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Intrusion detection in network systems through hybrid supervised and unsupervised mining process
- a detailed case study on the ISCX benchmark dataset -

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Abstract
Data mining techniques play an increasing role in the intrusion detection by analyzing network data and classifying it as 'normal' or 'intrusion'. In recent years, several data mining techniques such as supervised, semi-supervised and unsupervised learning are widely used to enhance the intrusion detection. This work proposes a hybrid intrusion detection (kM-RF) which outperforms in overall the alternative methods through the accuracy, detection rate and false alarm rate. A benchmark intrusion detection dataset (ISCX) is used to evaluate the efficiency of the kM-RF, and a deep analysis is conducted to study the impact of the importance of each feature defined in the pre-processing step. The results show the benefits of the proposed approach.

Keywords: Anomaly detection, data mining, random forest, k-means clustering, hybrid classification, ISCX dataset

1. Introduction
Due to the proliferation of high-speed Internet access and rapid expansion of the computer networks during the past few years, more and more organizations are becoming vulnerable to potential cyber attacks, such as network intrusions. In particular, any set of actions that threatens the integrity, confidentiality or availability of a network resource, or any attempt to break into, bypass the security mechanisms or misuse a system is an intrusion, such as Denial of Service (DoS), worms, viruses, etc.

Intrusion detection has recently attracted great attention in the data mining community [1, 2, 3, 4, 5, 6]. It is the process of monitoring the events occurring in a computer system or network and analyzing them for cues of intrusions. Data mining techniques used for intrusion detection traditionally are classified into two categories: misuse detection and anomaly detection. Misuse always refers to the known attacks and misuse detection compares network activities with the pre-defined signatures or patterns which represent a specific attack. A key advantage of misuse detection techniques is their high accuracy in detecting known attacks and their variations. But clearly they can only detect known attacks. Anomaly detection, on the other hand, works by taking the baseline of normal traffic and activities, from which a model of normal behaviors is built. It detects known and previously unknown attacks. However, in many cases, it may fail to detect malicious behaviours or even raise alarms for normal data assuming erroneously that it is an attack. In this way, applying data mining techniques on network traffic data is a promising solution which helps to develop better intrusion detection systems.

In this paper, we discuss data mining and machine learning methods for network intrusion detection and propose a dedicated pre-processing procedure as well as a combination of approaches to detect intrusions in networks more effectively. The remainder of this paper is organized as follows. In Section 2, we present the state-of-the-art of machine learning and data mining based intrusion detection approaches. In Section 3, we describe the proposed pre-processing strategy being directly applicable to any other data mining algorithms. We then characterize the suggested hybrid approach in detail. Finally, the conducted experiments and results obtained are discussed in Section 4. The main contribu-
tions of this research paper are as follows:

- Proposition of a pre-processing procedure to build more separated classes (normal vs. attack) from the raw network traffic data as well as converting the categorical features to numerical ones.
- Proposal for some new features to consider payloads and to help detecting IP scans and distributed attacks, then we show the importance of these added features in detection of intrusions and attacks.
- Proposal for a way of using k-means algorithm to reduce the size of data (i.e. instance set reduction).
- Proposal for a hybrid (semi-supervised and supervised) intrusion detection method
- Show through a detailed analysis that the proposed hybrid intrusion detection (kM-RF) outperforms alternative methods through (a) the accuracy, (b) the detection rate and (c) the false alarm rate.

2. State of the art in machine learning and data mining based intrusion detection systems

Traditional intrusion detection systems are limited and do not provide a proper solution. They search for potential malicious activities on network data and computer usage. However, in many cases, they fail to detect new malicious behaviours or they may frequently raise false alarms when nothing is wrong in the network. Additionally, they require manual processing as well as human expert interaction to tune them.

Many data mining methods can be used in intrusion detection to learn from traffic data, each one with its own specific advantage and drawback. The recent and rapid development in data mining has made a variety of algorithms available, resulting from the fields of statistics, machine learning and parallel computing. The different types of data mining techniques and applications particularly relevant to anomaly detection are supervised learning (classification), semi-supervised learning and unsupervised learning (clustering). They generally refer to the process of extracting patterns, rules and models from the data to solve a decision problem.

2.1. Supervised learning

Classification is a common data mining task, with the foundations of machine learning. Classification-based intrusion detection techniques analyze and classify the network traffic data into two known classes (i.e. normal or attack). They are used when a set of labeled training data is available to learn a model (or a pattern). This model is utilized to categorize the data. In the literature, a variety of classification techniques such as support vector machine (SVM), nearest neighbor, naive Bayes, decision tree, neural network and random forest have been widely used as data mining techniques for intrusion detection applications.

The SVM is one of the most successful classification algorithms in the field of data mining, but the training task is time-consuming (computationally expensive) for intrusion detection systems which limits its use. Furthermore, the SVM in general treats every feature of data equally, while in real network traffic datasets, many features are redundant or less important. Kernel based approaches to feature selection [7, 8] require also complex training process and the so-called weighted kernel that results from the optimization process needs to be regularized prior to its use in an SVM. Moreover the processing of raw features for classification decreases the accuracy of detecting intrusion. Because of the above mentioned shortcomings, the standard SVM can not be used for intrusion detection. However, to address the noticed limitations recently variant of SVM are suggested [9, 10, 11].

