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THE $S$-ADIC PISOT CONJECTURE ON TWO LETTERS

VALÉRIE BERTHÉ, MILTON MINERVINO, WOLFGANG STEINER, AND JÖRG THUSWALDNER

Abstract. We prove an extension of the well-known Pisot substitution conjecture to the $S$-adic symbolic setting on two letters. The proof relies on the use of Rauzy fractals and on the fact that strong coincidences hold in this framework.

1. Introduction

A symbolic substitution is a morphism of the free monoid. More generally, a substitution rule acts on a finite collection of tiles by first inflating them, and then subdividing them into translates of tiles of the initial collection. Substitutions thus generate symbolic dynamical systems as well as tiling spaces. The Pisot substitution conjecture states that any substitutive dynamical system has pure discrete spectrum, under the algebraic assumption that its expansion factor is a Pisot number (together with some extra assumption of irreducibility). Pure discrete spectrum means that the substitutive dynamical system is measurably conjugate to a rotation on a compact abelian group. Pisot substitutions are thus expected to produce self-similar systems with long range order. This conjecture has been proved in the two-letter case; see [BD02] together with [Hos92] or [HS03]. For more on the Pisot substitution conjecture, see e.g. [BST10, ABB+15].

We prove an extension of the two-letter Pisot substitution conjecture to the symbolic $S$-adic framework, that is, for infinite words generated by iterating different substitutions in a prescribed order. More precisely, an $S$-adic expansion of an infinite word $\omega$ is given by a sequence of substitutions $\sigma = (\sigma_n)_{n \in \mathbb{N}}$ (called directive sequence) and a sequence of letters $(i_n)_{n \in \mathbb{N}}$, such that $\omega = \lim_{n \to \infty} \sigma_0 \sigma_1 \cdots \sigma_n (i_{n+1})$. Such expansions are widely studied. They occur e.g. under the term “mixed substitution” or “multi-substitution” [GM13, PV13], or else as “fusion systems” [PFS14a, PFS14b]. They are closely connected to adic systems, such as considered e.g. in [Fis09]. For more on $S$-adic systems, see [Dur00, Dur03, DLR13, BD14].

We consider the (unimodular) Pisot $S$-adic framework introduced in [BST14], where unimodular means that the incidence matrices of the substitutions are unimodular. The $S$-adic Pisot condition is stated in terms of Lyapunov exponents: the second Lyapunov exponent associated with the shift space made of the directive sequences $\sigma$ and with the cocycles provided by the incidence matrices of the substitutions is negative. For a given directive sequence $\sigma$, let $\mathcal{L}_{\sigma}^{(k)}$ stand for the

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language associated with the shifted directive sequence \((\sigma_{n+k})_{n \in \mathbb{N}}\). The Pisot condition implies in particular that, for some \(C > 0\), the language \(L^{(k)}(\sigma)\) is \(C\)-balanced, for some infinite set of non-negative integers \(k\). Recall that \(C\)-balancedness means that the number of occurrences of any letter in any factor of given length is the same up to some deviation bounded by \(C\). We note that in \cite{Sad15} it is shown that balancedness characterizes topological conjugacy under changes in the lengths of the tiles of the associated \(\mathbb{R}\)-action on the 1-dimensional tilings.

One difficulty when working in the \(S\)-adic framework is that no natural candidate exists for a left eigenvector (that is, for a stable space). Recall that the normalized left eigenvector (whose existence comes from the Perron-Frobenius Theorem) in the substitutive case provides in particular the measure of the tiles of the associated tiling of the line. We introduce here a set of assumptions which, among other things, allows us to work with a generalized left eigenvector (that is, a stable space). We stress the fact that this vector is not canonically defined (contrary to the right eigenvector). These assumptions will be implied by the \(S\)-adic Pisot condition.

We need first to guarantee the existence of a generalized right eigenvector \(u\) (which yields the unstable space). The corresponding conditions are natural and are stated in terms of primitivity of the directive sequence \(\sigma\) in the \(S\)-adic framework. This means that long enough products of incidence matrices associated with \(\sigma\) will be positive. We also require the directive sequences \(\sigma\) to be recurrent (every finite combination of substitutions in the sequence occurs infinitely often), which yields unique ergodicity and strong convergence toward the generalized right eigendirection. We then need rational independence of the coordinates of \(u\). This is implied by the assumption of algebraic irreducibility, which states that the characteristic polynomials of long enough products of incidence matrices of \(\sigma\) are irreducible.

Lastly, we then will be able to define a vector playing the role of a left eigenvector, by compactness together with primitivity and recurrence.

The starting point of the proofs in the two-letter substitutive case is that strong coincidences hold \cite{BD02}. We also prove an analogous statement as a starting point. However the fact that strong coincidences hold at order \(n\) (i.e., for the product \(\sigma_0 \sigma_1 \cdots \sigma_n\)) does not necessarily imply strong coincidences at order \(m\) for \(m > n\) (which holds in the substitutive case) makes the extension to the present framework more delicate than it first occurs. The proof heavily relies, among other things, on the recurrence of the directive sequence. There are then two strategies, one based on making explicit the action of the rotation on the unit circle \cite{Hos92}, whereas the approach of \cite{HS03} uses the balanced pair algorithm. However, there seems to be no natural expression of the balanced pair algorithm in the \(S\)-adic framework. Our strategy for proving discrete spectrum thus relies on the use of “Rauzy fractals” and follows \cite{Hos92}. We recall that a Rauzy fractal is a set which is endowed with an exchange of pieces acting on it that allows to make explicit (by factorizing by a natural lattice) the maximal equicontinuous factor of the underlying symbolic dynamical system (see \cite[Section 7.5.4]{Fog02}). For more on Rauzy fractals, see e.g. \cite{Fog02, ST09, BST10}. More precisely, the strong coincidence condition implies that there is a well-defined exchange of pieces on the Rauzy fractal. It remains to factorize this exchange of pieces in order to get a circle rotation. The factorization comes from \cite{Hos92} and extends directly from the substitutive case to the present \(S\)-adic framework.
Let us now state our results more precisely. (Although most of the terminology of the statements was defined briefly in the introduction above, we refer the reader to Section 2 for exact definitions.) We just recall here that a sequence of substitutions $\sigma = (\sigma_n)_{n \in \mathbb{N}}$ on the alphabet $A = \{1, 2\}$ satisfies the strong coincidence condition if there is $n \in \mathbb{N}$ such that $\sigma_0 \sigma_1 \cdots \sigma_n(1) \in p_1 A^*$ and $\sigma_0 \sigma_1 \cdots \sigma_n(2) \in p_2 A^*$ for some $i \in A$ and words $p_1, p_2 \in A^*$ with the same abelianization $I(p_1) = I(p_2)$.

