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Electrophoretic deposition of hybrid film on aluminium 2024 using sol–gel boehmite nanoparticles

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ABSTRACT

The realisation of organic/inorganic coatings on metal substrates, prepared by sol–gel route and shaped by electrophoretic deposition (EPD), is a new process which has been the subject of only few studies. In the past few years, EPD became a very interesting deposition technique because it showed some important advantages: i) great control of the deposition rate and ii) good coverage of complex shape parts. Both are the main challenges for all kinds of deposition techniques, used in various fields from anti-corrosion to anti-wear. In this work, the electrophoretic deposition was performed in aqueous sol suspension containing sol–gel boehmite nanoparticles (NPs). The influence of the applied voltage and deposition time on the deposit thickness was studied. The effect of the concentration of NPs, added in the precursor sol, on the thickness was also investigated. The deposition was performed at constant voltage between $-1.2$ and $-3.5$ V during constant deposition time between 10 and 40 min. It is shown that an increase in the applied voltage and deposition time increased the thickness of the deposit film (from 2 to 11 μm), as well as the amount of evolved hydrogen, resulting in a drop of the formed coatings quality (more porous structure). However, increasing the concentration of NPs in the precursor sol, increased the coating thickness and turned out to be also a key parameter to control the thickness. Finally, it was demonstrated that a perfect control of the microstructure and the deposit thickness was achievable, thanks to both EPD parameters and sol properties.

1. Introduction

Sol–gel process is considered as an effective technique to realise various protective coatings (corrosion [1,2], wear [3]) and functional ones (with optical and electrical properties) to improve or modify the properties of the substrate. A well-known technique is dip-coating which permits to cover and protect a substrate by a (nano)structured layer (deposits structured at a nanoscale and obtained by a wet route, in opposition to the ones obtained by dry processing) and is highly used for a broad range of applications (photovoltaic cell, corrosion protection of metallic substrates).

Even though coatings achieved by dip-coating method are well adapted to control deposits on planar substrates [4,5], this method falls short to realise homogeneous deposit on 3D shaped substrates: areas with a greater and thinner thickness are often found on this type of piece.

One way to solve this issue is to use electrophoretic deposition (EPD). This deposition technique is widely used in industry [6] because it permits to obtain both greater thicknesses (more than hundreds of microns) and more compliant deposits than those achieved by dip-coating. However, EPD process is also known for obtaining thin and multilayer film of controlled thickness on substrates of complex geometry, which is particularly interesting for various applications including corrosion or wear protection. The principle of this deposition technique is the use of an electric field to make particles deposit on the substrate; nevertheless, the substrate must be conductive and the dispersion must show a non-null zeta potential. Kinetics of deposition have been described elsewhere using the so called Hamaker’s equation [7,8], where the deposition rate $\Delta m/\Delta t$ (in g cm$^{-2}$ s$^{-1}$) is a function of several parameters:

$$\frac{dm}{dt} = \mathcal{A} \mu_e \cdot E.$$  \hspace{1cm} (1)

For short deposition times, this equation can be further simplified:

$$\Delta m/\Delta t = \mathcal{A} \mu_e \cdot E$$  \hspace{1cm} (2)

$$dl/dt = \left( \mathcal{A} \mu_e \cdot E \right)/\left( \mathcal{A} \cdot \rho \right)$$  \hspace{1cm} (3)

- The concentration of NPs $C^o$ (mol L$^{-1}$) and the electrophoretic mobility $\mu_e$ (cm$^2$ V$^{-1}$ s$^{-1}$) are parameters relative to the suspension;
- The electric field $E = U/d$ (V cm$^{-1}$) and the deposition time $\Delta t$ (min), are parameters relative to deposition process;

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2. Materials and methods

2.1. Substrate preparation

An AA2024 aluminium alloy was used as the substrate, with the alloy composition reported in Table 1. Prior to surface treatment, the AA2024 was entirely covered with an epoxy-based insulating cover. After drying, this cover is partly removed on one area of 10.5 cm² (3.5 × 3.0 cm²) to treat only the surface of interest. The surface treatment is then divided into 3 steps: first, the surface was degreased with acetone for 1 min, this step was immediately followed by alkaline etching at 40 °C in a sodium hydroxide bath, with a concentration of 25 g L⁻¹ (NaOH, VWR Chemicals), for 5 min to remove the native aluminium oxide layer. The final step was to repassivate the substrate in a nitric acid solution of 25 vol% (HNO₃, SIGMA-ALDRICH 69%) for 2 min. Aluminium substrates were then rinsed with deionised water, ethanol and dried at room temperature with compressed air. The obtained samples have an average roughness (Rₐ) of 0.43 ± 0.05 μm, measured by Zygo optical interferometer 3DMetro Pro.

