



Two dimensional neighborhoods of elliptic curves: formal classification and foliations

Frank Loray, Olivier Thom, Frédéric Touzet

► To cite this version:

Frank Loray, Olivier Thom, Frédéric Touzet. Two dimensional neighborhoods of elliptic curves: formal classification and foliations. 2017. hal-01509317v2

HAL Id: hal-01509317

<https://hal.science/hal-01509317v2>

Preprint submitted on 9 May 2017 (v2), last revised 29 Aug 2018 (v3)

HAL is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers.

L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.

TWO DIMENSIONAL NEIGHBORHOODS OF ELLIPTIC CURVES: FORMAL CLASSIFICATION AND FOLIATIONS.

FRANK LORAY, OLIVIER THOM AND FRÉDÉRIC TOUZET

ABSTRACT. We classify two dimensional neighborhoods of an elliptic curve C with torsion normal bundle, up to formal equivalence. The proof makes use of the existence of a pair (indeed a pencil) of formal foliations having C as a common leaf, and the fact that neighborhoods are completely determined by the holonomy of such a pair. We also discuss analytic equivalence and show, for each formal model, that the corresponding moduli space is infinite dimensional.

CONTENTS

1.	Introduction	1
2.	Foliations, holonomy and closed 1-forms	6
3.	Pencil of formal foliations	10
4.	Bifoliated classification	15
5.	Formal classification of neighborhoods	23
6.	About the analytic classification	27
	Appendix: Action of the involution on formal models.	29
	References	30

1. INTRODUCTION

Let C be a smooth elliptic curve: $C = \mathbb{C}/\Gamma_\tau$, where $\Gamma_\tau = \mathbb{Z} + \tau\mathbb{Z}$. Given an embedding $\iota : C \hookrightarrow U$ of C into a smooth complex surface U , we would like to understand the germ $(U, \iota(C))$ of neighborhood of $\iota(C)$ in U . Precisely, we will say that two embeddings $\iota, \iota' : C \hookrightarrow U, U'$ are (formally/analytically) equivalent if there is a (formal/analytic) isomorphism $\phi : (U, \iota(C)) \rightarrow (U', \iota'(C))$ between germs of neighborhoods making commutative the following diagram

$$(1.1) \quad \begin{array}{ccc} C & \xrightarrow{\iota} & U \\ \text{id} \downarrow & & \downarrow \phi \\ C & \xrightarrow{\iota'} & U' \end{array}$$

We want to understand the (formal/analytic) classification of such neighborhoods, i.e. up to above equivalence. By abuse of notation, we will still denote by C the image $\iota(C)$ of its embedding in U .

1.1. Some known results. A first invariant is the normal bundle N_C of C in the surface U , or we better should say, its class in the Picard group $\text{Pic}(C)$. Its degree $d = \deg(N_C)$ coincide with the self-intersection $C \cdot C$ of the curve in the surface: it is the unique topological

invariant. We say that the germ of neighborhood (U, C) is **linearizable** if it is equivalent to the germ of neighborhood of the zero section in the total space of N_C : $(U, C) \sim (N_C, 0)$. If (U, C) is such that $C \cdot C < 0$, then it is analytically linearizable following Grauert [8] (see also [10, 3]).

Ilyashenko studied the case $C \cdot C > 0$ in [9] and, for any fixed normal bundle N_C with $d = \deg(N_C) > 0$, he constructed a huge holomorphic family of non formally equivalent germs (U, C) . The family is parametrized by the set of germs of holomorphic maps $(\mathbb{C}^2, 0) \rightarrow (\mathbb{C}^d, 0)$ and is in some sense the universal deformation of the linear model $(N_C, 0)$ (see [9] for more details).

Non torsion case and diophantine condition. So far, and like for neighborhoods of rational curves (see [8, 21, 15, 6]), formal and analytic classifications coincide. This is no longer true when we consider zero type neighborhoods, i.e. with $C \cdot C = 0$, as shown by Arnold in [1]. More precisely, Arnold proved that the neighborhood (U, C) is formally linearizable when N_C is **not torsion**, and that it is also analytically linearizable provided that N_C satisfies some diophantine condition:

$$(1.2) \quad \exists \epsilon, \alpha > 0 \text{ such that } \forall k \in \mathbb{N}, \quad d(N_C^{\otimes k}, \mathcal{O}_C) \geq \frac{\epsilon}{k^\alpha}$$

where d is the distance given by the natural flat metric on the jacobian variety $\text{Jac}(C) \simeq C$. This means that N_C has bad approximation by torsion bundles. This diophantine condition is satisfied for a set of full Lebesgue measure in $\text{Jac}(C)$. However, for “bad bundles” N_C , well approximated by torsion ones, there are examples of non analytically linearizable neighborhoods: they contain infinitely many elliptic curves accumulating on C . In fact, there is a deep connection between the linearization of neighborhoods (U, C) and that of germs of one variable diffeomorphisms at an indifferent fixed point:

$$\varphi(z) = az + \sum_{n>1} a_n z^n, \quad |a| = 1.$$

Arnold’s Theorem is a reminiscence of Siegel’s Linearization Theorem, while non linearizable example are resurgences of Kremer’s non linearizable dynamics. Since Arnold’s work, deep progresses have been done about the one dimensional problem. Linearizability’s diophantine condition has been weakened by Brjuno, and its optimality has been proved by Yoccoz. For each multiplier a violating Brjuno’s condition, Yoccoz constructed non analytically linearizable dynamics $\varphi(z) = az + o(z)$ with infinite degree of freedom giving rise again to an infinite dimensional moduli space; moreover, Pérez-Marco provided examples without periodic orbits except the fixed point $z = 0$, and with huge centralizer.

Even if there has been less progress in the neighborhood side of the story, it is interesting to note that one can construct non linearizable neighborhoods (U, C) by suspension of non linearizable dynamics φ . This is how foliations come into the story. The linear bundle N_C is flat, admitting a 1-parameter family of (flat) holomorphic connections. On the total space of N_C , they define a pencil of foliations $(\mathcal{F}_t)_{t \in \mathbb{P}^1}$ with the following properties:

- $\mathcal{F}_t = \{\omega_t = 0\}$ with $\omega_t = \omega_0 + t\omega_\infty$,
- ω_0 is a closed logarithmic 1-form with pole along C having residue 1 and purely imaginary periods along C (i.e. the associated foliation \mathcal{F}_0 has unitary holonomy);
- ω_∞ is holomorphic, transversal to C , inducing the holomorphic 1-form dx on C .

Therefore, each foliation \mathcal{F}_t is regular; for $t \in \mathbb{C}$, the curve C is a leaf of \mathcal{F}_t , and its holonomy is

$$(1.3) \quad \pi_1(C) \rightarrow \text{GL}_1(\mathbb{C}) \simeq \mathbb{C}^* ; \quad \begin{cases} 1 \mapsto e^{\alpha_1 + t} \\ \tau \mapsto e^{\alpha_\tau + t\tau} \end{cases}$$

where α_1, α_τ are the fundamental periods of ω_0 . In particular, \mathcal{F}_0 is the unique unitary foliation. Going back to (U, C) , we get a pencil of formal foliations $(\hat{\mathcal{F}}_t)$ and it can be shown (see section 3.1) that there is no other formal foliation tangent to C , i.e. having C as a leaf.

On the other hand, the works of Yoccoz and Pérez-Marco provide many representations

$$\pi_1(C) \rightarrow \text{Diff}(\mathbb{C}, 0) ; \begin{cases} 1 \mapsto a_1 z + o(z) \\ \tau \mapsto a_\tau z + o(z) \end{cases}$$

with $|a_1| = |a_\tau| = 1$ which are formally but non analytically linearizable. By suspension, we can construct a neighborhood (U, C) equipped with 2 foliations \mathcal{F}_0 and \mathcal{F}_∞ , respectively tangent and transversal to C , and such that the holonomy of \mathcal{F}_0 is precisely given by the given representation. If (U, C) were analytically linearizable, \mathcal{F}_0 would be the unitary linear foliation, contradicting the non linearizability assumption.

Torsion case, fibration and Ueda type. When N_C is torsion of order say $m := \text{ord}(N_C)$, the unitary foliation \mathcal{F}_0 , defined on the total space of N_C , has torsion holonomy of order m . It thus defines a fibration $f : N_C \rightarrow \mathbb{C}$ for which mC is a special fiber. For the neighborhoods (U, C) , there is a strong dichotomy: either the fibration persists, or not. The former case is dealt with the theory of Kodaira-Spencer. If there is a formal fibration tangent to C , then it is actually analytic; moreover, it is analytically isotrivial if, and only if, U admits a formal fibration transversal to C . In this situation, we can define $\kappa \in \mathbb{N}^* \cup \{\infty\}$ such that \mathcal{F}_0 (or f) is isotrivial up to order κ , but not up to order $\kappa + 1$. In other words, if $I \subset \mathcal{O}_U$ is the ideal sheaf defining C , and $C(n) := \text{Spec}(\mathcal{O}_U/I^{n+1})$ denotes the n^{th} infinitesimal neighborhood, then $C(\kappa)$ is the largest one that admits a fibration transversal to C . This is the only invariant, meaning that any two germs (U, C) and (U', C') having the same normal bundle N_C and integer $\kappa = \kappa'$ are analytically equivalent.

When there is no formal fibration, Ueda introduced in [24] another invariant: the Ueda type of (U, C) (named by Neeman in [18]) which is, similarly to κ , the first obstruction to define \mathcal{F}_0 (see section 3.2): $\text{utype}(U, C) = k \in \mathbb{N}^* \cup \{\infty\}$ if $C(k)$ admits a fibration tangent to C , but not $C(k+1)$. The Ueda type is a multiple of the torsion m of the normal bundle N_C . When $\text{utype}(U, C) < \infty$, any formal holomorphic functions on (U, C) is constant; indeed, such a function must be constant along C and would define a fibration if non constant.

1.2. Foliated structure of the neighborhoods. The main goal of the paper is to provide a formal classification of germs of neighborhoods (U, C) in the missing case: N_C is torsion and $\text{utype}(U, C) = k < \infty$. This is a reminiscence of classification of diffeomorphisms with torsion linear part

$$\varphi(z) = az + o(z) \in \text{Diff}(\mathbb{C}, 0), \quad a^k = 1.$$

To this aim, we prove (see end of section 4)

Theorem 1.1. *When N_C is torsion and $\text{utype}(U, C) = k < \infty$, there exists a pencil of formal foliations $(\mathcal{F}_t)_{t \in \mathbb{P}^1}$ on (U, C) having the following properties:*

- $\mathcal{F}_t = \{\omega_t = 0\}$ where $\omega_t = \omega_0 + t\omega_\infty$ are formal closed meromorphic 1-forms with polar locus supported on C and whose multiplicities are $k+1$ for $t \in \mathbb{C}$ and $p+1$, $-1 \leq p < k$ for ω_∞ .
- there exists a transversal (T, z) such for all $t \in \mathbb{C}$, the foliation \mathcal{F}_t is tangent to C and its holonomy takes the form

$$(1.4) \quad \pi_1(C) \rightarrow \widehat{\text{Diff}}(\mathbb{C}, 0) ; \begin{cases} 1 \mapsto a_1 [z + tz^{k+1} + o(z^{k+1})] \\ \tau \mapsto a_\tau [z + (1 + t\tau)z^{k+1} + o(z^{k+1})] \end{cases}$$

- where (a_1, a_τ) is the m -torsion monodromy of the unitary connection on N_C ;
- the holonomy of \mathcal{F}_0 along the cycle $1 \in \Gamma_\tau$ is torsion, and this characterizes \mathcal{F}_0 in the pencil;
- \mathcal{F}_∞ is either transversal to C , or is tangent to C and its holonomy takes the form

$$(1.5) \quad \pi_1(C) \rightarrow \widehat{\text{Diff}}(\mathbb{C}, 0); \quad \begin{cases} 1 \mapsto a_1 e^c z + o(z) \\ \tau \mapsto a_\tau e^{c\tau} z + o(z) \end{cases} \text{ or } \begin{cases} a_1 [z + cz^{p+1} + o(z^{p+1})] \\ a_\tau [z + c\tau z^{p+1} + o(z^{p+1})] \end{cases}$$

with $c \in \mathbb{C}^*$ and $0 < p < k$ with $p \in m\mathbb{N}^*$.

Moreover, all formal regular foliations tangent/transversal to C on U are contained in the pencil.

1.3. Formal classification. The formal classification of neighborhoods (U, C) turns out to be equivalent to the formal classification of bifoliated neighborhoods $(U, C, \mathcal{F}_0, \mathcal{F}_\infty)$. When \mathcal{F}_∞ is a fibration transversal to C , then it is a suspension, and the classification reduces to that of the holonomy representation of \mathcal{F}_0 : there is one formal invariant $\lambda \in \mathbb{C}$ in this case. When \mathcal{F}_∞ is tangent to C , we are led to classify pairs of foliations $(\mathcal{F}, \mathcal{G})$ having C as a common leaf. A first invariant is given by the contact order $\text{Tang}(\mathcal{F}, \mathcal{G}) \in \mathbb{N}^*$ between the two foliations along C (see section 4.1). For instance, in Theorem 1.1, we have $\text{Tang}(\mathcal{F}_t, \mathcal{F}_{t'}) = k + 1$ while $\text{Tang}(\mathcal{F}_t, \mathcal{F}_\infty) = p + 1$. Given a transversal (T, y) to C , we can consider the holonomy representations

$$\rho_{\mathcal{F}}, \rho_{\mathcal{G}} : \pi_1(C) \rightarrow \widehat{\text{Diff}}(\mathbb{C}, 0) \text{ (or } \text{Diff}(\mathbb{C}, 0)).$$

Then we prove

Theorem 1.2. Classification. Any formal/analytic bifoliated neighborhoods $(U, C, \mathcal{F}, \mathcal{G})$ and $(\tilde{U}, C, \tilde{\mathcal{F}}, \tilde{\mathcal{G}})$ with same contact order $\text{Tang}(\mathcal{F}, \mathcal{G}) = \text{Tang}(\tilde{\mathcal{F}}, \tilde{\mathcal{G}})$ are formally/analytically equivalent if, and only if, there exist formal/analytic diffeomorphisms $\phi, \psi \in \widehat{\text{Diff}}(\mathbb{C}, 0)/\text{Diff}(\mathbb{C}, 0)$ such that for all $\gamma \in \pi_1(C)$

$$(1.6) \quad \begin{cases} \rho_{\mathcal{F}}(\gamma) \circ \phi = \phi \circ \rho_{\tilde{\mathcal{F}}}(\gamma) \\ \rho_{\mathcal{G}}(\gamma) \circ \psi = \psi \circ \rho_{\tilde{\mathcal{G}}}(\gamma) \end{cases} \text{ and such that } \phi = \psi \text{ mod } y^{k+2}.$$

Realization. Given two formal/analytic representations ρ_1, ρ_2 of the form (1.4) or (1.5) for distinct $t_1, t_2 \in \mathbb{P}^1$, then there is a unique formal/analytic bifoliated neighborhood $(U, C, \mathcal{F}_{t_1}, \mathcal{F}_{t_2})$ having holonomy representations ρ_1, ρ_2 on a given transversal.

Applying Theorem 1.2 to the canonical pair $(\mathcal{F}_0, \mathcal{F}_\infty)$ of Theorem 1.1, we get the formal classification (see Theorems 5.3 and 5.4).

Theorem 1.3. The set of formal equivalent classes of germs (U, C) with given normal bundle N_C of order m and Ueda type $k = mk'$ is in one-to-one correspondance with

$$(\lambda, \underbrace{(\lambda_0, \lambda_1, \dots, \lambda_{k'-1})}_\Lambda) \in \mathbb{C} \times \mathbb{C}^{k'}$$

up to the action of k'^{th} roots of unity defined by $(\lambda_i) \mapsto (\mu^{-i} \lambda_i)$.

