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Oblique propagation of whistler mode waves in the chorus source region

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[1] Whistler mode chorus has been shown to play a role in the process of local acceleration of electrons in the outer Van Allen radiation belt. Most of the quasi-linear and nonlinear theoretical studies assume that the waves propagate parallel to the terrestrial magnetic field. We show a case where this assumption is invalid. We use data from the Cluster spacecraft to characterize propagation and spectral properties of chorus. The recorded high-resolution waveforms show that chorus in the source region can be formed by a succession of discrete wave packets with decreasing frequency that sometimes change into shapeless hiss. These changes occur at the same time in the entire source region. Multicomponent measurements show that waves in both these regimes can be found at large angles to the terrestrial magnetic field. The hiss intervals contain waves propagating less than one tenth of a degree from the resonance cone. In the regime of discrete wave packets the peak of the wave energy density is found at a few degrees from the resonance cone in a broad interval of azimuth angles. The wave intensity increases with the distance from the magnetic field minimum along a given field line, indicating a gradual amplification of chorus in the source region.

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1. Introduction

[2] Whistler mode chorus is an intense and highly structured magnetospheric wave emission composed of discrete wave packets with variable frequencies. It is considered as a local source for acceleration of electrons to relativistic energies in the outer Van Allen radiation belt [e.g., *Horne*, 2007]. Numerous theoretical studies have been recently published concerning generation of whistler mode chorus and its effects on the outer Van Allen radiation belt, using both quasi-linear [e.g., *Shprits et al.*, 2007; *Summers et al.*, 2007] and nonlinear [e.g., *Trakhtengerts et al.*, 2007; *Omura et al.*, 2007, 2008] approaches to the description of wave interactions with energetic electrons. With some exceptions [e.g., *Bell*, 1984, 1986; *Albert*, 2008], the majority of these theoretical studies assume that the waves are generated with wave vectors quasi-parallel to the terrestrial magnetic field.

[3] This is often a very good approximation for results of direct measurements of the wave vector directions in the source region of chorus close to the geomagnetic equatorial plane [*Burton and Holzer*, 1974; *Hayakawa et al.*, 1984; *Goldstein and Tsurutani*, 1984; *Hattori et al.*, 1991; *Santolík et al.*, 2003a]. Direct or indirect observations of wave vector directions in other cases [e.g., *Lefeuvre and Helliwell*, 1985; *Hayakawa et al.*, 1990; *Lauben et al.*, 2002; *Tsurutani et al.*, 2008] however suggest that large wave vector angles with respect to the terrestrial magnetic field can also be encountered. The wave vector directions are often close to the Gendrin angle for which the group velocity is also parallel to the local magnetic field line [*Gendrin*, 1961]. The chorus wave packets can thus travel in the direction close to the velocity of the guiding center of resonant energetic electrons.

[4] There are also some theoretical indications that chorus should be, at least sometimes, emitted with high wave vector angles. A ray tracing study of *Chum and Santolík* [2005] has clearly shown that this is the only possibility for chorus to propagate to lower altitudes toward the Earth and into the plasmasphere. This has been confirmed by subsequent ray tracing simulations which included a model of wave damping by suprathermal electrons [*Bortnik et al.*, 2007, 2008]. Backward ray tracing based on wave vector measurements on a low-altitude spacecraft [*Santolík et al.*, 2006] also indicates that chorus needs to be emitted around the Gendrin angle from its source.

[5] *Dunckel and Helliwell* [1969] and *Burtis and Helliwell* [1976] noted that chorus is often accompanied by a background of hiss that has a variable amount of

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structure. *Cornilleau-Wehrlin et al.* [1978] found that the simultaneous occurrence of chorus and hiss is an almost permanent situation, even in the anticipated source region close to the geomagnetic equator. Similar observations have been analyzed by *Koons* [1981] who showed cases of chorus and simultaneously present hiss band at lower frequencies. He concluded that hiss can contribute to generation of discrete chorus wave packets by modifying the electron phase space density. This theory has been further supported by observational [*Hattori et al.*, 1991] and theoretical [e.g., *Trakhtengerts*, 1995; *Trakhtengerts et al.*, 1996] arguments. However, chorus can be also observed without the accompanying hiss bands [*Santolik and Gurnett*, 2003; *Trakhtengerts et al.*, 2004], corresponding perhaps to the different regimes of the nonlinear generating mechanism, as noted by *Titova et al.* [2003]. *Tsurutani et al.* [2008] speculated that hiss intervals separating intervals of discrete chorus elements can be remnants of chorus or hiss.

[6] In this paper we present observations of the Cluster spacecraft in the chorus source region. We show that the wave vector directions can be highly inclined from the geomagnetic field and that both discrete wave packets and shapeless hiss can be alternatively generated in the source. Section 2 presents the data and introduces a case that is analyzed in this paper. Section 3 shows a detailed analysis of high-resolution waveforms in both regimes and multi-spacecraft observations of their occurrence in the source region. Section 4 presents results of analysis of multicomponent measurements of wave vector directions under the assumption of the presence of a single plane wave, using an estimation of the wave distribution function, and using the ratio of amplitudes of the magnetic and electric field fluctuations. Finally, section 5 contains a brief discussion and conclusions. In Appendix A we describe the method of multipoint determination of the true magnetic equator.

2. A Case Study of Whistler Mode Chorus in Its Source Region

[7] To analyze frequency-time power spectrograms, polarization properties and propagation directions of chorus we use complementary measurements of two sets of identical instruments onboard the four Cluster spacecraft: the wideband data (WBD) receivers and the spectrum analyzers for Spatio-Temporal Analysis of Field Fluctuations (STAFF-SA). The WBD instruments [*Gurnett et al.*, 2001], in the operational mode that we use in the present paper, continuously record waveforms of one component of electric or magnetic field with a sampling frequency of 27.443 kHz. We use these data as input for standard spectral analysis based on the fast Fourier transform (FFT) algorithm which results in high-resolution power spectrograms. This procedure allows us to choose an appropriate ratio of time and frequency resolution according to the timescales that we investigate, the entire passage of Cluster spacecraft through the chorus source region is at timescales of the order of 10^3 s but the individual wave packets of chorus are observed at timescales of the order of 10^{-1} s.

[8] The STAFF-SA instruments [*Cornilleau-Wehrlin et al.*, 2003] perform a multidimensional spectral analysis onboard the spacecraft, based on the signals detected by three magnetic search coil antennas and two double-sphere

electric antennas. The resulting data consist of power spectra of all the components, their relative phases and coherence. The logarithmic frequency resolution is approximately 30% and the time resolution is 1 second for the power spectra and 4 seconds for the phases and coherence in the normal mode.

[9] Both these instruments recorded intense chorus on all four spacecraft on 20 January 2004, during the nightside perigee passage. There were no signs of a geomagnetic storm for several days before the measurement, the DST index being between -22 and -46 nT during the previous 24 hours, with the Kp index between 3^- and 4^+ . However, the AE index indicates substorm activity with a peak of 970 nT 4 hours before the observations, decreasing to 286 nT at the time of measurements. This is similar to events of High-Intensity Long-Duration Continuous AE Activity (HILD-CAA) which are not connected to the DST geomagnetic storms [*Tsurutani et al.*, 2008].

