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# A multi-body optimization framework with a knee kinematic model including articular contacts and ligaments

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### 15 Abstract:

Multi-body optimization is one of the methods proposed to reduce the errors due to soft-tissue artifact in gait analysis based on skin markers. This method uses a multi-body kinematic model driven by the marker trajectories. The kinematic models developed so far for the knee joint include a lower pair (such as a hinge or a spherical joint) or more anatomical and physiological representations including

20 articular contacts and the main ligaments. This latter method allows a better representation of the joint constraints of a subject, potentially improving the kinematic and the subsequent static and dynamic analyses, but model definition and mathematical implementation can be more complicated.

This study presents a mathematical framework to implement a kinematic model of the knee featuring articular contacts and ligaments in the multi-body optimization. Two penalty-based methods
(minimized and prescribed ligament length variations) consider deformable ligaments and are compared to a further method (zero ligament length variation) featuring isometric ligaments. The multi-body optimization is performed on one gait cycle for five asymptomatic male subjects by means of a lower limb model including the foot, shank, thigh and pelvis. The mean knee kinematics, ligament lengthening and contact point positions are compared over the three methods. The results are also consistent with results from the literature obtained by bone pins or biplane fluoroscopy. Finally, a sensitivity analysis is performed to evaluate how the joint kinematics is affected by the weights used in

the penalty-based methods.

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The approach is purely kinematic, since the penalty-based framework does not require the solution of the joint static or dynamic analyses and makes it possible to consider ligament deformations without the definition of ligament stiffness that generally cannot be identified through in-vivo measurements. Nevertheless, as far as a knee kinematic model is concerned, particularly in musculoskeletal modeling, this approach seems a good compromise between standard non-physiological kinematic models and complex deformable dynamic models.

### Introduction 1 40

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Motion analysis techniques aim at measuring the motion of a subject bones during a considered motor task. A common technique makes use of skin markers, whose positions in space are measured by means of optoelectronic cameras. This technique is not invasive and can be extended to all limbs of the human body, but the relative displacement between markers and bones, known as soft-tissue artifact (STA) [1-3], introduces large errors and inconsistency in this kinematic estimation. Several

methods exist to compensate for STA, such as multi-body optimization (MBO) [4-7]. MBO performs a constrained minimization of the distances between the measured skin marker positions and those determined according to a pre-defined kinematic model of the limb. Various

- kinematic models have been proposed for the joints of the lower limb in this perspective, from simple 50 mechanical joints (hinge, spherical, universal joint) [4-6] to joints with a higher complexity such as parallel mechanisms [7] that introduce more anatomical and physiological degree of freedom (DoF) couplings. Some of the knee parallel mechanisms developed so far [8-12] includes two tibio-femoral contacts and three isometric ligaments: anterior cruciate ligament (ACL), posterior cruciate ligament
- 55 (PCL) and medial collateral ligament (MCL). They represent an extension in 3D of the classical 2D four-bar mechanism [13-14]. These models proved a high accuracy for subject-specific knee motion replication both in vitro [11] and in vivo [15] and can be easily extended to perform static and dynamic analyses [16-19] that take into account ligament and contact forces separately. However, as they have only one independent DoF, the inter/intra-subject motion variability in the MBO requires 60 subject-specific geometrical identification of the model parameters that need particular attention in invivo measurements [15]. Moreover, the isometric ligament hypothesis cannot represent ligament length variations during flexion, in particular when loads are applied [20-24]. Four deformable ligaments, namely the ACL, PCL, MCL and lateral collateral ligament (LCL), were also introduced in the knee kinematic model based on parallel mechanisms [25]. Two different methods were proposed: in the first one, the ligament length variations were minimized; in the second one, prescribed ligament
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length variations as a function of knee flexion angle were taken as objective of the optimization. Deformable ligaments made it possible to overcome some limitations of isometric ligaments. In general, the use of anatomical knee models based on parallel mechanisms provided encouraging results [15, 25] in terms of reduction of errors due to STA, at the expense of a more complicated mathematical implementation with respect to standard mechanical joints. However, the physiological

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mathematical implementation with respect to standard mechanical joints. However, the physiological behavior of these knee models in terms of ligament lengthening and contact point positions was not investigated so far.

In this paper, a mathematical framework based on penalty methods is presented to implement deformable ligaments and articular contacts in the MBO. The approach is purely kinematic, since the penalty-based framework does not require the solution of the joint static or dynamic analyses: this aspect reduces the computational burden. Moreover, ligament deformations can be considered without the definition of ligament stiffness, which generally cannot be identified on a subject for in-vivo measurements. For comparison purpose, MBO is performed also with the same knee kinematic model featuring three isometric ligaments, as previously proposed [7, 25]. The MBO using this knee
80 kinematic model with zero (ΔL<sub>0</sub>), minimized (ΔL<sub>min</sub>) or prescribed (ΔL<sub>0</sub>) ligament length variations was applied to the gait of five healthy subjects by means of a whole lower limb model and the results of knee kinematics, ligament lengthening and contact point positions were compared. Finally, a sensitivity analysis is performed to evaluate the effect on kinematics of weights used in the penalty-based framework.

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## 2 Material & Method

### 2.1 Knee kinematic model

The knee model used in this study is composed of two sphere-on-plane contacts (representing the two contacts between the femur condyles and the tibia plateaus) and four ligaments, namely the ACL, PCL, MCL and LCL (Fig. 1). The two contacts are rigid and separation is not allowed. The geometry of the model is determined from previous in vitro experimental measurements on a representative

specimen [11, 26] and is expressed in the femur segment coordinate system (SCS) [27], whose origin is placed at the midpoint between the epicondyles. The tibia SCS is superimposed to the femur SCS at the neutral pose (i.e., static full extension). Details are provided below.

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### 2.1.1 Parallel mechanism

A parallel mechanism including the two sphere-on-plane contacts and the ACL, PCL, MCL is defined, whose preliminary geometry is obtained from the in vitro measurements [11, 26]. The geometry of the contact surfaces (i.e., sphere centers and radii, plane positions and orientations) is devised by approximating the femur condyles and tibial plateaus respectively by best-fitting spheres and planes. 100 The geometry of the ligaments (i.e., ligament lengths, origin and insertion coordinates) is identified by finding the isometric fibers, namely the origin and insertion points in each ligament attachment areas that show the minimum distance variation during measured joint natural (i.e., unloaded) motion. These fibers are substituted by links of constant length. Each length is chosen preliminarily as the mean 105 distance between origin and insertion during measured natural motion. This preliminary surface and ligament geometry is then adjusted, so that the mechanism and joint experimental natural motions fit optimally. All geometrical parameters are bounded to keep the final mechanism geometry close to the preliminary estimate [26]. The adjusted sphere centers, plane positions and orientations and ligament origins and insertions are obtained in the corresponding femur and tibia SCSs (Table 1) together with the mechanism sphere radii and ligament lengths  $\tilde{d}^{l}$  (l = 1, 2 for medial and lateral condyles and l =110 3, 4, 5 for ACL, PCL, MCL, respectively). The whole knee model is obtained by adding the LCL (l =6), whose geometry is defined by finding the origin and insertion points in the measured LCL