Moreover, for each formal class (λ, Λ) , a representative $(U_{\lambda, \Lambda}, C)$ is given by the quotient of the germ neighborhood (\tilde{U}, \tilde{C}) of $\tilde{C} := \{y = 0\}$ in $\tilde{U} := \mathbb{C}_x \times \mathbb{C}_y$ by the action of Γ_τ generated by:

$$(1.7) \quad \begin{cases} \phi_1(x, y) &= (x + 1, a_1 y) \\ \phi_\tau(x, y) &= (x + \tau + g_{k, \lambda, P}(y), a_\tau \varphi_{k, \lambda}(y)) \end{cases}$$

$$\text{where } \varphi_{k,\lambda} = \exp\left(\frac{y^{k+1}}{1+\lambda y^k} \partial_y\right), \quad g_{\lambda,\Lambda} = \int_0^y a_\tau \varphi_{k,\lambda}^* \omega_\Lambda - \omega_\Lambda$$

$$\text{with } \omega_\Lambda := P\left(\frac{1}{y^m}\right) \frac{dy}{y}, \quad P(z) := \sum_{i=0}^{k'-1} \lambda_i z^i.$$

The pencil $\omega_t = \omega_0 + t\omega_\infty$ of closed 1-forms of Theorem 1.1 is generated by

$$(1.8) \quad \omega_0 = \frac{dy}{y^{k+1}} + \lambda \frac{dy}{y} \quad \text{and} \quad \omega_\infty = dx - \omega_\Lambda.$$

The holonomy representation of \mathcal{F}_0 computed on the transversal $\{x = 0\}$ is given by

$$(1.9) \quad \rho_{\mathcal{F}_0} : \begin{cases} 1 \mapsto a_1 y \\ \tau \mapsto a_\tau \varphi_{k,\lambda}(y) \end{cases}$$

When $\Lambda = 0$, the germ $(U_{\lambda,0}, C)$ is just the suspension of this representation and \mathcal{F}_∞ is the fibration transversal to C . When $\Lambda \neq 0$, C is a common leaf of \mathcal{F}_∞ and \mathcal{F}_0 and the tangency order of these two foliations along C is $p = m \deg(P)$. Moreover, the holonomy representation of \mathcal{F}_∞ is given by

$$(1.10) \quad \rho_{\mathcal{F}_\infty} : \begin{cases} 1 \mapsto a_1 \exp(v_\Lambda) \\ \tau \mapsto a_\tau \exp(\tau_\Lambda) \end{cases} \quad \text{where } v_\Lambda = \frac{y}{P\left(\frac{1}{y^m}\right)} \partial_y.$$

In the appendix, we also consider the action of automorphisms of the elliptic curve C on the normal forms $(U_{\lambda,\Lambda})$.

1.4. About analytic classification. For a general neighborhood (U, C) , with N_C torsion and $\text{utype}(U, C) = k < \infty$, foliations \mathcal{F}_t of Theorem 1.1 are only formal, divergent: Mishustin recently gave in [16] an example where all \mathcal{F}_t are divergent. Using the first part of Theorem 1.2, we can prove

Theorem 1.4. *Let (U, C) be an analytic neighborhood with N_C torsion and $\text{utype}(U, C) = k < \infty$. Assume*

- *three elements $\mathcal{F}_{t_1}, \mathcal{F}_{t_2}, \mathcal{F}_{t_3}$ of the pencil are convergent,*
- *or two elements $\mathcal{F}_{t_1}, \mathcal{F}_{t_2}$ of the pencil are convergent with $\frac{t_i}{1+t_i\tau} \notin \mathbb{Q}$ for $i = 1, 2$;*

then the full pencil (\mathcal{F}_t) is convergent and (U, C) is analytically equivalent to its formal normal form.

On the other hand, using the second part of Theorem 1.2, we can prove

Theorem 1.5. *For each formal normal form (U_0, C) and each pair $t_1, t_2 \in \mathbb{P}^1$ with $\frac{t_1}{1+t_1\tau} \in \mathbb{Q}$, then the set of analytic equivalence classes of analytic neighborhoods (U, C) formally equivalent to (U_0, C) with $\mathcal{F}_{t_1}, \mathcal{F}_{t_2}$ convergent is infinite dimensional.*

In fact, we prove that each of these deformation spaces contains Écalte-Voronin moduli space. In the non torsion case, we can also use a construction similar to the second part of Theorem 1.2 to construct many examples with only two convergent foliations $\mathcal{F}_0, \mathcal{F}_t$, $t \in \mathbb{C}^*$, but with divergent transversal fibration. In fact, we realize Yoccoz non linearizable dynamics (see [19]) as holonomy for \mathcal{F}_0 . Again, there are infinitely many parameters. So far, all non linearizable examples of neighborhoods, in the non torsion case, were obtained by suspension, i.e. with an analytic fibration transversal to C .

1.5. Concluding remarks when U is projective. We do not know any projective exemple with N_C non torsion and (U, C) non linearizable. In [20], Sad shows that the blow-up $(U, C) \rightarrow (\mathbb{P}^2, C_0)$ at 9 points on a smooth cubic C_0 admits a global foliation having C as a regular leaf only in the torsion case N_C , which is a fibration in this case. Therefore, the local analytic foliation that exists in the very generic non torsion case (when N_C satisfies diophantine equation (1.2)) does not extend (even as a singular foliation) on the whole of U . In the torsion case, Neeman proved in [18, Theorem 6.12] that any smooth projective (U, C) with $\text{utype}(U, C) < \infty$ is a blow-up (outside of the elliptic curve) of a unique model (U_0, C) (corresponding to $m = k = 1$ and $\lambda = \Lambda = 0$ in Theorem 1.3):

$U_0 = \mathbb{P}(E)$ where E is the unique non trivial extension $0 \rightarrow \mathcal{O}_C \rightarrow E \rightarrow \mathcal{O}_C \rightarrow 0$
and C is regarded as a section via the embedding $\mathcal{O}_C \rightarrow E$, with $N_C = \mathcal{O}_C$.

Brunella proved in [2, Chapter 9, Corollary 2] that any projective (U, C) with a global (possibly singular) foliation having C as a compact leaf is, up to ramified coverings and birational maps, either an elliptic fibration with C as a (possibly multiple) fiber, or a ruled surface over C admitting a section. In every cases, the germ (U, C) is analytically linearizable, except if (U, C) fits into Neeman's above examples. In [4], the authors investigate similar global questions for neighborhoods of higher genus curves in surfaces, or higher dimensional hypersurfaces. In the local setting, at the neighborhood of a curve C of arbitray genus with flat normal bundle in a complex surface, there still exists many formal foliations having C as a regular leaf (see [4, Theorem A]). Also, a bifoliated classification can be done, at least in genus 2 (see [22, 23]).

2. FOLIATIONS, HOLONOMY AND CLOSED 1-FORMS

The principal tool that we will use to classify germs of neighborhoods (U, C) will be the existence of formal foliations that we will prove in the next section. Let

$$\widehat{\text{Diff}}(\mathbb{C}, 0) = \left\{ \sum_{n \geq 0} a_n z^n ; a_1 \neq 0 \right\}$$

denotes the group of formal diffeomorphisms fixing 0 and $\text{Diff}(\mathbb{C}, 0) \subset \widehat{\text{Diff}}(\mathbb{C}, 0)$ the subgroup of holomorphic germs (i.e. convergent ones).

2.1. Foliations and holonomy. A formal regular foliation \mathcal{F} on (U, C) tangent to C (i.e. C is a leaf) is defined by a covering (U_i) together with formal submersions $f_i : U_i \rightarrow \mathbb{C}$ with $f_i(C \cap U_i) = 0$ satisfying on each non empty intersection $U_i \cap U_j$

$$(2.1) \quad f_i = \varphi_{ij} \circ f_j \quad \text{for some } \varphi_{ij} \in \widehat{\text{Diff}}(\mathbb{C}, 0).$$

We denote by $\widehat{\text{Fol}}(U, C)$ the set of such foliations, and by $\text{Fol}(U, C)$ those ones that can be defined with convergent f_i 's.

An element $\mathcal{F} \in \widehat{\text{Fol}}(U, C)$ has a holonomy representation

$$(2.2) \quad \rho_{\mathcal{F}} : \pi_1(C) \rightarrow \widehat{\text{Diff}}(\mathbb{C}, 0).$$

Precisely, given a loop $\gamma : [0, 1] \rightarrow C$ based in $p \in C$, we can cover γ by a finite sequence of these open sets, say $U_0, U_1, \dots, U_n, U_0$ such that γ intersect successively these open sets in this order. Then analytic continuation of f_0 along γ is obtained by

$$(2.3) \quad f_0 = \varphi_{01} \circ f_1 = \varphi_{01} \circ \varphi_{12} \circ f_2 = \dots = \underbrace{\varphi_{01} \circ \varphi_{12} \circ \dots \circ \varphi_{n0}}_{\varphi_{\gamma}} \circ f_0$$

and we define the morphism (2.2) by $[\gamma] \mapsto \varphi^\gamma$. Changing $f = f_0$ by another first integral, say $\phi \circ f$ with $\phi \in \widehat{\text{Diff}}(\mathbb{C}, 0)$, will conjugate $\rho_{\mathcal{F}}$ by ϕ :

$$(\phi \circ f)^\gamma = \phi \circ f^\gamma = \phi \circ \varphi^\gamma \circ f = (\phi \circ \varphi^\gamma \circ \phi^{-1}) \circ (\phi \circ f)$$

But the class of the representation $\rho_{\mathcal{F}}$ up to conjugacy in $\widehat{\text{Diff}}(\mathbb{C}, 0)$ does not depend on the choice of f_i 's, of U_i 's and even of the base point. If \mathcal{F} is holomorphic (i.e. convergent), then $\rho_{\mathcal{F}}$ takes values in the group $\text{Diff}(\mathbb{C}, 0) = \{\sum_{n \geq 0} a_n z^n \in \mathbb{C}\{z\} ; a_1 \neq 0\}$ of germs of holomorphic diffeomorphisms fixing 0. The fundamental group $\pi_1(C) \simeq \Gamma_\tau$ is abelian and the classification of abelian subgroups of $\widehat{\text{Diff}}(\mathbb{C}, 0)$ is well-known.

2.2. Abelian subgroups of $\widehat{\text{Diff}}(\mathbb{C}, 0)$. We give here an enumeration of the main properties of such subgroups (at least those useful in the sequel) for which we refer to [12] and references therein. Let us start by recalling that any holomorphic vector field $v = f(z)\partial_z$ fixing 0, $f(0) = 0$, is holomorphically conjugated to one and only one of the following vector fields:

$$(2.4) \quad v_\alpha = \alpha z \partial_z \quad \text{or} \quad v_{k,\lambda} = \frac{z^{k+1}}{1 + \lambda z^k} \partial_z,$$

where $k \in \mathbb{N}^*$ and $\alpha, \lambda \in \mathbb{C}$. This comes from the fact that the dual meromorphic 1-form defined by $\omega(v) \equiv 1$ is characterized up to change of coordinate by its order of pole, and its residue: it is therefore conjugated to only one model

$$(2.5) \quad \omega_\alpha = \alpha^{-1} \frac{dz}{z} \quad \text{or} \quad \omega_{k,\lambda} = \frac{dz}{z^{k+1}} + \lambda \frac{dz}{z}.$$

We can define the formal flow of such a vector field as

$$\exp(tv) = \sum_{n \geq 0} \frac{t^n}{n!} \underbrace{v \cdot (\dots (v \cdot z) \dots)}_{n \text{ times}}$$

where v acts as a differential operator: $v \cdot g = f \partial_z \cdot g = f \cdot g'$. For instance, we have $\exp(tv_\alpha) = \exp(t\alpha)z$ and

$$(2.6) \quad \exp(tv_{k,\lambda}) = z + tz^{k+1} + \left(\frac{k+1}{2} t^2 - \lambda t \right) z^{2k+1} + o(z^{2k+1}).$$

The description of formal diffeomorphisms up to conjugacy is similar (see [12, §1.3]):

Theorem 2.1. *Any $f \in \widehat{\text{Diff}}(\mathbb{C}, 0)$ is conjugated to exactly one of the following models:*

- (1) $f_0(z) = az$ where $a \in \mathbb{C}^*$.
- (2) $f_0(z) = a \cdot \exp(v_{k,\lambda})$ with $a^k = 1$.

In the first case, f is said to be (formally) *linearizable*. In the second item, remark that $z \rightarrow az$ commutes with $\exp(v_{k,\lambda})$ and that f_0 (hence f) has infinite order. We note that any element $f \in \widehat{\text{Diff}}(\mathbb{C}, 0)$ is almost contained in a formal flow: in case (2), the iterate $f_0^{\circ k} = \exp(kv_{k,\lambda})$ is in a flow. If we turn to abelian groups, the classification looks like that of flows:

Theorem 2.2. ([12, §1.4]) *Any abelian subgroup $G \subset \widehat{\text{Diff}}(\mathbb{C}, 0)$ is conjugated to a subgroup of one of the following models:*

- (1) $\mathbb{L} := \{f(z) = az ; a \in \mathbb{C}^*\}$.
- (2) $\mathbb{E}_{k,\lambda} := \{a \cdot \exp(tv_{k,\lambda}) ; a^k = 1, t \in \mathbb{C}\}$.

These groups are respectively characterized as the group of elements of $\widehat{\text{Diff}}(\mathbb{C}, 0)$ that commute with the respective models of 1-form (2.5) or vector fields (2.4). Moreover, \mathbb{L} (resp. \mathbb{E}) coincide with the centralizer in $\widehat{\text{Diff}}(\mathbb{C}, 0)$ of any non torsion element of \mathbb{L} (resp. \mathbb{E}).

2.3. Foliations and closed 1-forms. We immediately deduce:

Corollary 2.3. *Any foliation $\mathcal{F} \in \widehat{\text{Fol}}(U, C)$ can be defined by a formal closed meromorphic 1-form ω on U with polar divisor $(\omega)_\infty = (k+1)[C]$ for some $k \in \mathbb{N}$. Moreover, the closed 1-form ω defining \mathcal{F} is unique up to a multiplicative constant except when \mathcal{F} is a fibration, i.e. admits a global first integral.*

Proof. The holonomy $\rho_{\mathcal{F}}$ takes values in an abelian subgroup $G \subset \widehat{\text{Diff}}(\mathbb{C}, 0)$. By Theorem 2.2, there exists a formal meromorphic 1-form $\omega_0 = h(z)dz$ invariant by G : if $\varphi_* G$ is in the model \mathbb{L} (resp. $\mathbb{E}_{k,\lambda}$), then $\omega_0 = \varphi^* \omega_\alpha$ (resp. $\varphi^* \omega_{k,\lambda}$). There is a unique global and closed formal meromorphic 1-form ω on (U, C) which is invariant by \mathcal{F} , i.e. $\omega \wedge df_i \equiv 0$ for local formal first integrals, and coincide with ω_0 in the holonomy coordinate: $\omega|_{U_0} = h(f_0)df_0$ with notations of (2.1). Indeed, if one changes local first integrals $f_i : U_i \rightarrow \mathbb{C}$ such that all $\varphi_{ij} \in G$, then the resulting form $f_i^* \omega_0$ remains unchanged and equal to $f_i^* \omega_0$. We note that ω_0 must have a pole at $z = 0$, and therefore ω must have a pole along C .

Now, if there is another formal closed meromorphic 1-form ω' also defining \mathcal{F} , then we must have $\omega' = f\omega$ for a formal meromorphic function f ; after derivation we get $df \wedge \omega = 0$ so that f is a global first integral for \mathcal{F} . If f is not constant, then maybe changing to $1/f$, we get a non constant formal holomorphic map $f : U \rightarrow \mathbb{C}$ which is constant on the leaves, therefore a fibration. \square

Conversely to Corollary 2.3, we have:

Theorem 2.4. *Let ω be a formal 1-form whose polar divisor $(\omega)_\infty$ is supported by C (or empty). Then*

- (1) *either \mathcal{F}_ω defines an element of $\widehat{\text{Fol}}(U, C)$,*
- (2) *or \mathcal{F}_ω is (regular) transversal to C .*

If C is not the fiber of a fibration, then ω is closed.

