

From a quartic continued fraction in $F_3((T^-1))$ to a transcendental continued fraction in $Q((T^-1))$ through an infinite word over ${\bf 1,2}$

Bill Allombert, Nicolas Brisebarre, Alain Lasjaunias

▶ To cite this version:

Bill Allombert, Nicolas Brisebarre, Alain Lasjaunias. From a quartic continued fraction in $F_3((T^-1))$ to a transcendental continued fraction in $Q((T^-1))$ through an infinite word over 1,2. 2016. hal-01348576v2

HAL Id: hal-01348576 https://hal.science/hal-01348576v2

Preprint submitted on 23 Nov 2016 (v2), last revised 14 Mar 2017 (v3)

HAL is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers.

L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.

FROM A QUARTIC CONTINUED FRACTION IN $\mathbb{F}_3((T^{-1}))$ TO A TRANSCENDENTAL CONTINUED FRACTION IN $\mathbb{Q}((T^{-1}))$ THROUGH AN INFINITE WORD OVER $\{1,2\}$

BILL ALLOMBERT, NICOLAS BRISEBARRE AND ALAIN LASJAUNIAS

ABSTRACT. We explicitly describe a noteworthy transcendental continued fraction in the field of power series over \mathbb{Q} , having irrationality measure equal to 3. This continued fraction is a generating function of a particular sequence in the set $\{1,2\}$. The origin of this sequence, whose study was initiated in a recent paper, is to be found in another continued fraction, in the field of power series over \mathbb{F}_3 , which satisfies a simple algebraic equation of degree 4, introduced thirty years ago by D. Robbins.

1. Introduction

In this paper, we present a remarkable continued fraction, built from an infinite word with the only letters 1 and 2. The partial study of the structure of this word shows that this sequence is complex enough to imply surprising arithmetical properties for the resulting generating function. This generating function is indeed transcendental and it has a very peculiar continued fraction expansion that we will fully describe. We first start by describing our framework and we recall the origin of this strange sequence.

Here, K is a field which is either the finite field \mathbb{F}_q , containing q elements and of characteristic p, or the field \mathbb{Q} of the rational numbers. Let T be a formal indeterminate. As usual K[T] and K(T) are, respectively, the ring of polynomials and the field of rational functions in T with coefficients in K. We consider the field of power series in 1/T, with coefficients in K, denoted by $\mathbb{F}(K)$ or $K((T^{-1}))$. Hence a non-zero element of $\mathbb{F}(K)$ can be written as

$$\alpha = \sum_{k \leqslant k_0} a_k T^k$$
 where $k_0 \in \mathbb{Z}, a_k \in K$ and $a_{k_0} \neq 0$.

An ultrametric absolute value is defined over these fields of power series. For α as above we have $|\alpha| = |T|^{k_0}$ where |T| is a fixed real number greater than 1. Note that $\mathbb{F}(K)$ is the completion of the field K(T) for this absolute value.

If $K = \mathbb{F}_q$, we simply write $\mathbb{F}(q)$ for $\mathbb{F}(K)$. The case $K = \mathbb{F}_q$ is particularly important for several reasons. The first one is the link between these fields of power series and certain sequences taking a finite number of values. The fields $\mathbb{F}(q)$ are analogues of the field of real numbers, and as for real numbers: If $\alpha \in \mathbb{F}(q)$ then the sequence of coefficients (or digits) $(a_k)_{k \leq k_0}$ for α is ultimately periodic if and only if α is rational, that is α belongs to $\mathbb{F}_q(T)$ (note that this fails if $K = \mathbb{Q}$). However,

Date: November 23, 2016.

¹⁹⁹¹ Mathematics Subject Classification. Primary 11J70, 11T55; Secondary 11B85.

Key words and phrases. formal power series, power series over a finite field, continued fractions, finite automata, automatic sequences, words, finite alphabet.

and this is a singularity of the formal case, this sequence of digits can also be characterized for all elements in $\mathbb{F}(q)$ which are algebraic over $\mathbb{F}_q(T)$; see Theorem 1. Indeed, a large class of sequences, taking values in a finite set, were introduced around the 1960's by computer scientists. These sequences tend to generalize the particularly simple periodic sequences and are called automatic sequences. A full account on this topic and a very complete list of references are to be found in the book of Allouche and Shallit [1]. Automatic sequences are classified by an integer parameter $k \geq 2$, and consequently we have k-automatic sequences for all $k \geq 2$. The link between these sequences and power series over a finite field appears in the following theorem found in the work of Christol [3] (see also the article of Christol, Kamae, Mendès France, and Rauzy [4]).

Theorem 1 (Christol). Let α in $\mathbb{F}(q)$ with $q = p^s$. Let $(a_k)_{k \leq k_0}$ be the sequence of digits of α and $u(n) = a_{-n}$ for all integers $n \geq 0$. Then α is algebraic over $\mathbb{F}_q(T)$ if and only if the sequence $(u(n))_{n \geq 0}$ is p-automatic.

