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1 **Non-destructive characterization of archaeological resins: seeking alteration criteria**  
2 **through vibrational signatures**

3  
4 Céline Daher <sup>1</sup>, Ludovic Bellot-Gurlet <sup>1,\*</sup>

5  
6 <sup>1</sup> Laboratoire de Dynamique, Interactions et Réactivité (LADIR), UMR 7075 CNRS - UPMC  
7 Université Pierre et Marie Curie Paris 6, 4 place Jussieu 75005 Paris, France. E-mail:  
8 cel.daher@gmail.com, ludovic.bellot-gurlet@upmc.fr; Tel : +33 1 44 27 36 18 ; Fax : +33 1  
9 44 27 30 21

10  
11

12 **Abstract**

13 Natural resins are prone, as all natural organic products, to ageing and degradation.  
14 Characterizing this alteration provides a better knowledge about their chemistry, and when  
15 they are involved in cultural heritage artefacts, it helps to define relevant conservation  
16 protocols. In this study, a set of archaeological African copals that present specific surface  
17 degradations and colors were analyzed by FT-Raman and ATR-IR spectroscopies. A  
18 methodology based on the modifications of the vibrational features between the bulk and the  
19 resins surface was define. A relation between the evolution of the molecular structure of the  
20 copal samples and some vibrational bands could be established; and possible ageing reactions  
21 occurring at the surface of the samples could be proposed. This specific surface aspect is  
22 actually related to an alteration, and the surface states and the colors variability are linked to  
23 different alteration degrees. This could refer to whether different conservation contexts  
24 (presence of air, water, etc), possible preparation or different origins: for example the  
25 collection of fresh or partly fossilized resins.

26

27 **Keywords**

28 FT-Raman, ATR-Infrared, archaeological resins, copal, ageing, spectral decomposition

29

30

31 **A. Introduction**

32 Natural resinous substances have been used, across time, for different purposes, and in  
33 different forms: in their raw form, such as frankincense or myrrh as incense <sup>1</sup>, or amber and  
34 copal for jewellery <sup>2,3</sup>; or different tree exudates for healing purposes or religious ceremonials

35 4,5. They also have been used after some treatments (heating, mixtures): as adhesives 6,7 or  
36 waterproofing materials for ceramics 8 or ship building 1,9 and finally as binders for paint 10 or  
37 part of a varnish composition 11,12.

38 Besides, as all natural substances, resinous materials are highly exposed to ageing and  
39 degradation, which constitute an issue when it comes to Cultural Heritage artefacts. It is thus  
40 important to characterize the alteration and degradation processes in order to have, on one  
41 hand, a better understanding of the past and present chemistry of these substances; and to be  
42 able, on the other hand, to make viable and accurate diagnoses about their conservation state.

43  
44 The identification of such substances is usually done by separative techniques often  
45 coupled to mass spectrometry 13,14 which nevertheless require a destructive sampling and a  
46 reasoned choice of analytical and/or pre-treatment conditions. However, working on cultural  
47 heritage artefacts often requests non destructive techniques that perform rapid analyses with  
48 slight experimental constraints. Vibrational spectroscopies are increasingly employed for  
49 identification purposes by setting up reference databases, 15,16 or to give spectroscopic clues to  
50 differentiate between organic substances 17,18,19,20,21,22 with some specific studies on  
51 archaeological resins 23,24. Indeed, when ancient organic materials are involved, the question  
52 of ageing and degradation is raised. The molecular modifications cause changes in the  
53 vibrational signatures, and it becomes difficult to define spectral databases of substances that  
54 evolve over time 25.

55  
56 Previous studies using Raman spectroscopy 4,26,27 tried to find ageing criteria for  
57 natural resins mostly based on two bands intensity ratio ( $I_{1650}/I_{1450}$ ) that evolves from a fresh  
58 resin to an aged one. However they have based their work on a corpus of resins from different  
59 chemical families, origins, size and aspects. This large variety of parameters complicates the  
60 study; their respective influence is difficult to demonstrate.

61  
62 This study focuses on artefacts with the same chemistry and origin, found in a same  
63 excavation site from the 13<sup>th</sup> century: copals from Africa and/or Madagascar found in Sharma  
64 (Yemen) 28. Besides, the altered and unaltered analyzed materials are part of a same sample,  
65 since their surface seems degraded, and the core of the resins has a fresh transparent aspect.  
66 Furthermore, among all the copals found in this excavation site, three groups could be  
67 formed, based on their colors and aspects. This difference in the appearance might be related  
68 to different surface alteration states, and was the motivation for this work. We tried then to get

69 chemical information about the alteration processes with samples matching different stages of  
70 the copal ageing.

71  
72 In this work, FT-Raman and IR-microATR analyses were performed on a set of  
73 copals, whether on the internal or external samplings. We applied the  $I_{1650}/I_{1450}$  ratio as an  
74 ageing criterion but the obtained trend was opposite to the one obtained by Winkler *et al.*<sup>26</sup>. In  
75 order to understand this evolution and to get more precise degradation criteria, but also to  
76 propose some chemical interpretations to the spectral changes, spectral decomposition  
77 calculations were performed on different regions of the Raman and IR spectra. Finally, some  
78 hypotheses on the chemical evolution of the copals molecular structures are presented, and a  
79 progression of the ageing with the colors and surface aspects of the resins is highlighted with  
80 a proposition of new indexes.

81

82

## 83 **B. Analyzed material**

### 84 **B.1. Samples**

85 Ten samples of archeological copal from a medieval site in Sharma (Yemen)<sup>28,29</sup> have  
86 been analyzed. They have been previously identified as eastern African and/or Madagascar  
87 copals by their molecular markers thanks to GC/MS and py-GC/MS<sup>30</sup>. This ensures, for the  
88 archaeological studied samples, a same biological origin and hence identical chemical  
89 characteristics. It is thus possible to attempt reliable statements about their degradation  
90 processes. These artefacts are raw fragments of copal which have a same hard and transparent  
91 bulk but different surface states and colors (Fig. 1): from yellow fragments with a thin dry  
92 surface, to orange fragments with a flaked-off surface and finally red fragments with a thick  
93 and very friable surface. Moreover, all the samples are wholly preserved, even sometimes  
94 with traces of sediments.

95

96 For these archaeological copals, a specific analyzing procedure was applied: small  
97 flakes from the internal and external parts of each artefact were sampled and separately  
98 analyzed to take into account this heterogeneity. Since the implemented analyses are non-  
99 destructive, each flake could be analyzed by FT-Raman then IR-microATR. For each  
100 fragments several points were analyzed and the very similar spectra were averaged in order to  
101 improve the signal-to-noise ratio.

