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Plasticity for 3D User Interfaces: new Models for Devices and Interaction Techniques

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ABSTRACT
This paper introduces a new device model and a new interaction technique model to deal with plasticity issues for Virtual Reality (VR) and Augmented Reality (AR). We aim to provide developers with solutions to use and create interaction techniques that will fit to the needed tasks of a 3D application and to the input and output devices available. The device model introduces a new description of inputs and outputs devices that includes capabilities, limitations and representations in the real world. We also propose a new way to develop interaction techniques with an approach based on PAC and ARCH models. These techniques are implemented independently of the concrete devices used thanks to the proposed device model. Moreover, our approach aims to facilitate the portability of interaction techniques over different target OS and 3D framework.

Author Keywords  
3D User Interfaces; Virtual Reality

ACM Classification Keywords  
H.5.1 Information interfaces and presentation: Multimedia Information Systems Artificial, augmented, and virtual realities; H.5.2 Information interfaces and presentation (e.g. HCI): User Interfaces

INTRODUCTION
In the last years, interest in 3D user interfaces has grown [2]. For instance, 3D user interfaces include Virtual Environments (VE), serious games or Computer-Aided Design (CAD) applications. They differ from traditional graphical user interfaces (GUI) by including a third dimension to present content and by using a wider range of interaction devices than the traditional set: mouse, keyboard and touch-screen.

With the wide variety of existing interaction devices and the daily emergence of new ones, it is difficult and time consuming for developers to adapt their applications to each possible
configuration. For instance, in these new devices we can find the Microsoft® Kinect™, the Leap Motion or the Oculus rift. More than using each time a new SDK, these devices lead developers to redevelop parts of their applications, particularly interaction techniques in order to fit each capability.

Developing an application that takes into account the plasticity property is one solution to deal with these issues. Plasticity is the capacity of an interactive system to withstand variations of both the system physical characteristics and the environment while preserving its usability [17]. Code interoperability and usability continuity has to be guaranteed to be considered as plastic. Plasticity has already been well explored in the field of 2D user interfaces, however it has been reported in [12] that the problem is even larger for 3D and that no solution meets all the plasticity requirements.

Our goal is to propose new solutions for developers to handle plasticity for 3D user interfaces. In this paper, we focus on interaction techniques. In [3], an interaction technique is defined as a method allowing a user to accomplish a task via the user interface. It includes both hardware (input/output) and software components. We want to be able to define interaction techniques that will work whatever the target context of use. This context consists in a description of the available devices through a new device model and a high level description of the tasks that have to be performed. This device model aims to describe devices in terms of data they can collect and provide. It differs from classic approaches by also including information such as intrinsic properties, limitations and representation in the real world. Then, the interaction technique model based on PAC and ARCH models gives the possibility to developers to implement interaction techniques independently of devices and of a target 3D framework.

This paper is structured as follows: first we recall the plasticity requirements for 3D that our models have to meet. Then, we introduce our new device model used in the context description. Next, we present an extension of the PAC model [7] for interaction techniques implementation that ensures independence from the devices and from the target VR framework. Last, we conclude and give directions for future work.

**REQUIREMENTS**

To handle the plasticity property for interaction techniques, our models have to take into account a set of 3D requirements such as some reported by Lacoche et al. in [12]:

**R1** Ensure code portability. Interaction techniques must be available on many Operating Systems (mobile and desktop). Moreover they need to be possibly integrated or implemented with the main 3D frameworks (for instance, a game engine) and not dependent on a particular one. Indeed, each developer may have his own code database or 3D content in a particular framework.

**R2** Independence over the devices used. Interaction techniques must work whatever the concrete devices available. The devices needed by an interaction technique must be defined with a high level description. Alternatives must be possibly defined in case of a compatible device is not found. Moreover, interaction techniques must be aware of the device properties.

**R3** Handle user and system adaptations. Interaction techniques must be possibly instantiated with an automatic adaptation process, this is adaptability. However, the user has to be able to modify the interaction techniques with a set of predefined parameters, this is adaptability.

