

## Cooperative Resource Management and Power Allocation for Multiuser OFDMA Networks

Mohamad Yassin, Samer Lahoud, Marc Ibrahim, Kinda Khawam, Dany Mezher, Bernard Cousin

### ► To cite this version:

Mohamad Yassin, Samer Lahoud, Marc Ibrahim, Kinda Khawam, Dany Mezher, et al.. Cooperative Resource Management and Power Allocation for Multiuser OFDMA Networks. IET Communications, Institution of Engineering and Technology, 2017, 11 (16), pp.2552 - 2559. <10.1049/iet-com.2017.0201>. <hal-01593122>

HAL Id: hal-01593122

<https://hal.archives-ouvertes.fr/hal-01593122>

Submitted on 26 Sep 2017

**HAL** is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers.

L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.

# Cooperative Resource Management and Power Allocation for Multiuser OFDMA Networks

Mohamad Yassin<sup>\*†\*</sup>, Samer Lahoud<sup>\*</sup>, Marc Ibrahim<sup>‡</sup>, Kinda Khawam<sup>§</sup>, Dany Mezher<sup>‡</sup>, Bernard Cousin<sup>\*</sup>

<sup>\*</sup>University of Rennes 1, IRISA, Campus de Beaulieu, 35042 Rennes, France

<sup>‡</sup>Saint-Joseph University, ESIB, Campus des Sciences et Technologies, Mar Roukoz, Lebanon

<sup>§</sup>University of Versailles, PRISM, 45 Avenue des Etats-Unis, 78035 Versailles, France

<sup>\*</sup>Corresponding author's email: mohamad.yassin@usj.edu.lb

**Abstract**—Mobile network operators are facing the challenge to increase network capacity and satisfy the growth in data traffic demands. In this context, Long Term Evolution (LTE) networks, LTE-Advanced networks, and future mobile networks of the Fifth Generation seek to maximize spectrum profitability by choosing the frequency reuse-1 model. Due to this frequency usage model, advanced radio resource management and power allocation schemes are required to avoid the negative impact of interference on system performance. Some of these schemes modify resource allocation between network cells, while others adjust both resource and power allocation. In this article, we introduce a cooperative distributed interference management algorithm, where resource and power allocation decisions are jointly made by each cell in collaboration with its neighboring cells. Objectives sought are: increasing user satisfaction, improving system throughput, and increasing energy efficiency. The proposed technique is compared to the frequency reuse-1 model and to other state-of-the-art techniques under uniform and non-uniform user distributions and for different network loads. We address scenarios where throughput demands are homogeneous and non-homogeneous between network cells. System-level simulation results demonstrate that our technique succeeds in achieving the desired objectives under various user distributions and throughput demands.

**Index terms**— Inter-Cell Interference Coordination, RRM, 3GPP LTE, satisfaction function, fractional frequency reuse.

## I. INTRODUCTION

The increasingly growing demand for mobile broadband communications and the proliferation of mobile applications and services have led to the dense deployment of mobile networks with aggressive frequency reuse patterns. In fact, the Long Term Evolution (LTE) [1] of Universal Mobile Terrestrial radio access System (UMTS) allows using all the available spectrum according to the frequency reuse-1 model. While trying to improve system throughput and increase spectrum profitability, mobile network operators find themselves constrained by Inter-Cell Interference (ICI) problems. ICI reduces Signal-to-Interference and Noise Ratio (SINR), and has a negative impact on system performance. Another important concern for mobile network operators is minimizing signaling traffic required to coordinate resource and power allocation between LTE/LTE-A base stations, also called evolved-NodeBs (eNodeBs).

Third Generation Partnership Project (3GPP) has chosen Orthogonal Frequency Division multiple Access (OFDMA) technique for the downlink of the radio interface [2]. The

smallest resource unit to be allocated to a User Equipment (UE) is called Resource Block (RB). At each eNodeB, the scheduler allocates the available RBs for active UEs every Transmit Time Interval (TTI), also called scheduling period (1 ms). There is no intra-cell interference problems, since each RB is allocated to only one UE within the same cell [3].

Several Inter-Cell Interference Coordination (ICIC) techniques are conceived to mitigate the negative impact of ICI on system performance. We classify them into static and dynamic techniques. For instance, Fractional Frequency Reuse (FFR) and Soft Frequency Reuse (SFR) [4] apply pre-planned frequency and power allocation strategies between eNodeBs of the same cluster. Dynamic ICIC techniques are capable of responding to time-varying traffic demands in the network by modifying RB attribution, power allocation or both on a smaller time scale.

In this article, we introduce a cooperative ICIC technique that exploits communications between adjacent eNodeBs to reduce ICI problems in multiuser OFDMA networks such as LTE/LTE-A networks. Our technique aims at improving system throughput, UE satisfaction, and energy efficiency under various UE distributions and network loads. We define a satisfaction function as well as satisfaction throughput thresholds for each cell in the simulated network. The time scale of the proposed technique is higher than the scheduling period, since it sets RB and power allocation restrictions for the scheduler of each eNodeB. It also adjusts RB distribution between cell-center and cell-edge zones for each LTE cell. Our technique is compared to the frequency reuse-1 model, FFR, SFR, and other ICIC techniques. System-level simulation results show that the proposed technique achieves significant improvements under various UE distributions and network loads.

The rest of the article is organized as follows: in section II, we describe existing ICIC techniques. System model is reported in section III, while details about our proposed ICIC algorithm are given in section IV. System-level simulator and simulation parameters are described in section V, and simulation results are reported in section VI. Conclusion is given in section VII.

## II. ICIC APPROACHES

The frequency reuse-N model [5] mitigates inter-cell interference. However, the spectral efficiency is reduced, since only  $\frac{1}{N}$  of the available spectrum is used in each cell. The frequency reuse-1 model [6] increases spectral efficiency and network capacity due to dense usage of the available RBs in the network. However, ICI problems have a negative impact on UE throughput, especially for UEs located at the edge of the cell.

