

## Inhibition of iron-induced lipid peroxidation by newly identified bacterial carotenoids in model gastric conditions: comparison with common carotenoids

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Newly identified spore-forming pigmented marine bacteria, *Bacillus indicus* HU36 and *Bacillus firmus* GB1, are sources of carotenoids (mainly 15 yellow and orange pigments and 13 pink pigments, respectively) with original structures. These bacterial carotenoids were evaluated for their ability to inhibit the iron-induced peroxidation of linoleic acid micelles, or sunflower oil-in-water emulsions, in comparison with  $\beta$ -carotene, lycopene and astaxanthin. Lipid peroxidation was carried out in acidic conditions and initiated by dietary heme or non-heme iron (metmyoglobin or Fe<sup>II</sup>, respectively) so as to simply simulate the postprandial gastric medium, a possible site for dietary oxidative stress. Lipid hydroperoxide formation and carotenoid consumption were followed by UV-vis spectroscopy and appropriate indicators of the antioxidant activity were estimated in each model. The bacterial carotenoids were found to be better inhibitors of heme-induced lipid peroxidation than the reference carotenoids as a likely consequence of their location closer to the interface in micelles and lipid droplets. However, this trend was not confirmed in lipid peroxidation induced by non-heme iron, possibly because of the redox recycling of Fe<sup>II</sup> by carotenoids. The quantitative kinetic analysis of the peroxidation curves suggests that the carotenoids mainly inhibit the propagation phase of lipid peroxidation by direct scavenging of the lipid peroxy radicals, in agreement with independent experiments showing that carotenoids are unable to reduce the one-electron oxidized form of metmyoglobin (ferrylmyoglobin), a model of initiating species in heme-induced lipid peroxidation. Overall, carotenoids from *Bacillus indicus* HU36 and *Bacillus firmus* GB1 were found to be interesting antioxidants to fight postprandial oxidative stress in the stomach.

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### 1 Introduction

Lipid oxidation is detrimental to food quality and to the integrity of biological lipid assemblies (cell membranes, lipoproteins).<sup>1,2</sup> When initiated by reactive oxygen species (ROS) under oxidative stress conditions, lipid peroxidation is a radical chain mechanism producing lipid hydroperoxides (primary products) and a complex distribution of secondary products *via* reactive lipid oxyl and peroxy radicals.<sup>3</sup> Radicals and electrophiles (aldehydes, epoxides) formed during lipid peroxidation can also damage proteins and DNA and those events are involved in the development of degenerative diseases.<sup>4-7</sup>

Dietary iron, which is abundant in meat in its heme and free forms,<sup>8</sup> is a major initiator of lipid oxidation in food due to its ability to produce ROS from hydroperoxide traces.<sup>9,10</sup> Similar reactions may occur in the digestive tract after ingestion of dietary iron and polyunsaturated fatty acids (PUFAs). In gastric conditions, dietary lipid peroxidation may be especially fast due to high dioxygen concentrations, acidic pH and constant mixing.<sup>11,12</sup>

Because of their limited intestinal absorption and extensive catabolism in humans, polyphenols and carotenoids, the main dietary antioxidants together with vitamins C and E, are being increasingly considered to exert a large part of their beneficial health effects in the gastro-intestinal (GI) tract,<sup>13,14</sup> in particular by inhibiting the peroxidation of dietary PUFAs. It is therefore biologically significant to devise simple chemical models of the gastric content for investigating the capacity of dietary antioxidants to scavenge ROS formed during iron-induced lipid peroxidation.<sup>15-19</sup>

Pigmented microorganisms are interesting sources of original pigments with potential nutritional value such as carotenoids. As such, they could find application in the food industry, *e.g.* as colorants and dietary supplements. In this work, newly

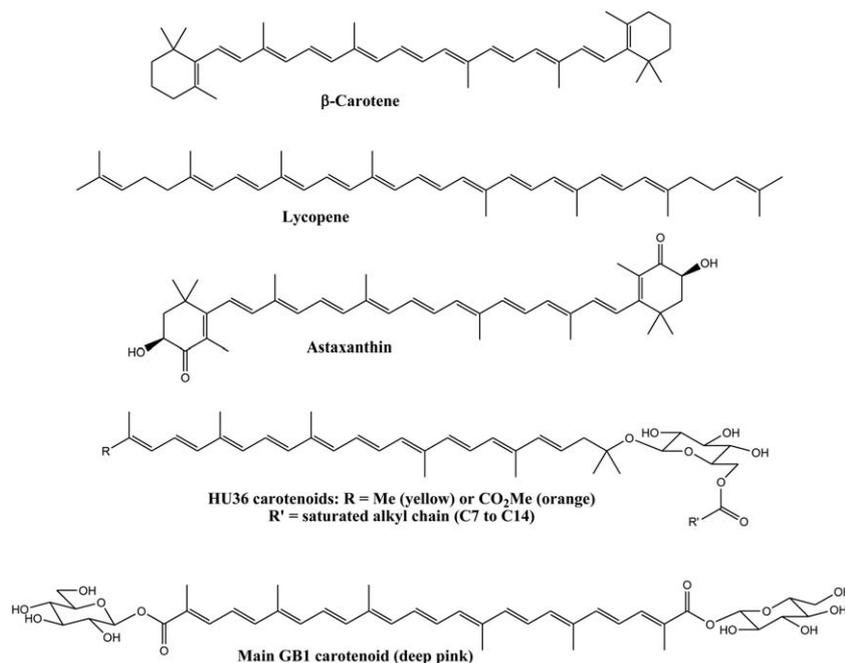
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**Scheme 1** Structures of the carotenoids investigated. In HU36 and GB1, the configurations of the sugar C-atoms as well as the site of acylation are tentatively attributed.

identified carotenoids extracted from two marine bacterial strains (Scheme 1) were investigated for their antioxidant activity in gastric content. Initially, the *Bacillus indicus* HU36 and the mutant *Bacillus firmus* GB1 strains were selected for their high production of carotenoids, the resistance of their spores to UV radiation and their probiotic properties.<sup>20,21</sup> The HU36 strain isolated from human faeces produces yellow and orange pigments ( $\lambda_{\text{max}} = 429, 454$  and  $485$  nm) in variable proportions depending on whether they are in the forms of vegetative cells or spores. The most abundant pigments in HU36 are glycosides of oxygenated lycopene derivatives (apolyconenoids) acylated by saturated fatty acid chains on the sugar moiety.<sup>22</sup> The GB1 strain isolated from human ileum produces deep-pink pigments ( $\lambda_{\text{max}} = 463, 492$  and  $524$  nm), the main one being 4,4'-diglycosyl-4,4'-diapolyconenoid ester.<sup>20</sup> For comparison, three common carotenoids were also investigated: the hydrocarbons  $\beta$ -carotene and lycopene abundant in fruit and vegetables, and the xanthophyll astaxanthin produced by yeasts and typically consumed with fishes and crustaceans. These three pigments are among the most common commercially available carotenoids either in synthetic or natural form.<sup>23</sup>

Our models of lipid peroxidation in the stomach involve mildly acidic micelle solutions of linoleic acid and sunflower oil-in-water emulsions. The experimental conditions used were adapted from previously published procedures.<sup>15–19</sup> Peroxidation was initiated either by free ferrous iron or by metmyoglobin and followed at pH 5.8 and 4, respectively corresponding to the early phase and the mid-phase of gastric digestion.<sup>24</sup> Insights into the antioxidant mechanisms involved were achieved through a combination of approaches: (a) a detailed physico-chemical modelling of iron-induced lipid peroxidation in the presence or absence of an antioxidant, (b) the assessment

of the carotenoid partition between the oil and aqueous phases of the emulsion, and (c) the ability of carotenoids to reduce ferrylmyoglobin, a one-electron oxidized form of metmyoglobin and a model of initiating species in heme-induced lipid peroxidation.

## 2 Experimental section

### 2.1 Chemicals

(all-*E*)-Lycopene from tomato oleoresin ( $\text{C}_{40}\text{H}_{56}$ ,  $M = 536$  g mol<sup>-1</sup>, >90%) was from Conesa (Badajoz, Spain). Newly identified carotenoid extracts from *Bacillus* strains (HU36 and GB1) were provided by members of the *Colorspore* consortium (Small Collaborative Project no. 207948, FP7). (all-*E*)- $\beta$ -Carotene ( $\text{C}_{40}\text{H}_{56}$ ,  $M = 536$  g mol<sup>-1</sup>, >95%), (all-*E*)-astaxanthin ( $\text{C}_{40}\text{H}_{52}\text{O}_4$ ,  $M = 596$  g mol<sup>-1</sup>, >98%), polyoxyethyleneglycol 23 lauryl ether (Brij®35), (9*Z*,12*Z*)-octadecadienoic acid (linoleic acid, >99%), *L*- $\alpha$ -lecithin from soybean (>50%  $\alpha$ -phosphatidylcholine),  $\text{FeSO}_4 \cdot 7\text{H}_2\text{O}$  (>99.5%), myoglobin from equine heart (>90%, salt-free), xylenol orange tetrasodium salt and BHT (2,6-di-*t*-butyl-*p*-cresol) were purchased from Sigma-Aldrich (St-Quentin-Fallavier, France). Ferrozine (3-(2-pyridyl)-5,6-diphenyl-1,2,4-triazine-4'-4''-disulfonic acid monosodium, 97%) was from Fluka (St-Quentin-Fallavier, France). Sunflower oil was food grade (Auchan store, France). Water was purified through a Millipore Q-Plus.

A stock 1 mM  $\text{Fe}^{\text{II}}$  solution was prepared in 0.1 M aqueous  $\text{H}_2\text{SO}_4$ . Stock metmyoglobin ( $\text{MbFe}^{\text{III}}$ ) solutions were prepared in Milli-Q water, filtered through a 0.45  $\mu\text{m}$  filter and their concentrations checked by absorbance measurement at 525 nm using  $\epsilon = 7700$  M<sup>-1</sup> cm<sup>-1</sup>.<sup>25</sup> Metmyoglobin concentrations were adjusted to 25  $\mu\text{M}$  and 1 mM for experiments in the micelle and emulsion models, respectively.

