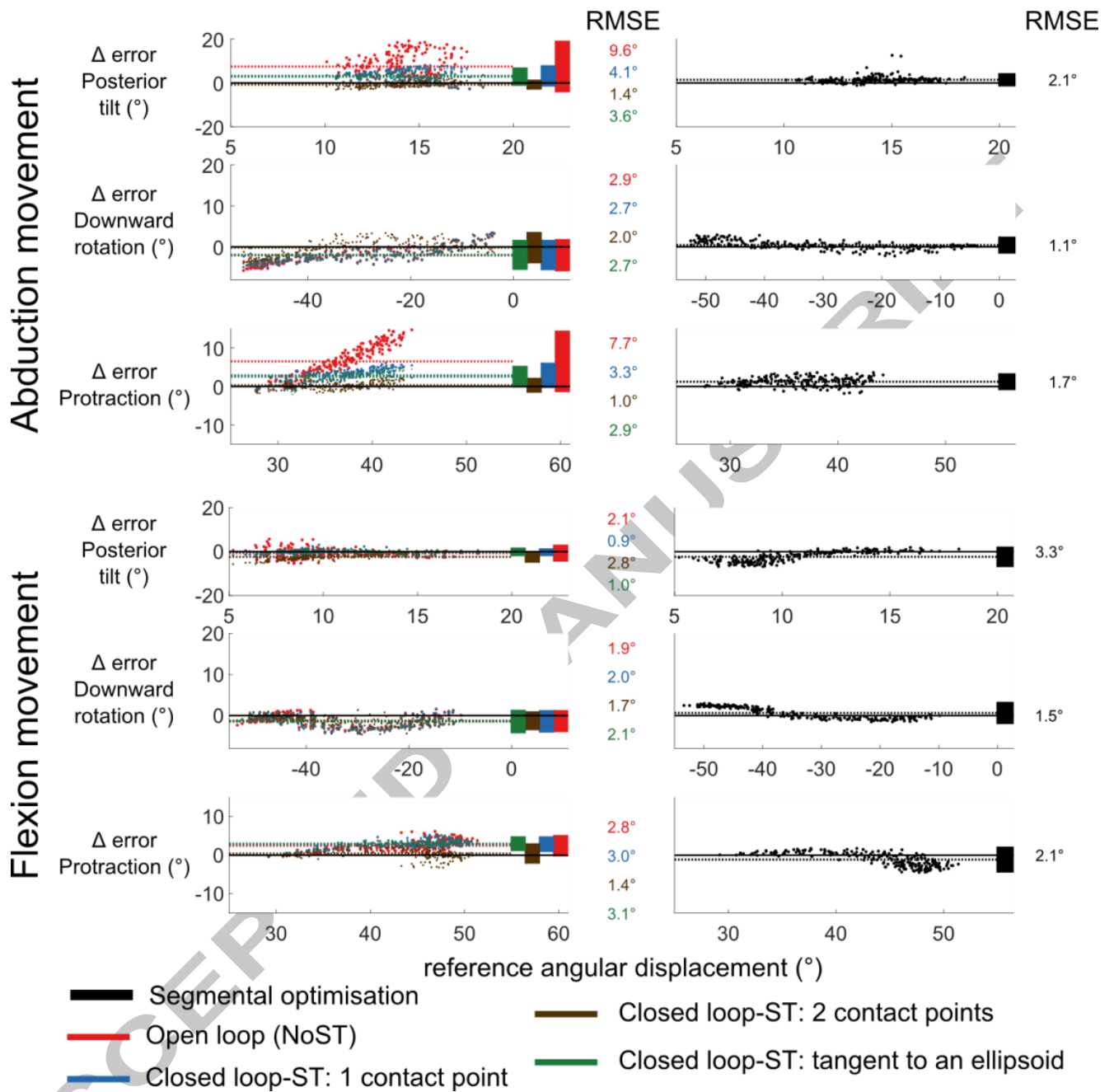
## Figures



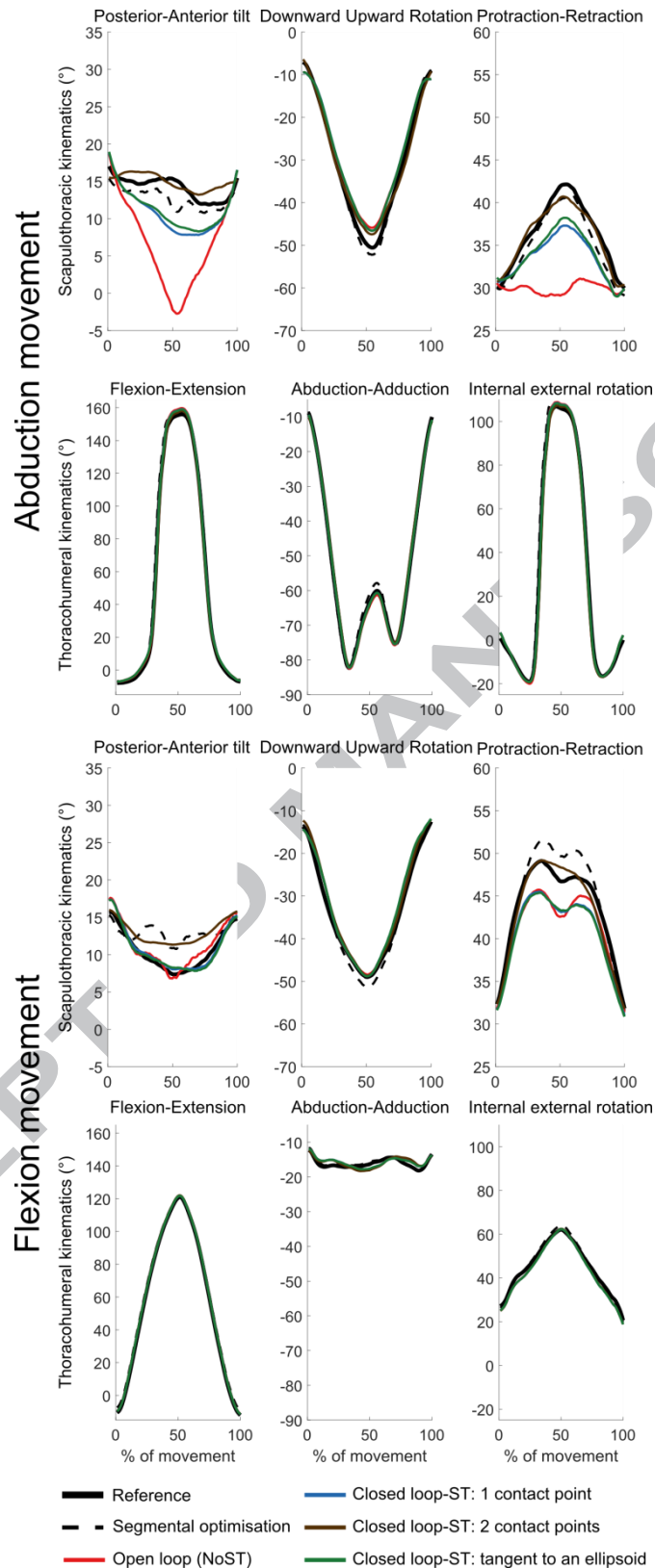
**Figure 1: Positions of the pins and markers on the subjects. (IJ: incisura jugularis, XP: xiphoid process, T1: first thoracic vertebrae, T10: tenth thoracic vertebrae, AC: acromioclavicular joint, AT: acromial tip, SI and Sm: lateral scapula spine, TS: trigonum spinae, AI: angulus inferior, LE: lateral epicondyle, other markers are technical markers which are positioned in order to minimise soft tissue artefacts). Markers with a red cross were not used in this study, grey markers were used only for the geometrical construction of the model, and white markers were also used for the multibody kinematic optimisation.**



**Figure 2: Schema of the ellipsoid used for the scapulothoracic constraint**



**Figure 3: Bland-Altman plots (angular error with respect to reference kinematics) during the abduction and flexion movements for the four different multibody kinematics optimisation models (left) and the segmental optimisation (right).**