102

## 103 **B.2. Chemical composition**

104 African and Madagascar copals are diterpenic resins that exude from trees of the  
105 *Leguminosae* family, and have as major components copalic and cis-ozic acids (Fig. 2) based  
106 on a labdane type skeleton<sup>31</sup>. Copals are known to be highly polymerized resins, and most of  
107 the time, a pyrolysis step is needed for the separative methods analyses<sup>32,33</sup>.

108

109

## 110 **C. Instrumentation**

### 111 **C.1. Raman spectroscopy**

112 To overcome the high fluorescence of such organic samples which prevent the  
113 observation of Raman scattering, we favor a near infrared excitation at 1064 nm from an Nd-  
114 YAG laser diode. The FT-Raman spectrometer is a Bruker RFS 100/S based on a Michelson-  
115 type interferometer and equipped with a liquid nitrogen-cooled Ge detector.

116

117 The samples were analyzed using a microscope interface with a 40x IR objective,  
118 allowing a spot size of 30  $\mu\text{m}$  approximately. In order to improve the collected Raman signal  
119 intensity, the samples were placed on a gold mirror. Laser power at the sample was adjusted  
120 at 250 mW (nominal power of 500 mW) and no damage or heating of the materials was  
121 observed. Spectra were recorded between 3500 and 50  $\text{cm}^{-1}$  with a 4  $\text{cm}^{-1}$  resolution and a low  
122 signal-to-noise ratio was achieved by accumulating 1500 scans.

123

### 124 **C.2. Infrared spectroscopy**

125 Non destructive micro-infrared spectroscopy analysis is carried out in micro  
126 Attenuated Total Reflectance mode (IR-microATR). Measurements by contact were  
127 performed with a 100  $\mu\text{m}$  diameter Germanium crystal tip (mono-reflection) fixed on the 20x  
128 objective of an IRscope II microscope which also allows the visualization of the analyzed  
129 area. The microscope is linked to a Bruker Equinox 55 spectrometer equipped with a liquid  
130 nitrogen-cooled MCT detector. Spectra between 4000 and 600  $\text{cm}^{-1}$  with a 4  $\text{cm}^{-1}$  resolution  
131 were recorded with 200 scans accumulated.

132

133

## 134 **D. Ageing characterization: methodology, results and discussion**

135

### 136 **D.1. The “vibrational features/molecular structures” relation**

137 Spectra from internal and external fragments were first compared to underline their  
138 main differences and the effect of degradation on their molecular structure. Figure 3 presents  
139 the FT-Raman and IR-ATR spectra representative of an externally red archaeological copal.  
140 On the FT-Raman spectrum (Fig. 3 a)) of the external part of the copal grain, in addition to a  
141 light fluorescence background due to the intense color of the surface, the bands are less  
142 intense and broader than the internal part one. The most important differences are located in  
143 the 1650-1500  $\text{cm}^{-1}$  region assigned to the stretching vibrations of the CC double bonds.  
144 Another region shows some decrease in the intensity, the CH stretching vibration massif,  
145 around 2900  $\text{cm}^{-1}$ . For the IR spectra (Fig 3 b)), some bands decrease, for example the band at  
146 887  $\text{cm}^{-1}$  assigned to the bending vibration of the CH bonds in vinyl groups, others increase,  
147 especially a band around 1580  $\text{cm}^{-1}$ , assigned to the C=C stretching vibration of aromatic  
148 groups. The alteration has also an impact on the CH stretching massif of the IR spectra,  
149 specifically the band at 3075  $\text{cm}^{-1}$  assigned to CH bonds in vinyl groups.

150

151 The various observations made on the FT-Raman and IR spectra global profiles of a  
152 red copal underline the chemical transformations that occurred within the copal surface. These  
153 modifications can be considered as alteration, and can also be related to the color of the resin:  
154 more colored is the sample, more altered it will be. Moreover, it is correlated to the sample  
155 surface aspect, from dry in appearance to brittle, highlighting a mechanical de-cohesion  
156 derived from a chemical transformation. It is thus interesting to have an improved description  
157 and a better understanding of these spectral evolutions, and try to find specific alteration  
158 criteria that can be easily obtained.

159

## 160 **D.2. Seeking degradation criteria**

161 Previous studies showed the possibility of characterizing the maturation of such resins  
162 using Raman spectroscopy, by a band intensity ratio: the stretching vibration of the C=C  
163 bonds band around 1650  $\text{cm}^{-1}$  with the deformation vibration of the C-H bonds band around  
164 1450  $\text{cm}^{-1}$  <sup>26,27</sup>. We have already seen that the latter does not show an important intensity  
165 variation with degradation, but the former is highly influenced by the alteration process and  
166 significantly decreases. However this ratio has different meanings according to the different  
167 cited studies. Winkler *et al.*<sup>26</sup> underline that the resin is rather “fresh” or unaltered for higher  
168 intensity ratio ( $I_{\text{vC=C}}/I_{\delta\text{C-H}}$ ) values, and that this ratio decreases with the sample age. Brody *et*  
169 *al.*<sup>27</sup> present the same ratio variation, but do not properly link it with the samples age. They

170 evoke the influence of environmental factors in the resins evolution, as well as the influence  
171 of the different chemical compositions from a diterpenic resin to another (botanical origin).

172

173 The comparison between the internal and external parts of the studied copals shows an  
174 inverse trend in this ratio (see Table 1 and Fig. 4). First, for the internal parts of the ten  
175 samples, the ratio is located between 0.89 and 1.15 with a mean value at 1.05 for a standard  
176 deviation of about 9%. According to Winkler *et al.*<sup>26</sup>, all our copals have about the same age.  
177 According to Brody *et al.*<sup>27</sup>, this very similar index value underlines comparable chemical  
178 states, which is consistent with the attested origin of our eastern Africa and/or Madagascar  
179 copals. However, both papers<sup>26,27</sup> do not specify the analyzed part of the samples (surface,  
180 bulk, or possible heterogeneities), but since they are interested by representative samples, we  
181 assume that only the “bulk” of their samples was considered. In our study, only one kind of  
182 resinous material was selected: same chemistry<sup>30</sup> and thus same geological origin.  
183 Furthermore, our aim is to compare the internal and external altered parts in order to follow  
184 this degradation process. The expected results are therefore not related to the age, the  
185 maturation or fossilization processes of the resin.