Proof. The divisor of ω can be written $(\omega)_0 - (\omega)_\infty = E - (k+1)C$, where E is an effective divisor and $k \in \mathbb{Z}$. One can assume that no component of E coincides with C . Viewing ω as a holomorphic section of $H^0(U, \omega_U^1((k+1)C))$, we get by restriction to C a section

$$\omega|_C \in H^0(C, \omega_C^1 \otimes N_C^{k+1}).$$

If it is a non trivial section, then it has no zero meaning that the twisted 1-form ω is regular transversal to C . If $\omega|_C \equiv 0$, since the twisted 1-form ω cannot vanish identically on C , we deduce that C is \mathcal{F}_ω -invariant. In this latter case, we can consider the residue

$$\text{Res}_C(f^{-k-1}\omega) \in H^0(U, N_C^{\otimes k})$$

(where f is a section of $\mathcal{O}_U(C)$ vanishing on C) which must be a non trivial section, hence nowhere vanishing. In particular E is trivial, and \mathcal{F}_ω is regular, but this time belonging to $\widehat{\text{Fol}}(U, C)$. In each case, \mathcal{F} can be defined by a formal closed meromorphic 1-form ω_0 whose polar divisor $(\omega)_\infty$ is supported on C : in case (1) it follows from Corollary 2.3, and in case (2) we define $\omega_0 = f^{-1}(dx)$ where $f : U \rightarrow C$ is the fibration and dx is the holomorphic 1-form on C . If C is not the fiber of a fibration, then there does not exist non

constant formal holomorphic function on U : such a function should be constant on C and define a fibration, contradiction. But $\omega = f\omega_0$ for some formal meromorphic function f ; since divisors of ω and ω_0 are supported on C , so is the divisor of f . We conclude that f or $1/f$ is formal holomorphic and therefore constant. \square

2.4. Holonomy and periods of closed 1-forms. Consider the vector space \mathcal{C} of formal closed meromorphic 1-forms whose polar divisor is (empty or) supported on C . We can define the periods of ω as a morphism $\pi_1(U \setminus C) \rightarrow (\mathbb{C}, +)$ obtained by integration of ω along paths. Note that this is well defined even if ω is only a formal 1-form. Indeed, let $\pi : (\tilde{U}, E) \rightarrow (U, C)$ be the real analytic polar blow-up along C . The exceptional divisor is a S^1 -bundle over C with fiber over a point $p \in E$ parametrizing the ray on a transversal to C through $\pi(p)$. Given $\omega \in \mathcal{C}$, one can integrate it to get a *primitive* on the rays near p taking the form $-\frac{\alpha}{ky^k} + \lambda \log y + c + o(y)$. It is well defined by ω up to some integration constant. Following paths on E we obtain a representation $\text{per}_\omega : \pi_1(E) \rightarrow \mathbb{C}$. Since E is a retraction of $U \setminus C$, we have the sought representation.

When N_C is torsion, of order m say, we can deduce a representation $\sigma_\omega : \pi_1(C) \rightarrow \mathbb{C}$ as follows. First consider the unitary representation $\rho_1 : \pi_1(C) \rightarrow \mathbb{C}^*$ taking values in m^{th} roots of unity. Let $K := \ker \rho_1$ denotes the kernel: $K \subset \Gamma_\tau$ has index m in the lattice. The S^1 -principal bundle E comes naturally endowed with a flat connection with monodromy representation ρ_1 given by the unitary connection attached to N_C . This allow to lift loops $\gamma \in K$ as loops $\tilde{\gamma} \in \pi_1(E)$. Define $\sigma(\gamma) = \text{per}_\omega(\tilde{\gamma})$ for all $\gamma \in K$. This can be extended as a unique morphism $\sigma_\omega : \pi_1(C) \rightarrow \mathbb{C}$ on the whole lattice. This is no more a period mapping since it is twisted by the unitary connection: for $\gamma \in \Gamma_\tau \setminus K$, there is no lift $\tilde{\gamma}$ for which we would have $\sigma(\gamma) = \text{per}_\omega(\tilde{\gamma})$.

When N_C is not torsion, there is no canonical way to deduce a morphism $\pi_1(C) \rightarrow \mathbb{C}$ from the period map. However, as (U, C) is a topologically trivial neighborhood, the fundamental group split as a product $\pi_1(U \setminus C) \simeq \pi_1(C) \times \mathbb{Z}$ and we can define $\sigma_\omega^\infty : \pi_1(C) \rightarrow \mathbb{C}$ as the restriction of the period map per_ω to the first component. Note that σ_ω^∞ depends on the topological trivialization, except when ω has no residue along C . Note also, in the torsion case, that the two defined morphisms σ_ω and σ_ω^∞ have no reasons to coincide unless N_C is trivial.

One easily checks:

Proposition 2.5. *Let \mathcal{F} and ω like in Corollary 2.3 and let ω_0 be the restriction of ω to a (formal) transversal $(T, z) \simeq (\mathbb{C}, 0)$.*

- (1) *If $\omega_0 = \frac{dz}{z}$, then $\varphi_\gamma(z) = e^{\sigma_\omega^\infty(\gamma)} z$.*
- (2) *If $\omega_0 = \frac{dz}{z^{k+1}} + \lambda \frac{dz}{z}$, then $\varphi_\gamma(z) = a_\gamma \cdot \exp(\sigma_\omega(\gamma) v_{k,\lambda})$ where a_γ is the holonomy of the unitary (actually torsion of order dividing k) connection on N_C .*

Proof. It is a direct computation. \square

Remark 2.6. *In item (1), σ_ω^∞ may depend on the trivialization, but $e^{\sigma_\omega^\infty}$ does not. Indeed, σ_ω^∞ is completely determined up to a multiple of $2i\pi$ corresponding to the monodromy of a primitive of ω_0 around C .*

To summarize, we have defined a period morphism

$$(2.7) \quad \sigma^\infty : \mathcal{C} \rightarrow \text{Hom}(\Gamma_\tau, \mathbb{C}) ; \omega \mapsto \sigma_\omega^\infty.$$

depending on the choice of a topological trivialization of (U, C) , and when N_C is torsion, we have exhibited a canonical morphism

$$(2.8) \quad \sigma : \mathcal{C} \rightarrow \text{Hom}(\Gamma_\tau, \mathbb{C}) ; \omega \mapsto \sigma_\omega.$$

In case C is not a fiber of a fibration, we then have a natural inclusion $\widehat{\text{Fol}}(U, C) \subset \mathbb{P}(\mathcal{C})$.

Corollary 2.7. *Suppose that N_C is torsion and let $\omega \in \mathcal{C}$ non trivial. Then are equivalent*

- ω has no periods along C , i.e. $\sigma_\omega \equiv 0$,
- \mathcal{F}_ω defines a fibration with fiber C .

Therefore, if C is not the fiber of a fibration, then the period map σ is injective and we have a natural inclusion $\widehat{\text{Fol}}(U, C) \subset \mathbb{P}(\mathcal{C})$.

Proof. We follow notations of Theorem 2.4 (and the end of its proof). If we are in case (2), then ω coincides with a constant multiple of the closed 1-form $\pi^*(dx)$ where $\pi : U \rightarrow C$ is the transverse fibration and dx is the fixed abelian differential on C ; therefore, ω has non trivial periods (indeed, σ_ω coincides with the usual period map of the abelian differential dx). If we are in case (1), we have $\omega = f\omega_0$ where ω_0 is the closed 1-form constructed in Corollary 2.3. After derivation, we get $df \wedge \omega_0 = 0$ which means that f is a first integral of \mathcal{F}_ω . If C is not the fiber of a fibration, then it implies again that f is a constant and we can conclude that σ_ω is not identically zero, thanks to Proposition 2.5; if on the contrary C is a fiber of a fibration, then f is non constant only in the case where both f and ω_0 define the fibration (in which case σ_ω is easily seen to be trivial), otherwise we also conclude with Proposition 2.5. Finally, if C is not the fiber of a fibration, then $\ker(\sigma) = \{0\}$; moreover, an element $\mathcal{F} \in \widehat{\text{Fol}}(U, C)$ is defined by a unique closed 1-form $\omega \in \mathcal{C}$ up to a constant, so that it naturally defines an element of $\mathbb{P}(\mathcal{C})$. \square

Remark 2.8. *Using the same type of argumentation, it is easy to see that σ^∞ is injective whenever N_C has infinite order.*

3. PENCIL OF FORMAL FOLIATIONS

In this section, we aim at proving the following:

Theorem 3.1. *Assume that N_C is torsion, but C is not the fiber of a fibration. Then the period morphism (2.7) defined in section 2.4*

$$\sigma : \mathcal{C} \rightarrow \text{Hom}(\Gamma_\tau, \mathbb{C})$$

is an isomorphism. Moreover, we can choose generators $\hat{\omega}_0, \hat{\omega}_\infty$ of \mathcal{C} such that

- $\hat{\omega}_0$ has a pole of order $k+1 > 1$ along C , and $\hat{\omega}_0$ has zero period along $1 \in \Gamma_\tau$, i.e. $\sigma_{\hat{\omega}_0}(1) = 0$;
- $\hat{\omega}_\infty$ has a pole of order $0 \leq p+1 < k+1$ along C .

These properties characterize $\hat{\omega}_0$ and $\hat{\omega}_\infty$ up to a constant. Moreover, the torsion of N_C is dividing p and k .

Consider the pencil of foliations (\mathcal{F}_t) defined by

$$(3.1) \quad \mathcal{F}_t : \hat{\omega}_t := \hat{\omega}_0 + t\hat{\omega}_\infty = 0, \quad t \in \mathbb{P}^1.$$

They are all different $\mathcal{F}_t \neq \mathcal{F}_s$ because having non conjugated holonomy representations (see Proposition 2.5). Finally, we have

Corollary 3.2. *If N_C is torsion, but C is not the fiber of a fibration, then*

- *either $\widehat{\text{Fol}}(U, C) = \{\mathcal{F}_t ; t \in \mathbb{P}^1\}$ and there is no fibration transversal to C ;*
- *or $\widehat{\text{Fol}}(U, C) = \{\mathcal{F}_t ; t \in \mathbb{C}\}$ and \mathcal{F}_∞ is a fibration transversal to C .*

Moreover, \mathcal{F}_0 and \mathcal{F}_∞ are uniquely characterized by the following properties:

- $\mathcal{F}_0 \in \widehat{\text{Fol}}(U, C)$ has torsion holonomy along $1 \in \Gamma_\tau$;

- $\mathcal{F}_\infty \in \widehat{\text{Fol}}(U, C)$ has holonomy less tangent to its linear part than others, or is transversal to C .

It might be interesting to compare with the non torsion case. When N_C is not torsion, Arnold proved in [1, Theorem 4.2.1] that the neighborhood (U, C) is formally linearizable, i.e. formally equivalent to the neighborhood of the zero section in the total space of N_C . In this situation, we have a one parameter family of foliations, namely those given by flat holomorphic connections on N_C (the arguments stated below show that there are no other local foliations near the zero section). They are defined by a pencil of closed logarithmic 1-forms $\omega_0 + t\omega_\infty$ where we can choose (see exact sequence (3.2))

- ω_0 with residue 1 on C and purely imaginary periods along C (i.e. the associated foliation \mathcal{F}_0 has unitary holonomy);
- $\omega_\infty = dx$ to be the transverse fibration.

3.1. Foliation in the non fibered case: existence and unicity of the pencil. We start following [24], including the non torsion case. It should be mentioned that the existence of formal foliations has already been proved in [4, Theorem A, p.3] without restrictions on the genus of the embedded curve. However, the proof given here is simpler and provides further informations.

Select (U_i) an open covering of some neighborhood of C . Let $\mathcal{V} = (V_i)$ the open covering of C defined by $V_i := U_i \cap C$. One can choose (U_i) in such a way that \mathcal{V} is an acyclic covering by disks. Denote by \widehat{U}_i the formal completion of U_i along V_i . Let $y_i \in \mathcal{O}(\widehat{U}_i)$ some formal submersion such that $\{y_i = 0\} = V_i$ and such that

$$a_{ij}y_j - y_i = y_i^2 f_{ij}$$

where $a_{ij} \in Z^1(\mathcal{V}, \mathcal{O}_C^*)$ is a cocycle defining the normal bundle N_C of the curve in U and $f_{i,j} \in \mathcal{O}(\widehat{U}_i \cap \widehat{U}_j)$. The long exact sequence derived from

$$0 \rightarrow \mathbb{C}^* \rightarrow \mathcal{O}_C^* \xrightarrow{d \log} \Omega_C^1 \rightarrow 0$$

gives in particular

$$(3.2) \quad 0 \rightarrow H^0(C, \Omega_C^1) \rightarrow H^1(C, \mathbb{C}^*) \rightarrow \text{Pic}^0(C) \rightarrow 0.$$

Therefore, we can choose a_{ij} locally constant. Note that the cohomology class $[a_{ij}] \in H^1(C, \mathbb{C}^*)$ can be regarded as (the monodromy of) a flat connection attached to N_C .

If N_C is not torsion, we can modify submersions y_i in such a way that all $f_{ij} \equiv 0$ so that we get a global foliation locally defined by $dy_i = 0$. Indeed, assume that we have

$$a_{ij}y_j - y_i = b_{ij}y_i^{n+1} + f_{ij}y_i^{n+2}$$

with $f_{ij} \in \mathcal{O}(\widehat{U}_i \cap \widehat{U}_j)$ and $b_{ij} \in \mathcal{O}(V_i \cap V_j)$ not all zero for some $n \in \mathbb{Z}_{>0}$; we already have a foliation up to the order n . Then we have

Lemma 3.3. *Under notations above, we have $(b_{ij}) \in Z^1(\mathcal{V}, N_C^{\otimes -n})$, i.e. satisfies the cocycle condition*

$$b_{ij} + a_{ij}^{-n} b_{jk} + a_{ij}^{-n} a_{jk}^{-n} b_{ki} = 0, \quad \forall i, j, k.$$

Moreover, after **admissible transformation** of order $n + 1$

$$\tilde{y}_i = y_i + b_i y_i^{n+1}, \quad b_i \in \mathcal{O}(\widehat{U}_i)$$

we get the new cocycle

$$a_{ij}\tilde{y}_j - \tilde{y}_i = \underbrace{[b_{ij} - (b_i^0 - a_{ij}^n b_j^0)]}_{\tilde{b}_{ij}} \tilde{y}_i^{n+1} + o(\tilde{y}_i^{n+1})$$

where $b_i^0 := b_i|_C$ is the restriction. In particular, if the class $[b_{ij}] \in H^1(C, N_C^{-n})$ is zero, then we can make $b_{ij} = 0$.

Proof. This is a straightforward computation. \square

Now, by Serre duality

$$(3.3) \quad H^1(C, N_C^{-n}) \simeq H^0(C, N_C^n) = \begin{cases} \mathbb{C} & \text{if } N_C^{\otimes n} = \mathcal{O}_C, \\ 0 & \text{if not.} \end{cases}$$

When N_C is not torsion, we have $H^1(C, N_C^{-n}) = 0$ for all $n > 0$ and can successively kill the (b_{ij}) at each order. We finally arrive at formal coordinates y_i satisfying $y_i = a_{ij}y_j$. The corresponding formal foliation \mathcal{F} , locally defined by $\frac{dy_i}{y_i} = 0$, is not convergent in general, but is convergent for generic N_C (in the sense of Lebesgue measure on $\text{Jac}(C)$) as proved by Arnol'd in [1, Theorem 4.3.1]. We note that the construction of \mathcal{F} is unique once we have fixed the class of the cocycle (a_{ij}) in $H^1(C, \mathbb{C}^*)$. Indeed, consider two foliations $\mathcal{F}, \mathcal{F}'$ together with the same linear holonomy (corresponding to the same $[a_{ij}] \in H^1(C, \mathbb{C}^*)$). Both holonomy representation being abelian, we deduce from theorem 2.2 that they are in fact linearizable. This allows to construct on the formal neighborhood of C a function f which locally expresses a the quotient of a first integral of \mathcal{F} and \mathcal{F}' . Observe now that f is necessarily constant (and consequently $\mathcal{F} = \mathcal{F}'$), otherwise it would provide a global equation for C , contradicting the fact that N_C has infinite order. The pencil of formal foliations described above can be then obtained by taking $\widehat{\omega}_0$ to be the unique logarithmic form with residue 1 on C associated to the foliation in $\widehat{\text{Fol}}(U, C)$ with unitary holonomy and setting $\omega_\infty = \omega_0 - \omega_1$ where ω_1 is a logarithmic form with residue 1 defining an element in $\widehat{\text{Fol}}(U, C)$ with non unitary holonomy. Thanks to Remark 2.8, the formal 1-form (without poles) ω_∞ has non trivial periods along C , and then defines a (necessarily unique) transverse fibration.

3.2. Torsion case: fibration and Ueda class. When N_C is torsion, say of order m , then we can choose $a_{ij}^m = 1$. We can follow the same algorithm as in the non torsion case, but the process can stop each time m divides n . If not, i.e. if (b_{ij}) is zero as a cocycle at each step, then Arnol'd proved in [1] that the process can be done in a convergent way, so that we get holomorphic submersions y_i satisfying $y_i = a_{ij}y_j$. The functions y_i^m patch together to define a global fibration $y : U \rightarrow \mathbb{C}$ and mC is the zero fiber.