**Theorem 1.** Let $\sigma = (\sigma_n)_{n \in \mathbb{N}}$ be a primitive and algebraically irreducible sequence of substitutions over $A = \{1, 2\}$. Assume that there is $C > 0$ such that for each $\ell \in \mathbb{N}$, there is $n \geq 1$ with $(\sigma_n, \ldots, \sigma_{n+\ell-1}) = (\sigma_0, \ldots, \sigma_{\ell-1})$ and the language $L_\sigma^{(n+\ell)}$ is $C$-balanced. Then $\sigma$ satisfies the strong coincidence condition.

Note that we do not assume unimodularity of the substitutions for strong coincidences, whereas we do for the following.

**Theorem 2.** Let $\sigma = (\sigma_n)_{n \in \mathbb{N}}$ be a primitive sequence of unimodular substitutions over $A = \{1, 2\}$. Assume that there is $C > 0$ such that for each $\ell \in \mathbb{N}$, there is $n \geq 1$ with $(\sigma_n, \ldots, \sigma_{n+\ell-1}) = (\sigma_0, \ldots, \sigma_{\ell-1})$ and the language $L_\sigma^{(n+\ell)}$ is $C$-balanced. Then the $S$-adic shift $(X_\sigma, \Sigma, \mu)$, where $\Sigma$ stands for the shift and $\mu$ is the (unique) shift-invariant measure on $X$, is measurably conjugate to a rotation on the circle $S^1$; in particular, it has pure discrete spectrum.

We also prove the following metric result. Note that the assumptions of the theorem imply that the dynamical system $(D, \Sigma, \nu)$ satisfies the Pisot condition.

**Theorem 3.** Let $S$ be a finite set of unimodular substitutions on two letters, and let $(D, \Sigma, \nu)$ with $D \subset S^\mathbb{N}$ be a sofic shift. Assume that $\nu$ assigns positive measure to each (non-empty) cylinder, and that there exists a cylinder corresponding to a substitution with positive incidence matrix. Then, for $\nu$-almost all sequences $\sigma \in D$ the $S$-adic shift $(X_\sigma, \Sigma, \mu)$ is measurably conjugate to a rotation on the circle $S^1$; in particular, it has pure discrete spectrum.

Let us describe briefly the organization of the paper. We recall the required definitions in Section 2. Section 3 is devoted to the proof of the fact that the strong coincidence condition holds (Theorem 1), and we conclude the proof of Theorems 2 and 3 in Section 4.

2. **Ingredients**

The following $S$-adic framework is defined in full detail and for a finite alphabet $A = \{1, \ldots, d\}$ in [BST14]. We introduce the reader to some of the main notions and results for $d = 2$.

2.1. **$S$-adic shifts.** Let $A = \{1, 2\}$ and let $A^*$ denote the free monoid of finite words over $A$, endowed with the concatenation of words as product operation. A substitution $\sigma$ over $A$ is an endomorphism of $A^*$ sending non-empty words to non-empty words. The incidence matrix (or abelianization) of $\sigma$ is the square matrix $M_\sigma = (|\sigma(j)||i|)_{i,j \in A} \in \mathbb{N}^{2 \times 2}$, where the notation $|w|i$ stands for the number of occurrences of the letter $i$ in $w \in A^*$. The substitution $\sigma$ is said to be unimodular if $|\det M_\sigma| = 1$. The abelianization map is defined by $1: A^* \to \mathbb{N}^2$, $w \mapsto (|w|_1, |w|_2)$. Note that $M_\sigma \circ 1 = 1 \circ \sigma$. 
Let $\sigma = (\sigma_n)_{n \in \mathbb{N}}$ be a sequence of substitutions over the alphabet $\mathcal{A}$. For ease of notation we set $M_n = M_{\sigma_n}$ for $n \in \mathbb{N}$, and

$$\sigma_{[k, \ell]} = \sigma_k \sigma_{k+1} \cdots \sigma_{\ell-1} \quad \text{and} \quad M_{[k, \ell]} = M_k M_{k+1} \cdots M_{\ell-1} \quad (0 \leq k \leq \ell).$$

The sequence $\sigma$ is said to be primitive if, for each $k \in \mathbb{N}$, $M_{[k, \ell]}$ is a positive matrix for some $\ell > k$. We say that $\sigma$ is algebraically irreducible if, for each $k \in \mathbb{N}$, the characteristic polynomial of $M_{[k, \ell]}$ is irreducible for all sufficiently large $\ell$.

