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Inverse Reinforcement Learning in Relational Domains

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Abstract
In this work, we introduce the first approach to the Inverse Reinforcement Learning (IRL) problem in relational domains. IRL has been used to recover a more compact representation of the expert policy leading to better generalization performances among different contexts. On the other hand, relational learning allows representing problems with a varying number of objects (potentially infinite), thus providing more generalizable representations of problems and skills. We show how these different formalisms allow one to create a new IRL algorithm for relational domains that can recover with great efficiency rewards from expert data that have strong generalization and transfer properties. We evaluate our algorithm in representative tasks and study the impact of diverse experimental conditions such as: the number of demonstrations, knowledge about the dynamics, transfer among varying dimensions of a problem, and changing dynamics.

1 Introduction
Learning control strategies or behaviors from observations is an intuitive way to learn complex skills [Schaal, 1999; Argall et al., 2009; Khardon, 1999]. When learning from observing another agent, one can aim at learning directly the behavior or, instead, the criteria behind such behavior. The former approach is usually called Imitation Learning (IL) while the latter is called Inverse Reinforcement Learning (IRL).

The main advantage of IRL is the robustness of the behavior induced. It can handle different initial states and changes in world dynamics [Ng and Russell, 2000; Neu and Szepesvári, 2009]. With IRL, an explanation of the behavior is found, so the system can continue to learn online to fulfill this explanation via an online Reinforcement Learning (RL) algorithm. IRL also improves performance over learning the policy if a change in the world dynamics occurs. Indeed, in that case, the system will learn to adapt in order to achieve its goal while continuing to follow the same behavior (via Imitation Learning) would not. Being robust to dynamics modifications is an important property as, for instance, when a system ages its dynamics changes (e.g., the brake of a car will get worn).

IRL can also offer a more compact representation of the behavior, modeled as a reward function. For instance, in a blocks world domain, the task of building a tower with all objects requires a non-trivial policy but can be described with a simple reward [Dzeroski et al., 2001]. This is useful when the user has to be aware of the internal state of the system, as a more compact representation is easier to understand for a human operator. With active learning, the user has to correct/advise the system. So, he has to quickly be able to determine what it should do. Human-machine collaboration is another setting where the user has to take into account the system and so, be informed of the internal state.

Another approach for generalizing to different problems is to use representations that are more expressive. The use of relational learning allows one to generalize between worlds with different numbers of objects and agents. Learning to act from demonstrations in relational domains have been a research problem for a long time [Segre and De-Jong, 1985; Shavlik and DeJong, 1987; Khardon, 1999; Yoon et al., 2002]. The use of relational representations is attracting even more attention due to new algorithmic developments, new problems that are inherently relational and the possibility of learning the representations from real-world data, including robotic domains [Lang and Toussaint, 2010; Lang et al., 2012].

Even though no approach to relational IRL has been proposed, the use of relational representation to learn from demonstrations have already been studied. Natarajan et al. [2011] propose to use of gradient-tree boosting [Friedman, 2001] to achieve IL in relational domains.

In this work, the main contribution is to introduce the first IRL algorithm for relational domains. For this we generalize a previous approach for IRL, namely Cascaded Supervised IRL (CSI) [Klein et al., 2013], to handle relational representations. Another contribution is to augment CSI with a reward shaping step to boost performance. A third contribution is to show that using data from different domain sizes can improve transfer to unseen domain sizes.
The first section presents the Markov Decision Processes (MDP) framework and its extension for relational data. A second section reviews IRL in general and presents our contributions: the augmented CSI algorithm and the generalization to the relational domain. Finally, we present our results and the conclusions in the two last sections.

2 Relational Learning for Markov Decision Processes

2.1 Markov Decision Process

The proposed approach for learning by demonstrations relies on the MDP framework. An MDP models the interactions of an agent evolving in a dynamic environment. Formally, it is a tuple \( M_R = \{ S, A, R, P, \gamma \} \) where \( S = \{ s_i \}_{1 \leq i \leq N_S} \) is the state space, \( A = \{ a_i \}_{1 \leq i \leq N_A} \) is the action space, \( R \in \mathbb{R}^{S \times A} \) is the reward function, \( \gamma \in [0, 1] \) is a discount factor and \( P \in \Delta^S_A (\Delta^S) \) is the set of distributions over \( S \). The Markovian dynamics which gives the probability, \( P(s', s, a) \), to reach \( s' \) by choosing action \( a \) in state \( s \). A deterministic policy \( \pi \in A^S \) maps each state to an action and defines the behavior of the agent.