186

187 By comparing the index values for the external parts of the three groups of copals, it  
188 can be noticed first that for the yellow samples, the ratios for the external samplings are  
189 consistently lower than for internal ones. However, the ratio for the external samplings  
190 increases significantly from yellow to red samples (from 1 to 1.76), according to the  
191 established degradation progression. A deeper look at the Raman bands profile of the copals  
192 external parts (Fig. 4) in the 1800-1400 cm<sup>-1</sup> region, highlights a stable shape the 1500-1400  
193 cm<sup>-1</sup> area, while significant differences can be observed in the 1700-1500 cm<sup>-1</sup> region. For the  
194 yellow copals, the C=C stretching band is less intense (Fig. 4 a) and b)), and seems slightly  
195 larger than the internal part spectra. For the orange copals (Fig. 4 c)), the 1650 cm<sup>-1</sup> massif  
196 increases; and finally get very intense and broad for the red copals (Fig. 4 d)) with a  
197 maximum shifted to 1580 cm<sup>-1</sup>. We can thus underline a clear global increase of the intensity  
198 of the I<sub>1650</sub>/I<sub>1450</sub> ratio related to the surface degradation state of these copals. This ratio index  
199 is here considered as an alteration marker of the samples surface. Besides, the evolution of the  
200 index all along the degradation process is not strictly linear, since a slight decrease is  
201 observed between the external and internal parts of the less altered samples (yellow copal).

202

203            However, even if this index is easily calculated and appears to be related with the  
204 degradation state, more detailed information about the chemical processes that occur should  
205 be extracted thanks to a deeper analysis of the bands profile.

206

### 207 **D.3. Spectral decomposition, finding a general pattern**

208

#### 209 ***D.3.1. Fingerprint spectral region***

210            A specific methodology for the spectral decomposition process was adopted, with the  
211 aim of monitoring the bands evolution, and trying to define the bands, and thus the specific  
212 bonds, that are most affected by the ageing. It was first applied on the fingerprint region  
213 (1800-1400  $\text{cm}^{-1}$ ) since it seems to undergo several modifications, especially on the FT-  
214 Raman spectra. The spectra of all the internal and surface samples were truncated between  
215 1925 and 1395  $\text{cm}^{-1}$ , then a linear baseline was subtracted (set points: 1925, around 1515 and  
216 1395  $\text{cm}^{-1}$ ) and finally the cut spectra were normalized on the 1450  $\text{cm}^{-1}$  massif intensity,  
217 since it appears unchanged with the resin ageing (Opus software, Bruker).

218

219            The decomposition procedure (Asrel2 homemade software, LADIR) was defined  
220 according to several parameters: the number of bands and their position (P), the area (A), the  
221 full width at half maximum (W) and the profile (K) of the band (which equals 0 if Gaussian, 1  
222 if Lorentzian). The number and initial positions of the bands were determined by calculating  
223 the second derivative of the massif for each spectrum. Each minimum on the derivative  
224 indicates the position of a band. The same number of bands (11 bands) was defined for the  
225 internal and surface samples, 7 bands in the C=C vibration massif, and 4 bands in the C-H  
226 deformation massif. Concerning the adjustment procedure, it is based on a least squares  
227 method which adjusts the band parameters to fit the experimental spectrum.

228

229            Figure 5 illustrates the results of this procedure. For all the 10 internal samples, the  
230 decomposition result was the same, thus only one is presented (Fig. 5 a)). In general, for  
231 external samples same number of bands was obtained for all the fittings, but their position and  
232 profile differ from a sample group to another. Figure 6 shows a graph representing the  
233 evolution of the bands position between the surface sample fitting results and the internal  
234 sample ones, for the 3 groups of copals. For external samples (Fig. 5 b) to d)), it can be easily  
235 noticed that the positions of the 4 bands related to the C-H deformation massif are not really  
236 changing (bands 1 to 4), in contrast to the bands 5 to 11 that undergo important shifts

237 especially for the orange and red copals. Using this spectral region for the study of the resins  
238 ageing is therefore currently compromised by the difficulty of finding an objective generic  
239 fitting pattern for the Raman spectra of the internal and external parts. It is thus difficult to  
240 distinctly underline and follow the bands that evolve with the resin alteration, and even harder  
241 to link this evolution to specific vibrators in this spectral region.

242

### 243 *D.3.2. CH massif region*

244 It was therefore necessary to focus on another spectral area that show an evolution of  
245 its vibrational features with the resin alteration, in order to point out these bands and then  
246 correlate these changes to chemical modifications at the resins surface. As it was said before,  
247 the CH stretching vibration massif (centered at  $2900\text{ cm}^{-1}$ ) present several variations, whether  
248 on the IR or FT-Raman spectra. This region has been less studied, but can be very informative  
249 for substance discrimination<sup>34, 35</sup>, or to assess if its features evolutions are characteristics of  
250 some organic binders alteration<sup>36</sup>.

251

252 The previous spectral decomposition methodology was applied to this region: the  
253 spectra have been truncated, baseline subtracted, normalized, and finally fitted with 7 bands  
254 as observed on the second derivative for both Raman and IR spectra and all samples (see  
255 example on Fig. 7). It can be noticed that the minima observed on the second derivatives are  
256 in the same positions for the internal and external fragments spectra. These 7 bands  
257 (numbered from 12 to 18 to avoid any confusion with the  $1800\text{-}1400\text{ cm}^{-1}$  region bands) are  
258 assigned to C-H bonds in different chemical groups or environments: band 12 is assigned to  
259  $\nu(\text{CH})_s$  in  $\text{CH}_2$  groups; bands 13 and 14 to  $\nu(\text{CH})_s$  in  $\text{CH}_3$  or CH groups; band 15 to  $\nu(\text{CH})_{as}$  in  
260  $\text{CH}_2$  groups; band 16 to  $\nu(\text{CH})_{as}$  in  $\text{CH}_3$  groups; band 17 to  $\nu(\text{CH})$  in aromatic groups; and  
261 finally band 18 to  $\nu(\text{CH})$  in vinyl groups.

