An Implemented Theory of Mind to Improve Human-Robot Shared Plans Execution.

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Abstract—When a robot has to execute a shared plan with a human, a number of unexpected situations and contingencies can happen due, essentially, to human initiative. For instance, a temporary absence or inattention of the human can entail a partial, and potentially not sufficient, knowledge about the current situation. To ensure a successful and fluent execution of the shared plan the robot might need to detect such situations and be able to provide the information to its human partner about what he missed without being annoying or intrusive. To do so, we have developed a framework which allows a robot to estimate the other agents mental states not only about the environment but also about the state of goals, plans and actions and to take them into account when executing human-robot shared plans.

I. INTRODUCTION

In robotics, one of the current research interests is to create robots able to work jointly with humans or to help them in everyday life. To do so, robots need to perform joint actions with humans. They are already capable of computing shared plans [1], not only for themselves, but also for other agents (humans or robots) involved in a joint task ([2], [3]). They can execute their part of the plan while monitoring the activity of the other agents. However, when working with humans, the global execution of these shared plans is not always simple. Indeed, unexpected situations and contingencies can happen due essentially to human initiative and robots need to correctly interpret them and act accordingly. One of these unexpected situation is the temporary absence or inattention of a human. If it happens, when the human comes back, he can lack information about what happened during his absence. Some of the facts are observable while others are not, leading the human to have a partial, and potentially not sufficient, knowledge about the current situation. To ensure a successful and fluent execution of the shared plan, the robot needs to inform the human about what he missed. However, systematically informing him about all missing information can quickly lead to an intrusive and annoying behaviour of the robot. Consequently, the robot needs to be able to distinguish which information the human really needs and which he does not. To do so, the robot needs to estimate correctly and take into account the mental state of its human partner.

The ability to reason about other people perception, beliefs and goals and to take them into account is called Theory of Mind (ToM) ([4], [5]). In robotics, previous work in ToM focused mainly on perspective taking and belief management: robots are able to reason about what humans can perceive or not, and then construct representations of the world from their point of view ([6], [7], [8]). They can use this knowledge to learn tasks, solve ambiguous situations or understand humans behaviour. However, there is still a gap between such representations and those necessary to permit an effective shared plan action execution.

In order to fill this gap, we present, as a first step, a framework that allows the estimation by the robot of its human partner mental state related to collaborative task achievement. Such mental states contain not only the state of the world but also the state of the goals, plans and actions. They are based on the capability of the robot to permanently compute the spatial perspective of its partners and to track their activity. As a second step, we present how the robot uses these mental states to perform joint actions with humans and more particularly to manage the execution of shared plans in a context of humans and robots performing collaborative objects manipulation. As a result, the robot is able to adapt to humans decisions and actions and to inform them when needed without being intrusive by giving (unnecessary) information that the human can observe or infer by himself.

We first present the global architecture in §III and then briefly situate, in §IV, our work relatively to the joint action literature. The formal definition of what we call a goal, a plan and an action are described in §V and we define more precisely the representation of the mental state used by our robot in §VI. These definitions are then used in §VII to explain how the mental states are estimated and updated. §VIII shows how the robot uses this information to manage shared plans execution. Finally, we evaluate our system in §IX and conclude.

II. BACKGROUND

Previous work on ToM in robotics mainly concern perspective taking and belief management. One pioneer work is [9] where two models from social sciences are analysed conducting to a first architecture integrating ToM for robots. Trafyon et al., based on the Polyscheme architecture, compute spatial perspective taking to help the understanding of instructions in dialogue between astronauts [6]. Based on the ACT-R architecture, [10] models the mechanisms used to take
decisions during the Sally and Anne test ([11]). ACT-R is also used in [12] to explain, with the help of perspective taking, unexpected behaviours from a human partner. Breazeal et al. use perspective taking to learn tasks from ambiguous demonstrations [13]. Gray et al. simulate the outcomes of actions from other agents point of view to predict the actions effects in others’ mental states [14]. Milliez et al. propose a belief management that enables a robot to pass the Sally and Anne test [8]. This reasoning is used in [15] to solve ambiguous situations in dialogue and in [3] to compute human-aware plans taking into account potential divergence of beliefs between the human and the robot or lack of information in the human knowledge about the current world state.

