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1	Nano-vanadium pentoxide films for electrochromic displays
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10	
11	Abstract
12	We demonstrate the benefit of home-made nanopowder precursors on the
13	electrochromism of V_2O_5 films deposited by the "Doctor Blade" method. Using the polyol
14	process, nano-structured V_2O_5 powder were synthesized. Orthorhombic V_2O_5 thin films
15	deposited from as-synthesized powder exhibit good cycling stability associated with
16	significant reflectance modulation in both lithium and sodium based electrolytes. The orange
17	to green reversible color change appears well suitable for display application. To conclude,
18	the electrochromic performances of complete devices using WO ₃ as complementary electrode
19	and 0.3 M Lithium Bis(Trifluoromethanesulfonyl)Imide LiTFSI in BMITFSI plastified with
20	polymethylmetacrylate (PMMA) membrane electrolyte are reported.
21	
22	Keywords: Polyol synthesis, Vanadium pentoxide, Electrochromic performances, Devices.
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26 I. Introduction

27 Electrochromism is a phenomenon in which materials and devices are able to change their optical properties in a reversible and persistent way under an applied voltage [1-4]. The 28 applications of electrochromic devices, ECDs, are multifold from smart windows in cars and 29 buildings to spacecraft thermal control, as transmittive or reflective properties and visible or 30 infrared domain are concerned. Among varieties of electrochromic materials, transition metal 31 32 oxides have received special interest [5] partially due to their higher stability as compared to organic EC. The modification of the optical properties often results from an insertion of small 33 ions (i.e; H⁺ or Li⁺) associated with reversible redox reactions between the different valence 34 states of the metal. Tungsten trioxide, WO₃, remains by far the typical electrochromic 35 inorganic material, switching to a blue color upon reduction and cation insertion. For practical 36 use and device construction, WO₃ needs to be associated with complementary oxides. If 37 38 anodically colored IrO_x [6-8] and NiO [9-13] based thin films, have been largely studied, V₂O₅ appears to be an interesting alternative candidate. Indeed, orthorhombic V_2O_5 has been 39 investigated for a number of applications, such as lithium batteries, in respect of its layered 40 structure with good cation intercalation ability and low voltage required [14, 15]. Due to their 41 layered structures, intercalation of sodium into the structure of V₂O₅ has been investigated for 42 43 novel applications in sodium ion batteries [16]. To our knowledge, the electrochromic properties in V₂O₅ associated with Na insertion has not yet been reported. 44

V₂O₅ exhibits both anodic and cathodic electrochromism properties and multicolor display [17]. However, the lithiation processes in V₂O₅ bulk are relatively slow because the low electric conductivity $(10^{-3}-10^{-2} \text{ S.m}^{-1})$ and the low Li⁺ diffusion coefficients $(10^{-13}-10^{-12} \text{ Cm}^2 \text{ s}^{-1})$ [18-20]. In comparison with V₂O₅ bulk, the nanostructured of V₂O₅ is characterized by a large electrochemical surface area and good interconnectivity for electronic conductivity [21]. The electrochromic performance of vanadium pentoxide depends on several parameters

including the pore size of intercalation sites, the film thickness and the deposition method. 51 Several methods, including pulsed laser deposition [22], vacuum evaporation [23, 24], 52 thermal evaporation [25], sputtering [26], ultrasonic spraying [27] and sol-gel [28], have been 53 used for the deposition of V₂O₅ thin films. Nearly all of them deal with transparent thin films 54 whereas the properties of non-transparent "thick" films remains poorly investigated. In 55 addition, the performance of the electrochromic materials is directly related to the penetration 56 depth of the cations and the accessibility of the electroactive sites, thus explaining the 57 growing interest for nanomaterials. 58

Herein, nano-sized vanadium pentoxide powders were synthesized by the polyol method. Focusing on EC displays as application, mainly correlated to a modulation of the reflectance, micrometer thick films were prepared from the as-synthesized V_2O_5 nanopowders using a simple and cost effective method, namely Doctor Blade, at low temperatures (150 °C), suitable even for plastic or paper substrates.