FFR is a static ICIC technique where restrictions on RB usage [7] are made to protect cell-edge UEs. FFR assumes that UEs of the cell-center zone do not receive high power interfering signals from the neighboring cells. Nevertheless, UEs in cell-edge zone are close to the cell boundary and receive strong ICI. After creating two zones per cell, FFR divides the available spectrum into a few non-overlapping frequency sub-bands [8]. Cell-center and cell-edge UEs from the same cell operate over different frequency sub-bands. Cell-edge UEs of the neighboring cells also operate over non-overlapping frequency sub-bands as shown in Fig. 1a

SFR [9] protects cell-edge UEs by reducing the transmission power allocated to their interfering RBs in the cell-center zones of the neighboring cells. SFR's resource and power allocation for a cluster of three adjacent LTE cells is illustrated in Fig. 1b. SFR succeeds in mitigating ICI without largely sacrificing spectral efficiency [10]. Restrictions on RB and power allocation for both FFR and SFR techniques are statically made in each cell, and no modifications are made even when network load increases, or when UEs are not uniformly distributed between cell zones.

Several dynamic ICIC techniques are introduced [11–13]; some of them adjust frequency and power allocation in each cell locally, without any cooperation between eNodeBs. Other techniques depend on the signaling exchange between neighboring eNodeBs. An example of autonomous ICIC techniques is the heuristic power control algorithm introduced in [14]. The scheduler of each eNodeB locally adjusts downlink transmission power allocated to each RB depending on the received Channel Quality Indication (CQI) feedbacks. Authors in [15] describe an ICIC technique that responds to network dynamics, through exchange of interference related information among neighboring cells. It is a dynamic cooperative FFR-based ICIC technique. Other ICIC techniques require the existence of a management entity to control RB and power allocation over the entire network. They are known as centralized techniques, and they are characterized by a heavy signaling burden and high complexity. For instance, in [16], a centralized entity collects information about RB usage and ICI from a set of eNodeBs, then it sends its decisions to the network schedulers. Moreover, Coordinated Multi-Point (CoMP) [17, 18] creates a framework of transmission and reception methods using multiple geographically distributed antennas. Real-time information about RB allocation and interference status are exchanged among eNodeBs through X2 interface. The classification of ICIC techniques is summarized in Table I.

## III. SYSTEM MODEL

ICIC techniques proposed for multi-user OFDMA [19] networks, divide each cell into cell-center and cell-edge zones. The former contains UEs close the serving eNodeB, while the latter contains UEs located near the cell boundary. This geographical classification assumes that cell-center UEs are characterized by high SINR values, and that cell-edge UEs have lower SINR. However, we have cell-center UEs suffering from interference or fading problems, as well as cell-edge UEs with good radio conditions due to shadow fading. This approach also requires the knowledge of the exact position of each UE existing in the network, which results in an additional information exchange and processing load. In our work, we classify UEs according to their radio conditions. Instead of using geographical positions, we perform UE classification according to mean wideband SINR, since it reflects the useful signal power to the received interference. An SINR threshold ( $SINR_{threshold}$ ) is set to classify UEs: when mean SINR of a UE is higher than the predefined  $SINR_{threshold}$ , it is considered as a Good Radio (GR) conditions UE; otherwise, it is considered as a Bad Radio (BR) conditions UE. GR UEs are commonly known as cell-center UEs, and BR UEs as cell-edge UEs. Our classification is more accurate than the traditional approach: UEs suffering from ICI are classified as BR UEs, even if they are close to the serving eNodeB. Moreover, it does not require any localization information.

Let  $K$  denote the set of active UEs,  $I$  denotes the set of LTE/LTE-A eNodeBs, and  $N$  is the set of RBs available in each cell. We consider a UE  $k$  attached to cell  $i$  and allocated RB  $n$ . The corresponding SINR is given by:

$$SINR_{k,n}^i = \frac{P_n^i \cdot G_{k,n}^i}{\sum_{j \neq i} P_n^j \cdot G_{k,n}^j + P_{TN}}, \quad (1)$$

where  $P_n^i$  is the downlink transmission power allocated by cell  $i$  for the RB  $n$ ,  $G_{k,n}^i$  is channel gain for UE  $k$  served by eNodeB  $i$  on RB  $n$ , and  $P_{TN}$  is the thermal noise power on the considered RB. Channel gain includes all key fading components *i.e.*, path loss, shadowing and multipath that UE  $k$  experiences on RB  $n$ . Indexes  $i$  and  $j$  refer to the serving and the interfering cells, respectively.

Let  $R_{k,n}^i$  denote the achievable rate on RB  $n$  for user  $k$  in the cell  $i$ , then:

$$R_{k,n}^i = f(SINR_{k,n}^i). \quad (2)$$

Where  $f(\cdot)$  is the adaptive modulation and coding function that maps SINR to rate. In our work, we consider an LTE/LTE-A network of several adjacent hexagonal cells. Each cell is equipped with  $120^\circ$  directional transmit antennas with an azimuth offset of  $30^\circ$ . The frequency reuse-1 model is used to serve UEs existing within each cell.

LTE/LTE-A networks require the transmission of UE feedback in order to adapt transmission to current channel conditions. In this context, CQI is a four-bit value sent from UE to eNodeB [20] that reflects the level of SINR of a given