The nearest neighbor classification is a type of instance-based learning, or lazy learning and it is one of the oldest methods known. These classifiers are frequently used in classification tasks due to their simplicity and performance. While nearest neighbor algorithm usually performs well in terms of accuracy, comparable to even SVMs, it is slow in the recognition phase and it gets slower as the number of data in the training set increases. This is because the distances (or similarities) between the new data and all the training data need to be computed. There have been attempts to make nearest neighbor method faster, for example by obtaining approximate solutions using centroid-based methods. In this line of research, further work is in progress to investigate the reliability and scaling properties of the nearest neighbor classifier method [12, 13].

Bayesian classifiers are statistical approaches that predict class membership probabilities. Naive Bayesian classifiers works based on the Bayes’ rules, where features are assumed to be conditionally independent. Even if practically the dependency between features may exist, in spite of this assumption, they give satisfying results, are easy to implement, fast to evaluate and need a small number of training data to estimate their parameters. Naive Bayes Classifiers have been used extensively in text mining, where the dimensionality is very high as well as the volume of processed data. But,
the main disadvantage is that the Naive Bayes classifiers make a very strong assumption on the shape of data distribution. Indeed, they cannot learn interactions between the features. Additionally, they suffer from zero conditional probability problem (division by zero) \[14\], where one solution would be to add some virtual examples. Nevertheless, some studies have found that naive Bayesian classifiers, with appropriate pre-processing, can be comparable in performance with other classification algorithms \[15, 16, 17, 18\].

One of the main data mining techniques used in intrusion detection systems is associated with decision trees. They can be used to detect intrusions and anomalies in large datasets. A decision tree algorithm generates a tree structure where each internal node stands for a decision on a feature and each leaf node take a class label. Therefore, there is a path from the root node to the labeled leaf node which makes it easy to classify new unlabeled data. Note that choice of a certain branch depends on the result of the test on each internal node. Decision trees have several advantages compared to the other data mining based classification approaches, which make them more convenient for intrusion detection. In particular, they have a simply interpretable framework and they are less sensitive to the curse of dimensionality \[19\].

Neural Networks (NN) are modeled based on same analogy to the human brain working. Neural networks are a kind of artificial intelligence based methods for intrusion detection \[20, 21, 22\]. They consist of a connected set of processing units distributed several layers, namely input, hidden and output layers. Each connection is characterized by a 'synaptic' weight. The weights determine how the signal will propagate from one unit to the others. Neural networks benefit from their learning algorithms to learn the relationship between inputs and outputs by adjusting the weights. Consequently, they are able to predict correct class label of input data. The main advantage of using neural networks classifiers over statistic ones lies in having a simple manner to signify nonlinear relationships between features. However, they are computationally intensive methods to train and require in general a large set of positive and negative training instances. They are not widely used in intrusion detection systems.

Random Forests (RF) \[23\] are a combination of decision tree predictors. In the standard decision trees, each node is split using the best split among all the features, where in a RF, each node is split among a small subset of randomly selected input features. This strategy yields to perform very well in comparison with many other classifiers such as SVM and NN. Furthermore, it makes them robust against overfitting and an effective tool for classification and prediction \[24\]. Random forests run efficiently on large datasets with many features and in addition, they can handle unbalanced data sets \[25, 26\].

2.2. Unsupervised learning

Clustering (or unsupervised learning) is a process of grouping unlabeled data into set of clusters such that the similar samples are members of the same cluster and the dissimilar samples belong to the different clusters. In clustering, the number of classes and distribution of instances among classes are not known a priori and the aim is to find meaningful and statistical structures. Clustering is important since it is complementary to classification in real life situations. For instance, in \[27\] clustering is used in the area of dimensionality reduction as a complementary step for text classification.

The clustering approaches can be classified according to: i) the type of input data to the algorithm, ii) the clustering criteria defining the similarity or distance between data points, and iii) the theory and fundamental concepts. Consequently many clustering algorithms have been proposed in the literature, each one using a different scientific discipline. Authors in \[28\] suggested to divide the clustering algorithms into two main groups: hierarchical and partitioning. Han and Kamber in \[29\] proposed categorizing them into additional three main categories: density-based, model-based and grid-based methods. Hierarchical approaches make the clusters by recursively partitioning the data points in either a top-down or bottom-up manner. For example, in agglomerative hierarchical clustering, each data point initially represents a cluster of its own. Then clusters are merged, according to some similarity measure, until the desired cluster structure is obtained. The result of this clustering method is a dendrogram. Density-based methods assume the data points that belong to each cluster are drawn from a specific probability distribution \[30\]. The idea is to continue growing the given cluster as long as the density (or the number of data points) in the neighborhood exceeds some pre-defined threshold. The density-based methods are designed for discovering clusters of arbitrary shape which are not necessarily convex. Model-based clustering methods attempt to optimize the fit between the given data and some mathematical models. These methods find characteristic descriptions for each group. Grid-based methods partition the space into a finite number of cells that form a grid structure. All of the operations for clustering are performed on the grid structure. They have the fastest processing time that typically depends on the number of the grids instead of the data points \[29\]. CLIQUE \[31\] and STING (STatistical INformation Grid approach) \[32\].
are examples of grid-based clustering algorithms used to cluster spatial databases.