**R4** Interaction techniques must be configurable at runtime (dynamic adaptation) and between sessions (static adaptation). To ensure usability continuity, context modifications, such as a device plugged or a new task needed, must be recorded. The interaction techniques have to be dynamically adapted according to the context modifications.

**A NEW DESCRIPTION FOR INPUTS AND OUTPUTS**

In order to perform hardware adaptations and fulfill the second requirement (R2), an accurate description of the input and output devices is needed: this is the device model. Indeed, the adaptation process has to know which devices are available, their capabilities and limitations. This model is needed to perform device selection and adaptation. In the model, inputs devices are considered as the devices that can collect data from the real world. For example, a position, a pressure on a button or a sound acquisition. Regarding output devices, they restitute computer generated values to the real world, for instance an image on a screen or a vibration.

In the literature we can find several classifications about how to perform static or automatic input device selection, such as the Buxton taxonomy [4] extended later by Mackinlay et al. [15] and also DEVAL [16] a device abstraction layer for VR and AR applications. They consider a device as a composition of several input units where each unit is responsible to acquire one kind of data. This kind of classification aims to describe the data provided by input devices units such as the number of degrees of freedom (DoF) of an input value or the property sensed (position, motion, pressure). DEVAL has the advantage to describe a wide variety of device units. It includes more recent devices that can also have output capabilities. For instance, trackers, buttons, haptic feedback, speech and gesture recognition. Less common sensors are also included such as light and temperature sensors. This classification hierarchically structures inputs and outputs units according to their properties. Anyway, this model and the previous ones only define devices with input and output data while it would be interesting to also expose their physical properties in the real physical workspace or their internal properties like refresh rate or accuracy. The graph representation of devices proposed by Lipscomb and Pique [14] and the DEVAL extension introduced by Lindt [13] expose this kind of meta-data. Lipscomb and Pique [14] give the physical characteristics of each device and so a more precise description on how the data is acquired and their limitations. For example, it differentiates bound and unbound inputs as well as isotonic, isometric and elastic ones. In the DEVAL extension [13], three kinds of meta-data can be added to a device unit. First, static devices properties do not change over the time such as the weight of a HMD. Next, configurable devices properties depend on the device setup like the smoothing factor of a tracking de-
The set of physical objects describes the representation of the device in the real world. For example, it gives properties such as a 3D representation of the device if we want to represent it in the virtual world. Its position gives the possibility to a developer to automatically adapt the coordinate system of the tracking values. Moreover, all inputs and outputs are associated to a physical object, this information may help to select an input or an output unit used by an interaction technique. Indeed, for an interaction technique, inputs and outputs that correspond to the same physical object can be preferentially selected. The goal would be to minimize device switchings as well as the homing time of the GOMS model [6].

Regarding input description, the goal is to describe all possible acquired signals that are currently used in 3D applications. We established a list of three categories that gather these possible inputs:

- **real values**: the most common value type used. It refers to continuous values acquired by trackers, sensors, touch-screens, etc. It can represent a position or a rotation as well as more original values such as a temperature or the lighting intensity of a room.
- **discrete values**: taken into a set of predefined ones. For example a button pressed or not, a gesture or a vocal command.
- **Generic streams**: they continuously provide array of values. This category is divided into multiple subtypes with more specific properties (image streams, EEG signals, sound acquisition...)

In the model, these different input types include a description of the acquired data, their properties and limitations, and information about how these data are acquired by the device. To do so, we propose to describe each input into two entities. The "data description" ensures the first need, and the "technology" ensures the second one.

For the two entities of the real value input type described in figure 3, the description reuses the most important properties of previously described taxonomies, especially [14] and [15], while adding some new ones. Regarding the new properties, three booleans describe the axis on which the value is defined as well as more specific properties (image streams, EEG signals, sound acquisition...).
In the next section, we show how this model is used to perform automatic selection of device units and for device intrinsic parameters adaptations.

PAC AGENTS FOR INTERACTION TECHNIQUES

Having modeled input and output devices, we now focus on the interaction techniques that work independently from any concrete device thanks to this model. Interaction techniques are created in order to complete a high level task needed in the target application. For 3D user interfaces, according to Hand [11], these tasks belong to three categories: selection and manipulation, application control and navigation. According to the plasticity requirements, there is a need for a model for interaction technique description that ensures a good portability over the possible target 3D frameworks and an independence of techniques over the interaction devices.

