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Filterless millimetre-wave optical generation using optical phase modulators without DC bias

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Abstract—A tunable millimetre-wave generator using optical phase modulators with no DC bias and no filters (neither RF nor optical filters) is proposed in this paper. A 60 GHz RF signal is optically generated by multiplying the frequency of an input RF signal at 7.5 GHz by a factor of 8. The electrical suppression ratio is around 50 dB as shown by simulations.

Keywords—millimetre-wave, optical generation, phase modulators, radio-over-fibre

I. INTRODUCTION

The evolution of millimetre-wave communications requires efficient methods to generate and distribute high-frequency signals in wireless access networks. Electrical generation of millimetre-wave (mm-wave) signals suffers from limitations due to high propagation losses in the air, as well as in waveguides. Besides, electrical techniques are not particularly well adapted to fibre-supported wireless networks. Over the last decade, many research activities have been conducted to optically generate and transport mm-wave signals [1] to take advantage of huge bandwidths and low attenuations of optical fibres in the context of Radio-over-Fibre (RoF) [2-5]. The concept of optical generation is to heterodyne two optical signals spectrally separated from each other by the required mm-wave frequency, so as to generate an RF signal at the desired frequency upon photo-detection. A plurality of photonic techniques has been proposed so far, such as: dual-mode lasers [6], optical phase locking [7], optical injection locking [8], optical injection phase locking [9], four-wave mixing [10-11] and stimulated Brillouin scattering [12]. The most attractive solution to generate two optical signals to be heterodyned is based on the external modulation of a single laser source, mainly for its simplicity and tunability in frequency. Moreover, as the heterodyned signals are issued from the same laser source, they are advantageously coherent, thereby resulting in reduced phase noise. Many variants of this technique were studied achieving different multiplication factors i.e the ratio between the frequencies of the RF output signal and that of the RF input signal. A frequency doubler based on Mach-Zehnder modulators (MZM) was first demonstrated in 1992 [13]. Then, higher multiplication factors (4 and 6) were achieved [14-20]. In order to reduce the costs and use low-frequency components, some techniques wherein RF input frequency is multiplied by a factor of 8 were studied [21-23]. These techniques usually use optical Notch filters to suppress optical carriers or RF filters to suppress unwanted harmonics that arise from heterodyning, otherwise the suppression ratio would be limited. Moreover, such techniques rely on MZMs that need DC bias and whose half-wave voltage ($V_{th}$) depends on the frequency, thus hindering the frequency tunability of the system. In addition, these systems need control circuits to readjust DC bias voltage, which shifts or drifts during operation time of modulators.

In this paper, we propose, by simulation, a filterless method without any DC bias to optically generate millimetre-wave signals, using an input RF signal at a low frequency that will be naturally multiplied by a factor of 8 at the output of the system with a suppression ratio of 50 dB.

II. SIMULATION SETUP AND RESULTS

The proposed system setup was simulated under VPI TransmissionMaker™ as illustrated in Fig. 1. This system is based on the use of four optical phase modulators (PM) arranged in parallel. Each PM has one RF input and one optical input but with no input for DC bias. In this setup, a continuous wave optical signal provided by a laser source is split in four parts, so that each part is injected at the optical input of each PM.

![Fig. 1. Setup of an optical millimetre-wave generator by multiplication by a factor of 8, as simulated under VPI Transmission Maker™](image)

An input 7.5 GHz RF signal ($f_i$) is split into four signals with equal powers. As depicted in Fig. 1, these four signals are then phase-shifted with respect to each other, as follows: the second signal is shifted by $\pi$ with respect to the input signal, the third by $\pi/2$ and the third by $3\pi/2$, all of which are injected...
to the RF input of the four phase modulators respectively. The outputs of the four optical modulators are then combined together (Fig. 1) to produce an optical field at the input of the photodiode, whose amplitude $E$ is given by the following formula:

$$E = \frac{1}{2}(1-\alpha)E_0 \sum_{n=-\infty}^{\infty} J_4(\phi_{V_{RF}})(\cos(\omega_0 t + 4n\omega_M t) + \cos(\omega_0 t - 4n\omega_M t))$$

With $E_0$ Amplitude of the optical signal
    $\alpha$ Insertion loss
    $\omega_0$ Angular frequency of electrical signal
    $\omega_M$ Angular frequency of optical signal.

With the above configuration, harmonics of orders $\pm 1$, $\pm 2$, $\pm 3$ are suppressed at the input of the photodiode. Thus, the optical signal is composed out of $\pm 4^{th}$ order harmonics situated at 30 GHz symmetrically around the optical carrier, as shown in the optical spectrum represented in Fig. 2. This signal is then injected to the photodiode.

With the proposed model, the optical carrier is rejected by 20 dB (Fig. 2). After photo-detection, the electrical spectrum is composed of an RF signal at 60 GHz with a harmonics suppression ratio of 50 dB with respect to 30 GHz harmonic (Fig. 4). Even though the optical carrier is not completely suppressed as we did not use any optical filter, an electrical suppression ratio of 50 dB is obtained, without any RF filtering either.

According to simulations and mathematical calculations, the optical carrier should be reduced, in order to maximize the power of the 60 GHz RF signal at the output of the photodiode and minimize the amplitude of unwanted harmonics. This is done by minimizing the value of the zero-order Bessel function ($J_0$) while maximizing the value of the $4^{th}$ order of the same function ($J_4$). The value of the Bessel function order depends on the value of the RF input power as shown in Fig. 3.

These results are obtained in ideal conditions. In practice, as RF couplers are used [24–25], power imbalance (due to the errors in the splitting ratio) and phase errors in hybrid couplers can occur. To study the impact of power imbalance, the amplitude of the four harmonics (15 GHz, 30 GHz, 45 GHz, 60 GHz) is presented for a splitting ratio comprised between 50%–50% to 60%–40% as shown in Fig. 5. If there is no imbalance between the branches (50%–50%), a harmonic suppression of 50 dB is achieved. With a splitting ratio of 51%–49%, the suppression ratio reduces to 20 dB, due to the 45 GHz harmonic that becomes important.

To study the impact of phase errors on this system, a phase error of ±5 degrees is introduced in the simulations. In Fig. 6, the impact of a phase error from 0 to 5 degrees is presented. For a negative phase error (-5 to 0 degree), the behaviour is symmetric. With a phase error of 0.5 degree, a suppression

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**Fig. 2. Simulated optical spectrum at the input of the photodiode**

**Fig. 3. Bessel function for different orders**

**Fig. 4. Simulated electrical spectrum at the output of the photodiode**

**Fig. 5. Impact of input power imbalance on the generated harmonics**
ratio of more than 30 dB is obtained. With a phase error of more than 2 degrees, the suppression ratio starts to become less than 20 dB.

Fig. 6. Impact of the phase error on the generated harmonics

**Conclusions**

The proposed millimeter-wave generator can be easily tuned by adjusting its input RF frequency that is fed to the optical phase modulators. Furthermore, it is filterless in that it does not require any form of filtering (neither optical nor RF) and does not need any DC bias. This system enables to generate a 60 GHz signal by multiplying the frequency of an input RF signal (7.5 GHz) by a factor of 8, with a harmonic suppression ratio of 50 dB if no phase error or power imbalance is considered.

Sensitivity to phase errors, and input power imbalance were simulated showing that with 2% of power imbalance or with 2-degree phase errors, a suppression ratio of 20 dB can still be obtained. Experimental work including spectral analysis and phase noise measurements will be carried out shortly in order to confirm these results.

**References**