Concerning shared plans execution, to our knowledge, only a few contributions integrate the consideration of the human partner mental state. Human activities can be fairly well monitored ([16], [17]), robot actions can be executed taking into account the humans in the environment ([18], [19]) and human-aware plans can be computed ([2], [3]). However, very few architectures track and take into account the human partner state during the execution of a joint task. Clodic et al. present SHARY, a supervision system which enables to execute human-aware plans [20]. In this system, the execution can be stopped and a new plan computed in case of unexpected situations, Fiore et al. extended this work in a more robust way that includes new aspects of joint action like reactive action execution [21]. Fong et al. present HRI/OS, a system able to produce and schedule tasks for different agents based on their capacities [22]. However, the agents act mostly in a parallel and independent way. Shah et al. present Chaski, a task-level executive that allows to choose when to execute the robot actions adapting to the human partner [23]. However, none of these architectures explicitly takes into account the humans mental state when executing a shared plan with him.

Previous work has also been conducted in order to model shared plans [24] or agent intentions [25]. Researchers in Artificial Intelligence (AI) developed Beliefs, Desires and Intention (BDI) theories to model rational agents for multi-agents activities ([26], [27]). Frameworks have been devised to model multi-agents joint activities and shared plans execution in a robust way with regards to joint intention and beliefs about joint intention of agents but without taking into account other agents knowledge about the state of the plans and actions nor spatial perspective taking ([28], [29]).

III. OVERALL ARCHITECTURE

In this paper, we place ourselves in a context where an assistant or team-mate robot has to work jointly with other agents (humans or robots). They share an environment and mutually observe each other. Fig. 1 illustrates the implemented architecture on the robot. It is composed of:

- A Situation Assessment module [8]: which takes as input the sensor data and maintains the current world state from the point of view of all agents based on spatial perspective-taking. It also computes a set of symbolic facts that represent the observable world state from the different agents point of view. These facts concern relations between objects (e.g. (mug isOn table)) or agents affordances (e.g. (mug isVisibleBy human), (book isReachableBy robot)).
- A ToM Manager: which takes the symbolic world models computed by the Situation Assessment module and information from the supervisor about the execution of goals, plans and actions in order to estimate and maintain the mental state of each agent involved in the cooperation. These mental states contain not only world state information but also an estimation of the agents beliefs about the tasks and the other agents capacities. More details concerning this module are given in §VII.
- A high-level task planner [3], [30]: which allows the robot to synthesize shared plans containing the actions of all agents involved in a given task. It is an HTN task planner which bases its reasoning on the symbolic representations of the world from the Situation Assessment module and on the agents abilities on the current context (for example which agent can reach which object). It searches for the best possible plan to achieve the shared goal by taking into account human-aware costs in order to come up with highly acceptable plans. An example of such a plan is given in Fig. 3(b).
- A geometric action and motion planner [31]: which allows to compute trajectories as well as objects placements and grasps in order to perform actions like Pick or Place while taking into account the human safety and comfort.
- A dialogue manager [32], [33]: which allows to verbalize information to the human and to recognize basic vocal commands.
- A Supervisor [21]: in charge of collaborative activity. To do so, it takes the human partner mental state into account to decide when to perform actions or to communicate with him. It also interprets the information coming from the Situation Assessment module in order to recognize human actions like Pick or Place. More details concerning
this module can be found in § VIII.

IV. OUR WORK IN THE JOINT ACTION CONTEXT

The first step during a joint action is to share a goal. This implies a number of issues related to agent commitment. In order to focus on the paper contribution, we consider here that the joint goal has already been established: we consider that the robot and its human partners have a commitment following order to focus on the paper contribution, we consider here that the goal is not achievable any more.