In the fields of power series over K, as for real numbers, there is a continued fraction algorithm. The integers are replaced by elements of K[T]. The reader may consult [5] for a general account concerning continued fractions and Diophantine approximation in power series fields. We know that any irrational element α in $\mathbb{F}(K)$ can be expanded as an infinite continued fraction where the partial quotients a_n are polynomials in K[T], all of positive degree, except perhaps for the first one. Traditionally, this expansion is denoted by $\alpha = [a_0, a_1, a_2, \ldots, a_n, \ldots]$. As for real numbers, this expansion is fundamental to measure the quality of the rational approximation of formal power series. The irrationality measure of an irrational power series $\alpha \in \mathbb{F}(K)$ is defined by

$$\nu(\alpha) = -\limsup_{|Q| \to \infty} \log(|\alpha - P/Q|)/\log(|Q|),$$

where $P, Q \in K[T]$. This irrationality measure is directly related to the growth of the sequence of the degrees of the partial quotients a_n in the continued fraction expansion of α . Indeed we have (see for instance [5, p.214])

$$\nu(\alpha) = 2 + \limsup_{n \geqslant 1} (\deg(a_{n+1}) / \sum_{1 \leqslant i \leqslant n} \deg(a_i)).$$

Here again the difference between K finite and $K=\mathbb{Q}$ is striking. We recall that, by an adaptation of a classical theorem of Liouville, due to Mahler, if $\alpha\in\mathbb{F}(K)$ is algebraic over K(T), such that $[K(T,\alpha):K(T)]=n$ then we have $\nu(\alpha)\in[2,n]$. For algebraic real numbers this irrationality measure is known to be equal to 2 by the celebrated Roth theorem. It was proved by Uchiyama [10], shortly after Roth theorem, that the same is true for fields of power series over K, if K has characteristic zero. In the case $K=\mathbb{F}_q$, the situation is more complex and Mahler [8] observed that we may have $\nu(\alpha)=n$ for certain algebraic elements of degree n. This observation was the beginning of the study of Diophantine approximation in positive characteristic. At the same time the study of continued fractions for certain algebraic power series over finite fields was developed.

The starting point of this note is a particular continued fraction in the field $\mathbb{F}(3)$. It was introduced in [9], and it is the root of the following quartic equation: $x^4 + x^2 - Tx + 1 = 0$. This equation has a unique root in $\mathbb{F}(p)$ for all $p \geq 2$. The continued fraction expansion for this root α in $\mathbb{F}(3)$, observed by computer,

was conjectured in [9]. Some ten years later this conjecture was proved in [2] and also, shortly afterwards, with a different method in [6]. Here we have $\alpha = [0, a_1, a_2, \ldots, a_n, \ldots]$ where $a_n = \lambda_n T^{u_n}$, $(\lambda_n)_{n\geqslant 1}$ is a sequence in \mathbb{F}_3^* and $(u_n)_{n\geqslant 1}$ another sequence in \mathbb{N}^* . The knowledge of this last sequence in \mathbb{N}^* implies that here we have $\nu(\alpha) = 2$, even though the sequence of the degrees of the partial quotients (u_n) is unbounded. This is remarkable, see [6, p.209] and also [7, p.58]. In this note, we only are interested in the first sequence $(\lambda_n)_{n\geqslant 1}$ in \mathbb{F}_3^* , i.e. in $\{1,2\}$. This sequence is described in the following theorem.

Theorem 2. Let $(W_n)_{n\geqslant 0}$ be the sequence of finite words over the alphabet $\{1,2\}$, defined recursively as follows:

$$W_0 = \emptyset$$
, $W_1 = 1$, and $W_n = W_{n-1}, 2, W_{n-2}, 2, W_{n-1}$, for $n \ge 2$, where commas indicate concatenation of words. Let $W = (w(n))_{n \ge 1}$ be the infinite sequence beginning by W_n for all $n \ge 0$. Then the sequence $W = (w(n))_{n \ge 1}$ is $(1 + \sqrt{2})$ -substitutive but it is not k-automatic for any integer $k \ge 2$.

This theorem was proved in [7, Theorem 4]. The reader can consult [7] for a brief account on automatic and substitutive sequences, and also naturally [1] for a full exposition on these matters.

We set $\theta = \sum_{n\geqslant 1} w(n)T^{-n}$. Then θ can be considered in $\mathbb{F}(K)$, where $K = \mathbb{F}_p$, for any prime number $p\geqslant 2$ or $K=\mathbb{Q}$, and θ is transcendental over K(T) in all cases. In the case $K=\mathbb{F}_p$, this is simply a straightforward consequence of Theorem 1. Indeed if θ were algebraic over $\mathbb{F}_p(T)$, then the sequence $(w(n))_{n\geqslant 1}$ would be p-automatic, in contradiction with Theorem 2. In the case, $K=\mathbb{Q}$, by Uchiyama's adaptation of Roth theorem, it will simply follow from the fact that the irrationality measure for θ is equal to 3. This will be established in the next section, as a consequence of our main result Theorem 3, in which we explicitly give the degrees of the partial quotients of the continued fraction expansion for θ when considered in the field $\mathbb{Q}((T^{-1}))$. We will end our text with a conjectural description of this continued fraction expansion.

2. A TRANSCENDENTAL CONTINUED FRACTION IN $\mathbb{Q}((T^{-1}))$

We start from the sequence $W=(w(n))_{n\geqslant 1}$, defined above in Theorem 2, and we consider the formal power series $\theta=\sum_{n\geqslant 1}w(n)T^{-n}\in\mathbb{Q}((T^{-1}))$. We shall see that this power series is not rational and we shall describe partially the infinite sequence of the partial quotients in the theorem below.