### 115 2.1.2 Ligament length variations

Reference ligament length variations for  $\Delta L_{min}$  and  $\Delta L_{\theta}$  methods are also defined by the experimental natural motion measured in vitro, so they will be called experimental ligament lengths hereinafter. The adjusted ACL, PCL and MCL fibers in the parallel mechanism model have a constant length during

attachment areas with the minimum distance variation during the mechanism motion [28].

the parallel mechanism motion (mechanism ligament lengths  $\tilde{d}^l$ ). Conversely, the same fibers during

120 the in-vitro natural motion show some small lengthening, since they are not actually perfectly isometric. These lengths, for ACL, PCL, MCL and LCL (l = 3, 4, 5, 6, respectively), are obtained as a function of the knee flexion angle  $\theta$  (in degree) by computing the distance between the origin and insertion points of the adjusted fibers during measured natural motion. A seventh-order polynomial is used to fit these ligament lengths with a least-square method:

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$$d^{l}(\theta) = \left(1 + a_{1}^{l}.\theta + a_{2}^{l}.\theta^{2} + a_{3}^{l}.\theta^{3} + a_{4}^{l}.\theta^{4} + a_{5}^{l}.\theta^{5} + a_{6}^{l}.\theta^{6} + a_{7}^{l}.\theta^{7}\right)d^{l}(0),$$
(1)

where  $d^{l}(0)$  are the ligament lengths at the neutral pose ( $\theta = 0$ ).

By construction, since the femur and tibia SCSs are superimposed at the neutral pose,  $d^{l}(0)$  can be computed from the distance between the origins and the insertions given in Table 1. It is worth noting that in general  $\tilde{d}^{l} \neq d^{l}(0)$ , since also the mechanism ligament lengths are adjusted during the parallel mechanism definition (Sect. 2.1.1). The coefficients  $a_{1}^{l}$  to  $a_{7}^{l}$ , are given in Table 2.

### 2.2 **Optimization methods**

### 2.2.1 Parameters

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MBO is performed by means of a lower limb model including the foot, shank, thigh and pelvis. 135 Generalized coordinates  $\mathbf{Q}_i$  [7, 25, 29, 30] are used to represent the pose of each segment *i*. These coordinates consist of two position vectors ( $\mathbf{r}_{Pi}$  and  $\mathbf{r}_{Di}$ ) for  $P_i$  and  $D_i$ , namely the proximal and distal endpoints respectively, and two unitary direction vectors ( $\mathbf{u}_i$  and  $\mathbf{w}_i$ ), representing the directions of two reference axes for the segment:

$$\mathbf{Q}_{i} = \begin{bmatrix} \mathbf{u}_{i} & \mathbf{r}_{P_{i}} & \mathbf{w}_{i} \end{bmatrix}^{T},$$
(2)

140 with i = 1, 2, 3 and 4 for the foot, shank, thigh and pelvis, respectively.

Parameters in Eq. (2) are designed to stand for anatomical and functional directions representative of the segment and joints anatomy and physiology [30]. Thereby, segment length  $(L_i = \|\mathbf{r}_{P_i} - \mathbf{r}_{D_i}\|)$ , flexion axis of the proximal joint and segment sagittal plane are embedded in those parameters. The position of any point of the segment *i* (both the model-determined skin markers and "virtual markers" standing for the sphere centers, plane positions, ligament origins and insertions) is obtained in the inertial coordinate system (ICS) by a constant interpolation matrix  $N_i$ . Twelve parameters are used to represent the attitude and position of each segment (Eq. (2)). Consequently, six rigid body constraints are introduced for each segment:

$$\boldsymbol{\Phi}_{i}^{r} = \begin{pmatrix} \mathbf{u}_{i}^{2} - 1 \\ \mathbf{u}_{i}\Box(\mathbf{r}_{P_{i}} - \mathbf{r}_{D_{i}}) - L_{i}\cos\gamma_{i} \\ \mathbf{u}_{i}\Box\mathbf{w}_{i} - \cos\beta_{i} \\ \left(\mathbf{r}_{P_{i}} - \mathbf{r}_{D_{i}}\right)^{2} - L_{i}^{2} \\ \left(\mathbf{r}_{P_{i}} - \mathbf{r}_{D_{i}}\right)\Box\mathbf{w}_{i} - L_{i}\cos\alpha_{i} \\ \mathbf{w}_{i}^{2} - 1 \end{pmatrix}$$
(3)

150 with  $\alpha_i$ ,  $\beta_i$ ,  $\gamma_i$  constant angles of the i<sup>th</sup> segment.

### 2.2.2 Constraints

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kinematic constraints  $\mathbf{\Phi}^k$ , and the rigid body constraints  $\mathbf{\Phi}^r$ , as mentioned above. The driving constraints represent the distances between the measured and the model-determined skin marker positions, while the kinematic constraints represent the geometrical relationships between the virtual markers, imposed by the kinematic models at the knee, ankle and hip. All constraints are linear or quadratic in the generalized coordinates  $\mathbf{Q}_i$ . The constraints  $\mathbf{\Phi}^k$  are separated into two parts,  $\mathbf{\Phi}^{k_1}$  and  $\mathbf{\Phi}^{k_2}$ .  $\mathbf{\Phi}^{k_1}$  correspond to the knee ligament constraints:

In the MBO method [7], three types of constraints are needed: the driving constraints  $\Phi^m$ , the

$$160 \qquad \mathbf{\Phi}^{k_{1}} = \begin{pmatrix} \left(\mathbf{N}_{3}^{V_{3}^{3}}\mathbf{Q}_{3} - \mathbf{N}_{2}^{V_{2}^{8}}\mathbf{Q}_{2}\right)^{2} - \left(d^{3}\right)^{2} \\ \left(\mathbf{N}_{3}^{V_{3}^{4}}\mathbf{Q}_{3} - \mathbf{N}_{2}^{V_{2}^{9}}\mathbf{Q}_{2}\right)^{2} - \left(d^{4}\right)^{2} \\ \left(\mathbf{N}_{3}^{V_{3}^{5}}\mathbf{Q}_{3} - \mathbf{N}_{2}^{V_{2}^{10}}\mathbf{Q}_{2}\right)^{2} - \left(d^{5}\right)^{2} \\ \left(\mathbf{N}_{3}^{V_{3}^{6}}\mathbf{Q}_{3} - \mathbf{N}_{2}^{V_{2}^{10}}\mathbf{Q}_{2}\right)^{2} - \left(d^{6}\right)^{2} \end{pmatrix}, \qquad (4)$$

with  $\mathbf{N}_{i}^{V_{i}^{j}}$  the interpolation matrix for the  $j^{\text{th}}$  virtual markers embedded in the  $i^{\text{th}}$  segment, and  $d^{l}$  the model ligament lengths (Table 1). Specifically, the model ligament lengths  $d^{l}$  can be constant (like in  $\Delta L_{0}$  and  $\Delta L_{\min}$ ) or depending on the knee flexion angle  $\theta$  (like in  $\Delta L_{\theta}$ ).  $\mathbf{\Phi}^{k_{2}}$  correspond to the other kinematic constraints of the model: the two sphere-on-plane contacts at the knee, the spherical joint at the hip and the parallel mechanism at the ankle as in [7]. The other constraints, i.e., the driving constraints  $\mathbf{\Phi}^{m}$  and rigid body constraints  $\mathbf{\Phi}^{r}$ , remain also the same as in [7].

