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The FG 2015 Kinship Verification in the Wild Evaluation

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\textbf{Abstract}—The aim of the Kinship Verification in the Wild Evaluation (held in conjunction with the 2015 IEEE International Conference on Automatic Face and Gesture Recognition, Ljubljana, Slovenia) was to evaluate different kinship verification algorithms. For this task, two datasets were made available and three possible experimental protocols (unsupervised, image-restricted, and image-unrestricted) were designed. Five institutions submitted their results to the evaluation: (i) Politecnico di Torino, Italy; (ii) LIRIS-University of Lyon, France; (iii) Universidad de Las Palmas de Gran Canaria, Spain; (iv) Nanjing University of Aeronautics and Astronautics, Nanjing, China; and (v) Bar Ilan University, Israel. Most of the participants tackled the image-restricted challenge and experimental results demonstrated better kinship verification performance than the baseline methods provided by the organizers.

\section{I. INTRODUCTION}

This paper summarizes the results of the FG 2015 kinship verification in the wild evaluation. The objective of this evaluation is to determine whether there is a kin relation between a pair of given face images. The kinship is defined as a relationship between two persons who are biologically related with overlapping genes. Hence, there are four different types of kin relations investigated in this evaluation: Father-Son (F-S), Father-Daughter (F-D), Mother-Son (M-S) and Mother-Daughter (M-D). Face images are captured in uncontrolled environments in our datasets so that no restriction in terms of pose, lighting, background, expression, age, ethnicity, and partial occlusion on the images are used for training and testing.

Comparing with other face analysis such as face recognition\textsuperscript{1}–\textsuperscript{5}, facial expression recognition\textsuperscript{6},\textsuperscript{7}, and facial age estimation\textsuperscript{8}–\textsuperscript{11}, kinship verification via faces is a relatively new problem in face analysis. Over the past five years, some kinship verification methods\textsuperscript{12}–\textsuperscript{31} have been proposed and most of them have shown that human face is an important cue to predict the kin relation between people. While some encouraging results have been obtained, most existing methods are evaluated on their own datasets and a standard protocol to compare different kinship verification methods is unarguably required.

In this work, we organize the kinship verification in the wild evaluation\textsuperscript{1} by designing an open-set verification protocol to fairly evaluate and compare different kinship verification algorithms, which is held in conjunction with the IEEE International Conference on Automatic Face and Gesture Recognition 2015, Ljubljana, Slovenia. The schedule for the evaluation is shown in Table I. The shortened participant labels are used to simplify results summaries below. Our evaluation is designed with three different settings: unsupervised, image-restricted, and image-unrestricted. Five teams submitted their results to our evaluation and we summarize the details of the proposed methods in this paper. A summary of the participants submitting their final results is tabulated in Table II. We expect that the developed evaluation protocol in this work can advance the study of the kinship verification in the wild problem in the future.

\begin{table}[ht]
\centering
\caption{Evaluation Schedule.}
\begin{tabular}{|l|c|}
\hline
Date & Event \tabularnewline \hline
April 15, 2014 & Evaluation announced \tabularnewline May 15, 2014 & Datasets and features available \tabularnewline June 15, 2014 & Registration deadline \tabularnewline November 5, 2014 & Final results submitted \tabularnewline November 17, 2014 & Summary paper submitted \tabularnewline \hline
\end{tabular}
\end{table}

\section{II. DATASETS AND PROTOCOL}

\subsection{A. Data Sets}

We provide two kinship face datasets: Kin Faces in the Wild I (KinFaceW-I) and Kin Faces in the Wild II

\textsuperscript{1}Available at: http://www.kinfacew.com

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\end{table}

This study is partially supported by the research grant for the Human Sixth Sense Program (HSSP) at the Advanced Digital Sciences Center (ADSC) from the Agency for Science, Technology and Research (A*STAR) of Singapore, and the research grant from the National Natural Science Foundation of China under grants 61373090.
(KinFaceW-II) [19], [26]. Face images in two datasets were collected from internet, including some public figures as well as their parents or children. Face images were captured under uncontrolled environments in two datasets with no restriction in terms of pose, lighting, background, expression, age, ethnicity, and partial occlusion. The difference of KinFaceW-I and KinFaceW-II is that face images with a kin relation were acquired from different photos in KinFaceW-I and the same photo in KinFaceW-II in most cases.

There are four kin relations in these two datasets: F-S, F-D, M-S, and M-D. In the KinFaceW-I dataset, there are 156, 134, 116, and 127 pairs of kinship images for these four relations. For the KinFaceW-II dataset, each relation contains 250 pairs of kinship images. For ease of use, we manually labeled the coordinates of the eyes position of each face image, and aligned and cropped facial region into $64 \times 64$ to remove background. Fig. 1 shows some cropped and aligned face images from KinFaceW-I and KinFaceW-II datasets, respectively.