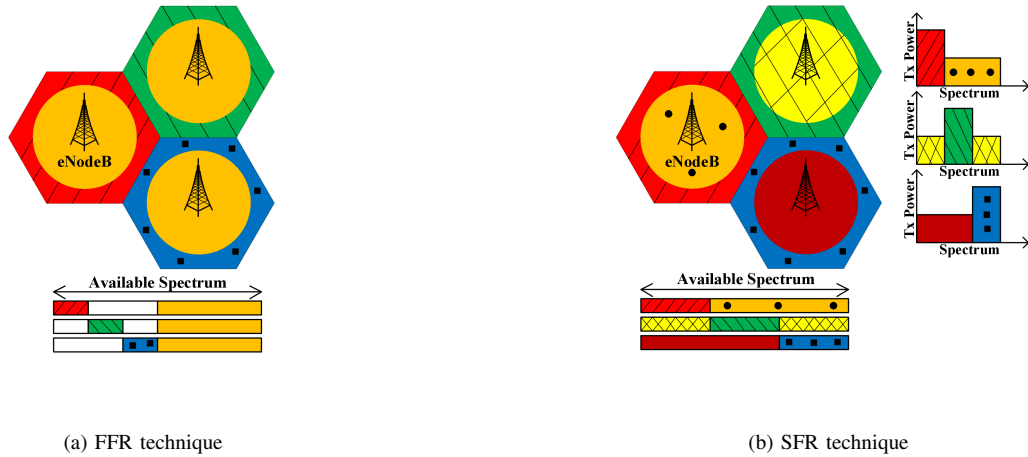


Fig. 1: FFR and SFR techniques

TABLE I: Classes of ICIC Techniques

ICIC Class	Description
Static frequency reuse-based	Static RB and power allocation (FFR, SFR)
Autonomous	Local decisions on RB and power allocation
Coordinated	Cooperation between neighboring eNodeBs
Centralized	Central control entity

frequency band in downlink channels. It indicates the highest modulation and coding scheme that guarantees a block error rate lower than 10% for physical downlink shared channel transmissions. Several reporting modes are supported: for example, wideband CQI feedbacks reflect the average channel quality across the entire cell bandwidth, while specific reports require the transmission of one CQI per configured sub-band (narrowband CQI feedbacks).

We consider elastic traffic sessions, such as file transfer, web traffic, and email, since these are the traditional data services in mobile networks [21]. Then we define the satisfaction function for each UE  $k$  at time  $t$ ,  $S_k(t)$ , as a function of the achievable throughput for this UE,  $R_k(t)$ , and it is given by [21]:

$$S_k(t) = 1 - \exp\left(-\frac{R_k(t)}{R_S}\right), \quad (3)$$

where  $R_S$  is the satisfaction throughput for the considered UE, or the mean throughput beyond which UE satisfaction exceeds 0.63. Satisfaction with respect to  $R_k$  has a concave shape; it increases slowly as the throughput exceeds the satisfaction throughput  $R_S$  for UE  $k$ . Therefore, the satisfaction of an LTE/LTE-A cell  $i$  having  $K_i$  UEs is given by:

$$S^i(t) = \frac{\sum_{k=1}^{K_i} S_k(t)}{K_i}. \quad (4)$$

LTE/LTE-A cells are hexagonal, and each cell exchanges signaling messages with its six neighboring cells. The cell  $i$  calculates mean satisfaction function  $\bar{S}$  for the considered cluster  $C$  that contains  $K_C$  UEs:

$$\bar{S} = \frac{\sum_{k=1}^{K_C} S_k(t)}{K_C}. \quad (5)$$

#### IV. COOPERATIVE ICIC TECHNIQUE

We introduce a cooperative ICIC technique for multiuser OFDMA networks, where adjacent eNodeBs collaborate in order to reduce ICI problems. It is a distributed technique that requires cooperation between adjacent eNodeBs to adjust RB and power allocation. Initially, RB and power distribution between the different cells is performed according to the SFR scheme. Thus, the frequency reuse-1 model is chosen to maximize spectral efficiency. Decentralized cooperative interference mitigation schemes are adequate for medium-sized and big-sized networks, where the centralized schemes face severe limitations in terms of signaling and processing load.

Our technique makes use of the signaling messages exchanged between neighboring eNodeBs over X2 interface. Each cell has local information, concerning SINR of its active UEs, as well as their achievable throughputs and their satisfac-

tion. It also requests information about UE satisfaction from the neighboring cells. Therefore, adjacent eNodeBs adjust power allocation to the different RBs, in order to reduce ICI and to improve UE satisfaction in a collaborative manner.

As explained in previous sections, an LTE/LTE-A cell is divided into two zones, according to UEs wideband SINR values: GR and BR zones. Initially, one third of the available spectrum in each cell is kept for BR UEs, and the maximum downlink transmission power ( $P_{max}$ ) is allocated to each RB used in this zone. The remaining bandwidth is used at a lower transmission power ( $P_{GR}$ ) in the GR zone. BR UEs of adjacent cells operate on different frequency sub-bands, and they receive low power interfering signals from their neighboring cells. ICIC algorithm intervention period is chosen to be higher than scheduling period (1 ms) and CQI feedback reception delay, so the scheduler of each eNodeB has enough time to investigate the impact of RB and power allocation changes on UEs throughput. Each cell performs periodically, every  $T$  TTIs, where  $T \geq \max(1 \text{ TTI}, \text{CQI feedback delay})$ , the following actions:

- 1) Classify the available RBs according to mean narrow-band CQI feedback values
- 2) Collect information about mean throughput per UE in the neighboring cells
- 3) Request information about RB and power distribution from all the neighboring cells
- 4) Send *Stop* messages to the neighboring cells
- 5) Calculate the local cell satisfaction  $S^i(t)$
- 6) Calculate mean satisfaction for the neighboring cells  $\bar{S}(t)$
- 7) When unsatisfied, increase the downlink transmission power allocated to the worst low power RB, and ask the neighboring cells transmitting at high power to reduce their downlink transmission power allocated to this RB
- 8) When satisfied, keep the same RB and power distribution
- 9) Send *Release* messages to the neighboring cells
- 10) Locally adjust RB allocation between GR and BR zones of the current cell according to throughput demands in each zone

Our proposed technique exploits the fact that adjacent eNodeBs can exchange information related to UE throughput in each cell. When a given cell decides to perform the cooperative ICIC procedure, it sends *Stop* messages to its neighboring cells to avoid any potential conflict that might occur when adjacent cells take simultaneous power allocation decisions. Since the X2 interface between adjacent eNodeBs is bidirectional, the *Stop* messages contain a time stamp, that allows to avoid any potential deadlock that might occur if two eNodeBs send simultaneous *Stop* messages to each other. Every eNodeB calculates the mean satisfaction for its active UEs, as well as mean satisfaction for UEs in the neighboring cells. We tolerate a slight difference ( $\Delta_S^i$ ) between the satisfaction of the local cell and mean satisfaction per cell to reduce the number of interventions performed by each cell. When power adjustments are done, a *Release* message is sent to the neighboring cells,

and RB distribution between GR and BR zones is locally made according to throughput demands in each zone.