Once the joint goal had been established, the involved agents need to share a plan. This plan can come from multiple sources: it can be imposed by a human, negotiated through dialogue or the robot can compute it. To focus on the execution of the shared plan, we choose, for this paper, to let the robot compute the plan. However, the processes presented in this paper hold even if the plan comes from a different source. Moreover, as the symbolic planner used in the architecture takes into account human-aware costs, we assume that the computed plan will be close enough to human expectations to be accepted by him. This plan is automatically shared by the robot at the beginning of the interaction (by displaying it on a screen near the robot or by verbalization). In this paper, we will discuss about the processes used during the execution of shared plans, and, we will not focus on issues linked to the computation or the communication of these plans.

The execution of shared plan is based on agent capacities. We distinguish between humans and robots capacities. We consider that a human has the capacities to:

- Perform high level actions: He is able to perform a set of high level actions like Pick or Place. The shared plan is then computed based on this assumption. In order to know what a human can do in a given situation, the Situation Assessment module computes, based on geometry, an estimation of his ability to reach the objects of the environment.

- Perceive: The Situation Assessment module computes an estimation of what the human can see in the environment. This information added to a belief management algorithm allows the robot to estimate, at any moment, what the human knows about the environment. Concerning actions, we make the assumption that a human will see and keep an estimation of the current state of the environment. Moreover, as the symbolic planner used in the architecture takes into account human-aware costs, we assume that the computed plan will be close enough to human expectations to be accepted by him. This plan is automatically shared by the robot at the beginning of the interaction (by displaying it on a screen near the robot or by verbalization). In this paper, we will discuss about the processes used during the execution of shared plans, and, we will not focus on issues linked to the computation or the communication of these plans.

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- Communicate: The dialogue manager allows the human, at any moment, to ask the robot to perform an action. We also assume that when he is present, the human is able to hear and understand the information verbalized by the robot.

We also consider that the robot has the following capacities:

- Perform high level actions: like Pick, Place, Drop, Handover, etc... Similarly to the human, we consider a set of high level actions that the robot is able to perform and that are taken into account to build the shared plan.

Likewise, reachabilities are computed for the robot in order to decide which action it is able to perform at each time.

- Perceive: The Situation Assessment module allows to keep an estimation of the current state of the environment: the robot is able to detect and localize objects and agents. The robot is also able to recognize simple high level actions performed by a human like Pick, Place or Drop.

- Communicate: Thanks to the Dialogue module, the robot is able to ask to a human to perform an action and to inform him about the state of the environment, the goal, a plan or an action. The robot is also able to share a plan through speech synthesis (plan verbalization) or by displaying it on a screen.

V. REPRESENTATIONS

Let’s define the goal which the robot needs to perform with other agents, the plans computed to achieve this goal and the actions which compose these plans.

Let’s $G$ be the set of all goals. A goal $g \in G$ is defined as:

$$g = \langle name_g, AGG_g, O_g \rangle$$

Where $name_g$ is used to identify the goal, $O_g$ is a set of facts representing the desired world state and $AGG_g$ is a set of agents involved in the goal.

Let’s $P$ be the set of all plans. A plan $p \in P$ is defined as:

$$p = \langle id_p, g_p, ACP_p, L_p \rangle$$

Where $id_p$ is used to identify the plan and $g_p$ is the goal that the plan allows to achieve, $ACP_p$ is a set of actions (see below) that compose the plan and $L_p$ is a set of links defining actions order and causal links.

A link $l$ is defined as $l = \langle previous_l, after_l \rangle$ where $previous_l$ is the id of the action which needs to be achieved before the action with the id $after_l$ is performed.

Let’s $ACT$ be the set of all actions. An action $ac \in ACT$ is defined as:

$$ac = \langle id_{ac}, name_{ac}, AGC_{ac}, PR_{ac}, PRe_{ac}, EFF_{ac} \rangle$$

Where $id_{ac}$ is the action identifier and $name_{ac}$ represents its name (e.g. pick, place, etc...). $AGC_{ac}$ is a set of agent names representing the actors of the actions and $PR_{ac}$ is a set of facts representing the objects (or agents) which allows to define precisely the action (e.g. the name of the object to pick). $PRe_{ac}$ and $EFF_{ac}$ are sets of facts representing respectively the action precondition and effects.