From now on, we assume the converse:

$$a_{ij}y_j - y_i = \frac{b_{ij}}{k}y_i^{k+1} + o(y_i^{k+1})$$

for some $k \equiv 0 \pmod{m}$ and $[b_{ij}] \in H^1(C, N_C^{\otimes -k}) = H^1(C, \mathcal{O}_C)$ is not zero; equivalently

$$\frac{1}{y_i^k} - \frac{1}{y_j^k} = b_{ij} + o(1).$$

This cohomology class is defined by Ueda in [24] and is unique up to multiplication by a non zero scalar. It turns out to be a formal invariant which gives an obstruction to realize (formally) the neighborhood of C as the neighborhood of the zero section in the total space

of the line bundle N_C . In Ueda's terminology, the neighborhoods with this obstruction are said to be "of finite type". This allows us to define the **Ueda type** of the curve

$$\text{utype}(U, C) := k$$

(we set $\text{utype}(C) := \infty$ when there is no formal obstruction). We note that we can choose the representative (b_{ij}) of the Ueda class locally constant. Indeed, the long exact sequence derived from

$$0 \rightarrow \mathbb{C} \rightarrow \mathcal{O}_C \xrightarrow{d} \Omega_C^1 \rightarrow 0$$

gives in particular

$$(3.4) \quad 0 \rightarrow H^0(C, \Omega_C^1) \rightarrow H^1(C, \mathbb{C}) \rightarrow H^1(C, \mathcal{O}_C) \rightarrow 0.$$

The locally constant representative (b_{ij}) is unique up to periods of a holomorphic 1-form. We choose one from now on.

3.3. Torsion case: existence of foliations. Now, let us start going on beyond Ueda obstruction: let us write

$$(3.5) \quad \frac{1}{y_i^k} - \frac{1}{y_j^k} = b_{ij} + c_{ij}y_i^n + o(y_i^n)$$

for some $n \in \mathbb{Z}_{>0}$, with $[b_{ij}] \in H^1(C, \mathcal{O}_C)$ non zero and $c_{ij} \in \mathcal{O}(V_i \cap V_j)$.

Lemma 3.4. *Under notations above, $(c_{ij}) \in Z^1(\mathcal{V}, N_C^{\otimes -n})$ satisfies the cocycle condition. Moreover, if its class $[c_{ij}] \in H^1(C, N_C^{\otimes -n})$ is zero, then we can assume $c_{ij} = 0$ after an admissible transformation of order $n + k + 1$.*

Proof. The cocycle condition directly comes from considering the sum of (3.5) when (i, j) runs over a cyclic permutation on three indices. Now, setting

$$\tilde{y}_i = y_i + c_i y_i^{n+k+1}, \quad c_i \in \mathcal{O}(U_i),$$

we get

$$\frac{1}{\tilde{y}_i^k} - \frac{1}{\tilde{y}_j^k} = b_{ij} + \underbrace{[c_{ij} - k(c_i^0 - a_{ij}^{-n}c_j^0)]}_{\tilde{c}_{ij}} \tilde{y}_i^n + o(\tilde{y}_i^n)$$

where $c_i^0 := c_i|_C$ is the restriction to the curve. If $[c_{ij}] \in H^1(C, N_C^{\otimes -n})$ is zero, then so is $[\frac{c_{ij}}{k}]$ and we can make $c_{ij} = 0$ by conveniently choosing c_i . \square

Using inductively Lemma 3.4, we arrive at

$$(3.6) \quad \frac{1}{y_i^k} - \frac{1}{y_j^k} = b_{ij} + c_{ij}y_i^n + o(y_i^n)$$

with n a multiple of $m = \text{ord}(N_C)$. Since $H^1(C, \mathcal{O}_C)$ is one dimensional, we can assume $(c_{ij}) = \lambda \cdot (b_{ij})$ for some constant $\lambda \in \mathbb{C}$.

Lemma 3.5. *Under notations above, if $n \neq k$, then after an admissible transformation $\tilde{y}_i = y_i + c y_i^{n+1}$ with $c \in \mathbb{C}$, one can assume that the class $[c_{ij}] \in H^1(C, N_C^{\otimes -n})$ is zero.*

Proof. From (3.6), we deduce $y_j^{n-k} = y_i^{n-k} + (n-k)b_{ij}y_i^n + o(y_i^n)$ so that straightforward computation shows

$$\frac{1}{\tilde{y}_i^k} - \frac{1}{\tilde{y}_j^k} = b_{ij} + (c_{ij} + ck(n-k)b_{ij})\tilde{y}_i^n + o(\tilde{y}_i^n)$$

so that we can fix c to kill the class $[c_{ij}] \in H^1(C, \mathcal{O}_C)$. \square

Lemma 3.6. *Under notations above, if $n = k$ in (3.6) then*

$$\frac{1}{y_i^k} - \frac{1}{y_j^k} - \lambda \log \frac{y_i^k}{y_j^k} = b_{ij} + o(y_i^k)$$

(we choose the principal determination of the logarithm near 1).

Proof. From (3.6), we deduce $y_j^k = y_i^k(1 + b_{ij}y_i^k + o(y_i^k))$ so that

$$-\lambda \log \frac{y_i^k}{y_j^k} = \lambda \log(1 + b_{ij}y_i^k + o(y_i^k)) = \lambda b_{ij}y_i^k + o(y_i^k)$$

which proves the result. \square

Lemma 3.7. *Assume that we have*

$$(3.7) \quad \frac{1}{y_i^k} - \frac{1}{y_j^k} - \lambda \log \frac{y_i^k}{y_j^k} = b_{ij} + c_{ij}y_i^n + o(y_i^n)$$

with $n > k$ and $c_{ij} \in \mathcal{O}(V_i \cap V_j)$. Then $(c_{ij}) \in Z^1(\mathcal{V}, N_C^{\otimes -n})$ satisfies the cocycle condition. Moreover, if its class $[c_{ij}] \in H^1(C, N_C^{\otimes -n})$ is zero, then we can assume $c_{ij} = 0$ up to doing an admissible transformation of order $n + k + 1$.

Proof. It is similar to that of Lemma 3.4. \square

Lemma 3.8. *Assume that the y_i 's satisfy the relation (3.7) with $n > k$ multiple of k . After an admissible transformation $\tilde{y}_i = y_i + cy_i^{n+1}$ with $c \in \mathbb{C}$, one can assume that the class $[c_{ij}] \in H^1(C, N_C^{\otimes -n})$ is zero.*

Proof. It is similar to that of Lemma 3.5. \square

When passing to the limit, one gets a collection of formal submersions y_i satisfying

$$(3.8) \quad \left(\frac{1}{y_i^k} - \lambda \log y_i^k \right) - \left(\frac{1}{y_j^k} - \lambda \log y_j^k \right) = b_{ij}.$$

Finally, since we have chosen (b_{ij}) locally constant, we get after derivation a global closed meromorphic 1-form

$$\omega := \frac{dy_i}{y_i^{k+1}} + \lambda \frac{dy_i}{y_i}.$$

In particular, the equation $\omega = 0$ defines a regular formal foliation \mathcal{F} having C as a leaf; a collection of regular formal first integrals is given by the submersions y_i .

3.4. Proof of Theorem 3.1. We now discuss the non unicity of our construction. Recall that we are assuming that N_C is torsion, but C is not the fiber of a fibration like in Theorem 3.1. For each choice of $(b_{ij}) \in H^1(C, \mathbb{C})$ which is not zero in $H^1(C, \mathcal{O}_C)$, we have constructed a formal closed meromorphic 1-form $\omega \in \mathcal{C}$ with a pole of order $k + 1 > 1$ along C , k being the Ueda type $\text{utype}(U, C)$. Moreover, formula (3.8) shows that the canonical morphism σ_ω is given by $\frac{1}{k}(b_{ij})$ through the natural isomorphism $H^1(C, \mathbb{C}) \simeq \text{Hom}(\Gamma_\tau, \mathbb{C})$. By this way, we have realized every morphism in $\text{Hom}(\Gamma_\tau, \mathbb{C})$ except those given by periods of holomorphic 1-forms on C . From obvious dimensional reasons, the morphism σ is thus surjective which, together with Corollary 2.7, proves the first statement of Theorem 3.1.

Note that the periods of holomorphic 1-forms are realized by elements of \mathcal{C} having a lower order pole along C (possibly free of poles). Indeed, let ω_∞ be generating this one dimensional subspace of \mathcal{C} :

$$\ker(\mathcal{C} \simeq \text{Hom}(\Gamma_\tau, \mathbb{C}) \simeq H^1(C, \mathbb{C}) \rightarrow H^1(C, \mathcal{O}_C)) = \mathbb{C} \cdot \omega_\infty.$$

One can write $\omega_\infty = \omega - \eta$ where $\omega, \eta \in \mathcal{C}$ have a pole of order $k+1$ along C . Suppose that ω_∞ has also a pole of order $k+1$ and let $\mathcal{F}_\infty \in \widehat{\text{Fol}}(U, C)$ be the corresponding foliation. The holonomy representation of \mathcal{F}_∞ has the form given by item (2) of Proposition 2.5 but this latter clearly implies that $\text{utype}(U, C) > k$: a contradiction.

Finally, choose $\omega_0 \in \mathcal{C} \setminus \mathbb{C} \cdot \omega_\infty$ such that its period vanish along m (or equivalently, any non zero multiple of m) $\in \Gamma_\tau$.

4. BIFOLIATED CLASSIFICATION

A **bifoliated neighborhood** is a 4-uple $(U, C, \mathcal{F}, \mathcal{G})$ where (U, C) is a germ of neighborhood of the elliptic curve C and $\mathcal{F}, \mathcal{G} \in \text{Fol}(U, C)$ are distinct foliations having C as a common leaf. Another 4-uple $(\tilde{U}, C, \tilde{\mathcal{F}}, \tilde{\mathcal{G}})$ will be said equivalent to $(U, C, \mathcal{F}, \mathcal{G})$ if there is an isomorphism $\phi : (U, C) \rightarrow (\tilde{U}, C)$ between germs of neighborhoods satisfying (1.1) and conjugating the foliations:

$$\phi_*\mathcal{F} = \tilde{\mathcal{F}} \quad \text{and} \quad \phi_*\mathcal{G} = \tilde{\mathcal{G}}.$$

In this section, we consider the classification of bifoliated neighborhoods up to equivalence, and show that it reduces to the classification of the pair of holonomy representations $(\rho_{\mathcal{F}}, \rho_{\mathcal{G}})$ up to some equivalence (see Theorem 4.10). All constructions and results will be valid and usefull both in the formal and analytic settings. In fact, it will be applied to the canonical pair of formal foliations $(\mathcal{F}_0, \mathcal{F}_\infty)$ to deduce the formal classification of neighborhoods (U, C) . We will also make use of the analytic setting, not necessarily with the canonical pair, to construct huge families of analytic neighborhoods that are all formally equivalent, but pairwise not analytically equivalent. Even in the non torsion case, our construction provides new examples with formal but divergent fibration transversal to C . For simplicity, we will work in the analytic setting; we will just mention its formal counterpart in the main statements, the proof being exactly the same.

4.1. Basic invariants. A first invariant is given by the **holonomy representation** of the common leaf C for both foliations

$$\rho_{\mathcal{F}}, \rho_{\mathcal{G}} : \pi_1(C, p) \rightarrow \text{Diff}(\mathbb{C}, 0)$$

each representation being defined up to conjugacy in $\text{Diff}(\mathbb{C}, 0)$ (see section 2.1). In the sequel, it will be convenient to define holonomy on a transversal curve (T, y) : $T \subset (U, C)$ is a germ of curve at a point $p \in C$ transversal to C and $y : (C, p) \rightarrow (\mathbb{C}, 0)$ a coordinate. The holonomy of \mathcal{F} on the transversal (T, y) is defined as follows. Let $f : (U, p) \rightarrow (\mathbb{C}, 0)$ be the unique first integral for \mathcal{F} whose restriction to T coincides with the coordinate y : then define the holonomy of \mathcal{F} as the monodromy of f by setting $f_0 = f$ in the construction of section 2.1. This allow us to compute and compare if necessary the two holonomy representations $\rho_{\mathcal{F}}, \rho_{\mathcal{G}}$ on a same transversal (T, y) .

A second invariant is the **order of tangency** $\text{Tang}(\mathcal{F}, \mathcal{G})$ between \mathcal{F} and \mathcal{G} along C : given non vanishing 1-forms α and β at the neighborhood of a point $p \in C$ defining the two foliations \mathcal{F} and \mathcal{G} respectively, then $\alpha \wedge \beta$ vanishes along C ; being locally constant, the vanishing order, denoted by $\text{Tang}(\mathcal{F}, \mathcal{G})$ does not depend on the choice of α and β , is globally well-defined and is a positive integer. Let $k \in \mathbb{N}$ be such that $\text{Tang}(\mathcal{F}, \mathcal{G}) = k+1$. The next proposition shows that this order of tangency can be detected from the knowledge of holonomy representations.

Proposition 4.1. *Let $\mathcal{F}, \mathcal{G} \in \text{Fol}(U, C)$ and consider the corresponding holonomy representations $\rho_{\mathcal{F}}, \rho_{\mathcal{G}}$ evaluated on the same transversal (T, y) . Then the following properties are equivalent.*

- (1) $\text{Tang}(\mathcal{F}, \mathcal{G}) = k + 1$.
- (2) $\rho_{\mathcal{F}}$ and $\rho_{\mathcal{G}}$ coincide to order k in the variable y but differ at order $k + 1$.

In particular, two foliations having the same holonomy must coincide.

Proof. Assume that $\text{Tang}(\mathcal{F}, \mathcal{G}) = k + 1$. This implies that \mathcal{F} and \mathcal{G} coincide on restriction to the k^{th} infinitesimal neighborhood $C_{(k)} = \text{Spec } \mathcal{O}_U / \mathcal{I}_C^{k+1}$; in particular, $\rho_{\mathcal{F}}$ and $\rho_{\mathcal{G}}$ coincide up to order k . Let f_p, g_p two respective first integrals of \mathcal{F} and \mathcal{G} such that $\{f_p = 0\} = \{g_p = 0\}$ are reduced equation of C and such that $f_p = g_p$ on $C_{(k)}$. From the hypothesis on $\text{Tang}(\mathcal{F}, \mathcal{G})$, this means that $f_p = g_p + a_p g_p^{k+1}$ where a_p is a formal function whose restriction $a_{p|C}$ to C is a non constant holomorphic function. Let f'_p, g'_p be sharing the same properties. Hence, they are related to f_p and g_p by $f'_p = \phi(f_p)$, $g'_p = \psi(g_p)$ where $\phi, \psi \in \text{Diff}(\mathbb{C}, 0)$ and $\phi(y) = \psi(y) \bmod y^{k+1}$. It is then easy to verify that $f'_p = g'_p + a'_p g_p^{k+1}$ with $a'_p|C = \tau \circ a_{p|C}$ where τ is an affine transformation of the complex line. As a byproduct, the collection of $a_{p|C}$, when varying the base point p , define an (maybe branched) *affine structure* on C intrinsically attached to the pair $(\mathcal{F}, \mathcal{G})$. Now, let us fix $p_0 \in C$, pick a germ of transversal (T, y) at p_0 and let f, g the local first integrals of \mathcal{F} and \mathcal{G} which coincide with y on T . Let a such that $f = g + a g^{k+1}$. Note that $a(p_0) = 0$. Let b_γ the germ at (C, p_0) obtained by analytic continuation (well defined by the affine structure) of $a|_C$ along $\gamma \in \pi_1(C, p_0)$. Clearly, the holonomy representations of \mathcal{F} and \mathcal{G} coincide up to order $k + 1$ if and only if $b_\gamma(p_0) = 0$ for every loop γ . In this case, there exists on C a multivaluate non constant function ξ locally holomorphic with multiplicative monodromy. This gives a contradiction with the residue theorem applied to the logarithmic differential $\frac{d\xi}{\xi}$. \square

Remark 4.2. The statement of Proposition 4.1 remains valid when considering more generally $n + 1$ -dimensional bifoliated neighborhoods of n -dimensional compact Kähler manifolds (use the same arguments).

Remark 4.3. The affine structure exhibited in the proof will reappear in the main Theorem 4.11 of this section.

4.2. First case: $\text{Tang}(\mathcal{F}, \mathcal{G}) = 1$. There is the following **constraint** on the holonomy representations. The foliation \mathcal{F} defines a partial connection $\nabla_{\mathcal{F}}$ on the normal bundle $N_{\mathcal{F}}$ called **Bott partial connection** whose restriction to the leaf C is a flat connection on the normal bundle $N_C \simeq N_{\mathcal{F}|C}$. The monodromy representation of $\nabla_{\mathcal{F}|C}$ is given by the linear part of the holonomy. Comparing $\nabla_{\mathcal{F}}$ and $\nabla_{\mathcal{G}}$ on N_C , we get that there exists a holomorphic 1-form ω on C , namely $\omega = \nabla_{\mathcal{F}|C} - \nabla_{\mathcal{G}|C}$ such that

$$(4.1) \quad \text{lin}(\rho_{\mathcal{G}}(\gamma)) = \exp\left(\int_{\gamma} \omega\right) \cdot \text{lin}(\rho_{\mathcal{F}}(\gamma))$$

for all $\gamma \in \pi_1(C, p)$. As we will see, we have

$$\text{Tang}(\mathcal{F}, \mathcal{G}) = 1 \quad \Leftrightarrow \quad \omega \neq 0$$

and in this case \mathcal{F} and \mathcal{G} are transversal outside C .

