Modeling and control of flow with dynamical boundary actions

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Abstract — In this paper a flow inside a pipe is modeled by a hyperbolic system (written in terms of a partial differential equation). One boundary condition is given by the coupling with a finite-dimensional dynamic model of heating column and a static modeling of ventilator. Then, the classical finite-dimensional technique is applied for the linearization of first order hyperbolic systems with dynamics associated to the boundary conditions. The discretization of the infinite-dimensional system is used and an augmented discrete linear system with dimension depending on the step size of discretization in space is obtained. The results are illustrated on simulations considering a Poiseuille flow experimental setup.

I. INTRODUCTION

This paper considers fluid transport which is a phenomenon often encountered in many industrial applications such as hydraulic networks [3], [14], gas flow in pipelines [1], [6], flow regulation in deep pits [15], among others. The fluid transport is modeled by conservation laws, which are first-order hyperbolic Partial Differential Equations (PDEs), commonly used to express the fundamental balance law that occur in many physical systems when small friction or dissipation effects are neglected [3]. It is well-known, that measurements and actuators in distributed parameter systems are not usually available. It is more usually for them to be located at the boundaries.

The stability problem of boundary control in time invariant hyperbolic systems has been attracted attention of academic community for a long time, see, e.g., the references [7], [8] and [12]. Moreover, a strict Lyapunov function approach is proposed in [13] for the boundary control with integral actions of hyperbolic systems of conservation law that can be diagonalized by means of Riemann invariants. The stability problem of linear and quasi-linear hyperbolic systems in the presence of dynamics at the boundary conditions is addressed in [5]. Reference [6] addresses the problem of boundary observer design for one-dimensional first order linear and quasi-linear strict hyperbolic systems with $n$ rightward convecting transport. The boundary control can have a faster reaction when compared to waves traveling time, because no time response limitation is taken into account at the boundary conditions. In certain applications such as [10], [2], the wave traveling can be considered much slower than the actuator time response. A static relationship between the control input and the boundary condition can be considered in many cases. However, there are applications where the dynamics associated with the boundary control cannot be neglected [4].

The major concern in this paper regards the modeling of a flow inside a pipe (fluid transport phenomenon) with boundary control strategy applied in a physical experimental setup. This problem is modeled by a non-linear hyperbolic system with coupled equations and a boundary condition given by the coupling with a finite-dimensional dynamic model of a heating column and a ventilator static model. The tracking problem of this complex dynamics is addressed in a simple manner by means of classical control tools such as linear approximations, finite difference schemes and integral action, leading to an augmented discrete-time linear system. Hence, a classical pole placement design methodology consisting of a state observer and a state feedback control law is applied to improve the closed-loop dynamics tracking performance. A numerical example considering the Poiseuille flow experimental setup is presented to illustrate the proposed strategy.

The rest of this paper can be summarized as follows. Section II introduces the description of fluid transport systems and the modeling of Poiseuille flow with dynamics at the boundary conditions. In Section III, it is presented an augmented discrete-time linear system obtained by means of linear approximation and spatial discretization with the inclusion of integral action for the steady-state tracking of constant reference signals. Section IV illustrates the proposed approach by means of a numeric example and Section V ends the paper.

II. SYSTEM DESCRIPTION AND MODELING

The fluid transport system is normally used for industrial applications. Such as ventilation system of mining industry [16] and hydraulic networks [14]. To investigate the phenomenon of fluid transport in a Poiseuille flow with dynamics at the boundary conditions, an experimental setup has been designed to test and validate
control strategies. The Figure 1 shows the schematic of the proposed device.

This device is constituted by a heating column encasing a resistor, a tube, two ventilators, a gas speed meter and three distributed temperature sensors. The control objective is the outlet temperature tracking by driving the power dissipated on the heating resistor at different speed. Therefore, (2) and (4) to be respectively rewritten as:

\[ \dot{V}_0 = C_v m_0 T_0\]  \hspace{1cm} (5)

\[ \dot{V}_0 = C_p T_{in} \dot{m}_{in} - C_p T_0 \dot{m}_{out} + dQ\]  \hspace{1cm} (6)