### 2.2.3 Ligaments with zero length variation

Optimization with isometric ligaments is performed using a Lagrange multiplier method. The 170 constrained optimization is formulated as in [7]:

$$\min_{\mathbf{Q}} f = \frac{1}{2} \left( \mathbf{\Phi}^{m} \right)^{T} \mathbf{\Phi}^{m}$$
subject to
$$\begin{cases} \mathbf{\Phi}^{k_{1}} = \mathbf{0} \\ \mathbf{\Phi}^{k_{2}} = \mathbf{0} \\ \mathbf{\Phi}^{r} = \mathbf{0} \end{cases}$$
(5)

with  $\mathbf{Q} = [\mathbf{Q}_1 \ \mathbf{Q}_2 \ \mathbf{Q}_3 \ \mathbf{Q}_4]^T$ .

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This is equivalent to a zero-search problem when using a Lagrange formulation [6]:

$$\mathbf{F}\begin{pmatrix}\mathbf{Q}\\\boldsymbol{\lambda}\end{pmatrix} = \begin{pmatrix} \begin{bmatrix}\mathbf{K}^{m}\end{bmatrix}^{T} \begin{pmatrix}\mathbf{\Phi}^{m}\end{pmatrix} + \begin{bmatrix}\mathbf{K}^{k_{1}} & \mathbf{0} & \mathbf{0}\\ \mathbf{0} & \mathbf{K}^{k_{2}} & \mathbf{0}\\ \mathbf{0} & \mathbf{0} & \mathbf{K}^{T} \end{bmatrix}^{T} \begin{pmatrix}\boldsymbol{\lambda}^{k_{1}}\\ \boldsymbol{\lambda}^{k_{2}}\\ \boldsymbol{\lambda}^{T} \end{pmatrix} \\ \mathbf{\Phi}^{k_{1}} \\ \mathbf{\Phi}^{k_{2}} \\ \mathbf{\Phi}^{T} \end{pmatrix} = \mathbf{0}, \qquad (6)$$

175 with  $\mathbf{K}^m = \frac{d \Phi^m}{d \mathbf{Q}}, \, \mathbf{K}^{k_1} = \frac{d \Phi^{k_1}}{d \mathbf{Q}}, \, \mathbf{K}^{k_2} = \frac{d \Phi^{k_2}}{d \mathbf{Q}}, \, \mathbf{K}^r = \frac{d \Phi^r}{d \mathbf{Q}}$  and with  $\lambda^{k_1}, \, \lambda^{k_2}, \, \lambda^r$  the Lagrange multipliers

associated with the constraints.

In this case, the knee ligament constraints  $\mathbf{\Phi}^{k_1}$  contain only the first three lines (for the ACL, PCL, MCL) of Eq. (4) and the model ligament lengths are constant, set at the mechanism ligament lengths (Table 1):

### 2.2.4 Ligaments with minimized length variation

Optimization with deformable ligaments is performed as a variation of the previous method with isometric ligaments, and makes use of a penalty-based method. The knee ligament constraints  $\mathbf{\Phi}^{k_1}$  are introduced in the objective function *f*. The constrained optimization problem can be formulated in this way:

$$\min_{\mathbf{Q}} f = \frac{1}{2} \begin{pmatrix} \mathbf{\Phi}^{m} \\ \mathbf{\Phi}^{k_{1}} \end{pmatrix}^{T} \begin{bmatrix} \mathbf{W}^{m} & \mathbf{0} \\ \mathbf{0} & \mathbf{W}^{k_{1}} \end{bmatrix} \begin{pmatrix} \mathbf{\Phi}^{m} \\ \mathbf{\Phi}^{k_{1}} \end{pmatrix}$$
subject to
$$\begin{cases} \mathbf{\Phi}^{k_{2}} = \mathbf{0} \\ \mathbf{\Phi}^{r} = \mathbf{0} \end{cases}$$
(8)

Consequently, the zero-search problem is modified:

$$\mathbf{F}\begin{pmatrix}\mathbf{Q}\\\boldsymbol{\lambda}\end{pmatrix} = \begin{pmatrix} \begin{bmatrix}\mathbf{K}^{m} & \mathbf{0}\\ \mathbf{0} & \mathbf{K}^{k_{1}} \end{bmatrix}^{T} \begin{bmatrix}\mathbf{W}^{m} & \mathbf{0}\\ \mathbf{0} & \mathbf{W}^{k_{1}} \end{bmatrix} \begin{pmatrix}\mathbf{\Phi}^{m}\\ \mathbf{\Phi}^{k_{1}} \end{bmatrix} + \begin{bmatrix}\mathbf{K}^{k_{2}} & \mathbf{0}\\ \mathbf{0} & \mathbf{K}^{r} \end{bmatrix}^{T} \begin{pmatrix}\boldsymbol{\lambda}^{k_{2}}\\ \boldsymbol{\lambda}^{r} \end{pmatrix} = \mathbf{0}, \qquad (9)$$

190 with  $\mathbf{W}^m$  and  $\mathbf{W}^{k_1}$  two diagonal weight matrices associated to the driving constraints and the knee ligament constraints respectively.

In a STA compensation perspective, the ligament weights should be much higher than the skin marker weights, but without impeding the optimization convergence. This means that the model kinematics is prioritized with respect to the marker trajectories: ligament lengthening is allowed, but the model hypotheses (i.e., the ligaments remain almost isometric during motion) is preserved. Matrix  $\mathbf{W}^m$  is set to identity while the four weights used in the diagonal matrix  $\mathbf{W}^{k_1}$  are given in Table 3. The choice of the weights for each ligament is based qualitatively on the experimental ligament length  $d^i(\theta)$  and on the literature [20-22]: ligaments with a smaller length variation during knee flexion have higher weights.