Finally, the electrochromic activity of V_2O_5 films is reported both in lithium and sodium based electrolytes, while their integration in a complete device shows good optical reflectance modulation, nice cyclability and stability. The current results encourage future research in the area of multicolored (flexible) displays based on inorganic EC.

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69 II. Experimental details

1. Polyol synthesis of V₂O₅ powder and characterization

All of the chemical reagents were purchased from Acros Organics and utilized without further purification. Ammonium metavanadate (NH_4VO_3) was used as vanadium source and ethylene glycol ($H_6C_2O_2$) as template. In a typical synthesis, NH_4VO_3 (1.669 g) was added to 100 mL $H_6C_2O_2$. The resulting mixture was heated to 70 °C under continuous stirring to obtain a yellow sol which was then transferred into a 100 mL glass flask and refluxed at 170

°C for 3 h. At the end of the reaction, a vanadylglycolate (VEG) precipitate was obtained. The 76 77 precipitate was centrifuged and washed several times with ethanol to remove the organic product and dried in an oven at 80 °C. To prepare the final V₂O₅ powder, the VEG precursors 78 79 were calcined for 2 h at 500 °C. This method in which the polyol serves as both the solvent and the reducing agent, offers several advantages as compared to other chemical methods. 80 Indeed, the polyol process is commonly considered as an energy efficient and 81 environmentally benign process, also allowing the preparation of large quantity of powders. 82 The powder structure was characterized by X-ray diffraction analysis (Philips PW 1820, 83 PANalyticalX'Pert instrument, 20 range from 8 to 80° and $\lambda_{CuK\alpha 1}$ =1.54056 Å). Scanning 84 85 electron microscopy (SEM) and transmission electron microscopy (TEM) images were recorded with a SEI instrument (operating at 5 kV) microscope and JEOL JSM-6700F 86 (operating at 5 kV) microscope, respectively. 87

88

89 **2. Film preparation and characterization**

V₂O₅ films were deposited by the "Doctor Blade" method from home-made powder, 90 synthesized by the polyol method. Firstly 0.725 g of powder was dispersed into 2.5 ml 91 distilled water and acetyl acetone (AcAc). The resulting dilute was stirred for 12 h at room 92 temperature and after aliquots of the as-prepared colloidal V₂O₅ solution were deposited on 93 the ITO (In₂O₃:Sn) coated glass. After deposition, V₂O₅ thin films were dried at 150 °C for 3 94 h to eliminate organic products and ensure adhesion to the substrates. The thickness of the 95 V_2O_5 films, measured using a Dektak mechanical profilometer, was of about 3.9±0.2 µm. The 96 films structure was characterized by X-ray diffraction (XRD) analysis (Philips PW 1820, 97 PANalyticalX'Pert instrument, 20 range from 8 to 80° and $\lambda_{CuK\alpha 1}$ =1.54056 Å). The 98 morphology of the layers was investigated using a JEOL JSM-840 (operating at 15 kV) 99 microscope. 100