[10] Figure 1 shows the data recorded on Cluster 2. Data from other spacecraft are similar. The electric field power spectrogram in Figure 1a represents the high-resolution WBD data that are available before 1938 UT. After that time we use the STAFF-SA data that have a limited frequency range below 4 kHz. Chorus is seen as an intense wave emission, initially rising in frequency from ≈ 2 to 4 kHz, as the spacecraft approaches the geomagnetic equator from the South. Eventually, in the vicinity of the magnetic equatorial plane, several spectral widenings of the chorus bandwidth toward lower frequencies are encountered. In the same region the upper band of chorus appears above one half of the electron cyclotron frequency ($\frac{1}{2}f_{ce}$). The magnetic field spectrogram from the STAFF-SA instrument is shown in Figure 1b, with no signs of spectral widenings.

[11] Figure 1c shows the angle θ_{BK} , between the wave vector and the static magnetic field, calculated by the singular value decomposition (SVD) method [*Santolik et al.*, 2003b, equation (22)] using the STAFF-SA measurements of both electric and magnetic field fluctuations. These results will be analyzed in detail later in section 4 but it is evident already from Figure 1c, that the wave vector angles are highly deviated from directions parallel or antiparallel to the magnetic field. Generally, the θ_{BK} values are larger than 90° at negative geomagnetic dipole latitudes (shown as MLT at on the bottom of Figure 1), and smaller than 90° when the spacecraft moves to positive latitudes on the Northern side of the geomagnetic dipole equator.

[12] This propagation pattern is clearly confirmed in Figure 1d which shows the parallel component of the Poynting flux normalized by its standard deviation, as it is obtained from statistical uncertainties of the spectral analysis [*Santolik et al.*, 2001, equation (8)]. Most of the values obtained for chorus are either below -3 or above 3 indicating propagation with a significant antiparallel or parallel component of the Poynting flux, on the Southern or Northern side of the geomagnetic dipole equator, respectively.

[13] This means that the central position of the chorus source, where the Poynting flux diverges, is located close to the dipole equatorial plane, as it was previously found for other chorus cases [e.g., *LeDocq et al.*, 1998; *Parrot et al.*, 2003; *Santolik et al.*, 2003a]. This plane contains the minimum of the magnetic field strength along any given field line, assuming the ideal case of the dipole magnetic

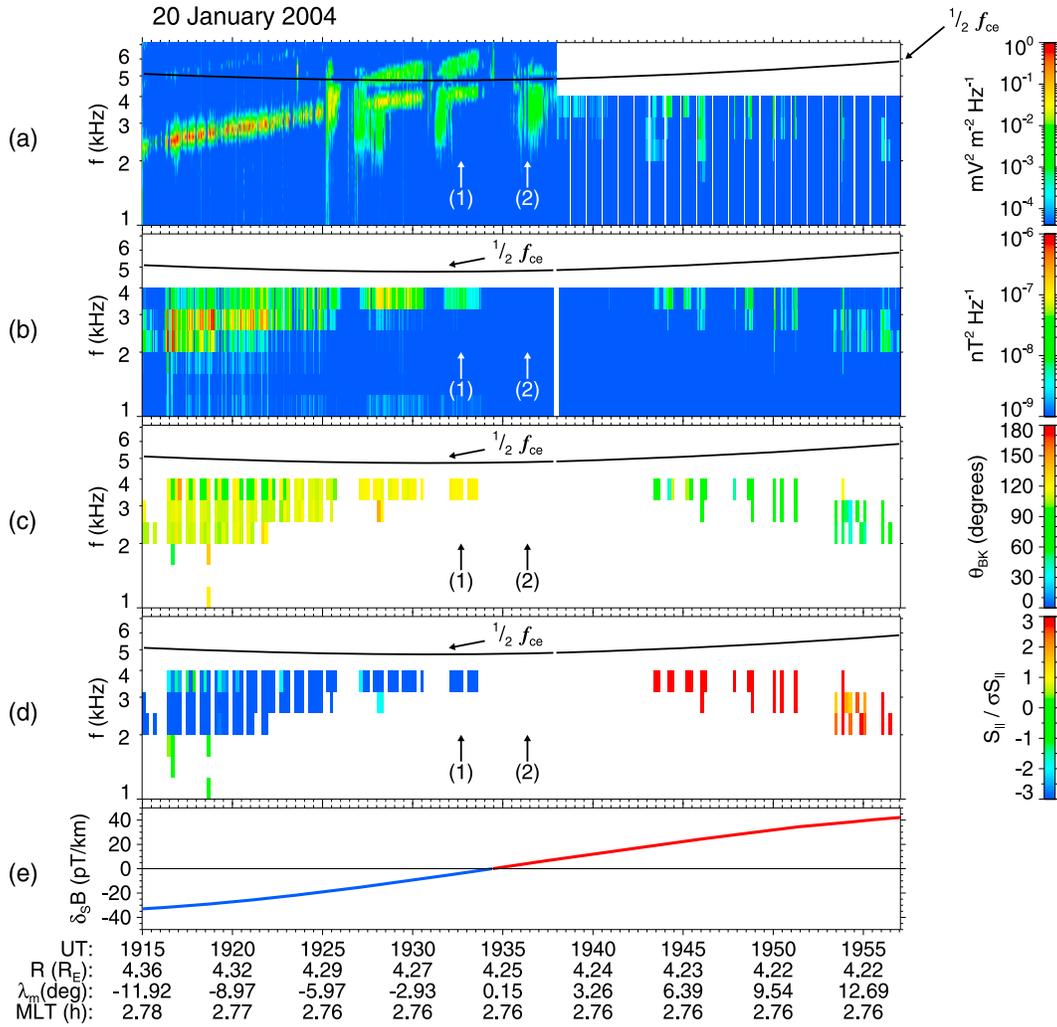


Figure 1. Measurements of Cluster 2 on 20 January 2004. (a) Power spectrogram of the electric field fluctuations from the WBD measurements (before 1938 UT) and STAFF-SA measurements (after 1938 UT). In the STAFF-SA data the frequency band is limited below 4 kHz and the vertical white stripes correspond to regular data gaps. (b) Power spectrogram of the magnetic field fluctuations. (c) Angle between the wave vector and \mathbf{B}_0 . (d) Parallel component of the Poynting vector normalized by its standard deviation. (e) Spatial gradient of $|\mathbf{B}_0|$ projected on the local field line. The solid black lines in Figures 1a–1d show one half of the electron cyclotron frequency from local measurements of the static magnetic field [Balogh *et al.*, 2001]. Vertical arrows show times of events 1 and 2 which are analyzed in detail in Figures 2a and 2b, respectively. Position of Cluster 2 is given on the bottom. R, radial distance in Earth’s radii; λ_m , magnetic dipole latitude in degrees; MLT, magnetic local time in hours.