**Figure 4: Thoracohumeral and Scapulothoracic kinematics for the abduction and flexion movements for the reference data, with segmental optimisation and with the four different multibody kinematics optimisation models.**



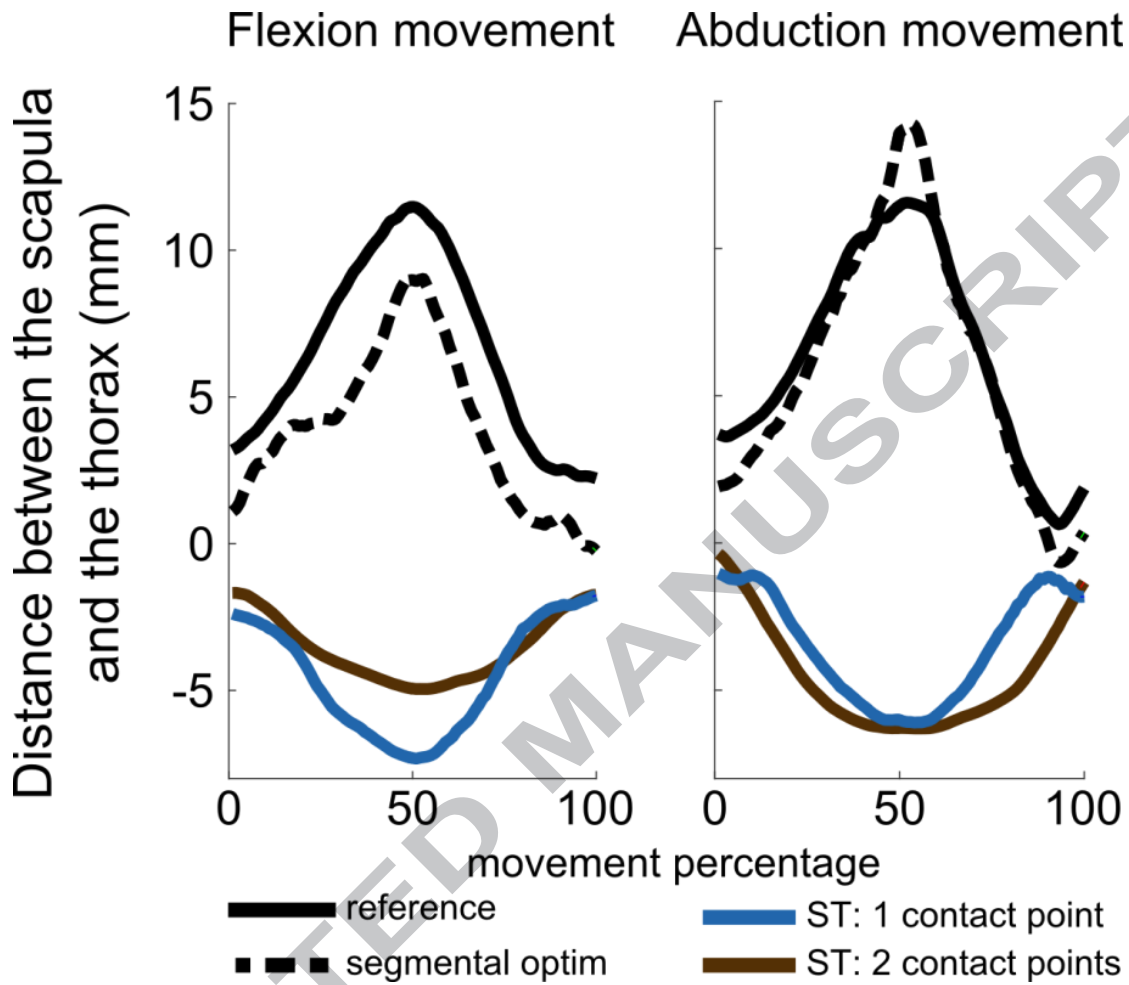
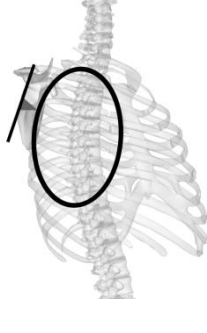
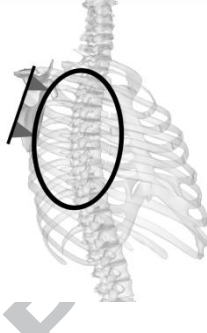
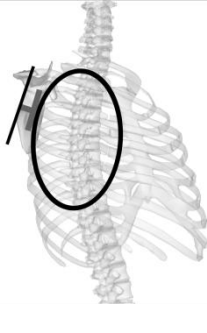