The quality function \( Q^*_R \in \mathbb{R}^{S \times A} \) for a given policy is a measure of the performance of this policy and is defined for each state-action couple \( (s, a) \) as the expected cumulative discounted reward when starting in state \( s \), performing the action \( a \) and following the policy \( \pi \) afterwards. More formally, \( Q^*_R(s, a) = \mathbb{E}^s_{\pi,a} \left[ \sum_{t=0}^{\infty} \gamma^t R(s_t, a_t) \right] \), where \( \mathbb{E}^s_{\pi,a} \) is the expectation over the distribution of the admissible trajectories \( (s_0, a_0, s_1, \pi(s_1), \ldots) \) obtained by executing the policy \( \pi \) starting from \( s_0 = s \) and \( a_0 = a \). A policy \( \pi \) is said optimal when:

\[
\forall \pi' \in A^S, \forall s \in S, Q^*_R(s, \pi(s)) > Q^*_R(s, \pi'(s)).
\]

Moreover, the function called the optimal quality function, noted \( Q^*_R \in \mathbb{R}^{S \times A} \) and defined as \( Q^*_R = \max_{\pi \in A} Q^*_R \), is important as each optimal policy \( \pi^* \) is greedy with respect to it [Puterman, 1994]:

\[
\forall s \in S, \pi^*(s) = \arg \max_{a \in A} Q^*_R(s, a).
\]

In addition, it is well known [Puterman, 1994] that the optimal quality function satisfies the optimal Bellman equation:

\[
Q^*_R(s, a) = R(s, a) + \gamma \sum_{s' \in S} P(s'|s, a) \max_{b \in A} Q^*_R(s', b).
\]

Equation (3) expresses a one-to-one relation between optimal quality functions and their reward functions [Puterman, 1994].

2.2 Relational MDP

One can derive a relational MDP that generalizes the reinforcement learning formalism for high level representations [Džeroski et al., 2001]. Solutions to the planning and learning problems can be found in the literature [Kersting et al., 2004; Lang and Toussaint, 2010]. Relational representations generalize the commonly used representations in MDPs and machine learning. Instead of representing data as attribute-value pairs, it relies on first order logical formulas. Given all objects and a set of possible relations (or predicates), data is represented by the set of logical rules that are true.

Under this representation the state of the environment is the set of all atoms (grounded relations) that are true. The state of the environment can change when relational actions are applied. Actions have a different semantic than in finite MDPs. A relational action is abstract and so its application depends on choosing an object to apply it to, i.e., grounding the action. For instance, the action take(box) can be applied to different objects: take(cup) or take(box), and so leading to different effects.

2.3 Example Domain: Blocks world

We present a simple example domain that is going to be used to validate our approach. This is a classical domain that has several interesting and challenging characteristics such as the possibility of defining different tasks, the ease to visualize, the possibility of defining problems with a changing number of objects. Being a relational domain the blocks world can be defined by a set of objects, a set of predicates and a set of actions. The objects are the blocks and floor, a surface where the blocks lie. There are six predicates: on(X, Y) (true if object X is on object Y), clear(X) (true if X is a cube and no object lies on him), cube(X), floor(X), red(X) and blue(X).

The blocks world offers two abstract actions move(X, Y) and wait(). The wait() abstract action is available in every state and does not modify the state. The move(X, Y) abstract action is defined with three rules that describe when the actions can be applied and what becomes the next state: for example, it can only be applied when both the block to grasp and the target block have no object on top of them.

We can define multiple tasks in this domain but, to simplify discussions, we will consider only two and model them with rewards function: the first, called stack, is 1 when all blocks are stacked and 0 otherwise, the second one, called unstack, is 1 when all blocks are on the floor and 0 otherwise.