field. This assumption could be wrong for the geomagnetic field at a radial distance of $\approx 4 R_E$, especially on the night side [see, e.g., Santolik *et al.*, 2005, Figure 8]. We have thus determined the position of the field strength minimum along its direction experimentally. Our multipoint method uses magnetic field measurements by fluxgate magnetometers [Balogh *et al.*, 2001] onboard the four Cluster spacecraft, as it is described in Appendix A. Figure 1e shows the spatial derivative of the magnetic field strength along the magnetic field direction ($\partial_s B$ from equation (A5)) calculated for the central position \mathbf{R}_c of the four spacecraft (equation (A2)) and shifted in time by Δt_2 (equation (A6)) for the position of Cluster 2. The field strength minimum along the magnetic field line is reached at 1934:26 UT; at this moment the spacecraft passes through the true magnetic equator. Taking

into account the Poynting flux (Figure 1d) and wave vector (Figure 1c) measurements, this result is consistent with the anticipated central position of the chorus source at the true magnetic equator [Trakhtengerts, 1995; Kozelov *et al.*, 2008; Omura *et al.*, 2008]. The other three Cluster spacecraft give the same result as they successively pass through the experimentally determined magnetic equatorial surface between 1932:02 and 1935:49 UT (not shown).

3. Observations of Hiss and Discrete Chorus in the Source Region

[14] Two vertical arrows in Figures 1a–1d show times of selected events 1 and 2. Both events are located close to the magnetic equator. Event 1 is inside the time interval where

chorus is observed in both the electric field spectrogram (Figure 1a) and the magnetic field spectrogram (Figure 1b) at frequencies between 3.5 and 6.5 kHz. Event 2 corresponds to the time interval where the behavior of emissions changes and where spectral widening down to a frequency of 2 kHz is observed in the electric field spectrogram, with no counterpart in the corresponding magnetic field data.

[15] In the chorus source region high-resolution data of the WBD instruments have been recorded continuously on board Cluster 1, 2, and 4. Power spectrograms for each of the three spacecraft from short data intervals of 3 s duration are shown in Figure 2. These intervals include both electric and magnetic field measurements. Figures 2a and 2b show data for Events 1 and 2, respectively. It is clearly seen that the two events are very different.

[16] Event 1 in Figure 2a contains short discrete chorus elements (wave packets) that are observed in both the electric and magnetic field spectrograms. Each element decreases in frequency, typically by several hundreds of hertz at timescales of several tens of ms. Two chorus bands are separated by a gap around $\frac{1}{2}f_{ce}$; the upper band extends up to 6.5–7 kHz and the lower band is observed at frequencies above 3.5–4 kHz. Corresponding chorus elements are sometimes observed by the three spacecraft, for example between 1932:41 and 1932:42 UT, in both the electric field and magnetic field spectrograms. These chorus wave packets are remarkably shifted in frequency and time on the three different spacecraft, similarly as it was previously observed in other cases [e.g., Gurnett *et al.*, 2001; Inan *et al.*, 2004; Platino *et al.*, 2006; Chum *et al.*, 2007; Breneman *et al.*, 2009]. Correlation analysis of these corresponding elements and interpretation of their time and frequency shifts are subjects of a companion paper by Chum *et al.* [2009].

[17] In a nearly 600 ms interval after 1932:41.2 UT, one of the spacecraft (Cluster 4) measures the magnetic field fluctuations while the other spacecraft still record the electric field data. We can therefore approximately compare the ratio of the magnetic and electric components of the corresponding chorus wave packets. A rough estimate of the maximum electric field power spectral densities in this interval is $10^{-2} \text{ mV}^2\text{m}^{-2}\text{Hz}^{-1}$, while the magnetic field power spectral densities reach $3 \times 10^{-7} \text{ nT}^2\text{Hz}^{-1}$. These values give the dimensionless ratio of the magnetic to electric power, $c^2B^2/E^2 \approx 2.7$. Very similar results can be also obtained from the comparison between the signals of neighboring wave packets on each of the spacecraft around the time where the instrument switches from the electric to the magnetic sensor.

[18] Event 2 in Figure 2b is an example of a hiss emission with hardly detectable frequency-time structures on the spectrogram that possibly rise in frequency. The bandwidth extends down to lower frequencies of approximately 2 kHz.

The emissions are only observed on the electric field spectrograms, while no corresponding magnetic field fluctuations are detected.

[19] Visual inspection of high-resolution spectrograms, as those shown in Figure 2, indicates that there are several time intervals where nearly shapeless hiss and structured discrete chorus alternate in the vicinity of the magnetic equator. These intervals are given on the top of Figure 3b. Moreover, we can notice in Figure 3b that all the discrete chorus emissions have significant magnetic field component, while inside the hiss intervals the power spectral density of the magnetic field is systematically found to be at the background level. This indicates a quasi-electrostatic nature of the hiss intervals. The changes from discrete emissions to quasi-electrostatic hiss and vice versa occur simultaneously at all the Cluster spacecraft. They thus do not reflect different spatial regions scanned by the spacecraft along their orbit, but rather a temporal effect, linked probably to a changing state of the chorus source region.

[20] Position of the spacecraft in the source region is indicated by their dipole magnetic latitudes shown on the bottom of Figure 3. More precisely, the experimental determination of the passage through the true magnetic equatorial surface (see Appendix A) is shown by the vertical lines in Figure 3 for each of the Cluster spacecraft: Cluster 1 passes through this plane first, followed by Cluster 3, 2 and 4. After ≈ 1932 UT the equatorial surface is surrounded by the different spacecraft. Cluster 1 is on its Northern side and the other three spacecraft are to the South of it. Fortunately, this happens during the second interval of discrete chorus that lasts until ≈ 1934 UT (see on the top of Figure 3b). We can therefore verify if the chorus propagation characteristics correspond to the hypothesis that the central position of the chorus source region is at the true magnetic equatorial surface. Indeed, the prevailing Poynting flux on Cluster 1 does have a Northward component after 1933 UT while the other three spacecraft still see the Southward propagation (not shown, except for Cluster 2 in Figure 1d). The waves seen by Cluster 1 and 3 are, however, weak, with the magnetic field fluctuations close to the background level.

[21] Interestingly enough, the sequence in which the spacecraft pass the true magnetic equatorial surface also corresponds to the ordering of power spectral density values seen by the different spacecraft in the discrete chorus intervals in Figures 3a and 3b: The further the given spacecraft from the true magnetic equator, the stronger the chorus. The same effect can be seen on the temporal evolution of chorus intensity on each of the spacecraft separately, comparing the two intervals of discrete chorus shown on the top of Figure 3b. The interval 1926–1930 UT is further from the equator, with higher intensities, and the

Figure 2. High-resolution spectrograms of the power spectral density calculated from the electric field (psd-E) and magnetic field (psd-B) data recorded by the WBD instruments on board the Cluster 1 (SC1), Cluster 2 (SC2), and Cluster 4 (SC4) spacecraft on 20 January 2004. Two 3 s time intervals correspond to two selected events shown by vertical arrows in Figures 1a–1d. (a) Event 1 containing discrete chorus elements starts at 1932:40 UT. (b) Event 2 as an example of hiss with a bandwidth extended toward lower frequencies starts at 1936:22 UT. The solid white lines show one half of the electron cyclotron frequency from local measurements of the static magnetic field. Magnetic dipole latitudes (λ_m) of the three spacecraft are given on the bottom.