Figure 5: Distance between the scapula and the ellipsoid representing the thorax (in mm), for the abduction and flexion movements with segmental optimisation and with one and two contact point scapulothoracic models.

## Tables

Table 1: Scapulothoracic joint models used for the multibody kinematics optimisation

Joint model	Illustration	Description	References
<i>One contact point</i>		One or two fixed contact points of the scapula in contact with an ellipsoid representing the thorax	(El Habachi et al., 2015a) (Nikooyan et al., 2010) (Prinold et al., 2011) (Quental et al., 2012) (Veeger et al., 1991)
<i>Two contact points</i>			
<i>Tangent contact</i>		A plane of the scapula tangent to an ellipsoid representing the thorax	(Blana et al., 2008) (Garner and Pandey, 1999) (Tondu, 2007) (van der Helm, 1994)

**Table 2: Glenohumeral joint models used for the multibody kinematics optimisation**

Joint model	Illustration	Description	References
<i>Spherical</i>		A spherical joint between the scapula and the humerus at the centre of the humeral head	(Garner and Pandy, 1999) (Högfors et al., 1991) (Maurel and Thalmann, 1999)
<i>Parallel mechanism (Sphere-on-sphere)</i>		A link between the centre of the glenoid and the humeral head	(El Habachi et al., 2015a)