VI. MENTAL STATES

Let’s also define how the robot represents other agents. Let’s $A$ be the set of all agents, an agent $ag \in A$ is defined as:

$$ag = \langle name_{ag}, type_{ag}, CAP_{ag}, MS_{ag}, AG_{ag} \rangle$$

Where $name_{ag}$ is used to identify the agent and $type_{ag}$ represents if the agent is a human or a robot. $CAP_{ag}$, the set of

1Often only the robot and a human partner, but other robots and humans can be concerned
At each moment, goals, plans and actions can only have one state in each agent mental state.

VII. THE TOM MANAGER

The Tom Manager allows the robot to estimate and maintain the mental states of each agent interacting with the robot and is limited here to a first-order Tom the robot has its own knowledge and a representation of the other agents and their knowledge. However, these last agents do not have representations of other agents. In order to do that, we use an agent \( r \in A \) which represents our robot. As defined previously, \( r \) contains a representation of the other agents \( AG_r \). However, the agents in \( AG_r \) do not contain further other agents representation (\( \forall ag \in AG_r, AG_{ag} = \emptyset \)). We will present here how the robot estimates and maintains the mental state of an agent \( ag \in AG_r \) but these processes are the same for all agents in \( AG_r \) and for the mental state of the robot \( r \).

A. World state

The representation of the world state by an agent is composed of two types of facts:

- **Observable facts**: these facts are computed and maintained by the Situation Assessment module. They concern what the agent can observe about the world state. These facts represent the affordances of all agents (e.g., isVisibleBy, isReachableBy) and the relations between objects (e.g., isOn, isIn) visible to them.

- **Non-observable facts**: they cannot be computed by the Situation Assessment module. They concern information that the agent cannot observe (e.g., the fact that an object is in a closed box). There are two ways for an agent to be aware of a non-observable fact, it can be informed by
another agent or it can perform or see an action that has this fact in its side effects (when the robot estimates that an agent considers an action DONE, it considers that the agent is aware of all the effects of the action).

B. Goals

The evolution of the state of a goal $g \in G$ in the mental state of an agent $ag$ is described in Fig. 2(a). As said before, we consider that the agent already commits to the goal. Consequently, when the robot starts to execute a goal ($g.start()$) the goal is considered in progress. The agent considers a goal achieved when all the facts belonging to the objective of the goal are known to it (accordingly to its knowledge, the desired world state has been reached). As we do not focus on issues relative to shared intention, we consider that the agent will not abort the goal on his own. The robot will abort the goal when no more plan can be found to achieve it ($g.no_plan()$). Finally, the agent can be informed about the result of a goal ($ag.isInformed(g)$).

C. Plans

The evolution of the state of a plan $p \in P$ in the mental state of an agent $ag$ is described in Fig. 2(b). Each time the robot computes a plan ($p.isComputed()$) to achieve a goal, it shares it with the other agents ($r.share(p,ag)$). Accordingly, if the agent is present, it considers the plan in progress. The agent considers a plan achieved when he considers each action included in it performed. If, at any time, the agent considers that there is still actions from the plan to be performed but there are no action in progress or action to be done that are possible, the agent considers that the plan is aborted ($ag.no_action(p)$, Alg. 1). Finally, the agent can be informed about the result of a plan ($ag.isInformed(p)$).

Algorithm 1 $ag.no_action(p)$

```plaintext
if ($\exists \ (id, state) \in ACS_{ag} \ | \ state = PROGRESS \\
   \& \ (\exists \ id_2, state_2) \in ACS_{ag} \ | \ state_2 = PLANNED \\
   \& state_2 = NEEDED) \ then \ \\
   \langle id_1, ABORTED \rangle \in PS_{ag}$
end if
```