## 2.2 Purification and analysis of bacterial carotenoids

Crude bacterial extracts from HU36 and GB1 were purified by liquid chromatography on a C18 silica column (elution by a gradient of MeOH–H<sub>2</sub>O (4 : 1) and EtOAc–CH<sub>2</sub>Cl<sub>2</sub> (4 : 1)). The average molecular weights of the HU36 and GB1 carotenoids (750 g mol<sup>-1</sup> and 784 g mol<sup>-1</sup> respectively) were estimated by UPLC (Waters Acquity) coupled to a diode-array detector and QTOF mass spectrometer (HCTM high capacity trap Ultra MSR, Bruker Daltonics). Samples were eluted at 35 °C on a C18 silica column (2.1 × 150 mm, 1.8 μm particle size, type HSS T3, Waters Acquity) with a gradient of solvent A (5 μM HCO<sub>2</sub>H + 10 mM HCO<sub>2</sub>NH<sub>4</sub> in MeCN–MeOH–H<sub>2</sub>O (4 : 1 : 1)) and solvent B (5 μM HCO<sub>2</sub>H in EtOAc–CH<sub>2</sub>Cl<sub>2</sub> (4 : 1)) at a flow rate of 0.5 mL min<sup>-1</sup>. Mean molecular masses were calculated from the molecular masses of individual carotenoids weighed by the area of peaks.

Stock standard carotenoid solutions (ca. 0.5 mM) were prepared in 10 mL CH<sub>2</sub>Cl<sub>2</sub>. The concentrations of stock carotenoid solutions were calculated by UV-visible spectroscopy. The molar absorption coefficients used were 128.5 × 10<sup>3</sup> M<sup>-1</sup> cm<sup>-1</sup> at 460 nm for β-carotene, 178 × 10<sup>3</sup> M<sup>-1</sup> cm<sup>-1</sup> at 482 nm for lycopene and 125 × 10<sup>3</sup> M<sup>-1</sup> cm<sup>-1</sup> at 486 nm for astaxanthin.<sup>26</sup> The molar absorption coefficients at λ<sub>max</sub> of the bacterial carotenoids in petroleum ether were taken equal to the ones of closely related analogues found in *Staphylococcus aureus*,<sup>27</sup> i.e. staphyloxanthin for HU36, glucosyl-diaponeurosporenoate for GB1. They were then calculated in CH<sub>2</sub>Cl<sub>2</sub> from the UV-visible spectra recorded in both solvents: ε = 165 × 10<sup>3</sup> M<sup>-1</sup> cm<sup>-1</sup> at 454 nm for HU36 carotenoids and 225.3 × 10<sup>3</sup> M<sup>-1</sup> cm<sup>-1</sup> at 502 nm for GB1 carotenoids.

All spectra were recorded on a Specord S-600 diode-array UV-Vis spectrophotometer (optical pathlength = 1 cm) equipped with an 8-cell rail, a magnetic stirring device and a thermostatic bath (Analytik Jena).

## 2.3 Lipid peroxidation in the micelle model

A 0.2 M acetate buffer and a 0.2 M phosphate buffer were chosen for experiments at pH 4.0 and 5.8, respectively. Under these conditions, the metal-chelating capacity of the phosphate buffer was too low to remove iron from heme. Because of potential contamination by metal traces, purification of buffer solutions was achieved by elution on a chelating resin (Chelex 100®, Bio-Rad). The non-ionic surfactant Brij®35 (polyoxyethyleneglycol dodecyl ether) was used for the preparation of carotenoid-containing micelles. Brij®35 was chosen for its good stability and very low content of hydroperoxides, which could react with iron.<sup>28</sup> Aliquots of stock carotenoid solutions were mixed with 2 mL of a 40 mM stock solution of Brij®35 in CH<sub>2</sub>Cl<sub>2</sub> and 250 μL of a 28 mM stock solution of linoleic acid in CH<sub>2</sub>Cl<sub>2</sub>. After evaporation of CH<sub>2</sub>Cl<sub>2</sub> under reduced pressure and solubilisation of the dried viscous residue in 20 mL aqueous buffer, initial concentrations were 4 mM Brij®35, 0.7 mM linoleic acid and 0–8 μM carotenoid.

In the lipid peroxidation experiments, 1.96 mL of the micelle solution containing Brij®35, linoleic acid with or without carotenoid were transferred into the spectrophotometer cells protected from light, covered with Teflon stoppers and under

magnetic stirring at 37 °C. Oxidation was initiated by addition of 40 μL iron solution. At pH 4, oxidation was initiated by Fe<sup>II</sup> (concentration in cell: 20 μM) or MbFe<sup>III</sup> (concentration in cell: 0.5 μM). At pH 5.8, only MbFe<sup>III</sup> was used because of the poor solubility of Fe<sup>II</sup>. Each experiment was run in triplicate. Lipid peroxidation was followed by monitoring the concentration of conjugated dienes at 234 nm. The residual carotenoid concentration was simultaneously measured at the λ<sub>max</sub> value in the visible range.

## 2.4 Lipid peroxidation in the emulsion model

The experimental procedure was adapted from our previous works.<sup>18,19</sup> Experiments were carried out at pH 4 (20 mM acetate buffer) and 37 °C. Sunflower oil was stripped from endogenous α-tocopherol by adsorption on activated neutral alumina (15 g per 30 mL oil) at 4 °C for 24 h. Its fatty acid composition was around 63% 18 : 2 n – 6, 25% 18 : 1 n – 9, 7% 16 : 0 and 5% 18 : 0. An aliquot of stock carotenoid solution (concentration calculated by absorbance measurement) and 638 mg soya phospholipids (53% in α-phosphatidylcholine, 31% in phosphatidylethanolamine, 12% in sphingomyeline and 4% in phosphatidylinositol) were dispersed into 1.8 g sunflower oil. After evaporation of the solvent under reduced pressure, the dried viscous residue was mixed with 16.4 mL acetate buffer and homogenised by vigorous stirring to initiate emulsification. Then, the mixture was kept in ice and emulsification was completed by sonication for 6 × 45 s. The final carotenoid concentration in the emulsion was 25 or 100 μM.

Emulsions were first analysed by optical microscopy (×10<sup>3</sup>). Then, the particle size distributions of the emulsion droplets were measured using a laser diffraction instrument (Mastersizer 2000, Malvern Instruments). The particle size was determined at different time points of the reaction and with several emulsions.

For investigating the partition of carotenoids in emulsions, 5 mL of the oil-in-water emulsion at pH 4 were transferred to polyvinyl flasks. Ultracentrifugation was conducted at 35 × 10<sup>3</sup> rpm for 1 h at 4 °C. Two phases were collected: a lipid supernatant and an aqueous lower phase. Carotenoids were extracted in CH<sub>2</sub>Cl<sub>2</sub> from the two phases and their concentrations were measured by UV-visible spectroscopy.

In the lipid peroxidation experiments, 5 mL of the oil-in-water emulsion at pH 4 were transferred into small glass flasks protected from light and kept under magnetic stirring at 37 °C. Oxidation was initiated by addition of ca. 200 μL MbFe<sup>III</sup> solution to the reaction medium (final MbFe<sup>III</sup> concentration: 40 μM). Each experiment was monitored for 6 h and run in triplicate. Aliquots (100 μL) were taken up, diluted in 1.9 mL iPrOH, centrifuged at 10<sup>4</sup> rpm for 2 min and analyzed by UV-visible spectroscopy to determine the carotenoid concentration (absorbance measurement at λ<sub>max</sub>). Then, 200 μL of the supernatant were collected and diluted again in 1.8 mL iPrOH. Lipid peroxidation was followed by measuring the concentration of conjugated dienes at 233 nm.

## 2.5 Fe<sup>II</sup> and Fe<sup>III</sup> titrations

Fe<sup>II</sup>-induced peroxidation experiments in the micelle model were repeated in round bottom flasks with larger volumes of

solution to permit the monitoring of the redox state of iron. Aliquots (1 mL) of the medium were taken up at  $t = 0$  (before addition of iron), 2, 6, 12, 20, 30, 45, 60, 90 and 120 min. The carotenoid and its products were extracted in  $\text{CH}_2\text{Cl}_2$ . After 2 min centrifugation at  $10^4$  rpm, 250  $\mu\text{L}$  of the aqueous phase were mixed with 750  $\mu\text{L}$  of a 1 mM ferrozine solution in Milli-Q water for  $\text{Fe}^{\text{II}}$  titration.<sup>29</sup> Titration of  $\text{Fe}^{\text{III}}$  was adapted from the FOX2 method typically used for  $\text{H}_2\text{O}_2$  titration.<sup>30</sup> A  $10^{-4}$  M solution of xylenol orange in  $\text{MeOH}/0.25$  M  $\text{H}_2\text{SO}_4$  (9 : 1) was used to that purpose. After 15 min incubation at room temperature, a stable coloration was obtained. The samples were then transferred to the spectrophotometer cell for recording the absorbance at 564 nm for  $\text{Fe}^{\text{II}}$  and at 559 nm for  $\text{Fe}^{\text{III}}$ . Calibration curves, previously constructed using  $\text{Fe}^{\text{II}}$  and  $\text{Fe}^{\text{III}}$  solutions in acetate buffer, were linear in the range 0.5–150  $\mu\text{M}$  for  $\text{Fe}^{\text{II}}$  and 0.5–125  $\mu\text{M}$  for  $\text{Fe}^{\text{III}}$ .

## 2.6 Reduction of ferrylmyoglobin by the carotenoids

The experimental procedure was adapted from the literature.<sup>15</sup> Ferrylmyoglobin ( $\text{MbFe}^{\text{IV}}=\text{O}$ ) was first formed in the spectrophotometer cell by adding 60  $\mu\text{L}$  of a concentrated aqueous solution of  $\text{H}_2\text{O}_2$  (final concentration in the cell = 30  $\mu\text{M}$ ) to a 60  $\mu\text{M}$   $\text{MbFe}^{\text{III}}$  solution in a pH 7 phosphate buffer containing 4 mM Brij®35. Spectral changes featuring the conversion of  $\text{MbFe}^{\text{III}}$  ( $\lambda_{\text{max}} = 505$  nm) into  $\text{MbFe}^{\text{IV}}=\text{O}$  ( $\lambda_{\text{max}} = 590$  nm) were recorded in the visible range until stability (2–3 min). Then, 50  $\mu\text{L}$  of a concentrated solution of carotenoid in  $\text{MeOH-THF}$  (1/1, v/v) were added (final carotenoid concentrations in the cell = 25 or 100  $\mu\text{M}$ ) and the reduction of  $\text{MbFe}^{\text{IV}}=\text{O}$  back to  $\text{MbFe}^{\text{III}}$  was monitored at 590 nm.

## 2.7 Kinetic analysis

All calculations and simulations were carried out with the Scientist program (MicroMath, Salt Lake City, USA). Standard deviations are reported. Sets of differential kinetic equations (see Annexes) with initial conditions on concentrations were given as input data. Curve fittings were achieved through least-

squares regression and yielded optimized values for the kinetic and stoichiometric parameters.