101 **3. Electrochromic measurements**

Electrochemical measurements of V₂O₅ films on ITO/glass were carried out in a 102 three electrodes cell configuration using a BioLogic SP50 potentiostat/galvanostat 103 104 apparatus. The counter-electrode and reference electrode consisted of a platinum foil and Saturated Calomel Electrode, SCE ($E_{SCE} = 0.234$ V/ENH), respectively. The operating 105 voltage was controlled between -0.4 V and 1.8 V at different scan rates, from 2 to 50 106 mV/s, in both lithium and sodium based electrolytes, namely 0.3 M lithium bis-107 trifluoromethanesulfonimide (LiTFSI, Solvionic, purity>99.99%) in 1-butyl-3-108 methylimidazoliumbis-(trifluoromethanesulfonyl)-imide (BMITFSI) and sodium bis-109 (trifluoromethanesulfonate)-imide (NaTFSI, Solvionic, purity> 99.99%)in 1-butyl-3-110 methylimidazoliumbis-(trifluoromethanesulfonyl)-imide 111 (BMITFSI). All the electrochemical measurements were performed at room temperature. The optical 112 113 reflectance of V₂O₅ thin films was measured in situ using a Varian Cary 5000 UV-Vis-NIR spectrophotometer. The film reflectance was also analyzed using a Konica Minolta CM-114 700D spectrophotometer allowing the direct determination of colorimetric parameters in the 115 CIE L*a*b* colorimetric space. The optical contrast (ΔE^*) between two color states was 116 117 calculated following the equation:

$$\Delta E^* = \left[(L^*_1 - L^*_2)^2 + (a^*_1 - a^*_2)^2 + (b^*_1 - b^*_2)^2 \right]^{1/2}$$
 (eq.1)

119

120 III. Results and discussion

121 **1.** V₂O₅ powders

The crystallinity of the orange powder synthesized by the polyol process before and after calcination was studied by XRD. Before calcination, the XRD pattern (Fig. 1a) indicates that the d-spacing values of all diffraction peaks are identical to those of the VEG (JCPDS No 49-2497) with space group C2/c. After calcination (Fig. 1b), the XRD pattern reveals the presence of narrow peaks, suggesting a material with high crystallinity. The d-spacing values of all diffraction peaks are identical to those of the orthorhombic crystalline phase V_2O_5 (Space Group: Pmmn) with lattice constants a = 3.564 Å, b = 11.510Å and c = 4.373Å (JCPDS # 85-0601). No peak of any other phase or impurity was detected from the XRD pattern showing that V_2O_5 with high purity can be synthesized via polyol synthesis at 170 °C for 3 h. The average crystallite size was calculated using the Scherrer's formula [29]:

132
$$L=0.89 \lambda / \beta \cos\theta$$
 (eq. 2)

133 Where *L* is the average crystallite size in nm, $\lambda = 0.154056$ nm, β is the full width at the half 134 maximum and θ is the diffraction angle. The average crystallite size value, calculated from 135 three of the main peaks of the XRD pattern is about 86 nm.

The SEM and TEM micrographs (Fig. 2) show that the morphology of the as-136 synthesized V₂O₅ powder is homogenous. At low magnification (Fig. 2a), packs of quasi-137 spherical agglomerates with individual size of about 2-3 µm diameter are visible. At 138 intermediate magnification, hierarchical structuration is observed: the agglomerates are 139 constituted from the assembly of over-micrometric crystallite strands or acicular platelets 140 (Fig. 2b). The TEM images (Figs. 2c, d) captured on the few non-agglomerated crystallites 141 show various crystallite sizes ranging from about 50 nm to 150 nm, i.e. in good agreement 142 143 with the average crystallite size extracted from X-ray diffraction patterns.

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145 2. Structure and morphology of the V₂O₅ films

The SEM analysis of the V_2O_5 film (Fig. 3a and b) shows that the Doctor Blade process appears to induce a lamellar structure; indeed, the films resemble to a collection of micrometric plates with dimension of about $5\mu m \times 2\mu m$. Moreover, is has to be noted that the obtained films are very porous with pore diameters about 100 nm. Such a morphology, exhibiting a large surface exchange with the electrolytes and a high light diffusion (Mie