### B. Protocol

As a benchmark for comparison, we recommend using the pre-specified training/testing split, which was generated randomly and independently for 5-fold cross validation. Finally, the receiver operating characteristic (ROC) curve and the mean accuracy are used for performance evaluation. Note that the training data and testing data are provided since beginning of this evaluation.

Following the widely used unconstrained face verification benchmark [32], we designed the following three different settings to evaluate the performance of different kinship verification algorithms:

- **Unsupervised setting**: No labeled kin relation information is used.
- **Image-restricted setting**: Only the given kin relation information is used in the training splits.
- **Image-unrestricted setting**: The identity information of the person is available to potentially form additional negative pairs in the training splits.

### III. Baseline Methods

In order to compare the performances of the different algorithms submitted, we also designed, for each evaluation setting, a specific baseline method. These methods are detailed in the following.

#### A. Features

For each face image, we extracted two different feature descriptors:

- **Local Binary Patterns (LBP)** [33]: we divided each image into $8 \times 8$ non-overlapping blocks, where the size of each block is $8 \times 8$. We extracted a 59-dimensional uniform pattern LBP feature for each block and concatenated them to form a 3776-dimensional feature vector.

- **Histogram of Gradients (HOG)** [34]: we first divided each image into $16 \times 16$ non-overlapping blocks, where the size of each block is $4 \times 4$, and then into $8 \times 8$ non-overlapping blocks, where the size of each block is $8 \times 8$. Subsequently, we extracted a 9-dimensional HOG feature for each block and concatenated them to form a 2880-dimensional feature vector.

#### B. Models

We design the following three verification models for different settings in our evaluation:

- **Unsupervised setting**: Given a face pair, the cosine similarity of their LBP or HOG features is used to compute their similarity directly.

- **Image-restricted setting**: For each face image, we first apply PCA to project each LBP or HOG feature into a 500-dimensional feature vector and then side-information based linear discriminant analysis (SILD) [35] is employed to learn a distance metric. Specifically, the positive pairs and negative pairs in the training set were used to estimate the within-class and between-class variations of LDA. Finally, the cosine similarity of each test pair in the learned LDA space is computed.

- **Image-unrestricted setting**: For each face image, PCA is first used to project each LBP or HOG feature into a 500-dimensional feature vector and then neighborhood repulsed metric learning (NRML) [19], [26] is employed to learn a discriminative distance metric. Specifically, the label of training sample is used to seek the most similar intra-class neighbours to learn the distance metric. Finally, the cosine similarity of each test pair in the learned NRML space is computed.

### IV. Summary of Participants’ Algorithms

This section briefly summarizes the algorithms presented by the five participating teams. Their results are compared with the baseline results presented by the organizers.
KinFaceW-II

characterize each facial image: for verification. Their method used three types of features to provide an effective characterization of the samples. Lastly, the support vector machines (SVM) classifier is used for verification. Their method used three types of features to characterize each facial image:

- Local Phase Quantization (LPQ) [36] exploits the blur invariance property of the phase spectrum and encodes phase information. It is insensitive to centrally symmetric blur and is invariant to changes in the mean level of illumination and in illumination contrast. They applied a multi-scale LPQ representation to obtain a resulting vector of size 768.

- Three-Patch based LBPs (TBLBP) [37] encodes in a compact form of similarity between neighboring image patches. The basic idea behind patch-based approaches is to detect local texture properties by analyzing the compact form of similarity between neighboring image blocks. These properties are then encoded as a bit string. The image is encoded by dividing it into a set of non-overlapping blocks and concatenating the normalized histogram of code frequencies for each block. The final vector has size 3072.

- Weber Local Descriptor (WLD) [38] states that the Weber’s law. WLD is based on two components computed on each pixel: the differential excitation and the orientation of the pixel gradient. Those components are extracted with a multiresolution analysis and then encoded into a histogram containing 2880 elements.

To improve classification accuracy, they applied the following three step feature selection (FS) process: 1) the features are ranked with the minimum-Redundancy-Maximum-Relevance (mRMR) algorithm; 2) the size of the optimal mRMR set is heuristically found; and 3) the set of candidate features is further refined with a modified Sequential Forward Floating Selection algorithm. Finally, they performed classification using SVM with a radial basis kernel.