The k-means clustering is among the most popular clustering algorithms, as it provides a good trade-off between quality of the solution obtained and its computational complexity [33]. Even though k-means was first proposed over 50 years ago [34, 35], it is still one of the most widely used algorithms for clustering [36, 37, 38, 39]. In practice, k-means is a clustering method that aims to find k centroids, one for each cluster, that minimize the sum of distances of each data instance from its respective cluster centroid. It finds a sub-optimal solution to the problem, for \( x_i \in X \):

\[
\arg \min_{\{C_1, \ldots, C_k\}} \sum_{j=1}^{k} \sum_{x_i \in C_j} d(x_i, c_j)
\]

where \( \{C_1, \ldots, C_k\} \) are k clusters, \( c_j \) is the representative of cluster \( C_j \), and \( d \) is a distance function (e.g. Ed).

The algorithm starts with an initial set of cluster centroids, chosen randomly or according to some heuristic procedure, and then uses an iterative refinement technique. The refinement steps are repeated until the centroids no longer move. The complexity of each iteration of the k-means clustering algorithm performed on \( N \) data point is \( O(k \times N) \). This linear complexity is one of the reasons for the popularity of the k-means clustering algorithms. Even if the number of data instance is substantially large, this algorithm is computationally attractive. Other reasons for the k-means algorithm’s popularity are simplicity of implementation and speed of convergence. Furthermore, a proof of the finite convergence (toward a local minimum) of the k-means algorithms is given in [40]. However, it requires to convert the categorical data into the numerical ones.

### 2.3. Semi-supervised learning

Semi-supervised learning is halfway between classification and clustering. They can be applied in a combined way to overcome the limitations of each individual method and build a better classifier. In semi-supervised learning unlabeled data is used with the additional information from the labeled ones. However, the labeled data are costly and time-consuming to achieve and require the efforts of experts. Apart from this concern, unlabeled data can easily be obtained in many real world applications. Recently, there is an increasing interest in the use of semi-supervised learning methods and these methods have attracted the attention of researchers working on machine learning and data mining based intrusion detection systems [41, 42, 43, 44, 45, 46].

So far, various data mining based methods in intrusion detection has been employed. But the major obstacles are high false-alarm rates and lack of accuracy in their detection procedure [47, 48, 49].

### 3. The approach

In the literature, many studies have examined intrusion detection in network traffic data. Some methods considered only packet header information and some others focused on packet payloads. In this work, we are interested in the packet payloads as well as the header information. Packet headers generally constitute only a small part of whole network traffic data, while payloads are more complicated. Accordingly, packet payloads analysis seems to be more costly rather than the analysis of packet header data, as it needs more computations and pre-processing processes. In this way, considering a proper pre-processing process of network traffic data and an efficient detection method is vital to deal with the network intrusions which are constantly evolving.

#### 3.1. The big data context

Nowadays, the amount of data in networks is continuously increasing. These data include system call or user command sequences, click streams, traffic flows, network packet information and so on, often characterized in a high-dimensional space, leading to the curse of dimensionality, which challenge many data mining algorithms. Furthermore, in the era of big data, it is very difficult or even impossible for traditional mining approaches to handle such huge datasets. Hence, data cleaning, dimensionality and instance set reduction are crucial when data mining techniques are applied for intrusion detection.

In general, to analyze the data in order to extract an acceptable knowledge in the scope of a given application, a process called Knowledge Discovery in Databases (KDD) can be used [50]. The KDD process steps can be summarized as follows:

- Selection: selection of data and a subset of features or samples to be analyzed
- Pre-processing and transformation: data cleaning (e.g. removal of noise and handling of missing data), data reduction, and projection of data
- Data mining: choosing the algorithm(s) and performing data mining
- Interpretation: interpreting the results that are obtained
Once an understanding of the application scheme and the goal for the process has been reached, a dataset must be created by acquiring the data as well as possibly extracting a subset of suitable features in the data. This step is not a minor task, since acquiring real network traffic data can be difficult due to security concerns for legal reasons [51]. Conversely, we can use a public synthetic dataset, however, it will also contain some limitations (e.g., the traffic data may not accurately represent a real-world network). In this work, we consider a dynamic, scalable, reproducible and labeled benchmark dataset called ISCX [52], which can be considered as a relatively representative of real network traffic and will be detailed in Section 4.

3.2. The design choices

Here we explain in detail the proposed hybrid intrusion detection (kM-RF). Figure 1 presents briefly the different steps of the suggested KDD process in a schematic view.