262

263 Comparing internal and external samplings signatures, the bands that generally vary  
264 the most are bands 12 and 18, at respectively  $2849$  and  $3081\text{ cm}^{-1}$  for Raman and IR data (Fig.  
265 7 c), colored bands). These two bands decrease significantly: indeed the  $2849\text{ cm}^{-1}$  band that  
266 appears thin and relatively intense on the internal fragment spectrum, is more like a shoulder  
267 on the external sample spectrum; and the  $3081\text{ cm}^{-1}$  band seems to decrease and gets broad,  
268 and tends to disappear. In order to quantify and characterize the spectral modifications, the  
269 fitting parameters (A, W and K) of the internal and external samples have been taken into  
270 account. Since the bands positions (P) are the same for the internal and external samples

271 spectra, it was not taken into account for these calculations. Figure 8 represents the calculated  
272 differences for the areas (A) and the width at half maximum (W). Globally, the parameters do  
273 not show the same progress for all the bands. For Raman and IR data, the A and W  
274 parameters of bands 14 and 15 present an about 10% variation between the surface and the  
275 core of the resins: these two bands are in the middle of the CH stretching massif, and are not  
276 strongly affected by the resin ageing. Bands 12 and 18 are the most affected by the surface  
277 alteration: the areas are highly decreasing (up to 80% for band 18 on the IR spectra), and the  
278 widths at half maximum are increasing (up to 100% for band 18). These remarks are directly  
279 related to previous qualitative observation on the spectra fittings: if a band decrease and gets  
280 broader, its intensity necessarily decreases. Finally, A and W for bands 13 and 17 are slightly  
281 increasing: it is probably due to the fitting procedure during which the bands fulfill the massif  
282 ends to optimize the spectral adjustment. Finally, figure 8 shows that the extreme values for  
283 the relative differences are for the red copals, which are the most altered resins of the corpus.  
284 The last parameter, K the band profile, tends to decrease for all the bands and all the samples,  
285 evolving towards a Gaussian profile.

286

287

#### 288 **D.4. Ageing and degradation: chemical interpretation**

289 Band 18 is the most changing band and is assigned to CH vibrations in exocyclic CC  
290 double bonds which are highly present in the copal major components molecular structure  
291 (see Fig. 2). The important decrease of this band could be explained by an oxidation of the  
292 C=C bonds, and a cyclization reaction. These phenomena can occur more or less moderate,  
293 depending on the conservation environments: a resin exposed to the air is more prone to  
294 oxidation than a buried resin in a dry and close climate. Such reactions can explain the  
295 broadening of the  $1630\text{ cm}^{-1}$  band on the Raman spectra and, on the IR spectra, the presence  
296 of a band around  $1580\text{ cm}^{-1}$ , and also the decrease of the  $887\text{ cm}^{-1}$  band, assigned to the  
297 bending vibration of olefinic CH. The two former observations can point out the formation of  
298 conjugated C=C aromatic bonds, which also explain the shift of the  $1650\text{ cm}^{-1}$  band to lower  
299 wavenumbers; and the coloration of the samples surface as well.

300

301 Band 12 is assigned to the symmetric vibration of CH bonds in aliphatic  $\text{CH}_2$  groups,  
302 and shows a relatively significant variation which can be related to cyclization reactions  
303 leading to the loss of  $\text{CH}_2$  aliphatic chains; or, if the surface is highly altered, to chain  
304 breaking, explaining the brittleness of the surface for some samples.

305

306 Finally, the decrease of the K parameter, describing the evolution to a Gaussian profile  
307 of most of the bands, illustrates the emergence of disorder within the altered material. Indeed,  
308 a vibrator has normally a Lorentzian shape, but when a kind of disorder appears (for example  
309 random chain breakings) the vibrators are no longer identical because of the new different  
310 structural environments. Their characteristics are then randomly dispersed around a mean  
311 value, introducing a Gaussian feature in the band profile.

312

313 Alteration indexes can also be calculated or drawn based on the CH massif  
314 decomposition parameters. Figure 9 shows two plots of different fitting parameters of the  
315 external samplings spectra, involving the full width at half maximum of various bands. As  
316 said before, bands 12 and 18 are the two bands that evolve the most within the CH massif, and  
317 band 15 is the central and most intense one, and is the less influenced by the alteration.  
318 Nevertheless, it evolves sufficiently to inform about the degradation state. We can notice, on  
319 these two plots, that the samples are sorted along the diagonal according to their colors, with  
320 an internal sample at the very beginning of the graphs, as a reference point. These parameters  
321 (or ratios) can thus be considered as new degradation indexes involving the CH massif  
322 features. It is thereby possible to monitor the copals alteration by considering the external  
323 parts of the samples. With this new approach, it appears that sampling is not necessary to  
324 characterize a sample degradation state; the analyses can be performed directly on the samples  
325 surface in a totally non destructive way.

326

327

## 328 **E. Conclusion**

329 The study of a collection of ancient copal artefacts from the same family tree and  
330 preserved in the same archaeological site allowed the observation of molecular scale  
331 alterations thanks to non destructive FT-Raman and IR-microATR spectroscopies. These  
332 artefacts, first classified by their apparent color (from yellow to red) and surface aspect (from  
333 dry to pulverulent, respectively), were sampled at their surface and in their bulk, enabling a  
334 direct comparison, and a characterization of their surface degradation. The restriction of the  
335 study to this samples set has prevented the introduction of additional parameters (variability  
336 of the chemical nature and uncertain origins) that can complicate the interpretations.

337

338 General observations of the vibrational signatures have underlined more important  
339 modifications of the molecular structure for the red copals than for the orange and yellow  
340 ones. The degradation state was first evaluated by FT-Raman using a previously proposed  
341  $I_{1650}/I_{1450}$  ratio<sup>26,27</sup>. However, in our study, this ratio has a reverse evolution; lower values are  
342 obtained for less altered samples, and the index increases with the degradation state. This  
343 questions the chemical processes documented by this index. Is it related to long term  
344 fossilization processes affecting the whole sample, or some alterations starting from the  
345 surface? To meet some further detailed studies are required in order to specify the role of each  
346 parameter (chemistry, environment, time, etc) and their possible interactions.

347  
348 Then, a relation between the copals molecular structures and the evolution of some  
349 vibrational features were investigated focusing of the spectral regions presenting the larger  
350 variations. Spectral decomposition was then implemented to identify and monitor the  
351 evolution of the bands assigned to specific structural features. A too important bands shift in  
352 the fingerprint region ( $1800-1400\text{ cm}^{-1}$ ) has hampered the built up of a unique decomposition  
353 model according to the resins degradation; and therefore the precise identification of the  
354 concerned bands and chemical bonds. However, the treatment of the CH stretching region  
355 ( $3100-2800\text{ cm}^{-1}$ ) has underlined bands variations that follow the resins degradation state.  
356 Hypotheses on the chemical evolution of copal with ageing were then proposed, as well as  
357 other indexes involving the CH massif.

358  
359 Moreover, knowing that these copals found in Yemen are from eastern Africa and/or  
360 Madagascar, they are a testimony of the ancient commercial routes during the 13<sup>th</sup> century,  
361 and their aspects and their conservation states might then be related to specific collection and  
362 exploitation modalities. Are the resins freshly collected or gathered from partly fossilized  
363 deposits, or have they undergone some possible treatments? The conservation state could  
364 underline here if one or several geographical or technical origins are involved for these  
365 copals. Further studies with direct dating of the artefacts should bring further information.

