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1 Clinical workflow for personalized foot pressure ulcer prevention

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15

16 **Abstract**

17 Foot pressure ulcers are a common complication of diabetes because of patient's lack of sensitivity
18 due to neuropathy. Deep pressure ulcers appear internally when pressures applied on the foot create
19 high internal strains nearby bony structures. Monitoring tissue strains in persons with diabetes is
20 therefore important for an efficient prevention. We propose to use personalized biomechanical foot
21 models to assess strains within the foot and to determine the risk of ulcer formation. Our workflow
22 generates a foot model adapted to a patient's morphology by deforming an atlas model to conform it to
23 the contours of segmented medical images of the patient's foot. Our biomechanical model is
24 composed of rigid bodies for the bones, joined by ligaments and muscles, and a Finite Element mesh
25 representing the soft tissues. Using our registration algorithm to conform three datasets, three new
26 patient models were created. After applying a pressure load below these foot models, the Von Mises
27 equivalent strains and "cluster volumes" (i.e. volumes of contiguous elements with strains above a
28 given threshold) were measured within eight functionally meaningful foot regions. The results show
29 the variability of both location and strain values among the three considered patients. This study also
30 confirms that the anatomy of the foot has an influence on the risk of pressure ulcer.

31
32 Keywords: Foot pressure ulcer; Soft tissues; Patient-Specific; Finite element method.
33

34 **1. Introduction**

35
36 It has been estimated that a limb is lost every 30 seconds in the world due to diabetes. This
37 trend is expected to be multiplied by four in the next 15 years with the pandemic evolution of diabetes

38 (Shaw et al., 1997). In addition to causing pain and morbidity, foot lesions in diabetic patients have
39 substantial direct and indirect economic consequences (Shearer et al., 2003)(Gordois et al., 2003).
40 Diabetic foot ulcers result from multiple pathophysiological mechanisms, including neuropathy,
41 peripheral vascular disease, high foot pressures, foot deformity, and diabetes severity (Telfer et al.,
42 2014). Several studies (Mueller, 1992)(Loerakker et al., 2011) recognized at least three mechanisms
43 leading to pressure ulcer: (1) ischemia caused by increased pressure duration even for low induced
44 strains, (2) high internal tissue strains created by increased pressure magnitude, and/or (3) tissue
45 fatigue caused by increased number of periodic pressure loads. Time and strain have an inversely
46 proportional contribution to ulceration (Kosiak, 1959)(Loerakker et al., 2011)(Van Schie et al., 2006):
47 high strains take a relatively short time (a few minutes) to cause ulceration whereas low strains induce
48 lesion after a longer period (between two and four hours). Short and long term lesion inducing strain
49 thresholds have been characterized by (Loerakker et al., 2011) in muscle tissues. The obtained values
50 were around 50 % of deformation for short term high strains and 20 % of deformation for long term
51 low strains. This study also showed that fat tissues have large strain variations (although not as large
52 as muscle tissues) and they might suffer from pressure ulcer. The two strain thresholds aforementioned
53 are therefore key values in pressure ulcer prevention.

54 Daily monitoring by the patient or clinical staff is the main tool to prevent foot pressure ulcers
55 and results in an estimated reduction of foot ulcers and amputations from 50% to 80% (Boulton et al.,
56 2005). Because early stages of ulceration are not always visible, both patient's and staff's vigilances
57 tend to decrease over time. Unfortunately, in the case of diabetic patients, it is precisely when the first
58 ulcers appear that serious complications develop, mainly because of the angiopathy, which severely
59 limits healing.

60 It is consequently essential to introduce new monitoring tools to promote awareness and as a
61 result, patient's autonomy in everyday life. Measuring pressure loads at the skin surface, all around the
62 foot, and, if possible, estimating the corresponding internal strains could help preventing further
63 ulceration and facilitate wound healing (Gefen, 2010). Measuring interface pressures can be performed
64 with a pressure sensor such as the ones proposed by Novel (<http://www.novel.de>), Tekscan
65 (<http://www.tekscan.com>), Vista Medical (<http://www.pressuremapping.com>) or Taxisense
66 (<http://www.taxisense.com>), however several studies established that using pressure measurements at
67 the skin interface is not sufficient to prevent foot pressure ulcers, especially the ones starting deep in
68 the tissues and causing substantial subcutaneous damage underneath intact skin (Linder-Ganz et al.,
69 2008)(Atlas et al., 2009)(Gefen, 2003). Indeed surface measurements do not provide enough
70 information as to predict ulcer formation in a reliable way (Linder-Ganz et al., 2008). For example,
71 with the same pressure map, a patient with a sharp calcaneus, or a thinner heel pad, could develop a
72 pressure ulcer while another one, with a different morphology, might not. Pressure ulcer risk is
73 consequently highly patient-related and integrates a number of factors such as bones' curvature
74 (Luboz et al., 2015), or soft tissue thickness (in skin, fat and muscles)(Gefen, 2010). Monitoring
75 internal strains is currently a consensual criterion to assess the risk of pressure ulcer and has been
76 widely used in previous studies (Linder-Ganz et al., 2008)(Oomens et al., 2003). Nevertheless,
77 measuring internal strains *in vivo* being impossible, a biomechanical model integrating the behavior of
78 the foot internal soft tissues and bones is needed to assess internal strains and the resulting risk of
79 ulceration. Furthermore because of inter-individual anatomical variability, personalized biomechanical
80 models must be resorted to in order to accurately estimate internal strains and implement an adequate
81 prevention strategy (Gefen, 2010)(Luboz et al., 2015)(Tenenbaum et al., 2013).