A. Politecnico di Torino

The Politecnico di Torino (Polito) group proposed a multi-perspective approach to the kinship verification under the image-restricted setting. Their method first combined different textural features and then selected the most relevant variables to provide an effective characterization of the samples. Lastly, the support vector machines (SVM) classifier is used for verification. Their method used three types of features to characterize each facial image:

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B. LIRIS-University of Lyon

This group applied the Triangular Similarity Metric Learning (TSML) [39] method on the problem of kinship verification by following the restricted setting on the two databases. TSML uses a triplet \((x_i, y_i, s_i)\) to represent a pair of instances, where \(x_i\) and \(y_i\) are any two vectors, and \(s_i = 1\) (resp. \(s_i = -1\)) means that the two vectors are similar (resp. dissimilar). It assumes a linear transformation function \(f(x, A)\) which projects the raw vectors into the target space: \(a_i = f(x_i, A) = Ax_i\) and \(b_i = f(y_i, A) = Ay_i\). The cost function of the TSML method is defined as:

\[
J = \frac{1}{n} \sum_{i=1}^{n} \left[ \frac{1}{2} ||a_i||^2 + \frac{1}{2} ||b_i||^2 - ||c_i|| + 1 \right] + \lambda \frac{1}{2} ||A - A_0||^2, \tag{1}
\]

where \(c_i = a_i + s_i b_i\) and \(\frac{1}{2} ||A - A_0||^2\) is a regularization term to prevent overfitting. \(A_0\) is a pre-defined constant matrix, which is also the initialization for \(A\). The positive parameter \(\lambda\) adjusts the effects of the regularization term: the larger the parameter \(\lambda\) is, the closer \(A\) is to \(A_0\). Thus, this regularization guarantees the learnt optimal transformation function \(f(x, A_*)\) to perform better than the initial function \(f(x, A_0)\). The gradient of the above cost is:

\[
\frac{\partial J}{\partial A} = \frac{1}{n} \sum_{i=1}^{n} \left[ (a_i - \frac{c_i}{||c_i||})x_i^T + (b_i - \frac{s_i c_i}{||c_i||})y_i^T \right] + \lambda(A - A_0). \tag{2}
\]

Ideally, the optimal solution can be obtained at the zero gradient: \(a_i - \frac{c_i}{||c_i||} = 0\) and \(b_i - \frac{s_i c_i}{||c_i||} = 0\). In other words, the gradient function has set \(\frac{c_i}{||c_i||}\) and \(\frac{s_i c_i}{||c_i||}\) as targets for \(a_i\) and \(b_i\), respectively. For a similar pair \((s_i = 1)\), \(a_i\) and \(b_i\) are mapped to the same unit vector \(\frac{c_i}{||c_i||}\); for a dissimilar pair \((s_i = -1)\), \(a_i\) and \(b_i\) are mapped to opposite
unit vectors, \( \frac{e_i}{\|e_i\|} \) and \(-\frac{e_i}{\|e_i\|} \), respectively. In summary, minimizing the cost means to make similar vectors parallel and make dissimilar vectors opposite, which happens to be the objective of similarity metric learning.

They used four different face descriptors for each face image: LBP and HOG, the two features provided by our baseline method, plus Over-Complete LBP (OCLBP) [40] and Fisher Vector faces (FV) [41], two high-dimensional features with sizes of 22066 and 67584, respectively. Finally, each feature vector is transformed to new vectors with dimension 100 by whitened Principle Component Analysis (WPCA) for dimensionality reduction.

C. Universidad de Las Palmas de Gran Canaria

The ULPGC group adopted the image-restricted setting for kinship verification, where they made use of the multiple descriptors: Histogram of Oriented Gradients (HOG) [34], Local Binary Patterns (LBP) [33], Local Salient Patterns (LSP) [42], Local Directional Patterns (LDP) [43], Local Phase Quantization (LPQ) [36], Local Oriented Statistics Information Booster (LOSIB) [44]. By calculating descriptor for each image grids from 1 × 1 to 8 × 8, they obtain a total of 15 descriptors. The total number of histograms per image is 120. Each parent-child pair, \( x_c \), is represented by the respective histogram similarities, \( x_c = \{S_{HOG}, S_{LBP}, ..., S_{LOSIB}\} \). The similarity between two histograms \( h^A \) and \( h^B \) is defined as \( \chi^2 \) distance:

\[
\chi^2(h^A, h^B) = \sum_{c=1}^{n\text{comp}} \frac{(h^A_c - h^B_c)^2}{(h^A_c + h^B_c)}. \tag{3}
\]

For each kin-relation, PCA was performed for each fold. The number of principal components is chosen analyzing the accuracy achieved using a different number as input to train a SVM classifier.

D. Nanjing University of Aeronautics and Astronautics

The NUAA group contributed to this evaluation using image-restricted setting. Their method includes two parts: the base model, and the feature selection.