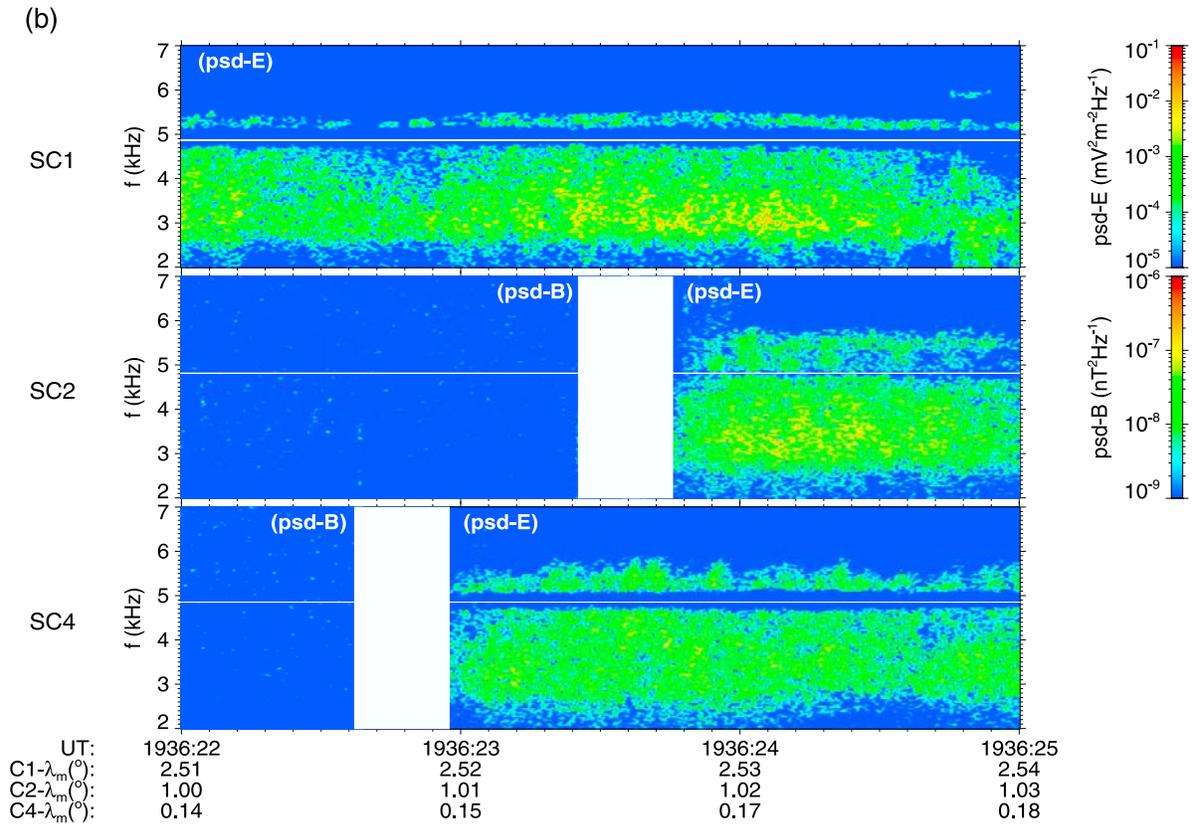
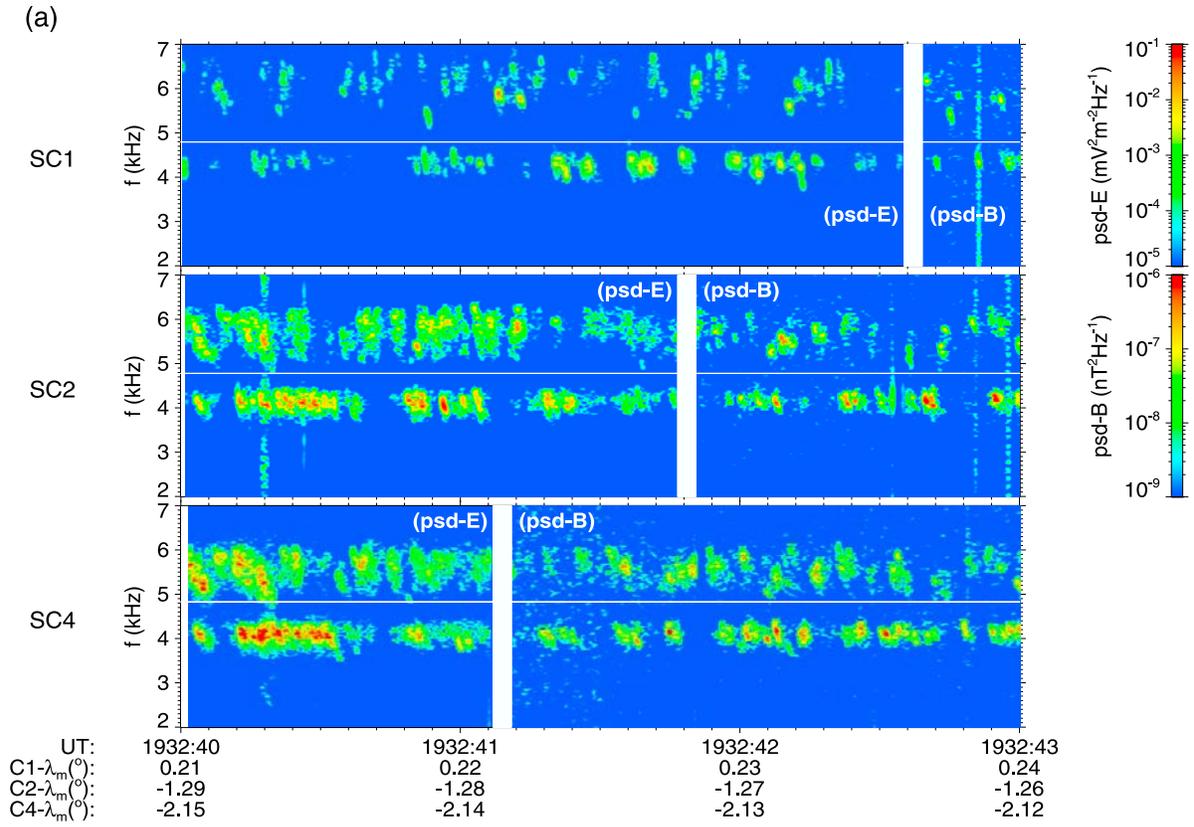