D. Actions

The evolution of the state of an action $ac \in AC$ belonging to a plan $p \in P$ in the mental state of an agent $ag$ is described in Fig. 2(c). When a new plan is shared by the robot ($r.share(p,ag)$), all the actions in this plan are considered planned by the agent. The agent considers an action READY if all previous actions in the plan (based on the plan links) are considered done by the agent and if the agent considers all the preconditions of the action true. If the agent does not consider all the preconditions of the action true, it considers the action NEEDED. When an action is executed, from an agent perspective, if it performs it ($ag.perform(ac)$) or observes another agent performing it ($ag.see(ac)$), it knows then that the action is in progress. In a same way, we consider that, when an action is over, if the agent performed it ($ag.perform(ac)$) or observed the end of its execution ($ag.see_end(ac)$), it knows the result of the action. When the agent is informed that an action has been done, it also infers the effects of the action. But an agent can also infer that an action has been done if it knows that the action was in progress or on its way to be done and it can see the effects of the action or if it knows that the action was in progress and can see the actors of the action ($ag.see_actor(ac)$) and that there are not performing the action any more ($\text{LAG}_{ac}.perform(ac)$). An agent can also be asked (or ask to somebody else) to perform an action ($ask(ac)$) and be informed about the result of an action ($ag.isInformed(ac)$).

VIII. The Supervisor

The supervisor manages shared plans execution. To do so, it makes the robot execute its actions when it considers they are needed and possible (action READY in the robot knowledge) and, in parallel, the robot monitors the humans activities in order to detect their actions. If the supervisor estimates that the current plan is not feasible any more (plan ABORTED in its knowledge) it tries to compute a new plan. If it succeeds, it shares the plan and starts to execute it. If it fails, it aborts the goal. Thus, when a human performs an unexpected action or if an action fails, the supervisor is able to quickly produce a new plan and adapt to the new situation.

In this paper, we will focus on the activity of the supervisor which allows to manage divergent belief during the execution of a shared plan. Indeed, when two humans share a plan, they usually do not communicate all along the plan execution. Only the meshing subplans of the plan need to be shared [36]. Consequently, the robot should inform humans about elements of the shared plan only when it considers that the divergent belief might have an impact on the joint activity in order to not be intrusive by giving them information which they do not need or which they can observe or infer by themselves.

A. Weak achievement goal

If we follow the definition of weak achievement goal in [34], if the robot knows that the current goal has been achieved or is not possible anymore, it has to inform its partners. Accordingly, we consider that, when, in the robot knowledge, the state of a goal is DONE (resp. ABORTED) and the robot estimates that a human does not consider it DONE (resp. ABORTED), the robot informs him about the achievement (resp. abandoning) of the goal (if the agent is not here or is busy with something else, the robot will do it as soon as the agent is available). We extend this reasoning to plans: the robot informs in the same way about the achievement (resp. abandoning) of a plan.

B. Before human action

A divergent belief of a human partner can be an issue when it is related to an action that he has to do. To avoid that a human misses information to execute his part of the plan, each time the robot estimates that a human has to do an action
(a) Initial world state  
(b) Computed shared plan to solve the goal

![Diagram](image)

Fig. 3: Initial set-up of the Clean the table scenario. The human and the robot have to clean the table together. The computed shared plan is to remove the three books lying on the table, sweep it and place back the books.

...
agent, and, to sweep a surface, it should not have any objects on it and it should be reachable by the agent. The initial plan produced to achieve the goal is shown in Fig. 3(b)\(^3\).

2) “Inventory” scenario: In this example, a human and a PR2 robot have to make an inventory together. At the beginning of the task, both agents have coloured objects near them as well as a coloured box (initial world state in Fig. 4). These coloured objects need to be scanned and then, stored in the box of the same colour. The actions considered during this task are placeReachable, pickanddrop and scan. We call placeReachable the action to pick an object and to place it such that it is reachable by the other agent. For an agent to perform this action, the object needs to be reachable by it. We call pickanddrop the action to pick an object and to drop it on a box. To perform such an action, the object and the box need to be reachable by the agent. The scan action can only be performed by the robot and consists of scanning an object by orienting the head of the robot (assumed to be equipped by a scanner) in the direction of the object placed such that it is reachable.