3 IRL in Relational Domains

IRL is a method that tries to find a reward function \( \hat{R} \) that could explain the expert policy \( \pi_E \) [Ng and Russell, 2000; Russell, 1998]. More formally, an IRL algorithm receives as inputs a set \( D_E \) of expert sampled transitions \( D_E = \{ (s_k, a_k = \pi_E(s_k), s'_k) \}_{1 \leq k \leq N_E} \) where \( s_k \in S \) and \( s'_k \sim P(\cdot|s_k, a_k) \) and some information about the world dynamics, for instance a set of non-expert sampled transitions \( D_{NE} = \{ (s_l, a_l) \}_{1 \leq l \leq N_{NE}} \) where \( s_l \in S, a_l \in A \), and \( s'_l \sim P(\cdot|s_l, a_l) \). Then the algorithm outputs a reward \( \hat{R} \) for which the observed expert actions are optimal.

Most of IRL algorithms can be encompassed in the unifying trajectory matching framework defined by Neu and Szepesvári [2009]. These algorithms find a reward function such that trajectories following the optimal policy with respect to this reward function become close to the observed expert trajectories. Each step of the minimization thus requires an MDP to be solved so as to generate trajectories. These algorithms are for instance Policy Matching [Neu and Szepesvári, 2007] minimizing directly the distance between...
the obtained policy and the expert policy or Maximum Entropy IRL [Ziebart et al., 2008] minimizing the Kullback-Leibler (KL) divergence between the distribution of trajectories. Those algorithms are incremental by nature and have to solve several MDPs. On another hand, other IRL algorithms such as Structured Classification for IRL (SCIRL) [Klein et al., 2012] and Cascaded Supervised IRL (CSI) [Klein et al., 2013] avoid resolving recursively MDPs.

In addition, most IRL algorithms are parametric and assume a linear parameterization of the reward. However this hypothesis do not hold for relational domains where states are logical formulas. Yet, CSI can be made non-parametric as we will demonstrate later. This, added to the fact that CSI doesn’t need several MDPs to be solved, makes him a good candidate for an IRL algorithm adapted to relational domains. After presenting the original CSI algorithm, we show how it can be improved by introducing an intermediate step. Then, a relational version is developed.

3.1 CSI

The idea behind CSI is that it is hard to define an operator that goes from demonstrations to a reward function. On the other hand, one can define operators to go from demonstrations to an optimal quality function and from an optimal quality function to the corresponding reward function. The second option can be made computationally efficient because of the link between the score function of a multi-class classifier and an optimal quality function.

Indeed, given the data set \( D_{CE} = (s_k, a_k)_{1 \leq k \leq N_E} \) extracted from \( D_E \), a classification algorithm outputs a decision rule \( \pi_C \in A^S \) using \( s_k \) as inputs and \( a_k \) as labels. In the case of a Score Based Classification (SBC) algorithm, the output is a score function \( q_C \in \mathbb{R}^{S \times A} \) from which the decision rule \( \pi_C \) can be inferred:

\[
\forall s \in S, \pi_C(s) = \arg \max_{a \in A} q_C(s, a).
\]  

(4)

A good classifier provides a policy \( \pi_C \) which often chooses the same action as \( \pi_E \), \( \pi_C \approx \pi_E \). Equation (4) is very similar to equation (2) thus by rewriting the optimal Bellman equation (3), \( q_C \) can be directly interpreted as an optimal quality function \( Q_{RC} \) for the reward \( R_C \) defined as follows:

\[
R_C = q_C(s, a) - \gamma \sum_{s' \in S} P(s'|s, a) \max_{b \in A} q_C(s', b).
\]  

(5)

Indeed, as \( q_C \) verifies \( q_C(s, a) = R_C(s, a) + \gamma \sum_{s' \in S} P(s'|s, a) \max_{b \in A} q_C(s', b) \) and by the one to one relation between optimal quality functions and rewards this means that \( q_C = Q_{RC} \). In addition, as \( \pi_C \) is greedy with respect to \( q_C \), \( \pi_C \) is then optimal with respect to \( R_C \). Thus, the expert policy \( \pi_E \) is quasi-optimal for the reward \( R_C \) as \( \pi_C \approx \pi_E \).