## 2.8 Statistical analysis

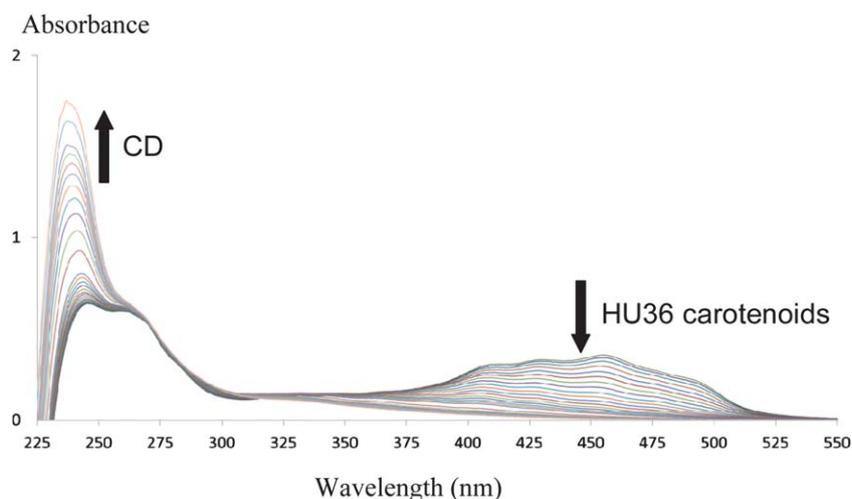
ANOVA tests were run on the StatView software (version 5.0, SAS Institute Inc., Cary, USA).

# 3 Results

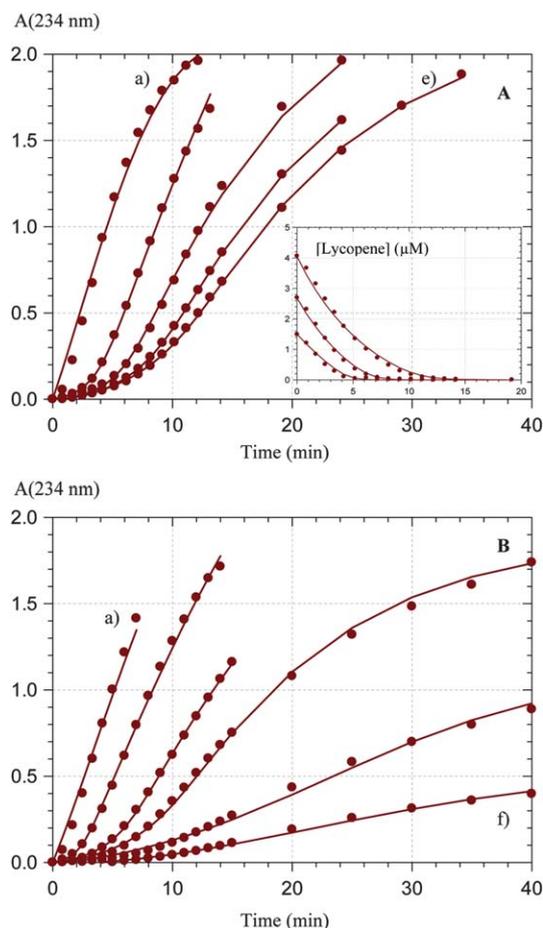
## 3.1 Inhibition of lipid peroxidation by carotenoids in the micelle model

A typical spectroscopic monitoring of inhibited lipid peroxidation (Fig. 1) shows the consumption of carotenoids followed by the accumulation of conjugated dienes (CDs). Kinetic curves can then be plotted with increasing carotenoid concentrations (Fig. 2 and 3). In all three models, inhibited peroxidation was characterized by more or less pronounced induction phases (very slow CD accumulation) corresponding to the period necessary to consume the carotenoids. In  $\text{MbFe}^{\text{III}}$ -initiated oxidation (Fig. 2), the propagation rate with both reference and bacterial carotenoids (even after total consumption of carotenoids) was lower than in the control. In  $\text{Fe}^{\text{II}}$ -initiated oxidation (Fig. 3), the carotenoids had little effect on the propagation rate. In the longer run, saturation in CD accumulation was finally observed. Whatever the carotenoid,  $\text{MbFe}^{\text{III}}$ -induced lipid peroxidation was globally faster at pH 4 than at pH 5.8 (data not shown). Indeed, at pH 5.8, the initiator is the intact metalloprotein, while at pH 4 denaturation takes place and the peroxidation is initiated by the sole cofactor (hemin).<sup>16</sup>

For a first quantitative estimation of the antioxidant capacity, the following time periods were estimated:  $T_0$  = time period required to produce a fixed CD concentration in the control experiment, e.g. that corresponding to a 0.7 increase in the absorbance at 234 nm from its value at time zero (addition of iron), and  $T$  = time period required to produce the same CD concentration in the presence of carotenoids. The  $T/T_0$  ratio was



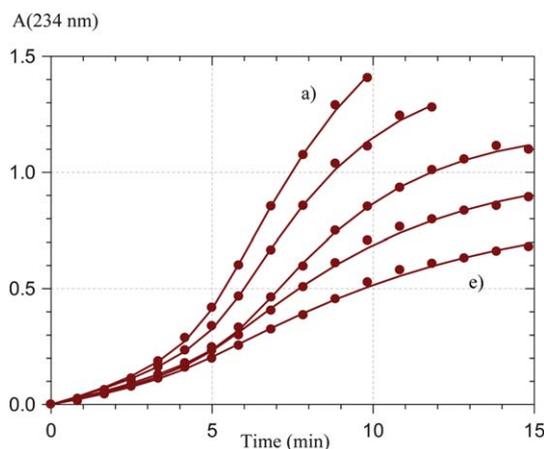
**Fig. 1** Metmyoglobin-induced peroxidation of linoleic acid in the presence of HU36 carotenoids (initial concentration: 10  $\mu\text{M}$ ) in a pH 5.8 micelle solution. Spectroscopic monitoring over 90 min. CD: conjugated dienes.



**Fig. 2** Inhibition of the metmyoglobin-induced peroxidation of linoleic acid by carotenoids in a pH 5.8 micelle solution. (A) Accumulation of conjugated dienes (monitored by absorbance measurement at 234 nm), initial lycopene concentration: 0 (a), 1.5 (b), 2.7 (c), 3.0 (d), 4.1 (e)  $\mu\text{M}$ . The solid lines are the results of the curve-fitting procedure (see model in Annex 1). Inset: lycopene consumption (initial lycopene concentrations = 1.5, 2.7 and 4.1  $\mu\text{M}$ ). The solid lines are the results of simulations using the set of parameters deduced from the curve-fitting procedure shown in part A. (B) Accumulation of conjugated dienes, initial concentration of HU36 carotenoids: 0 (a), 0.8 (b), 1.5 (c), 2.1 (d), 2.9 (e), 3.6 (f)  $\mu\text{M}$ . The solid lines are the results of the curve-fitting procedures (see model in Annex 1).

plotted as a function of the initial carotenoid concentration (data not shown). Given the slight curvature of the plots, a polynomial relationship was used for the curve-fitting:  $T/T_0 = 1 + pC + qC^2$ . The  $\text{IC}_{50}$  parameter (in  $\mu\text{M}$ ), commonly used to evaluate the antioxidant capacity, is defined as the carotenoid concentration giving a  $T$  value twice as large as the control period with no antioxidant.<sup>15–17</sup> The  $\text{IC}_{50}$  parameter can be estimated from the  $T/T_0$  vs.  $C$  curves:  $\text{IC}_{50} = [\sqrt{(p^2 + 4q)} - p]/(2q)$ . The lower the  $\text{IC}_{50}$  value, the more efficient the antioxidant (Table 1).

When linoleic acid oxidation was initiated by heme iron, bacterial carotenoids from HU36 or GB1 were found to be significantly better antioxidants than the 3 reference carotenoids (Table 1). The pH of the micelle solution only had a low impact on the relative antioxidant capacities of the carotenoids. When oxidation was initiated by  $\text{Fe}^{\text{II}}$  (Table 1), purified bacterial carotenoids were no longer better inhibitors than reference carotenoids.



**Fig. 3** Accumulation of conjugated dienes during the inhibition of the  $\text{Fe}^{\text{II}}$ -induced peroxidation of linoleic acid by HU36 carotenoids in a pH 4 micelle solution. Initial carotenoid concentration: 1.5 (a), 2.2 (b), 3.0 (c), 3.6 (d), 4.4 (e)  $\mu\text{M}$ . The solid lines are the results of the curve-fitting procedure (see model in Annex 2).

**Table 1**  $\text{IC}_{50}$  values for the inhibition of linoleic acid peroxidation by carotenoids in micelles<sup>a</sup>

| Carotenoid        | $\text{IC}_{50}$ ( $\mu\text{M}$ )<br>$\text{MbFe}^{\text{III}}$ , pH 5.8 | $\text{IC}_{50}$ ( $\mu\text{M}$ )<br>$\text{MbFe}^{\text{III}}$ , pH 4 | $\text{IC}_{50}$ ( $\mu\text{M}$ )<br>$\text{Fe}^{\text{II}}$ , pH 4 |
|-------------------|---|---|--|
| $\beta$ -Carotene | 1.92 ( $\pm 0.14$ )   | 2.40 ( $\pm 0.12$ )   | 3.52 ( $\pm 0.10$ )  |
| Lycopene          | 1.50 ( $\pm 0.03$ ) <sup>b</sup>  | 1.66 ( $\pm 0.10$ )   | 2.69 ( $\pm 0.26$ )  |
| Astaxanthin       | 2.46 ( $\pm 0.38$ )   | 1.37 ( $\pm 0.13$ )   | 5.42 ( $\pm 0.18$ )  |
| HU36 carotenoids  | 0.80 ( $\pm 0.04$ ) <sup>b</sup>  | 1.27 ( $\pm 0.09$ )   | 3.92 ( $\pm 0.08$ )  |
| GB1 carotenoids   | 0.70 ( $\pm 0.03$ )   | 0.78 ( $\pm 0.03$ )   | 4.62 ( $\pm 0.09$ )  |