82 Several studies have demonstrated the use of biomechanical foot models to estimate internal
83 strains. Most of the feasibility studies are limited to a single foot model generated for a specific
84 patient, and seem difficult to extend in an automatic fashion to a wider group of subjects – not to
85 mention – in clinical routine. For example, (Ledoux et al., 2004) modelled the soft tissues under the
86 foot (skin, fat and muscles) as a Finite Element (FE) mesh with a homogeneous linear elastic material,
87 bones as rigid FE meshes; joints were accounted for as idealized contacts between bones, and around
88 20 ligaments connecting the mid foot bones were modelled as cables. In another study, (Chen et al.,
89 2010) proposed a more detailed FE foot model including almost all foot ligaments and using a large
90 deformation Mooney Rivlin constitutive law for the soft tissue bulk. Even though this model is fairly
91 complete, it lacks computational efficiency and does not distinguish between different tissue types.
92 These drawbacks were addressed in the model that we recently proposed (Perrier et al., 2015) with
93 foot soft tissues represented as four different Neo Hookean materials for skin, fat, heel pad fat, and
94 muscles respectively. In this model, bones were represented as rigid bodies connected by the most
95 significant ligaments of the foot, modeled as cables. Nevertheless, this last model, just like the two
96 previously cited ones, was generated from a single subject dataset and is consequently only
97 representative of this particular morphology. In this paper, inspired by our previous study on patient-
98 specific modelling of the calcaneus (Luboz et al., 2015), we propose to use this complex foot model as
99 an atlas – or generic model - and to generate new patient-specific models by deforming this atlas to fit
100 the patients' specific morphology. The goal is to design a process making it possible to produce
101 patient-specific biomechanical models in the most automated and user-friendly way possible. The
102 proposed modeling technique could be used to study the influence of variability in morphology on
103 pressure ulcer formation. Its further goal is to provide insight at how morphological specificities
104 should be accounted for in the design of medical devices to optimize strain monitoring-based
105 prevention for each individual. The following study has been carried out in a static analysis framework
106 i.e. does not take into account the duration or repetitive mechanisms leading to pressure ulcer but only
107 tissue compression resulting from a static stance.

108 109 **2. Methods**

110 111 2.1 Foot model atlas

112
113 The shape of the atlas model is based on a single subject (male, 33, healthy) and is presented
114 in details in (Perrier et al. 2014a)(Perrier et al., 2015). The contours of the skin, heel fat pad, muscles,
115 and bones were manually segmented from the CT scan of the right foot of this healthy subject. An
116 automatic FE mesh generator (developed by Taxisense) was run on the resulting surfaces, and
117 produced a conforming multi-domain FE mesh containing four layers: muscles, fat, heel fat pad, and
118 skin (Figure 1). The meshing algorithm generates as many hexahedrons as possible in the core of the
119 continuum to limit the locking effect observed for tetrahedral elements under quasi-incompressible
120 assumption. Smooth and conforming boundaries between the different internal domains are defined
121 using transition elements such as pyramids, wedges, or tetrahedrons. The meshing procedure led to a
122 FE mesh having 44,220 elements, including 3,610 hexahedrons, 12,062 pyramids, 8,674 wedges, and
123 19,874 tetrahedrons, for a total of 19,574 nodes.

124 Finite Element analyses are carried out on the 3D simulation platform ArtiSynth (Lloyd et al.,
125 2012)(www.artisynth.org). Soft tissues (skin, fat, and muscles) are modelled using Neo Hookean
126 materials in order to account for large deformations. Each layer is assigned distinct material properties,

127 drawn from literature (Sopher et al., 2011). Young moduli were set to 200 kPa for the skin, 60 kPa for
128 the muscles, 100 kPa for the heel fat pad, and 30 kPa for the rest of the fat. Assuming these tissues are
129 quasi-incompressible, we set the Poisson ratios to 0.485 for the skin, 0.495 for the muscles, 0.499 for
130 the heel fat pad, and 0.49 for other fatty tissue. Bones, featuring a significantly higher stiffness, are
131 modeled as rigid bodies and their shapes are cut out within the soft tissue continuum, i.e. without any
132 finite element inside the volume. The foot 28 bones, the tibia, and fibula are integrated in the model.
133 Each bone can collide with its neighbors and is connected to them by several ligaments, forming the
134 joints. FE nodes nearby bony surfaces are automatically attached to the neighboring bones, which
135 results in a non-sliding soft tissue-bone interface. The main musculoskeletal structures are modeled by
136 active cables elements within the Artisynth framework.

137 The numerical foot model is divided in two components. The first component is a
138 musculoskeletal model accounting for rigid body motion within the foot. This model implements
139 anatomical constrains such as contacts between adjacent bones, action of the ligaments, or simulated
140 muscle contractions. The second component is the soft tissue continuum modeled by the FE mesh
141 which is iteratively coupled with the musculoskeletal component and translates boundary conditions
142 and internal rigid body motion into elastic deformation in soft tissues.

143

144 2.2 Patient data

145

146 In order to generate patient-specific models, a description of the patient's morphology is
147 needed and more specifically the contours of bones as well as the external skin surface. These shapes
148 are used by the atlas-to-patient registration procedure in order to compute the anatomical transfer of
149 musculoskeletal data. This three-dimensional information can be provided by various sources such as
150 CT, MR scans, or EOS images (provided a 3D reconstruction of the morphology is performed by the
151 latter bi-planar imaging device). Extracting tissue contours from these modalities involves specific
152 automatic or semi-automatic procedures and is a challenge in itself that lies beyond the scope of this
153 article. In our study, this task has been carried out manually. In the remainder of the article, we
154 describe our workflow assuming that the medical images are already labelled (i.e. segmented),
155 forming so-called "binary images". Each label in a binary image represents a distinct bone or soft
156 tissue, which will be implemented as a modeling domain in subsequent mesh generation steps.

157 Three patients were included in our study: a 70 year old male (BR), a 67 year old male (FP)
158 and a 55 year old male (FC). Images were acquired while the patients were in dorsal decubitus, legs
159 and feet supported by the table. The exams were performed in the context of a vascular exam using CT
160 angiography. Clinical exam revealed that the feet in all three patients were healthy. The size of the CT
161 volumes is as follows: 220x404x519 for BR, 196x276x575 for FC, and 183x297x580 for FP, and the
162 resolution is $1 \times 1 \times 1 \text{ mm}^3$ in all three datasets. The right foot is modeled for both FC and FP, and the
163 left foot for BR.

164

165 2.3 Patient-specific model generation

166

167 Shapes of patient's bones and skin were recovered from binary images. Bony surfaces were
168 directly used as rigid bodies in the numerical model. Each patient's personalized musculoskeletal
169 model was generated by registering the patient's bones and skin with their counterparts in the atlas.
170 The Mesh-Match-and-Repair (MMRep) registration algorithm is used to perform this task
171 automatically in about a minute (Bucki et al., 2010). Our implementation of the atlas to patient

172 registration procedure is divided into three steps increasingly introducing distortion in the data: (1) a
173 rigid registration that roughly positions the patient data set with respect to the atlas model, (2) an
174 affine deformation that compensates for global scale discrepancies, followed by 3) an elastic
175 registration that accurately fits the bony contours and the skin surface. Once all three deformation
176 functions are combined, the resulting deformation is applied to the atlas dataset to transfer the atlas
177 information (muscles, ligament insertions, fat pad, plantar fascia) into the patient's referential. The
178 procedure – producing the musculoskeletal component of the patient model – is automatic and takes
179 about two minutes.