First we define some notions needed for a deep study of the sequence $(W_n)_{n\geqslant 0}$ of finite words over the alphabet $\{1,2\}$. If M is a finite word, we denote by |M| the length of this word. This notation on words should not be confusing with the use of the absolute value for elements in the power series fields. Hence we have $|W_0| = 0$ and $|W_1| = 1$. We set $\ell_n = |W_n|$. By the recursive definition of $(W_n)_{n\geqslant 0}$, for $n\geqslant 2$, we have $\ell_n = 2\ell_{n-1} + \ell_{n-2} + 2$. We will also use the following: if $M=m_1,m_2,\ldots,m_n$ is a finite word we set $\phi(M)=m_1T^{n-1}+m_2T^{n-2}+\cdots+m_n\in\mathbb{Q}[T]$ and also $\Phi(M)=\phi(M)T^{-|M|}\in\mathbb{Q}(T)$. By extension, for an infinite word, with our definitions of W and θ , we can simply write $\theta=\Phi(W)$. We have the following theorem.

Theorem 3. Let $\theta = \sum_{n \geqslant 1} w(n) T^{-n} \in \mathbb{Q}((T^{-1}))$, then θ is irrational and we have the infinite continued fraction expansion $\theta = [0, a_1, a_2, \ldots, a_n, \ldots]$ with $a_n \in \mathbb{Q}[T]$

for $n \ge 1$. Let $(\ell_n)_{n \ge 0}$ be the sequence of integers defined by

$$\ell_0 = 0$$
, $\ell_1 = 1$ and $\ell_{n+1} = 2\ell_n + \ell_{n-1} + 2$ for $n \ge 1$.

Let $(d_n)_{n\geqslant 1}$ be the sequence of integers defined by $d_n = \deg(a_n)$ for $n\geqslant 1$. Then this sequence is described as follows: $d_1 = d_2 = d_3 = d_4 = 1$ and, for $n\geqslant 1$, we have

$$d_{4n+1} = (3\ell_n + \ell_{n-1} + 1)/2, \qquad d_{4n+3} = (\ell_n + \ell_{n-1} + 1)/2$$

and

$$d_{4n+2} = 1, \qquad d_{4n+4} = 1.$$

Corollary 1. The irrationality measure of θ is equal to 3.

Proof of the corollary: We know that the irrationality measure of θ satisfies $\nu(\theta)=2+\limsup_{n\geqslant 1}(d_{n+1}/\sum_{1\leqslant i\leqslant n}d_i)$. We observe that, for $n\geqslant 1$, we have $d_{4n+1}>\max(d_{4n},d_{4n-1},d_{4n-2},d_{4n-3})$ and consequently, we have $\nu(\theta)=2+\lim_{n\geqslant 1}(d_{4n+1}/\sum_{1\leqslant i\leqslant 4n}d_i)$. We set $t_n=\sum_{1\leqslant i\leqslant 4n}d_i$. We have $t_1=4$ and by induction, we obtain easily $t_n=2+d_{4n+1}$ for $n\geqslant 1$. Hence, we have $\nu(\theta)=2+\lim_{n\geqslant 1}(d_{4n+1}/(2+d_{4n+1}))=3$.

The proof of Theorem 3 is obtained using three lemmas. Each of the first two lemmas gives a sequence of good rational approximations to θ .

In the sequel, we shall avoid the comma for the concatenation of words.

Lemma 1. For $n \ge 1$, we set $S_n = T^{(\ell_n + \ell_{n-1} + 3)/2}(T^{\ell_n + 1} - 1)$. Then, for $n \ge 1$, there exists $R_n \in \mathbb{Q}[T]$ such that

$$|\theta - R_n/S_n| = |S_n|^{-\omega_n}$$
 with $\omega_n = 3 - 4/(3\ell_n + \ell_{n-1} + 5)$.

Proof of Lemma 1: For $n \ge 1$, we introduce the following words

$$U_n = W_n 2W_{n-1}$$
 and $V_n = 2W_n$.

Note that $W_{n+1} = U_n V_n$. We consider the infinite word

$$X_1 = U_n V_n V_n \dots V_n \dots = U_n (V_n)^{\infty}$$

Let us consider now the element $X_2 \in \mathbb{Q}((T^{-1}))$ such that $\Phi(X_1) = X_2$. Indeed, we can write

$$X_2 = \frac{\phi(U_n)}{T^{|U_n|}} + \frac{\phi(V_n)}{T^{|U_n|+|V_n|}} + \frac{\phi(V_n)}{T^{|U_n|+2|V_n|}} + \dots$$

Consequently $X_2 \in \mathbb{Q}(T)$ and we have

$$X_2 = \frac{\phi(U_n)}{T^{|U_n|}} + \frac{\phi(V_n)}{T^{|U_n|+|V_n|}} \cdot \frac{T^{|V_n|}}{T^{|V_n|} - 1} = \frac{(T^{|V_n|} - 1)\phi(U_n) + \phi(V_n)}{T^{|U_n|}(T^{|V_n|} - 1)}.$$

We set $N_2 = (T^{|V_n|} - 1)\phi(U_n) + \phi(V_n)$ and $D_2 = T^{|U_n|}(T^{|V_n|} - 1)$ so that we have $X_2 = N_2/D_2$. In order to simplify the rational X_2 , we need to introduce the following relation on finite words. For two finite words A and B, we write $A \bullet B$ if and only if the letters ending A and B respectively are different. Note that $A \bullet B$ if and only if T does not divide $\phi(A) - \phi(B)$. For n = 1, we have $U_1 = 12$, $V_1 = 21$ and $U_1 \bullet V_1$. For n = 2 we can write

$$U_2 = W_2 2W_1 = G_2 2W_1$$
 and $V_2 = 2W_2 = 2W_1 2W_0 2W_1 = H_2 2W_1$ with $G_2 \bullet H_2$.