366  
367 Non destructive analytical approaches, with Raman and/or IR spectroscopies, could  
368 contribute to the study of resins degradation mechanisms, and propose ageing characterization  
369 and monitoring for conservation purposes. As resins are involved in various cultural heritage  
370 objects (in raw form, or in preparations as varnishes) such diagnostic tools could be easily  
371 implemented by respecting the conservation constraints.

372

373

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381

382

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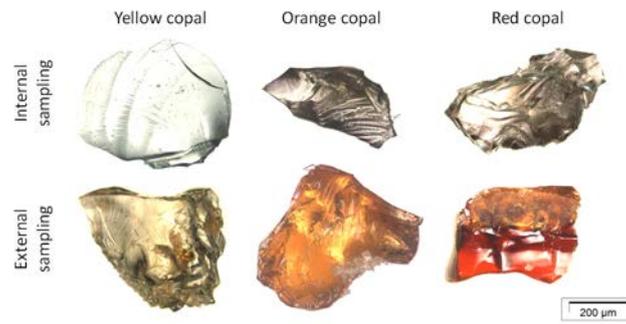
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Sample		$I_{\nu(C=C)} / I_{\delta(C-H)}$	
		Internal	External
Yellow copals Dry surface	MR1210	0.94	0.83
	MR1212	0.89	0.85
	MR1211	1.09	0.94
	MR1213	1.13	1.07
Orange copals	MS5673	1.11	1.21
	MS5676	1.07	1.25
Red copals Pulverulent surface	MR1225	1.10	1.29
	MR1226	1.15	1.31
	MR1214	0.91	1.33
	MR1234	1.07	1.76

Table 1: Intensity ratio of the  $1650\text{ cm}^{-1} / 1450\text{ cm}^{-1}$  massifs ( $I_{\nu(C=C)} / I_{\delta(C-H)}$ ) calculated for internal and external parts of 10 copals samples with different external aspects. The intensities were measured at the most intense point of each whole massif, and not at a specific wavenumber.

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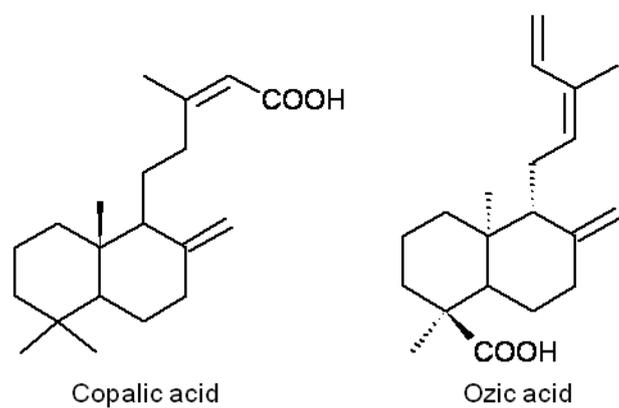
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473 Fig. 1: Representative samples of the studied copals illustrating the external aspect variability

474 (Samples refs: MR1211, MS5673, MR1234).

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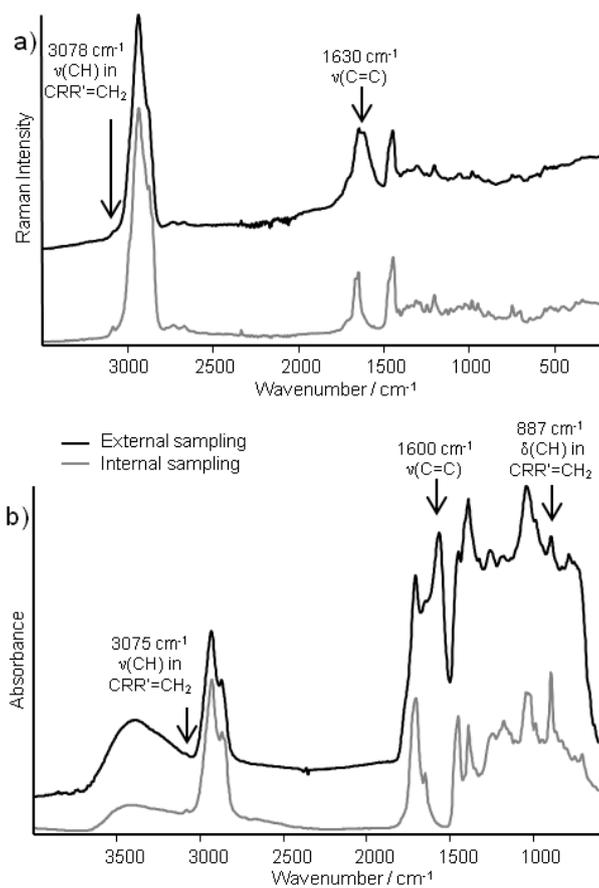
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478 Fig. 2: Molecular structure of the majors components of Africa and Madagascar copals. See  
479 text (part D.4) for a detailed description of the functions affected by alteration and monitored  
480 by vibrational spectroscopy.

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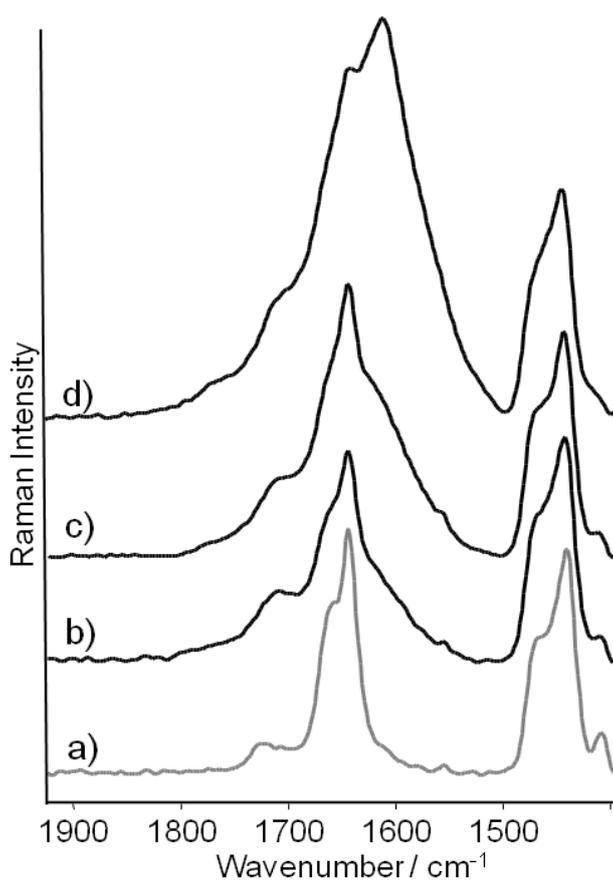


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485 Fig. 3: a) FT-Raman and b) ATR-IR spectra from internal (gray line) and external (black line)

486 parts of an externally red sample (Ref: MR1214).