2.2. Sol and coating preparations

Concerning the sol formulation, the raw materials were 3-glycidoxypropyltrimethoxysilane (GPTMS, SIGMA-ALDRICH ≥98%) and aluminium-tri-sec-butoxide (ASB, SIGMA-ALDRICH 97%) prepared with propan-2-ol (IPA, FISHER CHEMICAL 99.9%) as solvent. Finally, distilled water containing nitrate cerium (Ce(NO₃)₃·6H₂O, ACROS ORGANICS 99.5%), with a Ce/Al molar ratio fixed at 0.01, was incorporated to produce the hydrolysis/condensation reaction. The sols were prepared keeping the respective volumetric ratio of GPTMS: ASB: propan-2-ol: distilled water at 2:1:0.1:2. The solution was kept under stirring for 1 h. Then, it was mixed with a solution of boehmite nanoparticles (NPs) keeping the volumetric ratio of sol:NPs at 1:2. Boehmite nanocrystalline particles (NPs) were synthesized by hydrolysis of aluminium sec-butoxide (ASB, SIGMA-ALDRICH97%) according to the Yolds method [22]. We obtained a transparent suspension of boehmite nanoparticles with a pH value of 4.5. The aluminium concentration in the sol was 0.51 mol L⁻¹, determined by weight loss after ignition at 800 °C for 2 h [23]. The mixture was stirred again for 30 min and aged for 24 h at room temperature. The obtained sol showed a pH value around 4 and a positive zeta potential suitable for cathodic deposition [24].

A three electrode cell arrangement was used for EPD. The working electrode (cathode) was an aluminium 2024 foil with a deposition area of 10.5 cm² (3.5 cm × 3.0 cm) and the counter electrode was a platinum foil with the same surface area as the cathode electrode. Both electrodes were placed vertically and parallel to each other. They were separated by a distance of 1.5 cm and immersed into the sol. At last, the reference electrode was a saturated calomel electrode (SCE) and placed next to the working electrode. Then, deposition experiments were performed under constant voltage condition (from −1.2 to −3.5 V) and for a constant deposition time (from 10 to 40 min). After deposition, the coatings were withdrawn from the bath at a constant speed of 10 mm min⁻¹. A low withdrawal rate was used to minimise the dipping contribution to the total thickness. However, for each experiment, a control sample, shaped by simple dip-coating and withdrawn at the same constant speed, allowed a better characterisation of EPD thickness. Finally, each coating underwent a heat post-treatment, including a drying process at 50 °C during 1 h and a densification process at 110 °C during 4 h.

2.3. Characterisation techniques

The thickness of the coatings obtained by EPD and dip-coating (control sample used here as reference) were measured by a Dualscope FMP20 (Fischer, Germany) and JEOL JSM-6510LV scanning electron microscope, with an operating voltage of 20 kV.

![Fig. 1. Scanning electronic microscopy (SEM) of deposit obtained (a) by EPD process (−3 V-30 min) from the hybrid sol and (b) by dip-coating process from the same hybrid sol.](Image)
The physical properties of the sol were controlled by measuring the viscosity with a LamyRM-100 rheometer, the pH and the conductivity with an Eutech instruments cyberscan.

The surface analyses were carried out with a Keyence VH-Z100R 3D optical microscope. The topographic observations were performed by a 3D Zygoprofilometer interferometric 3D Metro Pro; the parameters studied were arithmetical mean of the roughness profile (Ra) and profile skewness (Rsk). All the surface observations were performed after a gold sputtering of the surface during 30 s with a Cressington sputter coater, in order to evaluate the topography of the extreme surface after deposition.