We set $(F_1, G_1, H_1) = (\emptyset, U_1, V_1)$ and $(F_2, G_2, H_2) = (2W_1, W_2, 2W_12)$. So we have $U_1 = G_1F_1$, $V_1 = H_1F_1$, $U_2 = G_2F_2$ and $V_2 = H_2F_2$. By induction, we can define three finite words F_n , G_n and H_n such that, for $n \ge 1$,

$$U_n = G_n F_n$$
 $V_n = H_n F_n$ with $G_n \bullet H_n$.

The sequence (F_n, G_n, H_n) satisfies, for $n \ge 2$, the following formulas:

$$F_n = 2W_1 2W_2 \dots 2W_{n-1}, \quad H_n = 2G_{n-1} \quad \text{and} \quad G_n = U_{n-1} H_{n-1}.$$

We shall know compute the length of the words. We have $|U_n| = \ell_n + \ell_{n-1} + 1$ and $|V_n| = \ell_n + 1$. But we also have $|F_1| = 0$ and, for $n \ge 2$, by using a simple induction, we get

$$|F_n| = n - 1 + \ell_1 + \ell_2 + \dots + \ell_{n-1} = (\ell_n + \ell_{n-1} - 1)/2.$$

Consequently we have, for $n \ge 1$, $|U_n| - |F_n| = |G_n| = (\ell_n + \ell_{n-1} + 3)/2$. It is easily verified that for two finite words A and B we have $\phi(AB) = T^{|B|}\phi(A) + \phi(B)$. Therefore, for three finite words A, B and C we also have $\phi(AC) - \phi(BC) = T^{|C|}(\phi(A) - \phi(B))$. We define now $R_n \in \mathbb{Q}[T]$ by $R_n = \phi(G_{n+1}) - \phi(G_n)$. Hence, we can write

$$N_2 = T^{|V_n|}\phi(U_n) + \phi(V_n) - \phi(U_n) = \phi(U_nV_n) - \phi(U_n)$$

and

$$N_2 = \phi(U_n H_n F_n) - \phi(G_n F_n) = T^{|F_n|} (\phi(U_n H_n) - \phi(G_n)) = T^{|F_n|} R_n.$$

Since $|V_n| = \ell_n + 1$, we can also write, in agreement with the formula given in the lemma for S_n ,

$$D_2 = T^{|F_n|}(T^{|U_n|-|F_n|}(T^{|V_n|}-1)) = T^{|F_n|}S_n.$$

Consequently we have $X_2 = R_n/S_n$. Note that we have $N_2(1) = \phi(V_n)(1) \neq 0$ and consequently $R_n(1) \neq 0$.

We need now to study the approximation of θ by X_2 . First, for $n \ge 1$, we observe the following equalities

$$W_{n+2} = W_{n+1} 2 W_n 2 W_{n+1} = W_n 2 W_{n-1} 2 W_n 2 W_n 2 W_{n-1} 2 W_n = U_n V_n^3 V_{n-1} V_n. \label{eq:wn}$$

Hence for all $n \ge 1$, the infinite word W begins by $U_n V_n^3 V_{n-1} V_n$ whereas we have $X_1 = U_n V_n^{\infty}$. With our notation, if M_1 and M_2 are two different words then we have $|\Phi(M_1) - \Phi(M_2)| = |T|^{-t}$, where t is the rank of the first letter differing in the words M_1 and M_2 . Therefore we have $|\theta - R_n/S_n| = |\Phi(W) - \Phi(X_1)| = |T|^{-t_n}$, if t_n is the rank of the first letter differing in the words $U_n V_n^3 V_{n-1} V_n$ and $U_n V_n^{\infty}$. From this we deduce

$$|\theta - R_n/S_n| = |T|^{-t_n} = |S_n|^{-t_n/\deg(S_n)} = |S_n|^{-\omega_n}.$$

To compute this value t_n , we introduce another relation between finite words. For two finite words A and B, we write $A \bullet \bullet B$ if and only if the letters beginning A and B respectively are different. We define the following sequence $(J_n)_{n\geqslant 1}$ of finite words:

$$J_1 = \emptyset$$
 and $J_n = W_{n-1}2W_{n-2}2\dots W_12$ for $n \geqslant 2$.

Then, by induction, we show that there exists a sequence $(I_n)_{n\geqslant 1}$ of finite words

$$I_0=1, \quad I_1=21, \quad I_2=1221 \quad \text{etc...} \quad \text{and} \quad I_n \bullet \bullet I_{n-1} \quad \text{for} \quad n\geqslant 1$$
 such that

$$V_{n-1}V_n = 2J_nI_n$$
 and $V_n = 2J_nI_{n-1}$ for $n \ge 1$.