Recall that $w \in \mathcal{A}^*$ is called a factor of a finite or infinite word $v$ if it occurs at some position in $v$; it is a prefix if it occurs at the beginning of $v$. The language associated with the sequence $(\sigma_{m+n})_{n \in \mathbb{N}}$ is

$$\mathcal{L}_\sigma^{(m)} = \{ w \in \mathcal{A}^* : w \text{ is a factor of } \sigma_{[m,n]}(i) \text{ for some } i \in \mathcal{A}, \ n \in \mathbb{N} \} \quad (m \in \mathbb{N}).$$

We say that a pair of words $u, v \in \mathcal{A}^*$ with the same length is $C$-balanced if

$$-C \leq |u|_j - |v|_j \leq C \quad \text{for all } j \in \mathcal{A}.$$

A language $\mathcal{L}$ is $C$-balanced if each pair of words $u, v \in \mathcal{L}$ with the same length is $C$-balanced. It is said balanced if it is $C$-balanced for some $C > 0$.

The shift $\Sigma$ maps an infinite word $(\omega_n)_{n \in \mathbb{N}}$ to $(\omega_{n+1})_{n \in \mathbb{N}}$. A dynamical system $(X, \Sigma)$ is a shift space if $X$ is a closed shift-invariant set of infinite words over a finite alphabet, equipped with the product topology of the discrete topology.

Given a sequence $\sigma$, let $S = \{ \sigma_n : n \in \mathbb{N} \}$. The $S$-adic shift or $S$-adic system with sequence $\sigma$ is the shift space $(X_\sigma, \Sigma)$, where $X_\sigma$ denotes the set of infinite words $\omega$ such that each factor of $\omega$ is an element of $\mathcal{L}_\sigma^{(0)}$. If $\sigma$ is primitive, then $X_\sigma$ is the closure of the $\Sigma$-orbit of any limit word $\omega$ of $\sigma$, where $\omega \in \mathcal{A}^\mathbb{N}$ is a limit word of $\sigma$ if there is a sequence of infinite words $(\omega^{(n)})_{n \in \mathbb{N}}$ with $\omega^{(0)} = \omega$ and $\omega^{(n)} = \sigma_n(\omega^{(n-1)})$ for all $n \in \mathbb{N}$.

Recall that a shift space $(X, \Sigma)$ is minimal if every non-empty closed shift-invariant subset equals the whole set; it is called uniquely ergodic if there exists a unique shift-invariant probability measure on $X$. Let $\mu$ be a shift-invariant measure defined on $(X, \Sigma)$. A measurable eigenfunction of the system $(X, \Sigma, \mu)$ with associated eigenvalue $\alpha \in \mathbb{R}$ is an $L^2(X, \mu)$ function that satisfies $f(\Sigma^n(\omega)) = e^{2\pi i \alpha n} f(\omega)$ for all $n \in \mathbb{N}$ and $\omega \in X$. The system $(X, \Sigma, \mu)$ has pure discrete spectrum if $L^2(X, \mu)$ is spanned by the measurable eigenfunctions. Furthermore, every dynamical system with pure discrete spectrum is measurably conjugate to a Kronecker system, i.e., a rotation on a compact abelian group; see [Wal82] Theorem 3.6.

2.2. Generalized Perron-Frobenius eigenvectors. For a sequence of non-negative matrices $(M_n)_{n \in \mathbb{N}}$, there exists by [Fur60] pp. 91–95 a positive vector $u \in \mathbb{R}^2_+$ such that

$$\bigcap_{n \in \mathbb{N}} M_{[0,n)} \mathbb{R}^2_+ = \mathbb{R}^n_+ u,$$

provided there are indices $k_1 < \ell_1 \leq k_2 < \ell_2 \leq \cdots$ and a positive matrix $B$ such that $B = M_{[k_1, \ell_1]} = M_{[k_2, \ell_2]} = \cdots$. Thus, for primitive and recurrent sequences $\sigma$, this vector exists and we call it the generalized right eigenvector of $\sigma$. The following criterion for $u$ to have rationally independent coordinates is [BST14] Lemma 4.2.

Lemma 2.1. Let $\sigma$ be an algebraically irreducible sequence of substitutions with generalized right eigenvector $u$ and balanced language $\mathcal{L}_\sigma$. Then the coordinates of $u$ are rationally independent.
Contrary to the cones $M_{[0,n)} \mathbb{R}_2^2$, there is no reason for the cones $i(M_{[0,n)} \mathbb{R}_2^2)$ to be nested. Therefore, the intersection of these cones does not define a generalized left eigenvector of $\sigma$. However, for a suitable choice of $v$, we have a subsequence $(n_k)_{k \in \mathbb{N}}$ such that the directions of $v^{(n_k)} := i(M_{[0,n_k)})v$ tend to that of $v$; in this case, $v$ is called a recurrent left eigenvector.

Under the assumptions of primitivity and recurrence of $\sigma$, given a strictly increasing sequence of non-negative integers $(n_k)$, one can show that there is a recurrent left eigenvector $v \in \mathbb{R}_2^2 \setminus \{0\}$ such that

\[(2.1) \quad \lim_{k \to \infty} \frac{v^{(n_k)}}{\|v^{(n_k)}\|} = \lim_{k \to \infty} \frac{i(M_{[0,n_k)})v}{\|i(M_{[0,n_k)})v\|} = v\]

for some infinite set $K \subset \mathbb{N}$; see \cite[Lemma 5.7]{BST14}. Here and in the following, $\|\cdot\|$ denotes the maximum norm $\|\cdot\|_{\infty}$. Note that the hypotheses of Lemma 2.1 do not guarantee that the coordinates of $v$ are rationally independent.

We will work in the sequel with sequences $\sigma$ satisfying a list of conditions gathered in the following Property PRICE (which stands for Primitivity, Recurrence, algebraic Irreducibility, C-balancedness, and recurrent left Eigenvector). By \cite[Lemma 5.9]{BST14}, this property is a consequence of the assumptions of Theorem 11

**Definition 2.2 (Property PRICE).** We say that a sequence $\sigma = (\sigma_n)$ has Property PRICE w.r.t. the strictly increasing sequences $(n_k)_{k \in \mathbb{N}}$ and $(\ell_k)_{k \in \mathbb{N}}$ and the vector $v \in \mathbb{R}_2^2 \setminus \{0\}$ if the following conditions hold.