The distributed algorithm operates at the scheduler of each eNodeB as shown in Algorithm 1.  $\bar{R}_i(t)$  denotes the mean throughput per UE in cell  $i$ ;  $I$  is the number of cells in the neighboring cells pool  $\mathbf{I}$ .  $P_n^i$  is the downlink transmission power allocated by cell  $i$  to the RB  $n$ .  $P_{max}$  is the power allocated to a BR RB, while  $P_{GR}$  is the downlink power per GR RB.  $\bar{R}_{GR}$  and  $\bar{R}_{BR}$  denote the mean throughput per GR and BR zones, respectively. After receiving narrowband CQI feedbacks from the UEs, eNodeB calculates mean CQI per RB. The coefficient  $\gamma$  equals 0.5, and it is used to emphasize the last received CQI feedback value,  $CQI_n(t)$ . eNodeB classifies the available RBs according to mean CQI values, then it sends signaling messages to its neighbors so that downlink transmission power allocated to the different RBs is kept the same.

Our algorithm consists of two phases: in the first phase, adjacent eNodeBs exchange the necessary information required to coordinate power allocation among neighboring cells, while in the second phase, each cell locally modifies RB distribution between the different zones. After setting restrictions on power allocation with its neighbors, each cell adjusts RB allocation between GR and BR zones according to UE throughput demands in each zone. The objective behind second phase is to dynamically respond to throughput demands within each cell, even when UE distributions are not homogeneous among GR and BR zones.

Figure 2 shows a cluster of seven adjacent hexagonal LTE/LTE-A cells. We assume that the central cell (eNodeB 7) has the highest traffic load, and seeks to improve its mean UE satisfaction. After exchanging the necessary signaling messages with its neighboring cells, eNodeB 7 increases the downlink transmission power allocated to a portion of the available bandwidth that was originally used at a low transmission power. It also orders the concerned neighboring cells (eNodeBs 1, 3, and 5) to reduce their downlink transmission power allocated to this portion of the spectrum. Therefore, eNodeB 7 reduces ICI and improves mean UE satisfaction via collaborative power allocation decisions. Moreover, it autonomously adjusts resource allocation between cell-center and cell-edge zones based on throughput demands in each zone.

## V. SIMULATION PARAMETERS

An LTE downlink system level simulator [22] is chosen as simulation platform. The original version of the simulator includes the frequency reuse-1 model as well as FFR technique. In order to compare our technique with the frequency reuse-1 model and other reference ICIC techniques, we integrated SFR scheme within the simulator. We also adjusted the power allocation scheme so that the power mask can be modified according to the used technique. Finally, we integrated our proposed cooperative distributed ICIC algorithm. The simulated network includes seven adjacent hexagonal LTE/LTE-A cells, with a 5 MHz operating bandwidth. Since the total

---

**Algorithm 1** Cooperative ICIC
 

---

- 1: Initially, RBs are distributed according to SFR
  - 2: All UEs send CQI feedbacks to the eNodeB
  - 3: **for each**  $RB \in RB\_pool$  **do**
  - 4:  $CQI_n^i(t) = \frac{\sum_{k=1}^K CQI_n^k(t)}{K}$
  - 5:  $\overline{CQI}_n^i(t) = \gamma \times \overline{CQI}_n^i(t-1) + (1 - \gamma) \times CQI_n^i(t)$
  - 6: **end for**
  - 7: Every  $T$  TTIs:
  - 8: Cell  $i$  sends *Stop* messages to its neighbors
  - 9:  $S_k(t) = 1 - \exp(-\frac{R_k(t)}{R_S})$
  - 10:  $S^i(t) = \frac{\sum_{k=1}^{K_i} S_k(t)}{K_i}$
  - 11:  $\overline{S}(t) = \frac{\sum_{k=1}^{K_C} S_k(t)}{K_C}$
  - 12: **if**  $(S^i(t) < (1 - \Delta_S) \times \overline{S}(t))$  **then**
  - 13:   Select the low power RB  $n$  with the lowest  $\overline{CQI}_n^i(t)$
  - 14:    $P_n^i \leftarrow P_{max}$
  - 15:    $P_n^j \leftarrow P_{GR}; \forall j \in \mathbf{I}$
  - 16: **else**
  - 17:   Keep the same power allocation mask
  - 18: **end if**
  - 19: Send *Release* messages to the neighboring cells
  - 20: **if**  $(\overline{R}_{GR} - \overline{R}_{BR} > \Delta_{th})$  **then**
  - 21:   Select RB  $n$  with the highest  $\overline{CQI}_n^i(t)$  from GR zone
  - 22:   Allocate this RB to the BR zone
  - 23: **else if**  $(\overline{R}_{BR} - \overline{R}_{GR} > \Delta_{th})$  **then**
  - 24:   Select RB  $n$  with the lowest  $\overline{CQI}_n^i(t)$  from BR zone
  - 25:   Allocate this RB to the GR zone
  - 26: **else**
  - 27:   Keep the same RB distribution
  - 28: **end if**
- 

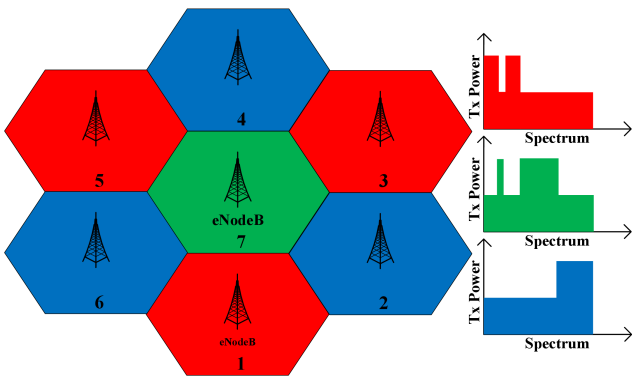


Fig. 2: LTE network of seven adjacent cells

bandwidth per RB equals 180 kHz, we have 25 RBs available in each cell. Traffic model is full buffer; thus, the available spectrum is permanently used to serve active UEs. With the

full buffer model, the maximum ICI is generated since all the available spectrum is simultaneously used in the adjacent cells. Thus, we place ourselves in a worse-case scenario. Simulation parameters are given in Table II.