B. Criteria and results

One objective of our contribution is to reduce unnecessary communication acts from the robot during the execution of a shared plan aiming at a more friendly and less intrusive behaviour of the robot. Consequently, in order to evaluate our system, we have chosen to measure the amount of information shared by the robot during a shared plan execution where the human misses some information. As it is not trivial to create and control a lack of knowledge from a human subject, we decided to evaluate our system in simulation. We ran experiments in the two scenarios described previously where a human and a robot have to perform a joint task together\(^4\). When the interaction starts, we consider that the joint goal is already established and that both human and robot already agreed on a shared plan. The robot executes the plan as described in the presented work and the simulated human executes the actions planned for him. We randomly sample a time when the human leaves the scene and another time when the human comes back. While absent, the human does not execute actions and cannot see anything nor communicate. During the interaction, we logged the number of facts (information chunks) given by the robot to the human. An information concerns either a change in the environment, the state of a previous action, the abortion of a previous plan or the sharing of a plan. We compared our system (called ToM system) to:

- a system which verbalizes any plan abortion, shares any new plan and informs about each action missed by the human (called Missed system).
- a system which verbalizes any plan abortion, shares any new plan and informs about each action performed by the robot even if the human sees it (called Performed system).

The obtained results are given in Table I.

<table>
<thead>
<tr>
<th>Scenario System</th>
<th>Clean the table</th>
<th>Inventory</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Average</td>
<td>Std Dev</td>
</tr>
<tr>
<td>ToM</td>
<td>1.32</td>
<td>0.98</td>
</tr>
<tr>
<td>Missed</td>
<td>2.86</td>
<td>1.33</td>
</tr>
<tr>
<td>Performed</td>
<td>4.44</td>
<td>1.85</td>
</tr>
</tbody>
</table>

TABLE I: Number of information given by the robot during the two presented scenarios for the three systems (ToM, Missed and Performed).

We can see that our system allows to reduce significantly the amount of information given by the robot. In the “Clean the table” scenario, depending on when the human leaves, the robot might change the initial plan and take care of the book reachable by both agents instead of the human. This explains why the average number for the Performed system is higher than the number of actions initially planned for the robot: the robot performs more actions in the new plan and can communicate about the new plan and the abortion of the previous one. In this scenario, our system allows to communicate about the plan abortion only if the human can not infer it by himself and to not communicate about missed pickandplace actions as the human can infer them by looking at the objects placements. However, the robot will inform the human if he missed the fact that the robot has swept the table as it is not observable and it is a necessary information for the human to know before he can put back objects on the table.

In the inventory scenario, as all objects and boxes are reachable only by one agent, the robot does not change the plan when the human leaves. This explains the fact that the standard deviation is null for the Performed system: the number of actions performed by the robot never changes and there is no change in the plan. In this scenario, the pickanddrop and scan actions have non-observable effects (the human can not see an object in a box). However, we can see that our system still verbalizes less information than the Missed system: the robot communicates only the information which the human really needs (as the fact that an object the human should drop in a box has been scanned) and does not give information.

\(^3\)This example has been fully implemented in a real robot. A detailed execution can be found in the attached video.

\(^4\)More details about these two scenarios can be found in the attached video.
which are not linked to the human part of the plan (as the fact that the robot scanned an object it have to drop in its box).

X. CONCLUSION

In this paper, we have presented a system that allows to estimate and maintain mental states of other agents concerning not only the environment but also about the state of goals, plans and actions in the context of human-robot shared plan execution. This system takes these mental states into account when executing human-robot shared plans by allowing the robot to manage human-robot joint activities taking into account the human perspective and its knowledge about the task. We have shown that this system allows to reduce the number of unnecessary information given to the human while giving the needed information to ensure a proper task achievement.

The novelty of this work is twofold: the estimated mental states concern not only observable information about the environment but also the state of current and previous goals, plans and actions, and, these mental states are taken into account during the execution of a shared plan in order to reduce unnecessary communication to produce a less intrusive behaviour of the robot. Moreover, this work has been implemented and run on a complete human-aware architecture, enabling the robot to fluently perform joint tasks with a human.

We have presented here one relevant use of the computed mental states. However, we strongly believe that they can also be used to tackle other challenges during human-robot joint actions. For example, another use could be to estimate the possible lack of information of the robot in order to allow it to ask for help or to ask information when needed. Another aspect, that we plan to explore in the future, is to reason about such mental states to better understand human “unexpected” behaviours.

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