For simplicity, the temperature dynamics can be expressed in terms of the gas density by introducing the following change of variable (perfect gases law):

\[ \rho_0 = \frac{p_{in}}{RT_0}\]  \hspace{1cm} (7)

where \( R \) is the ideal gas constant. Taking the time derivative of (7) into account, the following holds:

\[ \dot{T}_0 = -\frac{R}{\rho_{in}} \dot{\rho}_0\]  \hspace{1cm} (8)

In addition, equalizing (5) and (6) and using (7)-(8) along with the perfect gases law to replace the mass inside the control volume \( m_0 \) in terms of the pressure and the specific gas constant \( R \), it is obtained:

\[ \dot{\rho}_0 = -\frac{R \gamma T_{in} \dot{m}_{in}}{\rho_{in} V_0} \rho_0 - \frac{R}{\rho_{in} V_0 C_v} \rho_0 dQ + \frac{\gamma \dot{m}_{in}}{V_0} \]  \hspace{1cm} (9)

where \( \rho_0 = \frac{m_0}{V_0} \) is the density inside the heating column, \( V_0 \) is the column volume and \( \gamma = \frac{C_p}{C_v} \).
B. Flow model in the tube

Consider the ideal gas flow through a constant section, where all the friction losses and heat transfers are neglected. Hence, the Euler equations can be used to model the system. The equations consist of conservation of mass, momentum and energy and they can be written in terms of the primitive variables density $\rho$, particle speed $v$ and pressure $p$. In this case, the flow is assumed to be one-dimensional leading to the following system of PDEs (which are three nonlinear coupled equations):

$$W_t + AW_x = 0, \quad (10)$$

where $W = \left( \begin{array}{c} \rho \\ v \\ p \end{array} \right)$ and $A = \left( \begin{array}{ccc} v & \rho & 0 \\ 0 & v & 1 \\ 0 & a^2 \rho & v \end{array} \right)$, with

$$a = \sqrt{\frac{p}{\rho}}$$

representing the sound speed in ideal gas and $\gamma$ the adiabatic constant. Further, $t \geq 0$ is the time variable and $x \in [0, L]$ is the space variable with $L = 1.5$ being the tube length.

C. Ventilator Model

The ventilator is a power driven machine that moves a continuous volume of air by converting rotational mechanical energy to an increase in the total pressure of the moving air. From [11], there are three relationships among fan pressure, speed, power and flow rate, which are stated as ventilator laws. In this work, it is only considered one ventilator installed at the input side of the tube as the actuator, a flow rate proportional to the ventilator rotating speed. Thus, the ventilator rotating speed is the control action.

Bernoulli’s equation is used to relate the density, speed and pressure with the ventilator rotation. Using the ventilator law, the boundary condition is modeled, for $x = 0$ and $t \geq 0$, by means of:

$$p_a + \frac{1}{2} \rho_0(0, t)v(0, t)^2 = K_n C(t)^2 \quad (11)$$

where $p_a$ is the atmospheric pressure, $K_n$ is a constant coefficient, $C(t)$ is the ventilator rotating speed, and $\rho_0(0, t)$ and $v(0, t)$ represent gas density and velocity at the input side of tube, respectively.

D. Outputs $T(L, \cdot)$, $v(L, \cdot)$, $p(L, \cdot)$ and $T(0, \cdot)$

The outputs considered in this work are the particle temperature, speed and pressure at the outlet of the tube, respectively, $T(L, \cdot)$, $v(L, \cdot)$ and $p(L, \cdot)$. The dynamic boundary condition is the temperature in the heating column $T(0, \cdot)$. The outputs $v(L, \cdot)$ and $p(L, \cdot)$ are obtained directly, but the outputs $T(L, \cdot)$ and $T(0, \cdot)$ are obtained by means of the nonlinear relationship (7). The pressure inside the tube is considered constant (equal to the atmospheric pressure, $p_a$). The pressure at the inlet $p_{in}$ and outlet $p_{out}$ of the tube are considered equal, since the pressure differential introduced by the ventilator is supposed to be small.

III. AUGMENTED DISCRETE-TIME SYSTEM

This section is composed of three main steps: (i) the linearization of the coupled nonlinear hyperbolic system (flow model in the tube), the nonlinear ordinary differential equation (ODE) that represents the heating column, the Bernoulli’s equation for the ventilator model and the nonlinear relationship between density and temperature; (ii) discretization of the linear system resulting from the first step; and (iii) the representation of the system dynamics in terms of an augmented finite-dimensional discrete-time system, and the development of stability analysis and control design conditions.