A convenient model could be PAC [7] (Presentation-Abstraction-Control), a multi-agent model that ensures a good decoupling between user interface semantics and its concrete implementation. It decomposes an interaction component into three facets:

- the Presentation: it is the concrete implementation of the component in charge of its input and output management,
- the Abstraction: it describes the semantics of the component and the function it can perform,
- the Control: it ensures the consistency between the presentation and the abstraction.

However, this model has not been designed to perform context adaptation: a PAC agent will be the same whatever the target platforms and users. Therefore, Calvary et al. introduced Compact [5] (COntext of user Mouldable PAC for plasticity) a specialization of PAC. Compact divides each facet into two parts, the physical part that is dependent from the current context and the logical one which is always the same. For instance, an algorithm used by the abstraction facet can be replaced according to the target platform performances by just replacing the physical part. However, keeping the semantics and algorithms constant whatever the context was the main interest of the PAC model with its presentation facet that deals with it. PAC does not provide a good decoupling between the input and the output management because the Presentation facet includes both. This decoupling is needed in 3D because of the larger set of possible devices. To solve this issue Grappl [9] describes an interaction technique with a base class that performs semantics and presentation computation. This class is extended by other classes that implement different ways to control the technique with several sets of interaction devices. Compared to PAC, the method does not ensure a good decoupling between semantics and presentation and a good independence to the target 3D framework. Indeed, Grappl uses its own internal scene graph system.

To solve all of these issues we represent the interaction technique model with a PAC model enhanced with ARCH concepts [1]. ARCH proposes to add adaptor components between the different facets of PAC-like models. This model is also considered as a meta-model for other software models by proposing a generic separation between facets of interactive components. ARCH represents an interactive compo-

<table>
<thead>
<tr>
<th>Output</th>
<th>Force-Feedback</th>
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<tr>
<td>Name: string</td>
<td>Continuous Force: float</td>
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<tr>
<td>Latency: float</td>
<td>Peak Force: float</td>
</tr>
<tr>
<td>Relative Object: string</td>
<td>Pos X: Boolean</td>
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<tr>
<td></td>
<td>Pos Y: Boolean</td>
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<tr>
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<td>Pos Z: Boolean</td>
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<td>Rot X: Boolean</td>
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<td></td>
<td>Rot Y: Boolean</td>
</tr>
<tr>
<td></td>
<td>Rot Z: Boolean</td>
</tr>
</tbody>
</table>

Figure 4: The description of a force feedback output.
Figure 5: An example of a logical driver and its device units needs. It controls a fly navigation interaction technique with joysticks. The first needs are two real values that describe the two joysticks needed. A need is defined with some properties extracted from the device model. As shown some properties can be omitted, only the detailed ones will be used to select the available device units. The last one is tactile feedback, which is optional and can give a vibration feedback when the user collides any 3D object.

The work of the developer is to choose these device units in order to drive correctly the interaction technique. The logical driver describes all required inputs and outputs units according to a set of parameters taken from our device model. Some can be optional if they are not needed for a good usability. The logical driver can be instantiated if these units can be found at runtime. At runtime, the logical driver receives the input data that it needs and it can trigger the outputs. The device units may come from different concrete devices in order to perform device composition. An example of logical driver is given in Figure 5.

Getting the data independently from concrete devices is one of the possibilities given by the logical driver. Nevertheless, it does not ensure the same behavior over all the devices because all do not have the same capabilities and the same intrinsic properties. As the logical driver is associated with device units, it can access each property of our device model. Thus, the possibility to perform adaptation to the intrinsic parameters of each device unit is given to the developer. For instance, in case of a 3D cursor interaction technique for selection and manipulation controlled with a 6-DoF device, if the virtual environment is bigger than the device tracking volume, the user will not be able to reach all parts of the virtual world. One solution consists in using the boundaries of the tracking value to adapt the gain of a 3D cursor displacement.