## VI. SIMULATION RESULTS

### A. Tolerated Satisfaction Ratio

### B. Tolerated Satisfaction Ratio

We simulate an LTE/LTE-A network having seven adjacent hexagonal cells, where each cell is serving 10 UEs. Simulation time is 350 TTIs (350 ms). Throughput satisfaction threshold for the center cell equals  $4 \times R_S$ ; where  $R_S$  is satisfaction threshold for UEs in all the other cells. In other words, throughput demands are not the same through the simulated network: it is required to provide higher throughputs for central cell UEs, since their satisfaction throughput threshold exceeds that of the other UEs.

First, we study the impact of the tolerated satisfaction ratio  $\Delta_S$ , which is a percentage of the mean satisfaction value, on the central cell satisfaction and mean satisfaction for the entire network. Simulations are repeated 100 times, and satisfaction versus time for central cell UEs and for all UEs versus time are reported in Fig. 3 and Fig. 4 respectively. The objective of this study is to find the most adequate value of  $\Delta_S$  *i.e.*, the value that maximizes system satisfaction.

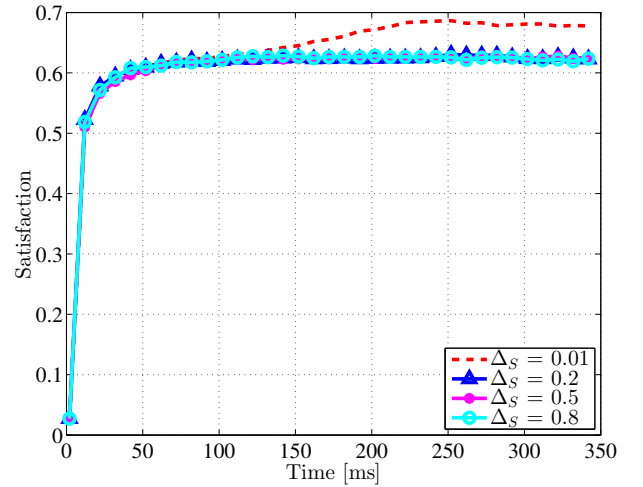


Fig. 3: Central cell satisfaction versus time

For tolerated satisfaction ratios higher than 20%, power allocation over the different RBs is kept the same, since all the cells achieve an acceptable satisfaction compared to mean satisfaction per UE. However, when  $\Delta_S$  equals 1%, satisfaction for central cell UEs is increased, while mean satisfaction per UE is slightly decreased with time. When the tolerated satisfaction is lower than  $0.01 \times \overline{S}$ , the central cell decides to increase transmission power allocated to some RBs (that were already used with a lower transmission power), and it orders all its neighbors to reduce the downlink power allocated to these RBs. Satisfaction for central cell UEs is

TABLE II: Simulation Parameters

Parameter	Value	Description
Cell geometry	Hexagonal	A cell is served by an eNodeB
Inter-eNodeB distance	500 m	Urban area
Operating bandwidth	5 MHz	—
Number of RBs ( $N$ )	25	In the 5 MHz bandwidth
Transmission frequency	2 GHz	—
Subcarrier frequency	15 kHz	1 RB = 12 sub-carriers
Total bandwidth per RB	180 kHz	$12 \times 15$ kHz
TTI	1 ms	Transmit Time Interval
Pathloss model	TS 25.814	Same as in HSDPA
Thermal noise density	-174 dBm/Hz	—
Feedback delay	3 ms	3 TTIs
Scheduler	Round Robin	—
Traffic model	Full buffer	—
eNodeB max. power ( $P$ )	20 W	43 dBm
Max. RB power ( $P_{max}$ )	0.8 W	$\frac{P}{N}$
SINR threshold	3	UE classification
SFR power ratio ( $\alpha$ )	0.25	$P_{GR} = \frac{P_{max}}{4}$
Intervention period ( $T$ )	25 TTIs	$T \geq \max(1 \text{ TTI, feedback delay})$
$\Delta_{th}$	512 kbit/s	Satisfaction per zone
Throughput threshold $R_S$	512 kbit/s	UEs in the center cell
	128 kbit/s	UEs in other cells

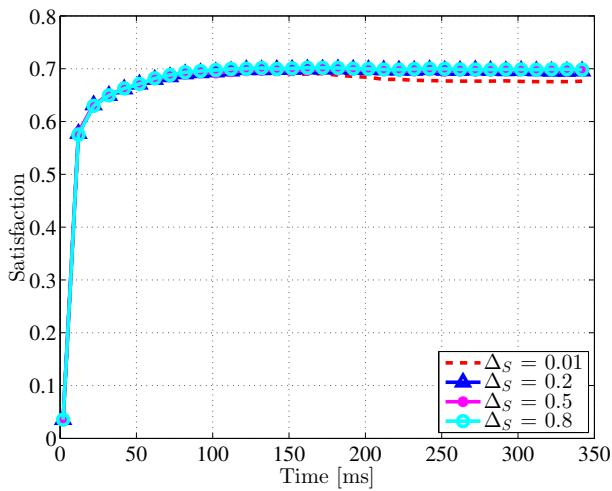


Fig. 4: Mean satisfaction versus time

increased in comparison with the remaining cases where no power adjustments are performed. For the remaining cells, satisfaction is decreased since power reduction will reduce the achievable throughput. Thus, mean satisfaction per UE in the entire network is slightly reduced. When  $\Delta_S$  is set to 1%, we maximize the satisfaction of the whole system.