In this case, the knee ligament constraints  $\mathbf{\Phi}^{k_1}$  contain all four lines (for the ACL, PCL, MCL, LCL) 200 of Eq. (4). In order to minimize the ligament length variations, the objective ligament lengths in the model are constant, set at the mean value of Eq. (1) for all the flexion angles  $\theta$  during gait: 1100

$$d^{i} = d^{i}(\theta) \,. \tag{10}$$

### 2.2.5 Ligaments with prescribed length variation 205

Optimization in this case is still performed using the same penalty-based method as presented above. Thus, the same Eqs. (8)-(9) are used, where the knee ligament constraints  $\Phi^{k_1}$  contain all four lines of Eq. (4) also in this case. The main difference is that, to target the prescribed ligament length variations as a function of the knee flexion, the objective ligament length in the model is variable in this case, set at the values of Eq. (1) for each flexion angle  $\theta$  during gait:

210 at the values of Eq. (1) for each flexion angle 
$$\theta$$
 during gait:

$$d^{l} = d^{l}(\theta). \tag{11}$$

Since the experimental length variation is prescribed, the same weights are used for all ligaments in matrix  $\mathbf{W}^{k_1}$  (Table 3). Like in the previous method, these weights are much higher than the skinmarker weights to make the model constraints effective and thus to allow STA compensation.

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### 2.2.6 Initial guess, geometrical parameters and solution

The initial guess of **Q** for  $\Delta L_0$  corresponds to the endpoints and directions ( $\mathbf{r}_{Pi}$ ,  $\mathbf{r}_{Di}$ ,  $\mathbf{u}_i$ ,  $\mathbf{w}_i$ ) computed using the skin markers [7, 30]. The initial guess for  $\Delta L_{min}$  and  $\Delta L_{\theta}$  is the optimal solution of  $\Delta L_0$ . The knee flexion angle  $\theta$  computed with this optimal solution is used to calculate the experimental ligament length  $d^{l}(\theta)$  and its mean value  $\overline{d}^{l}(\theta)$ .

As for the geometrical parameters of the lower limb model, they are computed from the aforementioned initial guess of **Q**. The parameters involved in the rigid body constraints are computed at each sampled instant of time *k* and averaged:

$$L_{i} = \frac{1}{n} \sum_{k=1}^{n} \sqrt{\left(\mathbf{r}_{P_{i}}\left(k\right) - \mathbf{r}_{D_{i}}\left(k\right)\right)^{2}}$$

$$\alpha_{i} = \frac{1}{n} \sum_{k=1}^{n} \cos^{-1} \left(\frac{\left(\mathbf{r}_{P_{i}}\left(k\right) - \mathbf{r}_{D_{i}}\left(k\right)\right) \Box \mathbf{w}_{i}\left(k\right)}{L_{i}\left(k\right)}\right)$$

$$\beta_{i} = \frac{1}{n} \sum_{k=1}^{n} \cos^{-1} \left(\mathbf{u}_{i}\left(k\right) \Box \mathbf{w}_{i}\left(k\right)\right)$$

$$\gamma_{i} = \frac{1}{n} \sum_{k=1}^{n} \cos^{-1} \left(\frac{\mathbf{u}_{i}\left(k\right) \Box \left(\mathbf{r}_{P_{i}}\left(k\right) - \mathbf{r}_{D_{i}}\left(k\right)\right)}{L_{i}\left(k\right)}\right)$$
(12)

225 Similarly, the reference positions of the skin markers embedded in the relevant segments are computed from the initial guess of **Q** at each sampled instant of time *k* and averaged. The reference position of the *j*<sup>th</sup> skin markers of the *i*<sup>th</sup> segment is expressed as the coordinates  $\left(n_i^{M_i^j}\right)_u, \left(n_i^{M_i^j}\right)_v$  and  $\left(n_i^{M_i^j}\right)_w$  in

the non-orthogonal basis  $\left(\mathbf{u}_{i}, \underbrace{\mathbf{r}_{P_{i}} - \mathbf{r}_{D_{i}}}_{\mathbf{v}_{i}}, \mathbf{w}_{i}\right)$ , obtained by a non-orthogonal projection using the

marker position  $\mathbf{r}_{M_i^j}$  in the ICS:

$$\left(n_{i}^{M_{i}^{j}}\right)_{u} = \frac{1}{n} \sum_{k=1}^{n} \frac{\left(\mathbf{v}_{i}\left(k\right) \times \mathbf{w}_{i}\left(k\right)\right) \Box\left(\mathbf{r}_{M_{i}^{j}}\left(k\right) - \mathbf{r}_{P_{i}}\left(k\right)\right)}{\left(\mathbf{u}_{i}\left(k\right) \times \mathbf{v}_{i}\left(k\right)\right) \Box\left(\mathbf{w}_{i}\left(k\right)\right)}$$

$$\left(n_{i}^{M_{i}^{j}}\right)_{v} = \frac{1}{n} \sum_{k=1}^{n} \frac{\left(\mathbf{w}_{i}\left(k\right) \times \mathbf{u}_{i}\left(k\right)\right) \Box\left(\mathbf{r}_{M_{i}^{j}}\left(k\right) - \mathbf{r}_{P_{i}}\left(k\right)\right)}{\left(\mathbf{u}_{i}\left(k\right) \times \mathbf{v}_{i}\left(k\right)\right) \Box\left(\mathbf{w}_{i}\left(k\right)\right)}$$

$$\left(n_{i}^{M_{i}^{j}}\right)_{w} = \frac{1}{n} \sum_{k=1}^{n} \frac{\left(\mathbf{u}_{i}\left(k\right) \times \mathbf{v}_{i}\left(k\right)\right) \Box\left(\mathbf{r}_{M_{i}^{j}}\left(k\right) - \mathbf{r}_{P_{i}}\left(k\right)\right)}{\left(\mathbf{u}_{i}\left(k\right) \times \mathbf{v}_{i}\left(k\right)\right) \Box\mathbf{w}_{i}\left(k\right)}$$

$$(13)$$

Moreover, the coordinates  $(n_i^{V_i^j})_{u}$ ,  $(n_i^{V_i^j})_{v}$  and  $(n_i^{V_i^j})_{w}$  of the *j*<sup>th</sup> virtual markers of the *i*<sup>th</sup> segment is obtained from the knee model geometrical parameters (Table 1) using the marker position  $\mathbf{r}_{V_i^j}$  in the

ICS:

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$$\begin{pmatrix} \left(\boldsymbol{n}_{i}^{V_{j}^{j}}\right)_{u} \\ \left(\boldsymbol{n}_{i}^{V_{j}^{j}}\right)_{v} \\ \left(\boldsymbol{n}_{i}^{V_{j}^{j}}\right)_{w} \end{pmatrix} = \begin{bmatrix} \mathbf{B}_{i} \end{bmatrix}^{-1} \mathbf{r}_{V_{i}^{j}}^{s}$$

$$(14)$$

235 with 
$$\mathbf{B}_{i} = \begin{bmatrix} 1 & L_{i} \cos \gamma_{i} & \cos \beta_{i} \\ 0 & L_{i} \sin \gamma_{i} & \frac{\cos \alpha_{i} - \cos \beta_{i} \cos \gamma_{i}}{\sin \gamma_{i}} \\ 0 & 0 & \sqrt{1 - (\cos \beta_{i})^{2} - \left(\frac{\cos \alpha_{i} - \cos \beta_{i} \cos \gamma_{i}}{\sin \gamma_{i}}\right)^{2}} \end{bmatrix}$$
 (15)

However, for the thigh segment (i = 3), -1 was added to  $(n_i^{V_i^j})$  because, differently from the other segments, the origin of the femur SCS was coincident with endpoint  $D_3$  instead of  $P_3$  in the knee model geometrical parameters (Table 1). The coordinates of the skin markers  $(n_i^{M_i^j})_u, (n_i^{M_i^j})_v$  and  $(n_i^{M_i^j})_w$  and virtual markers  $(n_i^{V_i^j})_u, (n_i^{V_i^j})_v$  and  $(n_i^{V_i^j})_w$  allow the computation of the interpolation matrices  $\mathbf{N}_i^{M_i^j}$  and  $\mathbf{N}_i^{V_i^j}$  involved in the driving constraints  $\mathbf{\Phi}^m$  and kinematic constraints  $\mathbf{\Phi}^k$ , respectively.

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The zero-search problems Eqs. (6), (9) are then solved by a Gauss-Newton algorithm with specified analytical gradient using Matlab R2012a. The convergence was stopped when  $\|\mathbf{F}\| < 1e^{-12}$ .

### 245 **2.3** Knee kinematics, ligament length and contact point computation

The optimized knee joint kinematics is directly computed from the generalized coordinates  $\mathbf{Q}_i$  [17]: the femur and tibia SCSs are deduced from  $\mathbf{Q}_2$  and  $\mathbf{Q}_3$  and the knee joint angles are computed from the joint coordinate system (JCS) according to ISB recommendation [27]. Specifically, the first axis,  $\mathbf{e}_1$ , of

knee JCS is  $\mathbf{w}_3$  and the third axis,  $\mathbf{e}_3$ , is  $\mathbf{v}_2 = \frac{\mathbf{r}_{P_2} - \mathbf{r}_{D_2}}{L_2}$ . The displacement of the tibia relative to the

250 femur is computed as the non-orthonormal projection of the vector from point  $D_3$  to  $P_2$  on the axes of the JCS ( $\mathbf{e}_1, \mathbf{e}_2, \mathbf{e}_3$ ) [27].

The ligament lengths after MBO are computed from  $\mathbf{Q}_2$  and  $\mathbf{Q}_3$  as the distance between the virtual markers standing for the origins and insertions using the corresponding interpolation matrices  $\mathbf{N}_i^{V_i^j}$ ,

similarly to Eq. (4). The ligament lengthening is represented as a per cent value of the ligament length

at the neutral pose  $d^{l}(0)$ . The contact point positions are also computed from  $\mathbf{Q}_{2}$  and  $\mathbf{Q}_{3}$  as the projection of the virtual markers standing for the condyle centers onto the tibia plateau planes. The contact point positions then are plotted in the axial plane of the tibia SCS.

### 2.4 Sensitivity Analysis

### 2.4.1 Weights between driving and knee ligament constraints

260 To test the sensitivity of the model to the weights between the driving and the ligament constraints, the prescribed ligament length method was chosen, as the same weight was assigned to each ligament in this method. The weight range for the ligaments was chosen from 1 to 2e4, namely two times the maximal weight used in the model, while the weight of the driving constraints remained 1. Four hundred simulations where performed for each subjects with a uniform distribution of weights.

### 265 2.4.2 Relative weights between ligament constraints

The sensitivity analysis of the model to the values of the weight matrix  $\mathbf{W}^{k_1}$  was performed with the minimized length variation method, as the ligament weights are different in this method. Every combination of the four weights used in the model (i.e. 1e0, 1e2, 1e3, 1e4) for the four ligaments was tested, leading to a total of 256 simulations per subject. To get insights on the influence of each ligament on this analysis, four groups of combinations were defined where one of the four ligaments (that thus identifies the group) had maximal weight and the other three ligaments had any lower weight. Each group contains 27 combinations. The maximal standard deviation for each DoF and each group was computed for each subject. Finally, these maximal standard deviations were averaged over the 5 subjects. We inferred that a lower standard deviation for a DoF and a group is associated with a greater kinematic constraint of the ligament corresponding to that group on this DoF.

## 2.5 Application to walking analysis

The MBO is applied to the data of the same five healthy male subjects as in [7] (age: 28.8±4.8 years; height: 1.74±0.09 m; mass: 76.5±13.5 kg). The trajectories of twenty-two skin markers on the right

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lower limb are recorded at 100 Hz during one gait cycle. The mean optimized knee kinematics, ligament lengths and contact point positions on the five subjects computed with the different methods  $(\Delta L_0, \Delta L_{min} \text{ or } \Delta L_{\theta})$  are compared.

### **3** Results

285 The mathematical framework proved to be robust and fast, both with isometric and deformable ligaments. Indeed, each MBO method required seconds (<5 s) on a standard PC (CPU 2.8 GHz, 2 GB RAM) to process each gait cycle (about 130 sampled instants of time) and to find the optimal solution for each frame. No particular numerical problems or instabilities were noticed during computations.

The kinematic results relative to the five gait cycles (Figs. 2-3) show that the three methods obtain a similar motion. However, there are actually some differences between the curves representing joint angles and displacements, both in terms of pattern and range.

Concerning the joint angles (Fig. 2), while flexion-extension curves do not vary among methods, larger differences can be noticed for the joint internal-external rotation. In particular, all three methods point out a knee internal rotation during gait, with a similar maximum range (peak value about 16°),
but the overall patterns are different in particular in terms of timing. Indeed, the curve obtained with ΔL<sub>0</sub> is strictly coupled to the flexion angle: the two peaks match the corresponding flexion peaks (respectively at 15% and 75% of the gait cycle). A similar behavior is obtained by ΔL<sub>0</sub>, but the second peak is anticipated to about 55% of the gait cycle, close to the toe-off. The curve obtained by ΔL<sub>min</sub> shows a similar behavior, but the first peak can be barely noticed and the internal rotation increases
almost monotonically until the second peak at 55% of the gait cycle.

As for the joint displacements (Fig. 3), the patterns are similar among all methods, but ranges are different. In particular, displacements obtained by  $\Delta L_0$  have a smaller magnitude than those obtained by other methods during the whole gait cycle. Conversely,  $\Delta L_{min}$  produces the largest displacements,

with peaks of 8 mm, 7 mm, 3 mm of medial, anterior, distal displacement respectively. All these peaks are correlated with the knee flexion peak during the swing phase at 75% of the gait cycle.