Figure 2

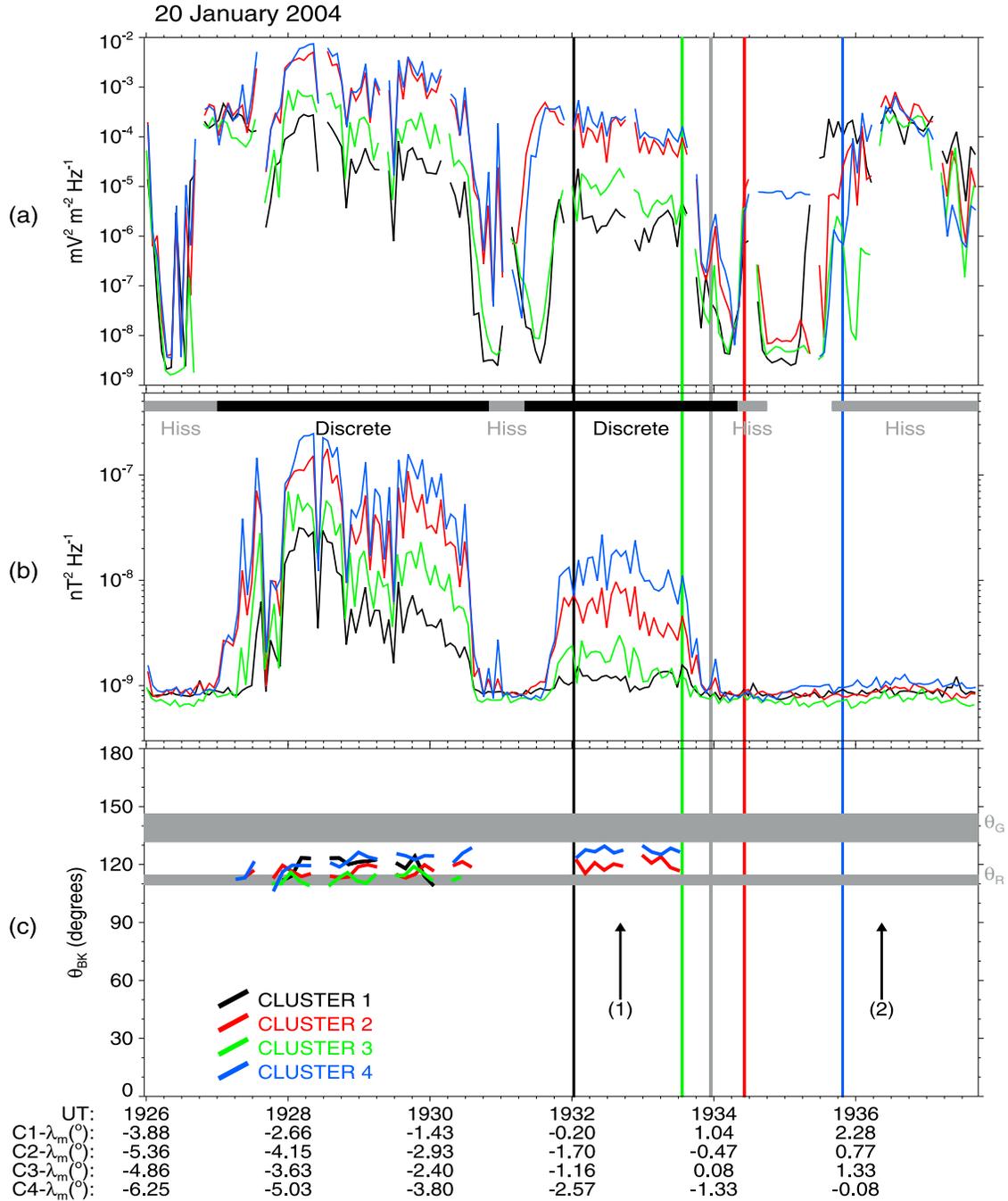


Figure 3. Parameters of waves detected in the frequency range 3.17–4 kHz in the chorus source region on 20 January 2004. (a) Power spectral density of the electric field fluctuations. (b) Power spectral density of the magnetic field fluctuations, hiss, and chorus intervals from visual inspection of high-resolution spectrograms are shown on the top. (c) Angle between the wave vector and \mathbf{B}_0 . The data from the four Cluster spacecraft are color coded according to the legend on the left-hand side. The horizontal gray rectangles show approximate ranges of values of (from the bottom) the whistler mode resonance angle θ_R and of the Gendrin angle θ_G . The vertical solid lines spanning over Figures 3a–3c show the times when each spacecraft passes through the magnetic equator according to the method described in Appendix A. The vertical grey line shows this time for the central position inside the spacecraft constellation. Vertical arrows show times of events 1 and 2 which are analyzed in detail in Figures 2a and 2b, respectively. Dipole magnetic latitude (λ_m) in degrees is given on the bottom for the four Cluster spacecraft.

interval 1932–1934 UT is closer to the equator, with lower intensities.

4. Wave Vector Directions of Chorus and Hiss in the Source Region

[22] Figure 3c shows results of wave vector analysis with the same SVD method as in Figure 1c using the measurements of electric and magnetic field fluctuations in the highest frequency band of the STAFF-SA instrument, between 3.17 and 4 kHz. The results are given for all the four Cluster spacecraft but only when the magnetic field power spectral density is higher than $3 \times 10^{-9} \text{ nT}^2 \text{ Hz}^{-1}$. This means that we have no results in the hiss regions where the magnetic field is at the background level. The results for stronger waves in the two discrete chorus intervals correspond to the Southward propagation at highly oblique wave normal angles, and it is helpful to know their relation to the characteristic whistler mode angles, the resonance angle and the Gendrin angle.

[23] The whistler mode resonance angle is estimated using an approximation

$$\theta_R = 180^\circ - \arccos(f/f_{ce}), \quad (1)$$

where we take into account the Southward propagation ($\theta_{BK} > 90^\circ$) and where f is the wave frequency. f_{ce} can be approximately defined from the linearly interpolated magnetic field \mathbf{B}_c inside the Cluster spacecraft constellation using equation (A3) in Appendix A. From the measurements of the FGM instrument we obtain a value of $f_{ce} = 9.625 \text{ kHz}$ at the moment when the central position \mathbf{R}_c of the spacefleet (equation (A2)) passes through the true magnetic equator at 1933:57 UT. For f between 3.2 and 4 kHz we then obtain values of θ_R between 109.4° and 114.6° from equation (1) (shown by the lower grey rectangle in Figure 3c). These rough estimates do not change substantially during the analyzed time interval.

[24] To estimate the Gendrin angle we use an approximation [e.g., *Gendrin*, 1961; *Helliwell*, 1995]

$$\theta_G = 180^\circ - \arccos(2f/f_{ce}). \quad (2)$$

With the same $f_{ce} = 9.625 \text{ kHz}$ as for the calculation of θ_R , and for f between 3.2 and 4 kHz, we obtain values of θ_G between 131.7° and 146.2° (shown by the upper grey rectangle in Figure 3c).

[25] The resulting θ_{BK} for the two discrete chorus intervals is found between the two grey rectangles in Figure 3c, i.e., between θ_R and θ_G , for most of the time. Some of the results are very close to the resonance cone, within the uncertainties given by the approximative calculation of θ_R . A possible source of experimental error also is the assumption of the presence of a single plane wave that is used for estimating θ_{BK} .