Hence we have

$$W = U_n V_n^3 2 J_n I_n \dots$$
 and $X_1 = U_n V_n^3 2 J_n I_{n-1} \dots$

and this implies $t_n = |U_n| + 3|V_n| + |J_n| + 2$. We observe that, for $n \ge 1$, we have $|J_n| = |F_n| = (\ell_n + \ell_{n-1} - 1)/2$. Consequently we get

$$t_n = \ell_n + \ell_{n-1} + 1 + 3(\ell_n + 1) + (\ell_n + \ell_{n-1} - 1)/2 + 2 = (9\ell_n + 3\ell_{n-1} + 11)/2.$$

Since $deg(S_n) = (\ell_n + \ell_{n-1} + 3)/2 + \ell_n + 1 = (3\ell_n + \ell_{n-1} + 5)/2$, we obtain

$$\omega_n = t_n / \deg(S_n) = 3 - 4/(3\ell_n + \ell_{n-1} + 5),$$

as stated in the lemma.

Lemma 2. For $n \ge 1$, we set $S'_n = T^{3\ell_n + \ell_{n-1} + 4} - 1$. Then, for $n \ge 1$, there exists $R'_n \in \mathbb{Q}[T]$ such that

$$|\theta - R'_n/S'_n| = |S'_n|^{-\omega'_n}$$
 with $\omega'_n = 2 + (\ell_n + \ell_{n-1} + 1)/(6\ell_n + 2\ell_{n-1} + 8)$.

Proof of Lemma 2: For $n \ge 1$, we introduce the following word

$$U_n' = U_{n+1}2 = W_{n+1}2W_n2.$$

We consider the infinite word

$$X_3 = U'_n U'_n \dots U'_n \dots = (U'_n)^{\infty}.$$

Let us consider now the element $X_4 \in \mathbb{Q}((T^{-1}))$ such that $\Phi(X_3) = X_4$. Indeed, we can write

$$X_4 = \frac{\phi(U'_n)}{T^{|U'_n|}} + \frac{\phi(U'_n)}{T^{2|U'_n|}} + \frac{\phi(U'_n)}{T^{3|U'_n|}} + \cdots$$

Consequently $X_4 \in \mathbb{Q}(T)$ and we have

$$X_4 = \frac{\phi(U_n')}{T|U_n'|} \cdot \frac{T^{|U_n'|}}{T|U_n'|-1} = \frac{\phi(U_n')}{T|U_n'|-1}.$$

We have $|U_n'| = |U_{n+1}| + 1 = \ell_{n+1} + \ell_n + 2 = 3\ell_n + \ell_{n-1} + 4$. Hence $S_n' = T^{|U_n'|} - 1$ and we set $R_n' = \phi(U_n')$. Note that $R_n'(0) \neq 0$ and also $R_n'(1) \neq 0$.

We shall now study the approximation of θ by $X_4 = R'_n/S'_n$. First, for $n \ge 1$, we observe the following equalities

$$W_{n+3} = W_{n+2} 2W_{n+1} 2W_{n+2} = W_{n+1} 2W_n 2W_{n+1} 2W_{n+1} 2W_{n+2}$$

$$W_{n+3} = U'_n W_{n+1} 2W_{n+1} 2W_{n+2} = (U'_n)^2 W_{n-1} 2W_n 2W_{n+2}.$$

Hence for all $n \ge 1$, the infinite word W begins by $(U'_n)^2 W_{n-1} 2W_n 2W_{n+2}$ whereas we have $X_3 = (U'_n)^{\infty}$. Therefore we have $|\theta - R'_n/S'_n| = |\Phi(W) - \Phi(X_3)| = |T|^{-t'_n}$, if t'_n is the rank of the first letter differing in the words $(U'_n)^2 W_{n-1} 2W_n 2W_{n+2}$ and $(U'_n)^{\infty}$. This will imply

$$|\theta - R'_n/S'_n| = |S'_n|^{-\omega'_n}$$
 where $\omega'_n = t'_n/\deg(S'_n)$.

To compute t'_n , we have to compare the finite words $W_{n-1}2W_n2W_{n+2}$ and U'_n . For $n \ge 1$, let us consider the word J_n , introduced in the proof of Lemma 1. For $n \ge 1$, by induction, we prove that there are two finite words A_n and B_n such that we have

$$W_{n-1}2W_n2W_{n+2} = J_nA_n$$
, $U'_n = J_nB_n$ and $A_n \bullet \bullet B_n$.

Hence we have

$$W = (U'_n)^2 J_n A_n \dots$$
 and $X_3 = (U'_n)^2 J_n B_n \dots$

and this implies $u'_n = 2|U'_n| + |J_n|$. We know that $|U'_n| = \deg(S'_n) = 3\ell_n + \ell_{n-1} + 4$. Since, for $n \ge 1$, we have $|J_n| = (\ell_n + \ell_{n-1} - 1)/2$, we get

$$\omega'_n = t'_n / \deg(S'_n) = 2 + (\ell_n + \ell_{n-1} - 1) / (2 \deg(S'_n)) \text{ for } n \ge 1.$$

This gives us the desired value for ω'_n stated in the lemma.