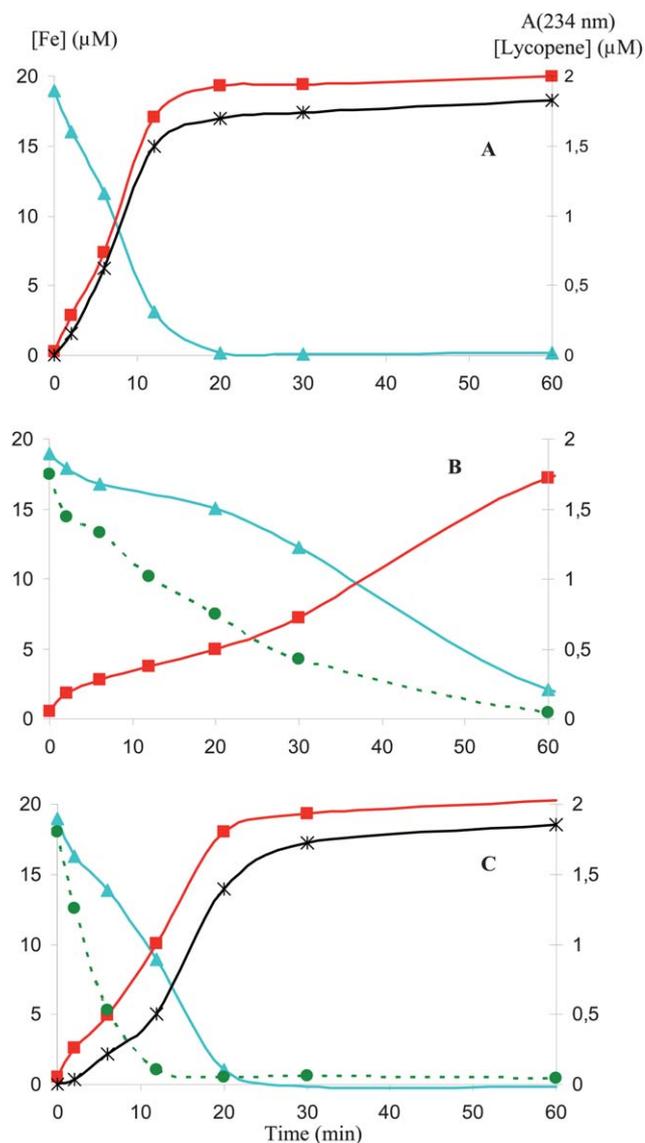
<sup>a</sup> From the polynomial fitting of the  $T/T_0$  vs. antioxidant concentration curves. Each  $T$  or  $T_0$  value (period of time required to accumulate a fixed lipid hydroperoxide concentration in the presence or absence of antioxidant) is the mean of 3 independent measurements. Values between brackets are the standard deviations of the fitting procedure. <sup>b</sup> Linear fitting.

### 3.2 Reduction of ferrylmyoglobin by the carotenoids

When  $\text{MbFe}^{\text{III}}$  is treated with  $\text{H}_2\text{O}_2$  (0.5 equiv.) in the absence of an antioxidant, formation of ferrylmyoglobin ( $\text{MbFe}^{\text{IV}}=\text{O}$ ) can be observed at 590 nm.<sup>15</sup> When an antioxidant is added, a decay of  $A(590 \text{ nm})$  occurs if  $\text{MbFe}^{\text{IV}}=\text{O}$  is reduced. In the presence of each carotenoid (100  $\mu\text{M}$ ), the reduction of  $\text{MbFe}^{\text{IV}}=\text{O}$  was negligible (indistinguishable from that observed in the control experiment with MeOH alone, data not shown). Thus, none of the carotenoids tested in this work significantly react with  $\text{MbFe}^{\text{IV}}=\text{O}$ .

### 3.3 Changes in the iron redox state during $\text{Fe}^{\text{II}}$ -induced linoleic acid peroxidation

Except in strongly acidic conditions,  $\text{Fe}^{\text{II}}$  is known to be very sensitive to autoxidation.<sup>31</sup> In the pH 4 solution of linoleic acid micelles without carotenoid,  $\text{Fe}^{\text{II}}$  was totally converted into  $\text{Fe}^{\text{III}}$  over 20 min (Fig. 4). Moreover, the total iron concentration remained constant over time, confirming that the independent titration methods used are fully reliable. As soon as the conversion of  $\text{Fe}^{\text{II}}$  into  $\text{Fe}^{\text{III}}$  was complete, saturation in the CD



**Fig. 4** Conversion of  $\text{Fe}^{\text{II}}$  ( $\blacktriangle$ ) into  $\text{Fe}^{\text{III}}$  ( $\blacksquare$ ) (left scale), conjugated diene accumulation (\*) and lycopene consumption (dashed curve,  $\bullet$ ) (right scale) in acetate buffer pH 4 + Brij@35, 37 °C. (A)  $\text{Fe}^{\text{II}}$  + linoleic acid, (B)  $\text{Fe}^{\text{II}}$  + lycopene, (C)  $\text{Fe}^{\text{II}}$  + linoleic acid + lycopene. Initial concentrations = 20  $\mu\text{M}$   $\text{Fe}^{\text{II}}$ , 0.7 mM linoleic acid, 2  $\mu\text{M}$  lycopene.

concentration was observed. In the pH 4 solution of lycopene micelles without linoleic acid, the conversion of  $\text{Fe}^{\text{II}}$  into  $\text{Fe}^{\text{III}}$  was much slower, suggesting that the carotenoid reduces  $\text{Fe}^{\text{III}}$  released in the solution and/or high-valence intermediates involved in  $\text{Fe}^{\text{II}}$  autoxidation.<sup>31</sup> Finally, in the pH 4 solution of micelles containing both linoleic acid and lycopene, the inhibition of  $\text{Fe}^{\text{II}}$  autoxidation by lycopene was not observed because of the fast consumption of lycopene by the lipid peroxy radicals.

### 3.4 Characteristics of emulsions

Analysis by optical microscopy revealed a homogeneous distribution of lipid droplets in emulsions (data not shown). Analysis by laser granulometry indicated that the droplet size fell in the

range 0.4–20  $\mu\text{m}$  with a major population between 1 and 3  $\mu\text{m}$ . The droplet diameter  $D_{3,2}$  (based on the average surface area) was 1.45 ( $\pm 0.13$ )  $\mu\text{m}$ . Moreover, emulsions were stable over the whole duration (6 h) of the kinetic measurements.

Ultracentrifugation of emulsions highlighted marked differences in the partition of carotenoids between the oil and aqueous phases. More than 85% of astaxanthin and  $\beta$ -carotene were found in oil, while *ca.* 70% of HU36 carotenoids were recovered in the aqueous phase. These results suggest that astaxanthin and  $\beta$ -carotene are located inside the lipid droplets of the emulsion while HU36 carotenoids lie at the interface. After ultracentrifugation, HU36 carotenoids mainly remain in the aqueous phase, probably as components of phospholipid liposomes.

### 3.5 Inhibition of lipid peroxidation by carotenoids in emulsions

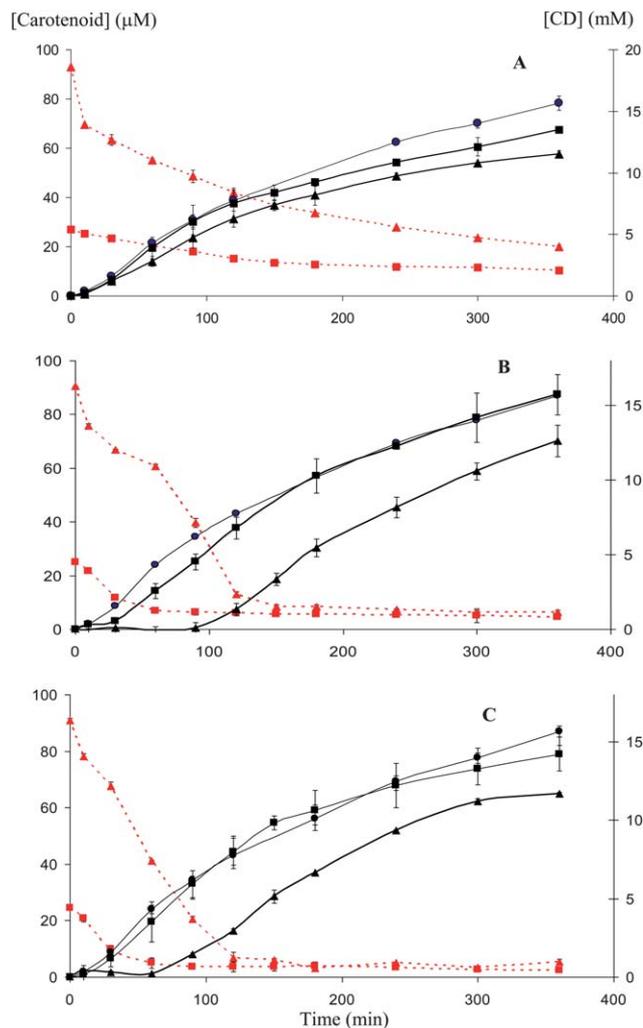
In emulsions, heme-induced lipid peroxidation was much slower than in micelles and higher carotenoid concentrations were necessary to achieve substantial inhibition. With 25  $\mu\text{M}$  HU36 carotenoids, the onset of peroxidation was delayed by about 20 min (Table 2). However, after 3 h, the CD concentration was the same as the one measured in the control (Fig. 5). With higher carotenoid concentrations (100  $\mu\text{M}$ ), the initiation phase was extended to 90 min. The peroxidation rate during the propagation phase was the same with and without carotenoid. Almost all the carotenoids were consumed during the initiation phase. GB1 carotenoids behaved similarly, but gave shorter initiation phases (Fig. 5).

Unlike the bacterial carotenoids,  $\beta$ -carotene and lycopene did not produce induction periods, even at high concentrations (Fig. 5). Only astaxanthin induced a slight delay of about 10 min when added at 100  $\mu\text{M}$  (data not shown). Moreover, the 3 reference carotenoids were less consumed than the bacterial carotenoids during the induction phase. In the longer run, residual concentrations of the reference carotenoids seem to provide a persistently weak protection. Consequently, the final CD concentrations ( $t = 6$  h) are close for all carotenoids investigated.

**Table 2** Kinetic parameters for the inhibition of sunflower oil peroxidation by carotenoids in emulsions

| Carotenoid        | $C_0^a$ ( $\mu\text{M}$ ) | $T_{\text{lag}}^b$ (min) | $T_{1/2}^c$ (min) |
|-------------------|---------------------------|--------------------------|-------------------|
| Control           | —                         | 5.3                      | —                 |
| $\beta$ -Carotene | 32.5                      | 7.0                      | 240               |
|                   | 100.0                     | 6.8                      | 305               |
| Lycopene          | 26.9                      | 6.7                      | 170               |
|                   | 93.0                      | 7.0                      | 95                |
| Astaxanthin       | 24.4                      | 13.4                     | 180               |
|                   | 84.1                      | 20.8                     | 85                |
| HU36 carotenoids  | 25.1                      | 30.0                     | 27                |
|                   | 90.6                      | 88.0                     | 82                |
| GB1 carotenoids   | 24.6                      | 15.9                     | 25                |
|                   | 90.9                      | 60.6                     | 55                |

<sup>a</sup> Initial antioxidant concentration. <sup>b</sup> Induction period of lipid peroxidation. <sup>c</sup> Period of time for 50% antioxidant consumption.