(P) There exists $h \in \mathbb{N}$ and a positive matrix $B$ such that $M_{(\ell_k-h,\ell_k)} = B$ for all $k \in \mathbb{N}$.

(R) We have $(\sigma_{n_k}, \sigma_{n_k+1}, \ldots, \sigma_{n_k+\ell_k-1}) = (\sigma_0, \sigma_1, \ldots, \sigma_{\ell_k-1})$ for all $k \in \mathbb{N}$.

(I) The directive sequence $\sigma$ is algebraically irreducible.

(C) There is $C > 0$ such that $L^{(n_k+\ell_k)}_\sigma$ is $C$-balanced for all $k \in \mathbb{N}$.

(E) We have $\lim_{k \to \infty} v^{(n_k)}/\|v^{(n_k)}\| = v$.

We also simply say that $\sigma$ satisfies Property PRICE if the five conditions hold for some not explicitly specified strictly increasing sequences $(n_k)_{k \in \mathbb{N}}$ and $(\ell_k)_{k \in \mathbb{N}}$ and some $v \in \mathbb{R}_2^2 \setminus \{0\}$.

2.3. **Criteria for algebraic irreducibility.** We do not know a general strategy for proving algebraic irreducibility of a sequence of substitutions. However, in the unimodular two-letter case, the following lemma shows that algebraic irreducibility is a consequence of primitivity. For examples of algebraically irreducible sequences on more than two letters, we refer to \cite{AI01, AD13, DHL13}.

**Lemma 2.3.** Let $\sigma$ be a primitive sequence of unimodular substitutions on the alphabet $\mathcal{A} = \{1, 2\}$. Then $\sigma$ is algebraically irreducible.

**Proof.** By primitivity, for each $k \in \mathbb{N}$, there is some $\ell > k$ such that $M_{(k,\ell)}$ is positive. Then $M_{[k,n]}$ is positive for all $n \geq \ell$. Therefore, $M_{[k,n]}$ has a Perron-Frobenius eigenvalue greater than 1. Since a two-dimensional unimodular matrix with reducible characteristic polynomial can only have the eigenvalues $\pm 1$, this proves the lemma.

2.4. **The Pisot condition.** Let $S$ be a finite set of substitutions on $\mathcal{A} = \{1, 2\}$ having invertible incidence matrices, and let $(D, \Sigma, \nu)$ with $D \subset S^\mathbb{N}$ be an (ergodic) shift equipped with a probability measure $\nu$. With each $\sigma = (\sigma_n)_{n \in \mathbb{N}} \in D$, associate the cocycle $A(\sigma) = iM_0$ and denote the Lyapunov exponents w.r.t. this cocycle by
As in the more general situation of [BD14, §6.3], we say that \((D, \Sigma, \nu)\) satisfies the Pisot condition if \(\theta_1 > 0 > \theta_2\); see also [BST14, Section 2.6] for details.

**Lemma 2.4.** Let \(S\) be a finite set of unimodular substitutions on two letters, and let \((D, \Sigma, \nu)\) with \(D \subset S^\mathbb{N}\) be an ergodic shift. Assume that \(\nu\) assigns positive measure to a cylinder corresponding to a substitution with positive incidence matrix. Then \((D, \Sigma, \nu)\) satisfies the Pisot condition.

**Proof.** Let \(Z(\tau_0, \ldots, \tau_{\ell-1})\) be a cylinder such that the incidence matrix of \(\tau_{[0,\ell)}\) is positive and \(\nu(Z(\tau_0, \ldots, \tau_{\ell-1})) > 0\). For \(\sigma = (\sigma_n)_{n \in \mathbb{N}} \in D\), let

\[
a_n(\sigma) = \# \{0 \leq k \leq n - \ell : \sigma_k = \tau_0, \ldots, \sigma_{k+\ell-1} = \tau_{\ell-1}\}.
\]

Then \(M_{[0,n)}\) can be written as a product of at least \(a_n(\sigma)/\ell\) positive matrices. Therefore, each of its coefficients has size at least \(2^{a_n(\sigma)/\ell - 1}\) and, hence,

\[
\|M_{[0,n)}\| \geq 2^{a_n(\sigma)/\ell},
\]

where \(\|\cdot\|\) denotes the matrix norm induced by the maximum norm. For \(\nu\)-almost all sequences \(\sigma = (\sigma_n)_{n \in \mathbb{N}} \in D\), we have, by Birkhoff’s Ergodic Theorem,

\[
\lim_{n \to \infty} \frac{a_n(\sigma)}{n} = \nu(Z(\tau_0, \ldots, \tau_{\ell-1})).
\]

This implies that the first Lyapunov exponent satisfies

\[
\theta_1 = \lim_{n \to \infty} \frac{1}{n} \int_D \log \|M_{[0,n)}\| \, d\nu \geq \frac{\nu(Z(\tau_0, \ldots, \tau_{\ell-1}))}{\ell} \log 2 > 0.
\]

Moreover, because of unimodularity, we have \(\theta_2 = -\theta_1 < 0\) and the result follows. \(\square\)

### 2.5. Rauzy fractals.

For a vector \(w \in \mathbb{R}^2 \setminus \{0\}\), let

\[
w^\perp = \{x \in \mathbb{R}^2 : \langle x, w \rangle = 0\}
\]

be the line orthogonal to \(w\) containing the origin, equipped with the Lebesgue measure \(\lambda\). In particular, for \(1 = ^t(1, 1), 1^\perp\) is the line of vectors whose entries sum up to 0. Let \(\pi_{u,w}\) be the projection along the direction \(u\) onto \(w^\perp\).