180 The assembly of the soft tissue continuum mainly consists of the generation of the FE mesh
181 corresponding to the fat, muscle, fat pad and skin domains. The outlines of these domains however are
182 not present in the binary images in our dataset. Indeed, for the sake of integration of our procedure
183 within a realistic clinical workflow, we assumed that only a basic segmentation could be performed to
184 extract the prominent morphological features that are the bones and skin. In order to overcome this
185 practical hurdle, we again resort to the atlas approach to infer the missing information in the patient
186 data. The volumetric deformation function computed using the MMRRep algorithm for the tendon and
187 ligament insertions is applied to the soft tissue domains defined in the atlas that we wish to replicate in
188 the patient's model. The outlines (materialized by triangular surface meshes) of both the muscles and
189 fat pad are deformed and their position is adjusted to fit the patient's bones and skin. Then Taxisense
190 mesher algorithm is used to automatically produce a conforming FE mesh of the domains. This step
191 takes about three minutes. Before the mesh generation, a preprocessing step for the selection of the
192 region of interest containing the foot (simple cropping of the image around the skin and bones) is
193 performed manually and requires about 10 minutes of user intervention. The definitions of the muscle
194 and fat pad subdomains in the patient model rely on assumptions formulated in the atlas as well as
195 approximations involved by the registration procedure. However, in the current state of the art, we
196 believe that the atlas paradigm provides the right balance between the efficiency of existing image
197 processing techniques and a level of accuracy required for the targeted biomechanical simulation in
198 the context of pressure ulcer prevention. In the future, should a new segmentation algorithm (or a new
199 imaging modality) appear that would enable an accurate and cost effective segmentation of one or all
200 of these domains, our approach could easily take advantage of it by replacing our registered domain by
201 the actual one yielded by the novel technique.

202 Once the FE mesh has been produced, a quality control and optimization step is performed to
203 improve the elements that might put FE analysis in jeopardy. These elements are identified using
204 popular FE quality metrics such as Aspect Ratio and Jacobian Ratio and derivations of such. This step
205 is semi-automatic as an informed user needs to supervise this mesh untangling. However, once the
206 parameters set, mesh relaxation is automatic and takes approximately five minutes.

207 Lastly, since the atlas is a right foot, plain mid-sagittal plane symmetry flips it to
208 accommodate a left foot in the patient.

209 The whole above described model specialization process takes less than 20 minutes.

210

211 2.4 Estimation of foot ulcer risk through simulation

212

213 To study the influence of foot morphology on the location and magnitude of internal strains,
214 and therefore on the risk of pressure ulcer, a common pressure pattern simulating a static unipodal
215 stance was applied below all three virtual foot soles. The chosen plantar pressure pattern was
216 measured using a commercially available pressure sensor (Zebris platform, <http://www.zebris.de/>)

217 under the right foot of the subject used to build the atlas (Figure 2). Most of the plantar pressures
218 mainly appear below the heel and the metatarsal heads, with a peak of 14.5 N.cm^{-2} below the
219 calcaneus. The pressure pattern has been mirrored prior to applying the boundary conditions on the left
220 foot of patient BR. The pressure map was aligned under the foot by fitting the highest pressure peak
221 below the lowest point of the calcaneus for each patient, which implicitly forms the assumption that
222 this bony prominence is the source of peak pressures under the heel. The axis of the foot was given by
223 the vector pointing from this lowest calcaneus point to the lowest point under the second metatarsal
224 head.

225 During the simulations, the tibia was fixed while the rest of the foot and the fibula were left
226 free to move. The first phase of the simulations allowed the foot to relax under the influence of the
227 multiple tendons, ligaments and muscles of the model which tend to recover their equilibrium length
228 and thus generate pre-stresses in the FE continuum. These musculoskeletal structures were not initially
229 at their resting length because their morphology is derived from the medical image dataset, and
230 consequently from the pre constrained position imposed upon the patient during the medical exam.
231 Once steady state reached, pressure patterns were projected below the foot and the FE nodes at the
232 surface of the foot model were assigned the pressure corresponding to their position in the pressure
233 pattern. These normal pressure values were converted into nodal forces. Plantar pressure was applied
234 gradually. Once 100 % of the pressure was applied, the loading was maintained until equilibrium was
235 reached. The reported strain values are those measured in the final equilibrium configuration of the
236 models.

237

238 2.5 Cluster analysis and regionalization

239

240 In order to assess the risk of pressure ulcer, besides monitoring the maximal internal strains, we
241 introduce a novel paradigm in our Finite Element simulations by considering the volume of the largest
242 group of adjacent elements with nodes exhibiting a VM strain over one of the considered 20 or 50 %
243 thresholds, as suggested by (Loerakker et al., 2011) for the muscle tissue. We call “clusters” the
244 isolated groups of such adjacent and over-strained elements. Clusters are determined by aggregating
245 all the neighboring elements with strains higher than a given threshold. The external boundary of a
246 cluster is defined as the set of all cluster element faces not shared by another element in the cluster.
247 The shape, volume and hence boundary of clusters depend on each individual’s morphology and tissue
248 behavior. A cluster can be heterogeneous in tissue nature which means that fat, muscle, and/or skin
249 elements can share a common cluster. In the absence of results for fatty tissues at the time of this
250 study, we applied the same strain thresholds for the whole soft tissue bulk. Should any future
251 experiments lead to strain threshold values in fat or skin, these parameters could easily be integrated in
252 the cluster definition by merely adjusting each element’s inclusion test to the threshold of the tissue it
253 stands for in the model.

254 One possible interpretation that can be derived from clusters is referred to, herein, as “cluster
255 volume” and is merely the volume (in mm^3) of the considered cluster. However, other “indicators” –
256 i.e. scalar interpretations of these clustered subsets of elements within the continuum mesh – can be
257 contemplated but were not investigated in this study. Let’s just mention a few : the extent of a cluster
258 in a specific direction – e.g. in anisotropic tissues, the amount of blood vessels that it encompasses and
259 is likely to influence, the proportion of different kinds of soft tissues within, or its geometrical
260 correlation with the patient’s previous lesion history.