**Model:** The \( N \) training samples define a set \( \{x^p_i, x^c_i, y_i\}_{i=1}^N \), where \( x^p_i, x^c_i \in \mathbb{R}^d \) denotes the \( i \)-th sample of a parent and a child, \( d \) is the dimension of the sample, and \( y_i \in \{+1, -1\} \) indicates whether the two individuals are related or not. The pairwise similarity between \( x^p \) and \( x^c \) is:

\[
s^p(x^p, y^p) = (x^p)^TWx^c. \tag{4}
\]

And they formulate the following regularized logistic regression objective to optimize \( W \),

\[
\min_{W,b} \frac{1}{N} \sum_{i=1}^N \log \left( 1 + \exp \left( -y_i (x^p)^TWx^c \right) \right) + \lambda \|W\|_*, \tag{5}
\]

where \( b \) is the threshold, and \( \|W\|_* \) is the trace norm. This is a nonsmooth convex objective and one can use proximal methods to solve it, where at each step the singular values of the standard gradient update are replaced by their soft-threshold versions.

**Vote-based Feature Selection:** To reduce of parameters of the proposed model, two steps are used for feature selection. In the first step, they evaluate the discriminative power of each feature of a parent regard to the given child. For this, they first partition an image into overlapping patches and extract a middle level 128-dimensional SIFT features from each patch, and a parent vector and a child vector is concatenated into a 2d-dimensional vector \( a \), and learn a weight vector \( u \) with the same dimension using a sparse \( l_1 \) regularized logistic regression objective. This will give them a 2d-dimensional vector \( u \) with its first half (\( u^p \)) and the second half (\( u^c \)) respectively representing the importance of each feature of the parent and the child. Then, they use \( u^p \) to vote the patches of parent face images and select those patches receiving high votes for face representation, and similar case to child images. Finally, linear SVM is utilized for final judgement.

E. Bar Ilan University

The BIU team proposed an asymmetric metric learning scheme with respect to kin similarity under both image-restricted and image-unrestricted settings. The gist of this approach is that kin verification is inherently asymmetric where one (father, mother) is a priori known to be significantly older than the other (son, daughter), resulting in significant appearance differences. Thus, they propose to learn two projections such that the distance is given by

\[
d^p_2(\phi^p_i, \phi^p_j) = \|W_o\phi^o_i - W_y\phi^y_j\|_2^2, \tag{6}
\]

where \( W_o \) is the projection matrix applied to old samples (father, mother), and \( W_y \) is the matrix applied to young samples (son, daughter). \( \phi^o_i \in \mathbb{R}^d \) and \( \phi^y_j \in \mathbb{R}^d \) are the features based representation of the faces of old and young subjects, respectively, where it is a priori known which images depict either of these two classes. Training and test samples are given in positive and negative pairs, such that \( \{\phi^o_i, \phi^y_j\} \) is a positive pair corresponding to the \( i \)-th family, while \( \{\phi^o_i, \phi^y_j\}, i \neq j \), is a negative pair.

To learn metric pair \( W = \{W_o, W_y\} \), they formulate the objective function by a margin maximization scheme as:

\[
\arg\min_{W_o, W_y} \sum_{i,j} \max \left[ 1 - y_{ij} \left( b - d^p_2(\phi^o_i, \phi^y_j) \right), 0 \right], \tag{7}
\]

where \( y_{ij} = 1 \) if the subjects in \( i \) and \( j \) are kins, and \( y_{ij} = -1 \) otherwise; \( b \) is set as the mid point between the average distances of related and unrelated subjects. They solved this problem by a stochastic subgradient scheme.

Having obtained the optimal metric \( W \), the SVM classifier with the RBF kernel using the distance \( d^p_2 \) between samples is used to verify kin relationship. The features used by this method are the baseline HOG and LBP features.

V. RESULTS

Figs. 2-7 shows the ROC curves of different methods on different settings on our datasets, and Tables III – VIII
list the mean accuracy of the three different settings. From the experimental results, we can see that 1) Only one team, namely BIU evaluates their method under image-unrestricted setting and achieves better verification results than baseline NRML on all four subsets of two datasets; 2) All five groups contribute to the challenge under the image-restricted setting, team Polito achieves the first place (86.30%) on the KinFaceW-I and the second place (83.10%)
on the KinFaceW-II in term of mean verification rate, while group LIRIS obtains the best result on the KinFaceW-II dataset for all four subsets. In general, all teams show better kinship verification performance than the baseline methods in this evaluation. In addition, we can make the following observations from the above results:

- The unsupervised setting is the most challenging one and the image-unrestricted setting is the easiest. This is because the image-unrestricted setting can utilize more discriminative information than the other two settings.

### VI. Conclusion and Future Work

This paper describes the kinship verification in the wild evaluation which is held in conjunction with the 2015 IEEE International Conference on Automatic Face and Gesture Recognition, Ljubljana, Slovenia. This evaluation has been a great community effort because most existing kinship verification methods were only evaluated with their own datasets and a standard protocol to compare different kinship verification methods is required. In this work, we have established such a benchmark for kinship verification via face images, which will allow researchers in this field to further