However, \( R_C \) can be computed exactly only if the dynamics \( P \) is provided. If not, we can still estimate \( R_C \) by regression. For this, we assume that we have a set \( D_{NE} \) of non-expert samples. So, we can easily construct a regression data set \( D_R = \{(s_i, a_i), \bar{r}_i\}_{1 \leq i \leq N_R} \) from \( D_E \cup D_{NE} = \{s_i, a_i, s'_i\}_{1 \leq i \leq N_E + N_{NE}} \) where \( \bar{r}_i = q_C(s_i, a_i) - \gamma \max_{a \in A} q_C(s'_i, a) \) is an unbiased estimate of \( R_C(s_i, a_i) \).

The output of the regression algorithm is an estimate \( \hat{R} \) of the target reward \( R_C \).

**Reward Shaping augmented CSI**

Regression implies projecting the data onto an hypothesis space. Given this hypothesis space some reward functions are easy to represent while other are hard or impossible due to the so-called inductive bias. There is a one-to-many relation between the space of demonstrations and the space of quality functions and a one-to-one relation between the space of quality functions and the one of reward functions. This means that there are many optimal candidates for the score based classification step among which one could choose the one that will be projected with the smallest error in the hypothesis space during regression.

To improve the quality of its regression step, we propose to introduce an intermediate Reward Shaping (RS) step to CSI. Reward shaping is a technique aiming at modifying the reward shape while keeping the same optimal policy. [Ng et al., 1999] proved that \( \forall R \in \mathbb{R}^{S \times A}, \forall t \in \mathbb{R}^S, q_t(s, a) = Q_{tq}(s, a) + t(s) \) and \( Q_{tq}(s, a) \) share the same optimal policies and one can interchangeably use \( q_t \) or \( q_{tq} \) (or their corresponding reward function) to represent a behavior or a task. Although RS is traditionally used to guide reinforcement learning, here we propose to use it to shape the reward so that it can be more efficiently projected onto the chosen hypothesis space.

In this context the purpose of RS is to find a function \( t^* \in \mathbb{R}^S \) such that the expected error of the regression step is minimal. If one can define a criterion \( c \) over the reward values that represent the expected regression error (even heuristically), an optimization problem can be written:

\[
t^* = \arg \min_t J(t),
\]

\[
J(t) = c(q_t'(s_i, a_i) - \gamma \max_{a \in A} q_t'(s'_i, a))_{(s_i, a_i, s'_i) \in D_E \cup D_{NE}}.
\]

where \( q_t'(s, a) = q_C(s, a) + t(s) \). The criterion \( c \) can vary substantially. For example, if the regression model is sparse the \( \ell_1 \)-norm can be used. On the other hand, if the hypothesis space is composed of regression trees the entropy of the set of reward values is a candidate: a low entropy implies a few numbers of values which heuristically leads to a better representation with a decision tree that can only represent a finite number of values.

As only a finite number of values of \( t \) change the value of \( c \) (those for which the state is present in \( D_E \) or \( D_{NE} \)), \( t \) can be treated as a vector and standard black-box optimization tools can be used. We propose to use a simplified version of CMA-ES [Hansen et al., 2003].

Figure 1 summarizes the CSI method augmented with RS. It consists in a first step of score-based classification \( \{ \} \) with the set \( D_E \) as input which outputs a score function \( q_C \). RS \( \{ \} \) is then used to produce \( q_t'(s, a) = q_C(s, a) + t^*(s) \) which corresponds to an easier reward function to learn. From the set \( D_R \) constructed from \( D_E \) and \( D_{NE} \) and \( q_{t'} \), it is possible to compute an estimate \( \hat{R} \) of the reward function \( R_C \) via regression \( \{ \} \). Theoretical guarantees on the quality of the reward function learned via CSI are provided by Klein et al. [2013] with respect to the errors of the classification step and the regression step.
functions we allows TILDE to use the to contain real numbers. To be able to represent complex variables. TILDE can be used for regression if one allows leaves translational data is to have first order logic tests in each node. The change made to handle re-iging a tree according to a criterion until all data points in one subset share the same label. The change made to handle relational data is to have first order logic tests in each node. These tests are logical formula of one atom with free variables. TILDE can be used for regression if one allows leaves to contain real numbers. To be able to represent complex functions we allows TILDE to use the count aggregator as often done in the relational learning literature [De Raedt, 2008].

Relational CSI

We propose the Relational CSI algorithm (CSl). We make three modifications to CSI: (i) use TBRIL as the SBC step ①, (ii) add an intermediate RS step ② to improve the performance and (iii) use TILDE for regression step ③.