A. Linearization

1) Flow model in the tube: system (10) admits a steady-state ($\rho^*, v^*, p^*$) and the deviations of the states $(\rho, v, p)$ with respect to their steady-state values are defined as $\bar{\rho} = \rho - \rho^*$, $\bar{v} = v - v^*$, $\bar{p} = p - p^*$.

Thus, the linearization of system (10) at this equilibrium is given by

$$\dot{W}_t + A^* \dot{W}_x = 0, \quad (12)$$

with $W = \left( \begin{array}{c} \bar{\rho} \\ \bar{v} \\ \bar{p} \end{array} \right)$ and $A^* = \left( \begin{array}{ccc} v^* & \rho^* & 0 \\ 0 & v^* & 1 \\ 0 & a^2 \rho^* & v^* \end{array} \right)$.

2) Heating Column Model: whereas the pressure inside the tube is constant (and equal to the atmospheric pressure), the input mass flow rate will be expressed as

$$\dot{m}_{in} = v(0, t)\rho(0, t)A_t, \quad (13)$$

where $A_t$ is the tube cross section area.

Taking (13) into account, the boundary condition (9) can be expressed as:

$$\dot{\rho}(0, t) = -\frac{R \gamma T_{in} v(0, t)\rho(0, t)A_t}{p_{in}V_0} \rho(0, t) - \frac{R}{p_{in}V_0 C_v} \rho(0, t)dQ + \frac{\gamma v(0, t)\rho(0, t)A_t}{V_0}. \quad (14)$$

Defining

$$A = -\frac{R \gamma T_{in} A_t}{p_{in}V_0}, \quad B = -\frac{R}{p_{in}V_0 C_v}$$

and $C = \frac{\gamma A_t}{V_0}$, we obtain:

$$\dot{\rho}(0, t) = A\dot{v}(0, t)\rho(0, t)^2 + BdQ\rho(0, t) + Cv(0, t)\rho(0, t). \quad (15)$$

Then, linearizing (15) and defining $dQ = U_2(t)$ yields:

$$\dot{\rho}(0, t) = A\left(\dot{v}(0, t)\rho^* + 2v^* \dot{\rho}(0, t)\right) + B\left(U_2^2 \dot{\rho}(0, t) + \rho^* U_2(t)\right) + C\left(v^* \dot{\rho}(0, t) + \rho^* \dot{v}(0, t)\right), \quad (16)$$

where $\dot{\rho}(0, t) = \rho(0, t) - \rho^*$ is a small deviation around the equilibrium point; $\rho^*$ and $v^*$ are the same equilibrium points used for flow model in the tube; and $U_2^2$ is a constant control action at the equilibrium point.
Hence, reorganizing (16) leads to
\[
\dot{\bar{p}}(0, t) = \left(2v^*\rho^* A + BU^*_2 + Cv^*\right)\bar{p}(0, t) + \left(4K_n C^* \bar{C}(t)\right)\bar{v}(0, t) + B\rho^* U_2(t). 
\]
\tag{17}

3) Ventilator Model: linearizing equation (11) assuming that \( p_a \) is constant, the following boundary condition is derived:
\[
\bar{p}(0, t) v^* + 2v^*\rho^* \bar{v}(0, t) = 4K_n C^* \bar{C}(t),
\]
and then defining \( \bar{C}(t) = U_1(t), C^* = U_1^* \) and reorganizing (18) yields
\[
\bar{v}(0, t) = \left(-\frac{v^*}{2\rho^*}\right)\dot{\bar{p}}(0, t) + \left(\frac{4K_n U_1^*}{2\rho^* v^*}\right)U_1(t),
\]
with \( U_1^* \) being a constant control action at the equilibrium point.