Theorem 4.4. Case $\text{Tang}(\mathcal{F}, \mathcal{G}) = 1$.

- **Classification** Two analytic/formal bifoliated neighborhoods $(U, C, \mathcal{F}, \mathcal{G})$ and $(\tilde{U}, C, \tilde{\mathcal{F}}, \tilde{\mathcal{G}})$ (both with $k = 0$) are analytically/formally equivalent if, and only

if, there exist analytic/formal diffeomorphisms $\phi, \psi \in \text{Diff}(\mathbb{C}, 0)/\widehat{\text{Diff}}(\mathbb{C}, 0)$ such that for all $\gamma \in \pi_1(C, p)$

$$(4.2) \quad \begin{cases} \phi \circ \rho_{\mathcal{F}}(\gamma) = \rho_{\mathcal{F}}(\gamma) \circ \phi \\ \psi \circ \rho_{\mathcal{G}}(\gamma) = \rho_{\mathcal{G}}(\gamma) \circ \psi \end{cases}$$

- **Realization** Given two representations $\rho_{\mathcal{F}}, \rho_{\mathcal{G}}$ satisfying the compatibility rule (4.1) for a non zero 1-form ω on C , there is a unique (up to isomorphism) bifoliated neighborhood $(U \supset C, \mathcal{F}, \mathcal{G})$ realizing these invariants with $k = 0$.

Remark 4.5. In the statement of Theorem 4.4, we do not demand that the holonomy pairs are evaluated with respect to the same transversal, contrary to the higher tangency case (see Theorem 4.11). Moreover, as one of the conjugated representations, says $\rho_{\mathcal{G}}, \rho_{\tilde{\mathcal{G}}}$ is linearizable (see proof of Theorem 4.4), we can impose to the diffeomorphisms ϕ, ψ involved in 4.2 to have the same linear part. This corresponds to the statement of Theorem 1.2 of the introduction for $k = 0$.

Remark 4.6. One can more generally consider bifoliated neighborhoods of any compact curve C regardless of its genus $g = g(C)$. When $g > 1$, the 1-form ω vanishes at some points meaning that we have other tangencies between \mathcal{F} and \mathcal{G} . This gives rise to additional invariants, even locally (see [22]).

Before giving the proof of theorem 4.4, let us start by some useful remarks.

Let \mathcal{F}, \mathcal{G} be foliations fullfilling the hypothesis of 4.2. In local coordinates (x, y) at p , where $C = \{y = 0\}$, we can choose the 1-forms defining \mathcal{F} and \mathcal{G} uniquely as follows

$$\begin{cases} \alpha = dy + ya(x, y)dx \\ \beta = dy + yb(x, y)dx \end{cases} \quad \text{with } a, b \in \mathbb{C}\{x, y\}.$$

Then, the holomorphic 1-form defined on C by

$$\omega := [b(x, 0) - a(x, 0)]dx = \text{Res}_C\left(\frac{\beta \wedge \alpha}{y^2}\right)$$

is not identically vanishing, and does not depend on the choice of coordinates (x, y) . This is an invariant of the pair of foliations measuring the discrepancy between them at the first order along C . We thus get a global non zero holomorphic 1-form ω on C , which is precisely the 1-form involved in (4.1). Indeed, one has $\nabla_{\mathcal{F}|_C} = d - a(x, 0)dx$ and $\nabla_{\mathcal{G}|_C} = d - b(x, 0)dx$. Since C is an elliptic curve, it follows that ω does not vanish at all, and \mathcal{F} is therefore transversal to \mathcal{G} outside of C . In order to prove Theorem 4.4, we need the following local classification result, which is a version of [11, Lemma 5] for functions.

Lemma 4.7. Let $f, g \in \mathbb{C}\{x, y\}$ be two local reduced equations for $C = \{y = 0\}$ and assume that the zero divisor of $df \wedge dg$ is C (hence also reduced). Then, there is a unique system of local coordinates (\tilde{x}, \tilde{y}) satisfying

$$f = \tilde{y} \quad g = e^{u(\tilde{x})}\tilde{y} \quad \text{and} \quad (\tilde{x}, \tilde{y})|_C = (x, 0)$$

for a submersive function u unique modulo $2i\pi$.

The invariant $u(x)$ is given by the limit of the ratio of the two functions:

$$e^{u(x)}|_C := \frac{g(x, y)}{f(x, y)}|_{y=0}.$$

For the corresponding foliations, the invariant 1-form discussed above is $\omega := du$. As noticed above, it can also be interpreted as the Poincaré residue of the 2-form $\frac{dg}{g} \wedge \frac{df}{f}$ along C .

In the Lemma, we have only considered changes of coordinates fixing C pointwise since this is what we need for the proof of Theorem 4.4. On the other hand, if we just ask that the change of coordinates preserve C globally, then we arrive at the unique normal form

$$f = \tilde{y} \quad g = (c + \tilde{x})\tilde{y}$$

where $c := e^{u(0)}$ is a non zero constant.

Proof. Let us first set $\tilde{y} := f$ and expand

$$g = g_1(x)\tilde{y} + g_2(x)\tilde{y}^2 + g_3(x)\tilde{y}^3 + \dots$$

Then $df \wedge dg = -(\sum_{n>0} g'_n(x)\tilde{y}^n)dx \wedge d\tilde{y}$ and we get $g'_1(x) \neq 0$; in fact, $g'_1(x) = u(x)$. We can write $g_1(x) = c + \varphi(x)$ with $c = g_1(0) \neq 0$ and $\varphi(x)$ a local coordinate. Then $g = (c + \phi(x, \tilde{y}))\tilde{y}$ where

$$\phi(x, \tilde{y}) = \varphi(x) + \sum_{n>0} g_{n+1}(x)\tilde{y}^n = \frac{g}{\tilde{y}} - c.$$

Clearly, $(\tilde{x}, \tilde{y}) := (\phi(x, \tilde{y}), \tilde{y})$ is the unique system of coordinates putting g into the normal form $g = (c + \tilde{x})\tilde{y}$. Finally, the coordinate $\varphi^{-1} \circ \phi$ induces the identity on C and conjugates g to $\exp(\log(c + \varphi(\tilde{x})))\tilde{y}$. \square

Proof of Theorem 4.4. Given $(U, C, \mathcal{F}, \mathcal{G})$ and $(\tilde{U}, C, \tilde{\mathcal{F}}, \tilde{\mathcal{G}})$ together with $k = 0$ and satisfying 4.2. Choose a point $p \in C \subset U, \tilde{U}$. Maybe after changing first integrals by left composition in $\text{Diff}(\mathbb{C}, 0)$: $(\tilde{f}, \tilde{g}) \rightarrow (\phi \circ \tilde{f}, \psi \circ \tilde{g})$, we may assume that $\rho_{\mathcal{F}} = \rho_{\tilde{\mathcal{F}}}$ and $\rho_{\mathcal{G}} = \rho_{\tilde{\mathcal{G}}}$.

Let ω and $\tilde{\omega}$ the discrepancy 1-forms associated to the pairs $(\mathcal{F}, \mathcal{G})$ and $(\tilde{\mathcal{F}}, \tilde{\mathcal{G}})$ respectively. Since holonomy representations are the same for the two pairs, we must have

$$\exp \left(\int_{\gamma} \omega \right) = \exp \left(\int_{\gamma} \tilde{\omega} \right)$$

for all $\gamma \in \pi_1(C, p)$. It follows that $\omega = \tilde{\omega}$.

One of the two representations, say $\rho_{\mathcal{G}}$, must be non unitary. Therefore, according to Koenigs theorem, it is analytically conjugated to its linear part (see Theorem 6.2). Changing again if necessary g and \tilde{g} , we can assume that $\rho_{\mathcal{G}} = \rho_{\tilde{\mathcal{G}}}$ is linear. In particular, we can now multiply g and \tilde{g} by constants without modifying their holonomy; therefore, we can now assume that g/f and \tilde{g}/\tilde{f} have common limit 1 at the point p . By consequence, these ratios have the same limit $\exp(u(x))$ on C .

Lemma 4.7 says that there is a unique germ of diffeomorphism $\Phi : (U, p) \rightarrow (\tilde{U}, p)$ fixing C pointwise conjugating the two pairs of first integrals. By analytic continuation of the first integrals, the existence and unicity of Φ at each point implies the analytic continuation principle for Φ along any path in C . Having chosen (\tilde{f}, \tilde{g}) with the same monodromy as (f, g) , we get a globally well-defined diffeomorphism $\Phi : (U, C) \rightarrow (\tilde{U}, C)$.

The existence part of Theorem 4.4 can be done by quotient, similarly to the usual construction by suspension when we want to realize a single representation. The difference is that, instead of preserving a fibration, we preserve a second foliation. Consider on the universal cover $\mathbb{C}_x \rightarrow C$ the trivial line bundle $\mathbb{C}_x \times \mathbb{C}_y$ together with models

$$f = y \quad \text{and} \quad g = e^{\theta x} \cdot y$$

where $\omega = \theta dx$ is the 1-form of the Theorem. For each period γ , we want to construct a diffeomorphism ϕ_{γ} at the neighborhood of $\tilde{C} = \{y = 0\} \simeq \mathbb{C}_x$ such that

- ϕ_{γ} is preserving \tilde{C} and inducing the translation by γ on it,

- ϕ_γ is conjugating $(\rho_{\mathcal{F}}(\gamma) \circ f, \rho_{\mathcal{G}}(\gamma) \circ g)$ with (f, g) .

Note that $\rho_{\mathcal{F}}(\gamma)$ is a (germ of) diffeomorphism fixing 0 so that, composed with f , we still get a first integral of the associated foliation at the neighborhood of \tilde{C} , vanishing on \tilde{C} . We deduce the existence of ϕ_γ from Lemma 4.7 locally at any point. Indeed, we just have to check that the infinitesimal invariant e^u is the same for

- (f, g) at $(x + \gamma, 0)$ and
- $(\rho_{\mathcal{F}}(\gamma) \circ f, \rho_{\mathcal{G}}(\gamma) \circ g)$ at $(x, 0)$

which straightly follows from assumptions. By uniqueness, the local diffeomorphisms provided by Lemma 4.7 patch together into a global diffeomorphism ϕ_γ . The map $\gamma \mapsto \phi_\gamma$ defines a group action on the germ of neighborhood of \tilde{C} . On the quotient, we get a neighborhood $U \supset C$ equipped with two foliations \mathcal{F} and \mathcal{G} having the expected holonomy. Note that invariants $k = 0$ and ω are actually determined by the monodromy. \square

Example 4.8. *For linear representations*

$$\begin{cases} \rho_{\mathcal{F}}(\gamma) : y \mapsto a_\gamma y \\ \rho_{\mathcal{G}}(\gamma) : y \mapsto b_\gamma y \end{cases}$$

we get the foliations associated to connections $\nabla_{\mathcal{F}}, \nabla_{\mathcal{G}}$ of a (degree zero) line bundle $L \rightarrow C$. In this case, the ϕ_γ constructed in the proof above are just given by $(x, y) \mapsto (x + \gamma, a_\gamma y)$. Indeed,

$$\phi^*(f, g) = (a_\gamma y, e^{\theta(x+\gamma)} a_\gamma y) = (a_\gamma f, b_\gamma g)$$

(we must have $b_\gamma = e^{\theta\gamma} a_\gamma$ for any period γ). In particular, in this case, the fibration defined by the x -variable is preserved.

4.3. Second case: $\text{Tang}(\mathcal{F}, \mathcal{G}) = k + 1$, $k > 0$. As before, we only deal with *analytic* bifoliated neighborhoods in the proofs and leave the reader to adapt the argument in the formal setting.. We can choose local coordinates (x, y) so that y is a first integral for \mathcal{F} , i.e. so that

$$\begin{cases} \alpha = dy \\ \beta = dy + y^{k+1} h(x, y) dx \end{cases} \quad \text{with } h(x, 0) \not\equiv 0.$$

are defining forms for \mathcal{F} and \mathcal{G} . Then, the holomorphic 1-form $\omega = h(x, 0)dx$ is well-defined up to multiplication by a constant. If we do this with a uniformizing coordinate x on C , then the logarithmic derivative of $h(x, 0)$ defines a logarithmic 1-form $\eta = \frac{dh}{h}|_C$ with only positive residues (zeroes of $h(x, 0)$). By Residue Theorem, $\eta = \theta \cdot dx$ for a constant $\theta \in \mathbb{C}$, and

$$\omega = c \exp(\theta x) dx$$

for a constant $c \in \mathbb{C}^*$. In particular, ω does not vanish and \mathcal{F} is transversal to \mathcal{G} outside C . The invariant found here is not a global holomorphic 1-form in general, but a global affine structure on C : an affine coordinate is given by integration of ω (regarded as a holomorphic form on the universal covering)

$$(4.3) \quad u(x) = \int_0^x \omega = \begin{cases} c(e^{\theta x} - 1) & \text{when } \theta \neq 0 \\ cx & \text{when } \theta = 0 \end{cases}$$

with monodromy given by

$$u(x + \gamma) = \begin{cases} e^{\theta\gamma} u(x) + c(e^{\theta\gamma} - 1) \\ u(x) + c\gamma \end{cases}$$

(here, we have imposed $u(0) = 0$ which is convenient for the sequel).

The version of Lemma 4.7 when $k > 0$ reads as follows:

Lemma 4.9. *Let $f, g \in \mathbb{C}\{x, y\}$ be two local reduced equations for $C = \{y = 0\}$ and assume that the zero divisor of $df \wedge dg$ is $(k+1)[C]$. Then, there is a unique system of local coordinates (\tilde{x}, \tilde{y}) satisfying*

$$f = \tilde{y} \quad g = P(\tilde{y}) + u(\tilde{x})\tilde{y}^{k+1} \quad \text{and} \quad (\tilde{x}, \tilde{y})|_C = (x, 0)$$

for unique non vanishing function u on C and degree $\leq k$ polynomial coordinate P ($P(0) = 0$ and $P'(0) \neq 0$).

We note that P is characterized by $g = P(f) + O(f^{k+1})$ and the function $u(x)$ on C is given by the restriction of $\frac{g-P(f)}{f^{k+1}}$. The invariant for the pair of corresponding foliations is given by the Poincaré residue $\omega := du$ of the 2-form $\frac{dg \wedge df}{f^{k+2}}$ along C . In other words, local invariants for the pair (f, g) are given by the polynomial P and the function u on C while local invariants for the pair of foliations $(\mathcal{F}, \mathcal{G})$ is given by $\mathbb{C} \cdot du$ (i.e. du up to a constant).

Proof. By local change of coordinate, we can first set $f = \tilde{y}$, and we get

$$g = P(\tilde{y}) + \tilde{y}^{k+1}(u(x) + \tilde{y}h(x, \tilde{y}))$$

where $P = \sum_{n=1}^k a_n \tilde{y}^n$ and $a_1 \neq 0$. Setting $\tilde{x} = u(x) + \tilde{y}h(x, \tilde{y}) - u(0)$ we get the sharper normal form

$$f = \tilde{y} \quad \text{and} \quad g = P(\tilde{y}) + \tilde{y}^{k+1}(u(0) + \tilde{x}).$$

If we restrict to x -change of coordinates inducing the identity along $y = 0$ we get the normal form of the statement by setting $u(\tilde{x}) = u(x) + \tilde{y}h(x, \tilde{y})$. \square

As can be checked from the normal form, are equivalent:

- on any curve Γ transversal to C at $p = (0, 0)$, the restrictions of f and g coincide up to the order $k+1$ (i.e. $f - g|_C = o(f^{k+1})$);
- there exists a curve Γ transversal to C at p in restriction to which $f \equiv g$ (and this curve is unique);
- $P(y) = y$ and $u(0) = 0$.

In this case, we say that f and g coincide up to the order $k+1$ at the point p . Given a pair of foliations $(\mathcal{F}, \mathcal{G})$ satisfying $\text{Tang}(\mathcal{F}, \mathcal{G}) = k+1$, we can always find a pair (f, g) of first integrals that coincide up to the order $k+1$. Note also that if f, g coincide at order $k+1$ at p , then for $\phi, \psi \in \text{Diff}(\mathbb{C}, 0)$, the new pair $\phi \circ f, \psi \circ g$ coincide at order $k+1$ at p if and only if $\phi(y) = \psi(y) \bmod y^{k+2}$.

At another point of C , the function u will be non zero in general, and we cannot find a transversal curve in restriction to which $f \equiv g$, but we still have $P(y) = y$ by analytic continuation and f still coincides with g up to the order k on any transversal curve.