In the following, the tolerated satisfaction ratio  $\Delta_S$  equals 1%. Hence, when the mean satisfaction per UE exceeds by 1% the satisfaction of a cell, it decides to launch a cooperative ICIC procedure with its neighbors in order to adjust power allocation and improve the satisfaction of its UEs. We compare our proposed cooperative ICIC technique with the frequency reuse-1 model, FFR, SFR, an adaptive ICIC technique given in [12], and an autonomous ICIC technique introduced in [23], where power allocation for the different RBs is not modified among adjacent eNodeBs. Nevertheless, periodic interventions are made by the scheduler of each eNodeB, locally, in order to find out whether GR or BR users are unsatisfied. RB distribution between cell zones is adjusted according to UEs

throughput demands in each zone.

The adaptive ICIC technique [12] operates as follows:

- UEs are divided into cell-edge and cell-center UE groups.
- RB and power allocation to the cell-edge group is performed. After that, the RB and power allocation to the cell-center group is performed.
- The RBs and power allocation to cell-edge UEs is performed using a waterfilling-based power allocation algorithm, so that all the cell-edge UEs satisfy the pre-determined target throughput.
- Problem constraints are related to the minimum throughput per UE, and to the maximum downlink transmission power.
- Each cell solves its own optimization problem with minimal exchange of information between the cells.

### C. Throughput Cumulative Distribution Function (CDF)

Under the same simulation conditions, we study the impact of each technique on throughput CDF for all UEs existing in the network. Throughput CDF is shown in Fig. 5.

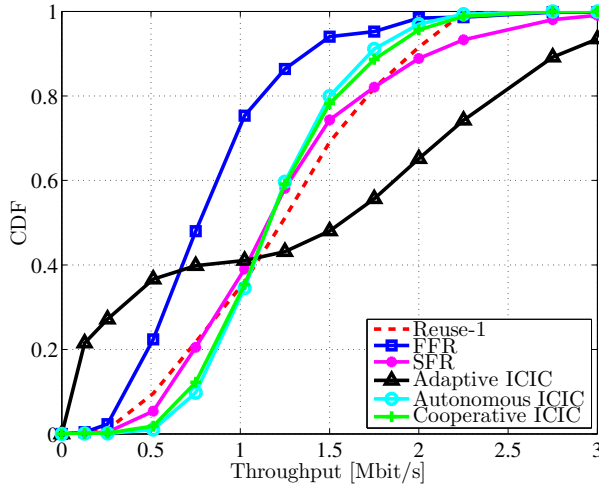


Fig. 5: Throughput cumulative distribution function

Although FFR succeeds in reducing ICI, especially for BR UEs, restrictions on RB usage between the different zones of each cell will reduce the amount of available spectrum dedicated for the existing UEs. Thus, FFR shows a lower percentage of UEs having throughputs higher than 512 kbit/s in comparison with reuse-1, SFR, autonomous, and cooperative ICIC techniques. In fact, throughput CDF for FFR reaches the maximum value of dissatisfaction before that of reuse-1, SFR, autonomous, and cooperative ICIC techniques. SFR improves the frequency reuse-1 model by reducing the percentage of UEs with throughputs lower than 1 Mbit/s. Our cooperative ICIC technique shows the highest percentage of UEs having high throughputs, and it reaches its maximum value of dissatisfaction for the same throughput as for reuse-1. We also notice that the adaptive ICIC technique does not succeed in reducing the percentage of UEs characterized by low throughput values, since its CDF curve shows the

highest values for throughputs less than 0.5 Mbit/s. In fact, this technique does not take ICI problems into account, and resource allocation is performed in a manner that improves spectral efficiency. Therefore, BR UEs throughput decreases and more RBs are allocated to GR UEs in order to maximize system throughput.

### D. Satisfaction Cumulative Distribution Function

For the same simulated scenario, we show satisfaction cumulative distribution function for all the compared techniques. Satisfaction function ranges from 0 (minimum satisfaction) to 1 (maximum satisfaction). Satisfaction CDF for the performed simulations are shown in Fig. 6.

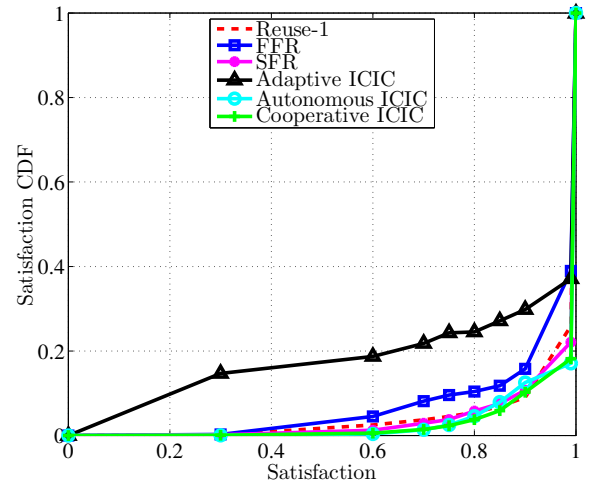


Fig. 6: Satisfaction cumulative distribution function

According to these results, adaptive ICIC always shows the highest percentage of UEs with low satisfaction values. The frequency reuse-1 model, SFR, and autonomous ICIC techniques have approximately the same satisfaction CDF, and our proposed cooperative ICIC technique has the best satisfaction CDF in comparison with the other techniques. For instance, when cooperative ICIC is applied, only 10% of UEs have a satisfaction below 0.9, while 30% of the active UEs have their satisfaction below 0.9 for the adaptive ICIC technique. Therefore, our technique improves UE satisfaction by adjusting power allocation over RBs used simultaneously in adjacent LTE cells.