By using this PAC/ARCH approach we ensure a good decoupling between the interaction technique semantics and its concrete implementation, the independence of the technique over the target 3D framework and OS (R1), over the concrete devices used as well as over the interaction modality used (R2). Indeed, as we can develop multiple compatible logical drivers for the same interaction technique, this interaction technique can be controlled with different modalities. Moreover, in a case of a context modification at runtime, any presentation facet can be exchanged with another one if it is compatible with the interaction technique. This property ensures the possibility to perform static and dynamic adaptations (R4). To do so, as shown in figure 6 a facet is implemented on top of the control facet: the supervision control. This facet is not present in the classic PAC model. This component contains all the types that can be instantiated as a presentation facet for the current interaction technique: a list of all compatible logical drivers and a list of all rendering facets. It also receives the context modifications at runtime and then is able to determine if a presentation facet is still possible in the current context and may ask the adaptation engine for a replacement. For instance, if a device is unplugged from the system, the supervision control may detect that the current logical driver is unusable and therefore ask the system to replace it by another one into its list of compatible ones.

As we said, a PAC agent represents an interaction technique instantiated to achieve a high level task. To represent this relation Grappl [9] associates each task with a set of compatible interaction techniques. In the same way, in our interaction model, each task derives from a basic task class and contains a list of properties as well as a list of all PAC agents that can complete it. Therefore, the developer is responsible to associate the interaction techniques that can complete a high level task. This compatibility list is exposed to the system to allocate the best interaction techniques according to the desired tasks. At runtime, the abstraction facet is associated to the task description in order to access its properties. This association allows a developer to include some parameters into the task that will be used by the interaction technique. For instance, in a manipulation task we could parametrize the degrees of freedom on which objects can be manipulated.

To illustrate our interaction model, the Figure 6 presents a manipulation task and its compatible interaction techniques in which we detail the 3D ray-based PAC agent. In that case, the presentation facet creates the ray geometry, handles collision detection and performs scene graph modifications according to the control facet requests. The logical driver handles how the ray is manipulated according to different device units. The first one is based on a 3D interaction device that can provide a 6-DoF tracker. The ray base is controlled in position and rotation by the data given by this tracker. A discrete input (such as a button) is used to attach and detach an object to the ray extremity. Two other discrete inputs are used to change the length of the ray, the first one to increase it, the other one to decrease it. It also includes an optional tactile output in order to perform a vibration feedback when an object is caught. This implementation is shown in Figure 1a, the device used is a razer hydra. The second logical driver is based on two 2-DoF force input like joysticks. The position of the ray base is constant and set at the center of the user view, just in front of the main camera. The first 2-DoF input is used to control
Figure 6: The proposed interaction model. The task selection and manipulation is compatible with 3 interaction techniques. The figure represents the PAC agent of the 3D ray-based technique. This agent is compatible with three concrete logical drivers. Multiple rendering presentations could be implemented depending on the target 3D framework.

In this section we describe our interaction technique model that ensures device and framework independence which allows our toolkit to cover R1 and R2. The creation of interaction techniques and logical drivers and the association with device units can be done automatically. It can also be configured seamlessly at runtime, for instance switching from a device to another one, or trying another interaction technique. Therefore the model also satisfies R3 and R4. An integrated GUI to perform the configuration could reinforce these aspects. The automatic allocation of the interaction techniques and the configuration GUI are topics for future work.

CONCLUSION AND FUTURE WORK

In this paper, we propose two models that meet the requirements needed to handle the plasticity property for interaction techniques in 3D user interfaces. The first one is an extendable device model which includes devices capabilities, limitations and representations in the real world. This model exposes device context changes such as the add of the removal of a device, or properties modifications. The second one is an interaction model that uses the device model, it is based on PAC and ARCH. The approach lets the developer create interaction techniques independently of concrete devices and of a 3D framework.

Future work consists in establishing and adaptation engine that will create the interaction techniques at runtime according to the current context in order to always provide the most suited application. Our models must also be extended in order to take into account different levels of adaptation such as user and content adaptation. Our perspective is to create a tool for developers and designers for the creation of plastic 3D user interfaces. Such a tool is being developed with our models in Mono C# and interfaced with the Unity3D game engine.

REFERENCES