261 Clusters allow quantitative comparison of tissue suffering levels among individuals while
262 overcoming the lack of reliability of peak VM strains which can locally stem from numerical
263 uncertainty in the FE analysis. The mathematical rationale here is that important jumps in the gradient
264 of the solution (here the displacement field) are a known indicator of local numerical uncertainty in
265 Finite Element analysis (Verfürth, 1999) and it is unwise to draw conclusions from these local
266 epiphenomena.

267 Cluster analysis however introduces a new unknown which is the “minimal lethal cluster
268 volume” i.e. the value of the volume of tissue above which a pressure ulcer may develop following
269 one of the above-defined thresholds i.e. short term or long term lesion. In the absence of physiological
270 definition of such volume, we are at the moment restricted to relative conclusions which can be
271 formulated as: “*this patient is more at risk than that patient, or this insole is better suited for this*
272 *patient than that insole.*” Indeed, cluster volume might either indicate the lack of relevance of a strain
273 value if it is associated with a negligible volume (yet to be defined) or, on the contrary, show that the
274 strain value is observed at a macroscopic scale and most likely affects a significant volume of tissue,
275 hence leading to a possible lesion. To rephrase our proposition, the assertion “*The maximal volume of*
276 *tissue clusters undergoing a VM strain > X % is Y mm³*” (which can be formulated in the framework
277 of cluster analysis and interpreted in a comparative study) is more intuitive and numerically more
278 robust than the assertion “*The maximal VM strain in the model is Z %.*”
279

280 Cluster localization within the foot volume also provides information on the areas where
281 lesions are prone to appear. To make the interpretation of the results more intuitive and clinically
282 relevant the foot was partitioned into eight key anatomical regions defined as follow (Figure 3): (1) the
283 Achilles tendon, (2) the top of the foot, (3) the heel, (4) the medial foot, (5) the first metatarsus, (6) the
284 four other metatarsi, (7) the hallux, (8) and the four other toes. This partitioning makes it possible to
285 correlate cluster volumes with their respective locations within the foot anatomy which in turn relates
286 to corresponding foot functions that might be affected by a potential lesion. A risk level per region can
287 thus be assessed and used to refine a prevention strategy or the design of an orthosis. The foot regions
288 are defined within the atlas and are automatically adjusted to each patient’s foot using the MMRRep
289 inference procedure described above.
290

291 **3. Results**

292
293 Finite Element meshes for the atlas model and the three studied patients are presented in
294 Figure 4. Morphological differences between the three patients are obvious: various external foot
295 shapes, and various individual bone shapes and orientations. The main difference in terms of external
296 shape is around the phalanges of subject FC compared to subjects FP and BR, and even though
297 moderately, with the atlas. The ankles of the atlas and subject FC are also quite different in shape
298 compared to subject FP and BR, which are more prominent. In terms of internal morphology, BR has
299 very narrow metatarsal bones compared to the other subjects. The talus of the atlas and subject FC are
300 wider than the ones of subjects FP and BR. The navicular of the atlas and subject FC are located more
301 medially than the ones of subjects FP and BR. The calcaneus of subject FC and BR are curved more
302 medially than the ones of subject FP and the atlas.

303 The maximal Von Mises strains and cluster volumes for the atlas and patients are summarized
304 in Table 1 (for a threshold of VM strains over 20%, representing long term lesion) and Table 2 (for a
305 threshold of VM strains over 50%, representing short term lesion). For each patient (atlas, FC, FP and

306 BR) the table is split vertically in two columns: maximal cluster volume for the considered VM strain
307 (20% in table 1, 50% in table 2) and maximum VM strains inside that maximum cluster volume. Both
308 tables are divided horizontally providing detailed strain information for each of the eight anatomical
309 regions. The mean and standard deviation are also provided for the eight regions.

310 For the atlas, it can be seen that the highest cluster volume is located in the heel region (50.8
311 cm^3 with VM strains above 20 %). As for the VM strains, the highest values are located in region 6
312 comprising the second, third, fourth and fifth metatarsi (161 %), the top of the foot region (145 %),
313 and the medial foot region (107 %). These values are highlighted in Figure 5, which illustrates, in a
314 color code, the VM strains and the cluster volumes region by region. For each foot, the maximum
315 value is coded as red and the minimum is blue. In Figure 5, the Achilles and top of the foot regions are
316 not shown as the regions mostly at risk under plantar compression are below the foot. Figure 6 shows
317 the differences in locations of the maximal VM strain and the largest cluster volume, compared to the
318 calcaneus bone.

319 For patient FC, the maximum VM strain (348 %) is located in region 5 with the first
320 metatarsal bone, while the maximum cluster volume (74.4 cm^3 for VM strains above 20 %) is in the
321 heel region. For patient FP, these regions become the top of the foot region with values of 399 %
322 (which is a numerical singularity due to a node compressed between two bones: the calcaneus and the
323 cuboid) for the maximum VM strain and the medial foot region with a maximum cluster volume of
324 62.2 cm^3 (for VM strains above 20 %). Note that for this patient, a second region is highly at risk: the
325 heel region, as it reaches a cluster volume of 57.5 cm^3 (for VM strains above 20 %). For patient BR,
326 the maximum VM strains point to the medial foot region with 205 % for a high risk of pressure ulcer
327 while the cluster volumes again highlight the heel region (63.0 cm^3 for VM strains above 20 %) as
328 most at risk. Note that the cluster volumes for the medial region is only 14.1 cm^3 , therefore
329 corroborating that this region is less at risk than the heel region.

330 A similar analysis can be made for all datasets using Table 2 and Figure 5 based on the VM
331 strain threshold of 50 %.

332

333 4. Discussion

334

335 For the same pressure pattern applied below the foot of each patient, the variability of the
336 results among patients presented in Tables 1, 2 and in Figure 5 clearly point out the influence of the
337 patient's morphology. Whereas the simulations report a huge range of variations for maximum VM
338 strains (between 161 to 399% from one patient to the other, with almost all foot regions affected,
339 including the upper part of the foot which seems not relevant), the values reported for the maximum
340 cluster volumes seem more coherent. Indeed, except a specific case (patient FP who has a medial foot
341 maximal cluster volume barely higher than for the heel), the maximal cluster volume is located in the
342 heel region for all patients, with values ranging between 50.8 and 74.4 cm^3 (for VM strains above 20
343 %) and between 0 and 21.6 cm^3 (for VM strains above 50%).