[26] We can verify the validity of this assumption by estimating the distribution of the wave energy density with respect to the wave vector directions, which means the wave distribution function (WDF) defined by *Storey and Lefeuvre* [1979]. If the WDF has a pronounced peak in a single direction the assumption of a single plane wave is valid [see, e.g., *Santolík and Parrot*, 2000]. However, it can

happen that the wave energy density is distributed over a wide range of wave vector directions. In this case the assumption of the presence of a single plane wave is disputable.

[27] The first step in the estimation of the WDF is the “direct problem”, which means the theoretical calculation of autopower and cross-power spectral densities of the fluctuations of electric and/or magnetic field components (including their relative phases and coherence). We calculate the model spectral densities for each wave vector direction from the complete cold plasma theory [*Stix*, 1992]. We assume a magnetized hydrogen plasma with the parameters corresponding to Cluster 4 measurements during event 1 from Figure 2a. We use a central frequency $f = 3587 \text{ Hz}$ and the measured electron cyclotron frequency $f_{ce} = 9657 \text{ Hz}$. The electron plasma frequency is estimated as $f_p \approx 25 \text{ kHz}$, based on measurements of the WHISPER instrument onboard the Cluster spacecraft in this region (*P. Décréau*, private communication, 2007) since no reliable direct measurement is available for this event. For these parameters, the cold plasma theory gives the resonance angle

$$\theta_r = \arctan \sqrt{-P/S}, \quad (3)$$

where P and S are the parameters of *Stix* [1992] calculated using f , f_{ce} , and f_p . We thus obtain $\theta_r = 66.8^\circ$ and 113.2° , respectively, in the opposite hemispheres of propagation (well corresponding to an approximate value of 111.8° from equation (1)).

[28] Selected results of the cold plasma theory for a whistler mode wave are plotted in Figures 4a–4d as a function of the angle θ_{BK} between 0° and θ_r . Figure 4a shows the refractive index increasing from a value of 5.4 at $\theta_{BK} = 0^\circ$ and sharply diverging at $\theta_{BK} = \theta_r$. The group velocity in Figure 4b is decreasing close to the resonance angle. The Gendrin angle can be found from Figure 4c as $\theta_{BK} = \theta_g > 0$ for which the angle θ_{BS} is zero. We obtain $\theta_g = 38.7^\circ$ and 141.3° , respectively, in the opposite hemispheres of propagation (again, well corresponding to an approximate value of 138.0° from equation (2)). Figure 4d shows that the power of magnetic field fluctuations sharply decreases close to the resonance angle. Finally, we have used the cold plasma theory to calculate the model autopower and cross-power spectral densities of the three magnetic field components with 0.075° steps in θ_{BK} .

[29] The second step in the estimation of the WDF is the “inverse problem” that consists in fitting a multiparameter model to the measured autopower and cross-power spectral densities of the three magnetic field components. We have used an improved version of the “Model of Discrete Regions” [*Santolík and Parrot*, 2000]. The WDF estimation procedure works with regions of approximately $1.7^\circ \times 1.7^\circ$. Figure 4e shows the WDF estimated from Cluster 4 measurements during event (1). Since we know from the previous analysis that the waves propagate Southward, the results are represented in a θ_{BK} interval between 90° and 180° . The estimated WDF shows that the wave energy density has a very broad peak in the azimuth angle ϕ_{BK} . This peak has a width of approximately 180° and it is centered near the plane of the local magnetic meridian, in

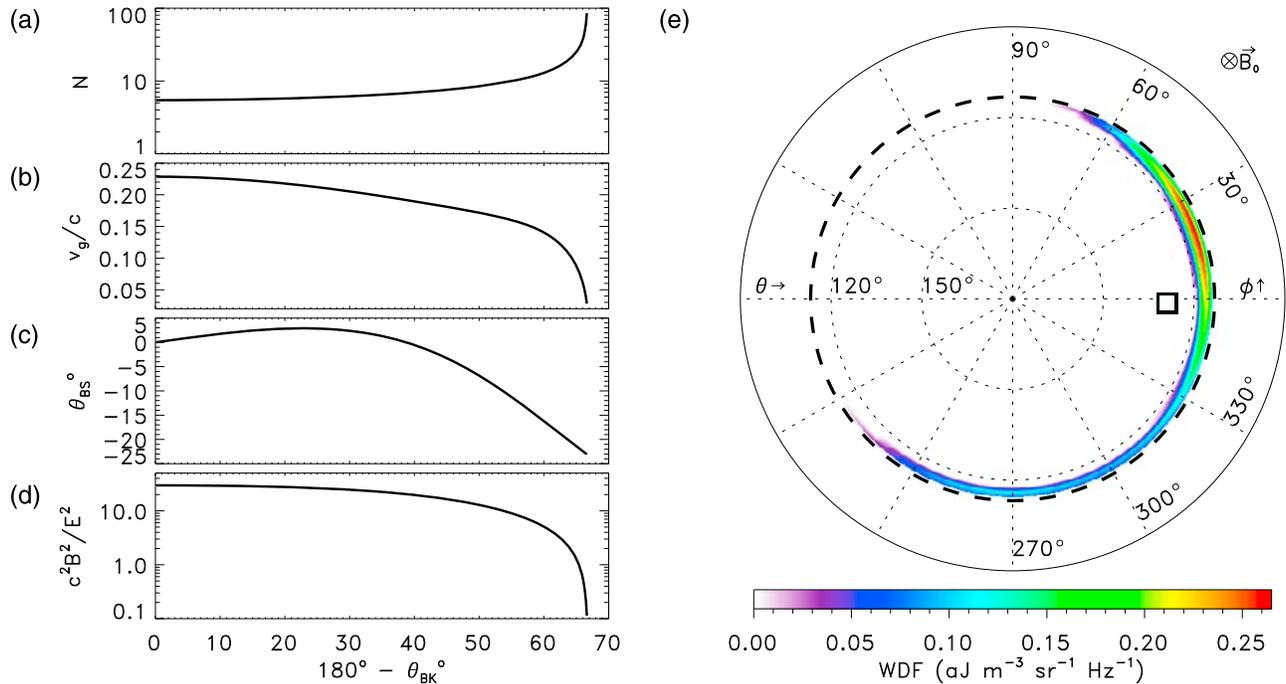


Figure 4. (a–d) Results of the theoretical analysis of a whistler mode wave at a frequency of 3.587 kHz in a cold plasma as a function of the angle θ_{BK} between the wave vector and \mathbf{B}_0 . (a) The refractive index, (b) the group speed normalized by the speed of light, (c) angle θ_{BS} between the group velocity and \mathbf{B}_0 , and (d) ratio of the magnetic field power versus the electric field power. (e) Wave distribution function (WDF) estimated from observations on board Cluster 4 in the frequency range 3.17–4 kHz between 19:32:39.603 and 19:32:43.443 on 20 January 2004 (during event 1 which is analyzed in Figure 2a). WDF is plotted in a polar plot as a function of the polar angle θ_{BK} between the wave vector and \mathbf{B}_0 and the angle azimuth ϕ_{BK} of the wave vector, with $\phi_{BK} = 0$ in the plane of the local magnetic meridian, outward from the Earth. Resonance angle θ_r is shown by a dashed line. Results of the plane wave analysis from Figure 3c are indicated by a square symbol.

the direction outward from the Earth. Note that similar WDFs have been previously obtained for the auroral hiss emissions [Santolík and Gurnett, 2002].