In order to settle the relationship between the affine structure previously defined and the holonomy representations of the two foliations \mathcal{F} and \mathcal{G} , let us fix a point $p \in C$ and two local respective first integrals f and g that coincide on a transversal curve Γ . Let us compute the holonomy representations of the two foliations with respect to these first integrals. After analytic continuation along a loop γ based at p , we will have on one hand

$$f^\gamma = \varphi_{\mathcal{F}}^\gamma \circ f \quad \text{and} \quad g^\gamma = \varphi_{\mathcal{G}}^\gamma \circ g.$$

On the other hand, we have

$$(4.4) \quad g = f + u(x)f^{k+1} \bmod f^{k+2} \quad \text{with} \quad u(x) = \begin{cases} c(\exp(\theta x) - 1) \\ cx & (\text{if } \theta = 0) \end{cases}$$

and, after analytic continuation along γ , we get (in restriction to Γ)

$$g^\gamma = \phi_\gamma \circ f^\gamma \bmod f^{k+2} \quad \text{with} \quad \phi_\gamma(y) = y + u(\gamma)y^{k+1}.$$

To summarize, we get

$$(4.5) \quad \begin{aligned} \varphi_\mathcal{G}^\gamma &= \phi_\gamma \circ \varphi_\mathcal{F}^\gamma \bmod y^{k+2} \quad \text{for all } \gamma \in \pi_1(C, p) \\ \text{with } \phi_\gamma(y) &= \begin{cases} y + c(e^{\theta\gamma} - 1)y^{k+1} & \text{if } \theta \neq 0 \\ y + c\gamma y^{k+1} & \text{if } \theta = 0 \end{cases} \end{aligned}$$

In particular this illustrates the general principle given in 4.1 according to which the holonomies of both foliations coincide at order k but differ at order $k+1$. The above equalities give restrictions on the way they can differ at the order $k+1$. Mind that $\gamma \mapsto \phi_\gamma$ is not a group morphism (even after truncating coefficients of order $\geq k+2$).

The linear part $\text{lin}(\rho_\mathcal{F}) = \text{lin}(\rho_\mathcal{G})$ of the holonomy of the two foliations is related to the linear part $\gamma \mapsto e^{\theta\gamma}$ of the monodromy of the affine structure as follows.

Proposition 4.10. *If we set $\rho_\mathcal{F}(\gamma) = a_\gamma y + \dots$, then we have*

$$(4.6) \quad a_\gamma^{-k} = e^{\theta\gamma}.$$

In particular, θ is completely determined by the linear part of the holonomy and the normal bundle N_C is necessarily torsion of order dividing k . Moreover, if $\text{lin}(\rho_\mathcal{F})$ is unitary, then it is torsion and the affine structure is actually a translation structure, i.e $\theta = 0$.

Proof. From the formula (4.3), we get

$$\frac{u(2\gamma)}{u(\gamma)} - 1 = e^{\theta\gamma}$$

for all $\gamma \in \pi_1(C, p)$. On the other hand, comparing (4.5) for ϕ_γ and $\phi_{2\gamma}$ with linear part a_γ for $\rho_\mathcal{F}$ and $\rho_\mathcal{G}$ yields

$$\frac{u(2\gamma)}{u(\gamma)} - 1 = a_\gamma^{-k}$$

whence the relation. In particular, this shows that $N_C^{\otimes k}$ comes equipped with a connection, namely $\nabla_{\mathcal{F}|C}^{\otimes k}$, whose monodromy is given by the exponential of the periods of the abelian differential $-\theta dx$. This implies that $N_C^{\otimes k} \simeq \mathcal{O}_C$ and that the monodromy (given by $\text{lin}(\rho_\mathcal{F})^{\otimes k}$) is not unitary, unless θ vanishes identically. This concludes the proof. \square

In order to state our result, we associate to any bifoliated neighborhood $(U, C, \mathcal{F}, \mathcal{G})$ the holonomy representations $(\rho_\mathcal{F}, \rho_\mathcal{G})$ computed from a pair (f, g) of first integrals **that coincide on a given transversal at $p \in C$** .

Theorem 4.11. *Case $\text{Tang}(\mathcal{F}, \mathcal{G}) = k+1, k > 0$.*

- **Classification** *Two analytic/formal bifoliated neighborhoods $(U, C, \mathcal{F}, \mathcal{G})$ and $(\tilde{U}, C, \tilde{\mathcal{F}}, \tilde{\mathcal{G}})$ (with the same $k > 0$) are analytically/formally equivalent if, and only if, there exists analytic/formal diffeomorphisms $\phi, \psi \in \text{Diff}(\mathbb{C}, 0)/\widehat{\text{Diff}}(\mathbb{C}, 0)$ such that for all $\gamma \in \pi_1(C, p)$,*

$$(4.7) \quad \begin{cases} \phi \circ \rho_{\tilde{\mathcal{F}}}(\gamma) = \rho_\mathcal{F}(\gamma) \circ \phi \\ \psi \circ \rho_{\tilde{\mathcal{G}}}(\gamma) = \rho_\mathcal{G}(\gamma) \circ \psi \end{cases} \quad \text{with } \phi = \psi \bmod y^{k+2}.$$

- **Realization** *Given two representations $\rho_\mathcal{F}, \rho_\mathcal{G}$ satisfying the compatibility rule (4.5) for an affine structure defined by a chart $u(x)$ on C , there is a unique (up to isomorphism) bifoliated neighborhood $(U, C, \mathcal{F}, \mathcal{G})$ realizing these invariants (with tangency divisor $(k+1)[C]$).*

Proof. Classification part. Let $(\mathcal{F}, \mathcal{G}), (\tilde{\mathcal{F}}, \tilde{\mathcal{G}})$ two pairs of foliations satisfying the property 4.7. After changing first integrals (\tilde{f}, \tilde{g}) by $(\phi \circ \tilde{f}, \psi \circ \tilde{g})$, we may assume that $\rho_{\mathcal{F}} = \rho_{\tilde{\mathcal{F}}}$ and $\rho_{\mathcal{G}} = \rho_{\tilde{\mathcal{G}}}$. One easily checks that the affine coordinate $u(x)$ is determined by its values $u(\gamma)$ when γ runs over $\pi_1(C, p)$, and therefore by the monodromy of f and g up to order $k + 1$, due to (4.5). We deduce that invariants $u(x)$ and $\tilde{u}(x)$ of the two pairs coincide at p . On the other hand, f and g (resp. \tilde{f} and \tilde{g}) coincide on a transversal. Therefore, Lemma 4.9 provides the existence and uniqueness of a diffeomorphism $\Phi : (U, p) \rightarrow (\tilde{U}, p)$ conjugating (f, g) to (\tilde{f}, \tilde{g}) and inducing the identity on C . After analytic continuation, we can check that Φ is uniform, due to the fact that (f, g) and (\tilde{f}, \tilde{g}) have the same monodromy, and provides a global diffeomorphism $\Phi : U \rightarrow \tilde{U}$. \square

Proof. Realization part. We proceed analogously to the case $k = 0$, taking into account the new invariants. Let us start from the model defined on $\mathbb{C}_x \times \mathbb{C}_y$ by

$$f = y \quad \text{and} \quad g = \frac{y}{(1 - ku(x)y^k)^{1/k}} = y + u(x)y^{k+1} + \dots$$

which are those first integrals satisfying $f(0, y), g(0, y) = y$ for the foliations

$$\mathcal{F} : dy = 0 \quad \text{and} \quad \mathcal{G} : dy + y^{k+1}\omega = 0.$$

where $\omega = du$. For all γ , we construct a diffeomorphism Φ_γ conjugating

$$(f^\gamma(x), g^\gamma(x)) = (f(x + \gamma, 0), g(x + \gamma, 0)) \quad \text{with} \quad (\rho_{\mathcal{F}}(\gamma) \circ f, \rho_{\mathcal{G}}(\gamma) \circ g).$$

By assumption, the two pairs have exactly the same invariants at any point p . Lemma 4.9 provides the existence and unicity of Φ_γ at the neighborhood of any point $p \in \tilde{C}$, and therefore on a neighborhood of \tilde{C} . \square

Lemma 4.12. *When $\theta \neq 0$, condition (4.5) exactly means that $\rho_{\mathcal{G}}(\gamma)$ coincides with $\psi^{-1} \circ \rho_{\mathcal{F}}(\gamma) \circ \psi$ up to order $k + 1$ where $\psi = y + cy^{k+1} + \dots$.*

Proof. Denoting $\varphi_{\mathcal{F}}^\gamma(y) := \rho_{\mathcal{F}}(\gamma) = a_\gamma y + \dots$, a straightforward computation shows that

$$\psi^{-1} \circ \varphi_{\mathcal{F}}^\gamma \circ \psi \circ (\varphi_{\mathcal{F}}^\gamma)^{-1}(y) = y + c(1 - a_\gamma^{-k})y^{k+1} + \dots \quad \text{modulo } y^{k+2}$$

and condition (4.5) reads

$$\varphi_{\mathcal{G}}^\gamma \circ (\varphi_{\mathcal{F}}^\gamma)^{-1}(y) = y + c(1 - a_\gamma^{-k})y^{k+1} + \dots \quad \text{modulo } y^{k+2}$$

for all $\gamma \in \pi_1(C, p)$, whence the conclusion. \square

Corollary 4.13. *When $\theta \neq 0$, then (U, C) is analytically/formally linearizable.*

Proof. We deal with a pair $(\mathcal{F}, \mathcal{G})$ of convergent foliation tangent at order $k + 1$ along C , the proof of the formal analogue being the same.

When $\theta \neq 0$, the linear part $\text{lin}(\rho_{\mathcal{F}}) : \pi_1(C, p) \rightarrow \mathbb{C}^*$ is not unitary and has infinite image; then both $\rho_{\mathcal{F}}$ and $\rho_{\mathcal{G}}$ are analytically linearizable with the same linear part. One can then assume that $\rho_{\mathcal{F}}$ coincide with its linear part in the pair $(\rho_{\mathcal{F}}, \rho_{\mathcal{G}})$. Then, with the notation of lemma 4.12, $H(\gamma) := \psi \circ \varphi_{\mathcal{G}}^\gamma \circ \psi^{-1}(y) = a_\gamma y \mod y^{k+2}$. One can then easily verify that there exists $\phi \in \text{Diff}(\mathbb{C}, 0)$ tangent to identity up to order $k + 1$ such that for every γ , $\phi \circ H(\gamma) \circ \phi^{-1}(y) = a_\gamma y$. In particular, and thanks to Theorem 4.11, $\text{lin}(\rho_{\mathcal{F}})$ and c provide a complete system of bifoliated analytical invariants. Let us show that we can realize the same invariants for a pair of foliations $(\mathcal{F}_0, \mathcal{G}_0)$ on the linear neighborhood $(N_C, 0) = \mathbb{C}_x \times (\mathbb{C}_y, 0)/G$ where $G = \{g_\gamma, \gamma \in \Gamma_\tau\}$ is an abelian group of transformations of the form $g_\gamma(x, y) = (x + \gamma, \lambda_\gamma y)$ with $\lambda_\gamma^k = 1$. The coordinates x and y give rise on N_C to the transversal fibration dx and the unitary foliation dy (actually

a fibration with C as a multiple fiber of order dividing k). Let λ be a non zero complex number such that for every $\gamma \in \pi_1(C)$, $a_\gamma = \lambda_\gamma e^{\lambda_\gamma}$. Now, consider on N_C the foliations \mathcal{F}_0 and \mathcal{G}_0 respectively defined by

$$\alpha = dy + \lambda y dx \text{ and } \beta = \alpha - ck^2 y^{k+1} dx$$

whose tangency order along C agrees with that of \mathcal{F} and \mathcal{G} .

Considering the new variable $z = ye^{\lambda x}$ (a local first intergral for \mathcal{F}_0 which gives local model at $p = 0$), one easily checks that $(U, C, \mathcal{F}, \mathcal{G})$ and $((N_C, 0), C, \mathcal{F}_0, \mathcal{G}_0)$ have the same invariants and are thus equivalent. This gives the sought conjugation between U and $(N_C, 0)$. \square

4.4. Proof of Theorem 1.1. In view of the results proved in section 2 and 3, it remains to check that one obtains the right expression for the holonomies. Let us detail the proof for $p > 1$ (the remaining cases being settled similarly). With the notations and results of Proposition 2.5, one can choose a transversal (T, z) in restriction to which $\omega_0 = \frac{dz}{z^{k+1}} + \lambda \frac{dz}{z}$, so that $\varphi_\gamma(z) = \varphi_\gamma(z) = a_\gamma \cdot \exp(\sigma_{\omega_0}(\gamma)v_{k,\lambda})$, with $\sigma_{\omega_0}(1) = 0$ and $\sigma_{\omega_0}(\tau) = 1$. Up to multiply ω_∞ by a constant, one can suppose that $\sigma_{\omega_\infty}(1) = 1$ and $\sigma_{\omega_\infty}(\tau) = \tau$. Then ω_t ($t \in \mathbb{C}$) in restriction to (T, z) has the form $\omega_t = \frac{dz}{z^{k+1}} + \lambda \frac{dz}{z} + t\alpha \frac{dz}{z^{p+1}} dz + o(1)$, $\alpha \neq 0$. Therefore, the $k+1$ jet of the formal vector field v_t dual to ω_t coincide with that of $v_{k,\lambda}$. Using again item (2) of Proposition 2.5, one obtains that the $k+1$ jet of the holonomy of \mathcal{F}_t along $\gamma \in K$ is given by the truncation at order $k+1$ of $\exp[(1+t)(\sigma_{\omega_0}(\gamma)v_{k,\lambda})]$. By the comparison relation (4.5) ($\theta = 0$), we indeed obtain for every $\gamma \in \Gamma$, that the $k+1$ jet of the holonomy of \mathcal{F}_t along γ coincide with the $k+1$ jet of $a_\gamma \exp[(1+t)(\sigma_{\omega_0}(\gamma)v_{k,\lambda})]$. We thus have the sought relation for the expression of the holonomy of \mathcal{F}_t , $t \in \mathbb{C}$. We conclude along the same line in order to justify the computation of the holonomy of \mathcal{F}_∞ .

Remark 4.14. *Theorem 1.2 of the introduction and more particularly its realization part is just a particular case of Theorems 4.4 and 4.11.*

5. FORMAL CLASSIFICATION OF NEIGHBORHOODS

As recalled in the introduction, (U, C) is formally linearizable as soon as N_C has infinite order. We detail below the torsion cases.

5.1. The case of fibrations. Let (U, C) be a neighborhood. Assume that C is a smooth (but possibly non reduced) fiber of an analytic fibration $f : U \rightarrow \Delta$ (with $\Delta \subset \mathbb{C}$ the unit disc): $f^{-1}(0) = mC$ and N_C is torsion of order m , for some $m \in \mathbb{Z}_{>0}$.

Proposition 5.1. *Let (U, C) an analytic neighborhood with a fibration. Are equivalent:*

- *the fibration $f : U \rightarrow \Delta$ is isotrivial,*
- *there is a formal fibration $x : U \rightarrow C$ transversal to C ,*
- *$\widehat{\text{Fol}}(U, C)$ is not reduced to the fibration,*
- *$(U, C) \simeq (N_C, 0)$ is formally linearizable,*
- *$(U, C) \simeq (N_C, 0)$ is analytically linearizable.*

Proof. If (U, C) admits an analytic fibration transversal to C , then it is linearizable (use $x : U \rightarrow C$ and $f : U \rightarrow \Delta$ as a pair of global coordinates). If (U, C) admits a formal fibration transversal to C , then there exists one analytic by Grauert Comparison Theorem [7]. If $\mathcal{F} \in \widehat{\text{Fol}}(U, C)$ is distinct from the fibration, then it is transversal to it outside of C , inducing a fibration transversal to other fibers; the fibration is therefore isotrivial and the

neighborhood linearizable. On the linear neighborhood $(N_C, 0)$, there are many foliations due to the size of automorphism group

$$(x, f) \mapsto (x + \varphi(f), \psi(f))$$

but modulo this group, all foliations are diffeomorphic to

$$df = 0, \quad \lambda \frac{df}{f} + dx = 0 \quad \text{or} \quad \frac{df}{f^{k+1}} + \lambda \frac{df}{f} + dx = 0.$$

Indeed, given a foliation $\mathcal{F} \in \widehat{\text{Fol}}(U, C)$, it is globally defined by

$$df = \sum_{n \geq 0} \alpha_n f^n$$

where α_n are holomorphic 1-forms on C , therefore of the form $\alpha_n = a_n dx$. Therefore, we can rewrite

$$\frac{df}{\sum_n a_n f^n} - dx = 0$$

(or $df = 0$ if all $a_n = 0$) and then normalize the meromorphic 1-form by a change of f -coordinate. In particular, we deduce that all representations are linearizable (in the logarithmic case) or normalizable (in the irregular case). \square

In the non isotrivial case, we have:

Theorem 5.2. *Let (U, C) having a non isotrivial fibration $f : U \rightarrow \Delta$. Then (U, C) is conjugated to one and only one of the following analytic neighborhood $F_n(a_1, a_\tau)$, $n \geq 1$:*

$$\begin{cases} (x, y) & \sim & (x + 1, a_1 y) \\ (x, y) & \sim & (x + \tau + y^n, a_\tau y) \end{cases}$$

Moreover, the conjugation can be made analytic.