Before proving Theorem 3, we need a last lemma to establish the irreducibility of the rational functions R_n/S_n and R'_n/S'_n .

Lemma 3. Let R_n , S_n , R'_n and S'_n be the polynomials defined in the two preceding lemmas. For $n \ge 1$, we have the equality:

$$R_n S_n' - R_n' S_n = (-1)^n (T - 1).$$

Consequently, for $n \ge 1$, we have

$$G.C.D.(R_n, S_n) = G.C.D.(R'_n, S'_n) = 1.$$

Proof of Lemma 3: We shall use the finite words U_n , V_n , F_n , G_n , H_n and U'_n , introduced in the previous lemmas. For $n \ge 1$, let us introduce in $\mathbb{Q}[T]$ the polynomials:

$$P_n = T^{|F_{n+1}|+1}(T^{|V_{n+1}|} + 1)$$
 and $Q_n = T^{|G_n|}$.

Note that we have $|F_{n+1}|+1=(3\ell_n+\ell_{n-1}+3)/2$, $|V_{n+1}|=\ell_{n+1}+1$ and $|G_n|=(\ell_n+\ell_{n-1}+3)/2$. Consequently, using the recurrence relation on ℓ_n and the definitions of S_n , S'_n , S_{n+1} and S'_{n+1} , a direct and elementary computation shows that, for $n \ge 1$, we have

$$S'_{n+1} = P_n S_{n+1} + S'_n$$
 (1) and $S_{n+1} = Q_n S'_n - S_n$ (2).

Now we shall see that, for $n \ge 1$, the very same equalities as above hold, if S is replaced by R. Indeed, for $n \ge 1$, we have:

$$\begin{split} R_{n+1} &= \phi(G_{n+2}) - \phi(G_{n+1}) = \phi(U_{n+1}H_{n+1}) - \phi(G_{n+1}) \\ &= T^{|H_{n+1}|}\phi(U_{n+1}) + \phi(H_{n+1}) - \phi(G_{n+1}) \\ &= T^{|H_{n+1}|}\phi(U_{n+1}) + \phi(2G_n) - \phi(G_{n+1}) \\ &= T^{|H_{n+1}|}\phi(U_{n+1}) + 2T^{|G_n|} + \phi(G_n) - \phi(G_{n+1}) \\ &= T^{|G_n|}(T^{|H_{n+1}| - |G_n|}\phi(U_{n+1}) + 2) - R_n \\ &= T^{|G_n|}(T\phi(U_{n+1}) + 2) - R_n \\ &= T^{|G_n|}\phi(U_n') - R_n = Q_n R_n' - R_n, \end{split}$$

since $H_{n+1} = 2G_n$ implies $|H_{n+1}| - |G_n| = 1$. To establish the next formula, we will use the following observation: for $n \ge 2$ we have

$$U_n = W_n 2W_{n-1} = W_{n-1} 2W_{n-2} 2W_{n-1} 2W_{n-1} = U_{n-1} V_{n-1} V_{n-1}$$
.

Then, we also have

$$\begin{split} R'_{n+1} - R'_n &= \phi(U'_{n+2}) - \phi(U'_{n+1}) = T\phi(U_{n+2}) + 2 - (T\phi(U_{n+1}) + 2) \\ &= T(\phi(U_{n+2}) - \phi(U_{n+1})) = T(\phi(U_{n+1}V_{n+1}V_{n+1}) - \phi(U_{n+1})) \\ &= T(T^{2|V_{n+1}|}\phi(U_{n+1}) + \phi(V_{n+1}V_{n+1}) - \phi(U_{n+1})) \\ &= T(T^{2|V_{n+1}|}\phi(U_{n+1}) + T^{|V_{n+1}|}\phi(V_{n+1}) + \phi(V_{n+1}) - \phi(U_{n+1})) \\ &= T((T^{2|V_{n+1}|} - 1)\phi(U_{n+1}) + (T^{|V_{n+1}|} + 1)\phi(V_{n+1})) \\ &= T(T^{|V_{n+1}|} + 1)((T^{|V_{n+1}|} - 1)\phi(U_{n+1}) + \phi(V_{n+1})) \\ &= T(T^{|V_{n+1}|} + 1)(T^{|F_{n+1}|}R_{n+1}) = P_n R_{n+1}. \end{split}$$

Consequently, we have obtained

$$R'_{n+1} = P_n R_{n+1} + R'_n$$
 (3) and $R_{n+1} = Q_n R'_n - R_n$ (4).

Combining (1), (2), (3) and (4), we have

$$P_n = (S'_{n+1} - S'_n)/S_{n+1} = (R'_{n+1} - R'_n)/R_{n+1}$$
(5)

and

$$Q_n = (S_{n+1} + S_n)/S_n' = (R_{n+1} + R_n)/R_n'.$$
(6)

For $n \ge 1$, we set $\Delta_n = R_n S'_n - S_n R'_n$. From (5), we get

$$\Delta_{n+1} = R_{n+1}S_n' - S_{n+1}R_n' \tag{7}$$

and, from (6), we get

$$\Delta_n = S_{n+1} R_n' - R_{n+1} S_n'. \tag{8}$$

From (7) and (8), we obtain

$$\Delta_{n+1} = -\Delta_n$$
 and $\Delta_n = (-1)^{n+1}\Delta_1$ for $n \ge 1$.