344 These results seem therefore to show that only monitoring the maximum VM strain is
345 probably not the best option to evaluate the risk of pressure ulcer and its location. This observation
346 seems similar to the one stemmed from another study on buttocks' ulcer analysis (Luboz et al., 2014).
347 The non-realistic strain value of 399 % reported for patient FP is a good example of numerical
348 "outliers" that can be generated by any FE model submitted to high pressures. This high value strain
349 singularity is located at only one node that appears to be squeezed between the cuboid and calcaneus
350 bones; the element associated to that node is therefore deformed a lot because of the mesh

351 configuration and not because of the pressure applied below the foot. Furthermore, the high VM
352 strains reported in the top of the foot (regions 1 and 2) for the atlas, patient FP and patient BR also
353 show the limitations of using this criterion as high strain values should not be located in the top of the
354 foot during a plantar load. Such singularities can be ignored by analyzing the maximum cluster
355 volumes. Indeed, this analysis checks if the maximum strain is located at a single node (or few nodes),
356 by computing the volume associated to contiguous elements exhibiting a strain over a given threshold.
357 Outliers such as the one observed in our simulations will thus automatically be ignored by the cluster
358 analysis since they only affect a much reduced volume of tissues. This is demonstrated especially for
359 the atlas and patient FP where the heel region is not described as at risk by the maximal VM strain
360 analysis while it is clearly at risk for the cluster volume one.

361 Given the variety of bone shapes observed in our small sample, see Figure 6 for an example on
362 the calcaneus shape, we think that an accurate representation of the internal structures is necessary to
363 capture accurately the behavior of soft tissues under compression for an articulated foot. A non-linear
364 registration step is therefore compulsory in order to transfer the anatomical knowledge from the atlas
365 to the patient. Using only steps 1 and 2 (rigid and affine) in the MMRRep procedure described in
366 paragraph 2.3 would result in an approximate deformation that is likely to miss morphological
367 specificities that can possibly result in an injury, see Figure 6 for the location of the strains above the
368 threshold of 20 % below the calcaneus of each patient. This is particularly true in the case of strongly
369 pathological feet exhibiting large differences with the atlas mesh.

370 Another important conclusion is that, consistently with other pressure ulcer prevention studies
371 (Gefen, 2003)(Gefen, 2010)(Luboz et al., 2014)(Luboz et al., 2015), it appears impossible to build a
372 pressure ulcer risk assessment scale by relying solely on interface pressures. The compression of soft
373 tissues, with patient dependent thicknesses and parameters, under personalized bony prominences is
374 key to an efficient personalized prevention strategy. This is for example the case for the medial foot
375 region of patient FP which is subject to the same pressure as the other patients but turns to be at risk
376 for patient FP and not for the others.

377 A large variability of results has been observed on a small sample (N=3) of patients and these
378 trends would most likely be confirmed on a larger sample.

379
380 One limitation of our models is probably the fact that the same generic tissue constitutive law
381 was proposed for each patient. The Neo Hookean law was chosen to simulate the quasi-
382 incompressibility of the soft tissues and to account for large deformations. The Young moduli of each
383 tissue (muscle, skin, fat and fat pad) are based on the literature, as introduced in section 2.1. We
384 assumed the same constitutive parameters to avoid hindering the influence of the anatomy in this
385 study. However, the variations of the soft tissues properties would need to be specified for each
386 patient, for example by using indentation or elastography techniques.

387
388 Another limitation identified during this study is the difficulty to compute a proper non-linear
389 registration between the atlas and patient toes. Indeed, the differences between the toes' posture
390 combined with the complexity of the shape drive the elastic registration algorithm towards a local
391 minimum. Registration accuracy in this region is thus compromised as can be seen in Figure 5 where
392 the toes in patients exhibit an unnatural distortion (see e.g. the fifth toe of patient FP and the first toe
393 of patient BR). However, this phenomenon has only a regional effect and does not influence the results
394 observed in other regions thanks to the realistic stress smoothing introduced by the foot tendons and
395 ligaments included in our model and the different layers of material properties modelling the skin, fat

396 and muscles. The discussion carried out on the heel and medial foot thus retains its relevance. An
397 accurate registration in the toe region will require the individualization of each toe in order to rewrite
398 the objective function used in MMRep to compute the volumetric deformation.
399

400 Finally, it must be noted that our comments are based on a static analysis (unipodal stance)
401 while pressure ulcers can appear while walking. Gait can be decomposed into a number of foot
402 positions on the ground and their corresponding pressure maps. Applying these pressure maps under
403 the foot model would make it possible to simulate the deformation of the foot at each gait phase. It
404 would therefore be possible to perform a dynamic analysis and estimate the risk of pressure ulcer at
405 these stages exactly as it is done in this paper for unipodal standing alone.
406

407 In order to implement an efficient pressure ulcer prevention strategy, daily monitoring of
408 plantar pressures underneath the foot (but also above the toes and wherever lesions may develop) is
409 necessary, and as the present study points out, it also needs to be coupled with a predictive and
410 personalized biomechanical model of the foot. Although plantar pressure monitoring is feasible in a
411 laboratory or clinical setting using a heavy and expensive pressure sensor (such as the Zebris platform
412 used in this article), it is impossible to implement for a large number of patients on a daily basis. To
413 achieve this goal, a lighter and less expensive pressure sensor (such as the ones proposed by Novel,
414 Tekscan, Vista Medical or Taxisense) able to monitor the patient's foot pressures in his/her daily tasks
415 must be used. For example, using a technology similar to that recently employed for the conception of
416 the TexiCare device dedicated to the prevention of seated buttock pressure ulcers for people with
417 spinal cord injury (Chenu et al., 2013), a "Smart Sock" (Bucki et al., 2011)(Perrier et al. 2014b), has
418 been developed. It is made of a 100 % textile pressure sensing fabric wirelessly connected to a
419 controller which can record and monitor the pressures all around the foot (not only under the sole). It
420 can be used continuously during everyday activities. Once coupled to personalized biomechanical
421 models such as the ones presented here, this device could be used to estimate the internal strains and to
422 raise an alert, should the risk factor exceed a predefined personalized threshold. The main limitation at
423 the moment lies in the implementation on a mobile platform of the complex biomechanical models
424 such as the ones described here, where large non-linearities (mechanical, geometrical, contacts) need
425 to be taken into account. At the moment the simulations require approximately three hours to converge
426 to a steady state for a single pressure pattern applied to the foot sole. Our team is working towards
427 drastically reducing the computational complexity of the models – while retaining most of their
428 accuracy – in order to bring this technology into the clinical practice and benefit to the largest number
429 of users: personalized prevention algorithms seamlessly embedded in wearable devices.
430