diffusion regime), is adequate besides electrochromic requirements for high efficiency for 151 colored displays. The films are opaque and allow easy-alkaline elements insertion. The XRD 152 pattern of the as-deposited V_2O_5 film shows in first approximation similar features as the 153 powder (Fig. 4). Indeed, the *d*-spacing values of all diffraction peaks match the ones of the 154 orthorhombic crystalline phase (JCPDS # 85-0601) and of the ITO substrate (JCPDS # 44-155 1087). Strong and sharp diffraction peaks indicate comparable crystallinities between the 156 V_2O_5 films and the as-synthesized powder. No peak of any other phase or impurity was 157 detected from the XRD pattern. However, preferential orientation of the V₂O₅ film is clearly 158 detected along the (001) crystallographic direction. This observation is in good agreement 159 with the lamellar morphology observed by SEM analyses. Moreover, the observation of such 160 a preferential orientation for the V₂O₅ films obtained from a powder with isotropic crystallite 161 shapes is very interesting but not trivial to interpret. Our hypothesis is that the hierarchical 162 163 structuration previously observed on the powder is associated with coalescence of the crystallites from preferred surface orientations. This powder structuration is then preserved 164 during the coating step. Same coalescence phenomenon was also observed by Z. Tong et al 165 [19]. 166

167 **3. Electrochromic properties of V₂O₅ films**

The repetitive cycling of V_2O_5 film in (0.3 M) LiTFSI-BMITFSI at a scan rate of 20 mV/s shows good reversibility, cyclability and stability in terms of capacity illustrated up to 50 cycles (Fig. 5). In agreement with a nice reversible process, the charges deduced from the CVs in reduction and in oxidation are equal ($Q_{red}/Q_{ox}=99$ %).The insertion process of Li⁺ ions intercalation/deintercalation in electrochromic V_2O_5 films can be represented by the following equation:

174
$$V_2O_5$$
 (Orange)+ xLi^+ + $xe^- \rightleftharpoons Li_xV_2O_5$ (Green) (eq. 3)

175 The number of exchanged electron (x) involved during each coloration/bleaching process was176 estimated using the following equation:

177

Q=F. n (V_2O_5).x (eq. 4)

Where Q is the electrochemical capacity, F= 96500 C and n is number of moles. The x 178 values calculated from V_2O_5 from homemade powder thin films is about 0.10, which remains 179 quite low and may suggest that the involved process is not as simple as a common insertion 180 process. A decrease in scan rate from 50 mV/s to 5 mV/s is associated with a progressive 181 evolution of the CV shape corresponding to the appearance of peaks, of which resolution 182 increases (Fig. 6a). Besides, the peaks exhibit a better signature in oxidation/anodic scan as 183 compared to reduction/cathodic scan. The anodic maximum current density (ja) exhibits a 184 linear relation with the square root of scanning rate $(V^{1/2})$ (Fig. 6b). The diffusion coefficient 185 D of lithium ions (Li⁺) was calculated using Randles-Sevcik equation [30, 31]: 186

187
$$J_a = 2.69 \ 10^5 n^{3/2} AD^{1/2} Cv^{1/2}$$
 (eq. 5)

Where j_a is the anodic maximum current density at oxidation state, n is the number of 188 electrons transferred, A is the electrode area (cm^2), D is the diffusion coefficient (cm^2/s), C is 189 the concentration of the electrolyte (mol/cm^3) , and v is the voltage scan rate (V/s). The linear 190 relation is expected for a diffusion-controlled process allowing the determination of the 191 diffusion coefficient, $D_{Li}^{+} = 6.1 \times 10^{-9} \text{ cm}^2/\text{s}$. This value is in the order, even slightly higher 192 193 than the one recently reported for 3-dimensionally ordered macroporous vanadium oxides [20]. This very good electrochemical performance is ascribed to the unique highly porous 194 hierarchical plate-like architecture that could easily facilitate electrolyte penetration and Li⁺ 195 diffusion. 196

197 The diffuse reflectance spectra of V_2O_5 films corresponding to the oxidized and reduced 198 states, registered before and after 100 CA cycles are illustrated in Figure 7. The V_2O_5 films 199 switch reversibly in-between a reduced green state (-0.4 V/120s) and an oxidized-orange state 200 (+1.8 V/120s) associated with reflectance values of about 4% and 24% at 630 nm, 201 respectively leading to an optical reflectance modulation, ΔR , of about 20%. The stability of 202 the optical modulation is demonstrated up to 100 cycles.