[30] On the other hand, the peak in the estimated WDF is relatively narrow as a function of the θ_{BK} angle. As in the previous plane wave analysis by the electromagnetic SVD method (square symbol), the majority of the wave energy is found between θ_g and θ_r . The maximum is found at $\theta_{BK} \approx 116.5^\circ$. This is still more than 3° inward from the resonance cone but it is closer to the resonance cone compared to the previous result from the electromagnetic SVD method (128.9°). Different plane wave methods [McPherron *et al.*, 1972; Means, 1972; Samson, 1973; Samson and Olson, 1980] or the magnetic SVD method [Santolík *et al.* 2003b, paragraph 13] give slightly different results, between 115.6° and 131.6° (not shown). The reason for this spread is that, as it is shown in Figure 4e, the assumption of the presence of a single plane wave is not completely satisfied and each of the methods results in a different “average” direction.

[31] Since the WDF has been obtained uniquely from the magnetic field measurements, an independent estimation of θ_{BK} can be obtained from the comparison of the magnetic and electric components of chorus. We have analyzed the same time and frequency interval as we have used for the WDF analysis in Figure 4e. The Cluster 4 STAFF-SA data give an average sum of power spectral densities from the two electric antennas of $1.6 \times 10^{-4} \text{ mV}^2 \text{ m}^{-2} \text{ Hz}^{-1}$, while an

average sum of power spectral densities from the three magnetic antennas is $9.8 \times 10^{-9} \text{ nT}^2 \text{ Hz}^{-1}$. These values give the dimensionless ratio of the magnetic to electric power, $c^2 B^2 / E^2 \approx 5.5$. Comparing this value with calculations in Figure 4d, we obtain an angle θ_{BK} of $\approx 120^\circ$ for which the theory gives the same $c^2 B^2 / E^2$ ratio. In reality, this ratio can be a few tens of percent lower, since we do not measure all three components of the electric field and thus we underestimate the denominator E^2 . This is in good agreement with the estimate of $c^2 B^2 / E^2 \approx 2.7$ obtained in section 3 from the WBD measurements of maxima of power for the discrete wave packets of chorus. The comparison of this value with the theoretical calculation in Figure 4d gives a somewhat larger θ_{BK} of $\approx 117^\circ$, that is nearly identical with the above discussed peak value based on the WDF analysis of the magnetic field data. This value of θ_{BK} also agrees surprisingly well with the value for which the calculated transverse velocity of the chorus source introduced by Chum *et al.* [2009] matches the velocity deduced from the observed time shifts of individual chorus wave packets observed on the different spacecraft (for more details, see Chum *et al.* [2009]).

[32] During the hiss events (for example during the event 2 in Figure 2b) the power of the magnetic field fluctuations is lower by at least 1–2 orders of magnitude. Often, the average level of electric field fluctuations is approximately the same as during the discrete chorus events. The ratio

$c^2 B^2/E^2$ is therefore of the order of 0.1 or lower. According to the theoretical calculations in Figure 4d this is only possible if θ_{BK} is extremely close to the whistler mode resonance cone, $|\theta_r - \theta_{BK}| < 0.1^\circ$. For these wave vectors, hiss should have a low group velocity, at a fraction of its value for discrete chorus (see Figure 4b). So close to the resonance cone, however, the cold plasma theory starts to be invalid, and a kinetic approach might be necessary.

5. Discussion and Conclusions

[33] We have presented a case study of chorus emissions generated at highly oblique angles with respect to the terrestrial magnetic field. This result is important since it shows that the assumption of quasi-parallel propagation that is used by many theoretical studies can be invalid. In this case we need new theories for the chorus generation and possibly for local acceleration of electrons in the outer Van Allen radiation belt. Models of radiation belt dynamics that include the whistler mode waves then might also be affected. On the other hand, it cannot be excluded that this case is a rare exception with no importance for the overall average properties of chorus and thus with no importance for modeling of radiation belts. However, we have found a similar nightside event that was recorded by Cluster on 6 January 2004 and a comprehensive analysis of a large number of chorus events is underway to resolve this problem.

[34] The estimation of the WDF showed that discrete chorus is generated close to the whistler mode resonance cone but in a very large interval of azimuth angles. This result alone may be important for theoretical considerations. For example, since the group speed can deviate by more than 20° from the local field line (see Figure 4c) the waves can propagate across different L shells; this is in some detail discussed in the companion paper by *Chum et al.* [2009]. Our analysis, however, has experimental limitations that need to be discussed. First, the time interval of analysis is defined by the time resolution of the STAFF-SA instrument. This leads to averaging of the autopower and cross-power spectral densities over nearly 4 s intervals. During this time, the signal from many chorus wave packets is accumulated (see Figure 2a). The signal is clearly nonstationary but the largest amplitudes are contained in the chorus wave packets, so we can reasonably suppose that the resulting WDF reflects the global propagation properties of chorus. Second, the frequency band of the STAFF-SA instrument in which the analysis is done spans over more than 800 Hz. This band includes the bottom part of the frequency extent of chorus wave packets. The estimated WDF therefore doesn't reflect detailed properties of each frequency and each wave packet separately but a weighted average of the distribution of the wave energy density over the ensemble of many wave packets in a large frequency band. It is possible that each wave packet or frequency contributes to the average by a different interval of azimuth angles, increasing thus the width of the resulting peak. The only possibility for an attempt to resolve this problem at the level of individual chorus wave packets would be to analyze high-resolution waveform data for a set of components of magnetic and/or electric field fluctuations.

[35] Occurrence of hiss together with chorus has been known for a long time [*Dunckel and Helliwell*, 1969; *Burtis and Helliwell*, 1976], but the new result of our study comes from observations in several spatial points in the chorus source region. Using the measurements onboard the four Cluster spacecraft we are able to show that the changes from discrete chorus to hiss and vice versa occur at the same time at all spacecraft. It indicates that the entire source region changes its state. These changes of source state might be consistent with different regimes of the nonlinear chorus generator mentioned by *Titova et al.* [2003] in connection to the backward wave oscillator theory by *Trakhtengerts* [1995]. Obviously, our result also has experimental limitations: we can only work at spatial scales less than the maximum separation of the spacecraft (≈ 1000 km).

[36] It is important to note that we measure the direction of the Poynting flux during the discrete chorus intervals and we thus know when we are close to the central position of the source region. On the other hand, the magnetic field fluctuations are weak during the hiss intervals and we are unable to measure the Poynting flux in that case. Hiss can thus hypothetically propagate from some other source region that can be for instance located at higher latitudes, or it can leak from the plasmasphere, as it is discussed by *Parrot and Lefeuvre* [1986]. However, our observations of the quasi-electrostatic hiss rather indicate a common source region with chorus since the two regimes do not overlap but strictly alternate in time. Similar unstable electron distributions can therefore be responsible for generation of either chorus or hiss, according to the regime in which the nonlinear source mechanism is active at a given time. Further stability analysis based on measured electron phase space densities should shed light on this problem.