TILDE is a decision tree based regressor and therefore we choose the criteria $c$ of the RS step to be the entropy.

4 Experiments

To validate the proposed approach, experiments have been run to (i) confirm RCSI can learn a relational reward from demonstrations, (ii) study the influence of the different parameters and (iii) show that IRL outperforms classification based imitation learning when dealing with transfer and changes in dynamics.

4.1 Experimental setup

To test RCSI quantitatively we use the following setup. From a target reward $R^*$, we compute an optimal policy $\pi^*$. The algorithm is given, as expert demonstrations, $N_{\text{expert}}$ trajectories starting from a random state and ending when the (first) wait action is selected. As random demonstrations, the algorithm is given $N_{\text{random}}$ one-step trajectories starting from random states. The optimal policy $\hat{\pi}$ corresponding to the learned reward $\hat{R}$ is then computed. As proposed by Klein et al. [2013], the expert dataset is added to the random one to ensure that it contains important (state, action, next-state) triplets such as (goal-state, wait, goal-state). Each experiment is repeated 100 times and results are averaged. To sample the random dataset we use the following distribution, $P_{\text{state}}$: we first draw uniformly from the different relational spatial configurations and then, for each one, uniformly from the possible groundings.

The main parameters are set as follows: 10 trees of maximum depth 4 are learned by TBRIL during the SBC step ① and the reward is learned with a tree of depth 4, which acts as a regularization parameter.

Performance measure

To evaluate the proposed solution we define a performance measure, the Mean Value Ratio (MVR), that measures the ratio between the expected cumulative discounted reward obtained following the learned policy (optimal policy derived from the learned reward) and following the expert one.

$$\text{MVR}(\hat{R}) = \frac{1}{1000} \sum_{i=0}^{1000} \frac{Q^\pi_{\hat{R}}(s_i, \hat{\pi}(s_i))}{Q^\pi_{R^*}(s_i, \pi^*(s_i))}, s_i \sim P_{\text{state}}$$

Comparison to TBRIL

TBRIL and RCSI have very different goals, different assumptions, and thus should not be compared directly. However, as we propose the first algorithm for IRL in relational domains, we have no baseline to compare to. TBRIL is an algorithm that has been developed to do imitation learning in relational domains and so it can inform us on what to expect from imitation in relational domains and act as a baseline. Latter we will show the advantages of estimating the reward using IRL.

4.2 Sensibility to dataset sizes

Figure 2 shows the results of using RCSI to learn the stack and unstack reward of the blocks world domain. RCSI is able of learning the reward with enough expert and random training points. This graph also shows that the RS step ② always increases the performance of the algorithm. The setting $N_{\text{random}} = 300$ and $N_{\text{expert}} = 15$ gives good results and so we will use it in the following experiments.
4.3 Transfer performance

The main claim of relational learning is the ability of transferring among domain sizes. Fig. 3 shows the performance while varying the number of blocks between training and testing. For the stack reward, the graphs show almost no loss of performances due to a changing number of blocks. On the other hand, for the unstack reward, results are clearly worse when using 4, 5 or 6 blocks for training. By looking at the learned rewards, we have observed that, in most cases, one of the two following rewards is learned: one where the value is high when the number of clear is 4, 5, or 6 (depending on the number of blocks in the training set) and a second where high rewards are given when the pattern on(X,Y)\block(Y) cannot be matched. Both solutions are correct for a given domain size, as long as the number of blocks is the same. However, if we change the number of blocks, an ambiguity appears and only the second one stays correct. Yet, there is no reason to prefer one over the other. Moreover, for both possible rewards the expert demonstrations would be similar so the system has to choose between them based on hidden hypotheses.

One way to counter this phenomenon is to use a varying number of blocks during learning. These results are shown in the last column of Fig. 3 where a reward learned with a dataset mixing demonstrations with 4 and 5 blocks successfully transfers over to 6-block problems. One can also observe that learning the reward does not perform better than directly learning the policy. This results would be surprising in a propositional domain and shows how relational representations allow to easily transfer among tasks. The advantage of IRL is shown in the following experiments.