### E. Unsatisfied UEs versus Network Load

For an LTE network of seven adjacent LTE cells, with 25 RBs available in each cell, we study the impact of network load (number of UEs per eNodeB) on the percentage of unsatisfied UEs in the network. The percentage of satisfied UEs at 63% denotes the percentage of UEs characterized by a mean throughput higher than the satisfaction throughput threshold  $R_S$ . When a UE has its throughput equal to  $R_S$ , the satisfaction function equals 0.63. We investigate the percentage of UEs that are unsatisfied at 63% *i.e.*, the number of UEs characterized by a throughput lower than  $R_S$ , among all the



active UEs in the network. Figure 7 shows the percentage of unsatisfied UEs at 63% versus the number of UEs per eNodeB.

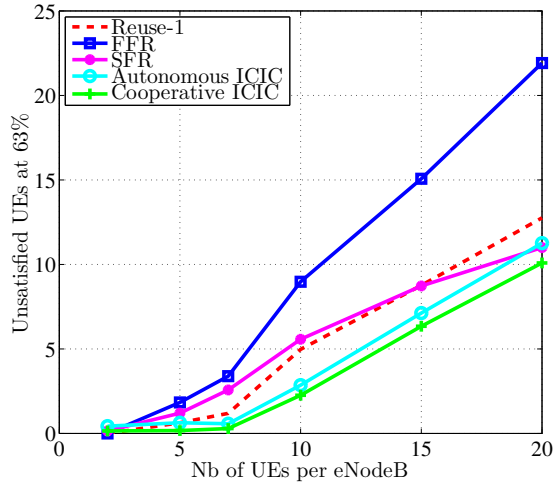


Fig. 7: Unsatisfied UEs at 63% versus network load

For very low network load scenarios, such as two or five UEs per eNodeB, the frequency reuse-1 model and all the other ICIC techniques have approximately the same percentage of unsatisfied UEs. However, when the number of UEs per eNodeB increases, throughput demands become more difficult to satisfy, especially with the increased ICI. FFR has always the highest percentage of unsatisfied UEs, which increases with network load. Unsatisfied UEs with SFR technique are comparable to those with the frequency reuse-1 model. Moreover, their percentage decreases when network load increases. Our proposed cooperative ICIC technique shows the lowest percentage of unsatisfied UEs regardless of the number of UEs per eNodeB. It adjusts power allocation over the available RBs for each cell in a collaborative manner, which reduces the number of UEs with low satisfaction values.

#### F. Energy Efficiency versus UE Distribution

We also investigate the impact of UE distribution on the performance of the compared ICIC techniques. We generate scenarios with different UE distributions by controlling the percentage of GR UEs among all the existing UEs in each cell. For every UE distribution scenario, simulations are repeated 50 times, and mean energy efficiency values are shown in Fig. 8.

According to these results, the frequency reuse-1 model shows always the lowest energy efficiency among all the compared techniques. In fact, when the maximum downlink transmission power is permanently allocated to all the available RBs, power consumption increases, ICI increases and the achievable throughput is reduced, especially for BR UEs. When using FFR, a fraction of the available spectrum is not used in each cell; therefore, no downlink transmission power is allocated to the unused frequency sub-band. Power consumption is reduced, while also improving SINR for BR UEs. For these reasons, FFR improves energy efficiency when compared to the frequency reuse-1 model. We also notice that

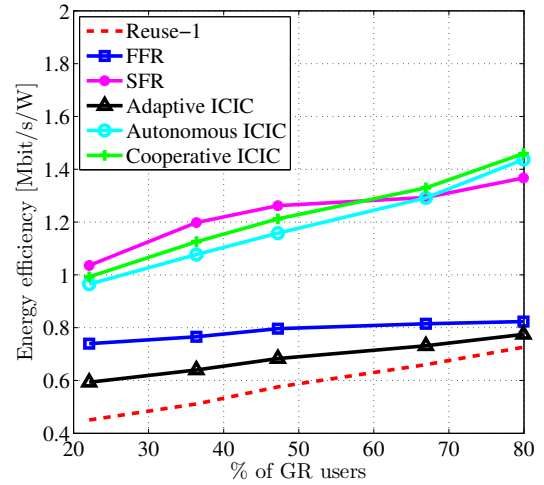


Fig. 8: Energy efficiency versus UE distribution

the adaptive ICIC technique is a compromise between the frequency reuse-1 model and FFR technique in terms of energy efficiency, since it succeeds in improving system performance in comparison with the frequency reuse-1 model.

Our cooperative ICIC technique shows an energy efficiency comparable to that of SFR. When there is more BR UEs in the network (the percentage of GR UEs is low), ICIC algorithm increases downlink transmission power allocated to selected RBs to increase BR UEs satisfaction. Thus, total power consumption increases, and energy efficiency is slightly lower than that of SFR. However, it shows the highest energy efficiency when the majority of UEs are GR UEs.

## VII. CONCLUSION

Dense frequency reuse model is used in multiuser OFDMA networks, such as LTE/LTE-A networks to increase spectral efficiency, and to improve network capacity. However, the resulting ICI problems have a negative impact on UE throughput and system performance. ICIC techniques are proposed to improve UE throughput, without largely sacrificing spectral efficiency. They include static techniques, such as FFR and SFR, autonomous techniques, cooperative techniques, and centralized techniques. Centralized resource and power allocation techniques are adequate for small-sized networks, since they generate a large amount of signaling overhead. Autonomous resource allocation schemes do not generate an additional signaling overhead. Thus, they are adequate for large-sized networks. The cooperative ICIC techniques are therefore a compromise between centralized and autonomous approaches.

In this article, we introduced a cooperative distributed ICIC technique where communications between adjacent eNodeBs are required to adjust RB and power allocation. Our algorithm consists of two phases: in the first phase, signaling messages are exchanged to get the necessary information about UE satisfaction and power allocation in the neighboring cells. Decisions concerning transmission power adjustments are made in a collaborative manner during this phase. In the

second phase, the scheduler of each eNodeB locally adjusts restrictions on RB distribution between cell zones according to UE demands per zone. Simulation results show that our technique improves energy efficiency, enhances throughput cumulative distribution function, and reduces the percentage of unsatisfied UEs, when compared to the frequency reuse-1 model, FFR, SFR, adaptive ICIC, and non-cooperative ICIC techniques.