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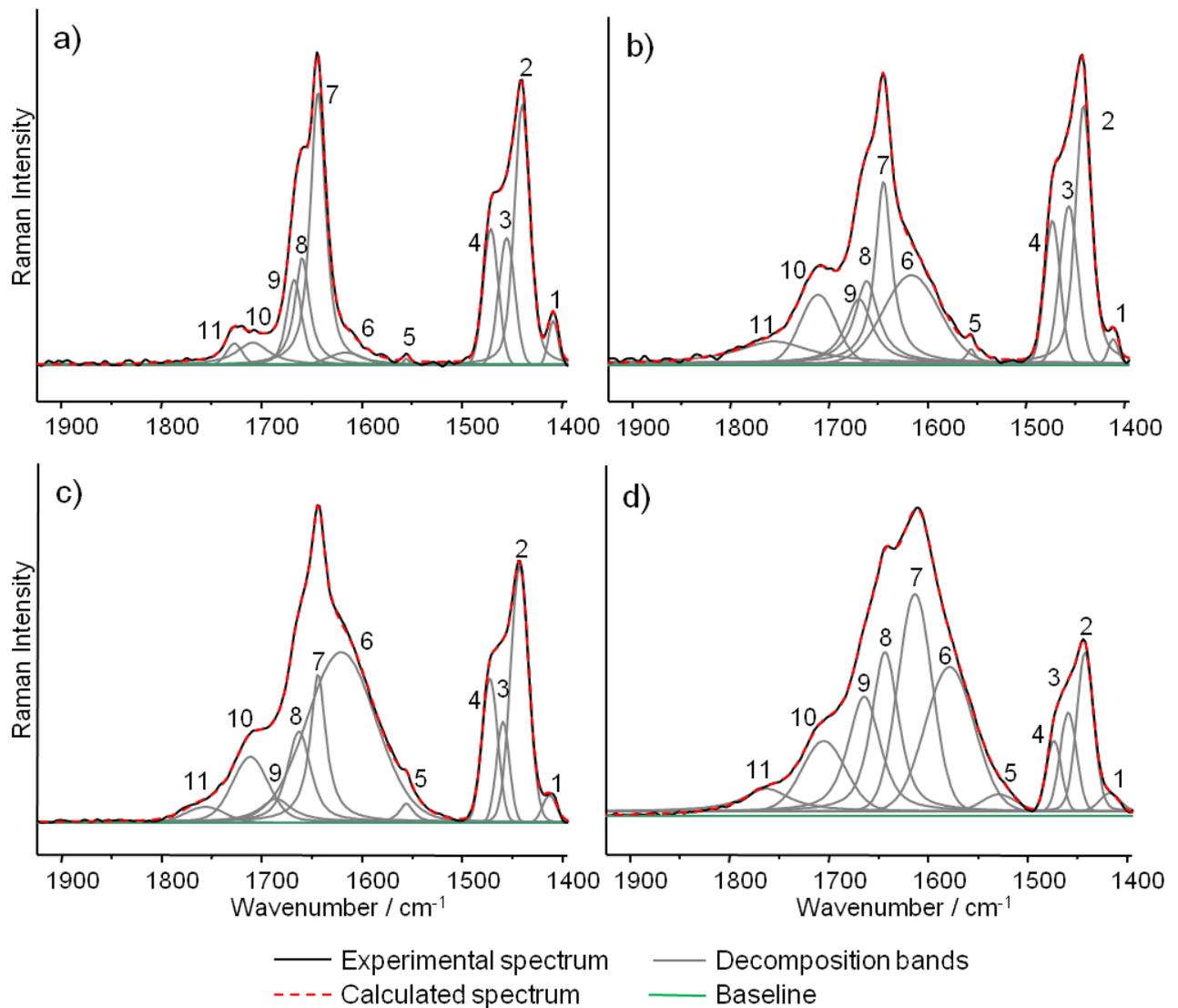


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491 Fig. 4: Representative FT-Raman spectra of 3 copals samples, one from each group: a) yellow  
492 (MR1211) – internal sampling, b) yellow (MR1211) – external sampling, c) orange (MS5673)  
493 – external sampling, and d) red (MR1234) – external sampling. The spectra have all been  
494 normalized on the  $1450\text{ cm}^{-1}$  band intensity in order to monitor the  $1650\text{ cm}^{-1}$  band evolution.

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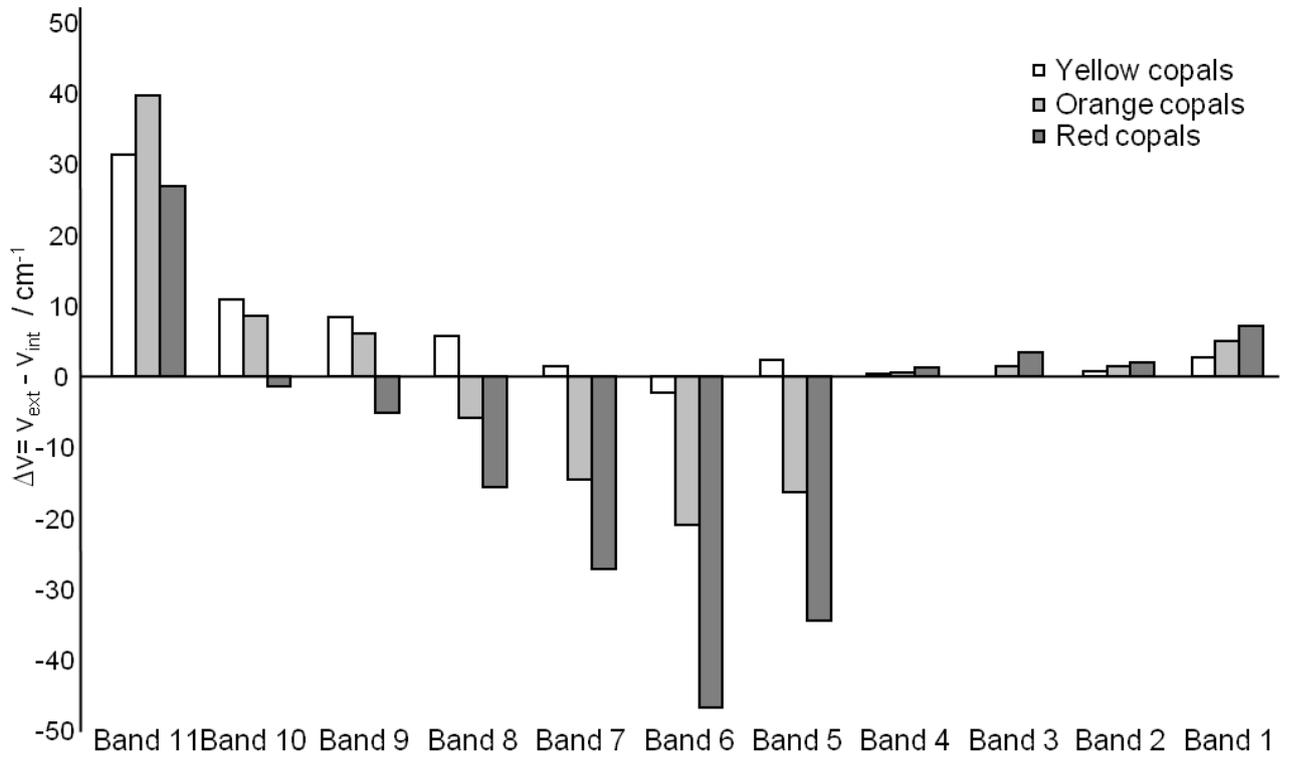
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498 Fig. 5: Representative band decomposition of FT-Raman spectra for: a) yellow (MR1211) -  
 499 internal sampling, b) yellow (MR1211) - external sampling, c) orange (MS5673) - external  
 500 sampling and d) red (MR1234) - external sampling (same samples as Fig. 4). Decomposition  
 501 bands are numbered from 1 to 11 according to their increasing positions.