- Concerning ligament lengthening (Fig. 4), no change in ligament length is observed with  $\Delta L_0$ , as expected. However, as previously noted, this ligament length is not coincident with the length at the neutral pose and it is close to the mean length approximating the experimental curves (i.e.,  $\overline{d}^{l}(\theta)$ ). All methods remain close (less than 3% of root mean square difference) to the experimental ligament
- 310 lengths (i.e.,  $d^{l}(\theta)$ ) (Table 4). The results of  $\Delta L_{\theta}$  are closer to the experimental ligament length than  $\Delta L_{min}$  for the ACL, MCL and LCL (Table 4) but not for the PCL. The only large difference between the curves is observed for the ACL lengthening, where  $\Delta L_{min}$  obtains a lengthening peak of 10% at 75% of gait cycle, corresponding to the maximal knee flexion.

As for the contact point positions (Fig. 5), all methods yield a posterior translation, noteworthy of a 315 same amount for the lateral condyle. However, this posterior translation is very limited for the medial condyle in  $\Delta L_0$  and is coupled with a lateral translation in  $\Delta L_{min}$  and  $\Delta L_0$ .

Concerning the sensitivity analysis of the model to the weights between the driving and the ligament constraints, Fig. 6 presents all knee kinematics obtained by every considered weight for one typical subject and Fig. 8 presents the ligament lengthening obtained by every considered weight for the same subject. The flexion-extension and proximal-distal displacements are not sensitive to these weights. For adduction-abduction, lateral-medial displacement and anterior-posterior displacement, small ligament weights allow wide ranges and higher variations in the estimated DoFs. Above a weight of 3000, depending on the subjects, the estimations of these DoFs have more consistent patterns and range. Indeed, the maximal variation of these DoFs between the results with different weights is reduced on average on the 5 subjects from 2.9 deg to 0.5 deg for adduction-abduction and from 6.7 mm and 17.3 mm to 2.1 mm and 0.6 mm for the lateral-medial displacement and anterior-posterior displacement respectively. The same effect is observed with ligament lengthening (Fig. 8), above a weight of 3000, the maximal variation between the results obtained with optimizations with different weights is reduced on average for the 5 subjects from 30.7% to 1.7% for the ACL, from 20.7% to

1.1% for the PCL, from 3.1% to 0.1% for the MCL and from 11.6% to 0.8% for the LCL.As for the 15

internal-external rotation, the increase in ligament weight is responsible for a continuous shift of the knee rotation toward the results of  $\Delta L_0$  during the stance phase. The most important changes occur during the single stance phase and the late swing with variations reaching 10 degrees.

- Concerning the sensitivity study of the relative ligament weights between ligament constraints, Table
  5 presents the results for each group, Fig. 7 presents the variations of the DoFs for all considered weight combinations and for the same subject of Fig. 6 and Fig.9 presents the variations of the ligament length for all considered weight combinations for the same subject of Fig.6. Although the mean standard deviations remain small, Fig. 7 shows that different combinations can lead to differences in patterns up to 4 degrees for adduction-abduction, 5 degrees for internal-external
  rotation, 12 mm for the lateral-medial displacement and 20mm for the anterior-posterior displacement. The different combinations have a very limited effect on extension-flexion and proximal-distal displacement. These ranges are due in part to the higher sensitivity of the model for low weight values
- of the ligament constraints, as shown in Fig. 6: indeed, Fig. 7 includes combinations of both low and high ligament weights. Conversely, Table 5 shows that if at least one ligament has a high weight (1e4),
  maximal standard deviations remain small, their average values over the five subjects being below 1 degree and 4 mm respectively for knee rotations and displacements.

### 4 Discussion

In this study, a mathematical framework to include deformable ligament constraints in joint kinematic models for MBO is proposed. Previously reported in vitro experimental data [11, 26] are processed in order to build a specific knee kinematic model, consisting of a parallel mechanism that accurately models the joint natural motion and experimental ligament length variations (Tables 1, 2). The method is applied to the knee but could be applied to other joints, such as the ankle. Indeed, ankle parallel mechanisms with isometric ligaments have already been proposed [26, 31, 32].

The knee parallel mechanism was presented and validated in previous studies [8-11] and it was used in a previous MBO [7]. The use of deformable ligaments as an extension of a parallel mechanism joint model for MBO was also previously presented and validated [25]. However, a full mathematical framework that could consider the three optimization methods ( $\Delta L_0$ ,  $\Delta L_{min}$ ,  $\Delta L_{\theta}$ ) was not presented. Moreover, the performance of the optimization methods in terms of ligament lengthening and contact 360 point positions was not investigated. The present study proposes a fast and robust penalty-based method that introduces deformable ligaments in the parallel mechanism and, consequently, in the MBO, in order to also consider the ligament length variations. The method defines quadratic constrained optimization problems that, consequently, are smooth, convex and insensitive to the initial 365 guess. The present method can be regarded as a generalization of the previous MBO [7] with isometric (i.e., rigid) ligaments. In particular, the ligament length variations are consistent with the fixed ligament lengths of the parallel mechanism: the mechanism ligament lengths  $\tilde{d}^{l}$  are very close to the mean of the experimental ligament lengths  $\overline{d}^{l}(\theta)$ . This method could eventually be extended to deformable contacts and to additional ligament bundles. With respect to more detailed dynamic (or 370 quasi-static) deformable models [16, 33-39], the proposed method directly deals with kinematics rather than dynamic (or static) equilibrium, thus it requires a lower computational cost. This simplifies also personalization of the model parameters, in case a subject-specific model is required [15]: mechanical properties such as ligament stiffness and unloaded lengths are difficult to be obtained in vivo, but the method is exempted from their definition.

375 It should be noted that one of the main issues with the penalty method is the weight definition. In this study, ligament weights are chosen upon qualitative assumptions based on the literature and on the in vitro experimental data, which provided information on the level of isometry showed by the ligaments during natural motion. This indeed can be seen as a measure of the laxity of ligaments, intended as their elongation when low physiological loads are applied. However, other criteria can be used, for instance based on the mean ligament mechanical characteristics measured on in vitro studies. Moreover, some general indications could be obtained by the sensitivity analysis of the model. The sensitivity of the model to the weight variation was analyzed in this study. Results showed that the

extension-flexion and proximal-distal displacement are not sensitive to the ligament weights, thus these DoF either are less influenced by STA or are mainly determined by the contact constraints.