431 **5. Conclusion**

432

433 A workflow for generating a patient-specific biomechanical model of the foot has been
434 presented and evaluated in this article in the context of pressure ulcer prevention. The technique
435 implements an atlas based approach where anatomical knowledge is automatically transferred to the
436 patient's modeling space using a non-linear registration algorithm. A new paradigm for the assessment
437 of the level of tissue suffering in the context of pressure ulcer prevention has been proposed. It is
438 based on the most recent consensus which relies on the measurement of Von Mises equivalent strains.
439 The paradigm suggests looking at the volumes of "clusters" of elements undergoing a deformation
440 greater than a predefined threshold (20% and 50% in the literature). This approach eludes the erratic

441 results yielded by the monitoring of maximal VM strain values and opens the way to comparative
442 assessment of a risk score that can be used to drive medical device design or clinical studies on a given
443 population. The new paradigm however raises a new question which is “*what is the minimal volume of*
444 *tissue undergoing damage that is likely to lead to a lesion?*” The answer to this question is beyond the
445 scope of this study and will most likely not be a single figure but rather a threshold to be investigated
446 based on the nature of the tissues (muscle or fat), the clinical condition and history of the patient, and
447 other extrinsic factors that still have to be identified.

448 The approach was assessed on three patients and demonstrates the feasibility of patient-
449 specific model generation. The evaluation was carried out by simulating the deformation of the
450 personalized biomechanical models under the influence of a static common pressure pattern applied
451 below the foot and by measuring the resulting internal strains. The results were further regionalized by
452 dividing the foot into eight functionally meaningful regions. The results indicate that, for the chosen
453 pressure pattern, the main risk of pressure ulceration is located below the heel for all four datasets
454 (three patients and the atlas). The analysis shows that cluster analysis is an interesting alternative to
455 the peak VM strain alone (as this value is strongly affected by numerical uncertainties inherent to
456 numerical methods) and could be used to predict the risk of pressure ulcer and its localization within
457 the foot regionalized representation. The study also confirms the influence of the patient’s morphology
458 on the range of the VM strains and associated cluster volumes: for the same pressure pattern, various
459 values are obtained for both criteria, on all four datasets.

460 Before implementing this pressure ulcer prevention technique in a clinical workflow, some
461 aspects of the approach still require improvement: the personalization of the patient’s material
462 properties for the various soft tissues layers, the precision of the registration on the toes, and the
463 measurement of the pressure below the foot using a flexible textile sensor in real time to allow the
464 patient to use this prevention tool on a daily basis.

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471 Ethical approval: the study was approved by the French institutional review board of Grenoble (IRB
472 5891 (CECIC) for Rhône-Alpes-Auvergne).

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554 Figure captions:

555 *Figure 1 – Cross section of the FE mesh representing the foot soft tissues: plantar skin layer,*
556 *muscle layer, and the fat in-between. The white sections represent the locations of the rigid bodies*
557 *modeling the bones.*

558 *Figure 2 – Distribution of pressures applied under all foot models used in the study. The highest*
559 *recorded pressures (14.5 N.cm^{-2}) appear in red (below the heel). Lower values are shown in blue.*

560 *Figure 3 – The eight anatomical regions defined to partition our foot model: (1) the Achilles*
561 *tendon, (2) the top of the foot, (3) the heel, (4) the medial foot, (5) the first metatarsus, (6) the four*
562 *other metatarsi, (7) the hallux, (8) and the four other toes.*

563 *Figure 4 – The three personalized biomechanical models are derived from the atlas model using the*
564 *MMRep algorithm which computes a non-linear correspondence function between atlas and patient*
565 *anatomical landmarks.*

566 *Figure 5 – Maximum strain and maximum cluster volume repartition for each anatomical region*
567 *and for each patient, according to the two strain thresholds of 20 and 50 %. For the strains above a*
568 *threshold of 20 % and above a threshold of 50 %, red colors mean strains above 200 %. For cluster*
569 *volume above a threshold of 20 %, red means volume above 74 cm^3 . And for a cluster volume above a*
570 *threshold of 50 %, red means volume above 6 cm^3 .*

571 *Figure 6 – Location of the strains above the threshold of 20 % below the calcaneus of each patient*
572 *(a blue color represents strains close to 20 % while red is the maximum, around 110 %). The*
573 *morphological variation from one patient to another can also be observed.*

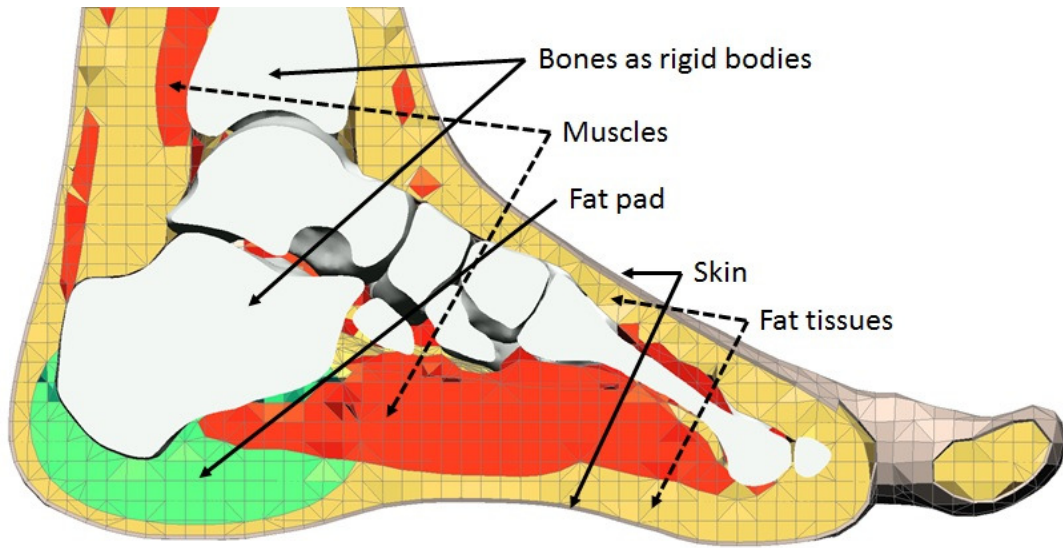
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575 *Table 1 – Maximal Von Mises (VM) strains (in %) in the cluster of maximum volume and cluster*
576 *volumes (in cm^3) above 20% for the atlas and the three considered patients (FC, FP and BR). The*
577 *eight rows in the table correspond to the eight anatomical regions. The mean and standard deviation*