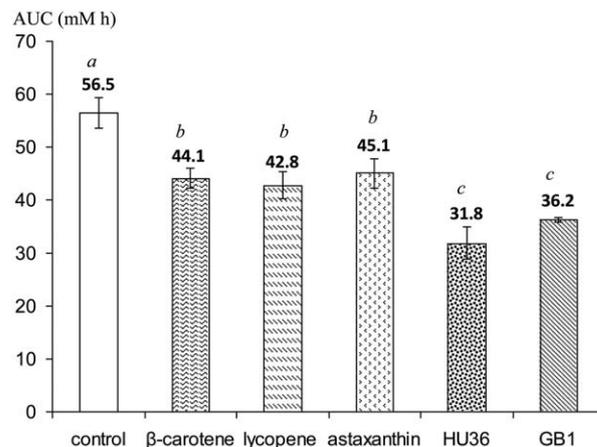


**Fig. 5** Inhibition of the metmyoglobin-induced peroxidation of sunflower oil by carotenoids in a pH 4 emulsion stabilized by phospholipids. Plain curves: conjugated diene accumulation (right scale: uninhibited (●), inhibited by carotenoids (■, ▲)). Dashed curves: carotenoid consumption (left scale: ■, ▲). (A) Lycopene, (B) HU36 carotenoids, (C) GB1 carotenoids, carotenoid concentrations = 0, 25, 100  $\mu\text{M}$ . Each point is the mean of three independent measurements, SEM values are reported.

Areas under the curves of CD accumulation (in mM h) calculated by integration over 6 h of lipid peroxidation were used as a quantitative indicator to compare the antioxidant activity of the carotenoids (Fig. 6). Statistical ANOVA tests showed that HU36 and GB1 carotenoids were significantly better antioxidants than  $\beta$ -carotene, lycopene and astaxanthin, which could not be discriminated by their ability to inhibit lipid peroxidation in this model.

## 4 Discussion

Dietary PUFA oxidation products (especially aldehydes) are partially bioavailable and potentially harmful.<sup>32</sup> They cause oxidative stress and inflammation in intestinal cells<sup>33</sup> and may be involved in the development of atherosclerosis<sup>34</sup> and colon cancer.<sup>35</sup> As dietary PUFA oxidation products may be



**Fig. 6** Inhibition of the metmyoglobin-induced peroxidation of sunflower oil by carotenoids (100  $\mu\text{M}$ ) in a pH 4 emulsion stabilized by phospholipids during 6 h. Means of 3 independent experiments  $\pm$  SD. Different letters indicate significant differences ( $p < 0.05$ ) between means (ANOVA and Tukey–Kramer test).

accumulated not only in food but also in the digestive tract because of the prooxidant activity of dietary iron, investigation of the capacity of dietary antioxidants to fight this specific form of postprandial oxidative stress is of great nutritional importance.<sup>36</sup>

### 4.1 Inhibition of MbFe<sup>III</sup>-induced lipid peroxidation in micelles

Hydrolysis of triacylglycerols is initiated in the gastric compartment through the action of the gastric lipase, which can account for 5–30% of lipid digestion.<sup>37</sup> Moreover, with a maximal activity at pH *ca.* 5.4, gastric lipase is especially active during the first hour of gastric digestion when the pH is often still elevated. Free fatty acids thus released can become primary targets of lipid oxidation. Hence, investigating the oxidation of linoleic acid micelles induced by dietary iron in mildly acidic micelle solutions is a first acceptable model of possible lipid oxidation in the stomach.

The induction period of iron-induced lipid peroxidation was well correlated with the period required for consuming most carotenoids. Induction periods are typical of antioxidants acting in the lipid phase by scavenging the propagating lipid peroxyl radicals (chain-breaking antioxidants).<sup>16</sup> On the other hand, the selected carotenoids do not reduce ferrylmyoglobin, an easily prepared model peroxidation initiator.<sup>15,17</sup> This observation suggests that inhibition of initiation is unimportant with these antioxidants. It can be noted that the propagation rate of inhibited peroxidation remained lower than in the control, even if the carotenoids were totally consumed. This trend was more pronounced with the bacterial carotenoids, especially HU36. On the other hand, it is known that the heme cofactor is gradually consumed during lipid peroxidation as evidenced by the decay of the Soret band.<sup>15,16</sup> Hence, saturation in CD accumulation probably reflects a gradual shift from heme-induced lipid peroxidation to a much less efficient mechanism induced by free iron.

The scavenging of peroxy radicals by carotenoids can take place by three distinct mechanisms: radical addition onto the conjugated hydrocarbon chain, electron transfer with formation of carotenoid radical cations and abstraction of labile allylic H-atoms with formation of neutral radicals, the latter mechanism being probably minor.<sup>38,39</sup> While the first two mechanisms can take place in polar media including micelle solutions and microemulsions, only the first mechanism is observed in media of low polarity.

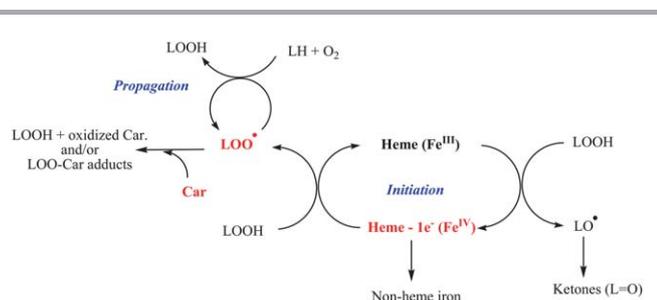
The carotenoids investigated include the hydrocarbons lycopene and  $\beta$ -carotene, the xanthophyll astaxanthin (keto-carotenoid) and the bacterial carotenoids from HU36 and GB1 (carotenoid glycosides and glycosylesters). Based on the  $IC_{50}$  values, the bacterial carotenoids from HU36 or GB1 were found to be significantly better antioxidants than the reference pigments. This efficiency of carotenoids at inhibiting lipid peroxidation is governed by a combination of factors:<sup>38,39</sup> (a) the intrinsic ability of carotenoids to deliver electrons (low ionization potential) and/or form stabilized radical adducts (by electron delocalization through the polyene chain), (b) the partition of carotenoids between lipid phase and interface depending on the presence of polar terminal groups (carotenes vs. xanthophylls and glycosyl carotenoids), (c) the sensitivity of carotenoids to iron-induced autoxidation,<sup>40</sup> which may lower the actual concentration of carotenoids available for the scavenging of lipid peroxy radicals, (d) the ability of carotenoid-derived radicals to react with  $O_2$ , thereby forming peroxy radicals that may propagate lipid peroxidation (prooxidant effect) and (e) the residual redox activity of carotenoid oxidation products (*e.g.*, aldehydes and ketones, carboxylic acids, epoxides).<sup>17</sup>

From a simplified scheme of heme-induced lipid peroxidation (Scheme 2) and using a refined mathematical treatment adapted from our previous works (see details in Annex 1), we were able to carry out curve-fitting experiments on the experimental plots expressing the time dependence of CD accumulation. From the general mechanism of heme-induced lipid peroxidation, the following parameters can be defined: the rate constant  $k_{i1}$  of lipid hydroperoxide (LOOH) cleavage by heme- $Fe^{III}$  (first initiation step) with concomitant formation of heme- $Fe^{IV}$ , a parameter ( $r_2$ ) expressing the oxidizability of linoleic acid (LH) in the medium and combining the propagation and termination rate constants, a dimensionless parameter ( $AE_2$ ) expressing the competition between the antioxidant and lipid for the propagating peroxy radicals (LOO $\cdot$ ), a dimensionless

parameter ( $AE_1$ ) expressing the competition between the antioxidant and LOOH for heme- $Fe^{IV}$ , a parameter ( $C_d$ ) measuring the degradation of heme- $Fe^{IV}$  into inert metal species (in competition with its reaction with LOOH to produce LOO $\cdot$ ), and the antioxidant stoichiometry ( $n$ ) defined as the number of oxidizing equivalents (heme- $Fe^{IV}$  and/or LOO $\cdot$ ) trapped per antioxidant molecule. Quite satisfactory curve-fittings (typically,  $r > 0.999$ , see Fig. 2A and B) were obtained from the experimental CD concentration vs. time plots. Parameters  $k_{i1}$  and  $r_2$  were first determined in the absence of an antioxidant and used for subsequent estimation of the other parameters in the presence of given antioxidant concentrations (Tables 3 and 4). In all calculations, parameter  $AE_1$  could be set to zero, in agreement with carotenoids acting as chain-breaking antioxidants by scavenging LOO $\cdot$  (inhibition of propagation) instead of reducing heme- $Fe^{IV}$  (inhibition of initiation). As an additional control, sets of optimized parameters allowed us to construct theoretical curves for antioxidant consumption that were in good agreement with the experimental curves (see Fig. 2A, inset).

As shown in the case of lycopene and the bacterial carotenoids,  $AE_2$  values of the order of 100 were obtained meaning that the reaction of the propagating peroxy radicals with the carotenoids is faster by 2 orders of magnitude than the corresponding reaction with linoleic acid. Moreover, the  $n$  values suggest that the carotenoids can trap 2–3 LOO $\cdot$  radicals before being converted into inert oxidation products. At first sight, close  $AE_2$  and  $n$  values for all the carotenoids could seem to be in contradiction to the overall higher antioxidant efficiency of the bacterial carotenoids compared with the reference pigments. It must however be stressed that the peroxidation curves are highly sensitive to both parameters, especially  $n$ , as shown in simulations in which all other parameters are set constant (Fig. 7). In particular, a shift from  $n = 2$  to  $n = 3$  promotes a huge slowing down of the peroxidation provided that heme degradation is taken into account. It can thus be proposed that the higher potency of the bacterial carotenoids mainly reflects their ability to scavenge *ca.* one more lipid peroxy radical than the reference carotenoids.