## REFERENCES

- [1] 3GPP, "Physical Layer Aspects for Evolved Universal Terrestrial Radio Access (UTRA) (Release 7)," 3GPP TR 25.814 V7.1.0, Tech. Rep., 2006.
- [2] H. Schulze and C. Lueders, *Theory and Applications of OFDM and CDMA Wideband Wireless Communications*, 1st ed., Wiley, Ed. Chichester: UK: Wiley, 2005, vol. 1.
- [3] Y. Sun, R. P. Jover, and X. Wang, "Uplink Interference Mitigation for OFDMA Femtocell Networks," *IEEE Trans. Wireless Commun.*, vol. 11, no. 2, pp. 614–625, February 2012.
- [4] R. Kwan and C. Leung, "A survey of scheduling and interference mitigation in lte," *J. Electrical and Computer Engineering*, vol. 2010, 2010.
- [5] V. Donald, "Advanced mobile phone service: The cellular concept," *Bell System Technical Journal, The*, vol. 58, no. 1, pp. 15–41, Jan 1979.
- [6] M. AboulHassan, E. Sourour, and S. Shaaban, "Novel Cell Selection Algorithm for Improving Average User's Effective Data Rate in LTE HetNets," in *2014 IEEE Symposium on Computers and Communication*, June 2014, pp. 1–6.
- [7] N. Hassan and M. Assaad, "Optimal Fractional Frequency Reuse (FFR) and Resource Allocation in Multiuser OFDMA System," in *International Conference on Information and Communication Technologies ICICT '09.*, Karachi, August 2009, pp. 88–92.
- [8] K. Yang, "Interference Management in LTE Wireless Networks," *IEEE Wireless Commun.*, vol. 19, no. 3, pp. 8–9, July 2012.
- [9] C. Jiming, W. Peng, and Z. Jie, "Adaptive Soft Frequency Reuse Scheme for in-Building Dense Femtocell Networks," *China Commun.*, vol. 10, no. 1, pp. 44–55, Jan 2013.
- [10] Z. Qin, Z. Zhong, R. Xu, and G. Bai, "System Performance of Soft Frequency Reuse in LTE Railway Networks," in *IEEE 11<sup>th</sup> Int. Conf. Signal Processing (ICSP)*, vol. 2, Beijing, Oct 2012, pp. 1566–1570.
- [11] S. Karar and A. Das Barman, "Opportunistic Sub-channel and Transmit Power Allocation in an OFDMA Based Cognitive Femtocell Network," *Wireless Personal Communications*, vol. 84, no. 2, pp. 1303–1323, September 2015.
- [12] T. Quek, Z. Lei, and S. Sun, "Adaptive Interference Coordination in Multi-Cell OFDMA Systems," in *IEEE 20th International Symposium on Personal, Indoor and Mobile Radio Communications*, September 2009, pp. 2380–2384.
- [13] A. Mahdavi Lenji and A. Shahzadi, "Power Allocation in Cooperative Communication System Based on Stackelberg Game," *Wireless Personal Communications*, vol. 84, no. 1, pp. 123–135, 2015.
- [14] M. Yassin, S. Lahoud, M. Ibrahim, and K. Khawam, "A Downlink Power Control Heuristic Algorithm for LTE Networks," in *21<sup>st</sup> Int. Conf. Telecommunications*, Lisbon, May 2014, pp. 323–327.
- [15] B. Rengarajan, A. L. Stolyar, and H. Viswanathan, "Self-Organizing Dynamic Fractional Frequency Reuse on the Uplink of OFDMA Systems," in *Proc. 44<sup>th</sup> Annual Conf. Information Sciences and Systems*, Princeton, 2010.
- [16] M. Rahman and H. Yanikomeroglu, "Enhancing Cell-Edge Performance: A Downlink Dynamic Interference Avoidance Scheme with Inter-Cell Coordination," *IEEE Trans. Wireless Commun.*, vol. 9, no. 4, pp. 1414–1425, April 2010.
- [17] M. Sawahashi, Y. Kishiyama, A. Morimoto, D. Nishikawa, and M. Tanno, "Coordinated Multipoint Transmission/Reception Techniques for LTE-Advanced [Coordinated and Distributed MIMO]," *IEEE Wireless Commun.*, vol. 17, no. 3, pp. 26–34, June 2010.
- [18] C.-M. Li and C.-H. Lin, "A Sectored CoMP with Constrained Greedy Resource Allocation for OFDM Communication System," *Wireless Personal Communications*, vol. 84, no. 1, pp. 533–545, 2015.
- [19] I. T. S. Sesia and M. Baker, *LTE - The UMTS Long Term Evolution from Theory to Practice*, 1st ed., Wiley, Ed. Chichester: UK: WileyWiley, 2009.
- [20] 3GPP, "Evolved Universal Terrestrial Radio Access (E-UTRA): Physical Layer Procedures," 3GPP TS 36.213 V11.11.0, Technical Specification, December 2013.
- [21] M. ElHelou, S. Lahoud, and M. I. I. Khawam, "A Hybrid Approach for Radio Access Technology Selection in Heterogeneous Wireless Networks," in *European Wireless*, Guildford, 2013.
- [22] J. Ikuno, M. Wrulich, and M. Rupp, "System Level Simulation of LTE Networks," in *IEEE 71<sup>st</sup> Vehicular Technology Conf.*, Taipei, May 2010, pp. 1–5.
- [23] M. Yassin, S. Lahoud, M. Ibrahim, K. Khawam, D. Mezher, and B. Cousin, "Non-Cooperative Inter-Cell Interference Coordination Technique for Increasing Through Fairness in LTE Networks," in *IEEE 81<sup>st</sup> Vehicular Technology Conf.*, Glasgow, May 2015.