4) Outputs \( T(L, \cdot) \), \( v(L, \cdot) \), \( p(L, \cdot) \) and \( T(0, \cdot) \): the linear output \( \bar{v}(L, \cdot) \) and \( \bar{p}(L, \cdot) \) are obtained directly from the linear flow model in the tube. It is required to use the linearization of (7) to deal with \( \bar{T}(L, \cdot) \) and \( \bar{T}(0, \cdot) \), hence the following relationship is obtained
\[
\bar{T}(L, t) = \frac{-p_{out}\bar{p}(L, t)}{R\rho^*}, \quad \bar{T}(0, t) = -\frac{p_{in}\dot{\bar{p}}(0, t)}{R\rho^*}.
\tag{20}
\]

B. Discretization

1) Flow model in the tube: in order to spatially discretize the linear hyperbolic system (12), we shall make use of the forward and backward difference quotients for \( W_t \) and \( W_x \), respectively.

Let \( \Delta t \) and \( \Delta x \) be respectively the time and space steps satisfying a CFL condition \cite{9}. Then, by routine manipulations over \( j = 0, 1, ..., N \) and \( i = 1, 2, ..., \alpha \) with \( N \) being a given integer and \( \alpha = \frac{L}{\Delta x} \), the PDE (12) is approximated by means of
\[
\bar{p}_d(i, j + 1) = b_1 \bar{p}_d(i, j) + b_2 \bar{p}_d(i - 1, j) - b_3 \bar{v}_d(i, j) + b_4 \bar{v}_d(i - 1, j),
\tag{21}
\]
\[
\bar{v}_d(i, j + 1) = b_1 \bar{v}_d(i, j) + b_2 \bar{v}_d(i - 1, j) - b_4 \bar{p}_d(i, j) + b_5 \bar{p}_d(i - 1, j),
\tag{22}
\]
where
\[
b_1 = \left(1 - \frac{\Delta t}{\Delta x} v^*\right), \quad b_2 = \left(\frac{\Delta t}{\Delta x} v^*\right), \quad b_3 = \left(\frac{\Delta t}{\Delta x} \rho^*\right), \quad b_4 = \left(\frac{\Delta t}{\Delta x} \rho^*\right) \text{ and } b_5 = \left(\frac{\Delta t}{\Delta x} \rho^*\right).
\tag{23}
\]

2) Ventilator Model: the discretization of (19) is obtained by applying a standard discretization \( j\Delta t \) leading to
\[
\bar{v}_d(0, j) = \left(-\frac{v^*}{2\rho^*}\right)\bar{p}_d(0, j) + \left(4K_n U_1^* \right) \bar{U}_1(j),
\tag{24}
\]
\[
\bar{v}_d(j, j + 1) = \left(1 + \Delta t(2v^*\rho^* A + BU^*_2 + Cv^*)\right)\bar{v}_d(0, j) + \Delta t (B\rho^*) U_2(j).
\tag{25}
\]
\[
\bar{v}_d(0, j + 1) = Q_1 \bar{v}_d(0, j) + Q_2 \bar{U}_1(j) + Q_3 \bar{U}_2(j),
\tag{26}
\]
where
\[
Q_1 = \left(1 + \Delta t(2v^*\rho^* A + BU^*_2 + Cv^*)\right) \left(-\frac{v^*}{2\rho^*}\right),
\tag{27}
\]
\[
Q_2 = \left(\left(\Delta t(4K_n U_1^*)\right) \left(-\frac{v^*}{2\rho^*}\right)\right) \text{ and } Q_3 = \Delta t B\rho^*.
\tag{28}
\]

3) Heating Column Model: considering \( j\Delta t \), the discretization of the heating column liner approximation in (17) is as follows
\[
\bar{p}_d(i, j + 1) = \left(1 + \Delta t(2v^*\rho^* A + BU^*_2 + Cv^*)\right)\bar{p}_d(0, j) + \Delta t (B\rho^*) U_2(j).
\tag{29}
\]

4) Outputs \( T(L, \cdot) \), \( v(L, \cdot) \), \( p(L, \cdot) \) and \( T(0, \cdot) \): the discrete linear approximate outputs \( \bar{v}_d(L, \cdot) \) and \( \bar{p}_d(L, \cdot) \) are obtained directly from the discrete linear approximate flow model in the tube. For \( \bar{T}(L, t) \) and \( \bar{T}(0, t) \) as described in (20) is applied the standard discretization step \( j\Delta t \) leading to:
\[
\bar{T}_d(0, j) = -\frac{p_{out}\bar{p}_d(0, j)}{R\rho^*}, \quad \bar{T}_d(L, j) = -\frac{p_{out}\bar{p}_d(L, j)}{R\rho^*}.
\tag{30}
\]