578 *(in percentage points, ppt) for these eight regions are shown for both maximal VM and maximal*
579 *cluster volumes.*

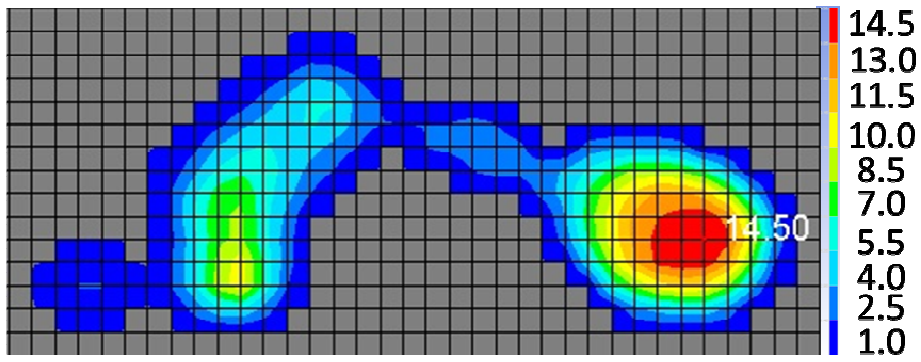
580 *Table 2 – Maximal Von Mises (VM) strains (in %) in the cluster of maximum volume and cluster*
581 *volumes (in cm³) above 50% for the atlas and the three considered patients (FC, FP and BR). The*
582 *eight rows in the table correspond to the eight anatomical regions. The mean and standard deviation*
583 *(in percentage points, ppt) for these eight regions are shown for both maximal VM and maximal*
584 *cluster volumes.*

585 Figure 1



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589 Figure 2

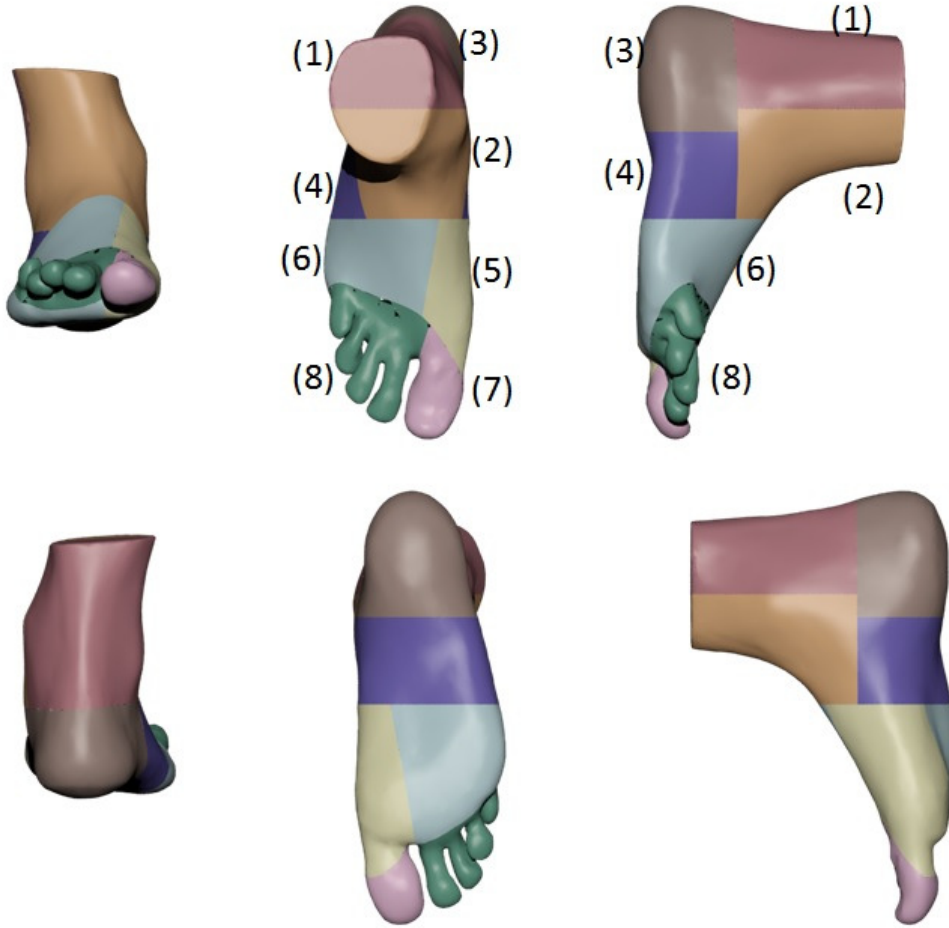


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593 Figure 3



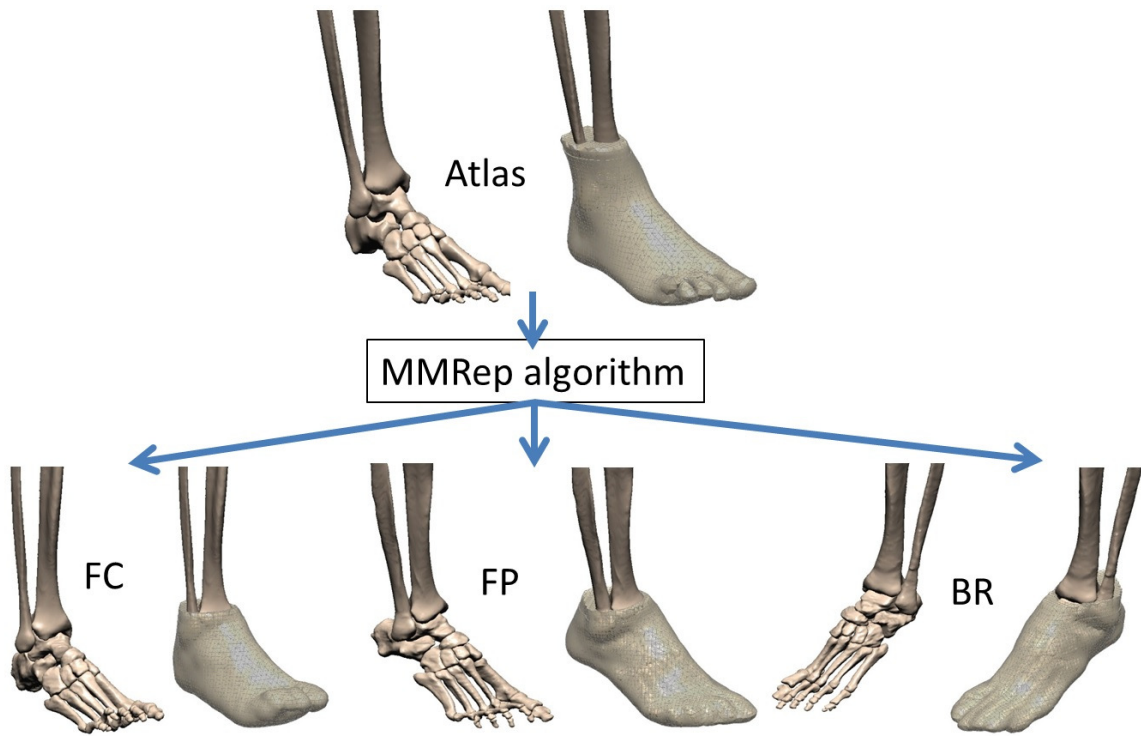
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598 Figure 4