In CIE colorimetric space, the color is represented by three parameters: a luminance axis (L*), and two hue axes (a*) and (b*), which can be used to define and compare quantitatively the colors. The relative luminance (L*), the hue (a*) and (b*) values of V₂O₅ films in different states were measured. Indeed, for the green-reduced state (at -0.4 V) the L*a*b* parameters are 26.3, -5.3 and 1.6respectively, while for the orange-oxidized state (at +1.8 V) and the L*a*b* parameters are 45.6, 12.9 and 30.7, respectively. The contrast $\Delta E^*=$ [(L*_c - L*_b)² + (a*_c - a*_b)² + (b*_c - b*_b)²]^{4/2} is of 39.4.

The electrochemical activity of the V_2O_5 films in a Li⁺ based electrolyte, namely 0.3M 210 LiTFSI-BMITFSI, was compared with the intercalation/deintercalation process in a Na⁺ 211 containing electrolyte, namely 0.3M NaTFSI-BMITFSI. The CVs of the V₂O₅ in the 0.3M 212 NaTFSI-BMITFSI electrolyte in the -0.4 to +1.8 V range show a nice reversible behavior over 213 214 50 cycles (Fig. 8) with however a slight loss of capacity of 2% after 50 cycles ((inset Fig. 8). 215 Interestingly, the CVs shape differs from cycling in lithium based electrolyte with the signature of a main oxidation peak in oxidation closer to the "duck" shape, even for lower 216 217 cycling rates (i.e. down to 5 mV/s) (Fig. 9a). Such behavior should be correlated to the larger sodium cation size $(r^{Na+} > r^{Li+})$ in agreement with less defined insertion sites as well as larger 218 surface reaction. Interestingly, the Na insertion/reaction in V₂O₅ has received recent attention 219 in the literature in particular in the field of Na batteries [32]. Surprisingly, higher capacity 220 values were recorded when cycling in Na based electrolyte. 221

Another discrepancy with cycling in Li based electrolyte, lies in the evolution of the CV shape with scan rate from 50 mV/s to 5 mV/s (Figs. 6a and 9a). On the contrary of Li based electrolyte, no visible peaks clearly appear with decreasing scan rate. The linear relationship

of the anodic peak current density (j_a) with the square root of scanning rate $(V^{1/2})$ (Fig. 9b) 225 leads to the determination of the diffusion coefficient $D_{Na^+} = 4.28 \times 10^{-8} \text{ cm}^2/\text{s}$. A higher 226 electrochemical response (i.e. an increase in capacity) using Na based electrolytes was also 227 observed when comparing the cycling behavior in LiCl and NaCl aqueous electrolytes. The 228 origin of the higher capacity in Na based electrolyte as compared to lithium one remains 229 unclear however for ionic liquids based electrolytes higher ionic conductivity was recorded 230 for PMMA membranes containing NaTFSI in BMITFSI as compared to LiTFSI in BMITFSI. 231 Besides, handling of the preparation of our devices in air is likely to be associated with traces 232 of water in the electrolyte of which presence may induce some water adsorption at the film 233 surface. 234

The reflectance spectra for V₂O₅ films corresponding to the oxidized and reduced states, 235 recorded before and after 100 CA cycles are presented in Fig. 10. The reduced green state (-236 237 0.4 V/120s) and the oxidized orange-brownish state(+1.8 V/120s) are associated with reflectance values of about $R_G \approx 4\%$ and $R_O \approx 15\%$ at 630 nm, respectively, corresponding to a 238 239 reflectance modulation ΔR of 11%. After 100 cycles, despite the slight decrease in capacity, 240 an increase of the reflectance modulation, $\Delta R = 17\%$, corresponding to $R_C \approx 3.5\%$ and $R_B \approx$ 20.5% at 630 nm, is observed. In addition, from chronoamperometry measurements (not 241 shown here), the switching time between a reduced and an oxidized state in the case of Na 242 based electrolyte is of about 9 s and 7 s respectively, while it is of about 11 s and 9 s for Li 243 based electrolyte confirming the trend discussed above of faster diffusion in Na based 244 electrolyte. 245