385 However, adduction-abduction, internal-external rotation, lateral-medial displacement and anteriorposterior displacement were sensitive for weights lower than 2500-3200 (when the weight for the driving constraints was 1) depending on the subject. In this sense, a weight above this range would be recommended to reduce model sensitivity. In case different weights are used between ligaments, results show that the sensitivity is reduced also if only one ligament has a weight above this range. The 390 results also suggest that, during the considered tests, in a STA compensation perspective the adduction-abduction and internal-external rotation were mainly constrained by the ACL, the lateralmedial displacement by the MCL, and the anterior-posterior displacement by the LCL and PCL. It is worth noting that these ligaments provided the greatest constrain for the STA compensation, but they

are not necessarily the greatest joint constraints for the considered motion task (i.e., the walking).

In the present study, the geometrical model is not personalized and the experimental ligament 395 lengthening, used in particular for  $\Delta L_{\theta}$ , was measured in vitro during joint natural motion. Personalization can actually improve the MBO efficiency for STA compensation [15], but the experimental procedures can be more complicated since the model parameters have to be measured on a subject, and the computational burden for model definition increases. A subject-specific model 400 geometry can be obtained from medical imaging. For instance, ligament origins and insertions could be obtained both from static magnetic resonance and computed tomography [40]. Personalized weight bearing ligament length variations could also be obtained in vivo, but more complex protocols involving dynamic imaging techniques (such as fluoroscopy or dynamic magnetic resonance) have to be used. In this sense,  $\Delta L_0$  and  $\Delta L_{min}$  could be applied more easily to standard measurements, since 405 length variation patterns are not required. However, if ligament lengthening patterns are needed, the use of the joint natural motion as a reference as done in this study could simplify the experimental protocols. Indeed, some techniques (such as dynamic magnetic resonance) have limitations in terms of field of view and velocity that can be overcome by simple tasks such as the joint natural motion. Repeatable measurements of the joint natural motion can also be easily performed in vitro, thus

- allowing definition of a reference kinematic database. Finally, promising numerical techniques have been proposed that can predict the subject-specific natural motion by standard static imaging techniques [41]. It could be noted that ligament lengthening during natural motion may represent the behavior of ligaments during gait [23, 24, 42]. Indeed, ligaments tend to remain in their isometric state, apart from lengthening due to dynamic and muscular loads that are allowed by the deformable
  ligaments of the model. In this sense, the ligament lengthening obtained by ΔL<sub>min</sub> and ΔL<sub>θ</sub> can be considered promising in vivo estimations. As for the higher computational burden, personalization
- could also include adjustment of the preliminary estimate of the model parameters. This is generally performed by optimization techniques, which could take from some minutes to one hour of computational time on a standard computer, depending on the specimen geometry [26]. However,
  these computations have to be performed only during model definition: once the personalized model is

defined, each simulation takes seconds to run.

In musculoskeletal modeling, kinematic models are required, thus knee or other joint models that can represent physiological kinematics are relevant [17, 43-45]. Especially, the contact point positions are important parameters for the computation of knee contact forces [46]. Multi-body kinematic models

are also particularly important when joint kinematics is estimated from skin marker measurements, to reduce errors due to the STA. The use of an anatomically accurate model of the knee based on a parallel mechanism with zero, minimized or prescribed ligament variations for STA compensation was previously validated by means of in vivo knee joint kinematics of running cycles, measured both by skin markers and by intra-cortical pins [25]. All three methods allowed reduction of the error between
the model-based and the pin-measured kinematics, with respect to other techniques in the literature. ΔL<sub>0</sub> and ΔL<sub>0</sub> performed better on joint displacements, ΔL<sub>min</sub> was better for joint rotations. ΔL<sub>0</sub> showed higher errors on internal-external rotation, which were corrected by deformable ligaments. In the present study, application of the three methods on gait cycles confirmed these observations, and extended the analysis to ligament lengthening and contact point positions. Displacement and rotation
results are comparable to data in the literature obtained by pin measurements [47-49]. Concerning the internal rotation, the pattern obtained by ΔL<sub>0</sub> is more distant from experimental measurements [47],

than the patterns of ΔL<sub>min</sub> and ΔL<sub>0</sub>. It should be noted that the internal-external rotation of the knee is sensitive to external loads, in particular at high flexion angles [50]: deformable ligaments allow some model adaptation to the changing loading conditions that is prevented in case of isometric ligaments.
Internal rotation patterns from ΔL<sub>0</sub> are the most similar to experimental measurements in this case, with a first peak at 15% and a second peak at the toe-off. As for displacements, while some studies report a high range during gait (peak about 20 mm for anterior displacement) [47], more recent studies based on biplane fluoroscopy measured lower displacements that are closer to the ΔL<sub>0</sub> and ΔL<sub>0</sub> prediction (peak about 3±2 mm for both anterior and medial displacements) [51]. Studies based on biplane fluoroscopy also reported in vivo contact point positions [52, 53] and those obtained with ΔL<sub>0</sub> (better than ΔL<sub>min</sub> and ΔL<sub>0</sub>) compare favourably. It is important to say that the contact points rely not only on the kinematics but also on the condyle and plateau geometries. In the proposed knee model, these geometries have been simplified to spheres and planes, which are not personalized in the present study. A subject-specific bone geometry can provide more promising results also in this case [54].

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### 5 Conclusions

A multi-body optimization framework is presented to introduce deformable ligaments and articular contacts in a kinematic knee model, for soft-tissue artifact compensation in gait analysis at the lower limb. Two penalty-based methods (featuring minimized and prescribed ligament length variations respectively) are implemented as an extension of a previous method based on Lagrange multipliers and featuring isometric ligaments. The mathematical framework proved to be robust and fast. Moreover, it is based on purely kinematic assumptions that simplifies computations and model definition for in vivo measurements. Although the knee model was not personalized in this study, the multi-body framework allows implementation of both a subject-specific and a general model geometry.

The methods were applied to the analysis of the gait cycle of five subjects. The results show that all three methods make it possible to obtain kinematic patterns for knee rotations and displacements that are consistent with measurements performed in vivo by bone-pins or biplane fluoroscopy. The methods with deformable ligaments allowed some model adaptation to take into account the effect of

- loads on the tibiofemoral motion, particularly evident for the knee internal rotation, ligament 465 lengthening and contact point positions. In general, the results from the zero and prescribed ligament length variation methods were better for joint displacements, while the minimized ligament length variation method obtained rotation patterns closer to results from the literature. A sensitivity analysis showed that the model sensitivity to the variations of the weights of the penalty-based methods could
- 470 be reduced by setting these weights above a certain range.

As far as a knee kinematic model is used, particularly in musculoskeletal modeling, the proposed multi-body methods seem a good compromise between too simple non-physiological kinematic models such as the hinge and too complex deformable models based on the solution of the static or dynamic equilibrium.