Given a primitive sequence of substitutions \(\sigma\), the **Rauzy fractal** associated with \(\sigma\) over \(A\) is:

\[
\mathcal{R} = \{\pi_{u,1}l(p) : p \in A^*, \text{ } p \text{ is a prefix of a limit word of } \sigma\}.
\]

The Rauzy fractal has natural **refinements** defined by

\[
\mathcal{R}(w) = \{\pi_{u,1}l(p) : p \in A^*, \text{ } pw \text{ is a prefix of a limit word of } \sigma\} \quad (w \in A^*).
\]

If \(w \in A\), then \(\mathcal{R}(w)\) is called a **subtile**. The set \(\mathcal{R}\) is bounded if and only if \(L_\sigma\) is balanced. If \(L_\sigma\) is \(C\)-balanced, then \(\mathcal{R} \subset [-C, C]^2 \cap 1^\perp\); see [BST14, Lemma 4.1]. Note that \(\mathcal{R}\) is not necessarily an interval (however, it is an interval if the language \(L_\sigma\) is Sturmian [Fog02].)
2.6. Dynamical properties of S-adic shifts. For $\sigma$ primitive, algebraically irreducible, and recurrent sequence of substitutions with balanced language $L_\sigma$, the representation map

$$\varphi : X_\sigma \to \mathcal{R}, \quad u_0 u_1 \cdots \mapsto \bigcap_{n \in \mathbb{N}} \mathcal{R}(u_0 u_1 \cdots u_n)$$

is well-defined, continuous and surjective; for more details, see [BST14] Lemma 8.3.

Suppose that the strong coincidence condition holds. Then the exchange of pieces

$$E : \mathcal{R} \to \mathcal{R}, \quad x \mapsto x + \pi_{u,1} e_i$$

is well-defined $\lambda$-almost everywhere on $\mathcal{R}$.

The following results appear in [BST14] Theorem 1. The assumptions on the directive sequence $\sigma$ are the ones of Theorem 2.

**Proposition 2.5.** Let $\sigma = (\sigma_n)_{n \in \mathbb{N}}$ be a primitive and algebraically irreducible sequence of unimodular substitutions over $\mathcal{A} = \{1, 2\}$. Assume that there is $C > 0$ such that for each $\ell \in \mathbb{N}$, there is $n \geq 1$ with $(\sigma_n, \ldots, \sigma_{n+\ell-1}) = (\sigma_0, \ldots, \sigma_{\ell-1})$ and the language $L_\sigma^{(n+\ell)}$ is $C$-balanced. Then the following results are true.

1. The $S$-adic shift $(X_\sigma, \Sigma)$ is minimal and uniquely ergodic. Let $\mu$ stand for its unique invariant measure.
2. Each subtile $\mathcal{R}(i)$, $i \in \mathcal{A}$, of the Rauzy fractal $\mathcal{R}$ is a compact set that is the closure of its interior; its boundary has zero Lebesgue measure $\lambda$.
3. If $\sigma$ satisfies the strong coincidence condition, then the subtiles $\mathcal{R}(i)$, $i \in \mathcal{A}$, are mutually disjoint in measure, and the $S$-adic shift $(X_\sigma, \Sigma, \mu)$ is measurably conjugate to the exchange of pieces $(\mathcal{R}, E, \lambda)$ via $\varphi$.

We will consider in the sequel the one-dimensional lattice $\Lambda := 1^1 \cap \mathbb{Z}^2 = \mathbb{Z}(e_2 - e_1)$. Let $\pi : 1^1 \to 1^1 / \Lambda$ be the canonical projection. Since $\pi_{u,1} e_2 \equiv \pi_{u,1} e_1 \mod \Lambda$ holds, the canonical projection of $E$ onto $1^1 / \Lambda \cong S^1$ is equal to the translation $x \mapsto x + \pi_{u,1} e_1$. Then we have the following commutative diagram:

$$
\begin{array}{ccc}
X_\sigma & \xrightarrow{\varphi} & \mathcal{R} \\
\downarrow & & \downarrow \pi \\
X_\sigma & \xrightarrow{\varphi} & \mathcal{R} \\
\end{array}
$$

3. Strong coincidence

We recall the formalism of geometric substitution introduced in [AI01]. For $[x, i] \in \mathbb{Z}^2 \times \mathcal{A}$, let

$$E_1(\sigma)[x, i] = \{[M_{p,1} x + I(p), j] : j \in \mathcal{A}, p \in \mathcal{A}^* \text{ and } pj \text{ is a prefix of } \sigma(i)\}.$$ 

Then strong coincidence holds (on two letters) if and only if there exists $n \in \mathbb{N}$ such that $E_1(\sigma_{[0,n]})[0, 1] \cap E_1(\sigma_{[0,n]})[0, 2] \neq \emptyset$.

We identify each $[x, i] \in \mathbb{Z}^2 \times \mathcal{A}$ with the segment $x + [0, 1] e_i$. Define the height (with respect to $u$ and $v$) of a point $x = tu + \pi_{u,v} x \in \mathbb{R}^2$ by $H(x) := t \in \mathbb{R}$.

According to the terminology introduced in [BD02], a configuration (of segments) $\mathcal{K}$ of size $m$ with respect to a vector $w$ is a collection of $m$ distinct segments $[x, i] \in \mathbb{Z}^2 \times \mathcal{A}$ such that some translate of $w^1$ intersects the interior of each
element of $\mathcal{K}$ (the corresponding points thus have the same height with respect to $u$ and $w$). The $n$-th iterate is

$$\mathcal{K}^{(n)} = \{ E_1(\sigma_{[0,n]})([x,i]) : [x,i] \in \mathcal{K} \}.$$ 

Note that the $n$-th iterate of a configuration is not a configuration of segments but a union of “broken lines”.