![KDD process for the proposed kM-RF](image)

3.2.1. Pre-processing: conversion of categorical features into numerical ones

Data pre-processing, a crucial task in the knowledge discovery process, can be even considered as a fundamental building block of data mining. Pre-processing involves cleaning the data and removing redundant and unnecessary entries. It also involves converting the features of the dataset into numerical data and saving in a machine-readable format. This can be essential because many data mining algorithms such as Support Vector Machines (SVMs), and K-Nearest Neighbors (KNNs) in pattern classification or k-means clustering require data to consist of purely numerical features. However, many real world data consist of both numerical and categorical features. Hence, here we suggest an effective method of converting categorical features into entirely numerical ones. When the categorical feature takes its values in some finite set of categories, one typical conversion method is to use a single number to represent a categorical value. For instance, the discrete values [HTTP, SMTP, SSH, IMAP, POP3, FTP] are converted into [1, 2, 3, 4, 5, 6] in order. But this approach depends on an arbitrary ordering of values in the categorical feature, so it can result in unreliable performances. Alternatively, we can adopt binary number representation where we use $m$ binary numbers to represent a $m$-category feature. For instance, [HTTP, SMTP, SSH, IMAP, POP3, FTP] is encoded into [000001, 000010, 000100, 001000, 010000, 100000]. However, if the number of categories for each categorical feature is too large, the dimension of input will be greatly increased, but this representation is more stable than the single number representation.

On the other hand, when a categorical feature takes its values in an infinite set of categories, we need to consider another conversion approach. To do so, we propose to use histogram of distributions. First, we convert the categorical values into integers. Each ASCII character is encoded to its integer representation. For instance, the categorical value ‘www.irisa.fr’ is converted to [87, 87, 87, 73, 82, 73, 83, 65, 46, 70, 82], or ‘http://’ is encoded to [72, 84, 80, 58, 47, 47]. Then, given the number of bins, we evaluate the histogram of the distribution of numerical values. Figure 2 shows histogram of a sample HTTP payload$^2$. Notice that the number of bins is a meta parameter which can be optimized using the training data and set up empirically according to the application.

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1. American Standard Code for Information Interchange
2. payloadAsBase64: SFRUUC8xIjEgMjAwIE9LDQpDb25uZWN0aW9uOiBjbG9zZQ0KRGF0ZTogU3VuLCAxMyBKdW4gMjAxMCAwMjo1OQ==
3.2.2. Pre-processing: adding context to the flow occurrence

In most of the cases, attacks are generated by a single host or small number of hosts. But some times attacks would be generated by a very large number of hosts at the same time (see Figure 3). For instance, in DDoS (Distributed Denial of Service), it is quite common to see attacks set up by hundreds of hosts, generating hundreds of megabits per second floods. Hence, to identify an attack one can take advantage from the number of source-destination pairs in the network traffic data called ‘fan-in/fan-out’. In this way, we add the number of source-destination pairs in a pre-defined window size of flows as a dedicated feature. To do so, for each IP-destination in the flow, we evaluate the number of distinct IP-sources associated to this IP-destination, in the selected window. This added feature helps to detect network and IP scans as well as distributed attacks.

3.2.3. Pre-processing: data normalization

Last, but not least, step prior to any data mining task concerns the normalization of the data. Data normalization plays a crucial role in the pre-processing of the data. Without normalization, features with significantly larger values dominate the features with smaller values. There are different types of normalization such as min-max normalization, decimal scaling and standard deviation method. Choosing a good normalization method depends on the application and the algorithm in which the normalized data will be used. Here we use min-max normalization approach, which is a simple normalization technique in which we fit the data, in a pre-defined range, as it is very common and usually more efficient [53]. To normalize the data in the boundary of \([A,B]\), the min-max normalization is defined as:

\[
x_{\text{NORMALIZED}} = \frac{(x_i - \min(x))}{(\max(x) - \min(x))} \times (B - A) + A \quad (2)
\]

3.2.4. K-means for instance set reduction

In this subsection, we present a useful semi-supervised way to reduce the size of the data (i.e. instance set) by using the k-means clustering algorithm. Practically, the network traffic data is categorized in two kind of activities: normal and attack. Since real network data contains very few attack flows compared to ‘normal’ flows, in instance set reduction, we are mainly interested in decreasing the size of normal data.

To do so, k-means clustering is applied on normal data to find \(k\) number of clusters, pre-specified by the user (e.g. elbow method, see Figure 4), which are represented by their centroids, by minimizing the distance function in Eq. 1 (Figure 5-(b)). Then, instead of removing all the normal data instances and keep just their \(k\) centroids, we remove only the normal data instances in \(p\) clusters (\(p < k\) and pre-set by the user\(^3\)), which are farthest from the training attack data (Figure 5-(c)). Lastly, the \(k\) centroids are labeled as the normal data (Figure 5-(d)).

\(^3\)The best value of \(p\) can be obtained by the grid search.
The main idea of the proposed strategy is to remove a huge number of data points that are not important (or at least that are less important) because of their location far away from the decision frontier.