Proof. Under the assumptions of Theorem 5.2, Ueda has shown that C is a (maybe multiple) fiber of some *analytic* fibration ([24, Theorem 3, p.596]) and the remainder follows from classical Kodaira-Spencer theory. Remark that for $n > 0$, there exists a transverse fibration on the n^{th} infinitesimal neighborhood $C_{(n)}$, but not on $C_{(n+1)}$, which is a way to prove that F_n and $F_{n'}$ are formally distincts whenever $n \neq n'$. \square

5.2. The case N_C torsion and $\text{utype}(C)$ finite. The combination of Theorem 3.1 and Proposition 2.5 shows that holonomy representation of \mathcal{F}_0 takes the form in a convenient formal transversal (T, y) :

$$(5.1) \quad \rho_{\mathcal{F}_0} : \begin{cases} 1 \mapsto a_1 y \\ \tau \mapsto a_\tau \exp(v_{k,\lambda}) \end{cases} \quad \text{with} \quad v_{k,\lambda} = \frac{y^{k+1}}{1 + \lambda y^k} \partial_y$$

where (a_1, a_τ) is the m -torsion monodromy of the unitary connection on N_C , $k = mk'$ is the Ueda type of (U, C) , $k' \in \mathbb{Z}_{>0}$, and $\lambda \in \mathbb{C}^*$.

5.2.1. The case \mathcal{F}_∞ is a fibration transversal to C . This is the classical suspension case: there are no other invariants and the neighborhood (U, C) can be defined as the quotient of the germ $(\tilde{U}, \tilde{C}) := (\mathbb{C}_x \times \mathbb{C}_y, \{y = 0\})$ by the group generated by

$$\begin{cases} (x, y) & \sim & (x + 1, a_1 y) \\ (x, y) & \sim & (x + \tau, a_\tau \exp(v_{k,\lambda})) \end{cases}$$

and the pencil of foliations (or closed 1-forms) is generated by

$$\omega_0 = \frac{dy}{y^{k+1}} + \lambda \frac{dy}{y} \quad \text{and} \quad \omega_\infty = dx.$$

5.2.2. *The case \mathcal{F}_∞ is a logarithmic foliation tangent to C .* This is the case $p = 0$ in Theorem 1.1:

$$(5.2) \quad \rho_{\mathcal{F}_\infty} : \begin{cases} 1 \mapsto a_1 e^c y + o(y) \\ \tau \mapsto a_\tau e^{c\tau} y + o(y) \end{cases} \quad \text{with } c \in \mathbb{C}^*.$$

There are no other formal invariants in this case. In fact, let

$$\varphi_{k,\lambda} := \exp(v_{k,\lambda}) \quad \text{and} \quad g_{k,\lambda}(y) := \frac{1}{c} \int_0^y \frac{dt}{t} - a_\tau \varphi_{k,\lambda}^* \frac{dt}{t}.$$

Then we have

Theorem 5.3. *If $0 = p < k$, then the neighborhood (U, C) is formally equivalent to the quotient of $(\tilde{U}, \tilde{C}) := (\mathbb{C}_x \times \mathbb{C}_y, \{y = 0\})$ by the group generated by*

$$(5.3) \quad \begin{cases} \phi_1(x, y) &= (x + 1, a_1 y) \\ \phi_\tau(x, y) &= (x + \tau + g_{k,\lambda}(y), a_\tau \varphi_{k,\lambda}(y)) \end{cases}$$

and the pencil $\omega_t = \omega_0 + t\omega_\infty$ of closed 1-forms is generated by

$$(5.4) \quad \omega_0 = \frac{dy}{y^{k+1}} + \lambda \frac{dy}{y} \quad \text{and} \quad \omega_\infty = c dx + \frac{dy}{y}$$

with $c \in \mathbb{C}^*$. The holonomy representation of the pencil $\mathcal{F}_t : \{\omega_t = 0\}$ is given by

$$(5.5) \quad \rho_{\mathcal{F}_t} : \begin{cases} 1 \mapsto a_1 \exp(tv_{k,\lambda+t}) \\ \tau \mapsto a_\tau \exp((t\tau + 1)v_{k,\lambda+t}) \end{cases} \quad \text{and} \quad \rho_{\mathcal{F}_\infty} : \begin{cases} 1 \mapsto a_1 e^c y \\ \tau \mapsto a_\tau e^{t\tau} y \end{cases}$$

when computed on the transversal $(T = \{x = 0\}, y)$.

Proof. The linear part of the holonomy (5.2) of \mathcal{F}_∞ is not torsion; following Theorem 2.2, $\rho_{\mathcal{F}_\infty}$ can be linearized by formal change of coordinate. Using equivalence relation (4.2), this can be done without modifying the normal form (5.1) for the holonomy of \mathcal{F}_0 . It follows that λ and c are the only formal invariants in the case $0 = p < k$. The list of formal models (5.3) for (U, C) is obtained in a similar way that realization part of the proof of Theorem 4.4. We first start with models (5.4) on (\tilde{U}, \tilde{C}) and look for transformations of that neighborhood preserving \tilde{C} and inducing the translation lattice Γ_τ on it, and commuting with the 1-forms ω_0 and ω_∞ . Commutation with ω_0 with holonomy constraint (5.1) shows that

$$\begin{cases} \phi_1(x, y) &= (x + 1 + f(x, y), a_1 y) \\ \phi_\tau(x, y) &= (x + \tau + g(x, y), a_\tau \varphi_{k,\lambda}(y)) \end{cases}$$

with f, g holomorphic, vanishing along $\tilde{C} : \{y = 0\}$. Now, invariance of ω_∞ gives $f = 0$ and $g = g_{k,\lambda}$. We check that the monodromy of first integrals $f_0 = y$ and $f_t = ye^{cx}$ for ω_0 and ω_∞ on the quotient $(\tilde{U}, \tilde{C}) / \langle \phi_1, \phi_\tau \rangle$ are given by $\rho_{\mathcal{F}_0}$ and $\rho_{\mathcal{F}_\infty}$, so that, by the classification part of Theorem 4.4, we get formal equivalence:

$$(U, C, \mathcal{F}_0, \mathcal{F}_\infty) \sim (\tilde{U}, \tilde{C}, \mathcal{F}_{\omega_0}, \mathcal{F}_{\omega_\infty}) / \langle \phi_1, \phi_\tau \rangle.$$

□

5.2.3. *The general case* $0 < p < k$. We now have

$$(5.6) \quad \mathcal{F}_\infty : \begin{cases} a_1[z + cz^{p+1} + o(z^{p+1})] \\ a_\tau[z + c\tau z^{p+1} + o(z^{p+1})] \end{cases}$$

with $c \in \mathbb{C}^*$ and $0 < p < k$ with $p \in m\mathbb{Z}_{>0}$. Set $k = mk'$ and $p = mp'$. Given $P(z) = \sum_{i=0}^{p'} \lambda_i z^i$ a polynomial of degree p' precisely, define

$$(5.7) \quad \omega_P := P\left(\frac{1}{y^m}\right) \frac{dy}{y}, \quad v_P := \frac{y}{P\left(\frac{1}{y^m}\right)} \partial_y \quad \text{and} \quad g_{k,\lambda,P}(y) := \int_0^y a_\tau \varphi_{k,\lambda}^* \omega_P - \omega_P.$$

The group $\mathbb{Z}_{k'}$ of k' th roots of unity acts on the set of polynomials P as follows:

$$(5.8) \quad (\mu, P(z)) \mapsto P(\mu^{-1}z)$$

Theorem 5.4. *If $0 < p < k$, then there exist $\lambda \in \mathbb{C}$ and $P \in \mathbb{C}^{p'} \times \mathbb{C}^*$ unique up to the \mathbb{Z}_k -action (5.8) such that (U, C) is formally equivalent to the quotient of $(\tilde{U}, \tilde{C}) := (\mathbb{C}_x \times \mathbb{C}_y, \{y = 0\})$ by the group generated by*

$$(5.9) \quad \begin{cases} \phi_1(x, y) &= (x + 1, a_1 y) \\ \phi_\tau(x, y) &= (x + \tau + g_{k,\lambda,P}(y), a_\tau \varphi_{k,\lambda}(y)) \end{cases}$$

The pencil $\omega_t = \omega_0 + t\omega_\infty$ of closed 1-forms is generated by

$$(5.10) \quad \omega_0 = \frac{dy}{y^{k+1}} + \lambda \frac{dy}{y} \quad \text{and} \quad \omega_\infty = dx - \omega_P.$$

The holonomy representation of the pair $(\mathcal{F}_0, \mathcal{F}_\infty)$ is given by

$$(5.11) \quad \rho_{\mathcal{F}_0} : \begin{cases} 1 \mapsto a_1 y \\ \tau \mapsto a_\tau \varphi_{k,\lambda}(y) \end{cases} \quad \text{and} \quad \rho_{\mathcal{F}_\infty} : \begin{cases} 1 \mapsto a_1 \exp(v_P) \\ \tau \mapsto a_\tau \exp(\tau v_P) \end{cases}$$

when computed on the transversal $(T = \{x = 0\}, y)$.

Proof. We first have to show that, under equivalence relation (4.7), we can reduce the holonomy of \mathcal{F}_∞ to the form (5.11). We can assume holonomy of \mathcal{F}_0 already normalized to (5.1), so that it remains to normalize the holonomy of \mathcal{F}_∞ by help of some $\psi \in \widehat{\text{Diff}}(\mathbb{C}, 0)$ with $\psi(y) = y + o(y^{k+1})$. Choose $\gamma \in \pi_1(C)$ such that a_γ has order m precisely, and consider $\varphi^\gamma := \rho_{\mathcal{F}_\infty}(\gamma)$: we have $\varphi^\gamma(y) = a_\gamma y + c_0 y^{p+1} + o(y^{p+1})$, for some $c_0 \in \mathbb{C}^*$. If $\psi(y) = y + cy^{n+1}$, then

$$\psi^{-1} \circ \varphi^\gamma \circ \psi(y) = \varphi^\gamma + a_\gamma c (1 - a_\gamma^n) y^{n+1} + o(y^{n+1}).$$

By iterating such conjugacies with increasing n , this allow us to kill successively all coefficients of φ^γ that are not of the form y^{qm+1} (since $(1 - a_\gamma^{qm}) = 0$). Therefore, we can now assume $\varphi^\gamma(y) = a_\gamma y(1 + y^p f(y^m))$; we note that a_γ commutes with φ^γ , and therefore with $\varphi_0 := y(1 + y^p f(y^m))$. We can write $\varphi_0 = \exp(v)$ for a unique formal vector field $v = y^{p+1} g(y^m) \partial_y$, with dual 1-form $\omega = \frac{h(y^m)}{y^{p+1}} dy$. Again, if $\psi(y) = y + cy^{n+1}$, we see that $\psi^* \omega = \omega - (ph(0)cy^{n-p-1} + o(y^{n-p-1})) dz$. By successive change of coordinates with $n = qm > p$, we can kill all positive coefficients of ω so that it remains only the principal part $\omega_P = P\left(\frac{dy}{y^m}\right) \frac{dy}{y}$ with $\deg(P) \leq p$. Finally, we have constructed some ψ conjugating ω with Ω_P , and therefore v to v_P , and φ_0 to $\exp(v_P)$. Since ψ commutes with $a_\gamma y$, it is also conjugating φ^γ to $a_\gamma \exp(v_P)$. By Theorem 2.2, the whole holonomy representation, being in the centralizer of φ^γ , must be normalized to $a \exp(tv_P)$ with $t \in \mathbb{C}$, $a^m = 1$. Here we use that v_P can be normalized to some $v_{p,\mu}$ by a convenient $\psi(y) = yu(y^m)$. We have thus reduced the pair of holonomy representations to the form (5.11). One easily checks that the reduction is unique except that we could have used ψ in

the centralizer of $\rho_{\mathcal{F}_0}$, i.e. $\psi(y) = ay + o(y^{p+1})$ with $a^m = 1$. This gives rise to an action of k^{th} roots of unity on the set of principal parts P , which actually factors via an action of k'^{th} roots of unity. This ends the formal classification. It remains to prove holonomy representations of the pair of foliations $(\mathcal{F}_{\omega_0}, \mathcal{F}_{\omega_\infty})$ defined by (5.10) on the quotient by (5.9) is indeed given by (5.11) so that we can conclude by classification part of Theorem 4.11. The holonomy of \mathcal{F}_0 computed on $x = 1$ is clearly given by (5.11). For \mathcal{F}_∞ , the holonomy along the loop $1 \in \Gamma_\tau$ is also clearly like (5.11), i.e. $\varphi^1 = a_1 \exp(v_P)$. Again, by Theorem 2.2, the whole holonomy representation $\rho_{\mathcal{F}_\infty}$, being in the centralizer of φ^1 , must be normalized to $a \exp(tv_P)$ with $t \in \mathbb{C}$, $a^m = 1$. We conclude with (1.5) in Theorem 1.1 that holonomy along $\tau \in \Gamma_\tau$ also fit with (5.11). \square

6. ABOUT THE ANALYTIC CLASSIFICATION

6.1. Criteria of convergence. If we start with a convergent foliation $\mathcal{F} \in \text{Fol}(U, C)$, then one might ask when the formal 1-form ω given by Corollary 2.3 is convergent. This is not true in general and one can find examples in [1] for instance. In fact, the convergence of ω is equivalent to the existence of a convergent $\varphi \in \text{Diff}(\mathbb{C}, 0)$ conjugating the holonomy group G to a subgroup of the models \mathbb{L} or $\mathbb{E}_{k,\lambda}$ (see Theorem 2.2). Here follow some criteria. The first one is a baby case of Bochner Theorem (see [12, §2.1]):

Proposition 6.1. *If $G \subset \text{Diff}(\mathbb{C}, 0)$ is finite, then it is conjugated to a subgroup of \mathbb{L} .*

The next is a direct consequence of Koenigs Theorem (see [12, §2.1]):

Theorem 6.2. *If $G \subset \text{Diff}(\mathbb{C}, 0)$ is not unitary, i.e. contains an element $f(z) = az + \dots$ with $|a| \neq 1$, then it is conjugated to a subgroup of \mathbb{L} .*

The last criterion is due to Écalle and Liverpool (see [12, §2.8.1]):

Theorem 6.3. *If $G \subset \text{Diff}(\mathbb{C}, 0)$ is resonant, i.e. has only elements $f(z) = az + \dots$ with $a^m = 1$ for some m , but is not virtually cyclic, then it is conjugated to a subgroup of $\mathbb{E}_{k,\lambda}$.*

Proof of Theorem 1.4. First observe that, due to Theorem 1.1, the holonomy of \mathcal{F}_t is virtually cyclic if, and only if $\frac{t}{1+t\tau} \in \mathbb{Q}$. On the other hand, if the holonomy $\rho_{\mathcal{F}_t}$ is not virtually cyclic, then Theorem 6.3 asserts that it preserves the (analytic) 1-form $\omega_{k,\lambda}$ and the closed 1-form ω defining \mathcal{F}_t constructed in Corollary 2.3 is convergent. If we have two such elements t_1, t_2 in the pencil, then the full pencil can be recovered by the pencil of convergent closed meromorphic 1-forms generated by ω_{t_1} and ω_{t_2} . Moreover, the holonomies $\rho_{\mathcal{F}_{t_1}}$ and $\rho_{\mathcal{F}_{t_2}}$ being analytically conjugated to its formal normal form (5.5) or (5.11), we can use classification part of Theorem 4.4 or (4.11) to conjugate (U, C) analytically to its formal model of Theorem (5.3) or (5.4).