Finally, for n = 1, we have

$$R_1 = T^3 + 2T^2 + T - 1$$
 and $R'_1 = T^6 + 2T^5 + 2T^4 + T^3 + 2T^2 + T + 2$

But also

$$S_1 = T^2(T^2 - 1)$$
 and $S_1' = T^7 - 1$.

From this we get directly $\Delta_1 = -T + 1$ and therefore $\Delta_n = (-1)^n (T-1)$, for $n \ge 1$, as desired. The statement on the G.C.D follows, since $R_n(1) \ne 0$ and $R'_n(1) \ne 0$. So the proof of this lemma is complete.

Proof of Theorem 3: We first observe that, due to the very good rational approximations for θ stated in Lemma 2 and Lemma 3, it is clear that θ is irrational. Let us start by recalling classical results concerning the continued fraction algorithm in the formal case. Let α be an irrational element in $\mathbb{F}(K)$ and $\alpha = [a_0, a_1, \ldots, a_n, \ldots]$ its continued fraction expansion. Let $(x_n/y_n)_{n\geqslant 0}$ be the sequence of convergents to α . The polynomials x_n and y_n are defined recursively by the same relation $z_n = a_n z_{n-1} + z_{n-2}$, with initial conditions $(x_0, x_1) = (a_0, a_0 a_1 + 1)$ and $(y_0, y_1) = (1, a_1)$. We have $G.C.D.(x_n, y_n) = 1$ and $\deg(y_n) = \deg(a_1) + \cdots + \deg(a_n)$, for $n \geqslant 1$. Moreover, we have the following equality

$$|\alpha - x_n/y_n| = |y_n|^{-2} |a_{n+1}|^{-1} = |y_n|^{-2 - \deg(a_{n+1})/\deg(y_n)}$$
 for $n \ge 0$.

Finally if x, y are in K[T], with $y \neq 0$ and $|\alpha - x/y| < |y|^{-2}$ then x/y is a convergent of α .

From the approximations given in Lemma 1 and Lemma 2, since we clearly have $\omega_n > 2$ and $\omega'_n > 2$ for $n \ge 1$, we can conclude that the rational functions R_n/S_n and R'_n/S'_n are convergent to θ . Hence, for $n \ge 1$, there are three integers N(n), M(n) and L(n) such that we have

$$R_n/S_n = x_{N(n)}/y_{N(n)}, \quad R'_n/S'_n = x_{M(n)}/y_{M(n)} \quad \text{and } R_{n+1}/S_{n+1} = x_{L(n)}/y_{L(n)}.$$

Since the rational functions R_n/S_n and R'_n/S'_n are in their lowest terms, we have $|S_n|=|y_{N(n)}|$ and $|S'_n|=|y_{M(n)}|$. On the other hand if we set $D_n=\deg(S_n)$ and $D'_n=\deg(S'_n)$, we observe the following

$$D_n = (3\ell_n + \ell_{n-1} + 5)/2 < D'_n = 3\ell_n + \ell_{n-1} + 4 < D_{n+1}$$
 for $n \ge 1$.

Consequently, for $n \ge 1$, we have N(n) < M(n) < L(n). Since we have $\deg(y_n) = \sum_{1 \le i \le n} \deg(a_i)$, we obtain

$$D'_n = D_n + d_{N(n)+1} + \dots + d_{M(n)},$$

and

$$D_{n+1} = D'_n + d_{M(n)+1} + \dots + d_{L(n)}.$$

Due to the rational approximation of the convergents, for $n \ge 1$, we also have

$$\omega_n = 2 + d_{N(n)+1}/D_n$$
 and $\omega'_n = 2 + d_{M(n)+1}/D'_n$.

From these two equalities, with the values for ω_n and ω'_n given in the lemmas, for $n \ge 1$, we get

$$d_{N(n)+1} = (3\ell_n + \ell_{n-1} + 1)/2$$
 and $d_{M(n)+1} = (\ell_n + \ell_{n-1} + 1)/2$.

At last, a straightforward computation shows that

$$D'_n - D_n = d_{N(n)+1} + 1$$
 and $D_{n+1} - D'_n = d_{M(n)+1} + 1$.

Since $d_i \ge 1$ for $i \ge 1$, comparing with the above formulas, we conclude that M(n) = N(n) + 2 and $d_{M(n)} = 1$, but also L(n) = M(n) + 2 and $d_{L(n)} = 1$. We observe that $R_1/S_1 = (T^3 + 2T^2 + T - 1)/(T^4 - T^2) = [0, a_1, a_2, a_3, a_4]$. Consequently, we have N(1) = 4 and by induction, N(n) = 4n, M(n) = 4n + 2 and L(n) = 4n + 4, for $n \ge 1$. So the proof of the theorem is complete.