3.2.5. Random Forest classifying the flows

Due to considering both header and payload packets in our intrusion detection experiments, increasing the number of network traffic features is an undesirable condition. Furthermore, as mentioned previously, the real-world network communication data usually contains very few attack flows comparatively to normal flows, which build an imbalanced classification problem. To address the above mentioned issues, Random Forest method can be used with great efficiency.

Random Forest [23] is an ensemble of unpruned classification or regression trees, which generates many classification trees such that each tree is constructed from a different bootstrap sample randomly drawn from the original data. After the forest is formed, a new data that needs to be classified is presented to each of the tree in the forest for classification. Each tree gives a vote that signifies the tree’s decision about the class of the object. After a large number of trees is generated, the forest vote for the most popular class label. In addition to its excellence in accuracy comparatively to current data mining algorithms, the main characteristics of the Random Forests are the following:

- They are robust against over-fitting compared to many other classifiers.
- They run efficiently on huge datasets with many features.
- They can handle unbalanced datasets.
- They can rank the features according to their relative contributions to the classification decisions.

In summary, results of intrusion detection approaches showed that a higher efficiency rate is achieved when a Random Forest is applied [54, 55, 56]. Hence, we build our hybrid intrusion detection method on the basis of a Random Forest classifier. The proposed k-means clustering pre-processing results can be used as input data for a Random Forest classifier trained for detecting the intrusions. We called this hybrid intrusion detection method as kM-RF. It is able to detect network intrusions with high detection rate, lower false alarm and considerably lower time consumption. In the next section, to have a closer look at the ability of the proposed hybrid classifier (kM-RF) to detect intrusions, we detailed extensive quantitative and qualitative experiments.

4. Experimentation

In this section, we first describe the dataset used to conduct our experiments, then specify the validation process, prior to present and discuss the results that we obtained.

4.1. The ISCX dataset

The ISCX dataset 2012 [52], which has been prepared at the Information Security Centre of Excellence at the University of New Brunswick, is used to perform experiments and evaluate the performance of our proposed approach. The entire ISCX labeled dataset comprises over two million traffic packets which are described using 20 features. It covers seven days of network activities and contains normal and attack traffic data (attack data representing 2% of the whole traffic). Four different attack types, referred to as Brute Force SSH, Infiltrating, HTTP DoS, and DDoS are conducted and logged along with normal traffic on 7 successive days. Despite some minor disadvantages\(^4\), ISCX remains the most up to date dataset compared to the other commonly explored datasets [57, 58, 52].

As input to the data mining process, we make use of the pre-processed flows. First of all, flows are classified according to their application layers such as HTTP Web, SSH, FTP, ICMP and so on. Because the normal traffic patterns look very different depending on the

\(^4\)The dataset is simulated, based on real network data.
application or service and it is more efficient to build an intrusion detector for each of these application layers. In this context, for each application layers class, a flow is defined by 50 features. Note that, we have removed the IP addresses for the experiments, because the IP addressess can not generalize the behaviour of attacks. Nevertheless the IP addresses are accounted into the calculation of the 'fan-in/fan-out' feature, which has been defined in the pre-porcessing section.

To visualize the underlying structure of ISCX dataset classes, we have performed a multidimensional scaling [59] on Euclidean pairwise distance matrix. Figure 6 shows the structures of various applications of ISCX data in 3-dimensional space before and after the pro-
posed pre-processing procedure. To plot the underlying structure of raw data, the categorical features are first digitized. The representations obtained can be considered as representative images of the underlying structures.

As one can see, the data represented by the proposed numerical feature vector has diverse structures and shapes and the attack (‘+’, red) and normal (‘○’, blue) classes are poorly separable before the pre-processing procedure, while using the proposed pre-processing method they are relatively much more separated from each other. To validate our claim, we rely on the cluster Silhouette measure, which is based on the comparison between tightness and separation. The Silhouette is calculated using the mean intra-cluster distance (a) and the mean of nearest-cluster distance (b) for each data point, and for an instance is equal to (b - a) / max(a, b). To clarify, b is the distance between a data point and the nearest cluster that the point is not a part of. The criterion returns the mean of Silhouette coefficient over all data points and is defined as:

$$\frac{1}{N} \sum_{x} \frac{(b(x) - a(x))}{\max(b(x), a(x))}, \in [-1, +1]$$

where x is a data point and N is the total number of instances.

The higher the Silhouette coefficient, the more isolated the clusters are. Table 1 presents some different types of network application layer samples contained in the ISCX dataset with their respective Silhouette coefficient for raw and pre-processed data. As demonstrated, for all tested applications, once the proposed pre-processing procedure has been applied the Silhouette coefficient is increased.