With the exception of the yellow components of HU36, the bacterial carotenoids display polyene chain conjugated with one or two electron-withdrawing carboxyl group(s) (Scheme 1). Similarly, the polyene chain of astaxanthin is conjugated with two keto groups. This conjugation is expected to lower the electron-donating capacity. This is confirmed by the values of ionization potentials ( $I_p$ ):  $I_p = 5.1$  eV for  $\beta$ -carotene vs. 5.7 eV for astaxanthin.<sup>41</sup> By contrast, the one-electron reduction potentials of radical cations in aqueous micellar solution turned out to be very close (in the range  $1020 \pm 40$  mV) for carotenes and xanthophylls, including  $\beta$ -carotene and astaxanthin.<sup>42</sup> A plausible explanation is that xanthophylls are more exposed to the aqueous phase (*via* solvation of their polar terminal groups) and thus more readily involved in electron transfer reactions despite their intrinsically lower oxidizability. Anyway, the one-electron reduction potentials of carotenoid radical cations (*ca.* 1 V) are much higher than the ones of lipid peroxy radicals (*ca.* 0.7 V), thus making unlikely a direct electron transfer from carotenoids to lipid peroxy radicals.<sup>39</sup> Consequently, inhibition of lipid



**Scheme 2** Proposed mechanism of the metmyoglobin-induced peroxidation of linoleic acid (LH) and inhibition by carotenoids (Car).

**Table 3** Kinetic parameters for the inhibition of the metmyoglobin-induced peroxidation of linoleic acid by carotenoids in micelles (pH 5.8)<sup>a</sup>

| Car/ $\mu\text{M}$ | $r_2/\text{M}^{-1/2} \text{ s}^{-1/2}$ | $k_{i1}/\text{M}^{-1} \text{ s}^{-1}$ | $\text{AE}_2$    | $n$               | $C_d/\mu\text{M}$   |
|--------------------|--|---------------------------------------|------------------|-------------------|---------------------|
| <b>Lycopene</b>    |  |                                       |                  |                   |                     |
| 0                  | 1.77 ( $\pm 0.02$ )                    | 2610 ( $\pm 150$ )                    | —                | —                 | 0                   |
| 2.7                | 1.77                                   | 2610                                  | 108 ( $\pm 15$ ) | 2.2 ( $\pm 0.1$ ) | 1.24 ( $\pm 0.02$ ) |
| 3.0                | 1.77                                   | 2610                                  | 85 ( $\pm 1$ )   | 2.4 ( $\pm 0.1$ ) | 1.19 ( $\pm 0.01$ ) |
| 3.4                | 1.77                                   | 2610                                  | 72 ( $\pm 2$ )   | 2.4 ( $\pm 0.1$ ) | 1.13 ( $\pm 0.01$ ) |
| 4.1                | 1.77                                   | 2610                                  | 97 ( $\pm 8$ )   | 1.9 ( $\pm 0.1$ ) | 1.16 ( $\pm 0.02$ ) |
| <b>HU36</b>        |  |                                       |                  |                   |                     |
| 0                  | 1.70                                   | 1810 ( $\pm 230$ )                    | —                | —                 | 0                   |
| 1.5                | 1.70                                   | 1810                                  | 114 ( $\pm 6$ )  | 2.9 ( $\pm 0.1$ ) | 1.62 ( $\pm 0.03$ ) |
| 2.1                | 1.70                                   | 1810                                  | 98 ( $\pm 9$ )   | 2.5 ( $\pm 0.1$ ) | 1.66 ( $\pm 0.02$ ) |
| 2.9                | 1.70                                   | 1810                                  | 66 ( $\pm 7$ )   | 2.9 ( $\pm 0.2$ ) | 1.32 ( $\pm 0.07$ ) |
| 3.6                | 1.70                                   | 1810                                  | 69 ( $\pm 8$ )   | 3.0 ( $\pm 0.3$ ) | 1.06 ( $\pm 0.07$ ) |
| <b>GB1</b>         |  |                                       |                  |                   |                     |
| 0                  | 1.98 ( $\pm 0.02$ )                    | 2380 ( $\pm 340$ )                    | —                | —                 | 0                   |
| 1.8                | 1.98                                   | 2380                                  | 108 ( $\pm 12$ ) | 2.3 ( $\pm 0.1$ ) | 1.54 ( $\pm 0.02$ ) |
| 2.5                | 1.98                                   | 2380                                  | 133 ( $\pm 10$ ) | 2.0 ( $\pm 0.1$ ) | 1.62 ( $\pm 0.01$ ) |
| 3.1                | 1.98                                   | 2380                                  | 96 ( $\pm 6$ )   | 2.2 ( $\pm 0.1$ ) | 1.43 ( $\pm 0.02$ ) |
| 3.6                | 1.98                                   | 2380                                  | 131 ( $\pm 16$ ) | 1.9 ( $\pm 0.1$ ) | 1.33 ( $\pm 0.03$ ) |

<sup>a</sup> From the mathematical analysis of the  $A(234 \text{ nm})$  vs. time curves according to the model developed in Annex 1 ( $r > 0.995$ ). Values between brackets are the standard deviations of the curve-fitting procedure. See Annex 1 for complete definition of parameters:  $r_2$  = lipid oxidizability,  $k_{i1}$  = rate constant for lipid hydroperoxide cleavage,  $\text{AE}_2$  = antioxidant efficiency for inhibition of propagation,  $n$  = antioxidant stoichiometry,  $C_d$  = heme degradation parameter.

**Table 4** Kinetic parameters for the inhibition of the metmyoglobin-induced peroxidation of linoleic acid by carotenoids in micelles (pH 4.0)<sup>a</sup>

| Car/ $\mu\text{M}$ | $r_2/\text{M}^{-1/2} \text{ s}^{-1/2}$ | $k_{i1}/\text{M}^{-1} \text{ s}^{-1}$ | $\text{AE}_2$    | $n$               | $C_d/\mu\text{M}$   |
|--------------------|--|---------------------------------------|------------------|-------------------|---------------------|
| <b>Lycopene</b>    |  |                                       |                  |                   |                     |
| 0                  | 1.51 ( $\pm 0.03$ )                    | 3000 ( $\pm 480$ )                    | —                | —                 | 0                   |
| 2.7                | 1.51                                   | 3000                                  | 187 ( $\pm 63$ ) | 1.6 ( $\pm 0.1$ ) | 1.40 ( $\pm 0.01$ ) |
| 3.1                | 1.51                                   | 3000                                  | 127 ( $\pm 7$ )  | 1.6 ( $\pm 0.1$ ) | 1.37 ( $\pm 0.01$ ) |
| 3.7                | 1.51                                   | 3000                                  | 80 ( $\pm 7$ )   | 1.9 ( $\pm 0.1$ ) | 1.28 ( $\pm 0.02$ ) |
| 4.2                | 1.51                                   | 3000                                  | 100 ( $\pm 7$ )  | 1.9 ( $\pm 0.1$ ) | 1.07 ( $\pm 0.01$ ) |
| <b>HU36</b>        |  |                                       |                  |                   |                     |
| 0                  | 1.7                                    | 2530 ( $\pm 630$ )                    | —                | —                 | 0                   |
| 1.5                | 1.7                                    | 2530                                  | 152 ( $\pm 14$ ) | 3.4 ( $\pm 0.1$ ) | 1.73 ( $\pm 0.03$ ) |
| 2.2                | 1.7                                    | 2530                                  | 86 ( $\pm 7$ )   | 4.3 ( $\pm 0.1$ ) | 1.43 ( $\pm 0.03$ ) |
| 2.9                | 1.7                                    | 2530                                  | 40 ( $\pm 7$ )   | 7.1 ( $\pm 0.8$ ) | 0.80 ( $\pm 0.07$ ) |
| <b>GB1</b>         |  |                                       |                  |                   |                     |
| 0                  | 1.60 ( $\pm 0.02$ )                    | 3420 ( $\pm 180$ )                    | —                | —                 | 0                   |
| 2.5                | 1.60                                   | 3420                                  | 102 ( $\pm 10$ ) | 2.3 ( $\pm 0.1$ ) | 1.37 ( $\pm 0.01$ ) |
| 2.9                | 1.60                                   | 3420                                  | 62 ( $\pm 7$ )   | 3.4 ( $\pm 0.2$ ) | 1.03 ( $\pm 0.03$ ) |
| 4.2                | 1.60                                   | 3420                                  | 74 ( $\pm 6$ )   | 2.9 ( $\pm 0.2$ ) | 0.84 ( $\pm 0.03$ ) |

<sup>a</sup> From the mathematical analysis of the  $A(234 \text{ nm})$  vs. time curves according to the model developed in Annex 1 ( $r > 0.995$ ). Values between brackets are the standard deviations of the curve-fitting procedure. See Annex 1 for complete definition of parameters:  $r_2$  = lipid oxidizability,  $k_{i1}$  = rate constant for lipid hydroperoxide cleavage,  $\text{AE}_2$  = antioxidant efficiency for inhibition of propagation,  $n$  = antioxidant stoichiometry,  $C_d$  = heme degradation parameter.

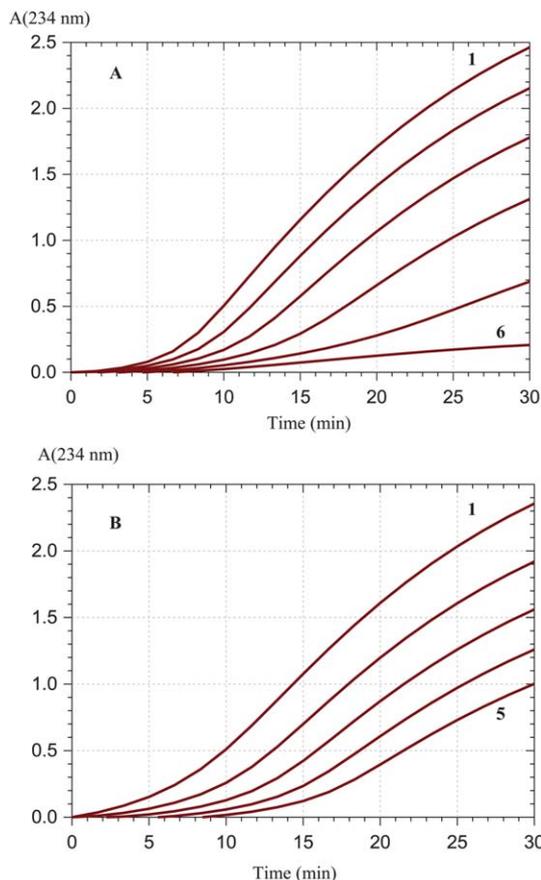
peroxidation probably occurs *via* peroxy radical addition on the polyene chain and, based on the close  $\text{AE}_2$  values (Tables 3 and 4), this reaction seems only weakly influenced by the carotenoid structure (although compensating effects may also operate as in electron transfer).