Observe that, by [BST14 Proposition 4.3 and Lemma 4.1] for a primitive, algebraically irreducible, and recurrent sequence of substitutions $\sigma$ with $C$-balanced language $L_\sigma$, we have $\lim_{n \to \infty} \pi_{u,1} M_{[0,n]} x = 0$ for each $x \in \mathbb{R}^2$ and $\| \pi_{u,1} L(p) \| \leq C$ for all prefixes $p$ of limit words of $\sigma$. Thus, for each sufficiently large $n$, the vertices of $\mathcal{K}^{(n)}$ are in

$$T_{u, C} := \{ x \in \mathbb{Z}^2 : \| \pi_{u,1} x \| < C + 1 \},$$

and we may consider only $\mathcal{K} \subset T_{u, C}$. (This corresponds to [BD02 Lemma 2] which is stated in the substitutive case.) In particular, $\{[0,1],[0,2]\}$ is a configuration as soon as $w$ has positive entries. Obviously, it is contained in $T_{u, C}$.

We say that $\mathcal{K}$ has an $n$-coincidence if there exist $[x,i], [y,j] \in \mathcal{K}$ such that $E_1(\sigma_{[0,n]})([x,i]) \cap E_1(\sigma_{[0,n]})([y,j]) \neq \emptyset$. Given a set $J \subseteq \mathbb{N}$, we say that a configuration $\mathcal{K}$ is $J$-coincident if $\mathcal{K}$ has an $n$-coincidence for some $n \in J$. Observe that $n$-coincidence does not necessarily imply $m$-coincidence for $m > n$. However, translating all vertices of a configuration by a fixed vector does not change the property of being $J$-coincident.

We first prove the following proposition, generalizing the proof of [BD02 Theorem 1].

**Proposition 3.1.** Assume that the sequence of substitutions $\sigma = (\sigma_n)_{n \in \mathbb{N}}$ over the alphabet $A = \{1,2\}$ has Property PRICE w.r.t. the sequences $(n_k)_{k \in \mathbb{N}}$ and $(\ell_k)_{k \in \mathbb{N}}$ and the vector $v$, and that

$$v^\perp \cap \mathbb{Z}^2 \cap (T_{u,C} - T_{u,C}) = \{0\},$$

with $C$ such that $L_\sigma$ is $C$-balanced. Then $\sigma$ satisfies the strong coincidence condition.

Note that (3.1) holds in particular when $v$ has rationally independent coordinates.

**Proof.** Let $(n_k)_{k \in \mathbb{N}}, (\ell_k)_{k \in \mathbb{N}}$ be the sequences of property PRICE. Consider the sets

$$J_h = \{ n_{k_0} + n_{k_1} + \cdots + n_{k_s} : s \geq 0, n_{k_j} + \ell_{k_j} \leq \ell_{k_{j+1}} \forall 0 \leq j < s, k_0 \geq h \}.$$ 

Note that $k_j < k_{j+1}$, for $0 \leq j < s$, since $n_{k_j} + \ell_{k_j} \leq \ell_{k_{j+1}}$ implies in particular that $\ell_{k_j} < \ell_{k_{j+1}}$. Given such a sum in $J_h$, repeatedly applying (R) we get

$$\sigma_{[0,n_{k_0} + n_{k_1} + \cdots + n_{k_s}]} = \sigma_{[0,n_{k_s}]} \cdots \sigma_{[0,n_{k_1}]} \sigma_{[0,n_{k_0}]}.$$ 

We only consider in this proof configurations with respect to $v$. Let $D_h$ be the set of not $J_h$-coincident configurations that are contained in $T_{u,C}$, and $D = \bigcup_{h \in \mathbb{N}} D_h$. Since $J_h \supset J_1 \supset \cdots$, we have $D_0 \subseteq D_1 \subseteq \cdots$. As $u \in \mathbb{R}_+^2$ and $v \in \mathbb{R}_+^2 \setminus \{0\}$ are not orthogonal (by Lemma [2.1]), $D$ contains only finitely many configurations up to translation. Moreover, with each configuration $\mathcal{K} \in D_h$, all translates of $\mathcal{K}$ that are in $T_{u,C}$ are also contained in $D_h$, by the translation-invariance of $J_h$-coincidence. Therefore, we have $D_h = D$ for all sufficiently large $h$.

Let $\mathcal{K}$ be a configuration in $D$ of maximal size. There exists an interval $I$ of positive length such that, for every $t \in I$, $v^\perp + tu$ intersects each of the segments
of $K$ in its interior. Indeed, (3.1) implies that $v$ has positive coordinates. By property (1) the same holds for $(v^{(m)})^\perp +tu$, provided that $k$ is sufficiently large.

Consider now $K^{(nk)}$, with $k$ large enough such that all the following hold: $D_k = D$, all segments of $K$ intersect $(v^{(nk)})^\perp + tu$ for all $t \in I$, and all vertices of $K^{(nk)}$ are in $T_{u,C}$. Let $\{p_1, p_2, \ldots, p_r\}$ be the set of vertices of $K^{(nk)}$ that are contained in $M_{[0,nk)}((v^{(nk)})^\perp + I u) = v^\perp + I M_{[0,nk)}u$. By (3.1), no two points in $\mathbb{Z}^2 \cap T_{u,C}$ have the same height. Therefore, we can assume w.l.o.g. that $H(p_1) < H(p_2) < \cdots < H(p_r)$.

For all $1 \leq j \leq rk$, the configuration
\[ K_j^{(nk)} := \{ [x, i] \in K^{(nk)} : (x + [0, 1] e_i) \cap (v^\perp + H(p_j) u) = \emptyset \} \]
has the same size as $K$ because $K$ is not $J_k$-coincident and, for each $[x', i'] \in K$, the collection of segments in $E_1(\sigma_{[0,nk)}[x', i'])$ forms a broken line from $M_{[0,nk)}[x']$ to $M_{[0,nk)}[x' + e_{i'}]$ that intersects, for each $t \in I$, the line $v^\perp + tu$ exactly once. (Observe that the strip $(v^{(nk)})^\perp + tu$ has two complementary components, each of which contains one of the endpoints $M_{[0,nk)}[x']$ and $M_{[0,nk)}[x' + e_{i'}]$ because $x'$ and $x' + e_{i'}$ lie in different complementary components of the strip $(v^{(nk)})^\perp + tu$.)