C. Discrete-Time Linear Approximation

After the steps of linearization and discretization, an augmented discrete-time linear model can be obtained. To this end, let the augmented state vector be defined by:
\[
X(j) = \begin{bmatrix} \bar{p}_d(1, j) & \bar{p}_d(2, j) & \cdots & \bar{p}_d(\alpha, j) \\ \bar{v}_d(1, j) & \bar{v}_d(2, j) & \cdots & \bar{v}_d(\alpha, j) \end{bmatrix}^T,
\tag{31}
\]
and the boundary points as follows
\[
X_c(j) = \begin{bmatrix} \bar{p}_d(0, j) & \bar{v}_d(0, j) \end{bmatrix}^T.
\tag{32}
\]

The boundary condition \( \bar{p}_d(0, j) \) is considered constant and it is not used for the boundary control problem.

Since the boundary control is not directly applied to the states components density \( \bar{p}_d(0, j) \) and speed \( \bar{v}_d(0, j) \), it is employed the ventilator speed \( U_1(j) \) (installed in the initial part of the tube) and the power dissipated on the heating resistor \( U_2(j) \) (installed in the heating column) as the boundary control actuation. Thus, the control input vector of the discrete-time approximation is defined as:
\[
U(j) = \begin{bmatrix} U_1(j) & U_2(j) \end{bmatrix}^T.
\tag{33}
\]

Notice that we have a static boundary condition and a dynamic boundary condition. Hence, to obtain the boundary condition related to the density \( \bar{p}_d(0, j) \), we use the dynamic model of the heating column. Therefore, the augmented state vector is redefined as follows
\[
Z(j) = \begin{bmatrix} X(j) \\ \bar{p}_d(0, j) \end{bmatrix}.
\tag{34}
\]
Then, the discrete-time linear approximation will be as follows:

$$\Omega: \begin{cases} Z(j+1) = AZ(j) + BU(j), \\ y(j) = CZ(j), \end{cases} \quad (32)$$

where \( j \) is the sampling time index; \( Z(j) \in \mathbb{R}^{3n+1} \) is the augmented state vector; \( U(j) \in \mathbb{R}^n \) represents the control input vector; \( y(j) \in \mathbb{R}^p \) represents the output signals; and \( A, B, \) and \( C \) are constant matrices as defined in (33) at the top of next page.

To implement a state feedback control law, a standard Luenberger-like observer is designed. That is, the following control law is considered

$$U(j) = K\hat{Z}(j), \quad (34)$$

where \( \hat{Z}(j) \) is the state of the following observer

$$\hat{\Omega}: \{ \hat{Z}(j+1) = (A - LC)\hat{Z}(j) + BU(j) + Ly(j), \quad (35)$$

with \( K \in \mathbb{R}^{n\times3n+1} \) and \( L \in \mathbb{R}^{3n+1\times p} \) to be determined such that \( (A - BK) \) and \( (A - LC) \) are Schur stable.

IV. A Numerical Example

Let the following system parameters: adiabatic constant \( \gamma = 1.4 \); molar mass of dry air \( M = 28.97 \text{ g/mol.K} \); ideal gas constant \( R = 8.3143 \text{ J/(mol.K)} \); specific heat constant for constant pressure \( C_v = 1.005 \text{ K.J/kg.K} \); specific heat constant for constant volume \( C_v = 0.718 \text{ K.J/kg.K} \); initial pressure \( p_i = 1 \times 10^5 \text{ Pa} \); initial temperature \( T_i = 304 \text{ K} \); column volume \( V_i = 4 \times 10^{-3} \text{ m}^3 \); tube cross section area \( A_t = 6.4 \times 10^{-3} \text{ m}^2 \); tube length \( L = 1.5 \text{ m} \); and the constant coefficient of ventilator model \( K_n = 1 \times 10^{-4} \). In addition, define the discretization steps as \( \Delta t = 0.0075 \) and \( \Delta x = 0.036 \) which ensure the numerical stability condition of [9] (i.e., \( b_1 < 1 \) with \( b_1 = 0.5032 \)).