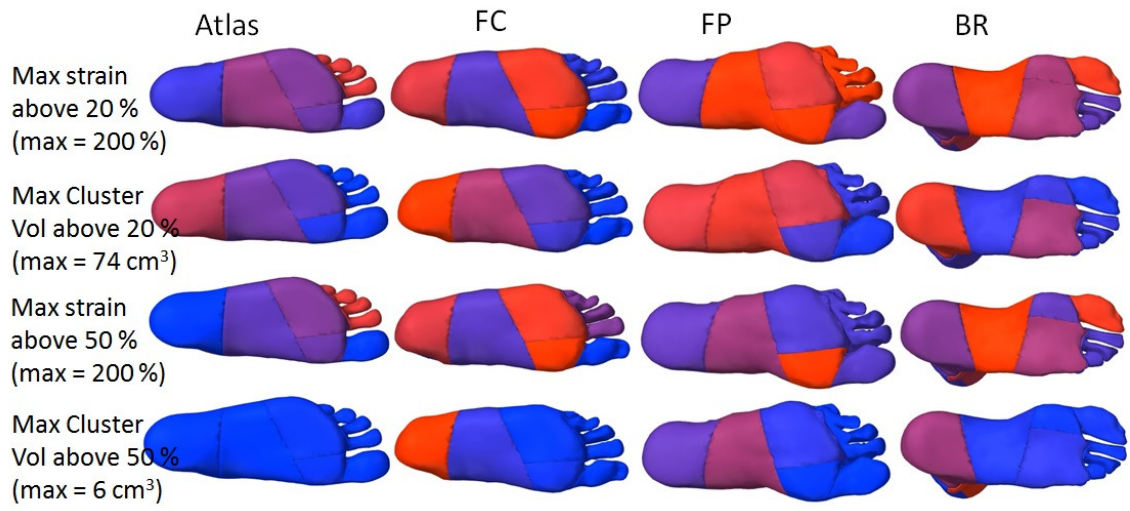


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602 Figure 5

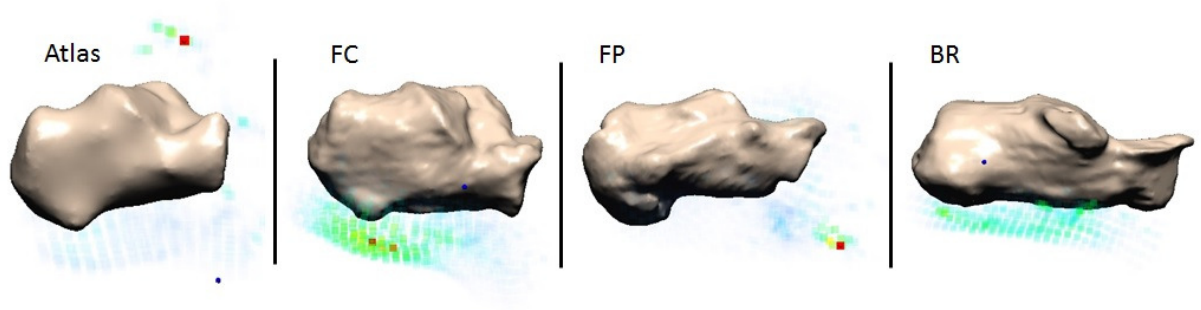


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606 Figure 6



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610 Table 1

Threshold 20 %	Atlas		FC		FP		BR	
	max cluster volume in cm3	max VM strain	max cluster volume in cm3	max VM strain	max cluster volume in cm3	max VM strain	max cluster volume in cm3	max VM strain
(1) Achilles tendon	7.3	85%	0.5	27%	5.7	90%	17.9	69%
(2) Top of the foot	7.0	145%	3.8	74%	31.1	399%	21.3	142%
(3) Heel	50.8	43%	74.4	154%	57.5	69%	63.0	94%
(4) Medio foot	30.2	107%	45.2	63%	62.2	196%	14.1	205%
(5) 1st meta	9.1	78%	17.5	348%	19.6	235%	15.7	118%
(6) 4 other meta	24.3	91%	26.1	174%	54.5	159%	42.6	117%
(7) Hallux	2.7	49%	0.0	0%	6.5	74%	7.6	179%
(8) 4 other toes	0.7	161%	2.7	31%	14.2	326%	14.0	69%
Mean	16.5	95%	21.3	109%	31.4	194%	24.5	124%
STD	17.3	42ppt	26.7	114ppt	23.5	121ppt	18.7	49ppt

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615 Table 2

Threshold 50 %	Atlas		FC		FP		BR	
	max cluster volume in cm3	max VM strain	max cluster volume in cm3	max VM strain	max cluster volume in cm3	max VM strain	max cluster volume in cm3	max VM strain
(1) Achilles tendon	0.1	85%	0.0	0%	0.3	90%	0.5	55%
(2) Top of the foot	0.2	145%	0.0	52%	2.7	121%	5.5	142%
(3) Heel	0.0	0%	21.6	154%	2.1	69%	3.4	94%
(4) Medio foot	0.0	67%	1.2	63%	3.5	113%	0.7	205%
(5) 1st meta	0.2	78%	0.4	348%	0.1	235%	0.3	69%
(6) 4 other meta	0.2	91%	0.1	174%	1.0	56%	1.0	117%
(7) Hallux	0.0	0%	0.0	0%	0.0	59%	0.5	179%
(8) 4 other toes	0.1	161%	0.0	92%	0.1	53%	0.3	57%
Mean	0.1	79%	2.9	110%	1.2	100%	1.5	115%
STD	0.1	59ppt	7.6	115ppt	1.4	61ppt	1.9	57ppt

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