Moreover, we have $K_j^{(nk)} \subset D$. Indeed, take $h \geq k$ such that $\ell_h \geq nk + \ell_k$. If $K_j^{(nk)}$ were not in $D = D_k$, then $K_j^{(nk)}$ would have an $m$-coincidence for some $m \in J_h$. Write $m = nk_0 + nk_1 + \cdots + nk_k$. One has $m + nk_k \in J_h$, since $nk_k + \ell_k \leq \ell_h \leq \ell_k$. But then $K$ would have an $(m + nk_k)$-coincidence because
\[ E_1(\sigma_{[0,nk)})K^{(nk)} = E_1(\sigma_{[0,m)})E_1(\sigma_{[0,nk)})K = E_1(\sigma_{[0,m+nk_k)})K, \]
contradicting that $K \subset D = D_k$.

For all $2 \leq j \leq rk$, the configurations $K_j^{(nk)}$ and $K_j^{(nk-1)}$ differ only by segments ending and beginning at $p_j$, and the number of segments in $K^{(nk)}$ ending and beginning at $p_j$ is thus the same. Let $K'$ be equal to $K_j^{(nk)}$, with the segments ending at $p_j$ removed. We have the following possibilities.

1. Two segments of $K_{j-1}^{(nk)}$ end at $p_j$. Then $K_j^{(nk)} = K' \cup \{[p_j, 1], [p_j, 2]\}$.

2. One segment of $K_{j-1}^{(nk)}$ ends at $p_j$, and either $K' \cup \{[p_j, 1]\}$ or $K' \cup \{[p_j, 2]\}$ is in $D$. Then $K_j^{(nk)} = K' \cup \{[p_j, i]\} \subset D$.

3. One segment of $K_{j-1}^{(nk)}$ ends at $p_j$, and both $K' \cup \{[p_j, 1]\}$, $K' \cup \{[p_j, 2]\}$ are in $D$. Since the size of $K$ is maximal in $D$, we have $K' \cup \{[p_j, 1]\} \cup [p_j, 2]\} \not\subset D$, hence $E_1(\sigma_{[0,nk)}[p_j, 1] \cap E_1(\sigma_{[0,nk)}[p_j, 2] \not\subset \emptyset$ for some $n \in \mathbb{N}$. Then also $E_1(\sigma_{[0,nk)}[0, 1] \cap E_1(\sigma_{[0,nk)}[0, 2]) \not\subset \emptyset$, thus the strong coincidence condition holds.

Assume now that the strong coincidence condition does not hold. Hence we are always either in case (1) or case (2). Then $D$ and the relative positions of the segments within $K_{j-1}^{(nk)}$ entirely determine $K_j^{(nk)}$. (Note that $p_j$ is the endpoint of the segments in $K_{j-1}^{(nk)}$, disregarding $p_{j-1}$, with minimal height.)

Recall that $D$ contains up to translation only finitely many configurations, and denote the number of such configurations by $c$. Then we have $K_a^{(nk)} = K_a^{(nk)} + t_k$ for some $1 \leq a, b \leq c$, and some translation vector $t_k = p_{b+a} - p_a \in \mathbb{Z}^2$ (provided that $r_k \geq 2c$). Consequently, we have $K_{a+b}^{(nk)} = K_j^{(nk)} + t_k$ for all $a \leq j \leq r_k - b$, and thus $K_{a+b}^{(nk)} = K_a^{(nk)} + \ell t_k$, for all $\ell$ such that $a + \ell b \leq r_k$. 
Let now $k \to \infty$. Then the strip $v^\perp + \frac{1}{M_{[0,n-1]}}u$ becomes wider and wider as $k$ grows, hence $r_k \to \infty$. Since there are only finitely many possibilities for $r_k$, there exists thus a $t \in \mathbb{Z}^2$ such that arbitrarily large multiples of $t$ are translation vectors of configurations in $D$. Since all configurations in $D$ are in $T_{u,C}$, the vector $t$ must be a scalar multiple of $u$, in contradiction with Lemma 2.4. This proves that the strong coincidence condition holds.

To prove Theorem 1, we show that the conditions of Proposition 3.1 are fulfilled for some shifted sequence $(\sigma_{n+k})_{n \in \mathbb{N}}$.

Proof of Theorem 1. Let $\sigma$ satisfy the assumptions of Theorem 1. By [BST14, Lemma 5.9], property PRICE holds for some sequences $(\ell_k)$, $(\ell_k)$, a vector $v \in \mathbb{R}^2_{\geq 0}$ and a balancedness constant $C$. If $v$ has rationally independent coordinates, then we can apply Proposition 3.1 directly. To cover the contrary case, assume in the following that $v$ is a real multiple of a rational vector.