Now, if 3 elements of the pencil are convergent, say $\mathcal{F}_{t_1}, \mathcal{F}_{t_2}, \mathcal{F}_{t_3}$, we can also recover the full pencil analytically by considering those foliations \mathcal{F}_t having constant cross-ratio with those 3: for each $c \in \mathbb{C} \setminus \{0, 1, \infty\}$ and at each point $p \in U \setminus C$ close enough to C , there is a unique direction $T_p \mathcal{F}$ such that

$$\text{cross-ratio}(T_p \mathcal{F}_{t_1}, T_p \mathcal{F}_{t_2}, T_p \mathcal{F}_{t_3}, T_p \mathcal{F}) = c.$$

This defines a foliation \mathcal{F} on the germ $U \setminus C$; let us show that it extends on the neighborhood of C . We check it in the case where all $\text{Tang}(\mathcal{F}_{t_i}, \mathcal{F}_{t_j}) = (k+1)[C]$ and leave as an exercise the case when one of the 3 foliations is \mathcal{F}_∞ with lower tangency $p+1$. In local coordinates (x, y) where C is defined by $\{y = 0\}$ and \mathcal{F}_{t_i} by $\frac{dy}{dx} = s_i(x, y)$, with say

$$(6.1) \quad s_1(x, y) = 0, \quad s_2(x, y) = y^{k+1} f(x, y) \quad \text{and} \quad s_3(x, y) = y^{k+1} g(x, y),$$

with $f, g, f - g \neq 0$, ($\Leftrightarrow \text{Tang}(\mathcal{F}_{t_i}, \mathcal{F}_{t_j}) = (k+1)[C]$)

the foliation \mathcal{F} is defined by

$$(6.2) \quad \frac{dy}{dx} = s(x, y) \quad \text{with} \quad c = \frac{\frac{s-s_1}{s_2-s_1}}{\frac{s-s_3}{s_2-s_3}} \Leftrightarrow s = \frac{s_1(s_2-s_3) - cs_3(s_2-s_1)}{(s_2-s_3) - c(s_2-s_1)}.$$

Clearly, if s_1, s_2, s_3 satisfy (6.1), then

$$\mathcal{F} : \frac{dy}{dx} = s = y^{k+1} \frac{c f g}{(c-1)f + g}$$

which is clearly holomorphic for all $c \neq 1 - g(0, 0)/f(0, 0)$. In particular, \mathcal{F} belongs to $\text{Fol}(U, C)$. For obvious reasons of cardinality, one can find among those c two foliations whose holonomy is not virtually cyclic and we can apply the previous reasoning. \square

6.2. Examples of huge moduli. Recall (see [12, Section 2]) that the moduli space of germs

$$(6.3) \quad \varphi(z) = az + \sum_{n>1} a_n z^n \in \text{Diff}(\mathbb{C}, 0), \quad |a| = 1$$

for analytic classification is infinite dimensional whenever a is periodic, or does not satisfy the Brjuno diophantine condition. Let us be more precise.

If $a = e^{2i\pi\alpha}$, $\alpha \in \mathbb{R} \setminus \mathbb{Q}$, denote by $\left(\frac{p_n}{q_n}\right)_{n \in \mathbb{Z}_{\geq 0}}$, $p_n, q_n \in \mathbb{Z}$, $q_n > 0$, the sequence of truncations of the continued fraction for α (see [19]): this is the fastest approximation sequence of α by rational numbers. Then Brjuno condition writes

$$(6.4) \quad (\mathcal{B}) : \sum_{n \geq 0} \frac{\log(q_{n+1})}{q_n} < \infty$$

and means that the approximation is not very fast. Brjuno proved that, for a satisfying condition (\mathcal{B}) , any germ (6.3) is analytically linearizable. On the other hand, Yoccoz proved the sharpness of this condition: for any a non periodic and not satisfying (\mathcal{B}) , then there exists non linearizable germs (6.3). More precisely, Yoccoz constructs examples with infinitely many periodic points accumulating on 0, and can even prescribe, for each periodic orbit, the multiplier of the return map (see [26, 19]), giving an infinite number of parameters of freedom. In other words, as soon as Brjuno condition (\mathcal{B}) is violated, the moduli space is infinite dimensional.

Corollary 6.4. *For certain non torsion bundles $L \in \text{Jac}(C)$ not satisfying diophantine condition (1.2), and for any $t_0 \in \mathbb{C}^*$, there exists an infinite dimensional family of non analytically equivalent neighborhoods (U, C) with $N_C = L$ and only \mathcal{F}_0 and \mathcal{F}_{t_0} are convergent in the formal pencil $\widehat{\text{Fol}}(U, C)$. In particular, the transversal fibration \mathcal{F}_∞ is divergent.*

The similar result with a convergent fibration, i.e. with $t_0 = \infty$, is well-known (see [1]) and can be obtained by suspension method.

Proof. Choose $N_C = L$ such that, in the linear model, the holonomy of the unitary foliation \mathcal{F}_0 (1.3) is cyclic, generated by $y \mapsto ay$ with a violating condition (\mathcal{B}) . Then we can realize all Yoccoz non linearizable dynamics as holonomy of \mathcal{F}_0 simultaneously with the linear holonomy for \mathcal{F}_{t_0} by using realization part of Theorem 4.4. If another formal foliations \mathcal{F}_{t_1} were convergent, then its holonomy representation (being non unitary)

would be linearizable by Theorem 6.2 and we could conclude that (U, C) was itself analytically linearizable by applying classification part of Theorem 4.4 to the pair $(\mathcal{F}_{t_0}, \mathcal{F}_{t_1})$; this would contradict that holonomy of \mathcal{F}_0 is not linearizable. In a similar way, if the formal transversal fibration \mathcal{F}_∞ were convergent, then (U, C) would be the suspension of the linear holonomy of \mathcal{F}_{t_0} , and therefore itself linearizable; contradiction again. \square

Diophantine condition (1.2) for the bundle N_C implies Siegel diophantine condition (see [19]) for the holonomy of its unitary foliation \mathcal{F}_0 . We do not know what is the optimal diophantine condition for N_C to be linearizable. There are two problems. First, we do not know what is the optimal condition on a pair $(a_1, a_\tau) \in \mathbb{C}^* \times \mathbb{C}^*$ such that there is a non linearizable abelian representation with these multipliers (see [17, 19]); when the group $\langle a_1, a_\tau \rangle$ is not cyclic, we might expect more constraint than just violating condition (B) for both a_1 and a_τ . Secondly, we do not know if there exists examples of neighborhoods (U, C) with divergent \mathcal{F}_0 , so that even assuming that a_1 or a_τ satisfies condition (B), we cannot conclude that all neighborhoods are linearizable.

Let us now turn to the torsion case $a^m = 1$. For each prescribed formal model $a \exp(v_{k,\lambda})$, there is an explicit moduli space of infinite dimension for the analytic conjugacy in $\text{Diff}(\mathbb{C}, 0)$. This has been described in various ways by Voronin, Écalle, Martinet, Ramis, Malgrange [25, 14, 5, 13] (see also [12, Section 2.7]): the moduli space is more or less identified with $\text{Diff}(\mathbb{C}, 0)^{2k}$. We have a similar description of the moduli space for representations $\pi_1(C) \rightarrow \mathbb{E}_{k,\lambda}$ as soon as the image is virtually cyclic, therefore completing the statement of Theorem 6.3 (see [12, Sections 2.8 and 2.10]).

Proof of Theorem 1.5. We assume $t_1, t_2 \in \mathbb{C}$ and let the case t_1 or $t_2 = \infty$ for the reader. Since the holonomy of \mathcal{F}_{t_1} is assumed to be virtually cyclic, there is a huge moduli of non analytically conjugated representations in $\text{Diff}(\mathbb{C}, 0)$. Using realization part of Theorem 4.11, we can realize such holonomy representations for \mathcal{F}_{t_1} simultaneously with the formal model for \mathcal{F}_{t_2} . After convenient conjugacy by a polynomial diffeomorphism $\phi \in \text{Diff}(\mathbb{C}, 0)$ of degree $k+1$, we can assume that the pair of holonomies coincide up to order k and fit with the formal invariants P of Theorem 5.4. \square

Remark 6.5. In case $\frac{t_i}{1+t_i\tau} \in \mathbb{Q}$, $i = 1, 2$, we can deform independently the two holonomy representations following the method presented in the proof of Theorem 1.5. Therefore, we can explicitly describe the moduli space of neighborhoods with 2 convergent foliations when N_C is torsion. However, in the non torsion case, even the moduli space of diffeomorphism germs of type (6.3) with a not satisfying (B) is totally unknown: even the regularity properties of its structure are widely open. We just know that we can inject Ecalle-Voronin moduli: it suffices, for each resonant germ ψ , to use Yoccoz method to construct a germ $\varphi(z) = az + o(z)$ with infinitely many periodic orbits accumulating on $z = 0$ with all return maps analytically equivalent to ψ .

APPENDIX: ACTION OF THE INVOLUTION ON FORMAL MODELS.

For each prescribed normal bundle N_C and $k = \text{utype}(U, C) < \infty$, we have given a list $(U_{\lambda,\Lambda}, C)$ of models for the classification up to formal equivalence inducing the identity on C (see (1.1)). If we relax the fact that ϕ induces the identity on C , we have to take into account the action of $\text{Aut}(C)$. First of all, the two closed meromorphic 1-forms ω_0 and ω_∞ given in (5.10) induce by duality two vector fields

$$v_0 = \partial_x \quad \text{and} \quad v_\infty = \frac{1}{\omega_0(v_\Lambda)}(\partial_x + v_\Lambda)$$

which generate a 2-dimensional group of symetries for $(U_{\lambda, \Lambda}, C)$ acting transitively on C and its complement $U \setminus C$; it induces an action by translation on C , but acting trivially on $H^1(C)$. On the other hand, $\text{Aut}(C)$ acts on $H^1(C)$ as a cyclic group of order $q = 2, 3, 4$ or 6 . We would like to investigate the induced action on the set of normal forms. Except the 3 exceptional configurations associated to $q = 3, 4, 6$, recall that $q = 2$ generically. For convenience, denote by $U(a_1, a_\tau, \lambda, \Lambda)$ the neighborhood $(U_{\lambda, \Lambda}, C)$ where (a_1, a_τ) generate the unitary monodromy on N_C (and therefore determine N_C), assuming that the group $\langle a_1, a_\tau \rangle$ has order $m = \text{ord}(N_C)$, and $k = \text{utype}(U, C)$ is the Ueda type. Note that we have a well defined action of \mathbb{C}^* on Λ defined, for $\xi \in \mathbb{C}^*$ by $\xi \cdot (\lambda_0, \lambda_1, \dots, \lambda_{k'-1}) = (\lambda_0, \xi^{-1} \lambda_1, \dots, \xi^{-(k'-1)} \lambda_{k'-1})$

Then one checks directly that the action of the involution induces a diffeomorphism

$$\phi : U(a_1, a_\tau, \lambda, \Lambda) \rightarrow U(a_1^{-1}, a_\tau^{-1}, -\lambda, -(\xi^m \cdot \Lambda))$$

defined by $(x, y) \mapsto (-x, \xi y)$, $\xi^k = -1$ (the Ueda type remains unchanged by this conjugacy map).

Remark 6.6. *In the exceptional cases $q > 2$ and when $\text{utype}(C) < \infty$, it is quite uneasy to explicit conjugacy map associated to transformation of the elliptic curve of finite order > 2 . However, it is still possible to describe equivalent normal forms by the bifoliated method, but this involves rather complicated formulae. As an example, the reader may amuse himself to prove that, in Theorem 5.4 and when $N_C = \mathcal{O}_C$, and for $\tau = i$:*

- (1) When $p = 1$, $U(\lambda, \Lambda) \sim U(-i\lambda - \lambda_0, i(\lambda_0, \xi^{-1}\lambda_1), 0, \dots, 0)$, $\xi = \exp 3i\pi/4$.
- (2) When $k = 3$, $p = 2$, $U(\lambda, \Lambda) \sim U(-i\lambda - \lambda_0, i(\lambda_0, -i\lambda_1 + \frac{\lambda_2^2}{2}, -\lambda_2))$

REFERENCES

- [1] V. I. Arnol'd, , *Bifurcations of invariant manifolds of differential equations, and normal forms of neighborhoods of elliptic curves*, Funkcional. Anal. i Priložen., **10** (1976) 1-12.
- [2] M. Brunella, *Birational geometry of foliations*, Publicações Matemáticas do IMPA, Instituto de Matemática Pura e Aplicada (IMPA), Rio de Janeiro, 2004, pp.iv+138.
- [3] C. Camacho and H. Movasati, *Neighborhoods of analytic varieties*, Monografías del Instituto de Matemática y Ciencias Afines, **35**, Instituto de Matemática y Ciencias Afines, IMCA, Lima; Pontificia Universidad Católica del Perú, Lima, 2003, pp.v+90.
- [4] B. Claudon, F. Loray, J. V. Pereira and F. Touzet, *Compact leaves of codimension one holomorphic foliations on projective manifolds*, arXiv:1512.06623 (2015).
- [5] J. Écalle, *Les fonctions résurgentes. Tome III : l'équation du pont et la classification analytique des objets locaux*, Publications Mathématiques d'Orsay, **85**, Université de Paris-Sud, Département de Mathématiques, Orsay (1985), pp. 587.
- [6] M. Falla Luza and F. Loray, *Projective structures and neighborhoods of rational curves*, in preparation.
- [7] H. Grauert, *Ein Theorem der analytischen Garbentheorie und die Modulräume komplexer Strukturen*, Inst. Hautes Études Sci. Publ. Math. **5** (1960) 5-64.
- [8] H. Grauert, *Über Modifikationen und exzeptionelle analytische Mengen*, Math. Ann. **146** (1962) 331-368.
- [9] Y. S. Il'yashenko, *Imbeddings of positive type of elliptic curves into complex surfaces*, Trudy Moskov. Mat. Obshch. **45** (1982) 37-67.
- [10] H. B. Laufer, *Normal two-dimensional singularities*, Annals of Mathematics Studies, No. 71, Princeton University Press, Princeton, N.J.; University of Tokyo Press, Tokyo, 1971, pp. xi+161
- [11] F. Loray, *Versal deformation of the analytic saddle-node*, Analyse complexe, systèmes dynamiques, sommabilité des séries divergentes et théories galoisiennes. II. Astérisque **297** (2004) 167-187.
- [12] F. Loray, *Pseudo-groupe d'une singularité de feuilletage holomorphe en dimension deux*, <https://hal.archives-ouvertes.fr/hal-00016434>, (2006).
- [13] B. Malgrange, *Travaux d'Écalle et de Martinet-Ramis sur les systèmes dynamiques*, Bourbaki Seminar, Vol. 1981/1982. Astérisque **92** (1982) 59-73.
- [14] J. Martinet and J.-P. Ramis, *Classification analytique des équations différentielles non linéaires résonnantes du premier ordre*, Ann. Sci. École Norm. Sup. (4) **16** (1983) 571-621.

- [15] M. B. Mishustin, *Neighborhoods of the Riemann sphere in complex surfaces*, Funktsional. Anal. i Prilozhen. **27** (1993) 29-41.
- [16] M. B. Mishustin, *On foliations in neighborhoods of elliptic curves*, Arnold Math. J. **2** (2016) 195-199.
- [17] J. Moser, *On commuting circle mappings and simultaneous Diophantine approximations*, Math. Z. **205** (1990) 105-121.
- [18] A. Neeman, *Ueda theory: theorems and problems*, Mem. Amer. Math. Soc. **81** (1989) 415 pp. vi+123.
- [19] R. Pérez Marco, *Solution complète au problème de Siegel de linéarisation d'une application holomorphe au voisinage d'un point fixe (d'après J.-C. Yoccoz)*, Séminaire Bourbaki, Vol. 1991/92. Astérisque **206** (1992) 273-310.
- [20] P. Sad, *Regular foliations along curves*, Ann. Fac. Sci. Toulouse Math. **8** (1999) 661-675.
- [21] V. I. Savel'ev, *Zero-type embeddings of the sphere into complex surfaces*, Mosc. Univ. Math. Bull. **37** (1982) 34-39.
- [22] O. Thom, *Classification locale de bifeuilletages holomorphes sur les surfaces complexes*, Bull. Braz. Math. Soc. (N.S.) **47** (2016) 989-1005.
- [23] O. Thom, *Thesis*, in preparation.
- [24] T. Ueda, *On the neighborhood of a compact complex curve with topologically trivial normal bundle*, J. Math. Kyoto Univ. **22** (1982/83) 583-607.
- [25] S. M. Voronin, *Analytic classification of germs of conformal mappings $(\mathbb{C}, 0) \rightarrow (\mathbb{C}, 0)$* , Funktsional. Anal. i Prilozhen. **15** (1981) 1-17.
- [26] J.-C. Yoccoz, *Théorème de Siegel, nombres de Bruno et polynômes quadratiques*, Petits diviseurs en dimension 1, Astérisque **231** (1995) 3-88.

UNIV RENNES 1, CNRS, INSTITUT DE RECHERCHE MATHÉMATIQUE DE RENNES (IRMAR) - UMR 6625, F-35000 RENNES, FRANCE

E-mail address: frank.loray@univ-rennes1.fr

E-mail address: frederic.touzet@univ-rennes1.fr

E-mail address: olivier.thom@univ-rennes1.fr