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### **Figure captions**

- 605 Figure 1 : Kinematic model of the knee: isometric ligaments and contact surface approximations are shown together with the virtual markers representing the kinematic constraints.
  - Figure 2 : Knee joint angles obtained by  $\Delta L_0$ ,  $\Delta L_{min}$ ,  $\Delta L_{\theta}$  as a function of gait cycle: mean ±1SD over the five analyzed subjects.
- Figure 3: Knee joint displacements obtained by  $\Delta L_0$ ,  $\Delta L_{min}$ ,  $\Delta L_{\theta}$  as a function of gait cycle: mean ±1SD over the five analyzed subjects.
  - Figure 4: Ligament lengthening obtained by  $\Delta L_0$ ,  $\Delta L_{min}$ ,  $\Delta L_{\theta}$  as a function of gait cycle: mean ±1SD over the five analyzed subjects.

Figure 5: Medial and lateral contact point positions obtained by  $\Delta L_0$ ,  $\Delta L_{min}$ ,  $\Delta L_0$  on the axial plane: mean ±1SD over the five analyzed subjects. Colors represent % of gait cycle: black = 0%, yellow=100%.

- Figure 6: Knee kinematics obtained by changing the weights between the driving and the ligament constraints on a representative subject. Colors represent the different ligament weights: green = 1, blue = 2e4, red = 1e4 (chosen in the model).
- Figure 7: Knee kinematics obtained by changing the relative weights between the ligament constraints
   on the same subject as in Fig. 6. All considered combinations are in blue; the combination used in the model is in red.

Figure 8: Ligament lengthening obtained by changing the weights between the driving and the ligament constraints on the same subject as Fig. 6. Colors represent the different ligament weights: green = 1, blue = 2e4, red = 1e4 (chosen in the model).

625 Figure 9: Ligament lengthening obtained by changing the relative weights between the ligament constraints on the same subject as in Fig. 6. All considered combinations are in blue; the combination used in the model is in red.

### **Table captions**

Table 1: Knee model geometrical parameters and corresponding virtual markers.

630 Table 2: Coefficients  $a^{l}$  for the polynomial interpolation of the experimental ligament lengths.

Table 3: Ligament weights in the penalty-based methods.

Table 4: Root mean square (RMS) differences between the model and the experimental ligament lengths measured in vitro (expressed as % value of the ligament length at the neutral pose  $d^{l}(0)$ ).

Table 5: Sensitivity of the model to relative ligament weights: mean maximal standard deviations associated to each group.

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Figure 4

















Figure 8



Figure 9



Table	1
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	Virtual marker	Anatomical point	Coordinates (mm) or components in femur/tibia SCSs			
Segment		or orientation vector	X	Y	Z	
Femur	$V_3^1$	Medial condyle center	0.2458	3.4071	-23.2019	
	$V_3^2$	Lateral condyle center	-3.2853	2.1225	26.2054	
	$V_{3}^{3}$	ACL origin	-6.7712	7.5255	9.1575	
	$V_3^4$	PCL origin	-2.6610	-1.0906	-2.1857	
	$V_{3}^{5}$	MCL origin	2.7608	5.7798	-47.6279	
	$V_{3}^{6}$	5 LCL origin		2.2812	36.1895	
Tibia	$V_{2}^{6}$	Medial tibial plateau	-2.1344	-28.6241	-19.1308	
	$V_{2}^{7}$	Lateral tibial plateau	-2.7946	-26.0861	24.3679	
	$n_{2}^{3}$	Medial normal	0.0675	0.9896	-0.1273	
	$n_2^4$	Lateral normal	-0.0881	0.9942	0.0617	
	$V_2^8$	ACL insertion	12.7709	-26.1454	-0.9269	
	$V_{2}^{9}$	PCL insertion	-25.8519	-38.1449	-3.5321	
$V_2^{10}$ MCL insertion		MCL insertion	2.1345	-117.0682	-5.7872	
	$V_{2}^{11}$	LCL insertion	-24.2639	-47.9992	37.1213	

The numbering of the virtual markers follows [7]. The ligament lengths at the neutral pose  $d^{l}(0)$  (l = 3, 4, 5, 6) can be computed from the distances between origin and the insertion of each ligament, while the mechanism optimal ligament lengths used in  $\Delta L_0$  are  $\tilde{d}^3 = 40.53 \text{ mm}$ ,  $\tilde{d}^4 = 43.26 \text{ mm}$ ,  $\tilde{d}^5 = 129.70 \text{ mm}$ . The model sphere radii are  $\tilde{d}^1 = 32.32 \text{ mm}$ ,  $\tilde{d}^2 = 28.34 \text{ mm}$ . The mean ligament length used for the model  $\Delta L_{min}$  were not constant among subject, there mean value where  $\bar{d}^3 = 40.62 \pm 0.11 \text{ mm}$ ,  $\bar{d}^4 = 43.12 \pm 0.13 \text{ mm}$ ,  $\bar{d}^5 = 129.80 \pm 0.05 \text{ mm}$ ,  $\bar{d}^6 = 55.94 \pm 0.23 \text{ mm}$ .

	<b>ACL</b> ( <i>l</i> =3)	<b>PCL</b> ( <i>l</i> =4)	<b>MCL</b> ( <i>l</i> =5)	LCL ( <i>l</i> =6)	
$a_1^l$	-2.5e-3	2.7e-3	2.3336e-4	3.5e-3	
$a_2^l$	-1.4023e-5	-4.2080e-5	3.2597e-5	-1.5564e-4	
$a_3^l$	3.2187e-6	-8.5132e-6	1.3686e-6	-1.9254e-5	
$a_4^l$	9.1037e-8	-2.4381e-7	2.4415e-8	-5.7922e-7	
$a_5^l$	1.0491e-9	-3.0408e-9	1.7782e-10	-7.9173e-9	
$a_6^l$	5.8532e-12	-1.8055e-11	2.2257e-13	-5.1875e-11	
$a_7^l$	1.3559e-14	-4.1819e-14	-1.7572e-15	-1.3239e-13	

	ACL ( <i>l</i> =3)	<b>PCL</b> ( <i>l</i> =4)	MCL ( <i>l</i> =5)	LCL ( <i>l</i> =6)
ΔL minimized	1e3	1e4	1e2	1e0
$\Delta L$ prescribed	1e4	1e4	1e4	1e4

Table 4

RMS difference (%)	AL zero	ΔL minimised	ΔL prescribed
ACL	1.7	2.9	1.7
PCL	1.8	0.7	1.1
MCL	0.05	0.3	0.1
LCL	-	2.1	0.9

### 685 Table 5

	Rotations (deg)			Displacements (mm)			
 Group	Extension (+) Flexion (-)	Adduction (+) Abduction(-)	Internal (+) External (-)	Lateral (+) Medial (-)	Anterior (+) Posterior (-)	Proximal (+) Distal (-)	
 ACL	0.1	0.3	0.4	2.5	3.4	0	
PCL	0.1	0.9	0.6	2.8	1.8	0.3	
MCL	0.1	0.5	0.7	1.5	3.6	0.1	
LCL	0.1	0.6	0.6	2.9	1.5	0.2	