Firstly, some simulations are performed aiming to validate the proposed models, i.e., the hyperbolic nonlinear system (10) with nonlinear boundary conditions (9) and (11) referred as \( HSNL \), the hyperbolic linear approximation (12) with linear boundary conditions (17) and (19) referred as \( HSL \) and the augmented discrete-time linear approximate system (32) which is referred as \( ADLS \). For these three models, it is imposed an initial condition and it is verified the steady-state convergence. To this end, it is considered control inputs \( U_1^* = 150 \text{ rpm} \) and \( U_2^* = 300 \text{ watts} \) which yield steady-state values \( \rho^* = 1.1 \text{ kg/m}^3 \) (or, equivalently, \( T^* = 320.92 \text{ K} \)), \( v^* = 2.02 \text{ m/s} \) and \( p^* = 1 \text{ Bar} \) (or, equivalently, \( 1 \times 10^5 \text{ Pa} \) in SI units).

Figure 3 shows the time response of temperature, speed and pressure at the tube inlet, and the heating column temperature (tube inlet) for all three models. The initial condition was set to \( \rho = 1.1614 \text{ kg/m}^3 \), \( v = 1.8 \text{ m/s} \) and \( p = 1 \text{ Bar} \). Notice when converging the value of the initial condition \( \rho = 1.1614 \text{ kg/m}^3 \) in temperature for \( HSNL \) using (7) that we have obtained \( 304 \text{ K} \) and for the linear approximate models using the linear relationship (20) or (27), we have obtained \( 301.4 \text{ K} \). It turns out that all models have converged to the expected values.

Now, a controller for tracking a constant reference temperature at \( x = L \) is designed (considering the discrete-time linear approximate model \( ADLS \)) by adapting the control law proposed in (34) with (35). To this end, a discrete-time integrator is added to the system dynamics of (32) leading to the following state space realization:

$$\hat{\Omega}: \{ \hat{Z}(j+1) = \hat{A}\hat{Z}(j) + B\hat{U}(j) + B_r T_{ref} \quad (36)$$

where \( \hat{Z}(j) = [Z(j)^T \xi(j)]^T \) is the enlarged state vector, \( \xi(j) \) is the integrator state, and

$$\hat{A} = \begin{bmatrix} A & 0 \\ -C_r & 1 \end{bmatrix}, \quad \hat{B} = \begin{bmatrix} B \\ 0 \end{bmatrix}, \quad B_r = \begin{bmatrix} 0 \\ 1 \end{bmatrix},$$

with \( C_r \) and \( T_{ref} \) being respectively a constant matrix such that \( T(L,j) = C_r \hat{Z}(j) \) and the desired temperature at \( x = L \). Then, the control law (34) is modified to be as follows:

$$U(j) = \hat{K}\hat{Z}(j), \quad (37)$$

where \( \hat{K} = [K \ K_r] \) and \( \hat{Z}(j) = [\hat{Z}(j)^T \xi(j)]^T \).

Figure 4 shows a comparison of the hyperbolic linear PDE model \( HSL \), as in (12), with linear boundary conditions (17)-(19) (–––) and the discrete-time approximate model \( ADLSC \) (–––) using in both cases a controller designed for the \( ADLSC \) model. Notice that the controller is able to track the reference temperature \( T_{ref} = 325 \text{ K} \) considering both models demonstrating that the designed control law can be applied for boundary control of hyperbolic PDE systems at the cost of some performance degradation.

V. Concluding Remarks

In this paper, we have presented the boundary control of first order hyperbolic PDE systems associated to dynamic boundary conditions. Classical techniques such as linear approximation and discretization have been applied to an experimental setup (consisting of a tube, heating column and ventilator) leading to an augmented discrete-time linear approximate model. Then, an output
Future research will be concentrated in applying these results on the experimental setup.

Fig. 4. Time evolution of temperature in $x = L$ and $x = 0$ for HSL, using the designed control for ADLSC (—) and the ADLSC, (-----).

feedback plus integrator control law is derived to track a constant reference temperature. Simulation results have demonstrate the potentials of the proposed approach. Future research will be concentrated in applying these results on the experimental setup.

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