<table>
<thead>
<tr>
<th>Application Name</th>
<th>Silhouette coefficient</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>raw data</td>
</tr>
<tr>
<td>HTTPWeb</td>
<td>-0.28</td>
</tr>
<tr>
<td>SSH</td>
<td>0.42</td>
</tr>
<tr>
<td>ICMP</td>
<td>0.53</td>
</tr>
<tr>
<td>FTP</td>
<td>-0.70</td>
</tr>
<tr>
<td>DNS</td>
<td>-0.04</td>
</tr>
</tbody>
</table>

Table 1: Silhouette coefficient for some tested applications

From Table 1, we can verify that the application layer subsets are more separated after the proposed pre-processing rather than the raw data, since the Silhouette coefficient increases for all the tested subsets. The more separable classes are the less computationally expensive and complex to train a classifier. The results reveal that the ICMP application subset has the highest Silhouette coefficient, which means there are more separable classes in the pre-processed ICMP subset than the rest. On the other hand, as can be seen in Table 1, a big increase rate for the Silhouette coefficient criterion is obtained for FTP, HTTPWeb and DNS application layer subsets, which illustrates the vital role of the pre-processing procedure.

4.2. Validation process

Here we compare the proposed intrusion detection algorithm (denoted as kM-RF) with the state of the art intrusion detection methods (i.e. SVM, 1-NN, Naive Bayes, Decision Tree, Neural Network and RF).

For our comparisons, we rely on the 'Accuracy', 'Detection Rate' and 'False Alarm Rate', measures which are commonly used in the literature, to evaluate each method. Table 2 presents the classical confusion matrix in terms of TP (True Positive), FP (False Positive), TN (True Negative) and FN (False Negative) values which are used in the performance metrics.

<table>
<thead>
<tr>
<th></th>
<th>Predicted class</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Positive class</td>
</tr>
<tr>
<td>Positive class</td>
<td>TP (True Positive)</td>
</tr>
<tr>
<td>Negative class</td>
<td>FP (False Positive)</td>
</tr>
</tbody>
</table>

Table 2: Confusion matrix

Lastly, the comparison measures 'Accuracy', 'Detection Rate' and 'False Alarm Rate' are defined as:

$$\text{Accuracy} = \frac{TP + TN}{TP + FN + FP + TN}$$

$$\text{Detection Rate} = \frac{TP}{TP + FN}$$

$$\text{False Alarm Rate} = \frac{FP}{FP + TN}$$

The 'Accuracy' and 'Detection Rate' lies in [0, 100] in percentage. The higher index, the better the agreement is. In the other side, the lower 'False Alarm Rate' illustrates the better result. Training and testing sets are formed by k-fold cross validation in the ratio of 80% and 20% of the network traffic, respectively. For all the protocol subsets, the parameter k in k-means clustering is estimated using the elbow method process (and Silhouette coefficient [60]). A higher Silhouette coefficient indicates that the data instances are well matched into their own cluster and poorly matched to the neighboring clusters. For instance, using the elbow curve, Figure 7 shows that the best number of clusters when

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6\(P\): attack, \(N\): normal
pre-processing (normalization) applied, for SSH protocol, is \( k=5 \). Furthermore, as one can see in Figure 8, \( k=5 \) has the highest Silhouette coefficient score for SSH subset, which proves that 5 is the optimal value for number of clusters in \( k \)-means clustering.

For all the detection methods, we have used the pre-processed data. Results in bold correspond to the best assessment values.

<table>
<thead>
<tr>
<th>appName</th>
<th>SVM</th>
<th>1-NN</th>
<th>Naive</th>
<th>Decision</th>
<th>Neural</th>
<th>RF</th>
<th>KM-RF</th>
</tr>
</thead>
<tbody>
<tr>
<td>HTTPWeb</td>
<td>98.99</td>
<td>99.70</td>
<td>98.04</td>
<td>99.89</td>
<td>99.02</td>
<td>99.88</td>
<td>99.91</td>
</tr>
</tbody>
</table>

Table 3: Comparison of ’Accuracy’ (in %)

<table>
<thead>
<tr>
<th>appName</th>
<th>SVM</th>
<th>1-NN</th>
<th>Naive</th>
<th>Decision</th>
<th>Neural</th>
<th>RF</th>
<th>KM-RF</th>
</tr>
</thead>
<tbody>
<tr>
<td>HTTPWeb</td>
<td>98.20</td>
<td>97.47</td>
<td>92.74</td>
<td>99.12</td>
<td>98.75</td>
<td>99.38</td>
<td>99.51</td>
</tr>
<tr>
<td>SSH</td>
<td>97.44</td>
<td>99.74</td>
<td>100.0</td>
<td>100.0</td>
<td>98.68</td>
<td>100.0</td>
<td>100.0</td>
</tr>
<tr>
<td>ICMP</td>
<td>87.20</td>
<td>95.36</td>
<td>99.79</td>
<td>98.52</td>
<td>99.79</td>
<td>99.83</td>
<td>99.83</td>
</tr>
<tr>
<td>DNS</td>
<td>52.31</td>
<td>86.15</td>
<td>52.31</td>
<td>95.38</td>
<td>52.31</td>
<td>95.38</td>
<td>95.38</td>
</tr>
</tbody>
</table>

Table 4: Comparison of ’Detection Rate’ (in %)