3. Results and discussions

3.1. Comparison between coatings produced by dip-coating (DC) and electrophoretic deposition (EPD) from a same hybrid sol: interest of the EPD process

In order to justify the interest of the EPD process instead of dip-coating, deposits have been performed on a plane aluminium substrate using both deposition techniques with the same hybrid sol (the preparation was described in Section 2.2). EPD coating was realised under a constant voltage of $-3 \text{ V}$ and a deposition time of 30 min. The coatings simply shaped by dip-coating as well as by EPD were withdrawn from the hybrid bath at a constant speed of $10 \text{ mm min}^{-1}$. In Fig. 1, two scanning electronic micrographs of the deposit thickness obtained by both processes are presented. We could clearly see that EPD (Fig. 1(a)) lead to homogeneous coating with larger thickness than those achieved by dipping (Fig. 1(b)). The thickness only reached 1 $\mu$m by dip-coating, whereas by EPD, the thickness reached 8 $\mu$m. This ability to form thicker films shows the major interest of the EPD technique, particularly for applications such as anti-corrosion, anti-wear etc.

Furthermore, knowing that with EPD technique the structure and the thickness of films depend on the value of the EPD parameters (electrical and deposition parameters), a good control of the thickness and morphology of the coating could be obtained by adjusting the depositions conditions; this point will be interested to raise and particularly discussed on the next paragraph.

Finally, the dip-coating sample was defined here as a control sample to allow a better characterisation of EPD thickness to the total thickness formed (dip-coating + EPD).

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**Fig. 2.** Scanning electronic microscopy (SEM) of (a) deposit obtained from sol with boehmite particles and of (b) deposit obtained from sol without boehmite particles.

**Fig. 3.** RMN spectrum of Si$^{29}$ for different durations of electrophoresis with an applied voltage of $-3 \text{ V}$ vs SCE.
3.2. Effect of nanoparticles in the sol and its physico-chemical properties under electric field

To point out the key role of boehmite nanoparticles on the coating formation, a study was performed with the same precursor sol described in Section 2.2, but without nanoparticles. In fact, if we compare two scanning electronic micrographs (Fig. 2) obtained under the same conditions (applying voltage of $-3$ V (vs SCE), during 30 min) from a sol with or without boehmite particles, the deposit thickness is respectively around 8 μm and 4 μm. This difference of thickness proves the key role of the boehmite particles on the formation of the deposit but it also shows that, without NPs, a phenomenon occurs at the interface: a sol destabilisation near the electrode, which causes the formation of a part of a deposit. This point will be discussed later on.

However a change of sol characteristics under electric field cannot be define precisely since a viscosity increase could take place. To get some clues regarding this aspect, a NMR study analysis was performed. The NMR spectroscopy provides detailed information about the molecular composition and the microstructural features of a material, which is particularly interesting to follow polymerisation (condensation rate) of the sol–gel organic/inorganic network. In this work, a NMR Si$^{29}$ study was carried out in order to see if an ageing of the sol (acceleration of polymerisation rate) could occur under electric field. To complete this study, the physical properties of the sol (evolution of pH, conductivity and viscosity), without and under an electric field, were also investigated.

Fig. 3 Reported the different NMR spectra obtained for different sol having undergone different EPD times.

As it can be seen in Fig. 3, no NMR spectra variation was observed related to the time of the voltage (for time <90 min). Each peak of the NMR spectra ($T^0$, $T^1$, $T^2$ and $T^3$) correspond to different silicon atoms involved in different amounts of Si–O–Si bonds, which results in different condensation rates of the organic network. After integrating the area under different peaks (Table 2), the condensation rate CR (%) could be calculated using the following equation (Eq. (4)):

$$
CR(\%) = \frac{1}{3} T_0 + T_1 + T_2 + T_3 + \frac{2}{3} (T_0 + T_1 + T_2 + T_3) + \frac{2}{3} (T_0 + T_1 + T_2 + T_3) \quad (4)
$$

The values of the condensation rate are given in Table 2 and were around 67% in all cases of electrophoresis duration.

Besides, as we can see in supplementary information, the pH, viscosity and conductivity remain quite constant (respectively 4, 9 mPa s and 650 μS cm$^{-1}$): electrophoresis process does not influence these physico-chemical parameters (Fig. A1, A2 and A3).

Finally, the overall results show that no ageing of sol under the electric field occurs, whatever the time.

3.3. Influence of voltage (vs SCE) and deposition time on the coating thickness

The variation of the coating thickness under different applied potentials during different deposition times is shown in Fig. 4. It is noticed that except for applied voltage of $-3$ V (vs SCE), coating thickness variation does not increase as expected by Hamaker’s equation. It is believed that water electrolysis occurring upon electrode polarisation is responsible for the poor deposition yield observed here.