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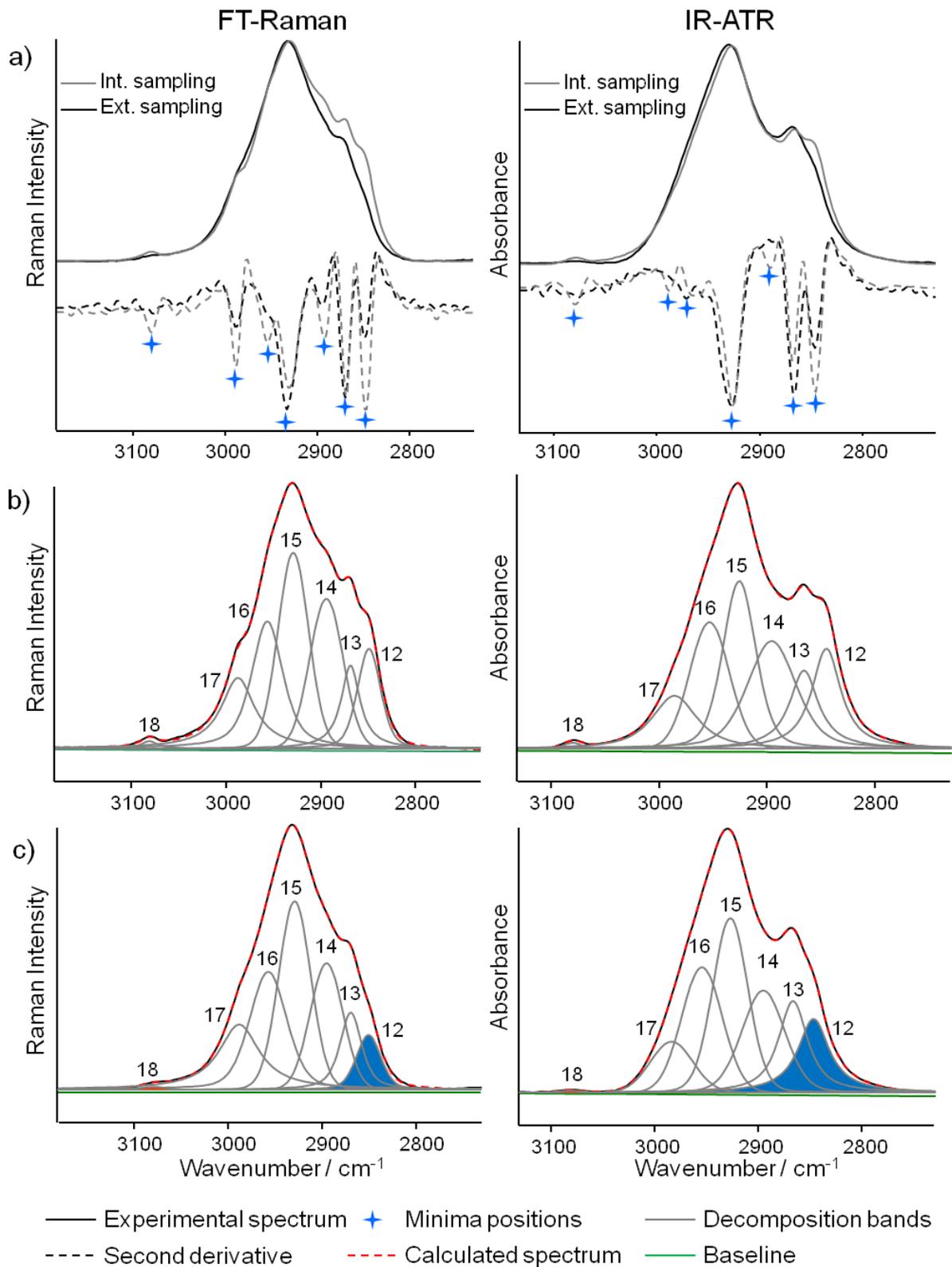
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507 Fig. 6: Difference between the external and internal sample bands positions ( $\Delta v$ ) of the 11

508 decomposition bands of the 1900-1400  $\text{cm}^{-1}$  region. These are mean values for each group.