<table>
<thead>
<tr>
<th>appName</th>
<th>SVM</th>
<th>1-NN</th>
<th>Naive</th>
<th>Decision</th>
<th>Neural</th>
<th>RF</th>
<th>KM-RF</th>
</tr>
</thead>
<tbody>
<tr>
<td>HTTPWeb</td>
<td>0.96</td>
<td>0.16</td>
<td>1.64</td>
<td>0.05</td>
<td>0.96</td>
<td>0.08</td>
<td>0.05</td>
</tr>
<tr>
<td>SSH</td>
<td>1.39</td>
<td>0.23</td>
<td>1.11</td>
<td>0.26</td>
<td>0.26</td>
<td>0.33</td>
<td>0.12</td>
</tr>
<tr>
<td>ICMP</td>
<td>0.08</td>
<td>0.04</td>
<td>0.10</td>
<td>0.01</td>
<td>0.03</td>
<td>0.01</td>
<td>0.00</td>
</tr>
<tr>
<td>FTP</td>
<td>0.18</td>
<td>0.04</td>
<td>0.46</td>
<td>0.02</td>
<td>0.03</td>
<td>0.02</td>
<td>0.02</td>
</tr>
<tr>
<td>DNS</td>
<td>0.01</td>
<td>3.81</td>
<td>0.01</td>
<td>0.00</td>
<td>0.01</td>
<td>0.00</td>
<td>0.00</td>
</tr>
</tbody>
</table>

Table 5: Comparison of ’False Alarm Rate’ (in %)

According to the Table 3, one can note that KM-RF method leads to the best accuracy results overall (5 application out of 5), followed by Random Forest (RF), decision tree and nearest neighbors. Table 4 shows that KM-RF obtains the highest ’Detection Rate’ for all the application layer types. Finally, according to the ’False Alarm Rate’ measure presented in Table 5, KM-RF leads to the best results in overall (5 application out of 5), with the lowest ’False Alarm Rate’, followed by Random Forest (RF) and decision tree algorithms.

To consolidate the comparative results, we use a Wilcoxon signed rank test, which is a nonparametric statistical hypothesis test to effectively determine whether the proposed KM-RF is significantly better than the other methods. Tables 6 till 8 present the two-sided p-value for the hypothesis test, while the results in bold indicate the significantly different classifiers. The p-value is the probability of observing a test statistic more extreme than the observed value under the null hypothesis. The null hypothesis (H0) is strongly rejected while the p-values are lower than 0.05, meaning that the differences between the two tested classifiers are significant and the uniform hypothesis is accepted as p-values are
greater than 0.05. Based on the p-values displayed in Table 6, 7 and 8, we can justify that the proposed kM-RF leads to significantly better results than the others. Note that the difference between the pairs of classifiers results follows a symmetric distribution around zero and to be more precise, the reported p-values are computed from all the individual results of different k-folds of each protocol for the corresponding algorithm.

Table 6: P-value: Wilcoxon test ('Accuracy')

<table>
<thead>
<tr>
<th>appName</th>
<th>Raw data</th>
<th>Pre-processed data</th>
</tr>
</thead>
<tbody>
<tr>
<td>DNS</td>
<td>938.1</td>
<td>127.8</td>
</tr>
<tr>
<td>FTP</td>
<td>18.9</td>
<td>9.6</td>
</tr>
<tr>
<td>ICMP</td>
<td>12.2</td>
<td>9.7</td>
</tr>
<tr>
<td>SSH</td>
<td>4488.1</td>
<td>1925.8</td>
</tr>
</tbody>
</table>

Table 7: P-value: Wilcoxon test ('Detection Rate')

<table>
<thead>
<tr>
<th>appName</th>
<th>RF</th>
<th>kM-RF</th>
</tr>
</thead>
<tbody>
<tr>
<td>HTTPWeb</td>
<td>4488.1</td>
<td>1925.8</td>
</tr>
<tr>
<td>SSH</td>
<td>12.2</td>
<td>9.7</td>
</tr>
<tr>
<td>ICMP</td>
<td>9.2</td>
<td>4.9</td>
</tr>
<tr>
<td>FTP</td>
<td>18.9</td>
<td>9.6</td>
</tr>
<tr>
<td>DNS</td>
<td>938.1</td>
<td>127.8</td>
</tr>
</tbody>
</table>

Due to this instance set reduction, an important improvement of the detection run time is achieved. To sustain our claim, Table 10 illustrates the comparison of time consumption between the standard RF and the proposed kM-RF. As one can see, when the data size is large (the case for HTTPWeb with more than 700,000 flows and for DNS with more than 300,000 flows), the reduction ratio of time consumption is very large.

Table 8: P-value: Wilcoxon test ('False Alarm Rate')

With regard to the p-values of Wilcoxon test, for all the application layer subsets, the proposed kM-RF brings a significant improvement compared to the other methods. The decision tree and the Random Forest (RF) algorithms perform very comparatively since their pairwise differences are not significant. Similarly, the Naïve Bayes classifier performs comparatively to the SVM.