Finally, it must also be stressed that, being mixtures of several carotenoids, the GB1 and HU36 purified extracts may

also benefit from some synergistic interplay between their components.

#### 4.2 Inhibition of MbFe<sup>III</sup>-induced lipid peroxidation in emulsions

For its significance in terms of food stability, lipid peroxidation in oil-in-water emulsions has been extensively investigated<sup>43,44</sup>



**Fig. 7** Inhibition of the metmyoglobin-induced peroxidation of linoleic acid in a pH 5.8 micelle solution. Simulations using  $r_2 = 1.8 \text{ M}^{-1/2} \text{ s}^{-1/2}$ ,  $k_{i1} = 2 \times 10^3 \text{ M}^{-1} \text{ s}^{-1}$ ,  $C_d = 1.2 \text{ } \mu\text{M}$ , antioxidant concentration =  $3 \text{ } \mu\text{M}$ . (A)  $AE_2 = 100$ ,  $n = 2.0$  (curve 1), 2.2, 2.4, 2.6, 2.8, 3.0 (curve 6). (B)  $n = 2.5$ ,  $AE_2 = 50$  (curve 1), 75, 100, 125, 150 (curve 5).

in addition to its inhibition by food antioxidants including carotenoids.<sup>45</sup> In our previous works,<sup>18,19</sup> sunflower oil-in-water emulsions stabilized by phospholipids proved valuable models for investigating lipid peroxidation induced by dietary iron in the stomach and were thus selected for this study.

The hierarchy emerging from the simple micelle model (bacterial carotenoids > reference carotenoids) was translated into the more elaborated sunflower oil-in-water emulsion model. Thus, when added in a sufficient concentration ( $100 \text{ } \mu\text{M}$ ), the HU36 and GB1 carotenoids were efficient chain-breaking antioxidants in the emulsion model giving well-defined induction periods while the reference carotenoids barely reduced the peroxidation rate with no significant lag phase (Fig. 5). As the bacterial carotenoids have a much higher affinity for the aqueous phase of the emulsion than the reference carotenoids, it may be suggested that the location of the HU36 and GB1 carotenoids close to the interface favors the scavenging of the lipid peroxy radicals, themselves produced at the interface by reaction between hypervalent heme iron and the lipid hydroperoxides.

Taking a total concentration of linoleic acid residues of *ca.* 250 mM in the emulsions (based on the sunflower oil and

**Table 5** Kinetic parameters for the inhibition of the metmyoglobin-induced peroxidation of linoleic acid by carotenoids in emulsions (pH 4.0)<sup>a</sup>

| Carotenoid <sup>b</sup> | $10^3 r_2 / \text{M}^{-1/2} \text{ s}^{-1/2}$ | $k_{i1} / \text{M}^{-1} \text{ s}^{-1}$ | $AE_2$            | $n$           |
|-------------------------|---|---|-------------------|---------------|
| Control                 | 12.2 ( $\pm 0.2$ )                            | 2.0 ( $\pm 0.1$ )                       | —                 | —             |
| Lycopene                | 10.8 ( $\pm 0.1$ )                            | 2.3 ( $\pm 0.1$ )                       | —                 | —             |
| HU36 carotenoids        | 12  | 2                                       | 660 ( $\pm 390$ ) | 7 ( $\pm 1$ ) |
| GB1 carotenoids         | 12  | 2                                       | 210 ( $\pm 120$ ) | 8 ( $\pm 2$ ) |

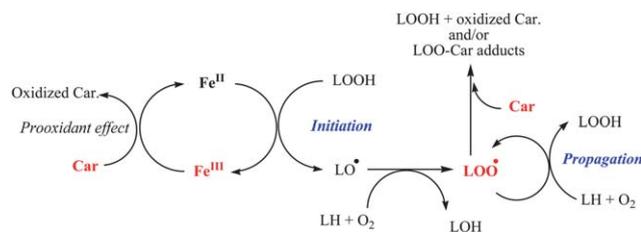
<sup>a</sup> From the mathematical analysis of the  $A(234 \text{ nm})$  vs. time curves according to the model developed in Annex 1 ( $r > 0.995$ ). Values between brackets are the standard deviations of the curve-fitting procedure. See Annex 1 for complete definition of parameters:  $r_2$  = lipid oxidizability,  $k_{i1}$  = rate constant for lipid hydroperoxide cleavage,  $AE_2$  = antioxidant efficiency for inhibition of propagation,  $n$  = antioxidant stoichiometry. <sup>b</sup> Initial carotenoid concentration:  $100 \text{ } \mu\text{M}$ .

soybean lecithin compositions), it was possible to analyze the peroxidation curves with the same model as in micelles (Table 5). As expected, the oxidizability of linoleic acid residues within the lipid droplets ( $r_2 \approx 0.01 \text{ M}^{-1/2} \text{ s}^{-1/2}$ ) is much lower than for free linoleic acid in micelles ( $r_2 \approx 2 \text{ M}^{-1/2} \text{ s}^{-1/2}$ ) and the same trend is observed for the rate constant of initiation:  $k_{i1} \approx 2 \text{ M}^{-1} \text{ s}^{-1}$  in emulsions vs. *ca.*  $3 \times 10^3 \text{ M}^{-1} \text{ s}^{-1}$  in micelles (pH 4). The difference in  $r_2$  values indicates that the propagation of lipid peroxidation is much less efficient within the lipid droplets of the emulsions due to the much slower diffusion of the lipid peroxy radicals. It is also clear that the homolytic cleavage of lipid hydroperoxides by heme iron (rate constant  $k_{i1}$ ) in emulsions is severely hindered by the limited access of lipid hydroperoxides to the heme at the interface. It must however be noted that the modelling does not discriminate between lipid hydroperoxides derived from triacylglycerols or from phospholipids. The small fraction of interfacial phospholipid hydroperoxides (*ca.* 3% in our conditions<sup>18</sup>) may well react with metmyoglobin much more rapidly than accounted for by the low  $k_{i1}$  value.

As evidenced by the absence of lag phase, standard carotenoids only marginally affect the peroxidation curves and consistently are slowly consumed. By contrast, bacterial carotenoids at  $100 \text{ } \mu\text{M}$  induce a well-defined lag phase and the corresponding curves can be satisfactorily analyzed by using the  $r_2$  and  $k_{i1}$  parameters determined in uninhibited peroxidation, with  $AE_2$  and  $n$  as the sole adjustable parameters (heme degradation neglected). The  $n$  values thus determined are typically higher than in micelles, which points to an extensive oxidative degradation of bacterial carotenoids in emulsions.

### 4.3 Inhibition of $\text{Fe}^{\text{II}}$ -induced lipid peroxidation in micelles

The mechanism is initiated by the homolytic cleavage of lipid hydroperoxides (LOOH) by  $\text{Fe}^{\text{II}}$  with the subsequent formation of  $\text{Fe}^{\text{III}}$  and LO (Fenton reaction, see Scheme 3).<sup>46</sup> However, the reaction between  $\text{Fe}^{\text{III}}$  and LOOH leading to the propagating LOO radicals and regenerating  $\text{Fe}^{\text{II}}$  is very slow, so that the peroxidation essentially stops after total conversion of  $\text{Fe}^{\text{II}}$  into  $\text{Fe}^{\text{III}}$  (Fig. 4). In this model, carotenoids are expected to express their antioxidant activity by scavenging the initiating LO radicals (or radicals derived therefrom) and propagating LOO radicals. However, their ability to maintain high  $\text{Fe}^{\text{II}}$



**Scheme 3** Proposed mechanism of the Fe<sup>II</sup>-induced peroxidation of linoleic acid (LH) and inhibition by carotenoids (Car).

concentrations in the solution (Fig. 4) can be considered as an underlying prooxidant effect. Based on the IC<sub>50</sub> values (Table 1), the bacterial carotenoids are relatively modest inhibitors of the Fe<sup>II</sup>-induced peroxidation of linoleic acid and even somewhat less protective than the reference carotenoids.

The mathematical treatment developed for heme-induced lipid peroxidation (Annex 1) was adapted to peroxidation initiated by non-heme iron (Annex 2) and was simply applied to the HU36 carotenoids as an example. Here again, excellent curve-fittings were obtained (Fig. 3) as well as consistent values for the  $r_2$  and AE<sub>2</sub> parameters, which must be essentially independent of the initiator (compare Tables 6 with 4). By contrast, the cleavage of lipid hydroperoxides by Fe<sup>II</sup> appears much slower than by MbFe<sup>III</sup> (a factor of *ca.* 30). Also the antioxidant stoichiometry was surprisingly higher than in heme-induced lipid peroxidation. It must however be stressed that the scavenging of lipid-derived radicals distinct from LOO• was not taken into account in this model, nor was the ability of carotenoids to extend the half-life of Fe<sup>II</sup> (Fig. 4). By maintaining larger concentrations of the prooxidant Fe<sup>II</sup> form in the medium (thereby delaying saturation in LOOH accumulation), carotenoids appear less efficient at inhibiting lipid peroxidation initiated by non-heme (*vs.* heme) iron.

The higher efficiency of the bacterial carotenoids (*vs.* the reference carotenoids) as inhibitors of heme-induced lipid peroxidation was at least partially ascribed to their easier access to the propagating LOO• radicals generated at the interface. The interfacial location of the bacterial carotenoids could also favor the recycling of free Fe<sup>II</sup> and thus the initiation of lipid peroxidation. These compensating effects could explain why the

**Table 6** Kinetic parameters for the inhibition of the Fe<sup>II</sup>-induced peroxidation of linoleic acid by HU36 carotenoids in micelles (pH 4.0)<sup>a</sup>

| Car/ $\mu\text{M}$ | $r_2/\text{M}^{-1/2} \text{ s}^{-1/2}$ | $k_i/\text{M}^{-1} \text{ s}^{-1}$ | AE <sub>2</sub>  | $n$                |
|--------------------|--|------------------------------------|------------------|--------------------|
| 0.8                | 1.8 ( $\pm 0.1$ )                      | 103 ( $\pm 9$ )                    | 86 ( $\pm 4$ )   | 13.9 ( $\pm 1.1$ ) |
| 1.5                | 2.0 ( $\pm 0.1$ )                      | 120 ( $\pm 16$ )                   | 107 ( $\pm 7$ )  | 8.9 ( $\pm 0.9$ )  |
| 2.2                | 2.0 ( $\pm 0.2$ )                      | 142 ( $\pm 13$ )                   | 128 ( $\pm 8$ )  | 7.4 ( $\pm 0.4$ )  |
| 3.0                | 1.7 ( $\pm 0.1$ )                      | 135 ( $\pm 8$ )                    | 153 ( $\pm 8$ )  | 5.7 ( $\pm 0.2$ )  |
| 3.6                | 1.1 ( $\pm 0.1$ )                      | 103 ( $\pm 6$ )                    | 174 ( $\pm 34$ ) | 4.0 ( $\pm 0.3$ )  |

<sup>a</sup> From the mathematical analysis of the A(234 nm) *vs.* time curves according to the model developed in Annex 2 ( $r > 0.995$ ). Values between brackets are the standard deviations of the curve-fitting procedure. See Annexes 1 & 2 for complete definition of parameters:  $r_2$  = lipid oxidizability,  $k_i$  = rate constant for lipid hydroperoxide cleavage, AE<sub>2</sub> = antioxidant efficiency for inhibition of propagation,  $n$  = antioxidant stoichiometry.

bacterial and reference carotenoids are roughly as potent at inhibiting lipid peroxidation initiated by non-heme iron.