246

247 **4. Electrochromic performance of V₂O₅ based ECD**

In a final step, an ECD was built, from the association of WO_3 and V_2O_5 films, using anhydrophobic electrolyte membrane based on 0.3 M LiTFSI-BMITFSI in PMMA as ion conductor. WO₃ films were deposit by the "Doctor Blade" from commercial Aldrich powder. The transparent viscous mixture of ionic liquid in 40% in PMMA was spread under ambient atmosphere on the WO₃ and V₂O₅ electrochromic layers using a syringe and dried for 2 hours at 80°C under vacuum (~ 100 Pa.) to remove butanone leading to hydrophobic, sticky lithium conducting electrolyte membranes. Prior to device assembly, WO₃ thin film was cycled in 0.3 M LiTFSI-BMITFSI electrolyte for five cycles and assembled in the blue reduced state state to the pre-cycled V₂O₅ counter electrode.

The shape of the cyclic voltammogramms of the Li_xWO_3 / LiTFSI-BMITFSI in PMMA/V₂O₅ illustrates a well reversible behavior with good cyclability associated with a nice switch from orange to green for the V₂O₅ thin film (Fig. 11). Interestingly, the capacity slightly increases upon early cycling (inset Fig. 11) while it is of 20 mC/cm² after 200 cycles

The *ex-situ* evolution of the reflectance spectra for V₂O₅/electrolyte/WO₃ device is depicted in (Fig. 12). The reduced-green state (-1.5 V/60s) and the oxidized-orange state at (+1.5/60s) are associated with reflectance values of about R_G \approx 9.4% and R_O \approx 21.6% at 630 nm, respectively, with a total reflectance modulation of $\Delta R \approx$ 12.2%. In addition similar properties remain after 200 cycles, R_G \approx 10.9% and R_O \approx 22.3% at 630 nm, leading to a reflectance modulation of $\Delta R \approx$ 11.4%.

The switching optical response in between alternating potentials is a key characteristic 267 of electrochromic devices. Switching times were deduced from monitoring the reflectance at a 268 wavelength of 550 nm under the application of voltages of -1.5 V and 1.5 V for 60 sec. The 269 switching time between a reduced green state (-1.5V) and an oxidized-orange state (+1.5V) is 270 of about 8s and increases upon cycling as the drop in reflectance is smoothen after 200 cycles 271 (Fig. 13). Upon reduction, the switching time strongly increases from 8 to 29 s while in 272 oxidation the increase is only from 7 to 10 s. The origin of such increase remains unclear but 273 might be ascribed to a modification of the film morphology upon cycling. 274

275 IV. Conclusion

V₂O₅ films were deposited by the Doctor Blade method on ITO substrates from home made V₂O₅ powder synthesized by polyol process. This powder, constituted of sub-micrometric crystallites with a hierarchical structuration, allows to obtain opaque and porous films, *i.e.* with an adequate morphology besides electrochromic activity. The electrochromic properties of V₂O₅ films were demonstrated in Li and Na based electrolytes, showing in both cases a nice reversibility in between green and orange states corresponding to a modulation in reflectance of 15-20% at 630 nm. The switching properties appeared to be associated with a more bulk/insertion process in the case of Li and a more surface process in the case of Na. Further investigations are in progress to better understand the differences associated with both electrolytes. Electrochromic activity was used to build V2O5/electrolyte/WO3 devices exhibiting nice reflectance modulation suitable for display application. In addition, current investigations on Ti-V₂O₅, Mo, W, demonstrate the benefit of the polyol process for producing high quality doped powders with specific morphologies, of which properties will be reported in the future.

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