4.4 Online learning

One interesting feature of IRL over IL is to endow the system with online learning abilities. To showcase this feature, the optimal policy of the learned reward is no more computed exactly (with value iteration) but learned online by interacting with the system using the Q-Learning algorithm [Watkins, 1989]. We define an epoch as 1000 interactions. The RCSI algorithm first learns the corresponding quality function, so we can use the results of the SBC step to bootstrap the Q-Learning. Results are shown in Fig. 4. There are more efficient RL algorithms in the literature that we could have used. However, this is the proof of concept, not the better way to do online learning from the learned reward.

4.5 Dynamics change

Learning the reward rather than directly the policy of the expert leads to a more robust behavior in particular when large modifications of the dynamics of the environment occur. To evaluate this ability, the dynamics of the blocks world is modified after 50 epochs. One of the blocks is made unmovable so in order to build a tower one have to stack them on top of this fixed block. The results are shown in Fig. 5. As expected, in this setup, learning the reward allows recovering a satisfying policy even after changes in the dynamics. TBRIL output, on the other hand, cannot learn from interaction and performance stays low.
Moreover, we display results of the algorithm when setting the maximum depth of the reward tree to be 2 in order to increase the regularization factor. It is done as a naive way to obtain a more general representation of the reward. It leads to better performance when the dynamics change; the learned reward transfers better to the new setting.

4.6 Big scale

It is important for an IRL algorithm to scale with the number of states. Most tasks of object manipulation are highly combinatorial, and the blocks world domain is no exception: for 5 blocks there are 501 states whereas for 15 blocks the number of states increases to $6 \times 10^{13}$. We evaluate RCSI in a 15 blocks world (using $N_{\text{random}} = 1000$). We use an alternative performance measure that is computationally more efficient, the Optimal Actions Count (OAC):

$$\text{OAC}(\hat{R}) = \frac{1}{1000} \sum_{i=0}^{1000} 1_{\forall \hat{a} \in \text{argmax}_{a} Q^{\pi_{E}}_{R}(s_{i}, a), \hat{a} \in \pi_{E}(s_{i})},$$

which is the percentage of states for which all the optimal actions for the learned reward are optimal actions for the expert.

The results are shown in Fig. 6. On a 15 blocks world learning a quality function for the \textit{stack} reward requires representing a very complex function, this explains that the performance for TBRIL is around 0.8; as a consequence RCSI performance is no more than 0.85. When the performance is not good enough, setting the maximum depth to 2 is too naive and prunes important features of the reward leading to low performance. For the reward \textit{unstack}, optimal quality functions are trivial so TBRIL performs perfectly and RCSI performance is around 0.85.

4.7 Reward learned

Learning the reward often offers a better interpretation of the behavior of the expert. Due to its compactness it is possible to visualize the reasons behind the behavior of the expert. In Fig. 7 we display the reward learned on a \textit{stack} task as a tree using the prolog language. We can see that the best thing to do is to get to a state where all the blocks are stacked (there is only one \texttt{clear} predicate true) and wait. In any case, it is better not to put blocks on the floor and especially when all the blocks are stacked.

![Figure 7](http://prost.informatik.uni-freiburg.de)

Figure 7: Reward learned with RCSI on 5 blocks world.

5 Conclusions

In this paper, we have presented the first approach to IRL for relational domains. We have shown how the IRL algorithm CSI can be generalized to the relational domain. The results indicate that it is possible to learn a relational reward that explains the expert behavior. From it, a policy that matches the expert behavior can be computed. Besides generalizing the classification and regression steps in CSI, we have introduced a reward shaping step so as to reduce the regression error. Finally, we have proposed a new trans-dimensional perspective on data collection where we increase robustness to transfer over domain size by including in the training set demonstrations with different number of objects.
IRL has the advantage of more compact explanations of behaviors and increased robustness to changes in the environment dynamics. The use of relational representations allows learning policies and rewards for changing number of objects in a given domain. This shows one great strength of relational representations, and such results would not be possible in propositional or factored domains even with special feature design. Moreover, when the dynamics changes, we can see the interest of inferring the reward that allows the system to re-evaluate the expected behavior in the new conditions.

In the future, we plan to apply these algorithms to more complex problems and considering the generalization of other IRL algorithms. Interesting generalizations are the active and interactive settings [Lopes et al., 2009], multi-agent domains [Natarajan et al., 2010] and consider the problem of simultaneously learn the symbolic representation and the task.

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