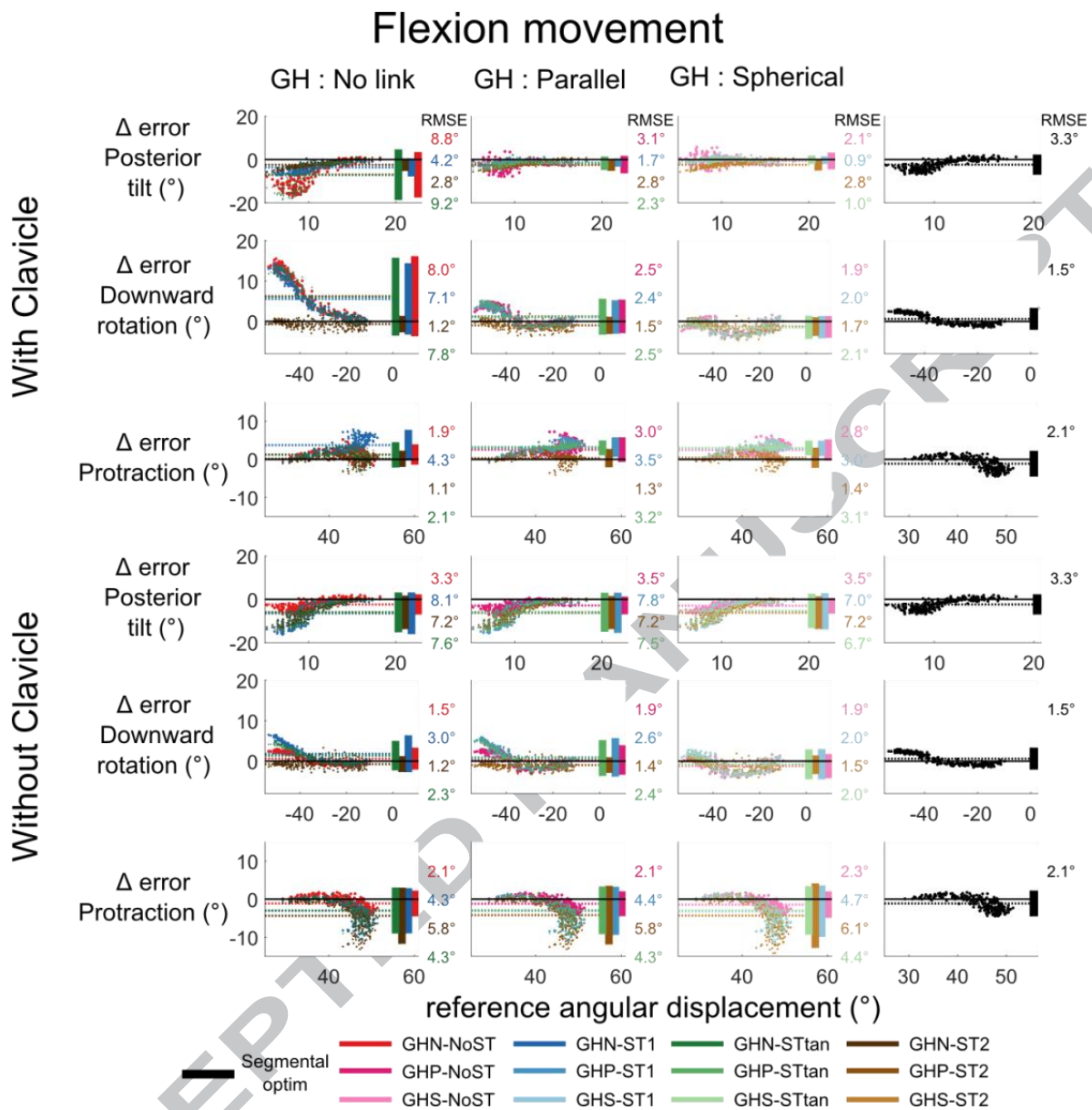
## Appendix 1

Two scapulothoracic models were defined using one and two fixed contact points between an ellipsoid representing the thorax and the scapula respectively termed as *one-contact point* or *two-contact point* models. The points used in these two constraints are a projection of selected scapula landmarks on the ellipsoid according to the normal to the scapula plane during a static acquisition in the reference posture. The considered scapula landmarks are the centroid of angulus acromialis, trigonum spinae and angulus inferior, for the one contact point's model, or trigonum spinae and angulus inferior for the two contact points' model.

The plane of the scapula used for the tangent to an ellipsoid model, termed as *tangent-contact* model, is also defined during the static acquisition. This plane has the same normal as the scapula plane (defined by the angulus acromialis, trigonum spinae and angulus inferior markers) and passes through the ellipsoid point where the ellipsoid normal is the same as that of the scapula plane. This plane is then tangent to the ellipsoid in static position. This tangent to an ellipsoid constraint allows the virtual plane to rotate and glide in every direction maintaining always one moving contact point with the ellipsoid.