Consider $x \in \mathbb{Z}^2 \setminus \{0\}$. We have

\[
(x, v^{(h)}) = (x, t(M_{[0,h]}v)) = (M_{[0,h]}x, v) = (H(M_{[0,h]}x)u + \pi_{u,v}M_{[0,h]}x, v) = H(M_{[0,h]}x)(u, v).
\]

As $u$ has rationally independent coordinates by Lemma 2.1 and $v$ is a real multiple of a rational vector, we have $(u, v) \neq 0$. We have $\lim_{h \to \infty} \pi_{u,v}M_{[0,h]}x = 0$ by [BST14, Proposition 4.3] and $M_{[0,h]}x \in \mathbb{Z}^2 \setminus \{0\}$ since $\sigma$ is algebraically irreducible, thus $H(M_{[0,h]}x) \neq 0$ for all sufficiently large $h$. We conclude that, for each $x \in \mathbb{Z}^2 \setminus \{0\}$, there is $h_0(x)$ such that

\[
(x, v^{(h)}) \neq 0 \quad \text{for all}\ h \geq h_0(x).
\]

As $u \in \mathbb{R}^2_{\geq 0}$, the set $\bigcup_{h \in \mathbb{N}}(v^{(h)}) \perp \cap (T_{u,C} - T_{u,C})$ is bounded and, hence, its intersection with $\mathbb{Z}^2$ is finite. Thus (3.3) implies that $(v^{(h)}) \perp \cap (T_{u,C} - T_{u,C}) \cap \mathbb{Z}^2 \neq \{0\}$ for all sufficiently large $h$.

Choose now $h = n_k + \ell_k$ sufficiently large. Then the language $L_{\sigma_k}$ is $C$-balanced by Property [C]. By [BST14, Lemma 5.10], there exists $k_0$ such that the shifted sequence $(\sigma_{n+k})_{n \in \mathbb{N}}$ has property PRICE w.r.t. $(n_{k+k_0})$ and $(\ell_{k+k_0} - h)$ and the vector $v^{(h)}$. Thus $(\sigma_{n+k})_{n \in \mathbb{N}}$ satisfies the strong coincidence condition by Proposition 3.1, i.e., there exists $n$ such that $E_1(\sigma_{[h,n+h]})(\{0,1\}) \cap E_1(\sigma_{[h,n+h]})(\{0,2\}) \neq \emptyset$. This implies that $E_1(\sigma_{[0,n+h]})(\{0,1\}) \cap E_1(\sigma_{[0,n+h]})(\{0,2\}) \neq \emptyset$, which concludes the proof of the theorem.

4. Proof of the S-adic Pisot conjecture

In this section we will deduce Theorem 2 from Theorem 1 and the following lemma, which is a generalization of a result of [Hos92], see also [Que10, Section 6.3.3]. Recall Section 2.6 in particular the diagram (2.2). Theorem 3 follows immediately from Theorem 2.

Lemma 4.1. Let $\sigma$ be as in Theorem 2. Then the map $\overline{\sigma} = \pi \circ \varphi$ is one-to-one $\mu$-almost everywhere on $X_{\sigma}$.

Proof. Note first that it is sufficient to show that whenever $\overline{\sigma}(u) = \overline{\sigma}(v)$, one can find a non-negative integer $n$ such that $\varphi(\Sigma^n u) = \varphi(\Sigma^n v)$. The $\mu$-almost everywhere injectivity of $\overline{\sigma}$ will thus come from the $\mu$-almost everywhere injectivity of $\varphi$, which holds according to Proposition 2.5 (3).
Let now $u, v \in X_\Sigma$ be such that $\varphi(u) = \varphi(v)$. Consider the set
\[\{n \in \mathbb{N} : z_n := \varphi(\Sigma^n u) - \varphi(\Sigma^n v) = 0\}.\]

By induction, one has $z_n \in \Lambda = \mathbb{Z} e_2 - e_1$ for all $n$. Indeed, $z_0 \in \Lambda$ because $\varphi(u) - \varphi(v) = 0$. Using $E \circ \varphi = \varphi \circ \Sigma$ we see, for all $n$, that
\[z_{n+1} - z_n = (E^{n+1}(\varphi(u)) - E^n(\varphi(u))) - (E^{n+1}(\varphi(v)) - E^n(\varphi(v))) \in \{0, \pm (e_2 - e_1)\}\]

Let $\pi_0 : 1^+ \to \mathbb{R}$, $(x, -x) \mapsto x$ be the projection on the first coordinate. Then $z_n := \pi_0(z_n) \in \mathbb{Z}$ and $z_{n+1} - z_n \in \{0, \pm 1\}$ for all $n$. Since $\mathcal{L}_\Sigma$ is $C$-balanced, we know that $\varphi(X_\Sigma) = \mathcal{R} \subset [-C, C]^2 \cap 1^+$, thus $\pi_0(\varphi(\Sigma^n u)) \in [-C, C]$ for any $u \in X_\Sigma$. Minimality of $(X_\Sigma, \Sigma)$, given by Proposition 2.5 (1), implies that the sets
\[A = \{n \in \mathbb{N} : \pi_0(\varphi(\Sigma^n u)) > C - 1\},\]
\[B = \{n \in \mathbb{N} : \pi_0(\varphi(\Sigma^n u)) < -C + 1\}\]
are relatively dense. If $n \in A$, then $\pi_0(\varphi(\Sigma^n v)) \leq C < \pi_0(\varphi(\Sigma^n u)) + 1$ and $z_n \geq 0$. Analogously, we deduce that, if $n \in B$, then $z_n \leq 0$. The result follows observing that, given $p \in A, q \in B$ such that $p \leq q$, we can find $n$ such that $p \leq n \leq q$ and $z_n = 0$, using the fact that $z_{n+1} - z_n \in \{0, \pm 1\}$ for all $n$.

**Proof of Theorem 2.** By Theorem 1 the strong coincidence condition holds, which implies that $(X_\Sigma, \Sigma, \mu)$ is measurably conjugate to $(\mathcal{R}, E, \lambda)$ via $\varphi$ by Lemma 2.3 and Proposition 2.5 (3). But by Lemma 1.1 we even have that $(X_\Sigma, \Sigma, \mu)$ is measurably conjugate to $(1^+/\Lambda, +\pi_{u,1} e_1, \lambda)$ via $\varphi$, with $1^+/\Lambda \cong S^1$.

**Proof of Theorem 3.** In view of Theorem 2, this is an immediate consequence of Lemma 2.4 and [BST14, Theorem 2].

**References**


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