Fig. 5 presents the electrochemical behaviour of the hybrid sol which contains 0.51 mol L$^{-1}$ of boehmite nanoparticles. It showed there is no water splitting when the applied potential is above $-1.2$ V (vs SCE) whereas water electrolysis occurs when potential is below $-1.2$ V (vs SCE). Since it is a cathodic process, water electrolysis leads to hydrogen

![Fig. 4. Evolution of the deposit thickness with time for different applied voltages (vs SCE).](image1)

![Fig. 5. Voltammetry curve of the hybrid sol contained 0.51 mol L$^{-1}$ of boehmite nanoparticles.](image2)
formation with an increase of pH value. The last phenomenon comes along with the formation of hydroxide ions, according to Eq. (5), and causes a destabilisation of the sol that is near the surface of the electrode. This local pH increase of the sol could affect the kinetic of the hydrolysis and condensation reaction which lead the growth of the polymeric network and determine the final characteristics of the gel obtained. However, the zeta potential of the boehmite particles, which represents the surface charge of a particle, is strongly dependent on the pH \[24,25\]: so, a local increase of the pH value could also disturb the deposition of the boehmite nanoparticles. In Fig. 5 we can clearly observe that, for potential range between \(-1.2 \text{ V to } -2.8 \text{ V (vs SCE)}\), a slow hydrogen evolution leads to a reduced kinetics of deposition. On the other hand, at potential of \(-3 \text{ V (vs SCE)}\) a large hydrogen evolution caused by water reduction is observed and leads to a thicker coating. The coating became poorly adherent when the applied voltage further decreased to a value lower than \(-3 \text{ V (vs SCE)}\)), which indicated that water electrolysis is a key point and has to be constrained.

\[
2\text{H}_2\text{O} + 2e^- \rightarrow \text{H}_2 + 2\text{OH}^- \tag{5}
\]

3.4. Influence of the nanoparticles concentration [NPs]

In order to link the nanoparticles concentration to the deposit thickness, the stock solution was diluted or evaporated to achieve several particle concentrations: from 0.38 to 0.89 mol L\(^{-1}\). Fig. 6 shows two TEM micrographs of the coating obtained from sols containing different boehmite nanoparticles [NPs] concentration (0.51 mol L\(^{-1}\) and 0.89 mol L\(^{-1}\) for Figure (a) and (b) respectively) while keeping other parameters under the same conditions (applied voltage of \(-3 \text{ V (vs SCE)}\) during 30 min). Both TEM micrographs show that boehmite nanoparticles, (appear as laths in the figures) are homogeneously distributed in the hybrid matrix. It has confirmed that the evaporation process did not affect nor the morphology neither the organisation of the boehmite nanoparticles.

Furthermore, the evolution of pH, conductivity and viscosity of each sol before (A) and after electrophoresis (B) were investigated (shown in Table 3). It is noticed that, for sol concentration ranging from 0.51 mol L\(^{-1}\) to 0.89 mol L\(^{-1}\), both the conductivity and the viscosity increased with concentration (from 660 to 790 μS cm\(^{-1}\) and from 11 to 14 mPa s, respectively) while the pH value remained unchanged.

In Fig. 7, two scanning electronic micrographs of samples obtained from different boehmite nanoparticles concentration under the same applied potential and deposition duration (\(-3 \text{ V (vs SCE)}\) during 30 min) were compared. The deposit thickness of sample obtained from sol with higher NPs concentration is significantly higher. For the sake of clarity, only two concentrations were compared.

The thickness of the deposition tripled as the NPs concentration was double (Fig. 7).