[37] The discrete chorus has been independently found a few degrees from the resonance cone also using the analysis of the ratio of the magnetic field power to the electric field power. The results are consistent with the WDF analysis that was only based on the magnetic field data. For the hiss intervals the magnetic field is very weak which means that the waves propagate in the resonance regime, less than 0.1 from the resonance cone. These results are confirmed by both the WBD and STAFF-SA instruments. The experimental problem here is that none of them measures all the field components. The WBD instruments measure a single component, so the results are randomly affected by the direction of the selected antenna and by the spin phase. The expected random error of the electric or magnetic field power is of the order of tens of percent. The STAFF-SA instrument measures the full vector of magnetic field fluctuations but only two spin plane electric field components. We are thus not able to calculate the full electric field power from the STAFF-SA data. This may lead to a slight systematic underestimation of the closeness of the wave vector to the resonance cone.

[38] Another result of this study is also linked to the multipoint measurements. The determination of the passage through the true magnetic equator (see Appendix A) allows us to independently locate the anticipated central position of the chorus source region. This position well corresponds to the Poynting flux measurements. The multipoint measurement also shows that the intensity of discrete chorus emissions increases with the distance from the true magnetic

equator. This is consistent with the idea of an extended source region along the magnetic field lines, centered at the true magnetic equator. Chorus thus can be gradually amplified inside the source region and we observe more intense waves at larger distances from the central position of the source, closer to its ends but still well inside it.

[39] Finally, a summary of results of our case study is as follows:

[40] 1. The high-resolution waveforms from the Cluster WBD instruments show that in the chorus source region, two regimes alternate in time. Either a sequence of discrete wave packets with falling frequency is generated, or we detect a shapeless hiss. Multipoint observations indicate that these regimes occur at the same time at different positions in the source region.

[41] 2. Multicomponent measurements show that in both these regimes the waves propagate close to the whistler mode resonance cone. Two independent methods (estimation of the wave distribution function from the STAFF-SA magnetic field data and measurements of the ratio of power of the magnetic field and electric field fluctuations) show that discrete chorus propagates in a narrow interval of polar angles of a few degrees from the resonance cone. The estimated wave distribution function also shows that the wave energy density spans over $\approx 180^\circ$ in the azimuth angle. Hiss has a very weak magnetic component and propagates closer than 0.1° to the resonance cone.

[42] 3. Measurement in four spatial points shows that the intensity of discrete wave packets increases with the distance from the true magnetic equator, estimated as a magnetic field minimum along a given field line. This indicates that the waves are gradually amplified during their propagation though the source region, consistent with the interaction length of several thousands of km along the magnetic field lines [Trakhtengerts *et al.*, 2004; Santolik *et al.*, 2005].

Appendix A: Multipoint Determination of the Magnetic Equator

[43] With respect to the wave-particle interactions involved in the process of generation of chorus [e.g., Trakhtengerts, 1995; Omura *et al.*, 2008], the magnetic equator can be defined as a surface perpendicular to the direction of the geomagnetic field where the magnetic field modulus reaches its minimum along every field line. We use measurements of the FGM magnetometers [Balogh *et al.*, 2001] of the four Cluster spacecraft to estimate the projection of the gradient of the magnetic field strength onto the direction of the magnetic field. This allows us to determine the time when a given spacecraft crosses the magnetic equatorial plane. Our method is based on linear interpolation [Chanteur, 2000] and is similar to the method used by Vaivads *et al.* [2007] for analysis of magnetic field minima close to the dayside magnetopause.

[44] As the input data we use the spacecraft positions expressed by their radius vectors \mathbf{R}_i , $i = 1 \dots 4$, vector of orbital velocity \mathbf{u} which is the same for all the spacecraft, and the measured magnetic field vectors at each spacecraft \mathbf{B}_i .

[45] We first define the interspacecraft separation vectors as $\mathbf{r}_{ij} = \mathbf{R}_i - \mathbf{R}_j$. The reciprocal vectors k_i are then defined as

$$\begin{aligned} \mathbf{k}_1 &= \frac{\mathbf{r}_{32} \times \mathbf{r}_{42}}{\mathbf{r}_{12} \cdot (\mathbf{r}_{32} \times \mathbf{r}_{42})}, & \mathbf{k}_2 &= \frac{\mathbf{r}_{31} \times \mathbf{r}_{41}}{\mathbf{r}_{21} \cdot (\mathbf{r}_{31} \times \mathbf{r}_{41})}, \\ \mathbf{k}_3 &= \frac{\mathbf{r}_{21} \times \mathbf{r}_{41}}{\mathbf{r}_{31} \cdot (\mathbf{r}_{21} \times \mathbf{r}_{41})}, & \mathbf{k}_4 &= \frac{\mathbf{r}_{21} \times \mathbf{r}_{31}}{\mathbf{r}_{41} \cdot (\mathbf{r}_{21} \times \mathbf{r}_{31})}. \end{aligned} \quad (\text{A1})$$

[46] The radius vector of the central position inside the spacecraft is defined as

$$\mathbf{R}_c = \frac{1}{4} \sum_{i=1}^4 \mathbf{R}_i \quad (\text{A2})$$

and, at this position, the linearly interpolated vector of the magnetic field is

$$\mathbf{B}_c = \sum_{i=1}^4 [1 + \mathbf{k}_i \cdot (\mathbf{R}_c - \mathbf{R}_i)] \mathbf{B}_i. \quad (\text{A3})$$

The magnetic field strength $B = |\mathbf{B}|$ is approximated by a linear function of the radius vector inside the volume of the spacecraft tetrahedron and its gradient can be estimated

$$\nabla B = \sum_{i=1}^4 \mathbf{k}_i |\mathbf{B}_i|. \quad (\text{A4})$$

Projection of the gradient of the magnetic field strength onto the direction of the magnetic field at position \mathbf{R}_c , then reads

$$\partial_s B = \frac{\mathbf{B}_c \cdot \nabla B}{|\mathbf{B}_c|}. \quad (\text{A5})$$

[47] Given the Northward direction of the terrestrial magnetic field in the equatorial plane, $\partial_s B$ is negative to the South for the magnetic equator and positive on its Northern side. The equator is then reached at time t_c when $\partial_s B = 0$, which means when B is minimum along the given field line. At this moment, however, the equatorial passage is estimated for the central position \mathbf{R}_c , not for the positions of the particular spacecraft. We thus proceed with shifting the time of $\partial_s B$ for a given spacecraft according to its separation vector with respect to \mathbf{R}_c . This vector, projected to the \mathbf{B}_c direction and divided by the projection of the orbital velocity \mathbf{u} onto the \mathbf{B}_c direction, gives a time shift

$$\Delta t_i = \frac{\mathbf{B}_c \cdot (\mathbf{R}_c - \mathbf{R}_i)}{\mathbf{B}_c \cdot \mathbf{u}}, \quad i = 1 \dots 4. \quad (\text{A6})$$

This gives an estimate of time, $t_c + \Delta t_i$, when the magnetic equatorial passage occurs for each of the four spacecraft.

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