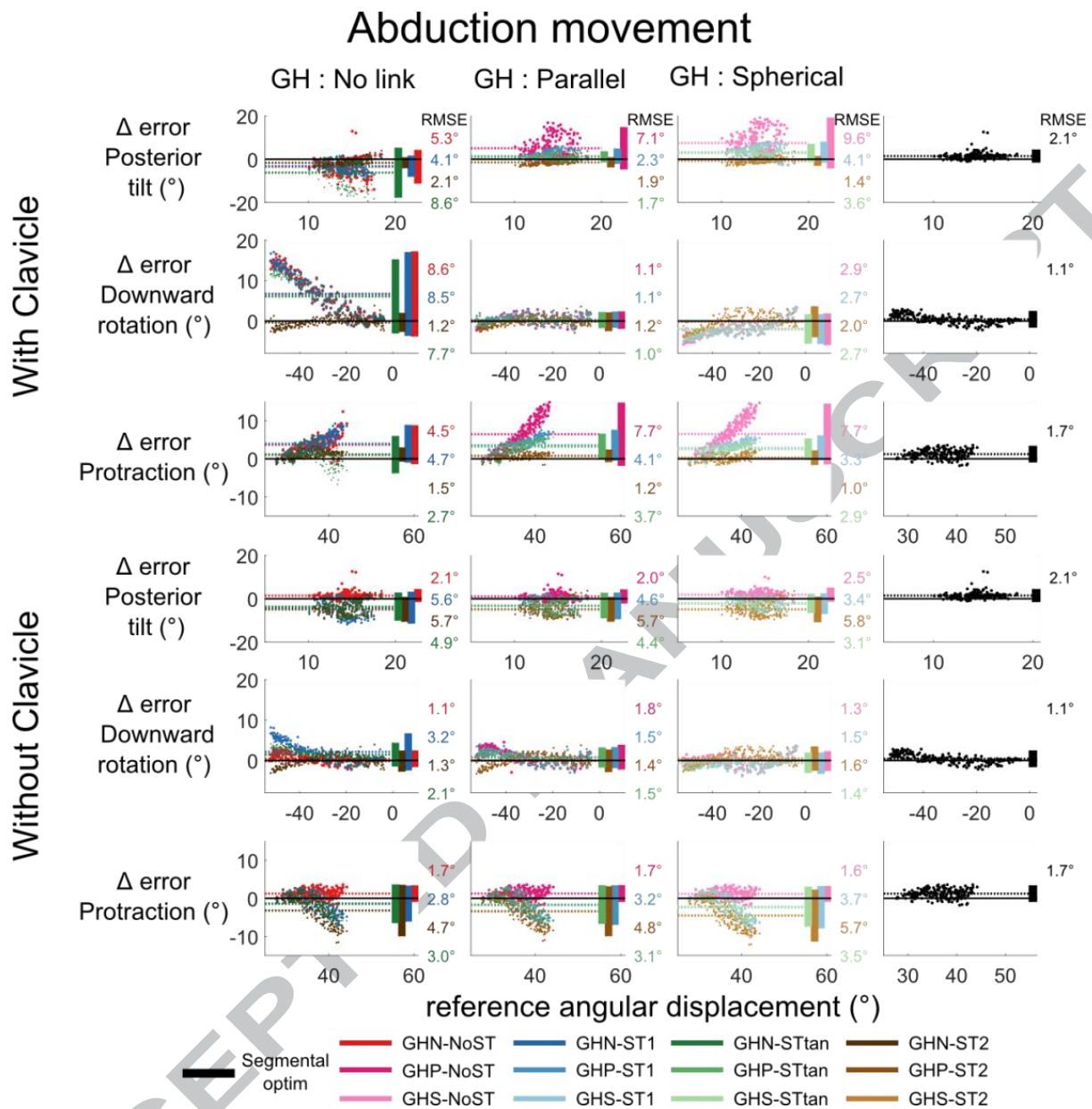
## Appendix 2

In addition to the four scapulothoracic joint models (free joint, one and two scapulothoracic contact points and tangent to an ellipsoid), different glenohumeral (free joint, spherical joint and parallel joint) and clavicle models (free joint and constant length) were considered in this work. The Bland-Altman plot for all the 24 model combinations and the segmental optimisation are displayed for the two movements.



**Figure S1: Bland-Altman plots during the flexion movement for the 24 different multibody kinematics optimisation models and the segmental optimisation.**

Note: GHN: No Glenohumeral joint, GHP: Glenohumeral parallel mechanism; GHS: Glenohumeral spherical joint; ST1: one scapulothoracic point; ST2: two scapulothoracic points; STTan: tangential scapulothoracic contact; NoST: no scapulothoracic joint.



**Figure S2: Bland-Altman plots during the abduction movement for the 24 different multibody kinematics optimisation models and the segmental optimisation.**

Note: GHN: No Glenohumeral joint, GHP: Glenohumeral parallel mechanism ; GHS: Glenohumeral spherical joint; ST1: one scapulothoracic point; ST2: two scapulothoracic points; STTan: tangential scapulothoracic contact; NoST: no scapulothoracic joint.