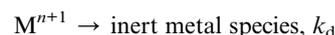
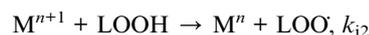
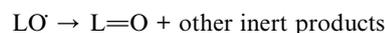
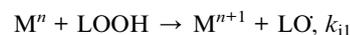
## 5 Conclusion

The carotenoids from newly identified spore-forming pigmented marine bacteria, *Bacillus indicus* HU36 and *Bacillus firmus* GB1 are better inhibitors of heme-induced lipid peroxidation in mildly acidic conditions than common carotenoids, possibly because of their location closer to the interface of micelles and lipid droplets. Our quantitative analysis suggests that this improved protection is manifested by a higher number of lipid peroxy radicals being scavenged by the bacterial carotenoids. Thus, in addition to their potential development as food colorants and dietary supplements endowed with probiotic properties, HU36 and GB1 bacteria may be valuable sources of carotenoid antioxidants protecting against adverse oxidation reactions in the stomach that may participate in the post-prandial oxidative stress<sup>47</sup> (*e.g.*, resulting from excessive ingestion of lipids, sugars and prooxidant species like iron) and its deleterious consequences in terms of cardiovascular health.

## 6 Annex 1: mathematical treatment for the inhibition of heme-induced lipid peroxidation

The reactions involved in the heme-induced peroxidation of linoleic acid in the presence of an antioxidant are summed up below with the corresponding rate constants ( $M^n$ : low-valence heme,  $M^{n+1}$ : high-valence heme, LH: PUFA, LOOH: PUFA hydroperoxide, AH: antioxidant):

Initiation



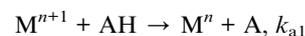
Propagation



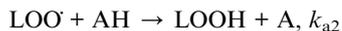
Termination



Inhibition of initiation



Inhibition of propagation



In the absence of lipid hydroperoxides, it has been shown that ferrylmyoglobin ( $\text{Fe}^{\text{IV}}$ ) is unable to initiate the peroxidation of linoleic acid in micelles.<sup>48</sup> Hence, a direct reaction between LH and  $\text{M}^{n+1}$  is not taken into account. Moreover, LO and derived radicals are assumed not to take part in the initiation step.

The peroxidation rate can be written as:

$$R_p = d(\text{LOOH})/dt = k_2(\text{LOO})(\text{LH}) + k_{a2}(\text{LOO})(\text{AH}) - k_{i1}(\text{LOOH})(\text{M}^n) - k_{i2}(\text{LOOH})(\text{M}^{n+1}) = R_2 + R_{a2} - R_{i1} - R_{i2}$$

The rate of lipid consumption is:  $-d(\text{LH})/dt = R_2$

The rate of antioxidant consumption is:  $R_a = -d(\text{AH})/dt = R_{a1} + R_{a2}$

We have:  $R_{a1} = \frac{R_{i2} \text{AE}_1 (\text{AH})}{(\text{LOOH})}$  with  $\text{AE}_1 = k_{a1}/k_{i2}$  (antioxidant efficiency at inhibiting inhibition)

Assuming a steady-state for the lipid peroxy radicals, we may write:

$$R_{i2} = k_{a2}(\text{LOO})(\text{AH}) + 2k_t(\text{LOO})^2$$

Solving for (LOO) gives:

$$(\text{LOO}) = \frac{k_{a2}(\text{AH})}{4k_t} \left[ \left( 1 + \frac{8k_t R_{i2}}{k_{a2}^2 (\text{AH})^2} \right)^{1/2} - 1 \right]$$

Hence, we deduce:

$$R_{a2} = k_a (\text{AH})^2 \left[ \left( 1 + \frac{2R_{i2}}{k_a (\text{AH})^2} \right)^{1/2} - 1 \right]$$

with  $k_a = k_{a2}^2/(4k_t)$

We also have:  $R_2 = \frac{R_{a2}(\text{LH})}{\text{AE}_2(\text{AH})}$  with  $\text{AE}_2 = k_{a2}/k_2$  (antioxidant efficiency at inhibiting propagation).

Assuming a steady-state for  $\text{M}^{n+1}$  (hypervalent iron), we deduce:  $R_{i1} = R_{i2} + R_{a1} + k_d(\text{M}^{n+1})$

This relationship can be written as:  $k_{i1}(\text{LOOH})(\text{M}^n) = [k_d + k_{i2}(\text{LOOH}) + k_{a1}(\text{AH})](\text{M}^{n+1})$

We thus deduce:  $R_{i2} = \frac{R_{i1}}{1 + \frac{C_d + \text{AE}_1(\text{AH})}{(\text{LOOH})}}$  with  $C_d = k_d/k_{i2}$

Finally, one has:  $-d(\text{M}^n)/dt = R_{i1} - R_{i2} - R_{a1}$

In the absence of an antioxidant, we simply have:

$$R_p^0 = k_2(\text{LOO})(\text{LH}) - k_{i1}(\text{LOOH})(\text{M}^n) - k_{i2}(\text{LOOH})(\text{M}^{n+1}) = R_2 - R_{i1} - R_{i2}$$

$$R_{i2} = 2k_t(\text{LOO})^2$$

We thus deduce:

$$R_p^0 = r_2(\text{LH})R_{i2}^{1/2} - R_{i1} - R_{i2}$$

with  $r_2 = k_2/(2k_t)^{1/2}$

$$R_{i2} = \frac{R_{i1}}{1 + \frac{C_d}{(\text{LOOH})}}$$

Parameters  $k_a$ ,  $r_2$  and  $\text{AE}_2$  are also bound through the following relationship:

$$k_a = (r_2 \text{AE}_2)^2/2$$

In a first step, the curves of uninhibited lipid peroxidation are analyzed to estimate parameters  $r_2$  (a measure of the oxidizability of linoleic acid in the medium) and  $k_{i1}$  (rate constant of LOOH cleavage by low-valence heme). Then, using this set of parameters, the curves of inhibited lipid peroxidation are analyzed to estimate the antioxidant efficiencies ( $\text{AE}_1$ ,  $\text{AE}_2$ ) and stoichiometry ( $n$ ). The parameter  $n$  is defined as the number of oxidizing equivalents (hypervalent iron and/or lipid peroxy radicals) trapped per antioxidant molecule. It is implemented in the program by the following initial condition:  $\text{AH}$  concentration =  $n \times$  total antioxidant concentration. Heme degradation (measured by parameter  $C_d$ ) is negligible during the short time period selected to monitor uninhibited peroxidation. In the presence of an antioxidant, the peroxidation is monitored over much longer time periods and  $C_d$  must be taken into account as an additional adjustable parameter.

## 7 Annex 2: mathematical treatment for the inhibition of lipid peroxidation induced by non-heme iron

The new scheme is as follows:

Initiation



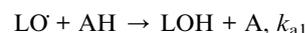
Propagation



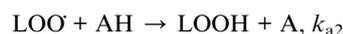
Termination



Inhibition of initiation



Inhibition of propagation



Assuming a steady-state for LO, one gets:

$$R_i = k_i(\text{LOOH})(M^n) = k_1(\text{LH})(\text{LO}) + k_{a1}(\text{AH})(\text{LO}) = R_1 + R_{a1}$$

We thus deduce:

$$R_1 = \frac{k_i(\text{LOOH})(M^n)}{1 + \text{AE}_1(\text{AH})/(\text{LH})}$$

with  $\text{AE}_1 = k_{a1}/k_1$  (antioxidant efficiency at inhibiting initiation).

The peroxidation rate is:

$$R_p = d(\text{LOOH})/dt = k_2(\text{LOO})(\text{LH}) + k_{a2}(\text{LOO})(\text{AH}) - k_i(\text{LOOH})(M^n) = R_2 + R_{a2} - R_i$$

The rate of lipid consumption is:  $-d(\text{LH})/dt = R_1 + R_2$

The rate of antioxidant consumption is:

$$R_a = -d(\text{AH})/dt = k_{a1}(\text{LO})(\text{AH}) + k_{a2}(\text{LOO})(\text{AH}) = R_1\text{AE}_1(\text{AH})/(\text{LH}) + R_{a2}$$

Assuming a steady-state for the lipid peroxy radicals, one gets:

$$R_1 = k_{a2}(\text{LOO})(\text{AH}) + 2k_t(\text{LOO})^2$$

Solving for (LOO) gives:

$$(\text{LOO}) = \frac{k_{a2}(\text{AH})}{4k_t} \left[ \left( 1 + \frac{8k_t R_1}{k_{a2}^2(\text{AH})^2} \right)^{1/2} - 1 \right]$$

Hence, we deduce:

$$R_{a2} = k_a(\text{AH})^2 \left[ \left( 1 + \frac{2R_1}{k_a(\text{AH})^2} \right)^{1/2} - 1 \right]$$

with  $k_a = k_{a2}^2/(4k_t)$

We also have:

$$R_2 = \frac{R_{a2}(\text{LH})}{\text{AE}_2(\text{AH})} \text{ with } \text{AE}_2 = k_{a2}/k_2 \text{ (antioxidant efficiency at inhibiting propagation).}$$

Finally,  $-d(M^n)/dt = d(M^{n+1})/dt = R_i$

In the absence of antioxidant, we simply have:

$$R_1 = R_i = 2k_t(\text{LOO})^2 \text{ (steady state for LO and LOO)}$$

$$R_p^0 = R_2 - R_i = r_2(\text{LH})R_i^{1/2} - R_i$$

## Acknowledgements

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