![Fig. 6. Transmission electronic microscopy (TEM) of deposit obtained from sol with boehmite concentration of (a) 0.51 mol L\(^{-1}\) and (b) 0.89 mol L\(^{-1}\).](image)

Table 3

<table>
<thead>
<tr>
<th>NPs [mol L(^{-1})]</th>
<th>0.38 (A) (B)</th>
<th>0.51 (A) (B)</th>
<th>0.76 (A) (B)</th>
<th>0.89 (A) (B)</th>
</tr>
</thead>
<tbody>
<tr>
<td>pH</td>
<td>3.62</td>
<td>3.61</td>
<td>3.59</td>
<td>3.61</td>
</tr>
<tr>
<td>Viscosity (mPa s)</td>
<td>11.1</td>
<td>11.1</td>
<td>11.2</td>
<td>10.9</td>
</tr>
<tr>
<td>Conductivity (μS cm(^{-1}))</td>
<td>612</td>
<td>620</td>
<td>661</td>
<td>665</td>
</tr>
</tbody>
</table>

![Fig. 7. SEM image of the cross-section of the hybrid sol–gel coating obtained: (a) with a boehmite sol concentrated at 0.51 mol L\(^{-1}\); (b) with a boehmite sol concentrated at 0.89 mol L\(^{-1}\).](image)
At 3.5 V (right) during a
complete study was conducted for different applied potentials. Fig. 8 shows the evolution of deposit thickness under different applied voltage and for this voltage range, the nanoparticles are not yet destabilised and a deposition process still occurs. Finally, these results show that there is an optimum concentration in an optimum voltage range for which the deposit thickness increases linearly and follows the Hamaker equation.

As previously mentioned, EPD under constant electric field offers an easy control of the film thickness by adjusting the operating conditions. However, the use of an aqueous medium induces electrolysis of water which further results in the formation of hydrogen and oxygen gases.

The corresponding microstructure analysed by a 3D optical microscope (Fig. 10) exhibited that the surface of the deposit is strongly disturbed. The evolution of the surface topography by profilometry shows that the surface presents thickness irregularity: Ra = 0.7 μm ± 0.2 μm is not significant compared to the average roughness of the aluminium substrate (0.4 μm ± 0.5 μm) but a negative value of Rsk (−1.2 μm ± 0.5 μm) suggests that the deposit surface presents deep pores. These heterogeneities are obviously related to electrochemical reaction, most likely caused by the hydrogen evolution occurring at the cathode. This incorporation of gas bubbles into the deposit leads to a low deposit quality with bad adherence and microstructural inhomogeneity, which could deteriorate the expected properties of the film.

Furthermore, the gas bubbles generated at the electrode, due to the water electrolysis could also disturb and decreases the efficiency of the electrophoresis process of the particles, thus limit their deposition. This could explain why with a long electrophoresis time (>40 min), the thickness values decreased. (Fig. 4).

One way to benefit from the advantages of an aqueous medium (environment friendly, low cost), and to limit these surface irregularities is to conduct EPD experiments at potential lower than the decomposition voltage (−1.23 V vs SCE). However, in this case, the deposition rate is negligible. Another method often reported in the literature is to conduct EPD with pulse voltage [26].

It has been reported in the literature [27,28] that working with pulsed voltage, instead of constant voltage allows the formation of
thick films and multilayer materials, by using aqueous suspensions without water decomposition: in fact, water electrolysis is lower than in continuous voltage and gas formation can be avoided [28]. The use of a pulsed voltage could limit the amount of bubble incorporation during the electrophoretic deposition, and hence the microstructure of the deposit formed. Besides these authors have been reported that one of the key parameters is the Duty Cycle (\( DC = \frac{T_{ON}}{T_{ON} + T_{OFF}} \)). In this regard, currently further investigations are under progress and will be discussed in a next paper.

4. Conclusions

The realisation of sol–gel organic–inorganic coatings on metal substrate by electrophoretic deposition (EPD) was performed. Various factors associated with EPD process such as the deposition parameters (voltage, time) and the characteristics of the suspension (concentration of NPs) have been studied. It has been found that these factors are important and strongly influence the thickness of the coatings. Under constant electric field EPD process offers an easy control of the film thickness by adjusting the operating conditions. The results present that for a voltage of \(-3\) V (vs SCE), the thickness increased with deposition time and followed the Hamaker’s equation, which one was not due to an ageing of the sol under electric field (in a range of time and voltage between respectively \(0; 90\) min and \(0; -3.5\) V (vs SCE)). However, the use of aqueous medium induced electrolysis of water, which disturbs the homogeneity of the deposit. Finally working under square or pulsed voltage could be an alternative to get a good control of the morphology and the thickness of the deposit, thanks to the duty cycle (DC). This study will be discussed in future works.

Appendix A. Supplementary data

Supplementary data to this article can be found online at http://dx.doi.org/10.1016/j.surfcoat.2016.02.004.

References