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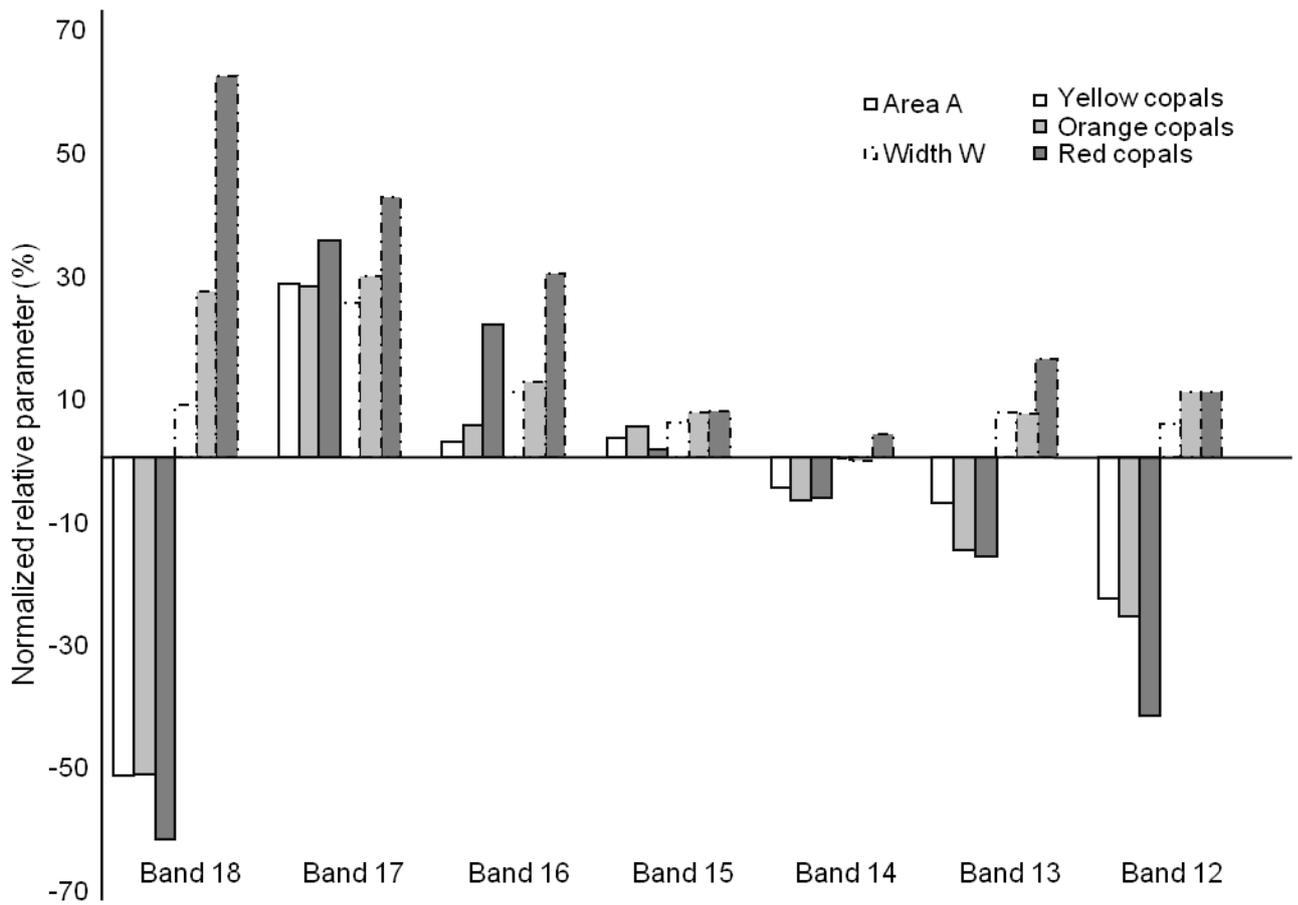
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512 Fig. 7: ATR-IR and FT-Raman CH massifs for internal and external parts of a red sample  
 513 (MR1214). a) Spectra and their second derivative, b) Decomposition of Raman and IR spectra  
 514 of its internal part. c) Decomposition of Raman and IR spectra of its external part (colored  
 515 bands are the most modified during ageing).

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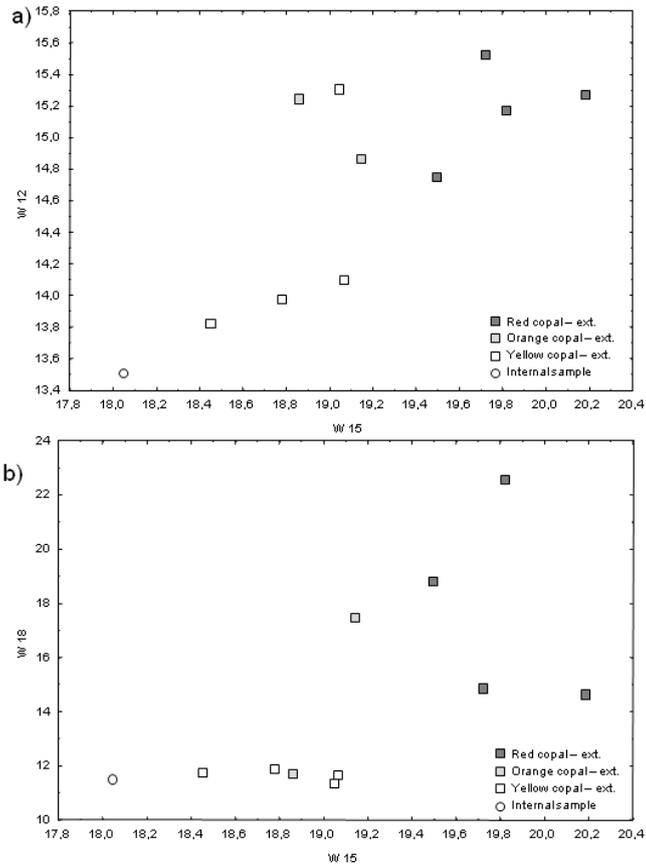
519 Fig. 8: Evolution of some bands parameters (the area A, and the width at half maximum W)

520 between internal and external samplings, mean values for the 3 groups of copals. These

521 normalized relative differences were calculated by the following equation (for each parameter

522 i):  $(i_{int.} - i_{ext.}) \times 100 / i_{int.}$

523



524

525

526 Fig. 9: Plots of parameters extracted from the CH massif band decomposition. A progression

527 in the colors can be observed on the diagonal of each graph which could define new alteration

528 indexes. a) Full width at half maximum of band 12 (W12) versus W15, and b) W18 versus

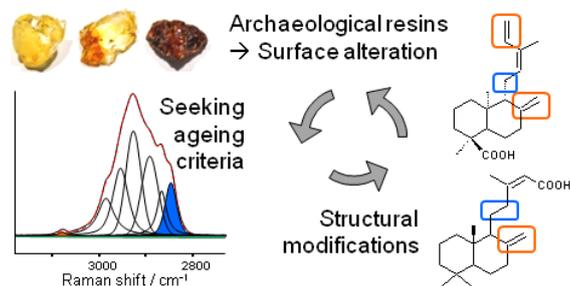
529 W15.

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531 **Graphical TOC**

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536 New insights about copal degradation mechanisms are introduced and relevant analytical  
537 strategies for resinous substances through non destructive approaches by FT-Raman and  
538 ATR-IR are presented.

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