We experimentally show that for the intrusion detection task, the proposed kM-RF method is performing significantly better than the state of the art approaches. However, generating the trees of the RF is very time consuming especially for large datasets. As mentioned before, to overcome this problem, we used a semi-supervised way to reduce the size of the data by using k-means clustering in kM-RF to support an instance selection method. Table 9 shows the impact of the instance set reduction. For instance, the HTTPWeb application layer subset of ISCX includes 681,151 normal flows, while after the pre-processing it has been reduced to 367,440 flows, with 46% of reduction rate, or the DNS application layer which consists 309,286 normal flows has been reduced to 55,260 flows, with 82% of reduction rate.

Table 9: Comparison of 'Data Size' (flows)

<table>
<thead>
<tr>
<th>appName</th>
<th>Size ( [#Normal , #Attack ] , #Features)</th>
</tr>
</thead>
<tbody>
<tr>
<td>HTTPWeb</td>
<td>([ 681151 , 40351 ], 20) ([ 367440 , 40351 ], 50)</td>
</tr>
<tr>
<td>SSH</td>
<td>([ 2585 , 7305 ], 20) ([ 1645 , 7305 ], 50)</td>
</tr>
<tr>
<td>ICMP</td>
<td>([ 7919 , 295 ], 20) ([ 1270 , 295 ], 50)</td>
</tr>
<tr>
<td>FTP</td>
<td>([ 13181 , 226 ], 20) ([ 5300 , 226 ], 50)</td>
</tr>
<tr>
<td>DNS</td>
<td>([ 309286 , 73 ], 20) ([ 55260 , 73 ], 50)</td>
</tr>
</tbody>
</table>

Table 10: Comparison of 'Time Consumption' (in seconds)

4.3.1. Visualizing the importance of the features / weights

To have a closer look and compare globally the different patterns between the ‘normal’ and the ‘attack’ flows, here we visualize the mean with the standard deviation of the flow features for both categories. Figure 9 shows mean of feature flow for HTTPWeb application layer.

According to the Figure 9, for some features such as payloads, TCPFlags, direction and # of source-destination IP pairs, there is an evident difference between their means values in ‘normal’ and ‘attack’ flows, which helps to distinguish an intrusion from the normal behaviour. Hence, one can identify the role of different features in normal and attack traffic behaviour.

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$k = 5$ and $p = 2$. 

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For instance, looking closer to the 'fan-in/fan-out' (i.e. # pairIPs) feature, we can see that the mean value for the attacks is extremely higher than the mean value for the normal data. This is a signature for HTTP attacks, while the number of destination IPs equals one (nDst=1), source IPs (nSrc) should be greater than a threshold value.

To visualize the importance of each feature, we rely on the RF algorithm. RF has a unique mechanism to calculate the importance of the features and select the most relevant and influential features. In first step, permutation importance index is used to rank the features and then in next step, RF is used to select the best subset of features for classification. Figure 10 presents the flows’ feature weights for the HTTPWeb application layer. As one can see, the highest weight belongs to the last feature (# of source-destination IP pairs), the added feature in pre-processing step, which emphasizes the role of the representation of the ‘fan-in’ and ‘fan-out’ features in intrusion detection. Lastly, to see the importance of the weights more clearly, Figure 11 shows a ‘Radar’ chart for log of the feature weights for the HTTPWeb application layer. Radar Charts are a way of comparing multiple quantitative features. They are useful for highlighting which features have similar values, which features do not matter much, or which features are scoring high (or low) within a dataset. This makes them ideal for displaying feature importance. Each feature is provided with an axis that starts from the center with a specific range that depends on the normalization procedure. The Figure 11 justifies our claim about the importance of the added features such as # of source-destination IP pairs (‘fan-in/fan-out’). It also illustrates that some features such as protocolName does not matter very much.

5. Conclusion

This research work introduces a hybrid data mining based intrusion detection. For this, we proposed i) a dedicated pre-processing procedure to convert the categorical features to numerical ones and to build more isolated classes from the raw data, ii) some new features to
consider payloads, IP scans and distributed attacks and
iii) a combination of k-means and random forest classifier to detect intrusion more effectively.

The efficiency of the suggested hybrid approach (kM-RF) is analyzed on a dynamic, scalable and labeled benchmark dataset called as ISCX, which is the most up to date dataset compared to the other commonly explored ones for data intrusion benchmarking. The results show the benefits of the kM-RF, which outperforms the other state of the art methods through the high accuracy, high detection rate and low false alarm rate, overall. A Wilcoxon signed rank test is used to determine that the proposed kM-RF detection approach is significantly better than the other methods. In addition, the experimentation carried out on some tested protocols shows that we can achieve considerable improvements in the time consumption thanks to the instance set reduction using k-means clustering, while meantime it may even improve the detection efficiency. Finally, detailed analyses of the importance of each feature and the impact of the added features in the pre-processing step are provided. As a perspective, the importance of the features obtained by the RF algorithm can be used for dimensionality reduction. Even, by considering this importance as weights in k-means clustering, one can improve the results using more accurate clusters and centroids during the pre-processing procedure.
References


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[37] V. Kumar, H. Chauhan, D. Panwar, K-means clustering ap-