Remark 1. The reader will observe that the choice of the pair (1,2) in the definition of the infinite word W is arbitrary. Clearly, the structure of W is only depending on a pair of symbols (a,b) with $a \neq b$. To introduce the generating function associated to W, we could have taken an arbitrary pair (a,b) in \mathbb{Q}^2 with $a \neq b$. However, deciding to keep close to the original sequence, we take (a,b) = (1,2). The structure of the infinite world for an arbitrary (a,b) implies the existence of the rational approximations given in the first lemmas and the pseudo-coprimality obtained in the last one. Note that, with (a,b) = (1,-1) for instance, we get $S_1 = T^2(T^2-1)$ and $R_1 = (T^2-2)(T-1)$, and the property of coprimality for the pair (R_1,S_1) fails. This coprimality is necessary to get the regularity of the sequence of the degrees as stated in Theorem 3, and when it fails this brings a slight perturbation in the continued fraction expansion of the corresponding generating function. Of course, we are well aware of the many possible generalizations and extensions, concerning

the word W itself and the continued fraction expansion which is derived from it, in the formal case, in characteristic zero and also in positive characteristic, but even in the real case by specializing the indeterminate. However, the aim of this note is simply to describe the continued fraction presented here below, thinking that it has the accidental beauty of some singular mathematical objects.

We shall finally describe the precise form, and not only the degree, of the partial quotients in the continued fraction expansion for θ . However, in order to avoid long and sophisticated arguments, we have not tried to prove what is stated below. The first partial quotients are given by expanding the convergent R_1/S_1 . We have $R_1/S_1 = [0, a_1, a_2, a_3, a_4]$ and a direct computation gives

$$a_1 = T - 2$$
, $a_2 = T/2 + 1/4$, $a_3 = 8T/5 + 76/25$, $a_4 = -125T/48 + 25/24$.

For the partial quotients from the fifth on, we have the following conjecture.

Let $(\ell_n)_{n\geqslant 0}$ be the sequence of integers defined in Theorem 3. For $n\geqslant 1$, there exists $(\lambda_{1,n},\lambda_{2,n},\lambda_{3,n},\lambda_{4,n})\in \mathbb{Q}^4$ such that

$$a_{4n+1} = \lambda_{1,n} (T^{(3\ell_n + \ell_{n-1} + 3)/2} + T^{(\ell_n + \ell_{n-1} + 1)/2} - 2)/(T - 1),$$

$$a_{4n+3} = \lambda_{3,n} (T^{(\ell_n + \ell_{n-1} + 3)/2} - 1)/(T - 1)$$
and
$$a_{4n+2} = \lambda_{2,n} (T - 1), \qquad a_{4n+4} = \lambda_{4,n} (T - 1).$$

Moreover the sequences $(\lambda_{i,n})_{n\geqslant 1}$ in \mathbb{Q} , for i=1,2,3 and 4, are as follows:

$$\begin{array}{ll} \lambda_{1,n} = (-1)^{n+1} r_n^2, & \lambda_{2,n} = (-1)^{n+1} (r_n^2 + r_n r_{n+1})^{-1}, \\ \lambda_{3,n} = (-1)^{n+1} (r_n + r_{n+1})^2, & \lambda_{4,n} = (-1)^{n+1} (r_{n+1}^2 + r_n r_{n+1})^{-1} \end{array}$$

where the sequence $(r_n)_{n\geqslant 1}$ in \mathbb{Q} is defined by

$$r_n = 4(2\ell_n - \ell_{n-1} + 1)/25$$
 for $n \ge 1$.

References

- [1] J.-P. Allouche and J. Shallit, Automatic sequences. Theory, applications, generalizations. Cambridge University Press, Cambridge (2003).
- [2] M. Buck and D. Robbins, The continued fraction expansion of an algebraic power series satisfying a quartic equation. J. Number Theory **50** (1995), 335–344.
- [3] G. Christol, Ensembles presque périodiques k -reconnaissables. Theor. Comput. Sci. 9 (1979), 141-145.
- [4] G. Christol, T. Kamae, M. Mendès France and G. Rauzy, Suites algébriques, automates et substitutions. Bull. Soc. Math. France 108 (1980), 401–419.
- [5] A. Lasjaunias, A survey of Diophantine approximation in fields of power series, Monatshefte für Mathematik 130 (2000), 211–229.
- [6] A. Lasjaunias, Diophantine approximation and continued fraction expansions of algebraic power series in positive characteristic. J. Number Theory 65 (1997), 206–225.
- [7] A. Lasjaunias and J.-Y. Yao, Hyperquadratic continued fractions and automatic sequences. Finite Fields and Their Applications 40 (2016), 46–60.
- [8] K. Mahler, On a theorem of Liouville in fields of positive characteristic. Canadian J. Math. 1 (1949), 388–404.
- [9] W. Mills and D. P. Robbins, Continued fractions for certain algebraic power series. J. Number Theory 23 (1986), 388–404.

[10] S. Uchiyama, Rational approximation to algebraic functions. Proc. Japan Academy ${\bf 36}$ (1960), 1–2.

Bill Allombert and Alain Lasjaunias Institut de Mathématiques de Bordeaux CNRS-UMR 5251 Université de Bordeaux Talence 33405, France E-mail: Bill.Allombert@math.u-bordeaux.fr

nail: Bill.Allombert@math.u-bordeaux.fr Alain.Lasjaunias@math.u-bordeaux.fr

Nicolas Brisebarre CNRS, Laboratoire LIP (CNRS, ENSL, Inria, UCBL) Université de Lyon ENS Lyon, 46 Allée d'Italie 69364 Lyon Cedex 07, France E-mail: Nicolas.Brisebarre@ens-lyon.fr